

## Generating Functions

Ex.  $F_n = n^{\text{th}}$  Fibonacci number  $F_{n+1} = F_n + F_{n-1}$   $\begin{matrix} F_0 = 0 \\ F_1 = 1 \end{matrix}$

$$\sum_{n \geq 0} F_n x^n = \frac{x}{1-x-x^2}$$

$$1-x-x^2 = (1-\phi x)(1-\bar{\phi} x)$$

$$F_n = \frac{\phi^n - \bar{\phi}^n}{\sqrt{5}} = \text{nearest integer to } \frac{\phi^n}{\sqrt{5}}$$

$$\phi = \frac{1+\sqrt{5}}{2} \quad \bar{\phi} = \frac{1-\sqrt{5}}{2} \quad -1 < \bar{\phi} < 0$$

NOTICE:  $F_{n+1} - F_n - F_{n-1} = 0$

Theorem Let  $\alpha_1, \dots, \alpha_d \in \mathbb{C}$ ,  $d \geq 1$ ,  $\alpha_d \neq 0$

Consider all functions  $f: \mathbb{N} \rightarrow \mathbb{C}$ . TFAE

$$(i) \sum_{n \geq 0} f(n) x^n = \frac{P(x)}{Q(x)}$$

where  $Q(x) = 1 + \alpha_1 x + \alpha_2 x^2 + \dots + \alpha_d x^d$   $\leftarrow$  recurrence  
 $P(x)$  poly of degree  $< d$ .

(ii)  $\forall n \geq 0$

$$f(n+d) + \alpha_1 f(n+d-1) + \alpha_2 f(n+d-2) + \dots + \alpha_d f(n) = 0.$$

degree  $d$  recurrence.

where is  $P(x)$ ?  
 It's the initial conditions!

Proof.  $V_1 = \{f: \mathbb{N} \rightarrow \mathbb{C} \mid (i) \text{ holds}\}$   $\dim V_1 = d$  b/c choose  $P(x)$  &  $\deg(P(x)) < d$ .  
 $V_2 = \{f: \mathbb{N} \rightarrow \mathbb{C} \mid (ii) \text{ holds}\}$   $\dim V_2 = d$  b/c pick  $f(0), \dots, f(d-1)$ .

Spoke  $f \in V_1$ .  $Q(x) \left( \sum_{n \geq 0} f(n) x^n \right) = P(x)$   
 $\Rightarrow f \in V_2 \Rightarrow V_1 \subseteq V_2 \Rightarrow V_1 = V_2$   
 by dimension //

Ex. Count non-intersecting paths from  $(0,0)$  that go N, E, or W at each step.

$G_n = \#$   $n$ -step non-intersecting walks of N, E or W steps.

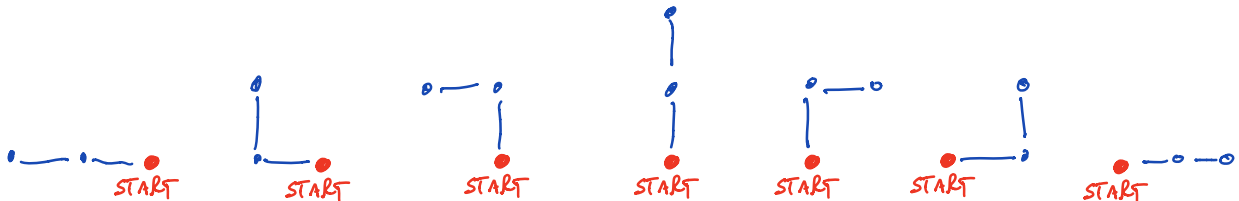
$n=0 \quad G_0 = 1$

$n=1 \quad G_1 = 3$



Compute by example  $G_2 = 7$

Find a recurrence for  $G_n$ .



NOT ALLOWED: EW or WE

Write path as a word in  $W, N, E$

could end w/ letter N:  $G(n-1)$  prefixes

could end w/ letter NW  
 $\textcircled{W}W \quad G(n-2)$  prefixes

NE  
 $\textcircled{E}E$

Exercise (HW) finish the example:

$$\sum_{n \geq 0} G(n) x^n = \frac{1+x}{1-2x-x^2}$$

$$G(n) = \frac{1}{2} \left( (1+\sqrt{2})^{n+1} + (1-\sqrt{2})^{n+1} \right)$$