

# Partially Ordered SETS

Equivalence Relations on  $S$

- reflexive for all  $x \in S$   $x = x$
- symmetric for all  $x, y \in S$  if  $x = y$ , then  $y = x$
- transitive If  $x, y, z \in S$ , if  $x = y$  &  $y = z$  then  $x = z$

A partial order on a set  $S$  is a relation  $\leq$  (prec)  $\begin{matrix} \nearrow \\ \searrow \end{matrix}$  preceq

- reflexive  $\forall x \in S$   $x \leq x$
- antisymmetric if  $x \leq y$  &  $y \leq x$  then  $x = y$
- transitive If  $x, y, z \in S$  if  $x \leq y$  &  $y \leq z$  then  $x \leq z$ .

NOTATION  $P = (S, \leq)$   $\leq_P$  usual

EXAMPLES •  $P = ([n], \leq)$   $i \leq_P j$  if  $i \leq j$   
 $\{1 < 2 < 3 < \dots < n\}$  TOTAL / LINEAR ORDER

•  $B_n$  = Boolean poset on  $2^{[n]}$

Objects are subsets of  $[n]$   
order is containment.

$n=3$ :  $\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}$   
 $\{2\} \leq \{1, 2\}$

$\{2\}$  &  $\{1, 3\}$  are incomparable  
 $(2^{[n]}, S \leq T \text{ if } \#S \leq \#T) \cong [n+1]$

- $D_n = \{[n], i \leq_{D_n} j \text{ iff } j \text{ is evenly divisible by } i\}$

$n=12$     what #'s are  $\leq 12?$      $1, 2, 3, 4, 6, 12$   
                   what #'s are  $\leq 8?$      $1, 2, 4, 8$

incompatible:  $i \leq_D j \text{ iff } \gcd(i, j) \neq 1$

1 is the unique minimal element.     $\hat{\Delta}$

- $T_n$  partial order on set partitions of  $[n]$  given by refinement.

$\left( \underline{\{1,3,4\}}, \underline{\{2,6\}}, \{5\} \right)$  of  $[6]$

$\{B_i\} \subseteq \{C_j\}$  if  $\forall i \quad B_i \subseteq C_j$  some  $j$ .

minimal element?  $\{1\} \{2\} \dots \{n\}$

Maximaler Element?  $\{1, 2, \dots, n\}$ .

- $\cdot B_n(q)$  all subposets of  $\mathbb{F}_q^n$   
 ordered by inclusion.

List All Posets on 4 Objects

<u>No relations</u>	<u>one relation</u>	<u>"two" relations</u>	
• • • •	• : • •	• •   • or •   • •	•   •   •   • 3+1
↓	↓	↓	↓
• •   •	• •   •	•   • •	•   •   •
•   •   •	•   •   •	•   •   •	•   •   •

**C LA W** --- lots.

**HASSE DIAGRAM**  
 $b$   
 $a$  if  $a \leq b$  and  
 $\nexists c \text{ s.t. } a \leq c \leq b$   
 $a \neq b$

Hasse Diagram has node for every elt  $\in P$ ,  
 edge  $t \vdash s$  iff  $s \leq t$ ,  $s \neq t$   
 •  $\forall r \in P$  s.t.  $s \leq r \leq t$ , either  $r = s$  or  $r = t$ .  
 "cover relations"

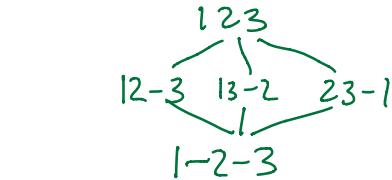
Ex.  $([n], \leq)$

$B_n = (S \subseteq [n], \subseteq)$

$\Pi_n = (B \vdash [n], \text{refinement})$

$$\begin{aligned} F_p(x) &= \sum_{i=0}^n x^i \\ &= (x)_n \end{aligned}$$

$$\begin{aligned} \text{Hasse Diagram: } & \begin{array}{c} \{123\} \\ | \\ \{12\} \quad \{13\} \quad \{23\} \\ | \quad | \quad | \\ \{1\} \quad \{2\} \quad \{3\} \\ | \\ \emptyset \end{array} \\ S \leq T \text{ if } & \#T \setminus S = 1 \\ F_p(x) &= \sum_{i=0}^n \binom{n}{k} x^k \\ &= (1+x)^n \end{aligned}$$

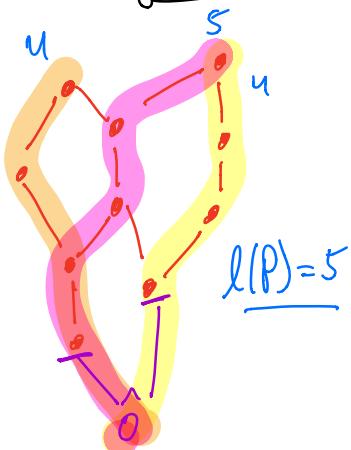


$B \leq C$  if merge 2 blocks

$\text{rk}(B) = n - \# \text{blocks}$

$$F_p(x) = \sum_{i=0}^{n-1} S(n, n-i) x^i$$

- Say  $P$  has  $\hat{0}$  if  $\exists \hat{0} \in P$  s.t.  $\hat{0} \leq t \quad \forall t \in P$ .
- Chain in  $P$  is a seq  $(t_1, \dots, t_k)$  s.t.  $t_i \leq t_{i+1}$ 
  - maximal if it's not properly contained in another chain.
  - saturated if  $\nexists u \in P$  s.t.  $t_i < u < t_{i+1}$  some  $i$
- Rank of a finite poset  $P$  is  $l(P) = \max \text{ length saturated chain in } P$   
 $P$  is graded if all max'l chains have same length.



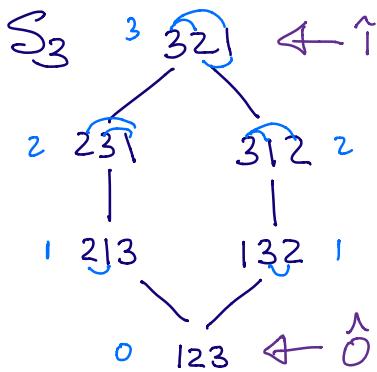
If  $P$  is graded, then for  $t \in P$   
 $l(t) = \text{rk}(t) = \max \text{ length chain } s_1 \leq \dots \leq s_k \leq t$

$$F_p(x) = \sum_{i=0}^n p_i x^i$$

where  $p_i = \# \text{elts of } P \text{ of rk } i$

Weak order on  $S_n$ 

$u \leq w$  if get  $w$  by swapping adjacent letters  $u_i u_{i+1}$  where  $u_i < u_{i+1}$



identity  $\uparrow$  reverse of identity  $\uparrow$

- Is there always  $\hat{0}$ ?  $\hat{1}$ ?

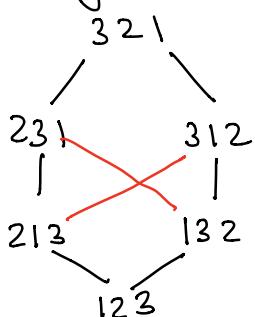
- What is the rank function?

- Anything else?  $\hookrightarrow rk(u) = inv(u)$

$$F(q) = \sum_{w \in S_n} q^{rk(w)} ? = \#\{(i, j) \mid u_i > u_j\}.$$

$$= 1 + 2q + 2q^2 + q^3$$

$$= (1+q)(1+q+q^2) = [n]_q!$$

Strong order on  $S_n$ 

$u \leq w$  if  $\begin{cases} A: u_i < w_{i+1} & \text{swap } u_i \& w_{i+1} \\ B: i \text{ left of } i+1 & \text{swap } i \& i+1 \end{cases}$

$$213 \leftarrow 231$$

$$213 \leftarrow 312$$

$\hat{0}$  same b/c still sort

$\hat{1}$ ? same

position = value at identity  $u_i = i$

An atom of a ranked poset w/  $\hat{0}$  is an element with only  $\hat{0}$  below it.

Is  $rk(u) = inv(u)$ ?  $u \leq w \Rightarrow inv(w) \neq inv(u) + 1$

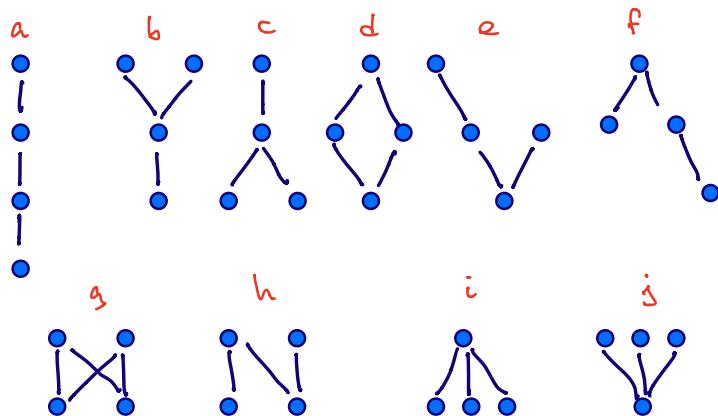
---  $i \xrightarrow{b} i+1$  --- swap  $(i, b)$  for  $(i+1, b)$  gain  $(i+1, i)$

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MATH 532

Discussion

Connected Posets on 4 elements



Defn An upper bound for  $s, t \in P$  is an element  $u \in P$  such that  $s \leq u$  &  $t \leq u$ .

A least upper bound for  $s, t \in P$  is an upper bound  $u$  s.t. if  $u'$  is also an upper bound, then  $u \leq u'$ .

Defn A lattice is a poset in which every pair of elements has a lub & glb.

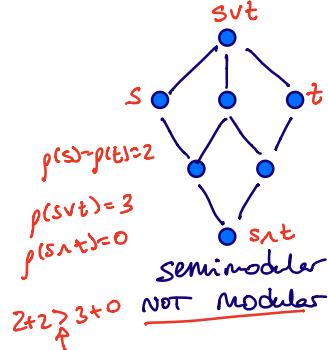
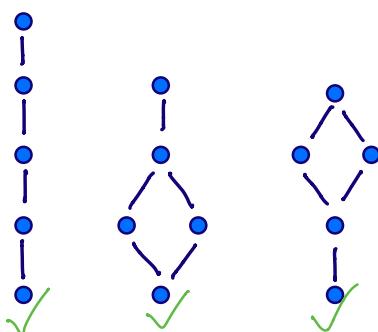
Q: Why of these posets is a lattice?

NOTATION: lub (least upper bound):  $s \vee t$  "join"  
glb (greatest lower bound):  $s \wedge t$  "meet"

a & d

OBSERVE: A (finite) lattice always has  $\hat{0}$  &  $\hat{1}$ .

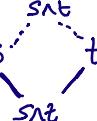
## LATTICES ON 5 ELEMENTS



Prop.  $L$  finite lattice. TFAE

(i)  $L$  is graded and the rank function  $p$  satisfies  $p(s)+p(t) \geq p(s \vee t) + p(s \wedge t)$

(ii) If both  $s \wedge t$  cover  $s \vee t$ , then  $s \vee t$  covers  $s \wedge t$ .



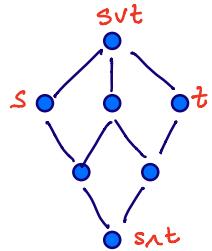
Defn A lattice satisfying (i) or (ii) is called semi-modular

A modular lattice is graded with  $p(s)+p(t)=p(s \vee t)+p(s \wedge t)$ .

Prop  $L$  modular iff  $s \vee (t \wedge u) = (s \vee t) \wedge u$

## Finite Poset

- meet semilattice ( $s \wedge t$  exists)  $\begin{matrix} s \\ \searrow \\ s \wedge t \\ \swarrow \\ t \end{matrix} \Rightarrow \hat{0}$
- join semilattice ( $s \vee t$  exists)  $\begin{matrix} s \\ \nearrow \\ s \vee t \\ \searrow \\ t \end{matrix} \Rightarrow \hat{1}$
- lattice: meets & joins
  - semi-modular: graded &  $p(s) + p(t) \geq p(s \wedge t) + p(s \vee t)$
  - modular: graded &  $p(s) + p(t) = p(s \wedge t) + p(s \vee t)$
  - atomic: an atom is any  $s \in L$  s.t.  $\hat{0} \leq s$   
every  $U \subseteq L$  can be written  $U = S_1 \vee S_2 \vee \dots \vee S_k$   $S_i$ 's atoms
  - a lattice is complemented if  $\forall s \in L \exists t \in L$  s.t.  $s \vee t = \hat{1}$  &  $s \wedge t = \hat{0}$ .

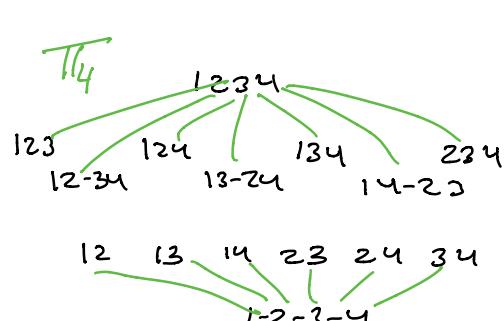
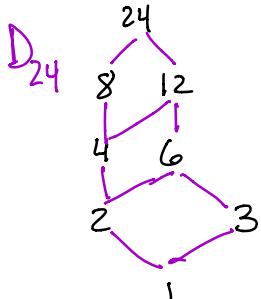
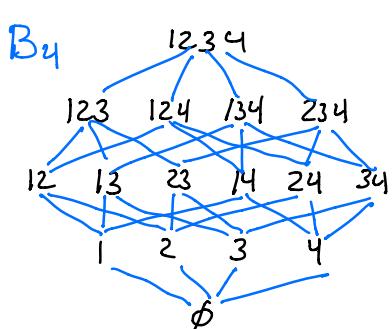


geometric lattice is a finite, semimodular atomic lattice.

Classify the following:-

- $([n], \leq)$  modular lattice (not atomic, not complemented)
- $B_n = (S \subseteq [n], \subseteq)$  modular, complemented, atomic, geometric
- $D_n = ([n], i \leq j \text{ if } j \equiv 0 \pmod{i})$
- $T^n = (\text{set partition of } [n], \text{refinement})$  atomic geometric not modular.
- $B_n(q) = (\text{subspace of } \mathbb{F}_q^n, \leq)$

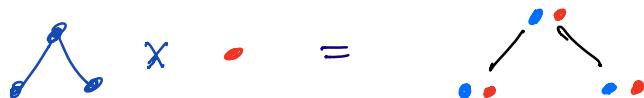
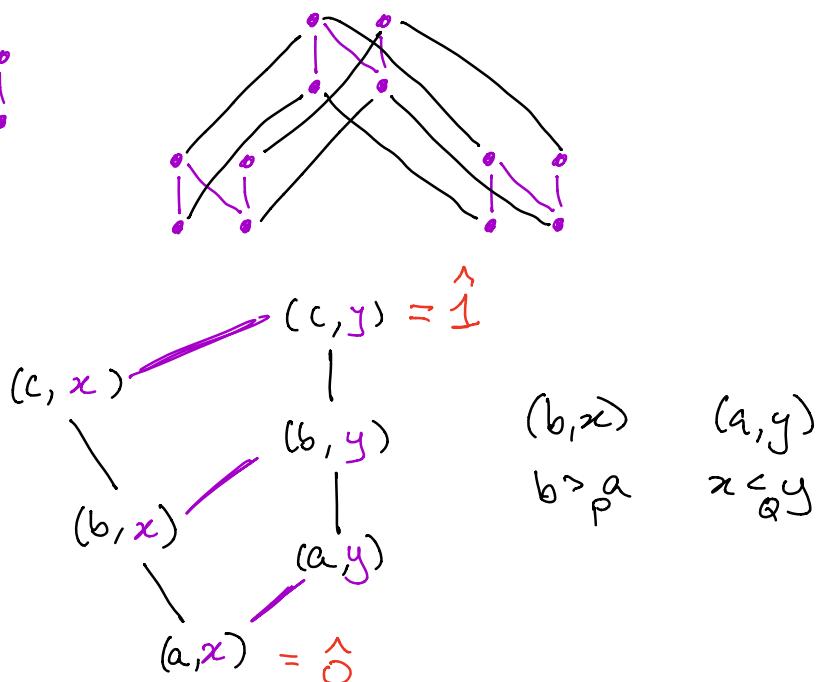
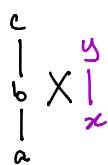
BONUS weak order on  $S_n$



$P, Q$  posets

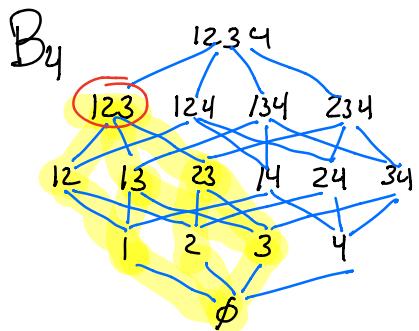
$$P+Q \quad s \leq t \text{ if } \begin{array}{l} s_i \in P \text{ & } s_j \in Q \\ s_i \leq p_i \text{ & } s_j \leq q_j \end{array}$$

$$P \times Q \quad (p, q) \leq (p', q') \text{ if } \begin{array}{l} (p, q) \in P \times Q \\ p \leq p' \text{ and } q \leq q' \end{array}$$

EXAMPLES $P @ Q$ 

Defn An order ideal of  $A \in P$  ( $A \subseteq P$ ) is

$$\mathcal{I}_A = \{s \mid s \leq t \text{ for some } t \in A\}$$



$$\begin{aligned} \mathcal{I}_{123} &\cong B_3 \cong \mathcal{I}_{134} \\ \mathcal{I}_{12,234} &= \left\{ \begin{matrix} 12 \\ 1 \\ \emptyset \\ 2 \\ 23 \\ 24 \\ 34 \\ 3 \\ 4 \end{matrix} \right\} \cong \mathcal{I}_{12} \end{aligned}$$

Defn Given a poset  $P$ , define the lattice of order ideals  $J(P)$  with inclusion as relation.



Defn An antichain is a subset  $A \subseteq P$  s.t.  $x, y$  incomparable  $\wedge x, y \in A$  distinct.

$$J \begin{pmatrix} c \\ b \\ - \\ a \\ \phi \end{pmatrix} = \begin{array}{l} \mathcal{I}_c = \{a, b, c\} \\ \mathcal{I}_b = \{a, b\} \\ \mathcal{I}_a = \{a\} \\ \mathcal{I}_\phi = \emptyset \end{array}$$

$$J \begin{pmatrix} d \\ c \\ b \\ a \\ \phi \end{pmatrix} = \begin{array}{c} d \\ | \\ 1 \\ | \\ b, c \\ | \\ b \\ | \\ a \\ | \\ \emptyset \end{array}$$

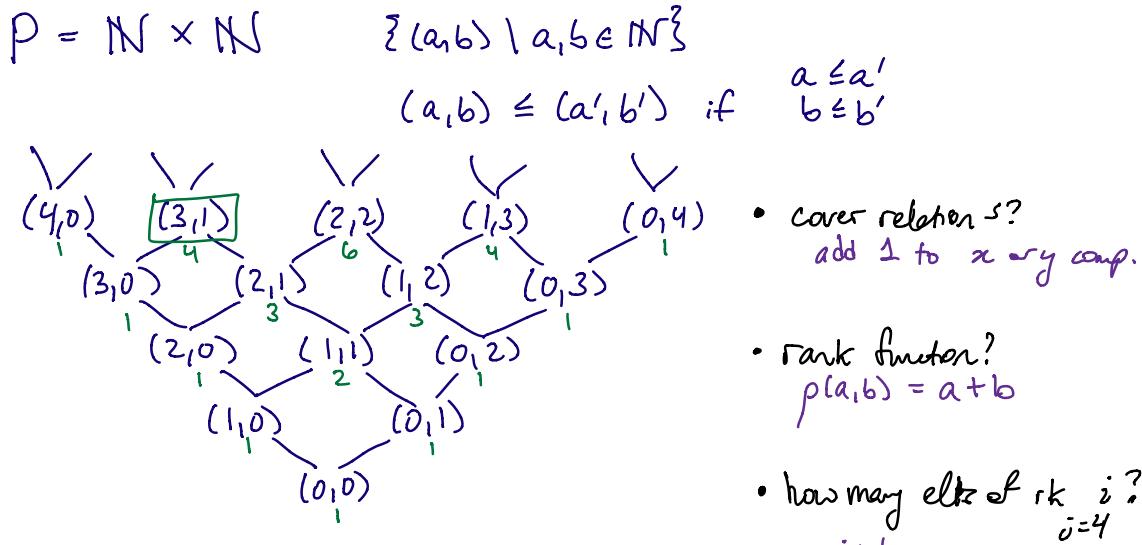
Defn A lattice  $L$  is distributive if

$$s \vee (t \wedge u) = (s \vee t) \wedge (s \vee u)$$

$$s \wedge (t \vee u) = (s \wedge t) \vee (s \wedge u)$$

$B_n$  is dist.  
 $B_{n(2)}$  is not.

Theorem  $L$  finite distributive lattice. Then  $\exists!$  poset  $P$  s.t.  $L \cong J(P)$ .



Count SATURATED CHAINS from  $\hat{0}$  to  $\hat{z}$ .

$\uparrow$                            $\uparrow$   
 skipping steps    linear order (group!)

Recall an order ideal for a subset  $A \subseteq P$  is

$$\{s \in P \mid s \leq t \text{ for some } t \in A\}$$

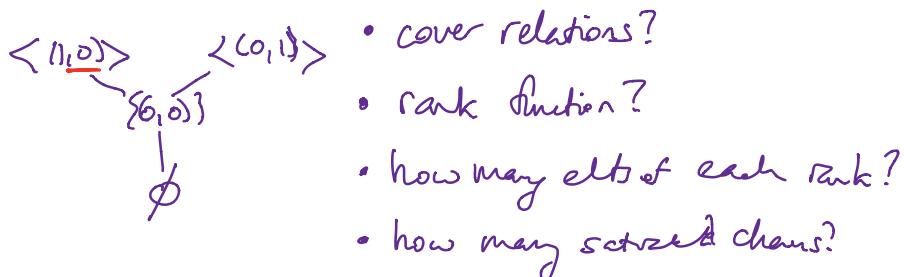
A principle order ideal is where  $A = \{t\}$ .

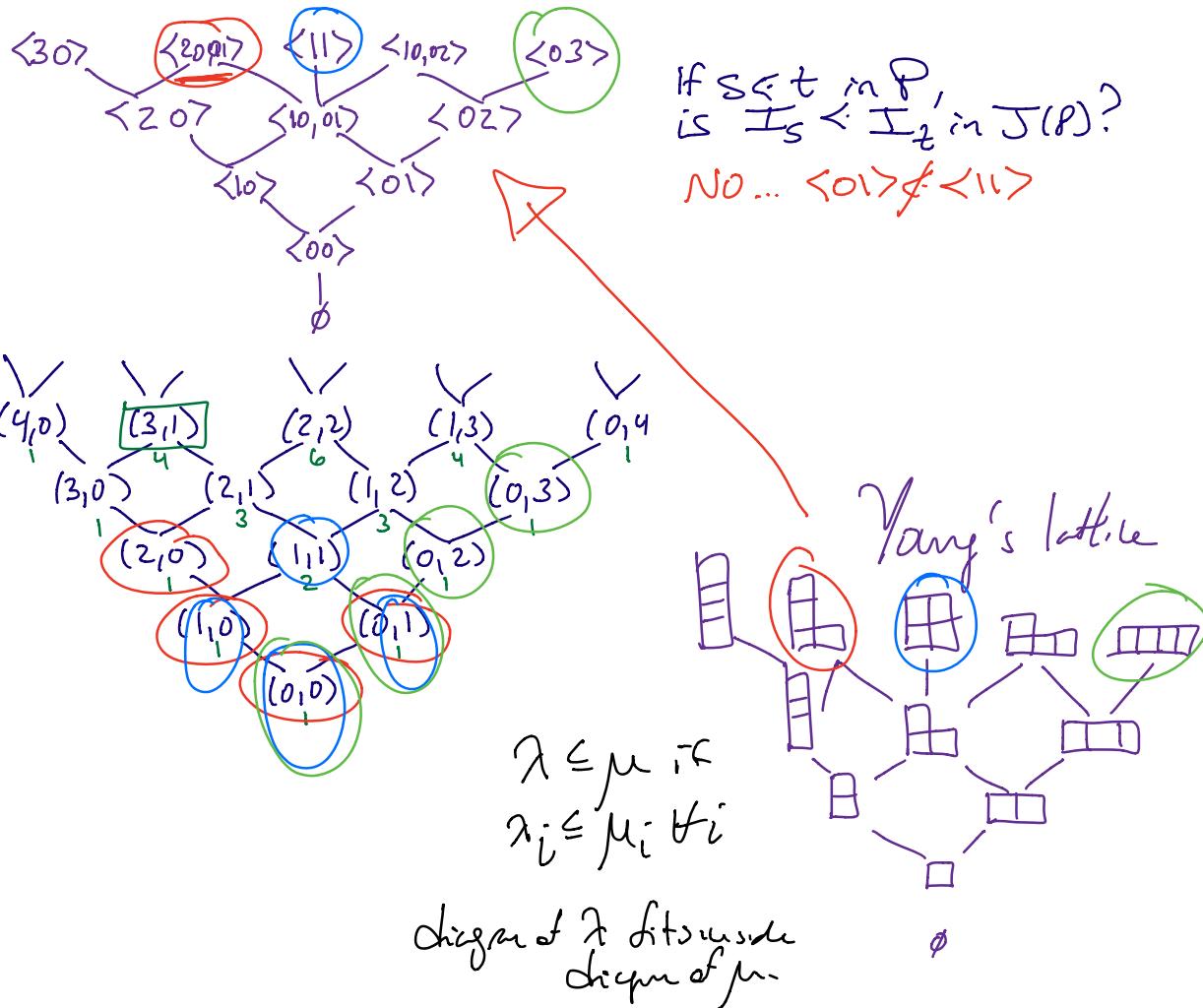
The lattice of order ideals of  $P$  is  $\mathcal{J}(P)$ ,

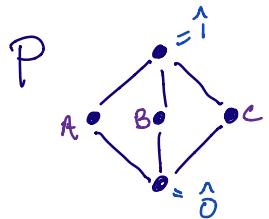
the set of order ideals ordered by inclusion as sets

- order ideals  $\leftrightarrow$  antichains  $\rightarrow$  set of pairwise incomparable elts.

• Draw  $\geq 2$  more levels







Möbius function of a poset  $P$ .

$$\mu(s, s) = 1 \quad \forall s \in P$$

$$\mu(s, u) = - \sum_{\substack{s \leq t < u \\ \uparrow}} \mu(s, t)$$

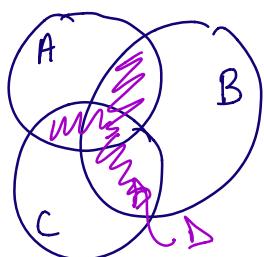
$$\begin{aligned} \mu(\hat{0}, \hat{0}) &= 1 \\ \mu(\hat{0}, A) &= -1 \\ \mu(\hat{0}, B) &= -1 \\ \mu(\hat{0}, C) &= -1 \\ \mu(\hat{1}, \hat{1}) &= 1 \end{aligned}$$

$$\begin{aligned} \mu(A, A) &= 1 \\ \mu(A, \hat{1}) &= -1 \\ \mu(B, B) &= 1 \\ \mu(B, \hat{1}) &= -1 \\ \mu(C, C) &= 1 \\ \mu(C, \hat{1}) &= -1 \end{aligned} \quad \begin{aligned} \mu(\hat{0}, \hat{1}) &= - \sum_{\substack{\hat{0} \leq t < \hat{1} \\ \uparrow}} \mu(\hat{0}, t) \\ &= - \left( \mu(\hat{0}, \hat{0}) + \mu(\hat{0}, A) + \mu(\hat{0}, B) + \mu(\hat{0}, C) \right) \\ &= - (1 + -3) = \boxed{2} \end{aligned}$$

Suppose we have set  $A, B, C$   
and suppose

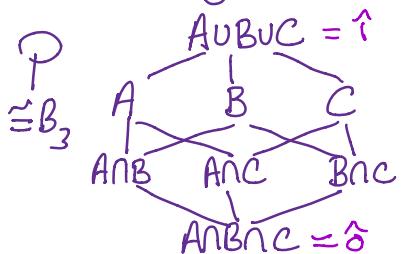
$$D = A \cap B = A \cap C = B \cap C.$$

Compute  $|A \cup B \cup C| = |A| + |B| + |C|$



$$\begin{aligned} &- |A \cap B| - |A \cap C| - |B \cap C| \\ &+ |A \cap B \cap C| \\ &= |A| + |B| + |C| - 3|D| + |D| \\ &= |A| + |B| + |C| - 2|D| \end{aligned}$$

Given finite sets  $A, B, C$ , let  $P$  be the poset on intersections ordered by containment. Empty intersection is  $A \cup B \cup C$ .



for  $T \in P$ , define functions:

$$g(T) = \#T \quad (\text{e.g. } g(\hat{1}))$$

$$f(T) = \#\{s \in T \mid t \notin T \text{ for any } T \subsetneq_p s\}$$

$$\text{Note: } g(T) = \sum_{s \leq t} f(s).$$



### Theorem (Möbius Inversion)

Let  $P$  poset s.t. every poi is finite. Let  $f, g: P \rightarrow K$ . Then

$$g(t) = \sum_{s \leq t} f(s) \quad \forall t \in P \text{ iff } f(t) = \sum_{s \leq t} g(s) \mu(s, t) \quad \forall t \in P$$

Return to example Compute  $g(\uparrow) = |A \cap B \cap C|$ .

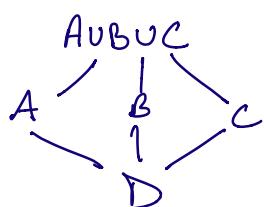
Know  $g(\tau) = \sum_{s \leq \tau} f(s)$ . By Möbius inversion

$$0 = f(\uparrow) = \sum_{\tau \in P} g(\tau) \mu(\tau, \uparrow)$$

$$0 = g(\uparrow) \mu(\uparrow, \uparrow) + \sum_{\tau < \uparrow} g(\tau) \mu(\tau, \uparrow)$$

$$g(\uparrow) = - \sum_{\tau < \uparrow} \# \tau \cdot \mu(\tau, \uparrow) \xrightarrow{\text{distinct } \tau < \uparrow} \underbrace{\{-1\}}_{\text{altsum of binom coeffs is 0.}}$$

TOP EX



$$\begin{aligned} |A \cup B \cup C| &= - \left( (-1)|A| + (-1)|B| + (-1)|C| \right. \\ &\quad \left. + (+2)|D| \right) \\ &= |A| + |B| + |C| - 2|D|. \end{aligned}$$

EXAMPLE Let  $P$  be  $\mathbb{N}$ . Compute  $\mu(i, j)$ .

4	$\mu(3, 5) = 0$
3	$\mu(3, 4) = -1$
2	$\mu(3, 3) = 1$
1	$\mu(2, 3) = -1$
0	$\mu(1, 3) = 0$
	$\mu(0, 3) = 0$

$$\mu(i, j) = \begin{cases} +1 & i = j \\ -1 & i+1 = j \\ 0 & \text{else} \end{cases}$$

$$g(n) = \sum_{i=0}^n f(i) \quad \forall n > 0$$

$$f(n) = g(n) - g(n-1) \quad \& \quad f(0) = g(0)$$