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2	https://academic.oup.com/gigascience/pages/technical_note
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4	Re-assembly, quality evaluation, and annotation of 678 microbial eukaryotic reference
5	transcriptomes
6	
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17 **Abstract** the frentence assently 18 (250 words maximum, separated into separate sections) 19 20 Background 21 De novo transcriptome assemblies are required prior to analyzing RNAseq data from a species without an existing reference. Despite its prevalence, there is a lack of consensus about the 22 effects of using different pipelines on the assemblies. To test this, an automated pipeline was 23 used to assemble and annotate raw data collected by the Marine Microbial Eukaryotic 24 25 Transcriptome Sequencing Project (MMETSP). Assemblies were evaluated and compared with additional 7 26 transcriptomes that were previously assembled with a different pipeline. 27 28 **Findings** New assemblies contained 70% of the previous contigs as well as new content, with 7.8% of the 29 annotated contigs identified by novel gene names compared to the previous assemblies. A higher 30 number of unique gene names in the new assemblies suggests an increase in genic content. 31 32 Assembly metrics varied by taxonomic group being assembled, with Dinophyta and Ciliophora groups demonstrating a higher percentage of open reading frames and number of contigs. 33 _ ve don4 do Ris, sight? 34 showing? 35 **Conclusions** Automated pipelines are useful for processing large sets of samples, making it convenient to add 36 additional samples and test different software tools. In addition, analyzing diverse sets of data 37 38 using a common workflow pipeline provides opportunity for identifying taxon-specific trends. 39 Streamlining workflows to re-assemble existing data in centralized and de-centralized 40 repositories with new tools can potentially yield novel and useful results for the community 41 using reference transcriptomes in downstream analyses. 42 43 1st conclusion: diff software -? Liff, better results.

Introduction

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- Reference transcriptomes are required for quantifying and profiling gene expression in biological 46
- 47 samples. When organisms lack a reference transcriptome or genome, raw RNA sequence data
- (RNAseq) must be assembled into a de novo transcriptome. This type of analysis is ubiquitous in 48
- many fields, including evolutionary developmental biology, cancer biology, agriculture. 49
- ecological physiology, and biological oceanography. In recent years, substantial investments 50
- 51 have been made in data generation, primary data analysis, and development of downstream
- 52 applications, such as biomarkers and diagnostic tools [1–6].
- 53 Methods for *de novo* RNAseq assembly continue to evolve rapidly, especially for non-model
- 54 species [7]. At this time, there are several major de novo transcriptome assembly software tools
- 55 available to choose from, including Trinity [8], SOAPdenovo-Trans [9], Trans-ABySS [10],
- 56 Oases [11]. SPAdes [12]. IDBA-tran [13], and Shannon [14]. The availability of these options
- 57 stems from continued research into the unique computational challenges associated with
- 58 transcriptome assembly, including large memory requirements, alternative splicing and allelic
- 59 variants [8,15].
- 60 With the continuous development of new tools, workflows, and increasing data generation
- capacity, there is often the opportunity to re-analyze old data with new tools. However, this is 61
- 62 rarely done systematically. To evaluate the performance impact of new tools on old data, we
- 63 developed and applied an automated, modularized and extensible de novo transcriptome
- 64 assembly workflow based on the Eel Pond Protocol. This workflow incorporates Trimmomatic,
- 65 digital normalization with khmer software, and Trinity [16].

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- 67 To evaluate this pipeline, we reanalyzed RNAseq data from 678 samples generated as part of the
- 68 Marine Microbial Eukaryotic Transcriptome Sequencing Project (MMETSP). The MMETSP
- 69 RNAseq data set was originally generated to facilitate the investigation of diverse marine
- 70 organisms that influence marine ecosystems and participate in biogeochemical cycling [17].
- With data from species spanning more than 40 eukaryotic phyla, the MMETSP provides one of 71
- 72 the largest publicly available RNAseq data sets. Moreover, the MMETSP used a standardized
- 73 library preparation procedure and all of the samples were sequenced at the same facility, making - Vased on alogs.
- 74 them unusually comparable.

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data sets

Reference transcriptomes for the MMETSP were originally assembled using a pipeline

developed by the National Center for Genome Research (NCGR) [10]. These transcriptomes

have already facilitated investigations in phylogenetic analyses [18], differential gene expression

79 [2], and inter-group comparisons [19]. The meta-omic approaches [20] developed have led to 80

interesting discoveries of gene diversity and evolutionary features [21–24].

82 In re-assembling the MMETSP data, we sought to compare and improve the original MMETSP reference transcriptomes and to create a platform which facilitates automated re-assembly and 83 84 evaluation. Below, we show that our re-assemblies had higher evaluation metrics, contained 85 most of the NCGR contigs as well as new content. Also, assemblies varied by taxonomic group 86 being assembled.

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Methods

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Automated Pipeline

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An automated pipeline was developed to execute the steps of the Eel Pond mRNAseg Protocol, a lightweight protocol for assembling RNA-seq reads that uses the Trinity de novo transcriptome assembler [16]. This protocol generates de novo transcriptome assemblies of acceptable quality [1]. The pipeline was used to assemble all of the data from the MMETSP (Figure 1). The code and instructions for the pipeline are available at https://doi.org/10.5281/zenodo.249982.

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The steps of the pipeline applied to the MMETSP are as follows:

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1. Download the raw data

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- 102 Raw RNA-seq data sets were obtained from the National Center for Biotechnology Information 103 (NCBI) Sequence Read Archive (SRA) from BioProject PRJNA231566. Data were paired-end 104 (PE) Illumina reads with lengths of 50 bases for each read. The metadata file (SraRunInfo.csv; 105 Supplemental) obtained from the SRA web interface was used to provide a list of samples to the 106 get data.py pipeline script to download and extract fastq files from 719 records. The script uses 107 the fastq-dump program from the SRA Toolkit to extract the SRA-formatted fastq files (version 108 2.5.4) [25]. There were 18 MMETSP samples with more than one SRA record (MMETSP0693, 109 MMETSP1019, MMETSP0923, MMETSP0008, MMETSP1002, MMETSP1325, MMETSP1018, MMETSP1346, MMETSP0088, MMETSP0092, MMETSP0717,
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- 111 MMETSP0223, MMETSP0115, MMETSP0196, MMETSP0197, MMETSP0398,
- 112 MMETSP0399, MMETSP0922). In these cases, reads from multiple SRA records were
- 113 concatenated together per sample. Taking these redundancies into consideration, there were a
- 114 total of 678 re-assemblies generated from the 719 records in PRJNA231566.

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- 116 Initial assemblies were run by the National Center for Genome Resources (NCGR) using 117 methods and data described in the original publication [10]. These transcriptomes were 118 downloaded from the iMicrobe repository to compare with our re-assemblies:
- 119 https://imicrobe.us/project/view/104

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121 2. Perform quality control

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122 Reads were analyzed with fastOC (version 0.11.5) and multige (version 1.2) [26] to confirm 123 overall qualities before and after trimming. A conservative trimming approach was used [27] 124 with Trimmomatic (version 0.33) [28] to remove residual Illumina adapters and cut bases off the 125 126 start (LEADING) and end (TRAILING) of reads if they are below a threshold Phred quality 127 score (Q<2). were 128 129 3. Apply digital normalization 130 131 To decrease the memory requirements for each assembly, reads were interleaved, normalized to a k-mer coverage of 20 and a memory size of 4e9, then low-abundance k-mers were trimmed. 132 Orphaned reads, where the mated pair was removed during normalization, were included in the 133 134 assembly. 135 136 4. Assemble 137 138 Transcriptomes were assembled from normalized reads with Trinity 2.2.0 using default 139 parameters. 140 141 The resulting assemblies are referred to below as the "Lab for Data Intensive Biology" assemblies, or DIB. The original assemblies are referred to as the NCGR assemblies. 142 143 144 5. Post-assembly assessment 145 146 Transcriptomes were annotated using the dammit pipeline (Scott 2016), which relies on the 147 following databases as evidence: Pfam-A [32], Rfam [33], OrthoDB [34]. In the case where there 148 were multiple database hits, one gene name was selected for each contig by selecting the name of 149 the lowest e-value match (<1e-05). 150 151 All assemblies were evaluated using metrics generated by the Transrate program [35]. Trimmed 152 reads were used to calculate a Transrate score for each assembly, which represents the geometric 153 mean of all contig scores multiplied by the proportion of input reads providing positive support 154 for the assembly [35]. Comparative metrics were calculated using Transrate for each MMETSP sample between DIB and the NCGR assemblies using the Conditional Reciprocal Best BLAST 155 156 hits (CRBB) algorithm [36]. A forward comparison was made with the NCGR assembly used as 157 the reference and each DIB assembly as the guery. Reverse comparative metrics were calculated 158 with each DIB assembly as the reference and the NCGR assembly as the guery.

160 161	Benchmarking Universal Single-Copy Orthologs (BUSCO) software (version 2) was used with a database of 234 orthologous genes specific to protistans with open reading frames in the	•
162163	assemblies. BUSCO scores are frequently used as one measure of assembly completeness [37].	
164	To assess the occurrences of fixed-length words in the assemblies, unique 25-mers were	
165	measured in each assembly using the HyperLogLog estimator of cardinality built into the khmer	
166	software package [38]. Unique gene names were compared from a random subset of 296 samples	
167	using the dammit annotation pipeline (Scott 2016). If a gene name was annotated in NCGR but	L
168	not in DIB, this was considered a gene uniquely annotated in NCGR. Unique gene names were	
169	normalized to the total number of annotated genes in each assembly.	
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171	A Tukey's honest significant different (HSD) range test of multiple pairwise comparisons was	
172	used to measure differences between distributions of data from the top seven most-represented	
173	phyla using the 'agricolae' package version 1.2-8 in R version 3.4.2 (2017-09-28). Margins	L
174	sharing a letter in the group label are not significantly different at the 5% level (Figure 8).	
175	Averages are reported \pm standard deviation.	
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177	Results	
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179	The DIB assemblies consistently ranked higher in evaluation metrics.	
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181	The majority of transcriptome evaluation metrics collected for each sample were higher in	
182	Trinity-based DIB assemblies than for the ABySS-based NCGR assemblies (Table 1 and	
183	Supplement 1, Table 1).	
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185	DIB assemblies had more contigs than the NCGR assemblies in 83.5%% of the samples (Table	
186	1). The mean number of contigs in the DIB re-assemblies was $43,882 \pm 26,116$ while the mean	
187	number of contigs in the NCGR assemblies was $30,179 \pm 21,341$ (Figure 2). A two-sample	
188	Kolmogorov-Smirnov test comparing distributions indicated that the number of contigs were	
189	significantly different between DIB and NCGR assemblies ($p < 0.001$, $D = 0.29793$). Moreover,	
190	the Transrate scores [35], which represents of the DIB assemblies were higher. The mean	
191	Transrate score of all the DIB re-assemblies, 0.31 ± 0.1 , was significantly higher than the mean	
192 193	score of the NCGR assemblies, 0.22 ± 0.09 (p < 0.001, D = 0.48827) (Figure 2).	
193 194	The DID assemblies contained most of the NCCD contigs as well as new content	
194	The DIB assemblies contained most of the NCGR contigs as well as new content	
193 196	A conditional reciprocal best BLAST (CRBB) hit is indicative of sequence containment between	
190	assemblies. A positive CRBB result indicates that one assembly contains the same contig	
198	information as the other. Thus, the proportion of positive CRBB hits can be used as a scoring	
199	metric to compare the relative similarity of content between two assemblies. For example,	
1))	means to compare the relative similarity of content between two assembles. For example,	

MMETSP0949 (Chattonella subsalsa) had 39,051 contigs and a CRBB score of 0.70968 in the

DIB assembly whereas in the NCGR assembly of the same sample had 18.873 contigs and a CRBB score of 0.33933. This indicated that 70.968% of the reference of DIB was covered by the NCGR assembly, whereas in the reverse alignment, the NCGR reference assembly was only covered by 33.933% of the DIB assembly. Extra content was in the DIB assembly that was not in the NCGR. The mean CRBB score in DIB when queried against NCGR as a reference was 0.70 \pm 0.28, while the mean proportion for NCGR assemblies queried against DIB re-assemblies was 0.49 ± 0.09 (p < 0.001, D = 0.7616) (Figure 3). This indicates that more contigs from the NCGR assemblies were included in the DIB assemblies than vice versa, and also suggests that the DIB assemblies overall have additional content. This finding is reinforced by higher unique k-mer content found in the DIB assemblies compared to NCGR, where 84.4% of the samples fall above the 1:1 expectation indicating more unique k-mers in the DIB re-assemblies compared to NCGR assemblies (Figure 4).

To investigate whether the new sequence content was genuine, we examined two different metrics that take into account the biological quality of the assemblies. First, the estimated content of open reading frames (ORFs), or coding regions, across contigs was quantified. Though DIB re-assemblies had more contigs, the ORF content is similar to the original assemblies, with a mean of $81.9\% \pm 9.68$ ORF content in DIB assemblies and $76.79\% \pm 10.2$ ORF content in the NCGR assemblies. Nonetheless, ORF content in DIB assemblies was slightly higher than NCGR assemblies for 95% of the samples (Figure 5 - left). Secondly, when the assemblies were queried against the BUSCO database [37], the percentages of BUSCO matches in the DIB re-assemblies $(61.4\% \pm 0.20)$ were not significantly different compared to the original NCGR assemblies $(60\% \pm 0.19)$ (p = 0.2096, D = 0.058348) (Figure 5 - right). Thus, although the number of contigs and amount of content was increased in the DIB assemblies compared to the NCGR assemblies, the ORF content and contigs matching with the BUSCO database did not decrease, suggesting that the extra content might be biologically meaningful.

Following annotation by the dammit pipeline (Scott 2016), $91\% \pm 1.58$ of the contigs in the DIB assemblies had positive matches with sequence content in the databases queried (Pfam, Rfam, and OrthoDB), with $48\% \pm 0.87$ of those containing unique gene names (the remaining are fragments of the same gene). Of those annotations, $7.8\% \pm 0.19$ were identified as novel compared to the NCGR assemblies, determined by a "false" CRBB result (Figure 6). Additionally, the number of unique gene names in DIB assemblies were higher than in NCGR, suggesting an increase in genic content (Figure 7).

Novel contigs in the DIB assemblies likely represent a combination of unique annotations, allelic variants and alternatively spliced isoforms. For example, "F0XV46_GROCL", "Helicase_C", "ODR4-like", "PsaA_PsaB", and "Metazoa_SRP" are novel gene names annotated in the DIB assembly of the sample MMETSP1473 (*Stichococcus* sp.) that are absent in the NCGR assembly of this same sample. White other gene names, for example "Pkinase Tyr", "Bromodomain", and

"DnaJ", have positive annotation matches in the NCGR assembly and in the contigs identified as novel in the DIB assembly of sample MMETSP1473.

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Assembly metrics varied by taxonomic group being assembled.

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To examine systematic taxonomic differences in the assemblies, several different metrics for content and assembly quality were assessed (Figure 8). Metrics were grouped by the top seven most represented phyla in the MMETSP data set as follows: Bacillariophyta (N=193), Dinophyta (N=128), Ochrophyta (N=78), Haptophyta (N=63), Chlorophyta (N=62), Ciliophora (N=31), Cryptophyta (orange, N=22). While there were no differences between the phyla in the number of input reads (Figure 8 A), the Dinoflagellates (Dinophyta) had higher ORF percentages and more contigs than other groups (Figure 8 B, C). Assemblies from Ciliates (Ciliophora) had lower unique k-mers (Figure 8 D). Kach fine your
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Discussion

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Transcriptomics has been embraced across many fields. Though widely used, assembly of transcriptomes is typically performed on a small scale for one or a few species at a time. Taking a more holistic approach with a taxonomically-diverse dataset with automated tools such as the pipeline presented here, the reference transcriptorne assemblies were improved for these species and broad scale phylogenetic trends were identified. DIMIT - TONLESION

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DIB assemblies contained the majority of the previously-assembled contigs.

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We used a different pipeline than the original one used to create the NCGR assemblies, in part because new software was available [8] and in part because of new trimming guidelines [27]. We had no a priori expectation that the results would be similar, yet we found that in the majority of cases the new DIB assemblies included substantial portions of the previous NCGR assemblies. Moreover, both the fraction of contigs with ORFs and the mean percentage of BUSCO matches were similar between the two assemblies, suggesting that both pipelines yielded equally valid contigs, even though the NCGR assemblies were less sensitive. Similarly of The results

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Reassembly with new tools can yield new results

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Evaluation with several different quality metrics suggested that the DIB assemblies were somewhat more inclusive than the NCGR assemblies. In addition to containing more contigs and being more inclusive of the NCGR assemblies than vice versa, the DIB assemblies had significantly higher Transrate scores, indicating better overall read inclusion in the assembled contigs. The DIB assemblies typically contained more k-mers, more annotated transcripts, and more unique gene names than the NCGR assemblies. These points all suggest that the additional content assembled with the DIB pipeline might be biologically meaningful. Further

investigations into this content might be biologically meaningful, given the diversity of enkaryotic lineages that were sequenced in this project (Caron et al. 2017).

The evaluation metrics described here serve as a framework for better contextualizing the quality of protistan transcriptomes. For some species/strains in the MMETSP data set, these data represent the first nucleic acid sequence information available [17]. More reference data sets are needed to expand the range of known genes and functions available in protistan organisms [45].

Automated pipelines can be used to process arbitrarily many RNAseq samples

The automated and modularized nature of this pipeline is useful for processing large data sets like the MMETSP, and it allows for batch processing of the entire collection, including reanalysis when new tools become available (see op-ed Alexander et al. 2018). During the course of this project, we ran four entire re-assemblies of the entire MMETSP data set as versions of the component tools were updated. Each re-analysis required only a single command, and approximately half a CPU-year of compute. The value of automation is obvious when new data sets become available, tools are updated, or many tools are compared in benchmark studies. Despite this, few assembly efforts completely automate their process, perhaps because the upfront cost of doing so is high compared to the size of the dataset typically being analyzed.

Analyzing many samples using a common pipeline identifies taxon-specific trends

The MMETSP dataset presents an opportunity to examine transcriptome qualities for hundreds of taxonomically diverse set of species that span a wide array of protistan lineages. This is among the largest set of diverse RNAseq data to be examined. In comparison, the Assemblathon2 project compared genome assembly pipelines using data from three vertebrate species [45]. The BUSCO paper assessed 70 genomes and 96 transcriptomes representing groups of diverse species (vertebrates, arthropods, other metazoans, fungi) [37]. Other benchmarking studies have examined transcriptome qualities for samples representing dozens of species from different taxonomic groupings [40,42].

Assembly evaluation tools yielded results outside the range of what is normal for some organisms, e.g. the case of low ORF predictions in Ciliophora. It has recently been found that ciliates have an alternative triplet codon dictionary, with codons normally encoding STOP serving a different purpose [21–23]. In addition, Dinophyta demonstrated a significantly higher number of unique *k*-mers and total contigs in assemblies. Such a finding supports previous evidence from studies that large gene families are constitutively expressed in Dinophyta [46]. In future development of *de novo* transcriptome assembly software, the incorporation of phylum-specific information may be useful in improving the overall quality of assemblies for different taxa. Phylogenetic trends are important to consider in the assessment of transcriptome quality.

given that the assemblies from Dinophyta and Ciliophora are distinguished from other assemblies by some metrics.

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Conclusion

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- 327 As the rate of sequencing data generation continues to increase, efforts to facilitated automated 328 processing and evaluation of such data are increasingly important. This study has demonstrated
- 329 that re-analyzing old data with new tools and methods improves the quality of reference
- 330 transcriptome assemblies and expands the gene catalogue of the dataset. Notably, these
- 331 improvements arose without further experimentation or sequencing. Automation tools were key
- 332 in successfully processing and analyzing this large collection of 678 samples, allowing taxon-
- 333 specific features to be identified because the pipelines were processing all samples together.
- 334 With the growing volume of nucleic acid data in centralized and de-centralized repositories,
- 335 streamlining methods into pipelines such as this can not only assist with the reproducibility of
- 336 the analysis, but can help to identify features among diverse taxa from large collections of
- 337 samples, showing that new and useful information can be discovered from re-analysis of existing data

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340 341

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