

# Chapter 6

# Relational Calculus



KHOA CÔNG NGHỆ THÔNG TIN  
TRƯỜNG ĐẠI HỌC KHOA HỌC TỰ NHIÊN



# Content

- ☐ Introduction
- ☐ Tuple Relational Calculus (TRC)
- ☐ Domain Relational Calculus (DRC)



# Introduction

- Is the formal query language
- Introduced by Codd in 1972, “Data Base Systems”, Prentice Hall, p33-98
- Properties
  - ▣ Nonprocedural language – declarative language
    - Calculus expression specifies *what is to be retrieved* rather than *how to retrieve*
  - ▣ *One declarative expression to specify a retrieval request*
    - *There is no description of how to evaluate query*
  - ▣ *A calculus expression may be written in different way*
    - *The way it is written has no bearing on how a query should be evaluated*



# Introduction

- Categories
  - **Tuple relational calculus – TRC**
    - SQL
  - Domain relational calculus – DRC
    - QBE (Query By Example)
    - DataLog (Database Logic)



# Content

- ☐ Introduction
- ☐ **Tuple relational calculus**
- ☐ Domain relational calculus



# Tuple relational calculus – TRC

- A simple tuple calculus query is of the form

$$\{ t.A \mid P(t) \}$$

- $t$  is a tuple variable
  - Its value is any individual tuple from a relation
  - $t.A$  is a value of a tuple  $t$  at an attribute  $A$
- $|$  (vertical bar) is used to divide the query into two parts:
  - $P$  is a conditional expression involving  $t$ 
    - $P(t)$  has the TRUE or FALSE value depending on  $t$
  - The result is the set of all tuples  $t$  that satisfy  $P(t)$

# Example 1

- Find employees whose salary is larger than 30000

$$\{ t \mid \underbrace{t \in \text{EMPLOYEE}}_{P(t)} \wedge \underbrace{t.\text{SALARY} > 30000}_{P(t)} \}$$

- $t \in \text{EMPLOYEE} : \text{TRUE}$

- If  $t$  is an instance of relation EMPLOYEE

- $t.\text{SALARY} > 30000 : \text{TRUE}$

- If the attribute SALARY of tuple  $t$  has a value being larger than 30000

- The result is all tuples  $t$  which satisfy:

- $t \in \text{EMPLOYEE}$  and  $t.\text{SALARY} > 30000$



## Example 2

- Retrieve the SSN and first name of employees whose salary is larger than 30000

$\{ t.\text{SSN}, t.\text{FNAME} \mid t \in \text{EMPLOYEE} \wedge t.\text{SALARY} > 30000 \}$

- The set of SSNs and first names of employees of tuples  $t$  such that  $t$  are instances of EMPLOYEE and their values are larger than 30000 at the attribute SALARY



## Example 3

- Find employees (SSN) who work for the department 'Nghien cuu'

$t.SSN \mid t \in \text{EMPLOYEE}$

$s \in \text{DEPARTMENT} \wedge s.DNAME = \text{'Nghien cuu'}$

- Select tuples  $t$  that belong to relation *EMPLOYEE*
- Compare  $t$  to a certain tuple  $s$  to find employees working for the department 'Nghien cuu'
- Use the existential quantifier

$\exists t \in R (Q(t))$

Existing a tuple  $t$  of the relation  $R$  such that the expression  $Q(t)$  is TRUE  $\rightarrow$  the result of the existential quantifier is TRUE

# Example 3

- Find employees (SSN) who work for the department 'Nghien cuu'

$$\{ t.SSN \mid t \in \text{EMPLOYEE} \wedge \\ \exists s \in \text{DEPARTMENT} ( \\ \text{s.DNAME} = \text{'Nghien cuu'} \wedge \\ \text{s.DNUMBER} = t.DNO ) \}$$

Q(s)

# Example 4

- Find employees (FNAME) who work on projects or who have dependents

$$\{ t.FNAME \mid t \in \text{EMPLOYEE} \wedge ($$
$$\exists s \in \text{WORKS\_ON} (t.SSN = s.ESSN) \vee$$
$$\exists u \in \text{DEPENDENT} (t.SSN = u.ESSN)) \}$$

## Example 5

- Retrieve the FNAME of employees who participate in projects and have dependents

$$\{ t.FNAME \mid t \in \text{EMPLOYEE} \wedge ($$
$$\exists s \in \text{WORKS\_ON} (t.SSN = s.ESSN) \wedge$$
$$\exists u \in \text{DEPENDENT} (t.SSN = u.ESSN)) \}$$

## Example 6

- Find the FNAME of employees who work on projects and have no dependents

$$\{ t.FNAME \mid t \in \text{EMPLOYEE} \wedge$$
$$\exists s \in \text{WORKS\_ON} (t.SSN = s.ESSN) \wedge$$
$$\neg \exists u \in \text{DEPENDENT} (t.SSN = u.ESSN) \}$$

## Example 7

- For each project in 'TP HCM', find the project number, the department number that controls the project and the FNAME of the manager

$$\{ s.PNUMBER, s.DNUM, t.FNAME \mid s \in PROJECT \wedge t \in EMPLOYEE \wedge$$
$$s.PLOCATION = 'TP HCM' \wedge \exists u \in DEPARTMENT$$
$$(u.DNUMBER = s.DNUM \wedge$$
$$u.MGRSSN = t.SSN) \}$$

# Example 8

- Find employees (SSN) who work on all projects
- Use the universal quantifier

$$\forall t \in R (Q(t))$$

If Q is TRUE with all tuples t of relation R, the universal quantifier is TRUE; otherwise FALSE.



## Example 8a

- Find employees whose salary is highest.

$$\{ t.\text{SSN}, t.\text{LNAME}, t.\text{FNAME} \mid t \in \text{EMPLOYEE} \wedge \\ \forall e \in \text{EMPLOYEE} (t.\text{Salary} \geq e.\text{Salary}) \}$$



## Example 8

- Find employees (SSN, FNAME, LNAME) who work on all projects

$$\{ t.\text{SSN}, t.\text{LNAME}, t.\text{FNAME} \mid t \in \text{EMPLOYEE} \wedge \\ \forall s \in \text{PROJECT} ( \exists u \in \text{WORKS\_ON} ( \\ u.\text{PNO} = s.\text{PNUMBER} \wedge \\ u.\text{ESSN} = t.\text{SSN} )) \}$$

# Example 9

- Find employees (SSN, LNAME, FNAME) who work on all projects controlled by the department 4

$$\{ t.SSN, t.LNAME, t.FNAME \mid t \in \text{EMPLOYEE} \wedge$$

$$\forall s \in \text{PROJECT} ($$

$$s.DNUM = 4 \wedge ( \exists u \in \text{WORKS\_ON} ($$

$$u.PNO = s.PNUMBER \wedge$$

$$u.ESSN = t.SSN ))) \}$$



# Example 9

- Find employees (SSN, LNAME, FNAME) who work on all projects controlled by the department 4
- Use the “implies” operator

$$P \Rightarrow Q$$

If P then Q

# Example 9

- Find employees (SSN, LNAME, FNAME) who work on all projects controlled by the department 4

$$\{ t.SSN, t.LNAME, t.FNAME \mid t \in \text{EMPLOYEE} \wedge$$

$$\forall s \in \text{PROJECT} ($$

$$s.DNUM = 4 \Rightarrow ( \exists u \in \text{WORKS\_ON} ($$

$$u.PNO = s.PNUMBER \wedge$$

$$u.ESSN = t.SSN ))) \}$$

## Example 9 – Solution 2

- Find employees (SSN, LNAME, FNAME) who work on all projects controlled by the department 4

$$\{ t.SSN, t.LNAME, t.FNAME \mid t \in \text{EMPLOYEE} \wedge$$

$$\forall s \in \text{PROJECT} ($$

$$s.DNUM \neq 4 \vee ( \exists u \in \text{WORKS\_ON} ($$

$$u.PNO = s.PNUMBER \wedge$$

$$u.ESSN = t.SSN ))) \}$$



## Example 10

- ☐ a. Find employees whose salary is larger than at least one employee of department 4.
- ☐ b. Find employees whose salary is larger than all employees of department 4.

# Formal definition

- A general expression is of the form

$$\{ t_1.A_i, t_2.A_j, \dots, t_n.A_m \mid P(t_1, t_2, \dots, t_n, \dots, t_{n+m}) \}$$

- $t_1, t_2, \dots, t_n$  are tuple variables
- $A_i, A_j, \dots, A_m$  are attributes of tuples  $t$
- $P$  is a condition or well-formed formula
  - $P$  is made up of predicate calculus atoms

# Tuple variable

## □ Free variable

$$\{ t \mid t \in \text{EMPLOYEE} \wedge t.\text{SALARY} > 30000 \}$$

  $t$  is a free variable

## □ Bound variable

$$\{ t \mid t \in \text{EMPLOYEE} \wedge \exists s \in \text{DEPARTMENT} (s.\text{DNUMBER} = t.\text{PNO}) \}$$

 Free variable

 Bound variable



# Atoms

- (i)  $t \in R$ 
  - $t$  is a tuple variable
  - $R$  is a relation

$t \in \text{EMPLOYEE}$

- (ii)  $t.A \theta s.B$ 
  - $A$  is an attribute of the tuple variable  $t$
  - $B$  is an attribute of the tuple variable  $s$
  - $\theta$  is comparison operators, eg.  $<, >, \leq, \geq, \neq, =$

$t.\text{SSN} = s.\text{ESSN}$

- (iii)  $t.A \theta c$ 
  - $C$  is a constant
  - $A$  is an attribute of the tuple variable  $t$
  - $\theta$  is comparison operators, eg.  $<, >, \leq, \geq, \neq, =$

$t.\text{SALARY} > 30000$

# Atoms

- Each of atoms evaluates to either TRUE or FALSE for a specific combination of tuples
- Formula (i)  $t \in R$ 
  - TRUE value if  $t$  is a tuple of the specified relation  $R$
  - FALSE value if  $t$  does not belong to  $R$

<b>R</b>	<b>A</b>	<b>B</b>	<b>C</b>
	$\alpha$	10	1
	$\alpha$	20	1

$t1 = \langle \alpha, 10, 1 \rangle$

$t1 \in R$  has the TRUE value

$t2 = \langle \alpha, 20, 2 \rangle$

$t2 \in R$  has the FALSE value

# Atoms

- Formula (ii)  $t.A \theta s.B$  and (iii)  $t.A \theta c$
- If the tuple variables are assigned to tuples such that they satisfy the condition, then the atom is TRUE

<b>R</b>	A	B	C
	$\alpha$	10	1
	$\alpha$	20	1

If  $t$  is the tuple  $\langle \alpha, 10, 1 \rangle$

Then  $t.B > 5$  has the TRUE value ( $10 > 5$ )

# Rules

- (1) Every atom is formula
- (2) If  $P$  is a formula then
  - $\neg P$  is a formula
  - $(P)$  is a formula
- (3) If  $P_1$  and  $P_2$  are formulas then
  - $P_1 \vee P_2$  is a formula
  - $P_1 \wedge P_2$  is a formula
  - $P_1 \Rightarrow P_2$  is a formula

# Rules

- (4) If  $P(t)$  is a formula then
  - $\forall t \in R (P(t))$  is a formula
    - TRUE when  $P(t)$  is TRUE for all tuples in  $R$
    - FALSE when there is one tuple that makes  $P(t)$  FALSE
  - $\exists t \in R (P(t))$  is a formula
    - TRUE when there exists some tuple that makes  $P(t)$  TRUE
    - FALSE when  $P(t)$  is FALSE for all tuples  $t$  in  $R$

# Rules

- (5) If  $P$  is an atom then
  - Tuple variables  $t$  in  $P$  are free variables
  
- (6) Formulas  $P = P_1 \wedge P_2$  ,  $P = P_1 \vee P_2$  ,  $P = P_1 \Rightarrow P_2$ 
  - A variable  $t$  in  $P$  is free or bound variable will depends on its role in  $P_1$  and  $P_2$

# Transform

- ☐ (i)  $P1 \wedge P2 = \neg (\neg P1 \vee \neg P2)$
- ☐ (ii)  $\forall t \in R (P(t)) = \neg \exists t \in R (\neg P(t))$
- ☐ (iii)  $\exists t \in R (P(t)) = \neg \forall t \in R (\neg P(t))$
- ☐ (iv)  $P \Rightarrow Q = \neg P \vee Q$

# Safe expression

## ☐ Examine

$$\{ t \mid \neg(t \in \text{EMPLOYEE}) \}$$

## ☐ Unsafe

- ☐ Many tuples in the universe that are not EMPLOYEE tuples
- ☐ Even though they do not exist in the database
- ☐ The result is infinitely numerous



# Safe expression

- Safe expression
  - Guarantee to yield *a finite number of tuples*
  
- A formula  $P$  is called safe expression
  - If its resulting values are from the domain of  $P$ 
    - The domain of a tuple relational calculus expression:  $DOM(P)$
    - The set of all values
      - Either appear as constant values in  $P$
      - Or exist in any tuple in the relation referenced in  $P$

# Safe expression

## □ Example

$$\{ t \mid t \in \text{EMPLOYEE} \wedge t.\text{SALARY} > 30000 \}$$

- $\text{DOM}(t \in \text{EMPLOYEE} \wedge t.\text{SALARY} > 30000)$
- The set of values
  - Larger than 30000 at the attribute SALARY
  - Other values at the remaining attributes that appear in EMPLOYEE
- Safe expression



# Content

- ☐ Introduction
- ☐ Tuple relational calculus
- ☐ **Domain relational calculus**

# Domain relational calculus

- An expression of the domain calculus is of the form

$$\{ x_1, x_2, \dots, x_n \mid P(x_1, x_2, \dots, x_n) \}$$

- $x_1, x_2, \dots, x_n$  are domain variables
  - Accepting single values from the domain of attributes
- $P$  is a formula of variables  $x_1, x_2, \dots, x_n$ 
  - $P$  is formed from atoms
- The result
  - The set of values such that when assigned to variables  $x_i$ , they make  $P$  TRUE

# Example 1

□ Find employees whose salary is larger than 30000

$$\{ r, s \mid \exists x ($$
$$\langle p, q, r, s, t, u, v, x, y, z \rangle \in \text{EMPLOYEE} \wedge$$
$$x > 30000 ) \}$$

## Example 3

- Find employees (SSN) who work for the department 'Nghien cuu'

$$\{ s \mid \exists z ($$
$$\langle p, q, r, s, t, u, v, x, y, z \rangle \in \text{EMPLOYEE} \wedge$$
$$\exists a, b ( \langle a, b, c, d \rangle \in \text{DEPARTMENT} \wedge$$
$$a = \text{'Nghien cuu'} \wedge b = z ) ) \}$$

# Example 10

- Find employees (SSN, LNAME, FNAME) who have no dependents

$$\{ p, r, s \mid \exists s ($$
$$\langle p, q, r, s, t, u, v, x, y, z \rangle \in \text{EMPLOYEE} \wedge$$
$$\neg \exists a ( \langle a, b, c, d, e \rangle \in \text{DEPENDENT} \wedge a = s ) ) \}$$

# Atoms

- ☐ (i)  $\langle x_1, x_2, \dots, x_n \rangle \in R$ 
  - ☐  $x_i$  is a domain variable
  - ☐  $R$  is a relation with  $n$  attributes
  
- ☐ (ii)  $x \theta y$ 
  - ☐  $x, y$  are domain variables
  - ☐ Domains of  $x$  and  $y$  are identical
  - ☐  $\theta$  is comparison operators, eg.  $<, >, \leq, \geq, \neq, =$
  
- ☐ (iii)  $x \theta c$ 
  - ☐  $c$  is a constant
  - ☐  $x$  is a domain variable
  - ☐  $\theta$  is comparison operators, eg.  $<, >, \leq, \geq, \neq, =$



# Discussion

- Atoms evaluate to either TRUE or FALSE for a set of values
  - ▣ Called the truth values of the atoms
  
- Rules and transforms are in the similar way to the tuple calculus

# Safe expression

## ☐ Examine

$\{ p, r, s \mid \neg (<p, q, r, s, t, u, v, x, y, z> \in \text{EMPLOYEE}) \}$

- ☐ Values in the result do not belong to the domain of the expression
- ☐ Unsafe

# Safe expression

## □ Examine

$$\{ x \mid \underbrace{\exists y (<x, y> \in R)}_{\text{Formula 1}} \wedge \underbrace{\exists z (\neg <x, z> \in R \wedge P(x, z))}_{\text{Formula 2}} \}$$

Formula 1

Formula 2

- $R$  is a relation with a finite number of values
- We also have a finite number of values that does not belong to  $R$
- Formula 1: examine values in  $R$  only
- Formula 2: could not validate cause we do not know the finite number of values of variable  $z$

# Safe expression

## □ Expression

$$\{ x_1, x_2, \dots, x_n \mid P(x_1, x_2, \dots, x_n) \}$$

is safe if :

- Values that appear in tuples of the expression must belong to the domain of  $P$
- $\exists$  quantifiers: expression  $\exists x (Q(x))$  is TRUE iff
  - Values of  $x$  belong to  $\text{DOM}(Q)$  and make  $Q(x)$  TRUE
- $\forall$  quantifiers: expression  $\forall x (Q(x))$  is TRUE iff
  - $Q(x)$  is TRUE for all values of  $x$  belonging to  $\text{DOM}(Q)$

