

SAT: Z3 Exercises

Constraint Embeddings

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Cardinality Constraints

Cardinality Constraints

In most of the exercises we solved we found a cardinality constraint.

Cardinality constraints are the ones in the form:

$$x_1 + \dots + x_n \leq k$$

Cardinality Constraints

In sat these constraints are represented by:

- `at_least_one`, `at_most_one` and `exactly_one`;
- `at_least_k`, `at_most_k` and `exactly_k`.

The encodings we presented can be very inefficient with big instances, so we need to find better ones.

Cardinality Constraints

Keeping in mind that:

- $\text{at_least_k}([x_1, \dots, x_n], k) \equiv \text{at_most_k}(\{\neg x_i \mid x_i \in [x_1, \dots, x_n]\}, n - k)$
- $\text{exactly_k}([x_1, \dots, x_n]) \equiv \text{at_most_k}([x_1, \dots, x_n]) \wedge \text{at_least_k}([x_1, \dots, x_n])$

We are going to focus just on the `at_most_k` constraint.



At Most One

Pairwise Encoding

The pairwise(or naive) encoding of the at_most_one constraint is:

$$\bigwedge_{1 \leq i < n} \bigwedge_{i+1 \leq j \leq n} \neg(x_i \wedge x_j)$$

This encoding doesn't require the addition of any new variables, but it encodes $O(n^2)$ clauses.

Sequential Encoding

The sequential encoding of the `at_most_one` constraint consists of using $n - 1$ variables s_i to keep track of which x_i is *true*, it is encoded as follows:

$$(\neg x_1 \vee s_1) \wedge (\neg x_n \vee \neg s_{n1}) \wedge \bigwedge_{1 < i < n} ((\neg x_i \vee s_i) \wedge (\neg s_{i1} \vee s_i) \wedge (\neg x_i \vee \neg s_{i1}))$$

This encoding produces $O(n)$ clauses.

Bitwise Encoding

The bitwise encoding of the at_most_one constraint consists of using $m = \log_2(n)$ new variables r_1, \dots, r_m to represent the binary encoding of $i - 1$, so it is encoded like:

$$\bigwedge_{1 \leq i \leq n} \bigwedge_{1 \leq j \leq m} \neg x_i \vee r_{i,j} [\neg r_{i,j}]$$

Where $r_{i,j} [\neg r_{i,j}]$ if bit j of the binary encoding of $i - 1$ is 1[0].

This encoding produces $n \log_2(n)$ clauses.

Heule Encoding

The Heule encoding is another linear version of the at_most_one consisting of splitting the encoding into two parts:

When $n > 4$ we add an auxiliary variable y such that:

$$\text{at_most_one}(x_1, \dots, x_3, y) \wedge$$

$$\text{at_most_one}(\neg y, x_4, \dots, x_n)$$

When $n \leq 4$:

$$\bigwedge_{1 \leq i < j \leq n} \neg(x_i \wedge x_j)$$

This encoding requires the addition of $\frac{(n-3)}{2}$ new variables, but it encodes $3n - 6$ clauses.



At Most K

Pairwise Encoding

The pairwise(or naive) encoding of the at_most_k constraint is:

$$\text{at_most_k}([x_1, \dots, x_n], k) \equiv \bigwedge_{M \subseteq \{1, \dots, n\}} \bigvee_{i \in M} \neg x_i$$

This encoding doesn't require the addition of any new variables, but it encodes $\binom{k}{n-1}$ clauses of length $k + 1$, with $|M| = k + 1$.

Sequential Encoding

The sequential encoding of the `at_most_k` constraint consists of using $(n - 1) \times k$ variables $s_{i,j}$ to keep track of which x_i is *true*, and what sum j is reached at index i . it is encoded as follows:

$$\left. \begin{array}{l} (\neg x_1 \vee s_{1,1}) \\ (\neg s_{1,j}) \quad \text{for } 1 < j \leq k \\ (\neg x_i \vee s_{i,1}) \\ (\neg s_{i-1,1} \vee s_{i,1}) \\ (\neg x_i \vee \neg s_{i-1,j-1} \vee s_{i,j}) \\ (\neg s_{i-1,j} \vee s_{i,j}) \\ (\neg x_i \vee \neg s_{i-1,k}) \\ (\neg x_n \vee \neg s_{n-1,k}) \end{array} \right\} \text{for } 1 < j \leq k \quad \left. \vphantom{\begin{array}{l} (\neg x_1 \vee s_{1,1}) \\ (\neg s_{1,j}) \quad \text{for } 1 < j \leq k \\ (\neg x_i \vee s_{i,1}) \\ (\neg s_{i-1,1} \vee s_{i,1}) \\ (\neg x_i \vee \neg s_{i-1,j-1} \vee s_{i,j}) \\ (\neg s_{i-1,j} \vee s_{i,j}) \\ (\neg x_i \vee \neg s_{i-1,k}) \\ (\neg x_n \vee \neg s_{n-1,k}) \end{array}} \right\} \text{for } 1 < i < n$$

This encoding needs $2nk + n - 3k - 1$ clauses.