# 7: Binary

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We'll start with a review of our earlier work.

#### Review of our simulation of natural numbers

```
(define-struct Z ())
(define-struct S (pred))

Data definition: a Nat is either (make-Z) or it is (make-S p), where p is a Nat.

(make-Z) represents 0; (make-S p) represents n+1, where p is a Nat representing n.
```

We will call this definition the **unary definition of Nat**, because we will shortly introduce another one.

### Deficiencies of the unary definition of Nat

```
The unary representation of the natural number 3 is (make-S (make-S (make-S (make-Z)))).
```

Imagine the unary representation of 1000. It wouldn't fit on this slide. Intuitively speaking, this means it takes up a lot of space in the memory of a computer.

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Imagine the unary representation of 1000. It wouldn't fit on this slide. Intuitively speaking, this means it takes up a lot of space in the memory of a computer.

Recall our implementation of addition.

```
(define (plus nat1 nat2)
  (cond
     [(Z? nat1) nat2]
     [(S? nat1) (make-S (plus (S-pred nat1) nat2))]))
```

Imagine how long the trace would be if we added the representations of 1000000 and 2000000. This corresponds to a long running time on a computer.

We could try to interpret make-S as something other than "successor". So (make-S (make-Z)) could be a number different from one.

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The bottleneck is that we have only one data constructor, make-S, that can be applied to an existing Nat to create a new one.

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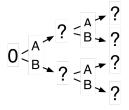
The simplest change we can make is to use two data constructors.

```
(define-struct Z ())
(define-struct A (way))
(define-struct B (gone))
```

### The binary definition of Nat, started

```
(define-struct Z ())
(define-struct A (way))
(define-struct B (gone))
```

Unlike with the unary definition, there is no significance to the names  ${\tt A}$  and  ${\tt B}$ , and the names of the fields are chosen to indicate "removal".



We get to choose the interpretation of the use of make-A and make-B. What properties do we want of this interpretation?

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 None should be left out.

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   Each natural number should have exactly one representation.
- Computation using the representation should be relatively straightforward and efficient.
- It would be nice if there were a "natural" interpretation of the representation, and if small numbers had a small representation.

```
(define-struct Z ())
(define-struct A (way))
(define-struct B (gone))
A Nat is either (make-Z), or it is (make-A p), or it is (make-B p),
where p is a Nat.
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where p is a Nat.
(make-Z) represents 0.
```

```
(define-struct Z ())
(define-struct A (way))
(define-struct B (gone))
A Nat is either (make-Z), or it is (make-A p), or it is (make-B p),
where p is a Nat.
(make-Z) represents 0.
(make-A p) represents 2n, where p represents n.
```

```
(define-struct Z ())
(define-struct A (way))
(define-struct B (gone))
A Nat is either (make-Z), or it is (make-A p), or it is (make-B p),
where p is a Nat.
(make-Z) represents 0.
(make-A p) represents 2n, where p represents n.
(make-B p) represents 2n + 1, where p represents n.
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```
(define-struct Z ())

(define-struct A (way))

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**Immediate problem:** (make-A (make-Z)) also represents 0.

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Immediate problem: (make-A (make-Z)) also represents 0.

We add the rule: make-A cannot be applied to (make-Z).

## Effect of our interpretation

The representation of 5 is (make-B (make-A (make-B (make-Z)))).

$$0-B+1<^{A}_{B}$$

$$3<^{A}_{B}$$

$$7$$

### A template for recursion on binary Nats

A Nat is either (make-Z), or it is (make-A  $\,p$ ), or it is (make-B  $\,p$ ), where  $\,p$  is a Nat.

```
(define (my-nat-fn nat)
  (cond
    [(Z? nat) ...]
    [(A? nat) ...]
    [(B? nat) ...]))
(define (my-nat-fn nat)
  (cond
    [(Z? nat) ...]
    [(A? nat) ... (my-nat-fn (A-way nat)) ...]
    [(B? nat) ... (my-nat-fn (B-gone nat)) ...]))
```

# Converting binary Nats to built-in natural numbers

```
(define (my-nat-fn nat)
  (cond
     [(Z? nat) ...]
     [(A? nat) ... (my-nat-fn (A-way nat)) ...]
     [(B? nat) ... (my-nat-fn (B-gone nat)) ...]))

(define (from-Nat nat)
  (cond
     [(Z? nat) 0]
     [(A? nat) (* 2 (from-Nat (A-way nat)))]
     [(B? nat) (add1 (* 2 (from-Nat (B-gone nat))))])))
```

# **Converting built-in natural numbers to binary Nats**

```
(make-Z) represents 0.

(make-A p) represents 2n, where p represents n.

(make-B p) represents 2n+1, where p represents n.
```

# Converting built-in natural numbers to binary Nats

```
(make-Z) represents 0.
(make-A p) represents 2n, where p represents n.
(make-B p) represents 2n + 1, where p represents n.
(define (to-Nat k)
  (cond
    [(zero? k) (make-Z)]
    [(even? k) (make-A (to-Nat (/ k 2)))]
    [(odd? k) (make-B (to-Nat (/ (sub1 k) 2)))]))
(define (to-Nat k)
  (cond
    [(zero? k) (make-Z)]
    [(even? k) (make-A (to-Nat (quotient k 2)))]
    [(odd? k) (make-B (to-Nat (quotient k 2)))]))
```

```
(define (my-nat-fn nat)
  (cond
      [(Z? nat) ...]
      [(A? nat) ... (my-nat-fn (A-way nat)) ...]
      [(B? nat) ... (my-nat-fn (B-gone nat)) ...]))

(define (plus nat1 nat2)
  (cond
      [(Z? nat1) nat2]
      [(A? nat1) ... (plus (A-way nat1) nat2) ...]
      [(B? nat1) ... (plus (B-gone nat1) nat2) ...]))
```

```
(define (my-nat-fn nat)
  (cond
    [(Z? nat) \dots]
    [(A? nat) ... (my-nat-fn (A-way nat)) ...]
    [(B? nat) ... (my-nat-fn (B-gone nat)) ...]))
(define (plus nat1 nat2)
  (cond
    [(Z? nat1) nat2]
    [(A? nat1) ... (plus (A-way nat1) nat2) ...]
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If nat1 represents 2x and nat2 represents y,
then (A-way nat1) represents x.
```

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(define (my-nat-fn nat)
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If nat1 represents 2x and nat2 represents y,
then (A-way nat1) represents x.
What does x + y tell us about 2x + y?
```

This is too simplistic an approach.

```
(define (my-nat-fn nat)
  (cond
    [(Z? nat) \dots]
    [(A? nat) ... (my-nat-fn (A-way nat)) ...]
    [(B? nat) ... (my-nat-fn (B-gone nat)) ...]))
(define (plus nat1 nat2)
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If nat1 represents 2x and nat2 represents y,
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```

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# Using structural recursion on two binary Nats

```
(define (my-nat-fn nat1 nat2)
  (cond
    [(Z? nat1) ...]
    [(Z? nat2) ...]
    [(and (A? nat1) (A? nat2))
        ... (my-nat-fn (A-way nat1) (A-way nat2))]
    [(and (A? nat1) (B? nat2))
        ... (my-nat-fn (A-way nat1) (B-gone nat2))]
    [(and (B? nat1) (A? nat2))
        ... (my-nat-fn (B-gone nat1) (A-way nat2))]
    [(and (B? nat1) (B? nat2))
        ... (my-nat-fn (B-gone nat1) (B-gone nat2))]))
```

# Using structural recursion on two binary Nats

```
(define (my-nat-fn nat1 nat2)
  (cond
    [(Z? nat1) ...]
    \lceil (Z? nat2) \dots \rceil
    [(and (A? nat1) (A? nat2))
      ... (my-nat-fn (A-way nat1) (A-way nat2))]
    [(and (A? nat1) (B? nat2))
      ... (my-nat-fn (A-way nat1) (B-gone nat2))]
    [(and (B? nat1) (A? nat2))
      ... (my-nat-fn (B-gone nat1) (A-way nat2))]
    [(and (B? nat1) (B? nat2))
      ... (my-nat-fn (B-gone nat1) (B-gone nat2))]))
(define (plus nat1 nat2)
  (cond
    [(Z? nat1) nat2]
    [(Z? nat2) nat1]
    [(and (A? nat1) (A? nat2)) ... (plus (A-way nat1) (A-way nat2))]
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    [(and (B? nat1) (B? nat2)) ... (plus (B-gone nat1) (B-gone nat2))]))
```

#### More details of addition

```
(define (plus nat1 nat2)
  (cond
    [(Z? nat1) nat2]
    [(Z? nat2) nat1]
    [(and (A? nat1) (A? nat2)) ... (plus (A-way nat1) (A-way nat2))]
    [(and (A? nat1) (B? nat2)) ... (plus (A-way nat1) (B-gone nat2))]
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(define (plus nat1 nat2)
  (cond
    [(Z? nat1) nat2]
    \lceil (Z? nat2) nat1 \rceil
    [(and (A? nat1) (A? nat2))
       (make-A (plus (A-way nat1) (A-way nat2)))]
    [(and (A? nat1) (B? nat2))
       (make-B (plus (A-way nat1) (B-gone nat2)))]
    [(and (B? nat1) (A? nat2))
       (make-B (plus (B-gone nat1) (A-way nat2)))]
    [(and (B? nat1) (B? nat2))
       ... (plus (B-gone nat1) (B-gone nat2)) ...]))
```

# **Addition for binary Nats, completed**

```
(define (plus nat1 nat2)
  (cond
    [(Z? nat1) nat2]
    [(Z? nat2) nat1]
    [(and (A? nat1) (A? nat2))
       (make-A (plus (A-way nat1) (A-way nat2)))]
    [(and (A? nat1) (B? nat2))
       (make-B (plus (A-way nat1) (B-gone nat2)))]
    [(and (B? nat1) (A? nat2))
       (make-B (plus (B-gone nat1) (A-way nat2)))]
    [(and (B? nat1) (B? nat2))
       (make-A (plus-one (plus (B-gone nat1) (B-gone nat2))))]))
(define (plus-one nat)
  (cond
    [(Z? nat) (make-B (make-Z))]
    [(A? nat) (make-B (A-way nat))]
    [(B? nat) (make-A (plus-one (B-gone nat)))]))
```

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Eight bits are typically grouped into a **byte**, and four bytes into a 32-bit **word**.

64-bit words are also used by an increasing number of computers.

A 32-bit word representing the natural number 4 would be filled with zeroes on the left:

Why is binary used in computers and not decimal?

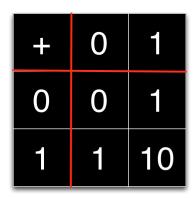
Why is binary used in computers and not decimal?

The code we have developed for binary addition is implemented in hardware.

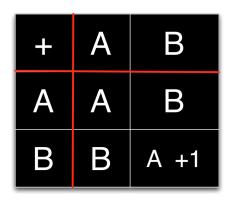
Why is binary used in computers and not decimal?

The code we have developed for binary addition is implemented in hardware.

Our code essentially uses the addition table for binary digits.



The correspondence between the binary addition table and our algorithm becomes clearer if we rewrite the table slightly.



In contrast, the addition table for decimal digits is much larger, and would lead to more complex hardware.

+	0	1	2	3	4	5	6	7	8	9
0	0	1	2	3	4	5	6	7	8	9
1	1	2	3	4	5	6	7	8	9	10
2	2	3	4	5	6	7	8	9	10	11
3	3	4	5	6	7	8	9	10	11	12
4	4	5	6	7	8	9	10	11	12	13
5	5	6	7	8	9	10	11	12	13	14
6	6	7	8	9	10	11	12	13	14	15
7	7	8	9	10	11	12	13	14	15	16
8	8	9	10	11	12	13	14	15	16	17
9	9	10	11	12	13	14	15	16	17	18

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This is easy to compute.

```
(define (size nat)
  (cond
    [(Z? nat) 1]
    [(A? nat) (add1 (size (A-way nat)))]
    [(B? nat) (add1 (size (B-gone nat)))]))
```

Let's define S(n) as (size nat), where nat is the binary representation of n.

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We're interested in how quickly S(n) grows.

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```
        n
        nat
        S(n)

        0
        (make-Z)
        1

        1
        (make-B (make-Z))
        2

        2
        (make-A (make-B (make-Z)))
        3

        3
        (make-B (make-B (make-Z)))
        3

        4
        (make-A (make-B (make-B (make-Z))))
        4

        5
        (make-B (make-A (make-B (make-B (make-Z))))
        4

        6
        (make-A (make-B (make-B (make-Z))))
        4

        7
        (make-B (make-B (make-B (make-B (make-Z))))
        4
```

Let's define S(n) as (size nat), where nat is the binary representation of n.

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```
        n
        nat
        S(n)

        0
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        1

        1
        (make-B (make-Z))
        2

        2
        (make-A (make-B (make-Z)))
        3

        3
        (make-B (make-B (make-Z)))
        3

        4
        (make-A (make-B (make-B (make-Z))))
        4

        5
        (make-B (make-A (make-B (make-B (make-Z))))
        4

        6
        (make-A (make-B (make-B (make-Z))))
        4

        7
        (make-B (make-B (make-B (make-B (make-Z))))
        4
```

**Conjecture:** For  $2^k \le n < 2^{k+1}$ , S(n) = k + 2.

Let's define S(n) as (size nat), where nat is the binary representation of n.

We're interested in how quickly S(n) grows.

```
        n
        nat
        S(n)

        0
        (make-Z)
        1

        1
        (make-B (make-Z))
        2

        2
        (make-A (make-B (make-Z)))
        3

        3
        (make-B (make-B (make-Z)))
        3

        4
        (make-A (make-B (make-B (make-Z))))
        4

        5
        (make-B (make-A (make-B (make-B (make-Z))))
        4

        6
        (make-A (make-B (make-B (make-B))))
        4

        7
        (make-B (make-B (make-B (make-B))))
        4
```

**Conjecture:** For 
$$2^k \le n < 2^{k+1}$$
,  $S(n) = k + 2$ .

Or: For 
$$k \le \log_2 n < k + 1$$
,  $S(n) = k + 2$ .

Let's define S(n) as (size nat), where nat is the binary representation of n.

We're interested in how quickly S(n) grows.

```
        n
        nat
        S(n)

        0
        (make-Z)
        1

        1
        (make-B (make-Z))
        2

        2
        (make-A (make-B (make-Z)))
        3

        3
        (make-B (make-B (make-Z)))
        3

        4
        (make-A (make-B (make-B (make-Z))))
        4

        5
        (make-B (make-A (make-B (make-B (make-Z))))
        4

        6
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$$2^k \le n < 2^{k+1}$$
,  $S(n) = k + 2$ .

Or: For 
$$k \le \log_2 n < k + 1$$
,  $S(n) = k + 2$ .

Or: For 
$$n > 0$$
,  $S(n) = |\log_2 n| + 2$ .

### Time analysis of plus-one

```
(define (plus-one nat)
  (cond
    [(Z? nat) (make-B (make-Z))]
    [(A? nat) (make-B (A-way nat))]
    [(B? nat) (make-A (plus-one (B-gone nat)))]))
```

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```

The recursive application of plus-one is on an argument whose size is one smaller than nat.

Thus, the number of applications of plus-one starting with an argument nat is bounded above by (size nat).

Our implementation of plus also reduces the size of its arguments in a similar fashion, but the last case is problematic:

```
[(and (B? nat1) (B? nat2))
  (make-A (plus-one (plus (B-gone nat1) (B-gone nat2))))]
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The problem is accounting for the additional work done by plus-one. The code we developed is actually efficient, but it is difficult to see why. We will rewrite the code to make it clearer.

Instead of using plus-one, we will develop a helper function plus-s, which computes the successor of the sum of its two arguments.

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plus and plus-s are mutually recursive.

# The development of plus-s

```
(define (plus-s nat1 nat2)
  (cond
    [(Z? nat1) ...]
    [(Z? nat2) \dots]
    [(and (A? nat1) (A? nat2))
       ... (plus??? (A-way nat1) (A-way nat2)) ...]
    [(and (A? nat1) (B? nat2))
       ... (plus??? (A-way nat1) (B-gone nat2)) ...]
    [(and (B? nat1) (A? nat2))
       ... (plus??? (B-gone nat1) (A-way nat2)) ...]
    [(and (B? nat1) (B? nat2))
       ... (plus??? (B-gone nat1) (B-gone nat2)) ...]))
```

#### plus-s completed

```
(define (plus-s nat1 nat2)
  (cond
    [(Z? nat1) (plus-one nat2)]
    [(Z? nat2) (plus-one nat1)]
    [(and (A? nat1) (A? nat2))
       (make-B (plus (A-way nat1) (A-way nat2)))]
    [(and (A? nat1) (B? nat2))]
       (make-A (plus-s (A-way nat1) (B-gone nat2)))]
    [(and (B? nat1) (A? nat2))
       (make-A (plus-s (B-gone nat1) (A-way nat2)))]
    [(and (B? nat1) (B? nat2))
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```

The mutually-recursive plus and plus-s always reduce the size of their arguments in recursive applications.

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This matches our intuition that the work done in adding two numbers should be proportional to the number of digits, not the value of the numbers (as it was for the unary definition of Nat).

Let's try to extend our efficient representation of natural numbers to an efficient representation of integers.

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First, we will try to augment with a sign, as we do with decimal numbers. We can view -8 as a negative sign attached to the natural number 8.

```
(define-struct Pos (nat))
(define-struct Neg (nat))
```

**Data definition:** an Int is either a (make-Pos n) or a (make-Neg n), where n is a Nat.

Our first problem is that (make-Pos (make-Z)) and (make-Neg (make-Z)) both represent the integer 0.

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Think about how we add a positive integer to a negative integer on paper.

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This is too complicated.

Instead of augmenting with a sign, we will augment the structures we had for Nat with a new structure to represent the quantity -1.

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**Data definition:** an Int is either (make-Z), or (make-N), or (make-A p) or (make-B p), where p is an Int.

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An immediate advantage is that our representation of non-negative integers (natural numbers) is the same in Int as it is in Nat.

## A better idea: augmenting with negative one

Instead of augmenting with a sign, we will augment the structures we had for Nat with a new structure to represent the quantity -1.

```
(define-struct N ())
```

**Data definition:** an Int is either (make-Z), or (make-N), or (make-A p) or (make-B p), where p is an Int.

We keep the same interpretation of make-A and make-B.

```
(make-Z) represents 0.
```

(make-N) represents -1.

(make-A p) represents 2i, where p represents i.

(make-B p) represents 2i + 1, where p represents i.

An immediate advantage is that our representation of non-negative integers (natural numbers) is the same in Int as it is in Nat.

All our code for plus is still useful. We only have to add cases that involve (make-N).

We still have the rule that we can't apply make-A to (make-Z).

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But now we have the problem that (make-B (make-N)) and (make-N) both represent -1.

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So we must add the rule that we can't apply make-B to (make-N).

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So we must add the rule that we can't apply make-B to (make-N).

One way to enforce these rules is to create **smart constructors**, which are replacements for  ${\tt make-A}$  and  ${\tt make-B}$  with the rules built in.

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One way to enforce these rules is to create **smart constructors**, which are replacements for make-A and make-B with the rules built in.

```
(define (sA int)
  (cond
     [(Z? int) int]
     [else (make-A int)]))
(define (sB int)
  (cond
     [(N? int) int]
     [else (make-B int)]))
```

# Representations of some small negative integers

As before, we define S(i) to be the number of constructors in the representation of i.

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```
i
                                       S(i)
                   nat
 0
                 (make-Z)
                 (make-N)
-2
            (make-A (make-N))
-3
       (make-B (make-A (make-N)))
-4
       (make-A (make-A (make-N)))
-5 (make-B (make-B (make-A (make-N))))
-6 (make-A (make-B (make-A (make-N))))
-7 (make-B (make-A (make-A (make-N))))
-8 (make-A (make-A (make-N))))
```

#### **Addition for Ints**

```
(define (plus int1 int2)
  (cond
    [(Z? int1) int2]
    \lceil (Z? int2) int1 \rceil
    : add N cases here
    [(and (A? int1) (A? int2))
       (sA (plus (A-way int1) (A-way int2)))]
    [(and (A? int1) (B? int2))
       (sB (plus (A-way int1) (B-gone int2)))]
    [(and (B? int1) (A? int2))
       (sB (plus (B-gone int1) (A-way int2)))]
    [(and (B? int1) (B? int2))
       (sA (plus-one (plus (B-gone int1) (B-gone int2))))]))
(define (plus-one int)
  (cond
    [(Z? int) (sB (make-Z))]
    : add N case here
    [(A? int) (sB (A-way int))]
    [(B? int) (sA (plus-one (B-gone int)))]))
```

# The missing cases for plus and plus-one with Ints

```
[(and (N? int1) (N? int2)) (sA (make-N))]
[(and (B? int1) (N? int2)) (sA (B-gone int1))]
[(and (N? int1) (B? int2)) (sA (B-gone int2))]
[(and (A? int1) (N? int2)) (sB (plus (A-way int1) (make-N)))]
[(and (N? int1) (A? int2)) (sB (plus (make-N) (A-way int2)))]
; missing case for plus-one
[(N? int) (make-Z)]
```

The resulting code preserves the nice features of plus for Nats that made it efficient.

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We will implement subtraction in terms of negation, and negation in terms of another helper function, flip, which maps the representation of i to the representation of -(i+1).

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We will implement subtraction in terms of negation, and negation in terms of another helper function, flip, which maps the representation of i to the representation of -(i+1).

```
(define (subt int1 int2) (plus int1 (negate int2)))
(define (negate int) (plus-one (flip int)))

(define (flip int)
  (cond
    [(N? int) (make-Z)]
    [(Z? int) (make-N)]
    [(A? int) (make-B (flip (A-way int)))]
    [(B? int) (make-A (flip (B-gone int)))]))
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We will implement subtraction in terms of negation, and negation in terms of another helper function, flip, which maps the representation of i to the representation of -(i+1).

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```

This also makes it clear that S(i) grows like the logarithm to the base 2 of the absolute value of i.

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Similarly, we replace make-N by the left-infinite sequence of ones, which we'll write . . . 1.

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This makes every integer into a left-infinite sequence of 0's and 1's.

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This makes every integer into a left-infinite sequence of 0's and 1's.

We saw that -5 was represented by (make-B (make-B (make-A (make-N)))). Its "readable" representation is ... 1011.

- 4 = ...0100
- 3 = ...011
- 2 = ...010
- 1 = ...01
- 0 = ...0
- $-1 = \dots 11$
- -2 = ...10
- -3 = ...101
- -4 = ...100
- -5 = ...1011

```
4 = \dots 0100
3 = \dots 011
2 = \dots 010
1 = \dots 01
0 = \dots 0
-1 = \dots 11
-2 = \dots 10
-3 = \dots 101
-4 = \dots 100
-5 = \dots 1011
```

The representation of integers in modern computers (called **two's complement notation**) consists of these bit sequences truncated to the rightmost 32 or 64 bits.

```
4 = \dots 0100
3 = \dots 011
2 = \dots 010
1 = \dots 01
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-2 = \dots 10
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For example, the representation of -5 would be

```
4 = \dots 0100
3 = \dots 011
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-3 = \dots 101
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-5 = \dots 1011
```

The representation of integers in modern computers (called **two's complement notation**) consists of these bit sequences truncated to the rightmost 32 or 64 bits.

For example, the representation of -5 would be

Interpreted as a natural number instead, this 32-bit pattern represents 4294967291.

## Why is two's complement notation used?

In creating the code for plus operating on Ints, we reused all the code for plus operating on Nats, and added some new cases.

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In hardware, this corresponds to being able to share components that implement functionality for both natural numbers (often called **unsigned integers**) and integers.

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In creating the code for plus operating on Ints, we reused all the code for plus operating on Nats, and added some new cases.

In hardware, this corresponds to being able to share components that implement functionality for both natural numbers (often called **unsigned integers**) and integers.

The short code for negation and subtraction corresponds to a small amount of additional hardware to support those features.

#### Goals of this module

You should be able to write space- and time-efficient code that works with the unary and binary representations of natural numbers and integers.

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You should be able to write space- and time-efficient code that works with the unary and binary representations of natural numbers and integers.

You should be able to read and work with binary notation for natural numbers and two's complement notation for integers.