

### **3: Syntax and Semantics**

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We won't use diagrams. We won't give vague descriptions of the underlying machine.

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The rules for computer languages are strictly enforced.

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## Specifying grammars

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The documentation for Beginning Student describes definitions like this:

```
definition = (define (id id id ...) expr)  
              | (define id expr)  
              | (define-struct id (id ...))
```

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There are spelling rules for numbers (integers, rationals, decimals) which are fairly intuitive.

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Symbols start with a single quote ( `'` ) followed by something obeying the rules for identifiers.

## More complex rules

Of more interest to us are the rules describing program structure.

A program is a sequence of definitions and expressions.

*program* = *def-or-expr* ...

*def-or-expr* = *definition*  
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*program* = *def-or-expr* ...

*def-or-expr* = *definition*  
              | *expression*

We have already seen the rules for defining functions and constants.

*definition* = (define (*id id id* ...) *expr*)  
              | (define *id expr*)



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We will introduce some additional uses of the ellipsis in this course, of both types.

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We must make sure that our Racket programs are unambiguous (have exactly one interpretation).

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$$P_0 \Rightarrow P_1 \Rightarrow P_2 \Rightarrow \dots \Rightarrow P_n$$

## Application of built-in functions in the model

We reuse the rules for the arithmetic expressions we are familiar with to substitute the appropriate value for expressions.

`(+ 3 5)`  $\Rightarrow$  8

`(expt 2 10)`  $\Rightarrow$  1024

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Formally, the substitution rule is:

$$(f \ v_1 \ \dots \ v_n) \Rightarrow v$$

where  $f$  is a built-in function and  $v$  the value of  $f(v_1, \dots, v_n)$ .

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Note the two uses of a pattern ellipsis.

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(define (term x y)  
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The rule does not apply if an argument is not a value, as in the case of the second argument in `(term 2 (+ 1 2))`.

Any argument which is not a value must first be simplified to a value using the rules for expressions.

## Application of user-defined functions in the model

The general substitution rule is:

$$(f\ v_1\ \dots\ v_n) \Rightarrow \text{newexp}$$

where  $(\text{define } (f\ x_1\ \dots\ x_n)\ \text{exp})$  occurs to the left, and  $\text{newexp}$  is obtained by substituting into the expression  $\text{exp}$ , with all occurrences of the formal parameter  $x_i$  replaced by the value  $v_i$  (for  $i$  from 1 to  $n$ ).

## Application of user-defined functions in the model

The general substitution rule is:

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where `(define (f x1 ... xn) exp)` occurs to the left, and `newexp` is obtained by substituting into the expression `exp`, with all occurrences of the formal parameter `xi` replaced by the value `vi` (for `i` from 1 to `n`).

Note we are using a pattern ellipsis in the rules for both built-in and user-defined functions to indicate several arguments.

## Rewriting using the model

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(define (term x y) (* x (sqr y)))  
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⇒ (term 2 3)
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⇒ (* 2 (sqr 3))
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```
⇒ (* 2 9)
```

## Rewriting using the model

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(define (term x y) (* x (sqr y)))  
(term (- 3 1) (+ 1 2))  
⇒ (term 2 (+ 1 2))  
⇒ (term 2 3)  
⇒ (* 2 (sqr 3))  
⇒ (* 2 9)  
⇒ 18
```

## Rewriting using the model

A constant definition binds a name (the constant) to a value (the value of the expression).

We add the substitution rule:

$id \Rightarrow val$ , where  $(\text{define } id \text{ } val)$  occurs to the left.

$(\text{define } x \ 3) (\text{define } y \ (+ \ x \ 1)) \ y$

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## Substitution in conditional expressions

There are three rules: when the first expression is false, when it is true, and when it is else.

`(cond [false exp] ...)`  $\Rightarrow$  `(cond ...)`

`(cond [true exp] ...)`  $\Rightarrow$  `exp`

`(cond [else exp])`  $\Rightarrow$  `exp`



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These suffice to simplify any cond expression.

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These suffice to simplify any `cond` expression.

Here we are using an omission ellipsis to avoid specifying the remaining clauses in the `cond`.

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(cond [(even? n) x] [(odd? n) y])
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⇒ (cond [true y])

⇒ y

Error: y undefined

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Example: `(cond [(> 3 4) x])`

Evaluating this results in a run-time error.

## Rewriting Boolean expressions

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`(and true exp2 ...)`  $\Rightarrow$  `(and exp2 ...)`

`(and false exp2 ...)`  $\Rightarrow$  `false`

`(or true exp2 ...)`  $\Rightarrow$  `true`

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`(and)`  $\Rightarrow$  `true`

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`(or false exp2 ...)`  $\Rightarrow$  `(or exp2 ...)`

`(and)`  $\Rightarrow$  `true`

`(or)`  $\Rightarrow$  `false`

As in the rewriting rules for `cond`, we are using an omission ellipsis.

## Importance of the substitution model

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Doing a step-by-step reduction according to these rules is called **tracing** a program.

It is an important skill in any programming language or computational system.

## Condensed traces

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In future traces, we will often do a **condensed trace** by skipping several steps in order to put the important transformations onto one slide.

It is very important to know when these gaps occur and to be able to fill in the missing transformations.



## Goals of this module

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2. You should understand the substitution-based semantic model of Racket, and be prepared for future extensions.
3. You should be able to trace the series of simplifying transformations of a Racket program by hand.