

Chapter 2

Basic Structures

Sets, Functions

Sequences, and Sums

Objectives

- Sets
- Set operations
- Functions
- Sequences
- Summations

2.1- Sets

- An unordered collection of objects
- The objects in a set are called the elements, or members. A set is said to contain its elements.
- Some important sets in discrete mathematics

$$\mathbb{N} = \{ 0, 1, 2, 3, 4, \dots \}$$

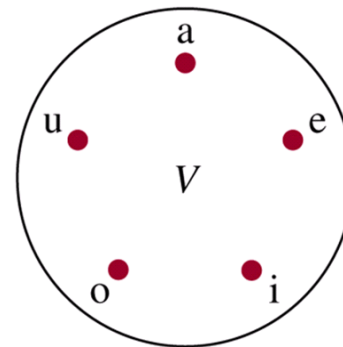
$$\mathbb{Z} = \{ \dots, -2, -1, 0, 1, 2, \dots \}$$

$$\mathbb{Z}^+ = \{ 0, 1, 2, \dots \}$$

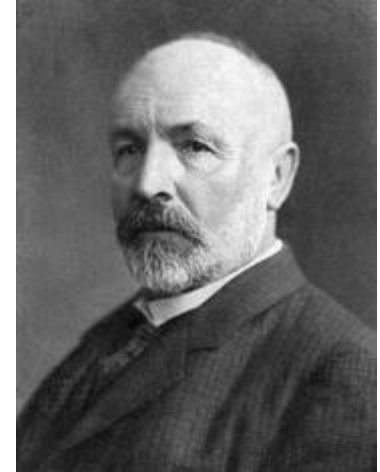
\mathbb{R} : the set of real numbers

$$\mathbb{Q} = \left\{ r = \frac{p}{q} \mid p \in \mathbb{Z}, 0 \neq q \in \mathbb{Z} \right\}$$

$$V = \{ a, u, o, i, e \}$$



Venn Diagram for V

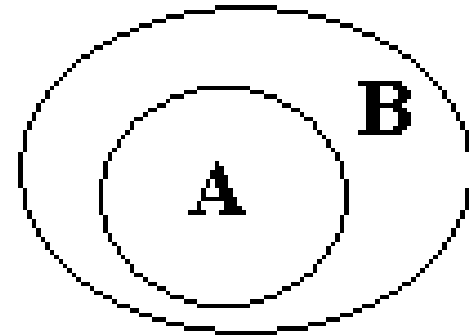


G. Cantor

Sets...

Definitions:

- **Finite set**: Set has n elements, n is a nonnegative integer
- A set is an **infinite** set if it is not finite
- **Cardinality** of a set $|S|$: Number of elements of S
- \square : **empty** set (null set), the set with no element
- Two sets are **equal** \leftrightarrow they have the same elements
 $A = B$ if and only if $\forall x (x \in A \leftrightarrow x \in B)$
- $A \subseteq B$: the set A is a **subset** of the set B
 $A \subseteq B$ if and only if $\forall x (x \in A \rightarrow x \in B)$
- $A \subset B$: A is a **proper subset** of B
 $A \subset B$ if and only if $(A \subseteq B) \wedge (A \neq B)$



Venn diagram shows that A is a subset of B

Theorem 1

For every set S ,

$$\text{i) } \emptyset \subseteq S \quad \text{ii) } S \subseteq S$$

Proof

$$\text{i) } (x \in \emptyset) \equiv \textit{False}$$

$$\text{So } \forall x (x \in \emptyset \rightarrow x \in S) \equiv \textit{True}$$

$$\text{ii) } \forall x (x \in S \rightarrow x \in S) \equiv \textit{True}$$

Power Sets

Given a set S , **power set** $P(S)$ of S is a set of **all subsets** of the set S .

$$S = \{1, 2, 3\}$$

$$P(S) = \{\emptyset, \\ \{1\}, \{2\}, \{3\}, \\ \{1, 2\}, \{1, 3\}, \{2, 3\}, \\ \{1, 2, 3\}\}$$

Cartesian Products

- The **ordered** n-tuple (a_1, a_2, \dots, a_n) is the **ordered collection** that has a_1 as its first element, a_2 as its second element, ..., and a_n as its n^{th} element.
- Let A and B be sets. The Cartesian product of A and B, denoted by $A \times B$,

$$A \times B = \{(a, b) \mid a \in A, b \in B\}$$

For example

$$A = \{a, b\} \quad B = \{1, 2, 3\}$$

$$A \times B = \{(a, 1), (a, 2), (a, 3), (b, 1), (b, 2), (b, 3)\}$$

Cartesian Products...

- The Cartesian product of A_1, A_2, \dots, A_n , denoted $A_1 \times A_2 \times \dots \times A_n$, is the set of ordered n -tuples (a_1, a_2, \dots, a_n) ,

$$A_1 \times A_2 \times \dots \times A_n = \left\{ (a_1, a_2, \dots, a_n) \mid a_i \in A_i, \forall i = \overline{1, n} \right\}$$

For example

$$A = \{a, b\} \quad B = \{1, 2, 3\}, C = \{0, 1\}$$

- $A \times B \times C = \{(a, 1, 0), (a, 1, 1), (a, 2, 0), (a, 2, 1), (a, 3, 0), (a, 3, 1), (b, 1, 0), (b, 1, 1), (b, 2, 0), (b, 2, 1), (b, 3, 0), (b, 3, 1)\}$

2.2- Set Operations

The *Union* of sets A and B, denoted by $A \cup B$

$$A \cup B = \{x | x \in A \vee x \in B\}$$

The *difference* of A and B, denoted by $A - B$

$$A - B = \{x | x \in A \wedge x \notin B\}$$

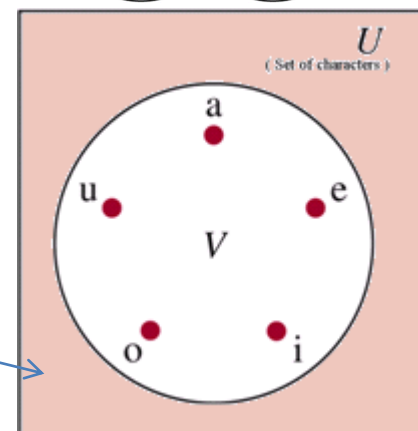
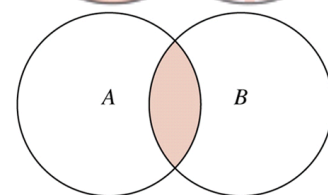
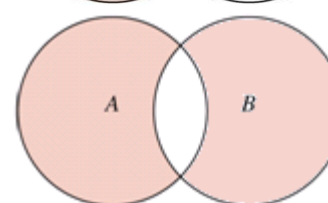
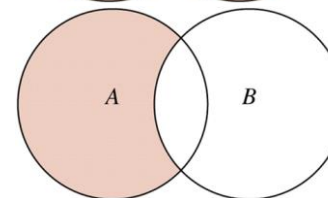
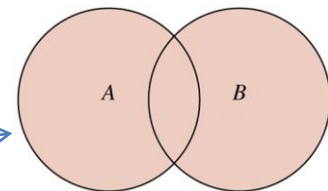
The *symmetric difference* of A and B, denoted by $A \oplus B$

$$A \oplus B = A \cup B - A \cap B = \{x | (x \in A \vee x \in B) \wedge (x \notin A \cap B)\}$$

$$\text{Intersection: } A \cap B = \{x | x \in A \wedge x \in B\}$$

U is the universal set, complement of A is denoted by \bar{A}

$$\bar{A} = U - A = \{x | x \notin A\}$$



Set Identities

Identity – See proofs : pages 125, 126	Name
$A \cup \emptyset = A$ $A \cap U = A$	Identity laws - Luật đồng nhất
$A \cup U = U$ $A \cap \emptyset = \emptyset$	Domination laws - Luật thống trị
$A \cup A = A$ $A \cap A = A$	Idempotent laws – Luật bất biến
$\overline{\overline{A}} = A$	Complementation law – Luật bù đôi
$A \cup B = B \cup A$ $A \cap B = B \cap A$	Commutative laws – Luật giao hoán
$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$ $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$	Associative laws – Luật kết hợp
$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$ $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$	Distributive laws Luật phân phối
$\overline{A \cup B} = \overline{A} \cap \overline{B}$ $\overline{A \cap B} = \overline{A} \cup \overline{B}$	De Morgan laws
$A \cup (A \cap B) = A$ $A \cap (A \cup B) = A$	Absorption – Luật hấp phụ
$A \cup \overline{A} = U$ $A \cap \overline{A} = \emptyset$	Complement laws – Luật bù

Generalized Unions and Intersections

$$A_1 \cap A_2 \cap A_3 \cap \dots \cap A_n = \bigcap_{i=1}^n A_i = \{x \mid x \in A_i, \forall i = 1, 2, \dots, n\}$$

$$A_1 \cup A_2 \cup A_3 \cup \dots \cup A_n = \bigcup_{i=1}^n A_i$$

$$= \{x \mid x \in A_1 \vee x \in A_2 \vee x \in A_3 \vee \dots \vee x \in A_n\}$$

Computer Representation of Sets

- Use bit string $U = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$
- $A = \{1, 3, 5, 7, 9\} \rightarrow A = \text{"1010101010"}$
- $B = \{1, 8, 9\} \rightarrow B = \text{"1000000110"}$

Computer Representation of Sets

- $A = "1010101010"$
- $B = "1000000110"$

$$A \cup B = 10\ 1010\ 1010 \vee 10\ 0000\ 0110 = 10\ 1010\ 1110$$

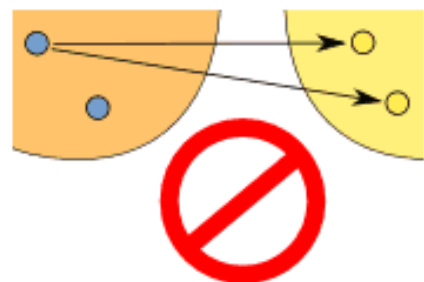
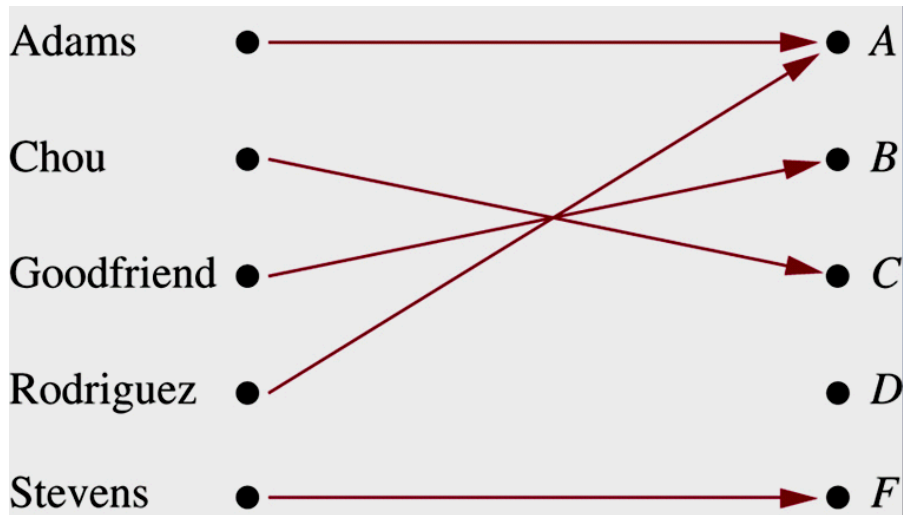
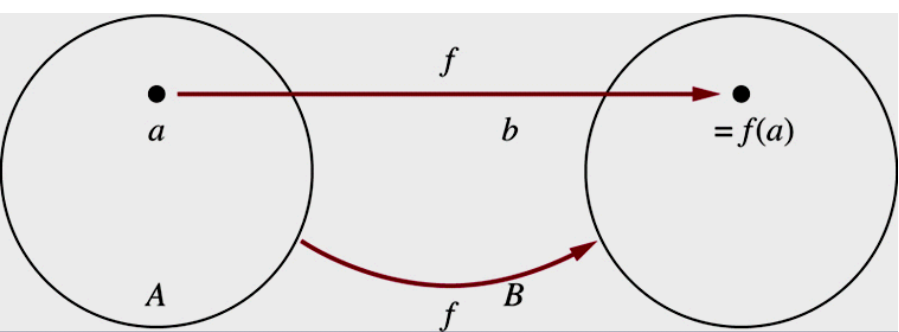
$$A \cup B = \{1, 3, 5, 7, 8, 9\}$$

$$A \cap B = 10\ 1010\ 1010 \wedge 10\ 0000\ 0110 = 10\ 0000\ 0010$$

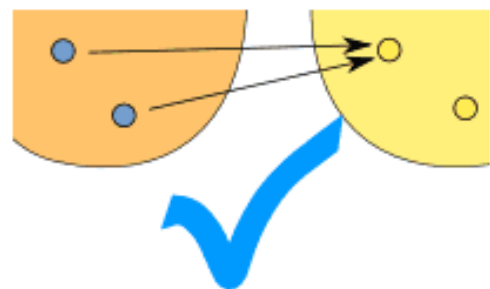
$$A \cap B = \{1, 9\}$$

2.3- Functions/Mapping/Transformation - Ánh Xạ

- $f: A \rightarrow B$ function f from A to B (or function f maps A to B)
- A : **domain** of f
- B : **codomain** of f



(one-to-many)
This is **NOT** OK in a function



(many-to-one)
But this **is** OK in a function

Functions as sets of ordered pairs

Set of Ordered Pairs

A function can then be defined as a **set** of ordered pairs:

Example: $\{(2,4), (3,5), (7,3)\}$ is a function that says

"2 is related to 4", "3 is related to 5" and "7 is related 3".

Also, notice that:

- the domain is $\{2,3,7\}$ (the input values)
- and the range is $\{4,5,3\}$ (the output values)

Functions/Mappings/Transformations...

What are functions?

- $f: \mathbb{R} \rightarrow \mathbb{R} : f(x) = x^2 + 2$
- $f: \mathbb{R} \rightarrow \mathbb{R} : f(x) = 1/(x-1)^2 + 5x$
- $f: \mathbb{R} \rightarrow \mathbb{R} : f(x) = (2x+5)/7$
- $f: \mathbb{R} \rightarrow \mathbb{R} : f(x) = (2x+5)^2/(7-2x)$

Some Important Functions

See Figure 10 – Page 143

Floor function

$f: \mathbb{R} \rightarrow \mathbb{Z}$ such that $f(x) = \lfloor x \rfloor =$ largest integer that less than or equal to x (số nguyên lớn nhất chưa vượt qua x), $\lfloor x \rfloor \leq x$

Ceiling function

$f: \mathbb{R} \rightarrow \mathbb{Z}$ such that $f(x) = \lceil x \rceil =$ smallest integer that greater than or equal to x (số nguyên bé nhất x chưa vượt qua), $x \leq \lceil x \rceil$

One-to-One/ Injective functions (đơn ánh)

Function f is one-to-one (or injective) if and only if

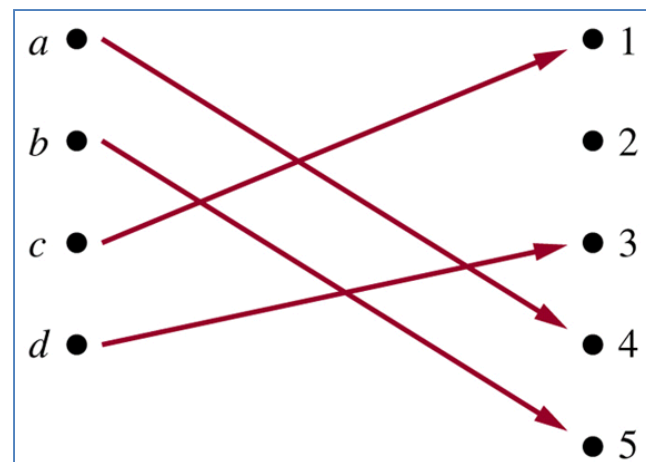
$$a \neq b \rightarrow f(a) \neq f(b)$$

for all a and b in the domain of f .

- $f : \mathbb{R} \rightarrow \mathbb{R}, f(x) = x^2$

f is not one-to-one

(we have $f(-1) = f(1)$)



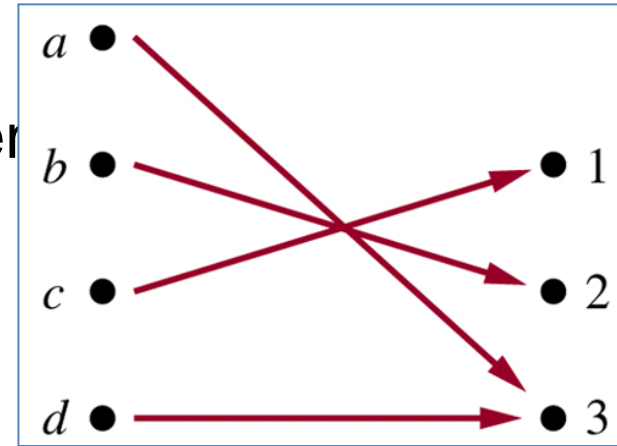
Onto Functions – Ánh xạ trên (toàn ánh)

A function f from A to B is called **onto, or surjective**, iff

for every element b in B there is an element a in A with $f(a)=b$.

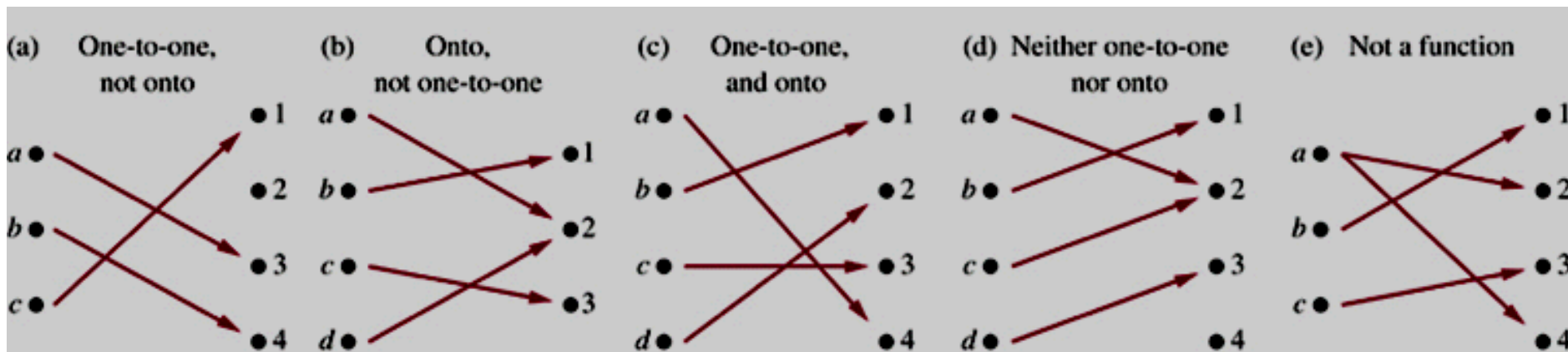
- $f: \mathbb{Z} \rightarrow \mathbb{Z}, f(m) = m-1$

f is **onto** because $\forall y \in \mathbb{Z}, y=f(m)=m-1$,
where $m=y+1$



One-to-one Correspondent / Bijective Functions (song ánh)

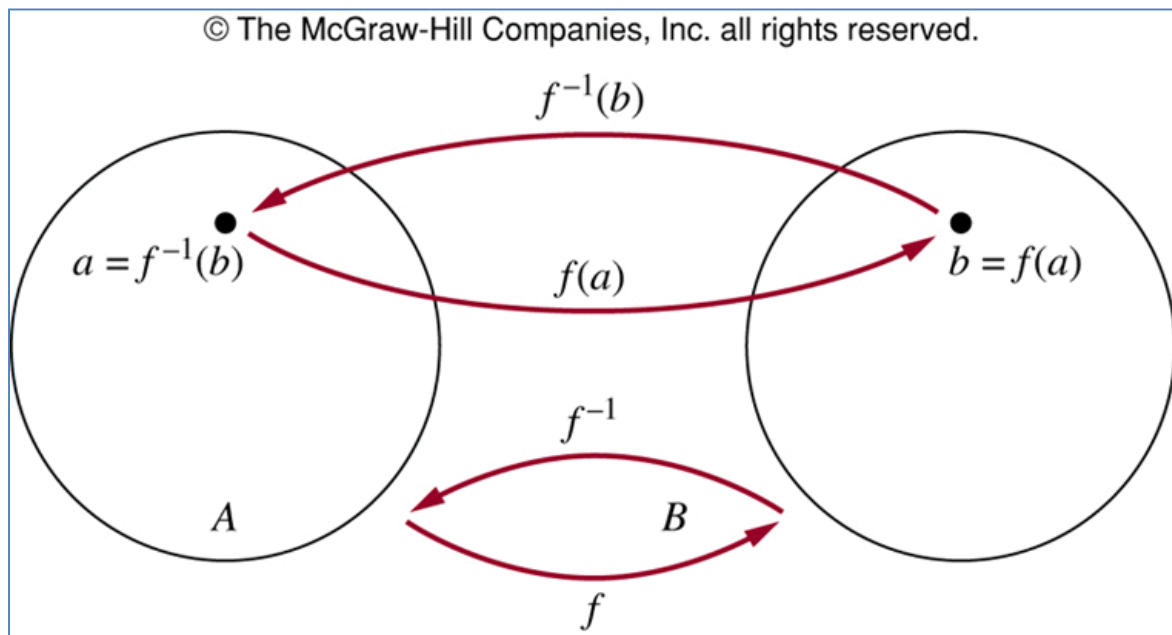
Function f is a **one-to-one correspondence** or a **bijection** if it is both **one-to-one** and **onto**.



$f: \{A, B, \dots, Z\} \rightarrow \{65, 66, \dots, 90\}$ is a bijection

Inverse Functions

Let f is a **bijection** from A to B . The **inverse function**, denoted by f^{-1} , of f is the function that assigns to an element b belonging to B the unique element a in A such that $f(a)=b$. Hence **$f^{-1}(b)=a$** when **$f(a)=b$** .



Inverse Functions...

$f: \mathbb{R} \rightarrow \mathbb{R}$ such that $f(x)=x+1$

Is f invertible? And if it is, what is its inverse?

Step 1: Show that f is onto

$f(y-1)=y$ for all y

→ f is **onto**

Step 2: Show that f is one-to-one

$f(a)=a+1=f(b)=b+1 \rightarrow a=b \rightarrow f$ is **one-to-one**

→ f is **bijection** → f is **invertible**

Step 3: Find inverse function

$f(x)=y=x+1 \rightarrow x=f^{-1}(y)$

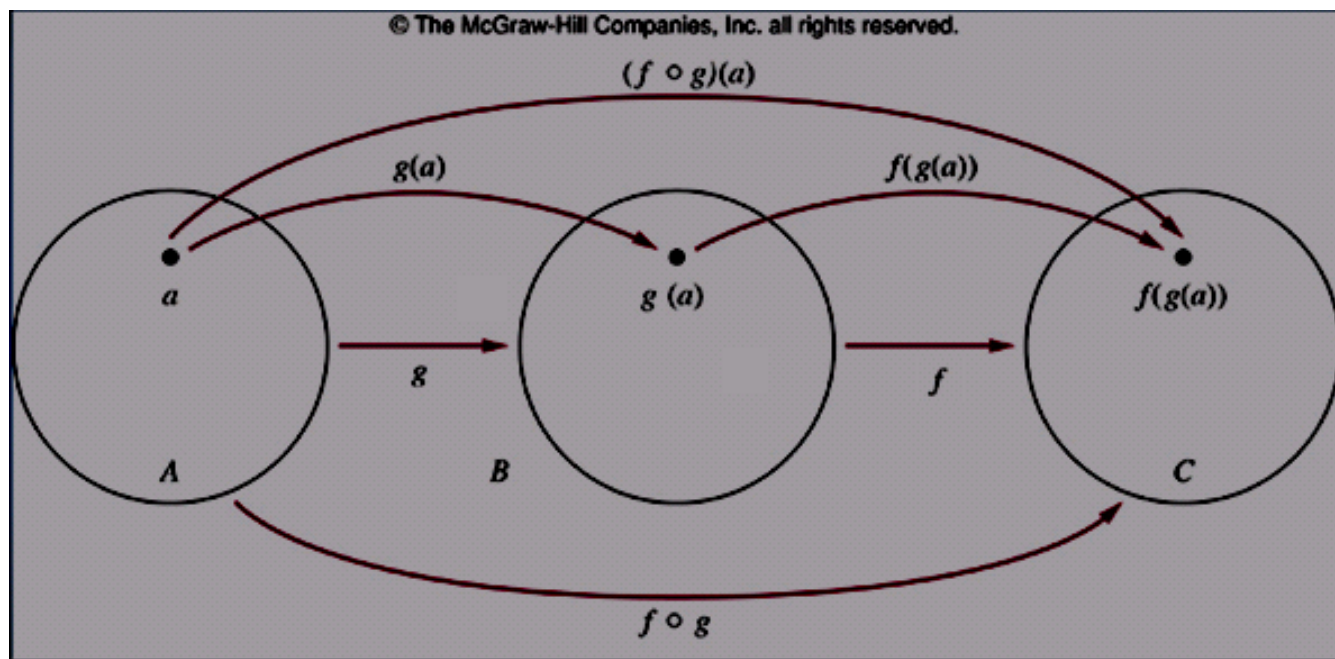
$x=y-1 \rightarrow f^{-1}(y)=y-1$

Composition of Functions – Ánh xạ hợp

Let $g: A \rightarrow B$, $f: B \rightarrow C$

The *composition* of f and g , denoted by $f \circ g$, is defined by:

$$(f \circ g)(x) = f(g(x))$$



Example:

$$f: ' \rightarrow ', f(x) = x + 1$$

$$g: ' \rightarrow ', g(x) = x^2$$

$$(f \circ g)(x) = f(g(x)) = f(x^2) = x^2 + 1$$

$$(g \circ f)(x) = g(f(x)) = g(x + 1) = (x + 1)^2$$

2.4- Sequences

- Sequence : $a_1, a_2, a_3, \dots, a_n, \dots$
 Ex: 1, 3, 5, 8 : Finite sequence
 Ex: 1, 1, 2, 3, 5, 8, 13, ... : Infinite sequence
- A sequence is a function from a subset of integers to a set S .
- a_n : image of the integer n
- a_i : a term of the sequence
- $\{a_n = 1/n\}: \mathbb{N} \rightarrow \mathbb{R} \rightarrow 1, 1/2, 1/3, 1/4, \dots$

Sequences...

Geometric progression (cấp số nhân)

$$f(n) = ar^n \rightarrow a, ar, ar^2, ar^3, \dots, ar^n$$

Arithmetic progression (cấp số cộng)

$$f(n) = a + nd \rightarrow a, a+d, a+2d, \dots, a+nd$$

a : initial term,

r : common ratio, a real number

d : common difference, real number

Do your self

$$b_n = (-1)^n, n \geq 0$$

$$t_n = 7 - 3n, n \geq 0$$

$$c_n = 2(5)^n, n \geq 0$$

$$a_n = -1 + 4n, n \geq 0$$

Some Useful Sequences

<i>nth Term</i>	<i>First 10 Terms</i>
n^2	1, 4, 9, 16, 25, 36, 49, 64, 81, 100, ...
n^3	1, 8, 27, 64, 125, 216, 343, 512, 729, 1000, ...
n^4	1, 16, 81, 256, 625, 1296, 2401, 4096, 6561, 10000, ...
2^n	2, 4, 8, 16, 32, 64, 128, 256, 512, 1024, ...
3^n	3, 9, 27, 81, 243, 729, 2187, 6561, 19683, 59049, ...
$n!$	1, 2, 6, 24, 120, 720, 5040, 40320, 362880, 3628800, ...

Hints for deducing a possible formula for the terms of a sequence.

1. Are there runs of same value? 1 2 2 3 3 3 4 4 4 4.....
2. Are terms obtained from previous term by adding/ multiplying by a particular amount?
1 5 9 13 17 ... 2 6 18 54
4. Are terms obtained by combining previous terms in a certain way? 1 1 2 3 5 8 13 ...
5. Are they cycles among terms

Ex:

$\{a_n\}$ 1 7 25 79 241 727

6 18 54 162 486 → close to 3 → $\{3^n\}$

$\{3^n\} = 3 \quad 9 \quad 27 \quad 81 \quad 243 \quad 729 \dots$

→ $\{a_n\} = \{3^n - 2\}$

Summations

$$a_m + a_{m+1} + a_{m+2} + \dots + a_n = \sum_{j=m}^n a_j = \sum_{j=m}^n a_j = \sum_{m \leq j \leq n} a_j$$

a : Sequence

j : Index of summation

m: Lower limit

n : Upper limit

```
// 1 + 2 + 3 + 4 + ... + n
long sum1 ( int n) // n additions
{ long S=0;
  for (int i=1; i<=n; i++) S+= i;
  return S;
}

// 1 addition, 1 multiplication, 1 division
long sum2 (int n)
{ return ((long)n) * (n+1)/2;
}
```

See examples 10, 11. Page 154

Summations....

Theorem 1- (Summation of geometric series)

$$a + ar + ar^2 + \dots + ar^n = \sum_{i=0}^n ar^i = \begin{cases} \frac{ar^{n+1} - a}{r - 1} & \text{If } r \neq 1 \\ (n+1)a & \text{If } r=1 \end{cases}$$

See the proofs in page 155

Some Useful Summation Formulae

<i>Sum</i>	<i>Closed Form</i>
$\sum_{k=0}^n ar^k \ (r \neq 0)$	$\frac{ar^{n+1} - a}{r - 1}, r \neq 1$
$\sum_{k=1}^n k$	$\frac{n(n+1)}{2}$
$\sum_{k=1}^n k^2$	$\frac{n(n+1)(2n+1)}{6}$
$\sum_{k=1}^n k^3$	$\frac{n^2(n+1)^2}{4}$
$\sum_{k=0}^{\infty} x^k, x < 1$	$\frac{1}{1-x}$
$\sum_{k=1}^{\infty} kx^{k-1}, x < 1$	$\frac{1}{(1-x)^2}$

See example 15, page 157

```
#include <stdio.h>
#include <conio.h>
// computing sigma from 1 to n of k^2
double sigma(int n)
{
    double m=(double)n;
    return m*(m+1)*(m+m+1)/6;
}
void main()
{
    int n1, n2;
    clrscr();
    scanf("%d%d",&n1,&n2);
    printf("%lf",sigma(n2)-sigma(n1-1));
    getch();
}
```

Cardinality – Lực Lượng

- **Cardinality** = number of elements in a set.
- The sets A and B have the same cardinality if and only if there is a one-to-one correspondence from A to B
- A set that is either finite or has the same cardinality as the set of positive integers is called **countable**.
- A set that is not countable is called **uncountable**.
- When a infinite set S is countable , we denote the cardinality of S is $|S| = \aleph_0$ (aleph null)
- For example, $|\mathbb{N}| = \aleph_0$ because \mathbb{N} is countable and infinite but \mathbb{R} is uncountable and infinite, and we say $|\mathbb{R}| = 2^{\aleph_0}$

Examples p.159, 160

sets	countable	uncountable	cardinality
$\{a, b, \dots, z\}, \{x \mid x^5 - 3x^2 - 11 = 0\},$...	✓	✗	$< \infty$
$\{0, 2, 4, \dots, \}$	✓	✗	\aleph_0
$\mathbb{N}, \mathbb{Z}^+, \mathbb{Z}, \mathbb{Q}, \mathbb{Z} \times \mathbb{Z}, \dots$	✓	✗	\aleph_0
$\{x \mid 0 < x < 1\}, \mathbb{R}, \dots$	✗	✓	2^{\aleph_0}

Summary

- Sets
- Set operations
- Functions
- Sequences
- Summations

Thanks