# Algebraic Varieties - Robin Harshorne Chapter II

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Dear professor Pedro M. Marques, thank you very much for help me studying this book. This is a free course from Evora University, Portugal. I will remember forever your help in my heart. Hope all the best for you!.

Quy Nhon, 07/06/2018.

## Sheaves

**Exercise 1.1** (Constant presheaf). Let  $\mathcal{F}$  be the presheaf  $U \mapsto A$  for all  $U \neq \emptyset$ . Then we have  $\mathcal{F}(U) = A$  for all  $U \neq \emptyset$ . Let  $\mathcal{A}$  be the constant sheaf. To show that  $\mathcal{A} \cong \mathcal{F}^+$ , according to Proposition 1.1, we have to prove that  $\mathcal{F}_P^+ \cong \mathcal{A}_P$  for every  $P \in X$ . Note that for any P,  $\mathcal{F}_P = \mathcal{F}_P^+$ , this shows that we have to prove  $\mathcal{F}_P \cong \mathcal{A}_P$  for every  $P \in X$ .

Let any  $P \in X$ , we have  $\mathcal{F}_P = \lim_{\longrightarrow U \ni P} \mathcal{F}(U) = A$ . With an open set U contains P, there is a connected component  $V \subseteq U$  containing P, which is open set, we have  $\mathcal{A}(V) = A$ . Hence  $\mathcal{A}_P = \lim_{\longrightarrow U \ni P} \mathcal{A}(U) = \lim_{\longrightarrow U \supseteq V \ni P} \mathcal{A}(V) \cong A$ . Therefore  $\mathcal{F}_P \cong \mathcal{A}_P$  for every  $P \in X$ .

#### Exercise 1.2

1.  $\bullet(ker\varphi)_P = ker(\varphi_P)$ We have

$$\varphi(U): \mathcal{F}(U) \longrightarrow \mathcal{G}(U)$$
  
 $t \mapsto \varphi(U)(t).$ 

$$\varphi_P: \mathcal{F}_P \longrightarrow \mathcal{G}_P$$
  
 $\langle U, t \rangle \mapsto \langle U, \varphi(U)(t) \rangle.$ 

Let  $\langle U, t \rangle \in (ker\varphi)_P$ , for U is an open neighborhood of P,  $t \in ker(\varphi(U))$ , calculate  $\varphi_P(\langle U, t \rangle)$  we have

$$\varphi_P(\langle U, t \rangle) = \langle U, \varphi(U)(t) \rangle = \langle U, 0 \rangle.$$

This shows that  $\langle U, t \rangle \in ker\varphi_P$ . Then

$$(ker\varphi)_P \subseteq ker(\varphi_P).$$

Conversely, let  $\langle U, t \rangle \in \ker(\varphi_P)$ , for U is an open neighborhood of  $P, t \in \mathcal{F}(U)$ , since  $\varphi_P(\langle U, t \rangle) = \langle U, 0 \rangle = \langle U, \varphi(U)(t) \rangle$ , then there is an open set V containing P such that  $\varphi(V)(t) = 0$  on V, then  $\langle V, t \rangle \in (\ker\varphi)_P$ . Since  $U \cap V \neq \emptyset$  (containing P) then  $\langle U, t \rangle = \langle V, t \rangle$ , this shows that

$$(ker\varphi)_P \supseteq ker(\varphi_P).$$

 $\bullet(im\varphi)_P = im(\varphi_P)$ 

Let  $\langle U, t \rangle \in (im\varphi)_P$ , for U is is an open neighborhood of  $P, t \in im\varphi(U)$ , then there is  $s \in \mathcal{F}(U)$  such that  $\varphi(U)(s) = t$ . We have  $\varphi_P(\langle U, s \rangle) = \langle U, t \rangle$ , this shows that  $\langle U, t \rangle \in im(\varphi_P)$ .

Conversely, let  $\langle U, t \rangle \in im\varphi_P$ , there is  $\langle V, s \rangle \in \mathcal{F}_P$  such that  $\varphi_P(\langle V, s \rangle) = \langle U, t \rangle = \langle V, \varphi(V)(s) \rangle$ . Then there is an open set W containing P such that  $\varphi(W)(s) = t$  on W. Then we have  $t \in im(\varphi(W))$ , and  $\langle W, t \rangle \in (im\varphi)_P$ . Since U, W contain P, then  $U \cap W \neq \emptyset$ , then  $\langle U, t \rangle = \langle W, t \rangle \in (im\varphi)_P$ .

Thus we have

$$(im\varphi)_P = im(\varphi_P).$$

- 2. (a) If  $\varphi$  is injective, then  $\ker \varphi = 0$ , thus  $\ker \varphi(U) = 0$  for all open set U. For any  $P \in X$ , let  $\langle U, t \rangle \in (\ker \varphi)_P = 0$ , for  $P \in U$  and  $t \in \ker \varphi(U)$ , then we have  $t|_U = 0$ , this shows that  $(\ker \varphi)_P = 0$  for all  $P \in X$ . By 1., we have  $\ker \varphi_P = 0$  for every P.

  Converse, if  $\ker \varphi_P = 0$  for every P, by 1., we have  $(\ker \varphi)_P = 0$  for all P. For any open set U of X, we have to show that  $\ker \varphi(U) = 0$ . Let  $t \in \ker \varphi(U)$ , and a point  $Q \in U$ , so we have  $\langle U, t \rangle \in (\ker \varphi)_Q$ , hence we have that  $\langle U, t \rangle = 0 = \langle U, 0 \rangle$ , then  $t|_U = 0|_U = 0$ . This shows that t = 0. Thus  $\varphi$  is injective.
  - (b) If  $\varphi$  is surjective, then im  $\varphi = \mathcal{G}$ , hence  $\mathcal{G}_P = (\text{im } \varphi)_P$  for all  $P \in X$ , by 1., we have  $\mathcal{G}_P = \text{im } \varphi_P$ . This shows that  $\varphi_P$  is surjective. Converse, note that  $\varphi$  surjective need not  $\varphi(U)$  surjective for all open U. If  $\varphi_P$  is surjective for all  $P \in X$ , then we have im  $\varphi_P = \mathcal{G}_P$ , according to Proposition 1.1, we have im  $\varphi \cong \mathcal{G}$ . This shows that  $\varphi$  is surjective. (So we can use this proposition to prove (a) again:  $(\ker \varphi)_P = 0$  for all  $P \Longrightarrow \ker \varphi = 0$ .)
- 3. We consider two sequences

$$\dots \mathcal{F}^{i-1} \stackrel{\varphi^{i-1}}{\to} \mathcal{F}^i \stackrel{\varphi^i}{\to} \mathcal{F}^{i+1} \dots \tag{1}$$

$$\dots \mathcal{F}_{P}^{i-1} \stackrel{\varphi_{P}^{i-1}}{\to} \mathcal{F}_{P}^{i} \stackrel{\varphi_{P}^{i}}{\to} \mathcal{F}_{P}^{i+1} \dots \tag{2}$$

Suppose that (1) is exact, then we have that  $\varphi^{i-1}$  is injective,  $\varphi^i$  is surjective and im  $\varphi^{i-1} = \ker \varphi^i$ , by 1 and 2, we have that  $\varphi_P^{i-1}$  is injective,  $\varphi_P^i$  is surjective and im  $\varphi_P^{i-1} = \ker \varphi_P^i$ , for all  $P \in X$ , then (2) is exact for all P. The converse of these clause is true, then we have that (2) is exact for all  $P \in X \Rightarrow (1)$  is exact.

#### Exercise 1.3

1. If  $\varphi$  is sujective, then we have  $\varphi_P$  is surjective for all P. Let an open set U of X. For every  $s \in \mathcal{G}(U)$ , let a point  $P_i \in U$ , we consider  $\mathcal{G}_{P_i}$ . We have  $\langle U, s \rangle$  is an element of  $\mathcal{G}_{P_i}$ . Since  $\varphi_{P_i}$  is surjective, then there is  $\langle V_i, t_i \rangle \in \mathcal{F}_{P_i}$  such that such that  $\varphi_{P_i}(\langle V_i, t_i \rangle) = \langle U, s \rangle$ , then  $\langle V_i, \varphi(t_i) \rangle = \langle U, s \rangle$ . Set  $U_i = V_i \cap U \neq \emptyset$ , since  $t_i \in \mathcal{F}(V_i)$ , then we can see  $t_i \in \mathcal{F}(U_i)$  and  $\varphi(t_i) = s|_{U_i}$ . For each  $P_i \in U$ , we have a neighborhood  $U_i$  is of  $P_i$  and  $t_i \in \mathcal{F}(U_i)$ ,  $\varphi(t_i) = s|_{U_i}$ . Let  $P_i$  run all U to get  $U \subset \bigcup_i U_i$ , which is a covering of U.

Converse, for any  $P \in X$ , for every  $\langle U, s \rangle \in \mathcal{G}_P$  for  $U \ni P$  and  $s \in \mathcal{G}(U)$ . There is a covering  $\{U_i\}$  of U, there are  $t_i \in \mathcal{F}(U_i)$  such that  $\varphi(t_i) = s|_{U_i}$ . Since  $P \in U$ , then there is  $U_j \in \{U_i\}$  such that  $P \in U_j$ . We consider the element  $\langle U_i, t_i \rangle \in \mathcal{F}_P$ . We have

$$\varphi_P(\langle U_i, t_i \rangle) = \langle U_i, \varphi(t_i) \rangle = \langle U_i, s \rangle.$$

Note that

$$\langle U, s \rangle = \langle U_i, s \rangle \in \mathcal{G}_P.$$

This shows that  $\varphi_P$  is surjective, this is true for all  $P \in X$ , then  $\varphi$  is surjective.

2. (Give an example). We call  $\mathcal{G}$  the sheaf of holomorphic function on  $\mathbb{C}$ , we consider the map  $\varphi: \mathcal{G} \to \mathcal{G}^*$  defined by

$$\varphi(U): \mathcal{G}(U) \to \mathcal{G}(U)^*$$
  
 $f \mapsto \exp(f).$ 

For any  $P \in \mathbb{C}$ , there is an open set U containing P of  $\mathbb{C}$ , which is simply connected, then we can define the logarithm of all non-zero function  $f \in \mathcal{G}(U)^*$  on U, this shows that  $\varphi_P$  is surjective for all  $P \in \mathbb{C}$ . Therefore  $\varphi$  is surjective.

Now, let  $U = \mathcal{C}^*$ , since U is not simply connected, then we can not define the the logarithm of all non-zero function  $f \in \mathcal{G}(U)^*$  on U, this shows that  $\varphi(U)$  is not surjective.

#### Exercise 1.4

- (a) For any  $P \in X$ , we have  $\mathcal{F}_P = \mathcal{F}_P^+, \mathcal{G}_P = \mathcal{G}_P^+$ , then  $\varphi_P^+ = \varphi_P$ . If  $\varphi$  is injective then  $\varphi_P^+ = \varphi_P$  is injective, this implies that  $\varphi^+$  is injective.
- (b) Call  $\varphi(\mathcal{F})$  the presheaf image of  $\varphi$ , then im  $\varphi = \varphi(\mathcal{F})^+$ . We have a natural injective  $i : \varphi(\mathcal{F}) \to \mathcal{G}$ , by (a), we have the induced map  $i^+ : \text{im } \varphi \to \mathcal{G}$ , which is injective. Then im  $\varphi$  is a subsheaf of  $\mathcal{G}$ .

#### Exercise 1.6

1. Since  $\mathcal{F}'$  is a subsheaf of  $\mathcal{F}$ , by definition of the subsheaf, for any open subset U of X, we have  $\mathcal{F}'(U)$  is a subgroup of  $\mathcal{F}(U)$ , then there is a natural map  $\mathcal{F}'(U) \to \mathcal{F}(U)$ , which is injective. Then there is a natural map  $\mathcal{F}' \to \mathcal{F}$ , which is injective.

The sheaf  $\mathcal{F}/\mathcal{F}'$  associated to the presheaf  $U \to \mathcal{F}(U)/\mathcal{F}'(U)$ . For any point P, we have

$$(\mathcal{F}/\mathcal{F}')_P = \mathcal{F}_P/\mathcal{F'}_P.$$

The natural map p of  $\mathcal{F}$  to the presheaf  $U \to \mathcal{F}(U)/\mathcal{F}'(U)$  is defined by

$$p(U): \mathcal{F}(U) \to \mathcal{F}(U)/\mathcal{F}'(U)$$
  
 $t \mapsto \bar{t}$ 

Then  $p^+$  is the natural map of  $\mathcal{F}$  to the sheaf  $\mathcal{F}/\mathcal{F}'$ , and  $p_P = p_P^+$ :  $\mathcal{F}_P \to (\mathcal{F}/\mathcal{F}')_P$ .

For an element  $\langle U, s \rangle \in (\mathcal{F}/\mathcal{F}')_P$ , with  $U \ni P$  and  $s \in \mathcal{F}(U)/\mathcal{F}'(U)$ . Since  $\mathcal{F}'(U)$  is a subgroup of  $\mathcal{F}(U)$ , then p(U) is surjective. This implies that there exits  $t \in \mathcal{F}(U)$  such that p(t) = s. We have  $\langle U, t \rangle \in \mathcal{F}_P$  and

$$p_P(\langle U, t \rangle) = \langle U, p(t) \rangle = \langle U, s \rangle.$$

This shows that  $p_P$  is surjective, then  $p_P^+$  is surjective, thus  $p^+$  is surjective.

We have  $\ker p(U) = \mathcal{F}'(U)$  for all open set U. then  $\ker p = \mathcal{F}'$ , which is a sheaf. Then  $\ker p^+ = (\ker p)^+ = \mathcal{F}'$ . Thus we have an exact sequence

$$0 \to \mathcal{F}' \to \mathcal{F} \to \mathcal{F}/\mathcal{F}' \to 0.$$

2. Suppose that we have an exact sequence

$$0 \to \mathcal{F}' \xrightarrow{\alpha} \mathcal{F} \xrightarrow{\beta} \mathcal{F}'' \to 0.$$

Therefore,  $\alpha$  is injective,  $\beta$  is surjective and im  $\alpha = \ker \beta$ .

 $\mathcal{F}'$  is isomorphic to the presheaf given by  $U \mapsto \operatorname{im} \alpha(U)$ . Hence  $\mathcal{F}'$  is isomorphic to the sheaf im  $\alpha$ , which is a subsheaf of  $\mathcal{F}$ .

Since  $\beta$  is surjective, Exercise 1.7 shows that  $\mathcal{F}/\ker\beta$  is isomorphic to im  $\beta = \mathcal{F}''$ . Therefore, the quotient sheaf  $(\mathcal{F}/\operatorname{im} \alpha)^+$  is isomorphic to the sheaf  $\mathcal{F}''$ .

#### Exercise 1.8 If

$$0 \to \mathcal{F}' \stackrel{\alpha}{\to} \mathcal{F} \stackrel{\beta}{\to} \mathcal{F}''$$

is an exact sequence of the sheaves, then  $\alpha$  is injective and im  $\alpha = \ker \beta$ . Since  $\alpha$  is injective, we have  $\alpha(U) : \mathcal{F}'(U) \to \mathcal{F}(U)$  is injective for all open subset U of X. We only need to prove that im  $\alpha(U) = \ker \beta(U)$  for all open subset U. Let any point P of X, by Exercise 1.1, we have

im 
$$\alpha_P = (\text{im } \alpha)_P = (\text{ker } \beta)_P = \text{ker } \beta_P$$
,

and  $\alpha_P$  is injective, then the sequence

$$0 \to \mathcal{F'}_P \overset{\alpha_P}{\to} \mathcal{F}_P \overset{\beta_P}{\to} \mathcal{F''}_P$$

is exact.

For any open set U, we rewrite that

$$\alpha(U): \mathcal{F}'(U) \to \mathcal{F}(U)$$
$$t \mapsto \alpha(t),$$
$$\beta(U): \mathcal{F}(U) \to \mathcal{F}''(U)$$
$$s \mapsto \beta(s).$$

Let  $s \in \text{im } \alpha(U)$ , with a point  $P \in U$ , then  $\langle U, s \rangle \in (\text{im } \alpha)_P$ , thus we have  $\langle U, s \rangle \in (\text{ker } \beta)_P$ , this implies that  $s \in \text{ker } \beta(U)$ . Conversely, let  $t \in \text{ker } \beta(U)$ , with a point  $P \in U$ , we have  $\langle U, t \rangle \in (\text{ker } \beta)_P = (\text{im } \alpha)_P$ , this shows that  $t \in \text{im } \alpha(U)$ . Then we have the sequence

$$0 \to \Gamma(U, \mathcal{F}') \to \Gamma(U, \mathcal{F}) \to \Gamma(U, \mathcal{F}'')$$

is exact. Since if  $\beta$  is surjective, we have  $\beta(U)$  need not sujective for some U, thus the functor  $\Gamma(U, .)$  need not exact.

\* Remark: if the sequence

$$0 \to \Gamma(U, \mathcal{F}') \stackrel{\alpha(U)}{\to} \Gamma(U, \mathcal{F}) \stackrel{\beta(U)}{\to} \Gamma(U, \mathcal{F}'')$$

is exact for all open U, then im  $\alpha(U) = \ker \beta(U)$  for all open subset U, since  $\ker \beta$  is actually a sheaf, this implies that the presheaf  $U \mapsto \operatorname{im} \alpha(U)$  is a sheaf?.

**Exercise 1.14** (support) Let  $\mathcal{F}$  be a sheaf on X, let  $s \in \mathcal{F}(U)$  be a section over an open subset U. We define the support of s by

Supp 
$$s = \{ P \in U : s_P \neq 0 \}.$$

We consider the subset  $T=U-\operatorname{Supp} s$  of U. Let any point  $P\in T$ , then  $s_P=0$ , we have  $s_P=\langle U,s\rangle=\langle U,0\rangle$ , then there is a open set  $W\subseteq U=U\cap U$  containing P such that  $s|_W=0|_W$ . For every point  $Q\in W$ , we have  $s_Q=\langle W,s\rangle=0$ , therefore W is a subset of T, then  $W=T\cap W$ , this implies that W is an open subset of T. So, for any point  $P\in T$ , there is an open subset W containing P of T, thus T is an open set. Supp s is the complement of T in U, then Supp s is closed.

#### Exercise 1.15 (Sheaf $\mathcal{H}$ om).

- 1. Set  $\operatorname{Hom}(\mathcal{F}|_U, \mathcal{G}|_U)$  has a natural structure of abelian group. Let  $\varphi, \phi \in \operatorname{Hom}(\mathcal{F}|_U, \mathcal{G}|_U)$ , for any  $s \in \mathcal{F}(U)$ , we have  $(\varphi + \phi)(s) = \varphi(s) + \phi(s)$ . Since  $\mathcal{G}(U)$  is a abelian group, then  $\varphi(s) + \phi(s) = \phi(s) + \varphi(s) = (\phi + \varphi)(s)$ , this implies that  $\varphi + \phi = \phi + \varphi$  on  $\mathcal{F}(U)$ . Hence,  $\operatorname{Hom}(\mathcal{F}|_U, \mathcal{G}|_U)$  has a natural structure of abelian group.
- 2. Presheaf  $U \mapsto \operatorname{Hom}(\mathcal{F}|_U, \mathcal{G}|_U)$  is a sheaf.
  - (i) If U is an open set,  $\{V_i\}$  is an open covering of U, and if  $\varphi \in \text{Hom } (\mathcal{F}|_U, \mathcal{G}|_U)$  such that  $\varphi|_{V_i} = 0$  for all i. For any  $s \in \mathcal{F}(U)$ , we need to prove that  $\varphi(s) = 0$ . We have  $\varphi(s_i) = 0$  for all  $s_i = s|_{V_i} \in \mathcal{F}(V_i)$ . By the diagram on page 62, we have

$$\varphi(s_i) = \varphi(s|_{V_i}) = \varphi(s)|_{V_i}$$
, for all i.

Therefore, we have  $\varphi(s)|_{V_i} = 0$ , for all i, since  $\mathcal{G}$  is a sheaf, then we have  $\varphi(s) = 0$ , thus  $\varphi = 0$ .

(ii) If U is an open set,  $\{V_i\}$  is an open covering of U, and if we have the element  $\varphi_i \in \text{Hom } (\mathcal{F}|_{V_i}, \mathcal{G}|_{V_i})$  for all i, with the property that for each i, j

$$\varphi_i|_{V_i\cap V_j} = \varphi_j|_{V_i\cap V_j}.$$

We need to prove that there is  $\varphi \in \text{Hom } (\mathcal{F}|_U, \mathcal{G}|_U)$  such that  $\varphi|_{V_i} = \varphi_i$ .

For any  $s \in \mathcal{F}(U)$ , set  $s_i = s|_{V_i}$ , we have

$$\varphi_i(s_i)|_{V_i \cap V_j} = \varphi_j(s_j)|_{V_i \cap V_j},$$

Since  $\mathcal{G}$  is a sheaf, there is  $u \in \mathcal{G}(U)$  such that  $u|_{V_i} = \varphi(s_i)$ , and u is unique. Thus by this way, we have a morphim  $\varphi : \mathcal{F}(U) \to \mathcal{G}(U), s \mapsto u$ . Thus  $\varphi$  is the map, which we need to find.

## Schemes

**Exercise 2.1** For  $f \in A$ , we have the open subset

$$D(f) = \{ \mathfrak{p} \in \operatorname{spec}(A) : f \notin \mathfrak{p} \},\$$

and the closed subset

$$V((f)) = \{ \mathfrak{p} \in \text{spec } (A) : f \in \mathfrak{p} \}.$$

of spec A.

Let  $S = \{f^n\}_{n \geq 0}$ , the localization ring at f is

$$A_f = \{ \frac{u}{f^i} : u \in A, i \in \mathbb{N} \},$$

and we have the correspondence one-to-one between prime ideals

$$\operatorname{spec} A_f \overset{1-1}{\longleftrightarrow} \{ \mathfrak{p} \in \operatorname{spec} A : \mathfrak{p} \cap S = \emptyset \} = D(f),$$
$$\mathfrak{p}^e = \{ \frac{u}{f^i} : u \in \mathfrak{p}, i \in \mathbb{N} \} \longleftrightarrow \mathfrak{p}.$$

The map

$$\omega: D(f) \longrightarrow \operatorname{spec} A_f$$

$$\mathfrak{p} \longmapsto \mathfrak{p}^e.$$

which is surjective, for any ideal I in spec  $A_f$ , there is  $\mathfrak{p} \in D_f$  such that  $I = \mathfrak{p}^e$  and  $\omega^{-1}(I) = \mathfrak{p}$ . We have that if  $\mathfrak{p}^e \subset \mathfrak{m}^e$  then  $\mathfrak{p} \subset \mathfrak{m}$ , and the converse is also true. Let  $V(\mathfrak{q}^e)$  be a closed subset of spec  $A_f$ , for some  $\mathfrak{q}^e \in \operatorname{spec} A_f$ , then

$$V(\mathfrak{a}^e) = \{\mathfrak{p}^e \in \operatorname{spec} A_f : \mathfrak{p}^e \subseteq \mathfrak{a}^e\}.$$

The preimage of  $V(\mathfrak{a}^e)$  is

$$\omega(V(\mathfrak{a}^e))^{-1} = \{ \mathfrak{p} \in D(f) : \mathfrak{p} \subseteq \mathfrak{a} \},\$$

which is closed in D(f), this implies that  $\omega$  is continuous. In the same way, we also have that  $\omega^{-1}$  is continue. Thus  $\omega$  is a homeomorphism.

Now, we prove that

$$\omega_*(\mathcal{O}_X|_{D(f)}) \cong \mathcal{O}_{\operatorname{spec}(A_f)}.$$

This is equivalent to

$$\mathcal{O}_{\operatorname{spec} A_f, \omega(\mathfrak{p})} \cong (\mathcal{O}_X|_{D(f)})_{\mathfrak{p}}, \text{ for every } \mathfrak{p} \in D_f.$$
 (3)

By definition of the restriction schemes (page 65), we have  $(\mathcal{O}_X|_{D(f)})_{\mathfrak{p}} = (\mathcal{O}_X)_{\mathfrak{p}}$ . By Proposition 2.2a, we have  $(\mathcal{O}_X)_{\mathfrak{p}} \cong A_{\mathfrak{p}}$ . The same way, we have

$$\mathcal{O}_{\operatorname{spec} A_f,\omega(\mathfrak{p})} = (\mathcal{O}_{\operatorname{spec} A_f})_{\omega(\mathfrak{p})} = (A_f)_{\omega(\mathfrak{p})}.$$

Then (1) is equivalent to

$$(A_f)_{\omega(\mathfrak{p})} \cong A_{\mathfrak{p}}, \text{ for very } \mathfrak{p} \in D_f.$$
 (4)

Let any  $\mathfrak{p} \in D_f$ , we have  $A_{\mathfrak{p}} = \{\frac{a}{s} : a \in A, s \in A - \mathfrak{p}\}$ . Since  $\omega(\mathfrak{p}) = \mathfrak{p}^e = \{\frac{u}{f^i} : u \in \mathfrak{p}, i \in \mathbb{N}\}$ , then  $(A_f)_{\omega(\mathfrak{p})} = \{\frac{a}{s} : a \in A_f, s \in A_f - \omega(\mathfrak{p})\}$ . Note that if  $a \in A_f$ , then we can write  $a = \frac{u_1}{f^i}$ , for some  $u_1 \in A, i \in \mathbb{N}$ . Since  $f^i \notin \mathfrak{p}$ , then we have  $a \in A_{\mathfrak{p}}$ . And if  $s \in A_f - \omega(\mathfrak{p})$ , then we can write  $s = \frac{u_2}{f^j}$ , for some  $u_2 \in A - \mathfrak{p}, j \in \mathbb{N}$ . So s is an unit in  $A_{\mathfrak{p}}, s^{-1} = \frac{f^j}{u_2}$ . Thus we can define the map

$$\varphi: (A_f)_{\omega(\mathfrak{p})} \to A_{\mathfrak{p}}$$
$$\frac{a}{s} \mapsto a.s^{-1}.$$

The map  $\varphi$  is a ring homomorphism. Indeed,

$$\varphi\left(\frac{a_1}{s_1} + \frac{a_2}{s_2}\right) = \varphi\left(\frac{a_1s_2 + a_2s_1}{s_1s_2}\right) = (a_1s_2 + a_2s_1)(s_1s_2)^{-1}$$

$$= a_1s_1^{-1} + a_2s_2^{-2} = \varphi\left(\frac{a_1}{s_1}\right) + \varphi\left(\frac{a_2}{s_2}\right).$$

$$\varphi\left(\frac{a_1}{s_1} \cdot \frac{a_2}{s_2}\right) = \varphi\left(\frac{a_1a_2}{s_1s_2}\right) = (a_1a_2)(s_1s_2)^{-1}$$

$$= a_1s_1^{-1}a_2s_2^{-1} = \varphi\left(\frac{a_1}{s_1}\right)\varphi\left(\frac{a_2}{s_2}\right).$$

Let any  $\frac{u}{v} \in A_{\mathfrak{p}}$ , for  $u \in A, v \in A - \mathfrak{p}$ , then  $u' = \frac{u}{1} \in A_f, v' = \frac{v}{1} \in A_f - \omega(\mathfrak{p})$ , hence we have

$$\frac{u}{v} = \frac{u}{1} \cdot (\frac{v}{1})^{-1} = \varphi(\frac{u'}{v'}).$$

This shows that  $\varphi$  is surjective.

Suppose that  $\varphi(\frac{a}{s}) = as^{-1} = 0 \in A_{\mathfrak{p}}$ , for some  $a \in A_f, v \in A_f - \omega(\mathfrak{p})$ , then a = 0, so  $\frac{a}{s} = 0$ , this implies that  $\varphi$  is injective.

Therefore  $\varphi$  is a ring isomorphism, give us (2). Thus the conclusion of our proof

$$(D(f), \mathcal{O}_X|_{D(f)}) \cong (\operatorname{spec} A_f, \mathcal{O}_{\operatorname{spec} A_f}).$$

**Exercise 2.2** For any open set U of X, let any  $\mathfrak{p} \in U$ , then  $\mathfrak{p} \in X$ , since X is a scheme, by definition, there is an open set V containing  $\mathfrak{p}$  of X such that V is a affine scheme, this means that there is a ring A such that  $V = \operatorname{spec} A$ . We have that  $\{D(f)\}_{f \in A}$  is a base of V, since  $U \cap V$  is an open set of V, then  $U \cap V = \bigcup_{i \in I} D(f_i)$ . Since  $\mathfrak{p} \in V \cap U$ , then there

 $f_k \in A$ , for  $k \in I$  such that  $\mathfrak{p} \in D(f_k)$ . According to Exercise 2.1, we have  $(D(f_k), \mathcal{O}_X | D(f_k)) = \operatorname{spec} A_{f_k}$ , then  $(D(f_k), \mathcal{O}_X | D(f_k))$  is an affine scheme.

The set  $D(f_k)$  is an open neighborhood of  $\mathfrak{p}$  in U, we denote  $\mathcal{O}_U = \mathcal{O}_X|_U$ , by definition of restriction schemes, for any  $x \in D(f_k)$ , we have

$$(\mathcal{O}_U|_{D(f_k)})_x = (\mathcal{O}_X|_U)_x = (\mathcal{O}_X)_x = (\mathcal{O}_X|_{D(f_k)})_x,$$

this shows that  $\mathcal{O}_U|_{D(f_k)} = \mathcal{O}_X|_{D(f_k)}$ , then  $(D(f_k), \mathcal{O}_U|_{D(f_k)}) = (D(f_k), \mathcal{O}_X|_{D(f_k)})$  is an affine scheme. Therefore  $(U, \mathcal{O}_U)$  is a scheme. Let A be a commutative ring, denote

$$nu(A) = \{a \in A : a^n = 0 \text{ for some } n \in \mathbb{N}\},\$$

then nu(A) is a ideal of A, denote  $A_{red} = A/nu(A)$ , we have  $A_{red}$  is a reduced ring. We consider three claims in commutative algebra.

Claim 1. Let any prime ideal  $\mathfrak{p} \neq 0$  of A, then nu(A) is a subset of p.

Claim 2. Let I be an ideal of A, there is an inclusion-preserving correspondence one-to-one between the set of (prime) ideal containing I and the set of (prime) ideal of A/I.

We define the map

$$\varphi: specA \longrightarrow specA_{red}$$
  
 $\mathfrak{p} \mapsto \mathfrak{p}/nu(A).$ 

By Claim 1 and Claim 2, we have  $\varphi$  is an inclusion-preserving sujective. And implies that  $\varphi$  is a homeomorphism on Zarisky topology.

**Claim 3** For any  $f \in A$ , we have  $(A_f)_{red} = (A_{red})_{\overline{f}}$ , for  $\overline{f}$  is the image of f in  $A_{red}$ .

Exercise 2.3 (Reduced schemes)

(a) ( $\Rightarrow$ ) Suppose that  $(X, \mathcal{O}_X)$  is a reduced scheme. For any  $p \in X$ , let any  $t_p \in \mathcal{O}_{X,p}$  such that  $t_p^n = 0$  for some  $n \in \mathbb{N}$ , there is an open set U of

X, and  $s \in \mathcal{O}(U)$  such that  $t_p = \langle s, U \rangle$ . We have  $t_p^n = \langle s^n, U \rangle = 0$ ,  $(s^n \in \mathcal{O}(U) \text{ since } \mathcal{O}(U) \text{ is a ring,})$  then  $s^n = 0$  in  $\mathcal{O}(V)$ , for some open set V of U. Since  $(X, \mathcal{O}_X)$  is a reduced scheme, then  $\mathcal{O}(V)$  is a reduced ring, this shows that s = 0, then  $t_p = 0$ , thus  $\mathcal{O}_{X,p}$  is a reduced ring.

( $\Leftarrow$ ) Let any open set U of X, let any  $t \in \mathcal{O}(U)$  such that  $t^n = 0$  for some n, let any point  $p \in U$ , then  $t_p = \langle t, U \rangle \in \mathcal{O}_{X,p}$ , we have  $t_p^n = \langle t^n, U \rangle = 0$ , since  $\mathcal{O}_{X,p}$  is a reduce ring, then  $t_p = 0$ , this implies that t = 0 for some open set  $V_P$  containing P of U. Thus t = 0 on U. Hence  $\mathcal{O}(U)$  is a reduced ring. Therefore  $(X, \mathcal{O}_X)$  is a reduced scheme.

(b) Firstly, for every ring A, we prove that

$$(\varphi, \varphi^{\#}) : (specA, (\mathcal{O}_{specA})_{red}) \cong (specA_{red}, \mathcal{O}_{specA_{red}}).$$
 (5)

Indeed,  $\varphi$  is a homeomorphism. Denote  $V = specA, V_{red} = specA_{red}$ , for every point  $p \in V$ , the local ring

$$((\mathcal{O}_V)_{red})_p = \lim_{\stackrel{\rightarrow}{U} \ni p} (\mathcal{O}_V(U)_{red})$$
(6)

$$= \lim_{\substack{U \supset D(f) \ni p}} (\mathcal{O}_V(D(f))_{red}), f \in A.$$
 (7)

$$\mathcal{O}_{V_{red},\varphi(p)} = \lim_{\substack{\longrightarrow\\U\supset D(\overline{f})\ni\varphi(p)}} (\mathcal{O}_{V_{red}}(D(\overline{f}))), \overline{f} \in A_{red}.$$
 (8)

By Proposition 2.2b, we have  $\mathcal{O}_V(D(f)) = A_f$ , by Claim 3, we have  $\mathcal{O}_V(D(f))_{red} = (A_f)_{red} = (A_{red})_{\overline{f}} = \mathcal{O}_{V_{red}}(D(\overline{f}))$ . Thus, by (3), (4), we have

$$((\mathcal{O}_V)_{red})_p = \mathcal{O}_{V_{red},\varphi(p)}$$

Then  $\varphi_*(\mathcal{O}_V)_{red} = \mathcal{O}_{V_{red}}$ , and  $(V, (\mathcal{O}_V)_{red}) \cong (V_{red}, \mathcal{O}_{V_{red}})$ .

Now, if  $(X, \mathcal{O}_X)$  is an affine scheme, then there is a ring A such that  $(X, \mathcal{O}_X) \cong (specA, \mathcal{O}_{specA})$ , then by (1),  $(X, (\mathcal{O}_X)_{red})$  is a scheme. For any  $p \in X$ , since  $(X, \mathcal{O}_X)$  is a scheme, then there is an open set U of X such that  $(U, \mathcal{O}_X|_U)$  is an affine scheme. Then  $(U, (\mathcal{O}_X|_U)_{red})$  is a scheme. Since  $(\mathcal{O}_X)_{red}|_U = (\mathcal{O}_X|_U)_{red}$ , then  $(U, (\mathcal{O}_X)_{red}|_U)$  is an affine scheme. Thus  $(X, (\mathcal{O}_X)_{red})$  is a scheme.

In the case X is an affine scheme, then there is a ring A such that  $(X, \mathcal{O}_X) \cong (specA, \mathcal{O}_{specA})$ , we consider the map  $\pi : A \longrightarrow A_{red}, \pi(f) =$ 

 $\overline{f} = f + nu(A)$ , which is a ring homomorphism, by Proposition 2.3 b, then  $\pi$  induces a natural morphism of locally ringed spaces

$$(f, f^{\#}): (spec(A_{red}), \mathcal{O}_{spec(A_{red})}) \longrightarrow (specA, \mathcal{O}_{specA}),$$

the map f is defined by (seeing the proof of Proposition 2.3b)

$$f: spec(A_{red}) \longrightarrow specA$$
  
 $\mathfrak{p} \longmapsto \pi^{-1}(\mathfrak{p}).$ 

Note that  $spec(A_{red}) = \{\mathfrak{p}/(nu(A)) \in spec(A_{red}) : \mathfrak{p} \in specA\}$ , and so  $\pi^{-1}(\mathfrak{p}/(nu(A)) = \mathfrak{p}$ , this shows us that  $f = \varphi^{-1}$ , the map  $\varphi$  is defined in Claim 2, which is a homeomorphism. Therefore f is a homeomorphism. By (1), we have

$$(X, (\mathcal{O}_X)_{red}) = (specA, (\mathcal{O}_{specA})_{red}) \cong (specA_{red}, \mathcal{O}_{specA_{red}}),$$
 (9)

then there is a morphism of schemes  $X_{red} \to X$ , which is a homeomorphism.

In the general case, if  $(X, \mathcal{O}_X)$  is a scheme, for any open set U of X, the natural map  $\phi(U): \mathcal{O}_X(U) \to \mathcal{O}_X(U)_{red}$ , which is a ring morphism, this give us the presheaf morphism  $\phi: \mathcal{O}_X \longrightarrow (U \mapsto \mathcal{O}_X(U)_{red})$ , then we have the induced sheaf morphism  $\phi^+: \mathcal{O}_X \longrightarrow (\mathcal{O}_X)_{red}$ .

Considering the identity map  $i_X: X \to X$ , then  $i_X$  is a homeomorphism. The direct image sheaf  $(i_X)_*(O_X)_{red} = (O_X)_{red}$ , then we have the sheaf morphism  $i_X^\# = \phi^+: \mathcal{O}_X \longrightarrow (i_X)_*(O_X)_{red}$ . Hence, we have the scheme morphism

$$(i_X, i_X^{\#}): (X, (\mathcal{O}_X)_{red}) \longrightarrow (X, \mathcal{O}_X),$$

which is a homeomorphism.

(c) Lemma: A ring morphism  $f: A \to B$  induces the morphism ring  $g: A_{red} \to B_{red}$  with  $g(\overline{x}) = \overline{f(x)}$ .

*Proof.* We check that g is a map.

For any  $\overline{x}, \overline{y} \in A_{red}$  such that  $\overline{x} = \overline{y}$ , then x = y + nu(A), since f is a ring morphism, then  $f(x) = f(y + nu(A)) = f(y) + nu(A) = \overline{f(y)}$ . Then g is a map. Actually g is a morphism.

We have the scheme morphism

$$(f, f^{\#}): (X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y),$$

with  $f^{\#}: \mathcal{O}_{Y} \longrightarrow f_{*}\mathcal{O}_{X}$ , for any open set U of Y, we have the ring morphism  $f^{\#}(U): \mathcal{O}_{Y}(U) \to (f_{*}\mathcal{O}_{X})(U) = \mathcal{O}_{X}(f^{-1}(U))$ , then it induces the ring morphism  $f^{\#}(U)_{r}: \mathcal{O}_{Y}(U)_{red} \to \mathcal{O}_{X}(f^{-1}(U))_{red}$ , since X is reduced, then  $\mathcal{O}_{X}(f^{-1}(U))_{red} = \mathcal{O}_{X}(f^{-1}(U))$ , then we have the ring morphism  $f^{\#}(U)_{r}: \mathcal{O}_{Y}(U)_{red} \to \mathcal{O}_{X}(f^{-1}(U))$ , this gives us the presheaf  $f_{r}^{\#}: (U \mapsto \mathcal{O}_{Y}(U)_{red}) \longrightarrow f_{*}\mathcal{O}_{X}$ , then we have the induced sheaf morphism  $(f_{r}^{\#})^{+}: (\mathcal{O}_{Y})_{red} \longrightarrow f_{*}\mathcal{O}_{X}$ . This gives us the scheme morphism

$$(g, g^{\#}) = (f, (f_r^{\#})^+) : (X, \mathcal{O}_X) \longrightarrow (Y, (\mathcal{O}_Y)_{red}).$$

Finally, we prove that the composing  $(g, g^{\#})$  with the natural scheme morphism  $(i_Y, i_Y^{\#}): (Y, (\mathcal{O}_Y)_{red}) \longrightarrow (Y, \mathcal{O}_Y)$  equal to  $(f, f^{\#})$ , thus we need only prove that  $f^{\#} = g^{\#} \circ i_Y^{\#}$ .

#### Exercise 2.8

Lemma 1: If A is a local ring, the maximal ideal is  $\mathfrak{p}$ , then the local ring  $A_{\mathfrak{p}} \cong A$ .

Lemma 2: Let  $f: A \longrightarrow B$  be the ring morphism. Let I be the ideal of A, J be the ideal of B such that  $f(I) \subseteq J$ , then induce the map

$$g: A/I \longrightarrow B/J$$
  
 $\overline{x} \longmapsto \overline{f(x)}.$ 

Lemma 3:  $k[\varepsilon]/(\varepsilon^2)$  is a local ring, the maximal ideal is  $(\varepsilon)$ .

Suppose that we have a morphism

$$(f, f^{\#}): (Spec(k[\varepsilon]/(\varepsilon^2)), \mathcal{O}_{Spec(k[\varepsilon]/(\varepsilon^2))}) \longrightarrow (X, \mathcal{O}_X),$$

with

$$f: Spec(k[\varepsilon]/(\varepsilon^2)) \longrightarrow X$$

$$(\varepsilon) \longmapsto x.$$

$$f^{\#}: \mathcal{O}_X \longrightarrow f_*(\mathcal{O}_{Spec(k[\varepsilon]/(\varepsilon^2))}).$$

Suppose that  $f((\varepsilon)) = x$ , the local homomorphism of local rings at  $(\varepsilon)$  is the map

$$f_{(\varepsilon)}^{\#}: (\mathcal{O}_X)_{f((\varepsilon))} \longrightarrow (\mathcal{O}_{Spec(k[\varepsilon]/(\varepsilon^2))})_{(\varepsilon)}.$$

We have  $(\mathcal{O}_X)_{f((\varepsilon))} = \mathcal{O}_x$ ,  $(\mathcal{O}_{Spec(k[\varepsilon]/(\varepsilon^2))})_{(\varepsilon)} = (k[\varepsilon]/(\varepsilon^2))_{(\varepsilon)} = k[\varepsilon]/(\varepsilon^2)$ . Hence, we write again the map

$$f_{(\varepsilon)}^{\#}: \mathcal{O}_x \longrightarrow k[\varepsilon]/(\varepsilon^2).$$

We have that  $\mathfrak{m}_x$  is the maximal ideal of  $\mathcal{O}_x$ , then  $f_{(\varepsilon)}^{\#}(\mathfrak{m}_x)$  is an ideal of  $k[\varepsilon]/(\varepsilon^2)$ , hence  $f_{(\varepsilon)}^{\#}(\mathfrak{m}_x) \subseteq (\varepsilon)$ , we use Lemma 2, this map induces a morphism

$$\varphi: k(x) = \mathcal{O}_x/(\mathfrak{m}_x) \longrightarrow (k[\varepsilon]/(\varepsilon^2))/(\varepsilon).$$

Note that  $(k[\varepsilon]/(\varepsilon^2))/(\varepsilon) \cong k$ , the proof is considered the morphism of  $k[\varepsilon]/(\varepsilon^2)$  to k, this map is surjective and kernel of the map is  $(\varepsilon)$ . Thus we have a morphism of k(x) to k, since k(x), k are the fields, then  $\varphi$  is injective, we also have  $k \subseteq k(x)$ , thus k(x) = k. Define a morphism  $T_x \longrightarrow k$ . Firstly, since  $f_{(\varepsilon)}^{\#}(\mathfrak{m}_x) \subseteq (\varepsilon)$ , we can defined the map

$$\lambda: \mathfrak{m}_x \longrightarrow k$$

$$a \longmapsto \frac{f_{(\varepsilon)}^{\#}(a)}{\varepsilon},$$

the map  $\lambda$  is a ring morphism, let any  $a \in \mathfrak{m}_x^2$ , since  $\varepsilon^2 = 0$ , then  $\lambda(a) = 0$ , this shows that  $\lambda(\mathfrak{m}_x^2) = 0$ , use Lemma 2,  $\lambda$  induces a morphism of  $\mathfrak{m}_x/\mathfrak{m}_x^2$  to k, which is in  $T_x$ .

Conversely, we fix  $x \in X$  for k(x) = k, an element  $\varphi \in T_x$ ,  $\varphi : \mathfrak{m}_x/\mathfrak{m}_x^2 \longrightarrow k$ . We have  $\mathcal{O}_x/\mathfrak{m}_x = k(x) = k$ , then  $\mathcal{O}_x = k \bigoplus \mathfrak{m}_x$ .

**Definition** (Algebras) Let  $f: A \longrightarrow B$  be a homomorphism ring, we define a product

$$a.b = f(a).b.$$

Then B is an A-module. We call that B is an A-algebra. So A-algebra B is defined by the homomorphism  $f:A\longrightarrow B$ . B is a finitely-generated A-algebra if B is a finitely-generated A-module, this mean that there are  $b_1,\ldots,b_r$  in B such that

$$B = Ab_1 + \cdots + Ab_r$$
.

We can see f as a module homormorphism A-module.

Let S be a multiplicatively closed subset of A, then f(S) is also a multiplicatively closed subset of B, we have that f induces a ring homormorphism

$$f_S: S^{-1}A \longrightarrow (f(S))^{-1}B$$

$$\frac{a}{s} \longmapsto \frac{f(a)}{f(s)}.$$

This give us a  $S^{-1}A$ -algebra  $(f(S))^{-1}B$ . And if B is a finitely-generated A-algebra, then  $B = Ab_1 + \cdots + Ab_r$  for some  $b_i$  in B, this implies that

$$(f(S))^{-1}B = S^{-1}A.b_1/1 + \dots + S^{-1}A.b_r/1$$

as a finitely-generated module, then  $(f(S))^{-1}B$  is a finitely generated  $S^{-1}A$ -algebra.

# First Properties of Schemes

#### Exercise 3.1

(⇒) Suppose that f is a locally of finite type. Then there is a covering of Y by open affine subset  $V_i = SpecB_i$ , such that for each i,  $f^{-1}(V_i)$  can be covered by open affine subsets  $U_{ij} = SpecA_{ij}$ , where each  $A_{ij}$  is a finitely generated  $B_i$ -algebra. For every open affine subset V = SpecB of Y, for each i,  $V \cap V_i$  is an open subset of  $V_i$ , then  $V \cap V_i = \bigcup_i Spec(B_i)_{f_{i_k}}$ , for  $f_{i_k} \in B_i$ . Since

 $A_{ij}$  is a finitely generated  $B_i$ -algebra, then there is a ring homomorphism  $\varphi: B_i \longrightarrow A_{ij}$ , which defines the finitely generated  $B_i$ -algebra  $A_{ij}$ . For every  $f_{i_k}$  in  $B_i$ ,  $\varphi$  induces a ring homomorphism  $\varphi_{f_{i_k}}: (B_i)_{f_{i_k}} \longrightarrow (A_{ij})_{\varphi(f_{i_k})}$ , this gives us a finitely generated  $(A_{ij})_{\varphi(f_{i_k})}$ -algebra  $(B_i)_{f_{i_k}}$ , and a scheme morphism of  $Spec((A_{ij})_{\varphi(f_{i_k})})$  to  $Spec((B_i)_{f_{i_k}})$ . We have

$$V = SpecB = \bigcup_{i} (V \cap V_i) = \bigcup_{i} \bigcup_{i_k} Spec(B_i)_{f_{i_k}},$$

since  $f^{-1}(V_i) = \bigcup_{i,ij} Spec A_{ij}$ , then

$$f^{-1}(V) = \bigcup_{i,i_k} f^{-1}(Spec(B_i)_{f_{i_k}}) = \bigcup_{i,j,i_k} (Spec(A_{ij})_{\varphi(f_{i_k})}).$$

To prove easier, for each  $(i, i_k)$ , we set  $C_i = (B_i)_{f_{i_k}}$ ,  $D_{ij} = (A_{ij})_{\varphi(f_{i_k})}$ , with  $D_{ij}$  is a finitely generated  $C_i$ -algebra. So we can write V and  $f^{-1}(V)$  again as follows

$$V = SpecB = \bigcup_{i} (SpecC_i),$$
  
$$f^{-1}(V) = \bigcup_{i,ij} (SpecD_{ij}).$$

Lemma 1: Let X = SpecA be an affine scheme, let U = SpecB be an open subset of X, let  $f \in A$  such that D(f) open in U, let  $\overline{f}$  be the image f in B, then  $A_f \cong B_{\overline{f}}$ . (This lemma is found by the page 83, Hartshorne'book.)

For every  $p \in V = SpecB$ , then  $p \in SpecC_i$  for some i, since  $SpecC_i$  is an open subset of V, then

$$SpecC_i = \bigcup_{i_k} SpecB_{f_{i_k}}.$$

Thus, there is  $f_p \in B$  such that  $p \in SpecB_{f_p}$  , then we have

$$D(f_p) = SpecB_{f_p} \subset SpecC_i \subset SpecB.$$

By Lemma 1, we have  $B_{f_p} \cong (C_i)_{\overline{f_p}}$ , this implies that  $SpecB_{f_p} = Spec(C_i)_{\overline{f_p}}$ . Note that  $SpecB_{f_p}$  is an open neighborhood containing p, which is existing for every  $p \in V$ , then we can write as follows

$$V = SpecB = \bigcup_{p \in V} SpecB_{f_p} = \bigcup_{p \in V, i} Spec(C_i)_{\overline{f_p}}.$$

Thus

$$f^{-1}(V) = \bigcup_{p \in V, i, ij} Spec(D_{ij})_{\varphi(\overline{f_p})}.$$

Lemma 2: Let A, B be the rings, let f be in A. If B is a finitely generated  $A_f$ -algebra, then B is a finitely generated A-algebra.

*Proof.* Suppose that there are  $b_1, \ldots, b_r$  such that

$$B = A_f.b_1 + \dots + A_f.b_r.$$

Hence, for every  $b \in B$ , there are  $\frac{a_1}{f^{i_1}}, \dots, \frac{a_r}{f^{i_r}}$  in  $A_f$  such that

$$b = \frac{a_1}{f^{i_1}}.b_1 + \dots + \frac{a_r}{f^{i_r}}.b_r.$$

We have a ring homomorphism  $\lambda: A \longrightarrow A_f \longrightarrow B$ , since  $\frac{f}{1}$  is an unit in  $A_f$ , then  $\overline{f} = \lambda(\frac{f}{1})$  is an unit in B. By the definition of the product of algebras, then

$$b = a_1.(b_1(\overline{f})^{-1}) + \dots + a_r.(b_r(\overline{f})^{-1}).$$

Thus B is a A-algebra.

(Continuing our proof)

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By Lemma 2,  $(D_{ij})_{\varphi(\overline{f_p})}$  is a finitely generated B-algebra.

 $(\Leftarrow)$ . It is implied by definition.

**Lemma 1.** Let X be a affine schemes, then  $\operatorname{sp}(X)$  is a quasi-compact. (Exercise 2.13-b, page 80.)

Lemma 2. A finite union of quasi-compact sets is a quasi-compact set.

**Lemma 3.** Let  $f: \operatorname{Spec} A \longrightarrow \operatorname{Spec} B$  be a morphism of ringed space, then it induces a ring homomorphism  $\varphi: B \longrightarrow A$  such that  $f(\mathfrak{p}) = \varphi^{-1}(\mathfrak{p})$ . (See Proposition 2.3.) For any  $g \in B$ , we define the open sets

$$D(g) = \{ \mathfrak{p} \in \text{Spec } B : g \notin \mathfrak{p} \},$$
  
$$D(\varphi(g)) = \{ \mathfrak{p} \in \text{Spec } A : \varphi(g) \notin \mathfrak{p} \}.$$

Then  $f^{-1}(D(g)) = D(\varphi(g))$ .

*Proof.* For any  $\mathfrak{p} \notin D(\varphi(g))$ , we have  $f(\mathfrak{p}) = \varphi^{-1}(\mathfrak{p})$ . Since  $\mathfrak{p} \notin D(\varphi(g))$  then we have  $\varphi(g) \in \mathfrak{p}$ , this shows us  $g \in \varphi^{-1}(\mathfrak{p}) = f(\mathfrak{p})$ , hence  $f(\mathfrak{p}) \notin D(g)$ . Thus if  $f(\mathfrak{a}) \in D(g)$  then  $\mathfrak{a} \in D(\varphi(g))$ , therefore

$$f^{-1}(D(g)) = D(\varphi(g)).$$

Exercise 3.2 (Quasi-compact schemes).

 $(\Rightarrow)$  Suppose that  $f: X \longrightarrow Y$  of schemes is quasi-compact, then there is a cover Y by open affine  $V_i$  such that  $f^{-1}(V_i)$  is quasi-compact for each i. For every open affine subset  $V = \operatorname{Spec} B$  of Y. Suppose that  $V_i = \operatorname{Spec} B_i$ . For each  $i, V \cap V_i$  is a open set of  $V_i$ . Then  $V \cap V_i = \bigcup_{i_k} \operatorname{Spec}(B_i)_{f_{i_k}}$ , for some  $f_{i_k} \in B_i$ . Hence

$$V = \bigcup_{i,i_k} \operatorname{Spec} (B_i)_{g_{i_k}}$$

Since V is a affine scheme, then we can take a finite subcover such that

$$V = \bigcup_{i=1}^{n} \bigcup_{k=1}^{u_i} \text{Spec } (B_i)_{g_{i_k}}, \text{ for } u_i \in \mathbb{N}.$$
 (10)

For each i, we have  $f^{-1}(V_i) = \bigcup_{j=1}^{t_i} \operatorname{Spec} A_{ij}$ , for  $t_i \in \mathbb{N}$ , then we have the restriction map  $f_{ij} : \operatorname{Spec} A_{ij} \longrightarrow \operatorname{Spec} B_i$  of f. By Lemma 3, we have

$$f_{ij}^{-1}(\operatorname{Spec}\ (B_i)_{g_{i_k}}) = \operatorname{Spec}\ (A_{ij})_{\varphi_{ij}(g_{i_k})},$$

for  $\varphi_{ij}: B_i \longrightarrow A_{ij}$ . This implies the inverse image

$$f^{-1}(\text{Spec }(B_i)_{g_{i_k}}) = \bigcup_{j=1}^{t_i} \text{Spec }(A_{ij})_{\varphi_{ij}(g_{i_k})}.$$

By (1), we have

$$f^{-1}(V) = \bigcup_{i=1}^{n} \bigcup_{k=1}^{u_i} f^{-1}(\text{Spec } (B_i)_{g_{i_k}})$$
$$= \bigcup_{i=1}^{n} \bigcup_{k=1}^{u_i} \bigcup_{j=1}^{t_i} \text{Spec } (A_{ij})_{\varphi_{ij}(g_{i_k})}.$$

Therefore,  $f^{-1}(V)$  is a finite union of quasi-compact sets, then  $f^{-1}(V)$  is a quasi-compact set.  $(\Leftarrow)$  Obvious.

#### Exercise 3.3

- (a) ( $\Rightarrow$ ) If  $f: X \longrightarrow Y$  is of finite type then there is a covering of Y by open affine subsets  $V_i = \operatorname{Spec} B_i$ , for each  $i, f^{-1}(V_i)$  can be covered by a finite number of  $U_{ij} = \operatorname{Spec} A_{ij}$ , where each  $A_{ij}$  is a finitely generated  $B_i$ -algebra. This define gives us that f is of local finite type, and f is quasi-compact.
  - ( $\Leftarrow$ ) Conversely, if  $f: X \longrightarrow Y$  is of local finite type and quasi-compact. For every open affine  $V = \operatorname{Spec} B$  of Y,  $f^{-1}(V)$  can be covered by open affine subsets  $U_i = \operatorname{Spec} A_i$ , where each  $A_i$  is a finitely generated B-algebra. Since  $f^{-1}(V)$  is quasi-compact, then  $f^{-1}(V)$  can be covered by a finite number of  $U_i$ . This shows that f is of finite type.
- (b) By 3.1, 3.2 and 3.3-a, we have this result.
- (c) Suppose that  $f: X \longrightarrow Y$  is finite of type. For every open affine subset  $V = \operatorname{Spec} B \subseteq Y$ , and for every open affine subset  $U = \operatorname{Spec} A \subseteq f^{-1}(V)$ , since  $f^{-1}(V)$  is quasi-compact, then we have

$$f^{-1}(V) = \bigcup_{i=1}^{n} \operatorname{Spec} A_i,$$

where each  $A_i$  is a finitely generated B-algebra. Since U is an open set of  $f^{-1}(V)$ , then there are  $f_{i_k} \in A_i$  such that

$$U = \bigcup_{i=1}^{n} \bigcup_{k=1}^{u_i} \operatorname{Spec} (A_i)_{f_{i_k}}.$$

For any  $p \in U = \operatorname{Spec} A$ , there is  $f_{i_k}$  such that  $p \in \operatorname{Spec} (A_i)_{f_{i_k}}$ , since  $\operatorname{Spec} (A_i)_{f_{i_k}}$  is an open set of  $\operatorname{Spec} A$ , then there is  $f_p \in A$  such that

 $p \in \operatorname{Spec} A_{f_p} \subseteq \operatorname{Spec} (A_i)_{f_{i_k}}$ . Thus we have

Spec 
$$A_{f_p} \subseteq \operatorname{Spec}(A_i)_{f_{i_k}} \subseteq \operatorname{Spec} A$$
.

Therefore  $A_{f_p} \cong (A_i)_{f_{i_k}}$ , then Spec  $A_{f_p} = \text{Spec } (A_i)_{f_{i_k}}$ , since Spec  $A_{f_p}$  is an open neighborhood of  $p \in \text{Spec } A$ , then

$$U = \operatorname{Spec} A = \bigcup_{p \in U} \operatorname{Spec} A_{f_p}.$$

Since U is an affine scheme, then we can take a finite cover of open sets Spec  $A_{f_p}$ . Hence, there is a finite set  $I \subset U$  such that

$$U = \operatorname{Spec} A = \bigcup_{p \in I} \operatorname{Spec} A_{f_p}.$$

For each i, since  $A_i$  is a finitely generated B-algebra, and  $(A_i)_{f_{i_k}}$  is a finitely generated  $A_i$ -algebra, then  $(A_i)_{f_{i_k}}$  is a finitely generated B-algebra. For any  $p \in U$ , since  $A_{f_p} \cong (A_i)_{f_{i_k}}$  for some  $i, f_{i_k} \in A_i$ , then  $A_{f_p}$  is a finitely generated B-algebra.

Thus we can assume that

$$U = \operatorname{Spec} A = \bigcup_{i=1}^{m} \operatorname{Spec} A_{f_m} = \bigcup_{i=1}^{m} D(f_m).$$
 (11)

where each  $A_{f_m}$  is a finitely generated B-algebra. that  $\emptyset = \bigcap_{i=1}^m V(f_m)$ .

By (2), we have that (1) implies that  $\emptyset = \bigcap_{i=1}^m V(f_m)$ . This implies that 1 belong to the ideal  $(f_1, \ldots, f_m)$  of A. So for any  $a \in A$ , there are  $a_1, \ldots, a_m \in A$  such that

$$a = a_1 f_1 + \dots + a_m f_m.$$

**Lemma 4.** The followings conditions are equivalent for an integrally closed domain.

- 1. A is integrally closed;
- 2.  $A_p$  is integrally closed for every prime ideal p;
- 3.  $A_m$  is integrally closed for every maximal ideal m;

*Proof.* https://en.wikipedia.org/wiki/Integrally\_closed\_domain  $\square$ 

We suppose that A is integrally closed, we consider the affine scheme  $X = \operatorname{Spec} A$ , for every  $p \in \operatorname{Spec} A$ , since the local ring  $\mathcal{O}_{X,p}$  equals to  $A_p$ , by Lemma 1, then  $\mathcal{O}_{X,p}$  is integrally closed. Thus we have X is normal.

**Definition 5.** (Integral closure of a ring). Let A be a ring, for K is the quotient field, let any  $b \in K$ . Then b is said to be *integral* over A if there is a polynomial f in A[x] - 0, such that

$$f(b) = 0.$$

Let B be a ring in K, then we call that B is *integral* over A if every element of B is integral over A.

**Exercise 3.8.** Let  $U = \operatorname{Spec} A$ ,  $V = \operatorname{Spec} B$  be the open affine schemes of a scheme X. Firstly, we describe the open set  $U \cap V$ . Let any point  $p \in U \cap V$ , seeing this set as an open set of U, then there is  $f \in A$  such that  $p \in \operatorname{Spec} A_f \subseteq U \cap V$ . Seeing  $\operatorname{Spec} A_f$  as an open set of V, then there is  $g \in V$  such that

$$p \in \operatorname{Spec} B_q \subset \operatorname{Spec} A_f \subseteq U \cap V \subset \operatorname{Spec} B$$
.

This gives us  $A_f \cong B_g$ , and then Spec  $B_g \cong \operatorname{Spec} A_f$ , which is an open neighborhood of p, then we can write as follows

$$U \cap V = \bigcup_{i} \operatorname{Spec} A_{f_i} = \bigcup_{i} \operatorname{Spec} B_{g_i},$$

for every  $i, A_{f_i} \cong B_{g_i}$ .

We have the natural injective  $i_1:A\longrightarrow \overset{\sim}{A}, i_2:B\longrightarrow \overset{\sim}{B}$ . They induce the schemes morphism  $\varphi:\operatorname{Spec}\overset{\sim}{A}\longrightarrow\operatorname{Spec}A, \phi:\operatorname{Spec}\overset{\sim}{B}\longrightarrow\operatorname{Spec}B$ . By Lemma 3, for every i, we have

$$\varphi^{-1}(U \cap V) = \bigcup_{i} \varphi^{-1}(\operatorname{Spec} A_{f_i}) = \bigcup_{i} \operatorname{Spec} \overset{\sim}{A}_{f_i}.$$
$$\phi^{-1}(U \cap V) = \bigcup_{i} \phi^{-1}(\operatorname{Spec} B_{g_i}) = \bigcup_{i} \operatorname{Spec} \overset{\sim}{B}_{g_i}.$$

For every i, since  $A_{f_i} \cong B_{g_i}$ , then we have  $\overset{\sim}{A}_{f_i} \cong \overset{\sim}{B}_{g_i}$ , thus we have an isomorphism of  $\varphi^{-1}(U \cap V)$  to  $\varphi^{-1}(U \cap V)$ . Then we can glue Spec  $\overset{\sim}{A}$  and Spec  $\overset{\sim}{B}$  along  $\varphi^{-1}(U \cap V)$  to obtain a normal scheme. Finally, we work on a covering of X, then one has a normal scheme  $\overset{\sim}{X}$ , by our gluing, we have a morphim  $\overset{\sim}{X} \longrightarrow X$ .