

# Procedural Story Generation with Transformers



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## **Abstract**

Procedural content generation (PCG) – the process of generating data algorithmically – is a technique that has applications across a variety of domains. In the research outlined in this report, focus is directed to the use of PCG as a means to generate novel-like stories. The challenge is twofold: research into procedural generation methods, as well as the structure and language of stories, addressing questions such as: “What are the elements of a good story?”. Finally, methods to codify these elements must be investigated, in such a way that they can be used by a procedural generation technique, effectively combining the two disciplines.

## **Declaration**

I herewith declare that I have produced this paper without the prohibited assistance of third parties and without making use of aids other than those specified; notions taken over directly or indirectly from other sources have been identified as such. This paper has not previously been presented in identical or similar form to any other Irish or foreign examination board.

The Final Year Project was conducted from 2019 to 2020 under the supervision of James Patten at University of Limerick.

Limerick, 2020

## Acknowledgements

First and foremost I would like to thank James Patten; both for his inspiration of the topic researched, and the constant helpful advice and motivation throughout. This extends to all of the lecturers and teaching assistants I have interacted with over the years, each of whom contributed to where I am today.

The wide breadth of open source code, lectures and tutorials available online were also essential in allowing me to carry out this project, as it was all new ground compared to schoolwork that came before it.

Shoutout Christina Applegate

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# 1

## Introduction

The overall goal of this report is to investigate what makes a good story, and then methods for algorithmically generating stories of this nature. The question of "Why?" may be asked. What makes stories important enough to warrant this kind of work?

Without delving too much into the later content of this report, stories are widely believed to be incredibly useful for fostering an understanding of the shared human experience and questions of existence (Eder 2010), for educational communication (Birch and Heckler 1996) and at their most incisive, contributing to social and political change (Fuertes 2012). This is all in addition to the entertainment value we all gain from stories, whether they be written, recorded, or shared via word of mouth. These stories develop whole ideologies and cultures; one need only look at religions for evidence, and we have evidence of written literature dating back to 2600 BCE (Grimbly 2013).

For these reasons, I believe this research to be incredibly worthwhile. If we can aid or expedite the writing process with the introduction of procedurally generated contributions, this should spur even more creativity in human writers. My inspiration for this is drawn from Google Deep Mind and their Alpha Go (Silver et al. 2017) research: an artificial intelligence (AI) program designed to tackle the ancient Chinese board game of Go. This is outside the scope of our research, but one takeaway from their research was that humans learned and became better for having played the AI program, in the same way they might improve while playing a superior human. We believe we can achieve similar

## **1. INTRODUCTION**

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improvements in human writers with the help of an AI writer, as well as the AI's productions themselves.

### **1.1 Aims and Objectives**

Two main questions are addressed by this research: what is a good story, and how they may be procedurally generated. In order to address this question, this work focuses on the following issues:

- Firstly, an examination of stories from a linguistic perspective. Older research or that which does not concern itself so much with technology. This includes things like story structures, character development, plot devices and so on. Creating a perfect definition for a "good story" may be implausible, but narrowing our definition would be good progress.
- Secondly, we must investigate algorithms for generating these stories; work that has been done to formulate these elements in a way that we could use in a program.
- Thirdly, we will delve into the technology side, researching advancements that have been made from early stages up through state-of-the-art. From here we will produce a prototype product which will generate stories and allow human users to interact and modify these stories as they go. In the same way that a human-computer combination has proved more effective than a human alone in chess (Michie 1972), we aim to create an interactive co-writing experience.

### **1.2 Methodology**

In order to address these questions we will follow the methodology outlined in sequence above, before designing the system itself and implementing the product. Afterwards, we will reflect and perform various types of evaluation on the productions of the system, noting that it is not primarily intended to be run alone

## **1.3 Research Contribution**

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but rather with human interaction. This will include known language generation metrics as well as human review.

### **1.3 Research Contribution**

With this research, I hope to contribute a comprehensive history and discussion of what makes stories a worthwhile endeavour, how their quality and elements may be defined, and finally produce a prototype that allows us to see the potential of procedural story generation.

### **1.4 Report Outline**

The remaining chapters of this report are as follows:

*Chapter Two* outlines and discusses the history and related research to this topic, from linguistic and technological perspectives.

*Chapter Three* relates to the design of my chosen system and the choices that were made with regard to models, architecture etc.

*Chapter Four* presents the implementation of the system, portions of interesting code, struggles that were faced and how I overcame them.

*Chapter Five* deals with the evaluation of productions from the system, both objective metrics and subjective human review.

*Chapter Six* draws conclusions and evaluates my satisfaction and areas for improvement. Lastly, it suggests possible future works and research.

## **1. INTRODUCTION**

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# 2

## Related Research

Much research has been undertaken on this topic, with a variety of approaches.

### 2.1 Stories

It is important for us to understand the most basic, linguistic concepts before we move on to algorithmic productions. What are the elements that make up a good story? What constitutes a good story? What even is a story?

According to Webster (Dictionary 2002), a *Story* is:

An account of incidents or events, [either] regarding the facts pertinent to a situation in question, [or] a fictional narrative.

With a *narrative* being:

A way of presenting or understanding a situation or series of events that reflects and promotes a particular point of view or set of values.

This is naturally a broad set of definitions, but it does give us some cues. From a story, we would expect a series of events related to each other in some way, either to describe a situation or promote a certain worldview or message. That is, there is an overall connection and cohesiveness to the piece. Literary critics have posited several interpretations or generalisations: that stories begin in equilibrium before being disrupted, and ultimately involve a journey back to equilibrium (Todorov and Weinstein 1969), but yet more argue that there can be

## 2. RELATED RESEARCH

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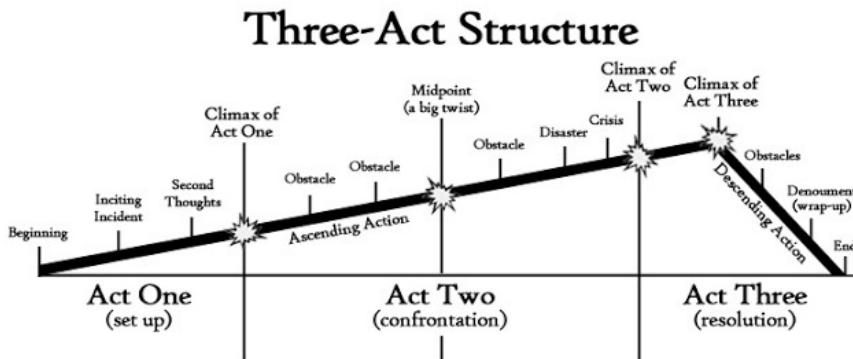
no "correct" definition determined (Sullivan 2002). The fields of Literary Theory and Narratology emerged in an attempt to dissect and formulate stories, which we will discuss more later.

I first set out to examine commonly used techniques used in various aspects of storytelling. These will perhaps be more relevant in evaluating the productions of my system, rather than guiding them too much, depending on the level of autonomy, and the rigidity of training and generation it has.

### 2.1.1 Structure

Structure describes the underlying framework of a story and, as the highest level of a story planning process, was first to be investigated.

The classic structure would be the three acts. This dates back to Aristotle in 400BC, describing a story as having three parts: a beginning, an end and a middle (Mack et al. 1980), and is still popular today. It is commonly depicted something like (Figure 2.1).

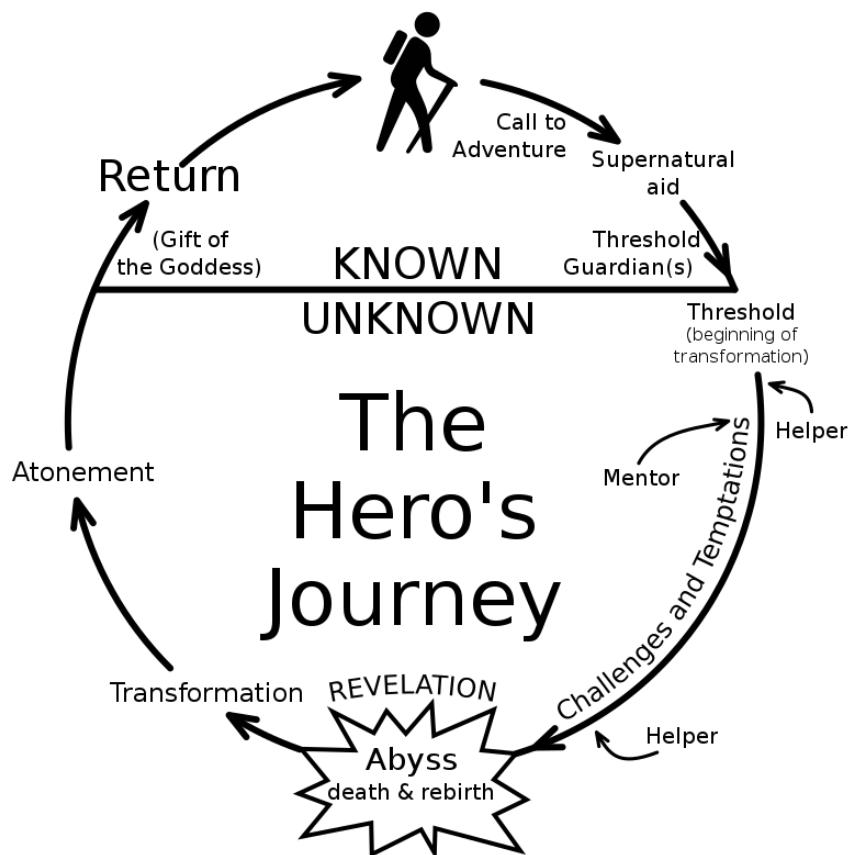


**Figure 2.1: Three Act Structure** - Breakdown of three act structure. [Source: (Morrill and Williamson 2013)]

Stories are broken down into distinct sections: setup and exposition, rising action and confrontation, climax and resolution (Trottier 1998). This is a bit more versatile, which is something to consider for the later steps of "filling in the gaps" with our algorithmic approach. A balance must be struck between:

providing some structure so that the generation has some level of cogency, but also allowing flexibility so that not all stories are the same.

Other popular methods include the Hero's Journey (Campbell 2008), which describes a cycle of sorts: a call to adventure, crossing from the known to the unknown, transformation etc. This is a more granular structure, most known for its application in Star Wars. See (Figure 2.2).



**Figure 2.2: The Hero's Journey** - A cycle of the hero's journey. [Source: (Vogler 1985)]

However, that is not to say these are the only structures that must be followed, nor that there must be that traditional arc. Some authors have examined spiral, fractal and explosive patterns in literature (Alison 2019), rejecting the historical, structural norms. It is tempting to declare adherence to a structure irrelevant, but patterns do remain, so this cannot be ignored entirely.

## **2. RELATED RESEARCH**

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### **2.1.2 Plot**

Delving deeper into the story, plot makes up those events which are significant, have consequences and make a difference to the story (Dibell 1999). If we examine our three act structure figure from earlier (Figure 2.1), we see ticks along the line of the story, larger incidents which have an impact.

Indeed, a scene or series of events may be memorable and iconic, but if they do not serve as major events that progress the overall narrative, then they do not constitute plot (Alcorn 2014). Following on from the three-act structure, plot points would be used to connect the acts to each other, for example: our protagonist is thrust into an unexpected situation, they face a setback and it seems all hope is lost, finally they overcome.

For the context of our system, plot points should serve as transitional pieces, advancing the story in some way so we don't simply remain at or revert back to the previous content. This must be handled with care, as too few plot points would be boring, but too many would be bewildering.

### **2.1.3 Setting**

This refers to the time, location and milieu in which the story occurs (Lodge 2012) often referred to as the "world" or "universe", in modern works.

This serves as the backdrop of our story, and to feel authentic it must be rich with context and history. At their best, settings are so specific that they provide natural associations to the reader (Kuntz 1993), setting the mood and plot anticipations.

When generating content, there should be at minimum a consistency of setting, and ideally it should have enough detail to establish a mood that carries through the story.

## **2.2 Narratology**

Having touched on literary theories, I was set on to the work of Vladimir Propp on Narratology (the study of narrative) and his early work in formulating elements of stories. Specifically, his seminal work with Morphology of the Folktale (Propp

1968), originally written in 1928. He, along with other Russian formalists, took a modern approach to narratology after Aristotle's ancient theorising.

They distinguished the syuzhet (plot) from the fabula (story). The idea was that the story is the raw material, familiar in many ways already, and it is *defamiliarised* (a term they coined) into the plot, a new organisation and the way the story is told. This goes back to our previous point on Plot (2.1.2), which pointed out that plot pertains to the information that pushes a story along. They are subtly different concepts.

### 2.2.1 Abstractions

Propp's work is very relevant to this research, since he has some of the earliest work on abstracting and formulating aspects of stories (specifically Russian folktales).

He first curated a table of possible events at various stages of a story, then associated these with symbols and combined them with functions into what look like mathematical formulas. An example looks something like: (Figure 2.3).

#### 1. Analysis of a simple, single-move tale of class H-I, of the type: kidnapping of a person.

131. A tsar, three daughters ( $\alpha$ ). The daughters go walking ( $\beta^*$ ), overstay in the garden ( $\delta^1$ ). A dragon kidnaps them ( $A^1$ ). A call for aid ( $B^1$ ). Quest of three heroes ( $C\uparrow$ ). Three battles with the dragon ( $H^1-I^1$ ), rescue of the maidens ( $K^4$ ). Return ( $J$ ), reward ( $w^o$ ).

$\beta^*\delta^1A^1B^1C\uparrow H^1-I^1K^4|w^o$

**Figure 2.3: Morphology of the Folktale - Breaking down a story.** [Source: (Propp 1968)]

There were hundreds of these, assembled in a tabular format like so: (Figure 2.4).

This laid groundwork for the development of grammars, while this is perhaps too rigid and systemic to be applicable, as argued by some (Dundes 1997).

## 2. RELATED RESEARCH

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Tale (new No.)	Move	D	E	F	A	B	C	$\uparrow$	D	E	F	G	o
93	I				$A_{xvii}$	$B^3$			$d^7$	$E_{-7}$	$F_{-}$		
	II				$a^6$	$B^3$		$\uparrow$	$d^7$	$E_{+7}$	$F_{+1}$		
	III <sup>1</sup>				$A_{xvii}$			$\uparrow$					
95	I				$A^9$	$B^6$			$D^1$	$E^1$	$f^1$		
	II				$[a^8]$	$B_2^6$	C	$\uparrow$	$D^1$	$E_{-1}$	$F_{-}$		
98	I				$A^9$	$B^6$			$D^7$	$E^7$	$f^9$		
	II				$a^6$	$B_2^8$	C	$\uparrow$	$D^1$	$E^1$	$f^1$		
100					$A^{ii}$				$D^3$	$E^8$	$F^{+1}$		

**Figure 2.4: Morphology of the Folktale - Table of stories.** [Source: (Propp 1968)]

## 2.3 Formal Language Theory

(Formal) Grammars were devised as a more generic and granular approach to generating strings of text, by following certain rules, from a certain alphabet (Reghizzi et al. 2013). Compared to Propp's work on formulating *elements*, this was work being done down to the character level, getting closer to what we would need for algorithmic generation.

Emil Post was one of the early innovators in this area, creating the Post Canonical System in 1943, a string manipulation system for generating instances of a language, from an initial alphabet and rules (Post 1943).

### 2.3.1 Grammars

Noam Chomsky then proposed a set of generative grammars in 1956, classified in the *Chomsky Hierarchy* (Chomsky 1956), with different levels of strictness in their rules. The two efficient and popular types were the Context Free Grammar and Regular Grammar.

Chomsky grammars consist of a finite set of production rules (left-hand side  $\rightarrow$  right-hand side), where each side consists of a finite sequence of the following symbols:

## **2.4 Natural Language Processing**

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- a finite set of nonterminal symbols (indicating a production rule can be applied)
- a finite set of terminal symbols (indicating no production rule can be applied)
- a start symbol (a distinguished nonterminal symbol that is not found on any right hand side, and so cannot be produced anyway else)

For example:

$$S \rightarrow AB \quad (2.1)$$

$$S \rightarrow \lambda(\text{empty string}) \quad (2.2)$$

$$A \rightarrow aS \quad (2.3)$$

$$B \rightarrow b \quad (2.4)$$

This is a Context Free Grammar (CFG) that could generate a string of letters "a" and "b".

However, while these are interesting and worth exploring from a historical perspective, formal grammars like this require significant human building and labelling (Compton et al. 2014), which is troubling from the perspectives of extensibility and originality.

## **2.4 Natural Language Processing**

Definitions, brief history

### **2.4.1 Neural Networks**

So hot right now.

## **2. RELATED RESEARCH**

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### **2.4.1.1 Recurrent Neural Networks & LSTMs**

Better, and best for a while, but still not great.

### **2.4.2 Transformers**

vaswani et al, Attention is all you need, faster, longer range dependencies

#### **2.4.2.1 BERT**

Google

#### **2.4.2.2 GPT**

First attempt

#### **2.4.2.3 GPT-2**

New and improved!

# 3

## Design

### 3.1 Language Model

Which one do we choose? But there are so many!

#### 3.1.1 GPT-2

Comparison with the others, why it's best

#### 3.1.2 Implementation

HuggingFace, extensibility, open source

#### 3.1.3 Training

Hardware?! Google colab!

#### 3.1.4 Data

/r/nosleep

#### 3.1.5 Generation

Scripts, not too intensive

### **3. DESIGN**

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## **3.2 User Interface**

Webapp! (Sketches)

### **3.2.1 Back End**

Python/Flask API

### **3.2.2 Front End**

React JS

# 4

## Implementation

### 4.1 Web Scraping

Gathered training data from reddit, few different approaches, different sized datasets produced.

#### 4.1.1 Reddit API

Limited to 1000 posts

#### 4.1.2 PushShift API

Third party data source of reddit posts, able to circumvent the limit.

#### 4.1.3 Datasets

Small for testing, large unwieldy, medium used. Found that perplexity score was worse with medium compared to small.

### 4.2 Training the Model

Worried about hardware, online resources to the rescue! Sample scripts for GPT-2 provided by huggingface

## **4. IMPLEMENTATION**

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### **4.2.1 HuggingFace**

Open source abstraction of GPT-2, convenient scripts that can be modified and used. Checkpoints stored at various points.

#### **4.2.1.1 Scripts**

script code and explanation

### **4.2.2 Google Colab**

Online jupyter notebook environment, can use a hosted runtime to take advantage of GPU/TPU, free for 12 hours at a time.

#### **4.2.2.1 Workflow**

Results stored in runtime and can be exported to Google Drive and downloaded (checkpoints useful here).

## **4.3 Python Flask API**

API to encapsulate scripts, easily callable and customisable.

## **4.4 React JS**

Web framework, modern JavaScript library for building UI.

### **4.4.1 Hooks**

Functional approach, no classes, using state which is passed around. Challenging new way of thinking but extensible and clean.

# 5

## Evaluation

### 5.1 Language Model Metrics

Objective measures are a good baseline against other models. Unsure of efficacy.

#### 5.1.1 Perplexity

Lower the better, got worse with larger dataset. Not necessarily a good measure.

### 5.2 Human Review

More effective but obvious downsides in terms of speed. Part of the interactive experience.

#### 5.2.1 Self Review

My judgement.

#### 5.2.2 Anonymous Reviews

Posted various stories back to reddit to gauge response.

## **5. EVALUATION**

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# **6**

## **Conclusions and Future Directions**

### **6.1 Summary**

### **6.2 Conclusions**

### **6.3 Contributions**

### **6.4 Future Work**

## **6. CONCLUSIONS AND FUTURE DIRECTIONS**

---

# **Appendix A**

**Insert a figure**

## Appendix

# Appendix B

## Code for estimating attitude

### AHRS\_TRIAD.C

```
/*
 *
 * Copyright (c) 2013, Giuseppe Torre
 * All rights reserved.
 *
 * Redistribution and use in source and binary forms, with or without
 * modification, are permitted provided that the following conditions are met:
 *   * Redistributions of source code must retain the above copyright
 *     notice, this list of conditions and the following disclaimer.
 *   * Redistributions in binary form must reproduce the above copyright
 *     notice, this list of conditions and the following disclaimer in the
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 *
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 * WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE ARE
 * DISCLAIMED. IN NO EVENT SHALL BREAKFAST LLC BE LIABLE FOR ANY
 * DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES
 * (INCLUDING, BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES;
 * LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND
 * ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT
 * (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OF THIS
 * SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.
 */
#include "ext.h"
#include "ext_mess.h"
#include <string.h>
#include <stdio.h>
#include <math.h>
#define EPSILON 1e-6

//*****CALIBRATION STUFF*****
/* INTRODUCE THE OFFSET VALUES IN THE FOLLOWING VARIABLES IN ADC values */
#define ACCELX_OFFSET 2043 //initial offset in accelerometer X
#define ACCELY_OFFSET 2053 // initial offset in accelerometer Y
#define ACCELZOFFSET 2264//initial offset in accelerometer Z

#define MagX_OFFSET 1917 //initial offset in Magnetometer X
```

## Appendix

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```
#define MagY_OFFSET 1813 // initial offset in Magnetometer Y
#define MagZ_OFFSET 1667//initial offset in Magnetometer Z
/* WE SHOULD CALIBRATE THE FOLLOWING VALUES FOR EACH PARTICULAR IMU axis */
#define ACCELX_Resolution 536
#define ACCELY_Resolution 661
#define ACCELZ_Resolution 559
#define MagX_Resolution 186
#define MagY_Resolution 189
#define MagZ_Resolution 170
//***** END CALIBRATION STUFF *****

void *this_class; // Required. Global pointing to this class

typedef struct _triad // Data structure for this object
{
    t_object m_obj; // Must always be the first field; used by Max
    Atom m_args[9]; // we want our inlet to be receiving a list of 10 elements
    long m_value; //inlet
    void *m_R1; //these are all the outlets for the 3 X 3 Matrix
    void *m_R2; // R1 --> firts top left cell ..R2 middle cell of the first
    row ...and so on
    void *m_R3;
    void *m_R4; // End Outlets
} t_triad;

void *triad_new(long value);

void triad_assist(t_triad *triad, void *b, long msg, long arg, char *s);
void triad_free(t_triad *triad);

void triad_list(t_triad *x, Symbol *s, short argc, t_atom *argv);

void MatrixByMatrix(double *Result, double *MatrixLeft, double *MatrixRight);

void Matrix2Quat(double *Quat, double *Matrix);
void Quat2Matrix(double *Matrix, double *Quat);
void inverseQuat(double *InvQuat, double *RegQuat);
void NormQuat(double *YesQuat, double *NotQuat);
void Slerp(double *NewQuat, double *OldQuat, double *CurrentQuat);
void NormVect(double *YesVect, double *NotVect);
double orientationMatrix[9];
double Result[9];
int i;
double InvorientationMatrix[9];

double temp[6];
double ref[6];
double vectAx[3];
double vectAy[3];
double vectAz[3];
double vectBx[3];
double vectBy[3];
double vectBz[3];
double MagnCrosProd_A;
double MagnCrosProd_B;
double accnorm, magnorm, earthnorm, VectAynorm, VectAznorm,
    VectBynorm, VectBznorm;
```

---

```

        double m[9], n[9];
        double quat_e[4];
        double invquat_e[4];
        double mult;
        double quat_new[4];
        double quat_old[4];
        double ees ,accey_ADCnumber ,y ,accez_ADCnumber ,z ,magnx_ADCnumber ,mx ,
               magny_ADCnumber ,my ,magny_ADCnumber ,mz ,magnz_ADCnumber ;
// SLERP Variables
        double trace , Suca;
        double tol[4] , omega , sinom , cosom , scale0 , scale1 , tez ,
               orientationMatrixA [9];

int main( void )
{
    // set up our class: create a class definition
    setup((t_messlist**) &this_class , (method)triad_new , (method)triad_free , (short)
          sizeof(t_triad) , 0L,A_GIMME, 0);
    addmess((method)triad_list , "list" , A_GIMME, 0);
    addmess((method)triad_assist , "assist" , A_CANT, 0);
    finder_addclass("Maths","triad");
    post(".... I 'm_Triad_Object !.... from_AHRS_Library ...",0);
    return 0;
}

/* -----triad_new -----*/
void *triad_new(long value)
{
    t_triad *triad;
    triad = (t_triad *)newobject(this_class);           // create the new instance and return
    a pointer to it
    triad->m.R4 = floatout(triad);
    triad->m.R3 = floatout(triad);
    triad->m.R2 = floatout(triad);
    triad->m.R1 = floatout(triad);

    return(triad);
}

etc.

etc.

```

## Appendix

# References

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