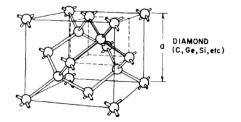
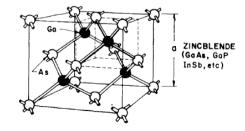
#### 618327-2560

# PHYSICS OF ELECTRONIC MATERIALS AND DEVICES

Dr. Orrathai Watcharakitchakorn

#### Lecture 4



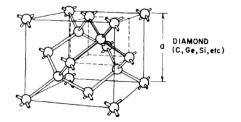


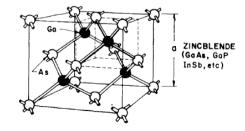
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# PHYSICS OF ELECTRONIC MATERIALS AND DEVICES

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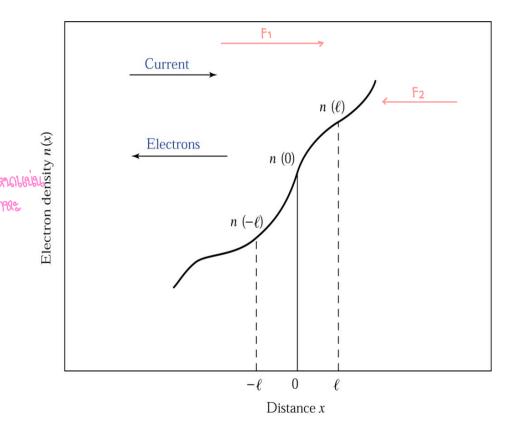
#### Diffusion process กระบวนการแพร่ osmosis

กระบวนการแพร่ osmosis แพร่จากความเข้มขันพหะสูง → ความเข้มขันพหระต่ำ

เกิดกระแสการแพร

- The drift current is the transport of carriers when an electric field is applied.
- There is another important carrier current called "diffusion current". (กระแสการแพร่)
- This diffusion current happens as there is a variation of carrier concentration in the material.
- The carriers will move from a region of high concentration to a region of low concentration.
- This kind of movement is called "diffusion process".

(กระบวนการแพร่)



กระแสหิจารณาจาก

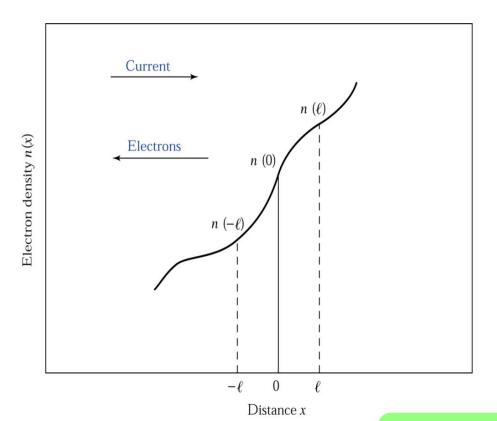
• An electron density varies in the *x*-direction under uniform temperature.

อุณหภูมิกระจายคงที่สม่ำเสมอ

 The average thermal energy of electrons will not vary with x, but the electron density n(x)

ความเร็วเฉลี่ยเนื่องจากความร้อนของอิเล็กตรอนจะ เป็นอิสระกับ  $oldsymbol{\mathcal{X}}$ 

- The electrons have an average thermal velocity  $v_{th}$  and a mean free path l.
- เพื่อที่จะคำนวณกระแส เราจะต้องกำหนดอัตราไหลสุทธิของอิเล็กตรอนต่อหนึ่งหน่วยเวลาต่อหนึ่งหน่วยพื้นที่ ข้ามระนาบที่  $x{=}o$  โดยที่ l จากรูปคือ mean free path ของอิเล็กตรอน



- The electron at x = -l have an equal chances of moving left or right.
- The average of electron flow per unit area  $F_1$  of electron crossing plane x = 0 from the left is expressed as

อัตราของการไหลอิเล็กตรอน  $F_1$  ใน ทิศทาง + x ที่ x = o (จากทางซ้ายมือ)คือ

$$F_{1} = \frac{0.5n(-l) \cdot l}{\tau_{c}} = 0.5n(-l) \cdot v_{th}$$
 (1.1)

• Likewise, the average of electron flow per unit area  $F_2$  of electron at x = l crossing plan at x = 0 from the right is

เช่นเดียวกัน อัตราของการไหลอิเล็กตรอน  $F_{\scriptscriptstyle \mathcal{O}}$  ที่ x=l (จากทางขวามือ) คือ

$$F_2 = 0.5n(l) \cdot v_{th} \tag{1.2}$$

- Then, the net rate of electron flow from left to right is given by
- อัตราสุทธิของการไหลอิเล็กตรอนเขียนได้เป็น

$$F = F_1 - F_2 = 0.5v_{th} [n(-l) - n(l)]$$

$$= 0.5v_{th} \left\{ \left[ n(0) - l \frac{dn}{dx} \right] - \left[ n(0) + l \frac{dn}{dx} \right] \right\}$$

$$\mathbf{F} = -v_{th} l \frac{dn}{dx}$$
(1.3)

• Therefore, the net rate *F* can be written as

$$F = -D_n \frac{dn}{dx}$$
 (2)

where  $D_n = v_{th} \cdot l$  = the diffusion coefficient (diffusivity)

(สัมประสิทธิ์การแพร่อิเล็กตรอน; cm²/sec)

 A current density caused by the electron diffusion is given by

$$J_e = -qF = qD_n \frac{dn}{dx} \tag{3}$$

 $\left( rac{dn}{dx} 
ight)$ คือ เกรเดียนต์ความหนาแน่นอิเล็กตรอน

#### Example 1

เปลือนไปเป็นเชื้อเส้น จาก เ×เด็นปี น 7x10

• An n-type semiconductor at T = 300 K, the electron concentration varies linearly from 1 x  $10^{18}$  to 7 x  $10^{17}$  cm<sup>-3</sup> over a distance of 0.1 cm. Calculate the diffusion current density if the electron diffusion coefficient  $D_n$  is 22.5 cm<sup>2</sup>/s.

$$\int = Q D N \frac{dn}{dx}$$

$$\int = 1.6 \times 10^{19} \times 33.5 \times \left[ \frac{(1 \times 10) - 6 \times 10}{0.1 - 0} \right]$$

$$\int = 1.6 \times 10^{2} \times 33.5 \times \left[ \frac{(1 \times 10) - 6 \times 10}{0.1 - 0} \right]$$

#### Einstein Relation

• From the conservation of energy for <u>one-dimensional</u> case

$$\frac{1}{2}mv_{th}^2 = \frac{1}{2}kT$$
 (4)

we can write

$$D_{n} = v_{th} I$$

$$= v_{th} \left( v_{th} \tau_{c} \right)$$

$$= v_{th}^{2} \left( \frac{\mu_{e} m_{e}}{q} \right)$$

$$= \left( \frac{kT}{m_{e}} \right) \left( \frac{\mu_{e} m_{e}}{q} \right)$$

$$Vhn: \int \frac{kT}{me}$$

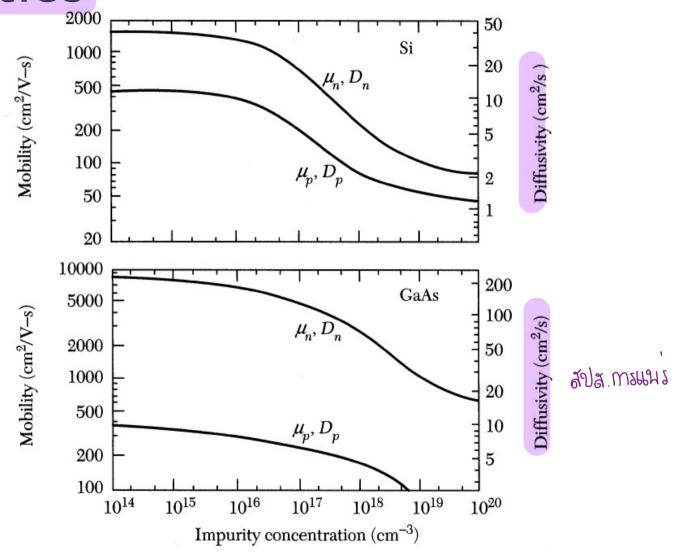
#### Einstein Relation

Therefore,

$$D_n = \left(\frac{kT}{q}\right) \mu_e^{\alpha}$$
 สัมประสิทธิ์ ความคลังจะจัง (5)

- This is called "Einstein relation" (ความสัมพันธ์ของไอสไตน์) Since it relates the two constants that describe diffusion and drift transport of carriers, diffusivity and mobility, respectively. (สัมประสิทธิ์การแพร่และความคล่องตัว)
- This Einstein relation can be used with holes as well.

#### **Diffusivities**



Mobilities and diffusivities in Si and GaAs at 300 K as a function of impurity concentration.

# Example 2

• Minority carriers (holes) are injected into a homogeneous n-type semiconductor sample at one point. An electric field of 50 V/cm is applied across the sample, and the field moves these minority carriers a distance of 1 cm in 100  $\mu$ s. Find the drift velocity and the diffusivity of the minority carriers. T = 300 K.

$$Dp = \frac{1.38 \times 10 \times 300}{1.6 \times 10^{19} \text{ C}} \times 300 \text{ cm}^{2}/\text{V·s} ; Dp = \left[\frac{kT}{m}\right] \mu h$$

$$Dp : 5.175 \text{ cm}^{2}/\text{s} # ; VD : \frac{s}{t} = \frac{1 \text{cm}}{100 \mu} : 10^{4} \text{ cm}/\text{s} #$$

$$\text{j VD : } \mu h \in \text{j Cm} \times \frac{\text{cm}}{\text{s}} \times \frac{\text{cm}}{\text{v}} \text{j}$$

$$\mu h : \frac{\text{vp}}{\text{E}} : \frac{10^{4} \text{ cm}/\text{s}}{\text{50 v/cm}} : 300 \text{ cm}^{2}/\text{v·s}$$

• For conclusion, when an electric field is applied in addition to a concentration gradient, both drift current and diffusion current will flow.

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• The total current density due to electron movement can be written as

$$J_{e} = q \begin{bmatrix} drift current & diffusion current \\ (\mu_{e}nE) + D_{n} \frac{dn}{dx} \end{bmatrix}$$

$$(6)$$

where n = electron density

The total conduction current density is given by

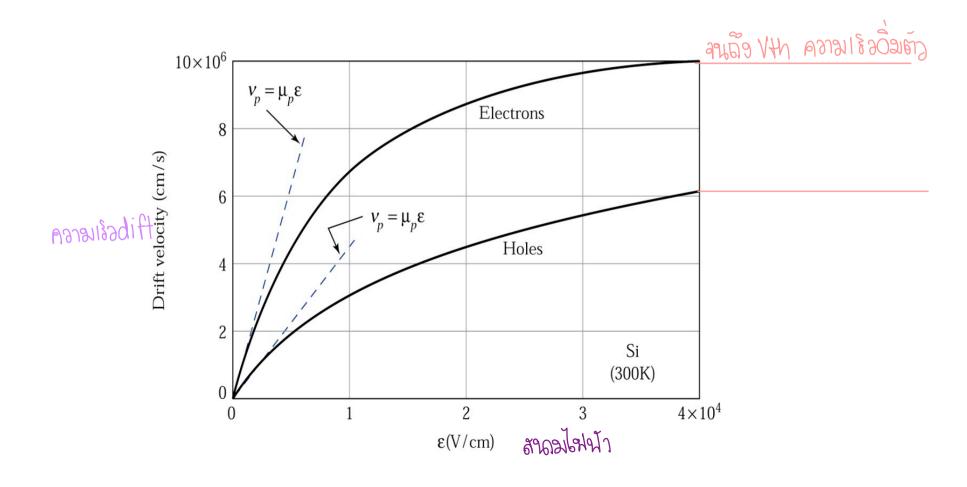
$$J_{total} = J_e + J_h \tag{7}$$

where  $J_h$  is the hole current.

$$J_h = q\mu_h pE - qD_h \frac{dp}{dx} \quad \text{hole} \quad (8)$$

where p is hole density.

• At a very high electric field, the drift velocity will saturated where it approaches the thermal velocity.



#### Electron as a wave

• L. de Broglie said electrons of momentum p exhibits wavelike properties that are characterized by wavelength  $\lambda$ .

$$\lambda = \frac{h}{p} = \frac{h}{mv} \qquad \text{pr my} \tag{9}$$

ค่าควา Planch's

where

 $h = Planck's constant = 6.62 \times 10^{-34} J.s$ 

m = electron mass

v =speed of electron

- Kinetic energy + Potential energy = Total energy
- Schrodinger's equation describes a wave equation in 3 dimensions is written as

$$-\frac{\hbar^2}{2m}\nabla^2\psi(r,t) + V(r)\psi(r,t) = i\hbar\frac{\partial}{\partial t}\psi(r,t) \quad (10)$$

where

$$\hbar = h/2\pi$$

 $\nabla^2$  = Laplacian operator in rectangular coordinate

$$= \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$$

 $\psi$  = wave function

V = potential term

To solve the equation, we assume

$$\psi(r,t) = \psi(r).\phi(t) \tag{11}$$

and substitute it in Schrödinger's equation. We have

$$-\frac{\hbar^2}{2m}\phi(t)\nabla^2\psi(r) + V(r)\psi(r)\phi(t) = i\hbar\psi(r)\frac{d\phi(t)}{dt}$$
 (12)

Divide both sides by  $\psi(r).\phi(t)$ , we have

$$\frac{-\frac{\hbar^2}{2m} \frac{\nabla^2 \psi(r)}{\psi(r)} + V(r) = i\hbar \frac{1}{\phi(t)} \frac{d\phi(t)}{dt}}{\int_{\text{nonsimulation}}^{\text{n}} \frac{d\phi(t)}{dt}} \tag{13}$$

- Both can be equal only if they are separately equal to a constant *E* as
  - Time dependent case:

$$i\hbar \frac{d\phi(t)}{dt} = E\phi(t) \tag{14}$$

Position dependent: (Time independent)

$$-\frac{\hbar^2}{2m}\nabla^2\psi(r) + V(r)\psi(r) = E\psi(r)$$
 (15)

Consider time dependent part, we can solve the equation as,

$$\phi(t) = \exp\left\{ \left( -\frac{iE}{\hbar} \right) t \right\}$$

where 
$$E = h \nu = h \omega / 2\pi = \hbar \omega$$
  $\frac{h}{2\pi} e^{-i\pi i \pi}$ 

Therefore;

$$\phi(t) = \exp(-i\omega t) \tag{16}$$

• For position dependent, to make it simple, assume that electrons can move in only one dimension (*x*-direction).

$$-\frac{\hbar^2}{2m}\frac{d^2\psi(x)}{dx^2} + V(x)\psi(x) = E\psi(x)$$
 (17)

$$\frac{d^2\psi(x)}{dx^2} + \frac{2m}{\hbar^2} \left[ E - V(x) \right] \psi(x) = 0$$
 (18)

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where  $|\psi(x)|^2$  is probability of finding electron.

• Now let us consider the 4 different cases for V(x)

Case 1: The electron as a free particle (V = 0) equation (18) becomes

$$\frac{d^2\psi(x)}{dx^2} + \frac{2m}{\hbar^2}E\psi(x) = 0$$
 (19)

General solution of this equation is

$$\psi(x) = Ae^{+ikx} + Be^{-ikx} \tag{20}$$

• From  $\psi(r,t) = \psi(r).\phi(t)$ , the general solution of Schrodinger's equation in this case is

$$\psi(x,t) = \left(Ae^{+ikx} + B^{-ikx}\right)e^{-i\omega t} \tag{21}$$

where A and B are amplitudes of forward and backward propagating waves and k is related to E by

and 
$$k$$
 is related to  $E$  by
$$E = \frac{\hbar^2 k^2}{2m} = \frac{1}{2} m v^2$$

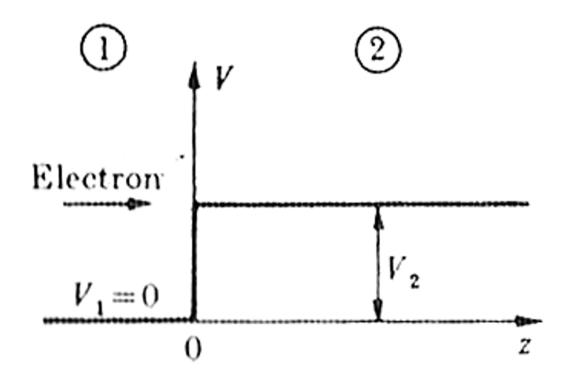
$$E_{k} = \frac{\hbar^{2}k^{2}}{2m} = \frac{1}{2} \frac{mv^{2}}{m} \qquad \text{for } p^{2}$$

$$\frac{\hbar^{2}k^{2}}{2m} = \frac{1}{2} \frac{p^{2}}{m} \qquad \text{for } p^{2} = \frac{1}{2} \frac{p^{2}}{m}$$

$$p = \hbar k \qquad \text{for } p = \frac{\hbar}{2} \frac{p^{2}}{m} = \frac{1}{2} \frac{p^{2}}{m} \qquad \text{for } p = \frac{1}{2} \frac{p^{2}}{m} = \frac{1}{2} \frac{p^{2}$$

This equation is called "de Broglie's relation".

• Case 2: Step potential barrier (1 dimension)



For *region 1*, the solution is already known as

$$\psi_1(x) = Ae^{+ik_1x} + Be^{-ik_1x}$$
 (24.1)

$$k_1^2 = \frac{2mE}{\hbar^2} \qquad \text{ln:} \quad \left| \frac{2mE}{\hbar^2} \right| \tag{24.2}$$

For region 2, an equation (18) becomes  $\frac{d^2\psi(x)}{dx^2} + \frac{2m}{\hbar^2} [E - V(x)]\psi(x) = 0$ 

$$\frac{d^2\psi_2(x)}{dx^2} + \frac{2m}{\hbar^2} \left[ E - V_2 \right] \psi_2(x) = 0$$

• General solution:

$$\psi_2(x) = Ce^{ik_2x} + De^{-ik_2x}$$
 (25.1)

นองคลังแบร์เวลเซา 
$$k_2^2=\frac{2m(E-V_2)}{\hbar^2}$$
 นะ  $\frac{25.2}{\hbar^2}$  นะ  $\frac{25.2}{\hbar^2}$  ยาง หละ จันสาภาษ

• Since there is no wave incident from region 2, D must be zero.

• By applying a boundary condition, *solutions*  $must\ be\ continuous\ at\ x = o\ with$ 

$$\frac{\psi_1(0) = \psi_2(0)}{dy_1(0)} = \frac{d\psi_2(0)}{dx}$$

• From (24) and (25), we have

$$A + B = C$$
$$ik_1(A - B) = ik_2C$$

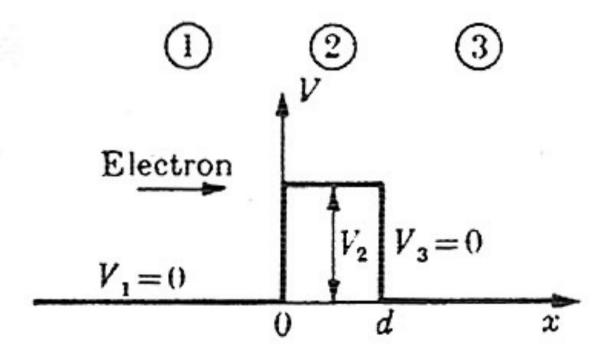
$$\frac{B}{A} = \frac{k_1 - k_2}{k_1 + k_2} \qquad (26.1)$$

$$\frac{C}{A} = \frac{2k_1}{k_1 + k_2} \qquad (26.2)$$

Now let us consider 2 cases:

- 1.  $E > V_2$ : In this case  $k_2$  is real,  $k_2$  and  $k_1$  are different leading B/A finite. This means an electron could be seen in both region 1 and 2. It goes over the barrier and solution is oscillatory in space in both regions.
- 2.  $\mathbf{E} \leq \mathbf{V_2}$ : In this case  $\mathbf{k_2}$  is imaginary. The solution shows that an electron decays exponentially in *region 2*. An electron may penetrate the potential barrier.

• <u>Case 3</u>: Finite width potential barrier (1 dimension)



• We are interested in the case of  $\mathbf{E} < \mathbf{V_2}$ . In this case, solutions for *region 1* and *region 2* are the same as previous case. Now we turn our interest to *region 3*. At *region 3*,

$$\psi_3(x) = Fe^{ik_3x} \tag{27.1}$$

$$k_3^2 = \frac{2mE}{\hbar^2}$$
 (27.2)

 Consider transmittance, *T* is a ratio of energy in transmitted wave in *region 3* to energy in incident wave in *region 1*.

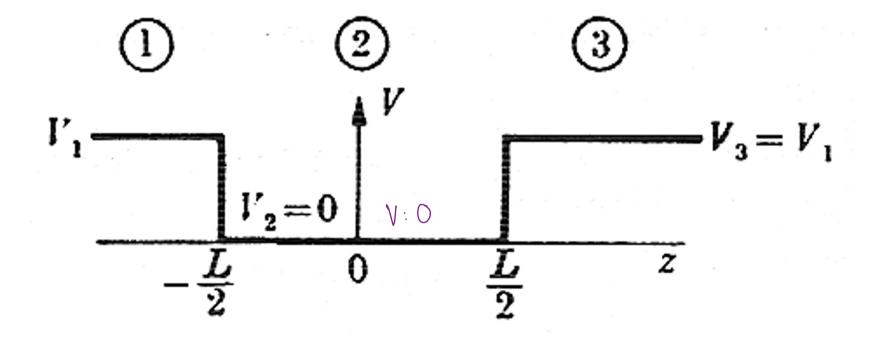
$$T = \frac{\text{Energy in transmitted wave in region 3}}{\text{Energy in incident wave in region 1}}$$

$$T = \frac{|F|^2}{|A|^2} \approx \exp\left\{-2d\sqrt{\frac{2m(V_2 - E)}{\hbar^2}}\right\}$$
 (28)

This is called "Tunneling probability".

- $k_3$  is real, so that  $|\psi_3|^2$  is not zero.
- Thus, there is a probability that electron crosses the barrier and appear at other side.
- Since the particle does not go over the barrier due to  $\mathbf{E} < \mathbf{V_2}$ , this mechanism that particle penetrates the barrier is called "tunneling".

• Case 4: Finite potential well (1 dimension)



#### Consider 2 cases

- 1.  $\mathbf{E} > \mathbf{V_1}$ : Solutions in all regions are similar to those previous cases that is particle travels oscillatory everywhere.
- 2.  $\mathbf{E} < \mathbf{V_1}$ : Region 1 (x < -L/2,  $V = V_1$ ), Solution must be exponentially decaying,

$$\psi_1(x) = Ae^{ik_1 x} {29.1}$$

$$k_1^2 = \frac{2m(V - E)}{\hbar^2}$$
 ถางนขึ้น (29.2)

• Region 2 (V = o), Free particle case,

$$\psi_2(x) = B\sin(k_2 x) + C\cos(k_2 x)$$
 (30.1)

$$k_2^2 = \frac{2mE}{\hbar^2}$$
 8104 ชีวาเป็น (30.2)

• Region 3 (x > L/2,  $V_3 = V_1$ ), Solution is again decaying,

$$\psi_{3}(x) = De^{ik_{1}x}$$

$$\psi_{3}(x) = De^{ik_{1}x}$$

$$\psi_{3}(x) = De^{ik_{1}x}$$

$$k_{3}^{2} = \frac{2m(V - E)}{\hbar^{2}} = k_{1}^{2}$$

$$\psi_{3}(x) = De^{ik_{1}x}$$

$$(31.1)$$

Applying boundary conditions:

at 
$$x = -L/2$$

$$\frac{\psi_1(x) = \psi_2(x)}{dy_1(x)} = \frac{d\psi_2(x)}{dx}$$

at 
$$x = L/2$$

$$\frac{\psi_2(x) = \psi_3(x)}{dx} = \frac{d\psi_3(x)}{dx}$$

It can solve only at x = L/2 due to its symmetrical.

$$C\cos\left(\frac{k_2L}{2}\right) = De^{-\frac{ik_3L}{2}}$$

$$-Ck_2\sin\left(\frac{k_2L}{2}\right) = -Dk_3e^{-\frac{ik_3L}{2}}$$
(32)

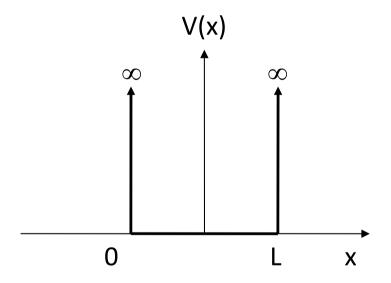
By solving (32), this leads to

$$k_2 \tan\left(\frac{k_2 L}{2}\right) = k_3 \tag{33}$$

• Substitute (33) into (30.2) and (31.2), we have

$$\sqrt{E} \tan \left( \sqrt{\frac{mL^2E}{2\hbar^2}} \right) = \sqrt{V_1 - E}$$
 (34)

• If we consider in the case of  $V \rightarrow \infty$  or infinite potential well.



• There is impossible that a particle can penetrate an infinite barrier. This brings  $\psi(x) = 0$  at x = 0 and x = L. Applying the boundary conditions, we first have B = 0 and

$$k_2L = n\pi$$
; where n = integer

$$k_2 = \frac{n\pi}{L} \tag{35}$$

• We can solve for energy E,

$$E_n = \frac{n^2 h^2}{8mL^2} \tag{36}$$