Colour Rendering of Spectra

by John Walker 25th April 1996

Most computer applications specify colour in three-component systems such as RGB, HLS, or HSV. Derived from the mechanisms of human colour perception, these colour spaces map relatively straightforwardly onto display and printing technologies.

While human colour perception is well modeled by such systems, the physical interaction of light and matter is far more complicated. To correctly display the effects of dispersion in refractive media, diffraction, or absorption by nontrivial filters, image synthesis tools must consider the spectrum of the electromagnetic radiation and the spectral dependence of its absorption, reflection, and other interactions with objects; perceptual abstractions such as RGB are inadequate. A task as simple as rendering a scene under a variety of light sources: daylight, incandescent and fluorescent indoor lighting, and mercury and sodium vapor street lights cannot be accomplished without knowing the spectra of light sources and the spectral response of pigments.

Once the spectrum of the light impinging upon a point in the image plane is known, the eye's perception of that spectrum must be determined. Finally, display arguments must be calculated which evoke that perception in the viewer.

This article explains how, given light with an arbitrary spectrum, first to determine the CIE X, Y, and Z values which characterize a standard human observer's perception of its colour, then to calculate corresponding R, G, and B values for a display device with known primary colours. A companion C program illustrates the techniques and contains functions you can use to render spectra in your own programs.

Spectra

 $P(\lambda)$ We define a spectrum as a function which gives, for any wavelength λ in the human visual range of 380 through 780 nanometres (nm), the power emitted per small constant-width wavelength interval centred about λ , normally in units of watts per nm.

Emission spectra of light sources and transmission, reflection, and absorption spectra of materials are usually determined empirically by spectrophotometry and specified as a table of measurements at wavelength intervals, frequently 5 nm, throughout the visual range.

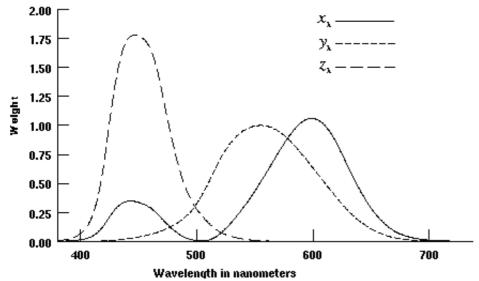


Figure 1. CIE colour matching functions \bar{x}_{λ} , \bar{y}_{λ} , \bar{z}_{λ} .

CIE X,Y,Z Components

The CIE colour matching functions (Figure 1) \bar{x}_{λ} , \bar{y}_{λ} , and \bar{z}_{λ} give the relative contributions of light with wavelength λ to the CIE tris-

timulus values X, Y, and Z. The colour matching functions were determined by measuring the mean colour perception of a sample of human observers over the visual range from λ_{violet} =380 to λ_{red} =780 nm. To calculate CIE X, Y, and Z values for light $P(\lambda)$ with spectrum we sum, over the visual range, the products of the colour matching function weights at each wavelength and the intensity emitted at a constant narrow wavelength interval centred there:

$$X = \Delta \lambda \sum_{\lambda = \lambda_{\text{red}}}^{\lambda_{\text{violet}}} \bar{x}_{\lambda} P(\lambda),$$

$$Y = \Delta \lambda \sum_{\lambda = \lambda_{\text{red}}}^{\lambda_{\text{violet}}} \bar{y}_{\lambda} P(\lambda),$$

$$Z = \Delta \lambda \sum_{\lambda = \lambda_{\text{red}}}^{\lambda_{\text{violet}}} \bar{z}_{\lambda} P(\lambda).$$
(1)

For most applications sampling wavelength bands 5 or 10 nm apart is adequate; (CIE 1971) and (CIE 1986) provide colour matching tables with 1 nm resolution. The table cie_colour_match in the companion C program gives the CIE colour matching functions every 5 nm.

Luminosity

The CIE Y value is a measure of the perceived luminosity of the light source--how bright it appears to an observer (to a first approximation; nonlinearities in the human visual system create a host of higher-order complexities). In rendering, we're usually interested only in relative luminosities so we can ignore absolute values of Y and simply scale luminosities between user-defined minimum and maximum brightnesses.

Chromaticity coordinates

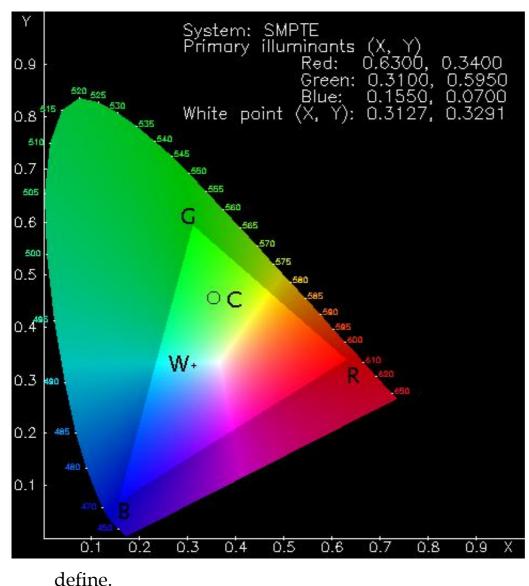


Figure 2. CIE chromaticity diagram. Pure spectral colours lie on the curved border of the "tongue", labeled by wavelength. R, G, and B are the **SMPTE** primaries. W marks the SMPTE white point, CIE Illuminant D₆₅. C is a colour which can be generated by mixing R, G, and B; it is said to within the lie colour gamut they

deline.

The X and Z components give the colour or *chromaticity* of the spectrum. Since the perceived colour depends (again, with the usual simplifications) only upon the relative magnitudes of X, Y, and Z, we define its *chromaticity coordinates* as:

Now since

$$x + y + z \equiv \frac{X + Y + Z}{X + Y + Z} \equiv 1$$
 $z = 1$ may be obtained from x and y by:

and hence, chromaticity

coordinates are usually given as just *x* and *y*.

Perceptual (CIE) to Device (RGB) Colour

If an output device accepts CIE colour specifications directly and transforms them into its colour gamut, we've nothing more to do. Usually, however, displays and printers require device-specific colour specifications in systems such as RGB or CMYK, requiring us to convert the CIE perceptual colour into device parameters.

Table 1. Primary Colour Chromaticities

	Red		Green		Blue		White Point	
System	x _r	y _r	x_{g}	$y_{\mathbf{g}}$	x_b	Уь	X _w	y _w
NTSC	0.67	0.33	0.21	0.71	0.14	0.08	0.3101	0.3162
EBU (PAL/SECAM)	0.64	0.33	0.29	0.60	0.15	0.06	0.3127	0.3291
SMPTE	0.630	0.340	0.310	0.595	0.155	0.070	0.3127	0.3291

The CIE Y component indicates the intensity of the light and the chromaticity coordinates x and y its colour in the CIE colour diagram (Figure 2). An RGB display mixes three primary colours, each of which is described by its CIE x and y (and implicitly z) coordinates. The three primaries define a triangle on the CIE diagram--any colour within it can be formed by mixing them. Table 1 lists the CIE x and y coordinates of the phosphors and reference white points of various broadcast systems. As noted in (Martindale and Paeth 1991), the NTSC primaries aren't remotely similar to those used in modern displays. Regrettably, the specifications of RGB monitors rarely include phosphor chromaticities, so unless you're prepared (and equipped) to calibrate the phosphors yourself, you're forced into choosing a "reasonable" set. Martindale and Paeth recommend calibrating to the SMPTE primaries, which are close to the EBU primaries used in PAL and SECAM broadcasting.

The amount of each primary to mix to yield a CIE colour with a given x, y, and z is the unknown J vector in the equation:

Solving for J_r , J_g , and J_b gives the weighting of Red, Green, and Blue primaries which yield the desired x, y, and z or, in other words, the coefficients of a linear combination of the primaries' chromaticity coordinates. The absolute intensity may then be adjust-

ed based on the Y component (luminosity). The linear RGB values will require gamma correction if the display device has nonlinear response (non-unity gamma).

Unrepresentable Colours

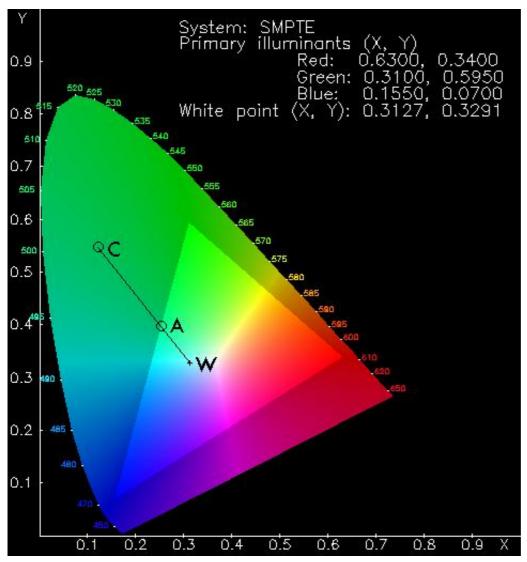
If any of J_r , J_g , or J_b are negative, the colour expressed by the x, y, and z chromaticity coordinates cannot be created by mixing the given primary colours; it lies outside the triangle they form in the CIE diagram.

The handling of colours unrepresentable on a given output device varies from application to application. If precise appearance must be maintained, asking the user to choose another colour may be appropriate. Less demanding applications may wish to replace the requested colour with a displayable approximation. A variety of schemes can be used to map unrepresentable colours into a device's gamut. An approach which often yields acceptable results is reducing the saturation of the requested colour until it falls within the RGB gamut. Recall that the curved outline of the CIE "tongue" diagram represents pure spectral—and therefore fully saturated—colours. A colour outside the RGB primary triangle will thus always be more saturated than can be displayed, and consequently must be approximated by a less saturated colour.

Figure 3. The requested chromaticity C cannot be achieved by mixing the given RGB primaries. Desaturating chromaticity C by mixing with the white point chromaticity W yields A, a within-gamut approximation of C.

Figure 3 demonstrates one way to accomplish this desaturation. Requested colour *C* falls outside colour gamut RGB. The line between the white point of the colour system *W* and *C* includes, in the CIE diagram, all possible mixtures of *C* and *W*. The point *A* where this line intersects the RGB triangle is then the most saturated colour in the direction of *C* representable within the gamut. The function constrain_rgb in the accompanying *C* program uses this technique to approximate out-of-gamut colours.

Composite video encoding systems such as NTSC, PAL, and SECAM further restrict the colour gamut. Some bright, highly saturated colours accessible by mixtures of these systems' primaries are, nonetheless, "unencodable" due to limitations of bandwidth and modulation. In (Martindale and Paeth 1991) the authors describe the en-



coding limitations of various television systems and provide an algorithm which detects unencodable ("hot") pixels and alters them into encodable replacements by reducing their luminance or saturation.

Example and Application: Black Body Radiation

The spectral composition of many light sources is complicated and must be tabulated from photometric measurements. One useful

family of light sources whose spectrum can be expressed as a simple function is the *Planckian* or *black body radiators*. The spectrum emitted by an ideal black body is determined entirely by its temperature; many natural and artificial light sources can be approximated by black body radiators of various temperatures.

Table 2. Black body radiator temperature approximation of various illuminants.

Source	Temperature, °K
Candle flame	1900
Sunlight at sunset	2000
Tungsten bulb60 watt	2800
Tungsten bulb200 watt	2900
Tungsten/halogen lamp	3300
Carbon arc lamp	3780
Sunlight plus skylight	5500
Xenon strobe light	6000
Overcast sky	6500

North sky light /500	North sky light	7500	
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For a ideal black body at temperature T (degrees kelvin), the emittance at a given wavelength λ (metres) is calculated by Planck's radiation law:

 $P_{\lambda}d\lambda$ where is the hemispherical flux density in watts per square centimetre in the $\lambda+d\lambda$ wavelength range between λ and . Constants c_1 and c_2 are:

$$c_1 = 2\pi hc^2 = 3.74183 \times 10^{-16} \mathrm{W m^2}$$
, To determine the CIE XYZ $c_2 = hc/k = 1.4388 \times 10^{-2} \mathrm{m K}$. coordinates of a black body with temperature T , we use

Eqs. (1) to sum, across the visual spectrum, the products of the CIE colour matching functions and the black body power spectrum from Eq. (4). In the accompanying C program, this is performed by spectrum_to_xyz which is passed the function argument bb_spectrum which evaluates Planck's law per Eq. (4). Since we're only interested in the colour of the black body and not its absolute luminosity, we ignore the $\Delta\lambda$ terms in Eqs. (1) as they cancel when we compute chromaticity coordinates with Eqs. (2).

Having found the chromaticity coordinates x, y, and z, we must next convert them to RGB intensities in a chosen colour system. The function xyz_to_rgb solves Eq. (3) for J_r , J_g , and J_b —the amount of each primary to mix. If the colour lies inside the triangle formed by the primaries, all the J weights will be nonnegative. If one of the J values is negative, the colour falls outside the RGB gamut (the negative J indicates we would have to *subtract* that primary to obtain the requested x, y, and z); the C function <code>inside_gamut</code> performs this test.

If the requested colour is outside the gamut, the function constrain_rgb replaces it with the nearest within-gamut colour by mixing it with the colour system's white point (see Table 1 and Figure 3). Finally, we print the CIE and RGB colours of a black body with the given temperature, indicating whether gamut limitations required desaturating the RGB mixture. The chromaticity coordinates thus calculated are in excellent agreement with the black body colourimetry published in (Hunt 1987, page 196).

Companion C Program

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Last revised: March 9th, 2003