

COMP2022: Formal Languages and Logic

2017, Semester 1, Week 3

Joseph Godbehere

Adapted from slides by A/Prof Kalina Yacef

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OUTLINE

- ▶ **Regular Expressions**
- ▶ Equivalence of FA and Regular Expressions
- ▶ Proving if a language is, *or is not*, regular

REGULAR EXPRESSIONS

In arithmetic we use operations to build up expressions, describing numbers such as

$$(5 + 3) \times 4 \text{ (value is 32)}$$

$$4/2 - 1 \text{ (value is 1)}$$

With regular languages, we use regular operations to build up expressions describing languages such as

$(0 \mid 1)0^*$ (strings starting with 0 or 1, then any number of 0's)

$(ab)^*a$ (any number of ab 's, followed by a)

REGULAR EXPRESSIONS VS FINITE AUTOMATA

- ▶ RE: algebraic description of the strings in a language
- ▶ FA: a machine-like description
- ▶ RE serve as the input language for many systems which process strings
 - ▶ Search commands
 - ▶ UNIX grep
 - ▶ Web browsers
 - ▶ Text editors
 - ▶ Text formatting systems
 - ▶ ...
 - ▶ Lexical-analyser generators (Lex, Flex, etc.)
- ▶ Like FA, RE define exactly the class of *regular languages*

DEFINITION OF A REGULAR EXPRESSION (RE)

- ▶ Basic expressions and the language they describe
 - ▶ If a is any symbol of the input alphabet, then a is a RE and its language $L(a) = \{a\}$
 - ▶ ε is a RE and $L(\varepsilon) = \{\varepsilon\}$
 - ▶ \emptyset is a RE and $L(\emptyset) = \emptyset$

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 - ▶ \emptyset is a RE and $L(\emptyset) = \emptyset$
- ▶ Operators on RE. If R and S are RE, then
 - ▶ Union: $R \mid S$ (sometimes denoted $R + S$) is a RE
 - ▶ Concatenation: RS (sometimes denoted $R \circ S$) is a RE
 - ▶ Star Closure: R^* is a RE

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 - ▶ Union: $R \mid S$ (sometimes denoted $R + S$) is a RE
 - ▶ Concatenation: RS (sometimes denoted $R \circ S$) is a RE
 - ▶ Star Closure: R^* is a RE
- ▶ Precedence of operators: $^*, \circ, \mid$ to avoid excessive parentheses
 - ▶ e.g. $R \mid ST^* = (R \mid (S(T^*)))$, similar to how $8 + 21/3^2 = (8 + (21/(3^2)))$
 - ▶ Use parentheses to change the order of operations

LANGUAGE OF A REGULAR EXPRESSION

Let x, y be symbols from the input alphabet

$$L(x) = \{x\}$$

$$L(\varepsilon) = \{\varepsilon\}$$

$$L(\emptyset) = \{\emptyset\}$$

$$L(x \mid y) = \{x, y\}$$

$$L(x^*) = \{\varepsilon, x, xx, xxx, \dots\}$$

$$L(xy) = \{xy\}$$

OPERATORS ON RE: UNION $R \mid S$

Definition: $L(R \mid S) = L(R) \cup L(S)$

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$$L(a \mid b) = L(a) \cup L(b) = \{a\} \cup \{b\} = \{a, b\}$$

$$L(b \mid a) =$$

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$$= \{a, b\} \cup \{c\}$$

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$$L((a \mid b) \mid c) = L(a \mid b) \cup L(c)$$

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$$L(a \mid (b \mid c)) =$$

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$$L(a \mid (b \mid c)) = L(a) \cup L(b \mid c)$$

$$= \{a\} \cup \{b, c\}$$

$$= \{a, b, c\}$$

Because it is associative we can write $L(a \mid b \mid c)$

OPERATORS ON RE: CONCATENATION RS

Definition: $L(RS) = \{rs \mid r \in L(R) \text{ and } s \in L(S)\}$

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Because it is associative we can write $L(abc)$

UNION AND CONCATENATION

$$L((a \mid b)c) =$$

$$L((ab) \mid c) =$$

UNION AND CONCATENATION

$$L((a \mid b)c) = \{rs \mid r \in L(a \mid b) \text{ and } s \in L(c)\}$$

$$=$$

$$L((ab) \mid c) =$$

UNION AND CONCATENATION

$$\begin{aligned}
 L((a \mid b)c) &= \{rs \mid r \in L(a \mid b) \text{ and } s \in L(c)\} \\
 &= \{rs \mid r \in (\{a\} \cup \{b\}) \text{ and } s \in \{c\}\} \\
 &=
 \end{aligned}$$

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 &= \{ab\} \cup \{c\} \\
 &= \{ab, c\}
 \end{aligned}$$

OPERATORS ON RE: STAR CLOSURE R^*

R^* means 0 or more occurrences of R

$$L(R^*) = \{\varepsilon\} \cup L(R) \cup L(RR) \cup L(RRR) \cup \dots$$

$$L(0^*) = \{\varepsilon, 0, 00, 000, \dots\}$$

$$L((01)^*) = \{\varepsilon, 01, 0101, 010101, \dots\}$$

$$L(\emptyset^*) =$$

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$$L(a \mid bc^*) =$$

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$$L(a \mid bc^*) = \{a, b, bc, bcc, bccc, \dots\}$$

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$$L((a \mid b)c^*) = \{a, b, ac, bc, acc, bcc, accc, bccc, \dots\}$$

SOME PROPERTIES OF RE

Let R, S, T be regular expressions

► Union properties:

- $R \mid S = S \mid R$ (commutative)
- $R \mid \emptyset = \emptyset \mid R = R$
- $R \mid R = R$
- $(R \mid S) \mid T = R \mid (S \mid T)$ (associative)

► Concatenation properties:

- Union and concatenation are *distributive* when combined:

SOME PROPERTIES OF RE

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► Concatenation properties:

- $R\emptyset = \emptyset R = \emptyset$
- $R\varepsilon = \varepsilon R = R$
- $(RS)T = R(ST)$ (associative)

► Union and concatenation are *distributive* when combined:

SOME PROPERTIES OF RE

Let R, S, T be regular expressions

► Union properties:

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- $(R \mid S) \mid T = R \mid (S \mid T)$ (associative)

► Concatenation properties:

- $R\emptyset = \emptyset R = \emptyset$
- $R\varepsilon = \varepsilon R = R$
- $(RS)T = R(ST)$ (associative)

► Union and concatenation are *distributive* when combined:

- $R(S \mid T) = RS \mid RT$
- $(S \mid T)R = SR \mid TR$

SOME PROPERTIES OF RE

Let R, S, T be regular expressions

- ▶ Star Closure properties:
 - ▶ $\emptyset^* = \varepsilon^* = \varepsilon$

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Let R, S, T be regular expressions

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 - ▶ $R^* = R^*R^* = (R^*)^* = R \mid R^*$

SOME PROPERTIES OF RE

Let R, S, T be regular expressions

► Star Closure properties:

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- $R^* = R^*R^* = (R^*)^* = R \mid R^*$
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- $RR^* = R^*R$

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- $RR^* = R^*R$
- $(R \mid S)^* = (R^* \mid S^*)^* = (R^*S^*)^* = (R^*S)^*R^* = R^*(SR^*)^*$

SOME PROPERTIES OF RE

Let R, S, T be regular expressions

► Star Closure properties:

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- $RR^* = R^*R$
- $(R \mid S)^* = (R^* \mid S^*)^* = (R^*S^*)^* = (R^*S)^*R^* = R^*(SR^*)^*$
- $R(SR)^* = (RS)^*R$

SOME PROPERTIES OF RE

Let R, S, T be regular expressions

► Star Closure properties:

- $\emptyset^* = \varepsilon^* = \varepsilon$
- $R^* = R^*R^* = (R^*)^* = R \mid R^*$
- $R^* = \varepsilon \mid R^* = (\varepsilon \mid R)^* = (\varepsilon \mid R)R^* = \varepsilon \mid RR^*$
- $RR^* = R^*R$
- $(R \mid S)^* = (R^* \mid S^*)^* = (R^*S^*)^* = (R^*S)^*R^* = R^*(SR^*)^*$
- $R(SR)^* = (RS)^*R$
- $(R^*S)^* = \varepsilon \mid (R \mid S)^*S$

SOME PROPERTIES OF RE

Let R, S, T be regular expressions

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- $R^* = R^*R^* = (R^*)^* = R \mid R^*$
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- $RR^* = R^*R$
- $(R \mid S)^* = (R^* \mid S^*)^* = (R^*S^*)^* = (R^*S)^*R^* = R^*(SR^*)^*$
- $R(SR)^* = (RS)^*R$
- $(R^*S)^* = \varepsilon \mid (R \mid S)^*S$
- $(RS^*)^* = \varepsilon \mid R(R \mid S)^*$

EXAMPLES OF RE

- ▶ All possible strings which can be formed with a , b , or c
- ▶ Strings over $\{0, 1\}$ which end with 01
- ▶ Traffic lights?
- ▶ Identifiers for Java programs?

EXAMPLES OF RE

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 $(a \mid b \mid c)^*$
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- ▶ All possible strings which can be formed with a , b , or c
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 $(red \mid red \circ amber \mid amber \mid green)$
- ▶ Identifiers for Java programs?

EXAMPLES OF RE

- ▶ All possible strings which can be formed with a , b , or c
 $(a \mid b \mid c)^*$
- ▶ Strings over $\{0, 1\}$ which end with 01
 $(0 \mid 1)^*01$
- ▶ Traffic lights?
 $(red \mid red \circ amber \mid amber \mid green)$
- ▶ Identifiers for Java programs?
 $(letter \mid \$ \mid _)(letter \mid digit \mid \$ \mid _)^*$

EXAMPLES OF RE

- ▶ a^*ba^* represents
- ▶ $((a \mid b)(a \mid b))^*$ represents
- ▶ $ab^*a \mid ba^*b \mid a \mid b$ represents
- ▶ $a^*\emptyset$ represents

EXAMPLES OF RE

- ▶ a^*ba^* represents
Strings over $\{a, b\}$ with exactly one b
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Strings of a 's starting and ending with b , or strings of b 's starting and ending with a , or a single a or b
- ▶ $a^*\emptyset$ represents
The empty language, \emptyset

OUTLINE

- ▶ Regular Expressions
- ▶ **Equivalence of FA and Regular Expressions**
- ▶ Proving if a language is, *or is not*, regular

EQUIVALENCE OF RE AND FA

Theorem:

A language is regular if and only if a regular expression describes it.

Proof:

Show the equivalence of RE and FA ($RE \Leftrightarrow FA$)

1. $RE \Rightarrow FA$:

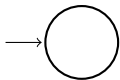
Show that for each RE, there exists an NFA which recognises the same language

2. $FA \Rightarrow RE$:

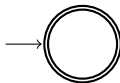
Show that for each NFA, there exists a RE which recognises the same language

FROM RE TO FA: ATOMIC CASES

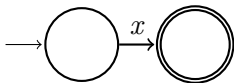
Automaton for \emptyset



Automaton for ε



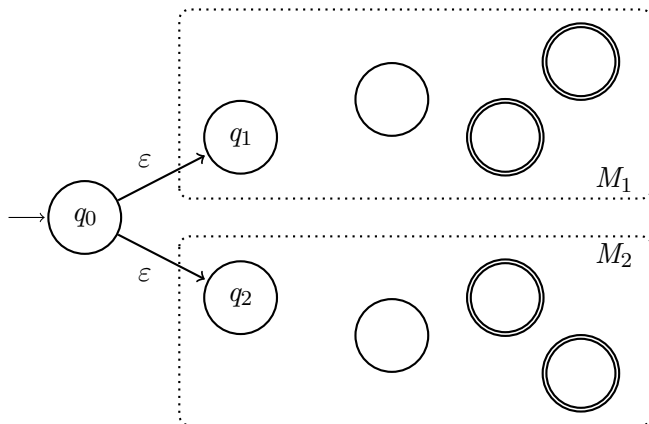
Automaton for $x \in \Sigma$



NFA FOR REGULAR OPERATIONS: UNION

Let M_1 and M_2 be automata recognising $L(R_1)$ and $L(R_2)$

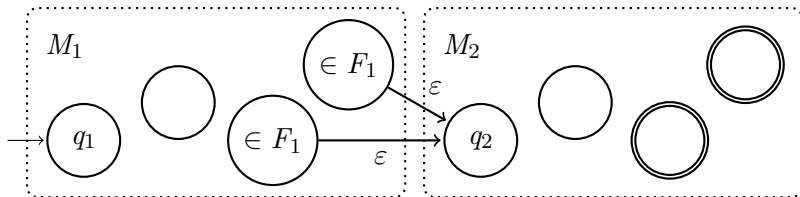
Then an automaton M for $R_1 \mid R_2$ is:



NFA FOR REGULAR OPERATIONS: CONCATENATION

Let M_1 and M_2 be automata recognising $L(R_1)$ and $L(R_2)$

Then an automaton M for $R_1 \circ R_2$ is:

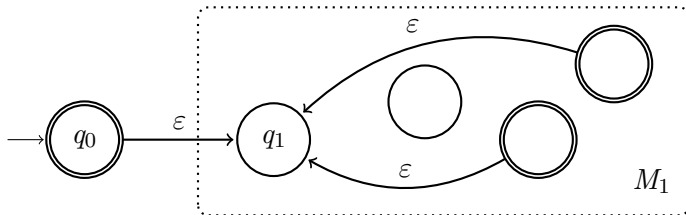


Reminder: the accept states of M_1 are *not* accept states in M

NFA FOR REGULAR OPERATIONS: STAR CLOSURE

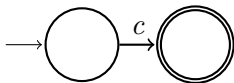
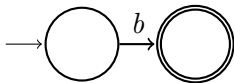
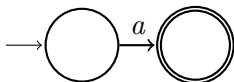
Let M_1 be an automaton recognising $L(R_1)$

Then an automaton M for R_1^* is:



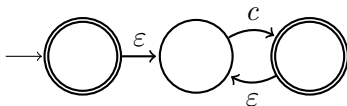
EXAMPLE: $a \mid bc^*$: FROM RE TO NFA

1. Construct automata for the atomic regular expressions a, b, c



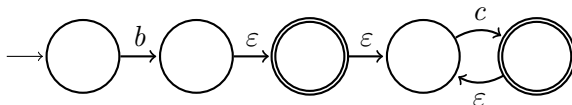
EXAMPLE: $a \mid bc^*$: FROM RE TO NFA

1. Construct automata for the atomic regular expressions a, b, c
2. Use the Star Closure operation to find an automaton for c^*



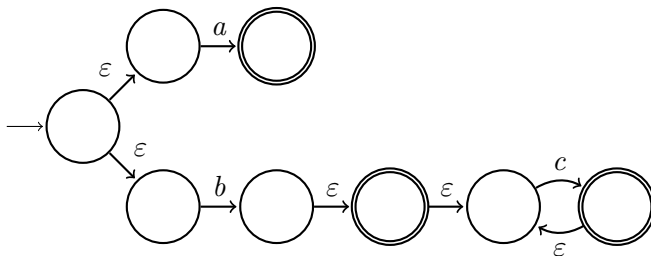
EXAMPLE: $a \mid bc^*$: FROM RE TO NFA

1. Construct automata for the atomic regular expressions a, b, c
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3. Use the Concatenation operation to find an automaton for bc^*



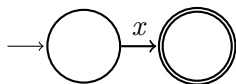
EXAMPLE: $a \mid bc^*$: FROM RE TO NFA

1. Construct automata for the atomic regular expressions a, b, c
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3. Use the Concatenation operation to find an automaton for bc^*
4. Use the Union operation to find an automaton for $a \mid bc^*$

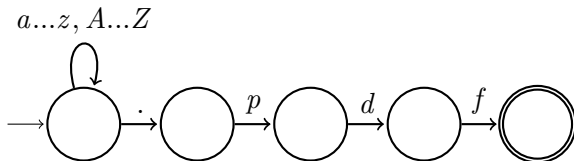


FROM NFA TO RE: SIMPLE EXAMPLES

This automaton gives the RE x

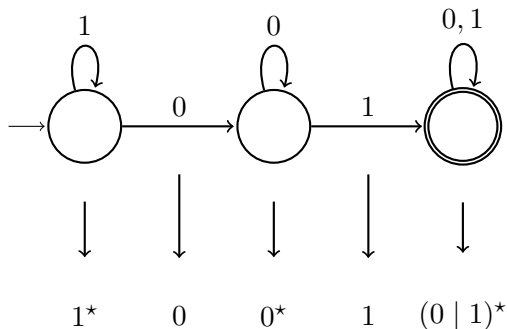


This one gives $(a \mid b \mid \dots \mid Y \mid Z)^*.pdf$



FROM NFA TO RE: SIMPLE EXAMPLES

A more complex one:



$$1^*00^*1(0 \mid 1)^*$$

CONCEPT

Algorithm to convert an NFA to a RE:

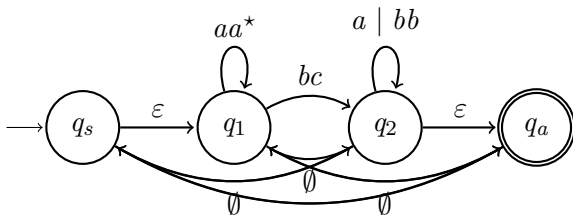
1. Convert the NFA to a Generalised NFA (GNFA)
 - ▶ Only one start state, no incoming transitions
 - ▶ Only one accept state, no outgoing transitions
 - ▶ Transitions described by RE
2. Progressively *eliminate* all states between the start and accept state
3. The transition between the start and the accept state is now a regular expression describing L

GENERALISED NFA (GNFA)

The start state q_s is non-accepting and has no incoming transitions

The *only* accept state q_a has no outgoing transitions, and $q_s \neq q_a$

There is exactly one transition between each ordered pair of states, labelled with a RE.



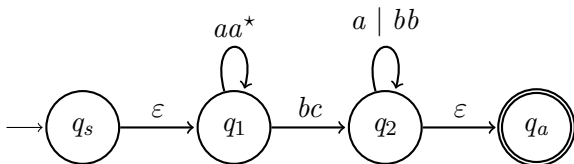
$$\{abc, abca, abcbb, aaaaaabc\} \subseteq L$$

GENERALISED NFA (GNFA)

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There is exactly one transition between each ordered pair of states, labelled with a RE.



We do not show the \emptyset transitions (why not?)

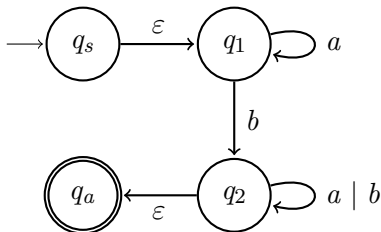
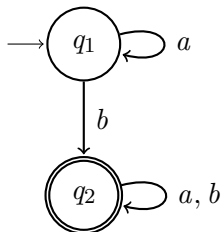
$\{abc, abca, abcb b, aaaaaabc\} \subseteq L$

CONVERTING AN NFA TO A GNFA

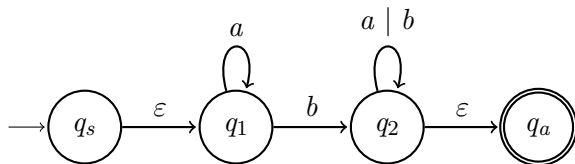
Create a new non-accepting start state q_s , with a ε -transition to the original start state.

Create a new accept state q_a with ε -transitions from the original accept states, which are no longer accepting.

Label the transitions between every ordered pair (q_i, q_j) of states from the NFA as the union of the atomic RE describing each transition from q_i to q_j in the NFA.



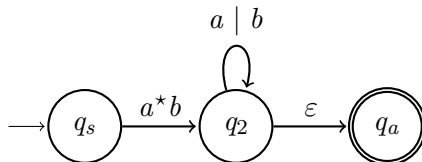
SIMPLE EXAMPLE: ELIMINATE STATE q_1



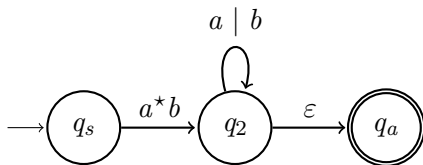
There is only one way to pass through q_1 :

$$\begin{array}{ll}
 q_s \rightarrow q_1 & \varepsilon \\
 q_1 \rightarrow q_1 \text{ any number of times} & a^* \\
 q_1 \rightarrow q_2 & b
 \end{array}$$

We set the transition $q_s \rightarrow q_2$ to the union of the new RE and it's old value (\emptyset). This is $\varepsilon a^* b \mid \emptyset$, which simplifies to $a^* b$

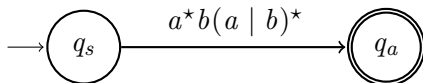


SIMPLE EXAMPLE: ELIMINATE STATE q_2



There is only one way to pass through q_2 :

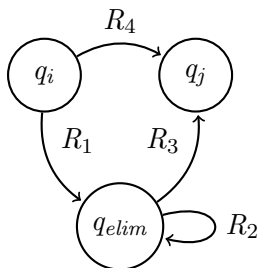
$q_s \rightarrow q_2$	a^*b
$q_2 \rightarrow q_2$ any number of times	$(a b)^*$
$q_2 \rightarrow q_a$	ε



The language of the original automaton is $a^*b(a | b)^*$

GENERAL METHOD TO ELIMINATE STATE q_{elim}

Consider each pair (q_i, q_j) where $q_i, q_j \in Q \setminus \{q_{elim}\}$



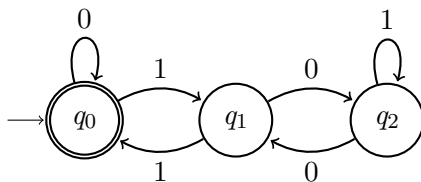
Replace the transition from q_i to q_j with the expression

$$((R_1)(R_2)^*(R_3) \mid (R_4))$$

Note:

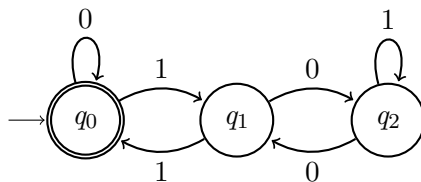
- Possibly $q_i = q_j$
- Recall that pairs are ordered, so we also consider (q_j, q_i)
- If there is no transition R_x , then $R_x = \emptyset$

EXAMPLE 2: DIVISIBLE BY 3

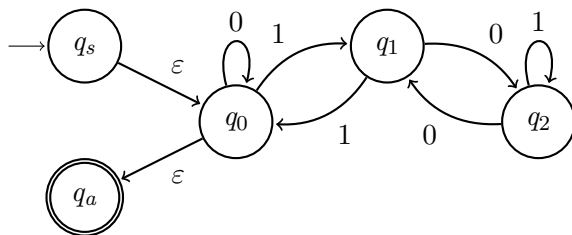


Convert to GNFA:

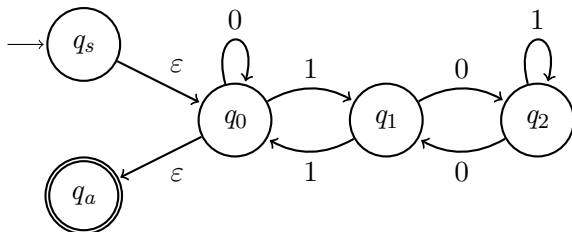
EXAMPLE 2: DIVISIBLE BY 3



Convert to GNFA:



EXAMPLE 2: DIVISIBLE BY 3

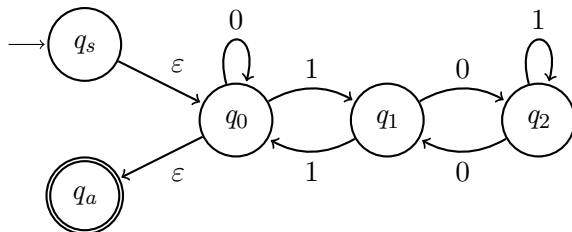


We can eliminate the states in any order. Eliminate q_0 .

All pairs of states with transitions which are not \emptyset through q_0 :

- ▶
- ▶
- ▶
- ▶

EXAMPLE 2: DIVISIBLE BY 3

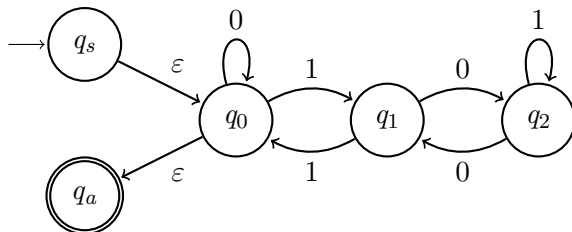


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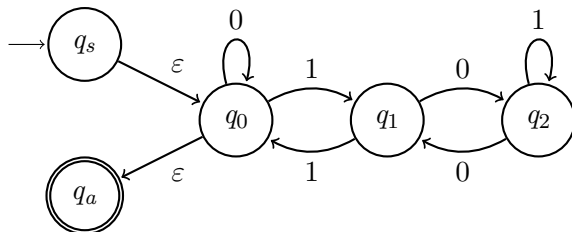


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All pairs of states with transitions which are not \emptyset through q_0 :

- ▶ $(q_s, q_1) : R_1 = \varepsilon,$
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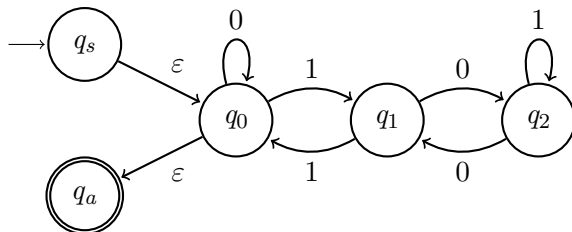


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All pairs of states with transitions which are not \emptyset through q_0 :

- ▶ $(q_s, q_1) : R_1 = \varepsilon, R_2 = 0,$
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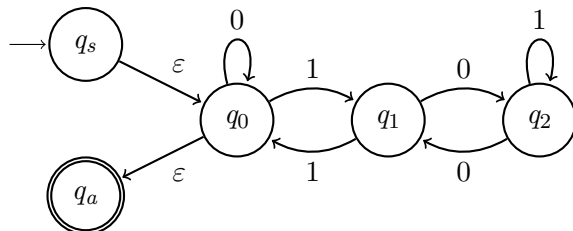


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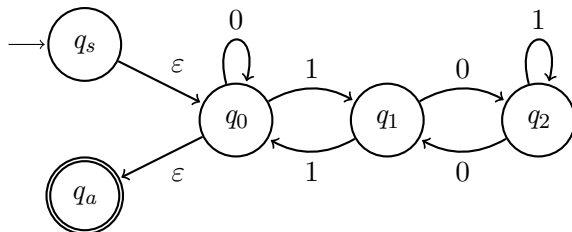


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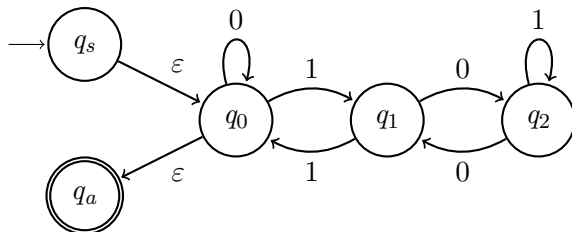


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- ▶ $(q_s, q_1) : R_1 = \varepsilon, R_2 = 0, R_3 = 1, R_4 = \emptyset \Rightarrow \varepsilon 0^* 1 \mid \emptyset$
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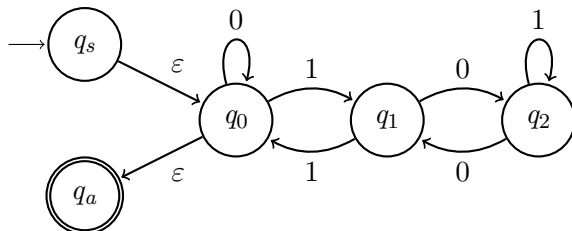


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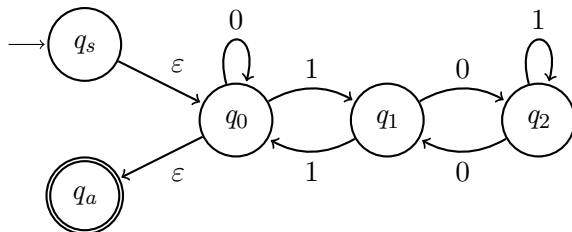


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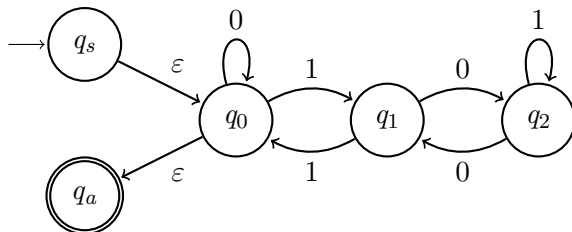


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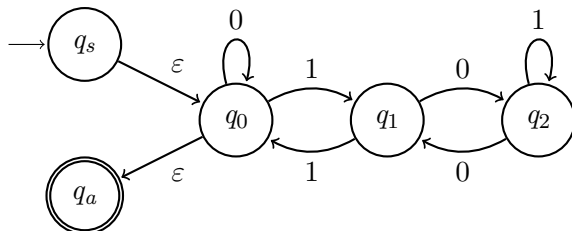


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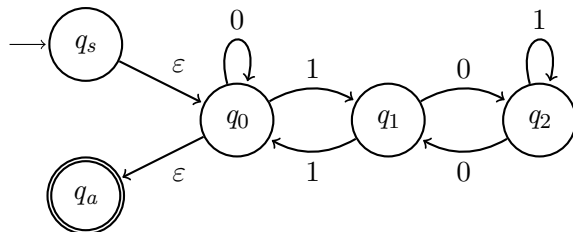


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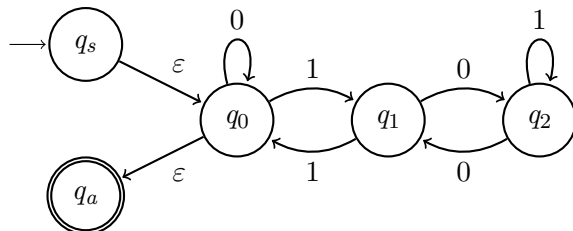


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EXAMPLE 2: DIVISIBLE BY 3

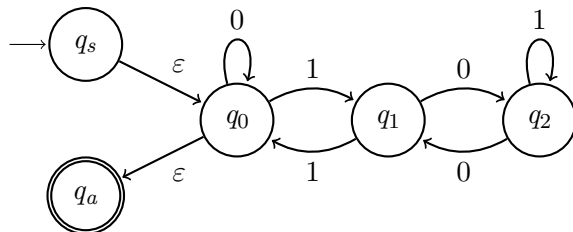


We can eliminate the states in any order. Eliminate q_0 .

All pairs of states with transitions which are not \emptyset through q_0 :

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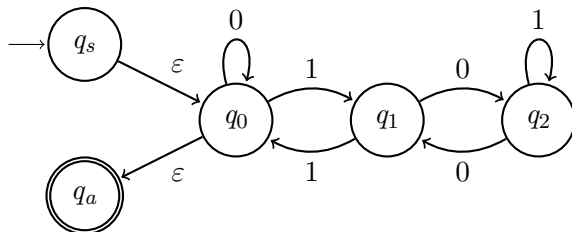


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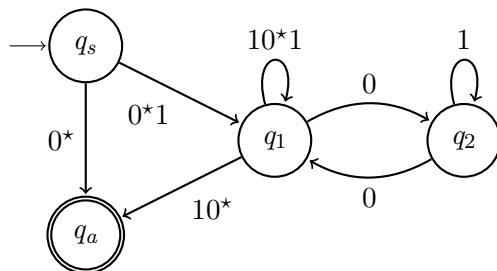


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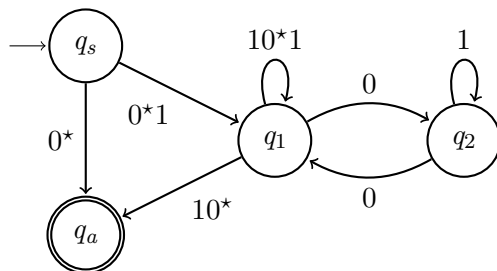


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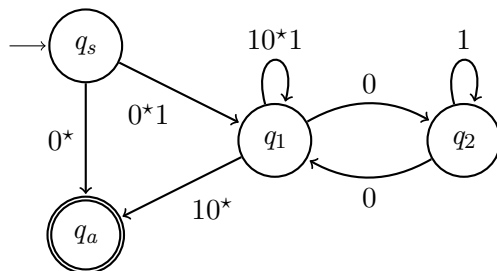


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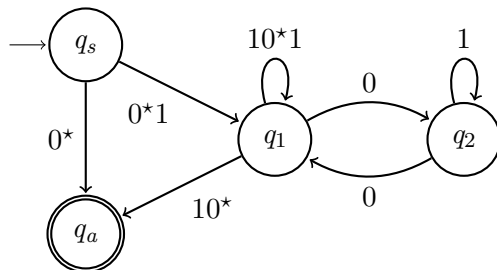


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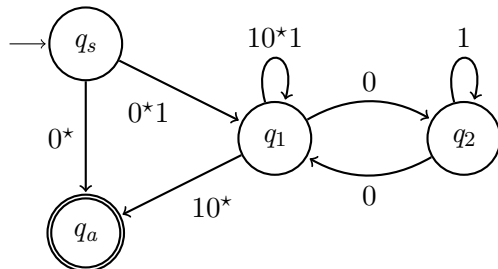


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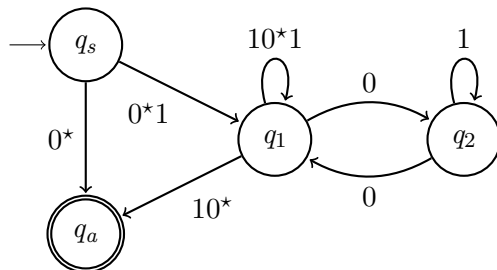


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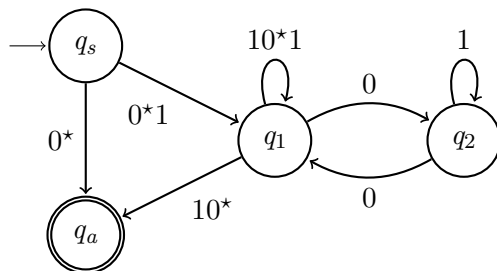


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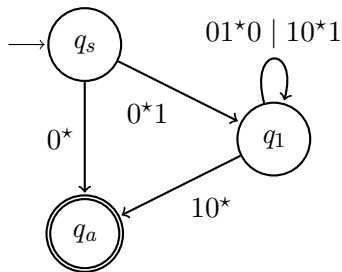
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- $(q_1, q_1) : R_1 = 0, R_2 = 1, R_3 = 0, R_4 = 10^*1 \Rightarrow 01^*0 \mid 10^*1$

Much easier!

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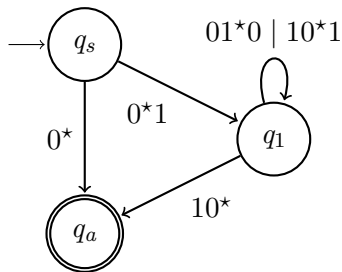


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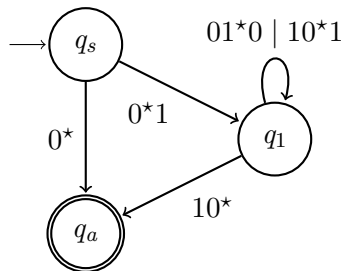


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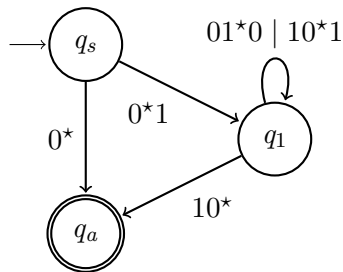


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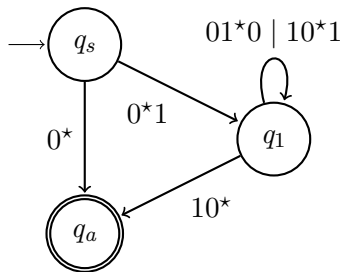


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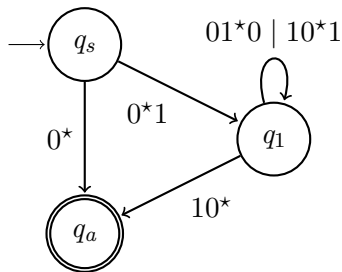


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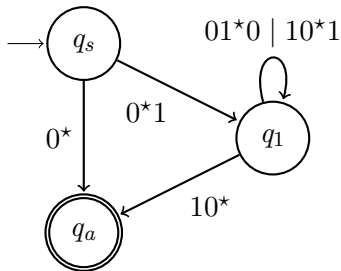


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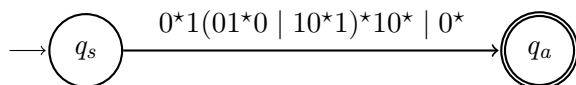


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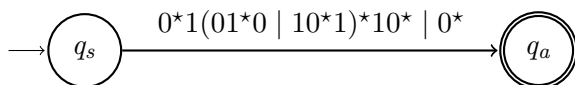
- $(q_s, q_a) : R_1 = 0^*1, R_2 = 01^*0 \mid 10^*1, R_3 = 10^*, R_4 = 0^*$
so $(R_1)(R_2)^*(R_3) \mid (R_4) = 0^*1(01^*0 \mid 10^*1)^*10^* \mid 0^*$

EXAMPLE 2: DIVISIBLE BY 3



So, $0^*1(01^*0 \mid 10^*1)^*10^* \mid 0^*$ is a RE which recognises binary strings which are divisible by 3.

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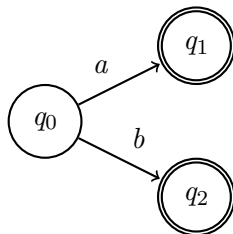


So, $0^*1(01^*0 \mid 10^*1)^*10^* \mid 0^*$ is a RE which recognises binary strings which are divisible by 3.

Eliminating states in a different order can result in a different, but equivalent RE.

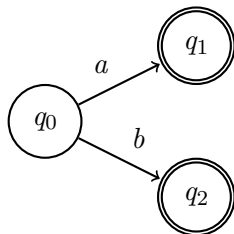
e.g. eliminating q_2 , q_1 , then q_0 yields: $(1(01^*0)^*1|0)^*$

EXAMPLE 3: MULTIPLE ACCEPT STATES

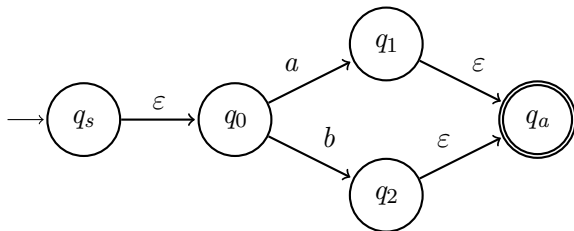


As GNFA:

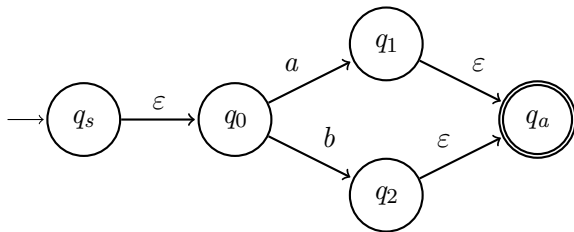
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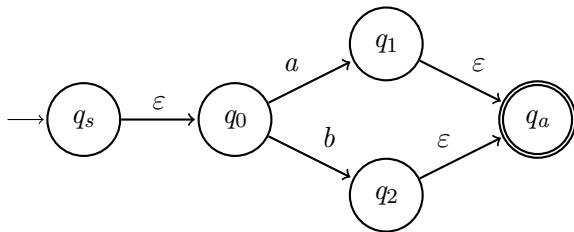


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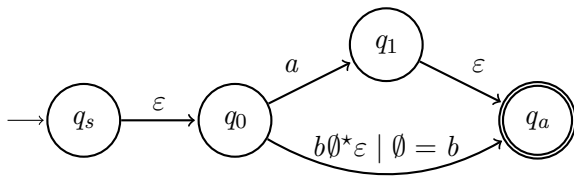


Eliminate q_2 :

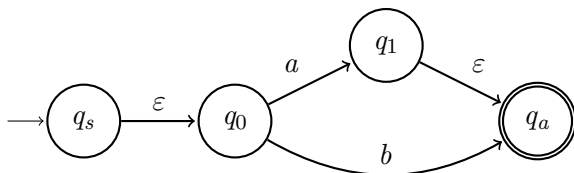
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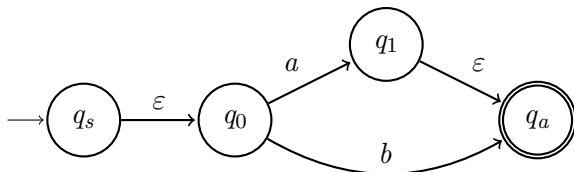


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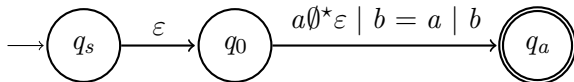


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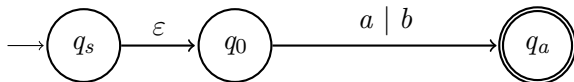
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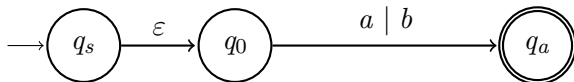
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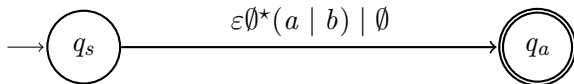
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Simplify, to get the expected:

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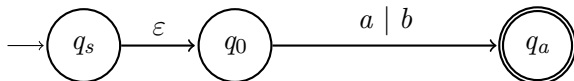


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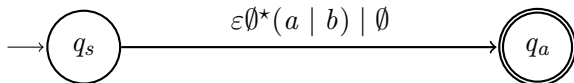


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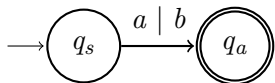
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SUMMARY

Algorithm to convert an NFA to a RE:

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 - ▶ Only one start state, no incoming transitions
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2. Progressively *eliminate* all states between start and accept
 - ▶ For each pair (q_i, q_j) where $q_i, q_j \in Q \setminus \{q_{elim}\}$
 - ▶ Replace arrow $q_i \rightarrow q_j$ with $((R_1)(R_2)^*(R_3) \mid (R_4))$, where
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3. The transition between the start and the accept state is now a regular expression describing L

OUTLINE

- ▶ Regular Expressions
- ▶ Equivalence of FA and Regular Expressions
- ▶ **Proving if a language is, *or is not*, regular**

PROVING IF A LANGUAGE IS REGULAR *or not*

Recall the definition:

A language is *regular* if and only if there exists a finite automaton which recognises it.

Suppose a language is regular. How can we prove it?

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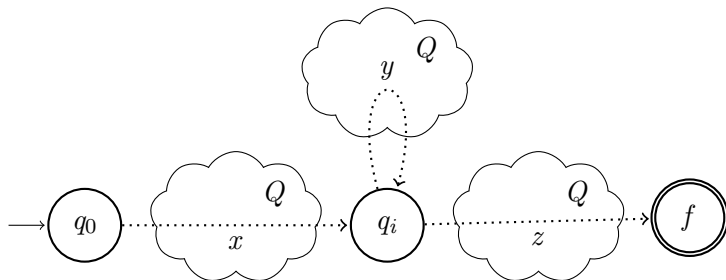
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What if the language was not regular? How can we *prove* that no suitable automata exists? We can use the *pumping lemma for regular languages*

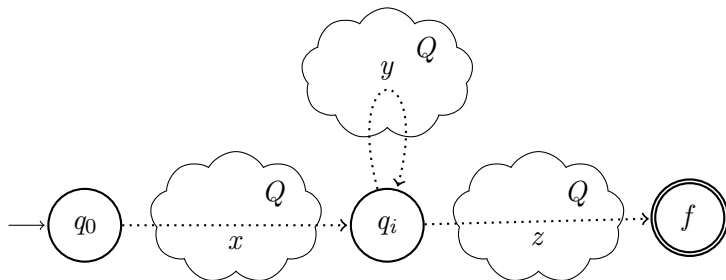
PUMPING s USING y



Suppose some string s exists, passing through M :

- ▶ From q_0 to q_i , using a string x , then
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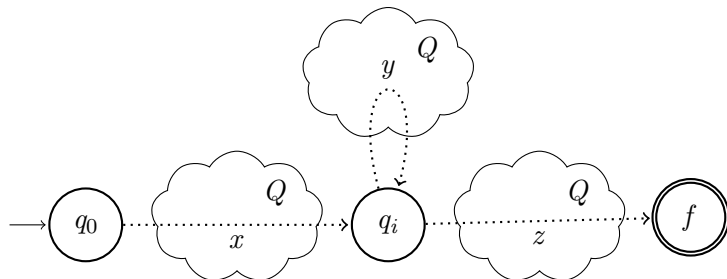
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Then $s = xyz \in L$, and $xy^kz \in L$ for all $k \geq 0$

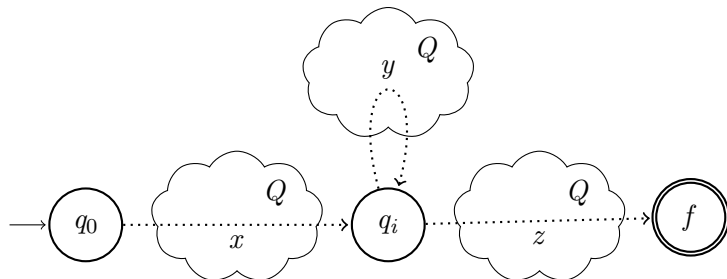
e.g. if $x = aa$, $y = b$, $z = c$, then $\{aac, aabc, aabbc, \dots\} \subseteq L$

LONG STRINGS IN FINITE AUTOMATA



Suppose M is a DFA with n states, and $s \in L(M)$, but $|s| > n + 1$

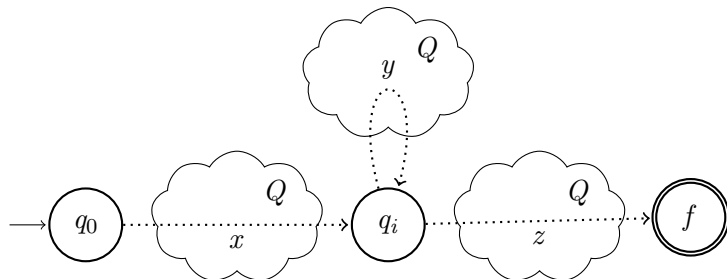
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Hence we can *pump* s to find other strings in the language

FINITE AUTOMATA, INFINITE LANGUAGES

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Suppose L is an *infinite* regular language.

- ▶ L is regular, so a DFA M exists which recognises it.
- ▶ L is an infinite set over a finite alphabet, so it must include arbitrarily long words.
- ▶ Therefore words exist which can be *pumped*

PUMPING LEMMA FOR REGULAR LANGUAGES

If L is a regular language, then there exists a number p (the *pumping length*) such that for any string $s \in L$ of length at least p , then s may be divided into three pieces, $s = xyz$, such that:

1. $xy^kz \in L$ for all $k \geq 0$ (i.e. we can use y to *pump* the string)
2. $|y| > 0$ (i.e. $y \neq \varepsilon$)
3. $|xy| \leq p$

If a language does not satisfy this lemma, then it cannot be regular

USING THE PUMPING LEMMA

We can (only) use the pumping lemma to prove that a language is *not* regular

Proof by contradiction:

1. Assume that the language is regular
2. Choose an appropriate string in the language
 - ▶ This is often the hardest part of the proof
 - ▶ We must find one which will allow us to:
3. Apply the lemma to find a contradiction
4. Thereby deduce that the assumption is false
 - ▶ i.e. The language cannot be regular

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Show that $L = \{a^n b^n \mid n \geq 0\}$ is not regular

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5. Therefore $y = a^i$ for some $0 < i \leq p$ (at least one a , no b 's)
6. Let $k = 2$. Then $xy^k z$ has more a 's than b 's, so $xy^k z \notin L$

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3. Let $s = a^p b^p$ (note: the same p as above!)
4. $|s| \geq p$, therefore we can write $s = xyz$ such that
 - 4.1 $xy^k z \in L$ for all $k \geq 0$ (i.e. we can *pump* y)
 - 4.2 $|y| > 0$
 - 4.3 $|xy| \leq p$
5. Therefore $y = a^i$ for some $0 < i \leq p$ (at least one a , no b 's)
6. Let $k = 2$. Then $xy^k z$ has more a 's than b 's, so $xy^k z \notin L$
7. Lines 6 and 4.1 form a contradiction, therefore the assumption is false (i.e. L is *not* regular.)

Regular Expressions

- ▶ What they are and how to use them
- ▶ Regular operations

Equivalence of FA and Regular Expressions

- ▶ Convert an NFA to a RE
- ▶ Convert a RE to an NFA

Proving if a language is, *or is not*, regular

- ▶ Prove regularity by finding a DFA, NFA, or RE
- ▶ Prove non-regularity by finding a contradiction in the Pumping Lemma