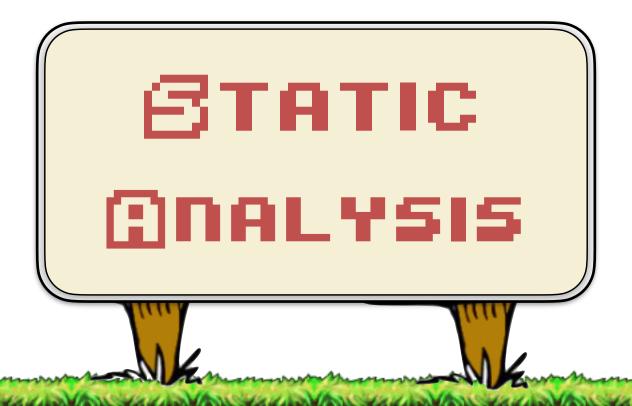
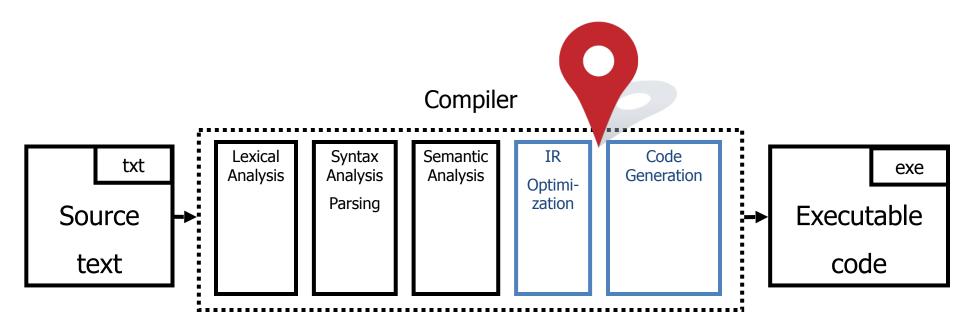
THEORY OF GOMPILATION

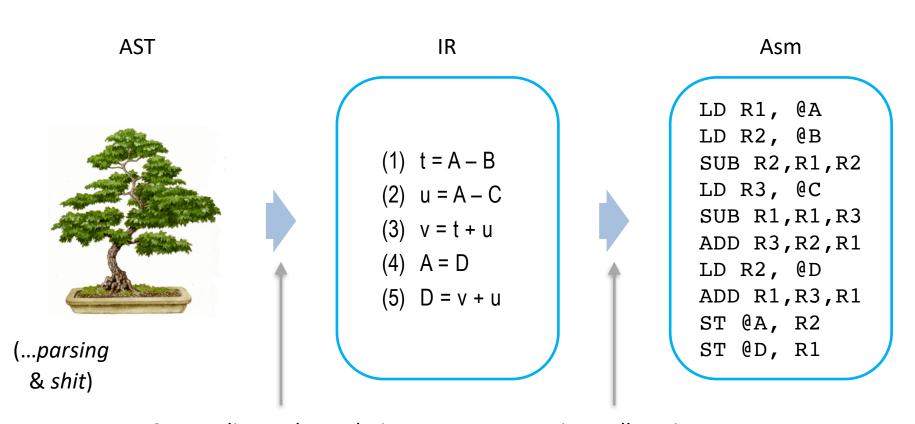
LECTURE 07



You are here



Up Until Now



Syntax directed translation
Backpatching

Register allocation Instruction translation

"The algorithmic discovery of properties of a program by inspection of its source text"

- ivlanna, Pnueli

Reason statically — at compile time — about the possible runtime behaviors of a program

- Does not have to literally be the source text, just means w/o running it
- In a compiler, we mostly use IR

• What for..?

Register allocation (liveness analysis used in the previous lecture)

Optimizations

```
area = width * height

p = 0

z = p * area + 1
```

e.g. in this code, z can be replaced by 1, and area can be discarded.

 \smile (or can it?)

Advanced semantic checks

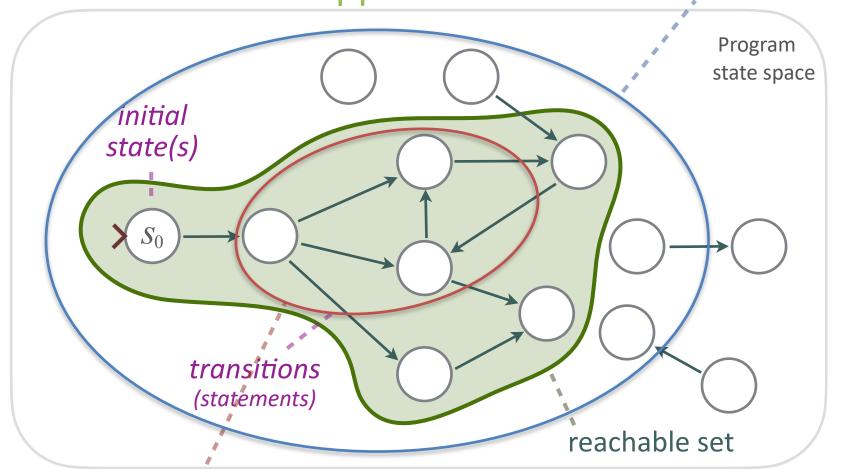
```
Record al;
if (..) { al = new }
al.write();
```

"a1 may be uninitialized"

```
if (x > 0) {
   y = 42;
} else {
    y = 73;
    foo();
                                 Is the assertion true in
                                 all possible executions?
assert (y == 42);
```

Bad news: problem is generally undecidable

• Central idea: use approximation over-approximation



Over-Approximation

```
if (x > 0) {
   y = 42;
                              y = 73
} else {
   y = 73;
                                 (foo)
   foo();
assert (y == 42);
```

Conservative static analysis: assertion may be violated

Example: Def-Before-Use

Concept of definition and use:

$$x = y + z$$

- is a **definition** of x
- is a use of y and z



- A program satisfies def-before-use if
 - on any execution path, and for any variable x —
 - there is some definition of x before all uses of x

Example: Def-Before-Use

```
fun see(x)
                                                     set of variables that must have been
                                                                       defined until now
4
                                                          Control flow
     \} while (y \ge 0)
     ret:
                                       { x, y, <del>z,</del> ret }
```

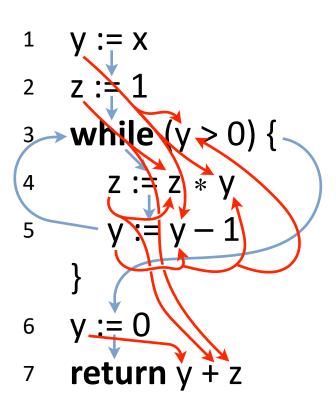
Concept of definition and use:

$$x = y + z$$

- is a definition of x
- is a use of y and z



- A definition *reaches* a use if
 - value written by definition...
 - ...may be read by use



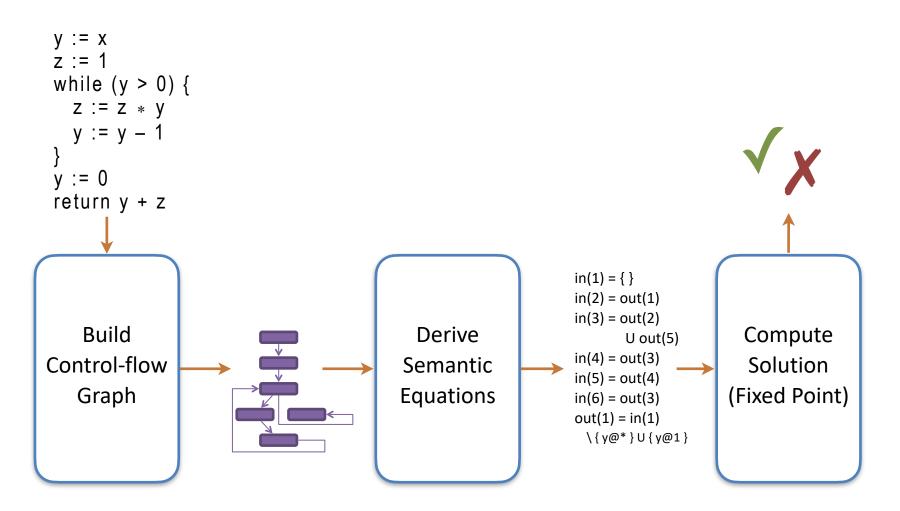
- A definition reaches a use if
 - value written by definition...
 - ...may be read by use

- Control flow
- → Data flow

```
set of definitions that may reach
                                                                  this location
                                 -{ y@1, z@2 }
    while (y > 0)
                                  { y@1, z@2 }
                                 { y@1, z@4 }
                                  { y@5, z@4 }
                                  { y@1, z@2 }
6
                                 -{ y@6, z@2 }
    return y + z
```

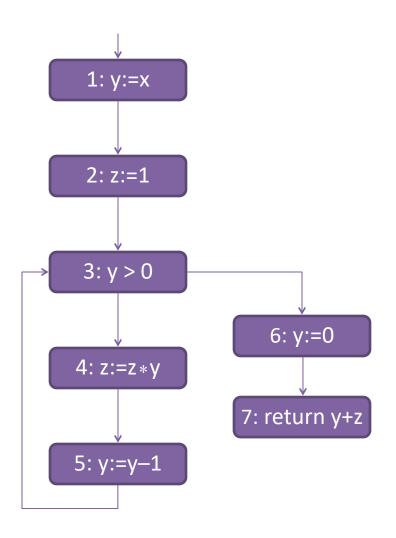
```
-{ y@1, z@2, y@5, z@4 }
    while (y > 0) {
                               { y@1, z@2,}y@5, z@4 }
                              -{ y@1, z@4,}y@5 }
                              -{ y@5, z@4 }
                              -{ y@1, z@2,}y@5, z@4 }
6
                             --{ y@6, z@2,}z@4 }
    return y + z
```

Dataflow Analysis: Overview



Control-Flow Graph

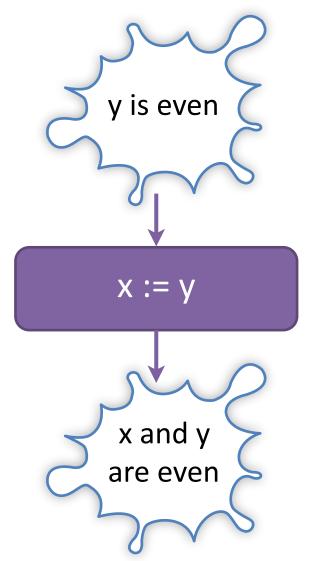
```
2 z : ∓ 1
  >while (y > 0) {
      z := z * y
    -y := y - 1
6
   return y + z
```



Transfer Functions

Given a program statement S, we can define a **transfer function** T_S that relates the properties that are true before the statement to the properties that are true after the statement.

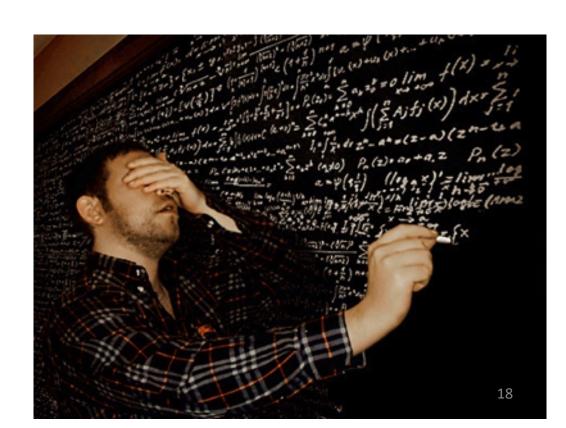
$$T_{x:=y} \begin{bmatrix} x \ (?) \\ y \ even \end{bmatrix} = \begin{bmatrix} x \ even \\ y \ even \end{bmatrix}$$



Time for Some

Math

- Partial Orders
- Upper and Lower Bounds
- Lattices



Partial Orders

- Set P
- Binary relation \sqsubseteq such that $\forall x,y,z \in P$:

```
▶ x \sqsubseteq x (reflexive)
▶ x \sqsubseteq y and y \sqsubseteq x implies x = y (asymmetric)
▶ x \sqsubseteq y and y \sqsubseteq z implies x \sqsubseteq z (transitive)
```

- Can use partial order to define
 - Upper and lower bounds
 - Least upper bound
 - Greatest lower bound

Upper Bounds

- For $S \subseteq P$:
 - ▶ $x \in P$ is an *upper bound* of S if $\forall y \in S$. $y \subseteq x$
 - \rightarrow x \in P is the *least upper bound* of S if
 - x is an upper bound of S, and
 - $x \sqsubseteq z$ for all upper bounds z of S
 - ▶ ⊔ join, least upper bound, lub, supremum, sup
 - □S is the least upper bound of S
 - $x \sqcup y = \sqcup \{x,y\}$
 - ▶ (Often written as v as well)

Lower Bounds

- For $S \subseteq P$:
 - \bullet x \in P is a *lower bound* of S if \forall y \in S. x \sqsubseteq y
 - \rightarrow x \in P is the *greatest lower bound* of S if
 - x is an greatest lower bound of S, and
 - $z \subseteq x$ for all lower bounds z of S
 - ▶ ¬ meet, greatest lower bound, glb, infimum, inf
 - ¬S is the greatest lower bound of S
 - $x \sqcap y = \sqcap \{x,y\}$
 - ▶ (Often written as ∧ as well)

Covering

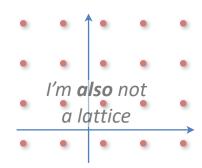
- $x \sqsubseteq y \text{ if } x \sqsubseteq y \text{ and } x \neq y$
- x is covered by y (y covers x) if
 - x □ y, and
 - ▶ no z such that $x \vdash z \vdash y$
- Conceptually,
 - y covers x if there are no elements between x and y

e.g. for
$$P = \mathbb{Z}$$
, $\sqsubseteq = \le$ 5 covers 4 5 does not cover 3

Lattices

- Partially ordered set P
 - ▶ If $x \sqcup y$ and $x \sqcap y$ exist for all $x,y \in P$ then P is a *lattice*
 - ▶ If $\sqcup S$ and $\sqcap S$ exist for all $S \subseteq P$ then P is a *complete lattice*
- Theorem: all finite lattices are complete.
- Example of a lattice that is not complete:
 - ▶ Integers Z
 - □ = max, □ = min
 - ▶ But $\square \mathbb{Z}$ and $\square \mathbb{Z}$ do not exist \Rightarrow **not** complete
 - ▶ Conversely, $\mathbb{Z} \cup \{+\infty, -\infty\}$ **is** a complete lattice

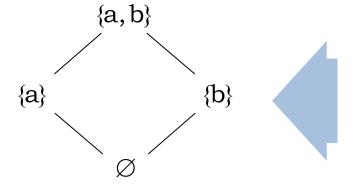




•
$$P = \{ \emptyset, \{a\}, \{b\}, \{a,b\} \} = \mathcal{P}(\{a,b\})$$

•
$$x \sqsubseteq y \Leftrightarrow x \subseteq y$$

(called a *power-set lattice*)



$\emptyset \sqsubseteq \{a\} \sqsubseteq \{a,b\}$

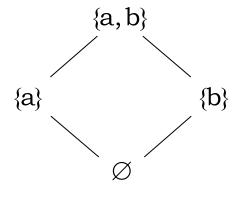
$$\emptyset \sqsubseteq \{b\} \sqsubseteq \{a,b\}$$

Hasse Diagram

If y covers x:

- Line from y to x
- y above x in diagram

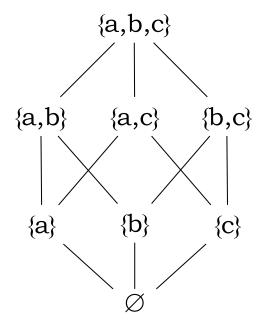
- $P = \{ \emptyset, \{a\}, \{b\}, \{a,b\} \}$
- $x \sqsubseteq y \Leftrightarrow x \subseteq y$



$$\emptyset \sqsubseteq \{a\} \sqsubseteq \{a,b\}$$

$$\emptyset \sqsubseteq \{b\} \sqsubseteq \{a,b\}$$

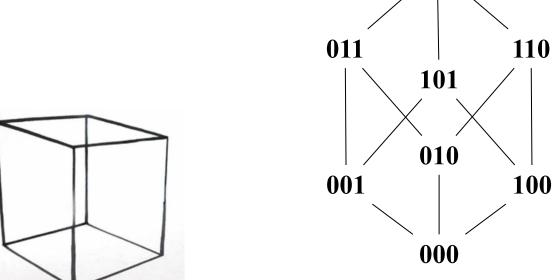
$$P = \{ \emptyset, \{a\}, \{b\}, \{c\}, \{a,b\}, \{a,c\}, \{b,c\}, \{a,b,c\} \}$$

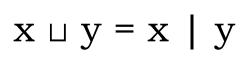


- $P = \{000, 001, 010, 011, 100, 101, 110, 111\}$
- $x \sqsubseteq y \Leftrightarrow (x \& y) = x$ where & is bitwise 'and'

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(standard boolean lattice, also called *hypercube*)



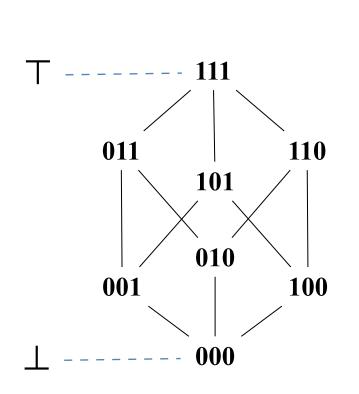


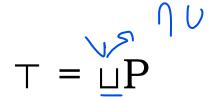
$$x \sqcap y = x \& y$$



Top and Bottom

- Greatest element of P (if it exists) is top (\top)
- Least element of P (if it exists) is *bottom* (\perp)





$$x \sqcup y = x \mid y$$

$$x \sqcap y = x \& y$$

$$\perp = \sqcap P$$

Product Latices

 Given two latices L and Q, the product can easily be made a latice

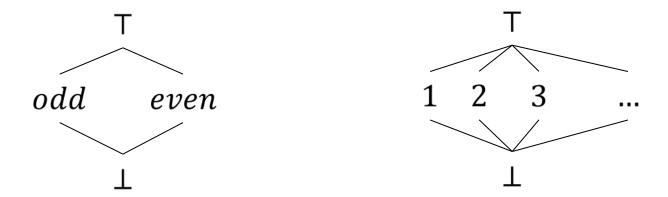
$$(l_1, q_1) \sqsubseteq (l_2, q_2) \Leftrightarrow l_1 \sqsubseteq l_2 \text{ and } q_1 \sqsubseteq q_2$$

For vectors of L, defining a latice is also easy

$$\langle l_1, l_2, \dots, l_k \rangle \sqsubseteq \langle t_1, t_2, \dots, t_k \rangle \Leftrightarrow \forall_{i \in [1,k]} l_i \sqsubseteq t_i$$

Lattices of Program Properties

- Properties of interest can often be arranged into a lattice
- Example: Lattices of values –



 When the value of each variable is a lattice, the state of the program is a product lattice of the states of all variables.

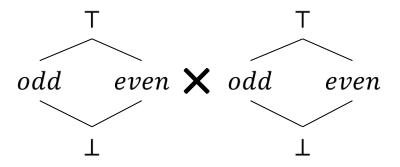
```
x := 0;
y := 6;
while (x < 10) {
    x := x + 2;
    y := y + x;
}
assert (y is even);</pre>
```

```
v \in odd even definitely odd definitely even don't care
```

```
• \langle x = \{\bot, even, odd, \top\}, y = \{\bot, even, odd, \top\} \rangle
```

• e.g.
$$\langle x = even, y = odd \rangle \sqsubseteq \langle x = \top, y = odd \rangle$$

 $\sqsubseteq \langle x = \top, y = \top \rangle$



Product lattice of two individual lattices, one per variable

either odd

Where were we... ah, yes, Transfer Functions

 For every block, define state variables in an y is even and a function relating them in_i ightharpoonup out_i = $T_i(in_i)$ $in_i = \langle x = v_3, y = v_4 \rangle$ i: x := yy := y + 1 $out_i =$ and y are even $out_i = \langle x = v_3, y =$ even X odd oddeven \sim odd = even

 $\sim even = odd$

 $\sim \bot = \bot$

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Computing the Transfer Function

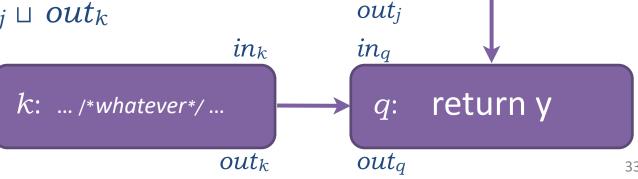
- We must hard-code a transfer function specific to the lattice
 - Occasionally, there would be a trade-off between how precise the transfer functions are and how easy it is to compute them
- We can build lattices for arbitrary facts about the program
 - Need to make sure our transfer functions are "well behaved" (we will define "good" behavior later)

From CFG to Equations

For every block, define state variables in and out

$$ightharpoonup$$
 out_i = $T_i(in_i)$

- If i is the **only** predecessor of j:
 - $\rightarrow in_j = out_i$
- Use join (□) when multiple edges enter the same block:
 - $in_q = out_j \sqcup out_k$



 in_i

outi

 in_i

x := y

 $j: y := y + \overline{1}$

Back to Reaching Definitions

Domain Lattice

 For every program point, we compute the set of variable definitions that reach it.

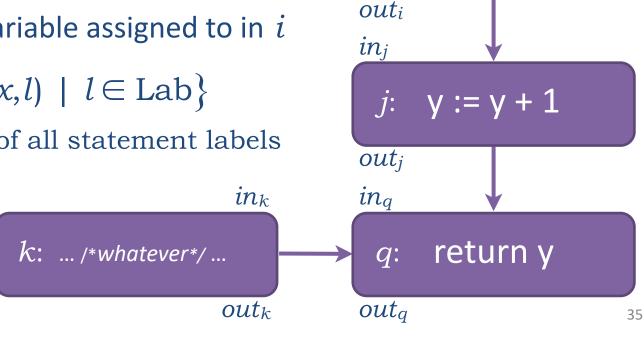
Back to Reaching Definitions

Transfer Functions

We define the following transfer function:

$$\bullet out_i = in_i \setminus (x, *) \cup \{(x, i)\}$$

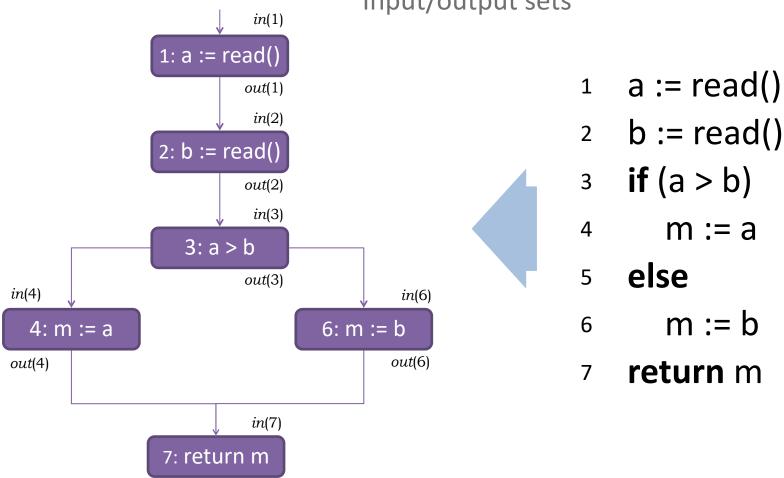
- where
 - \blacktriangleright x is the variable assigned to in i
 - ▶ $(x,*) = \{(x,l) \mid l \in Lab\}$ Lab = set of all statement labels

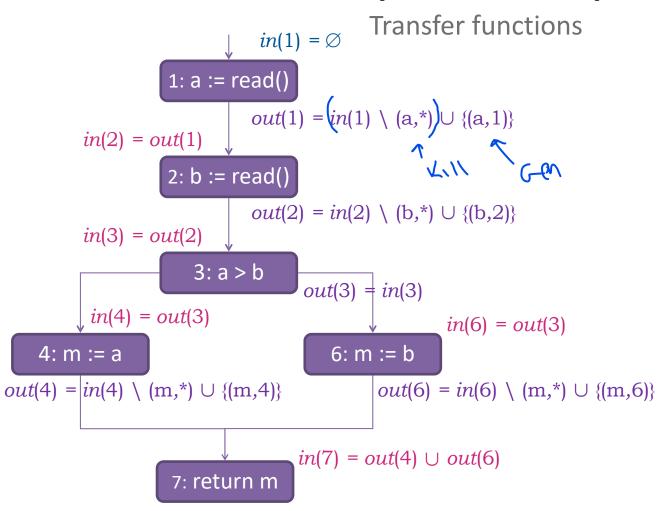


x := y

Simple Example

Input/output sets





 $in(1) = \emptyset$ Transfer functions

$$out(1) = in(1) \setminus (a,*) \cup \{(a,1)\}$$
 $in(2) = out(1)$

$$out(2) = in(2) \setminus (b,*) \cup \{(b,2)\}$$
 $in(3) = out(2)$

$$out(3) = in(3)$$

$$in(4) = out(3)$$

$$out(4) = in(4) \setminus (m,*) \cup \{(m,4)\}$$

$$out(6) = in(6) \setminus (m,*) \cup \{(m,6)\}$$

$$in(7) = out(4) \cup out(6)$$

System of equations

$$v_0$$
 — $in(1) = \emptyset$
 v_1 — $out(1) = in(1) \setminus (a,*) \cup \{(a,1)\}$
 v_2 — $in(2) = out(1)$
 v_3 — $out(2) = in(2) \setminus (b,*) \cup \{(b,2)\}$
 v_4 — $in(3) = out(2)$
 v_5 — $out(3) = in(3)$
 v_6 — $in(4) = out(3)$
 v_7 — $out(4) = in(4) \setminus (m,*) \cup \{(m,4)\}$
 v_8 — $in(6) = out(3)$
 v_9 — $out(6) = in(6) \setminus (m,*) \cup \{(m,6)\}$
 v_9 — $in(7) = out(4) \cup out(6)$

$$v_0 = \emptyset$$
 $v_1 = v_0 \setminus (a, *) \cup \{(a, 1)\}$
 $v_2 = v_1$
 $v_3 = v_2 \setminus (b, *) \cup \{(b, 2)\}$
 $v_4 = v_3$
 $v_5 = v_4$
 $v_6 = v_5$
 $v_7 = v_6 \setminus (m, *) \cup \{(m, 4)\}$
 $v_8 = v_5$
 $v_9 = v_8 \setminus (m, *) \cup \{(m, 6)\}$
 $v_{10} = v_7 \cup v_9$

$$F(\langle v_0, v_1, v_2, v_3, v_4, v_5, v_6, v_7, v_8, v_9, v_{10} \rangle) = \langle v_0' = \emptyset, \\ v_1' = v_0 \setminus (a,*) \cup \{(a,1)\}, \\ v_2' = v_1, \\ v_3' = v_2 \setminus (b,*) \cup \{(b,2)\}, \\ v_4' = v_3, \\ v_5' = v_4, \\ v_6' = v_5, \\ v_7' = v_6 \setminus (m,*) \cup \{(m,4)\}, \\ v_8' = v_5, \\ v_9' = v_8 \setminus (m,*) \cup \{(m,6)\}, \\ v_{10}' = v_7 \cup v_9 \rangle$$

 $\bar{\mathbf{v}}$ $F(\bar{\mathbf{v}})$

 \overline{V} is a solution $\iff \overline{V} = F(\overline{V})$

System of Equations

Representation as an n-ary function

```
F(\langle v_0, v_1, v_2, v_3, v_4, v_5, v_6, v_7, v_8, v_9, v_{10} \rangle) =
             \langle v_0' = \emptyset
                v_1 = v_0 \setminus (a, *) \cup \{(a, 1)\},
                v_2' = v_1.
                v_3' = v_2 \setminus (b,*) \cup \{(b,2)\},
                v_{\alpha}'=v_{\alpha}
                v_5'=v_4
                v_6' = v_5
                 v_7 = v_6 \setminus (m,*) \cup \{(m,4)\},
                 v_8' = v_5
                 v_9' = v_8 \setminus (m,*) \cup \{(m,6)\},
                 v_{10} = v_7 \cup v_9
```

- The flow equations define a function over 11 variables $v_0 \cdots v_{10}$
- Each variable v_i represents a value from our lattice, $L = \mathcal{P}(\text{Var} \times \text{Lab})$

 $F: (\mathcal{P}(\mathsf{Var} \times \mathsf{Lab}))^{11} \to (\mathcal{P}(\mathsf{Var} \times \mathsf{Lab}))^{11}$

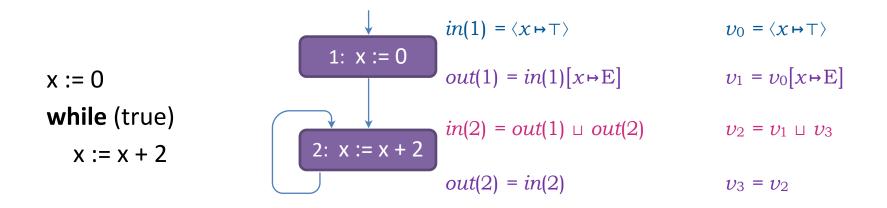
Solving the Equations

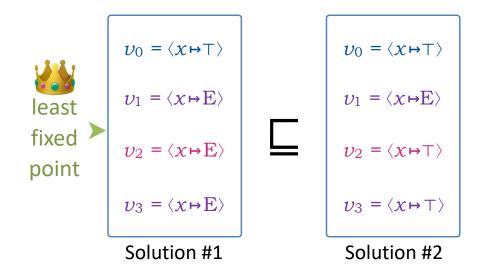
- Fixed Point Problem
 - Given a function $F: L \to L$, find $x \in L$ such that

- With transfer functions, you will often find that there is more than one such solution...
 - Specifically, when the program has loops
 - ▶ We would like the *most precise* solution

$$e.g., F = identity$$

Solving the Equations





Knaster-Tarski Theorem

Order preserving (monotonic) function:

$$x \sqsubseteq y \Rightarrow F(x) \sqsubseteq F(y)$$

• Let L be a complete lattice and $F: L \to L$ a monotonic function. Then the set of fixed points of F is also a complete lattice.

▶ *Definition*. the **least fixed point** (*lfp*) x_{\perp} is a fixed point $(F(x_{\perp}) = x_{\perp})$,

such that for any x, if F(x) = x, then $x_{\perp} \sqsubseteq x$

 x_{\perp} is the minimal element (\perp) of the lattice from Knaster-Tarski.

Kleene Fixed-point Theorem

• Order preserving (monotonic) function:

$$x \sqsubseteq y \Rightarrow F(x) \sqsubseteq F(y)$$

• The least fixed point satisfies: $x_{\perp} = \sqcup \{F^n(\perp) \mid n = 0, 1, 2, ...\}$

- Proof. Let $x_i = F^i(\bot)$.
 - by induction, $x_i \sqsubseteq x_{i+1}$
 - also, $x_i \sqsubseteq x_\perp$
 - (finite case)

 if for some i, $x_i = x_{i+1} \Rightarrow x_i$ is a fixed point $\Rightarrow x_{\perp} \sqsubseteq x_i \sqsubseteq x_{\perp} \Rightarrow x_i = x_{\perp}$

 x_0, x_1, x_2, \dots

is called the Kleene chain of F.

BTW, same trick works for computing greatest fixed point

- in that case, start with $x_0 = \top$

Chains

• A set $S \subseteq L$ is a *chain* if

$$\forall x, y \in S. \ y \sqsubseteq x \text{ or } x \sqsubseteq y$$

• L has no infinite chains if every chain in L is finite.

• In that case, we are *guaranteed* to find the least fixed point in a finite number of steps.

Solving the Equations

```
F: (\mathcal{P}(\text{Var} \times \text{Lab}))^{11} \rightarrow (\mathcal{P}(\text{Var} \times \text{Lab}))^{11}
F(\langle v_0, v_1, v_2, v_3, v_4, v_5, v_6, v_7, v_8, v_9, v_{10} \rangle) =
                          \langle v_0' = \emptyset,
                              v_1 = v_0 \setminus (a, *) \cup \{(a, 1)\},
                              v_2' = v_1
                              v_3' = v_2 \setminus (b,*) \cup \{(b,2)\},
                             v_4'=v_3,
                              v_5'=v_4
                              v_6' = v_5
                              v_7 = v_6 \setminus (m,*) \cup \{(m,4)\},
                              v_8' = v_5
                              v_{0}' = v_{8} \setminus (m,*) \cup \{(m,6)\}
                              v_{10} = v_7 \cup v_9
```

	上	F(⊥)	F(F(⊥))	F(F(F(⊥)))	F(F(F(F(⊥))))	F(F(F(F(±)))))
$v_0 = in(1)$	Ø	Ø	Ø	Ø	Ø	Ø
v_1 = out(1)	Ø	{(a,1)}	{(a,1)}	{(a,1)}	{(a,1)}	{(a,1)}
$v_2 = in(2)$	Ø	Ø	{(a,1)}	{(a,1)}	{(a,1)}	{(a,1)}
v_3 = out(2)	Ø	{(b,2)}	{(b,2)}	{(a, 1), (b,2)}	{(a, 1), (b,2)}	{(a, 1), (b,2)}
$v_4 = in(3)$	Ø	Ø	{(b,2)}	{(b,2)}	{(a, 1), (b,2)}	{(a, 1), (b,2)}
$v_{\scriptscriptstyle 5}$ = out(3)	Ø	Ø	Ø	{(b,2)}	{(b,2)}	{(a, 1), (b,2)}
$v_6 = in(4)$	Ø	Ø	Ø	Ø	{(b,2)}	{(b,2)}
v_7 = out(4)	Ø	{(m,4)}	{(m,4)}	{(m,4)}	{(m,4)}	{(b,2), (m,4)}
v_{8} = in(6)	Ø	Ø	Ø	Ø	{(b,2)}	{(b,2)}
v_9 = out(6)	Ø	{(m,6)}	{(m,6)}	{(m,6)}	{(m,6)}	{(b,2), (m,6)}
$v_{10} = in(7)$	Ø	Ø	{(m,4), (m,6)}	{(m,4), (m,6)}	{(m,4), (m,6)}	{(m,4), (m,6)}

$$F: (\mathcal{P}(\text{Var} \times \text{Lab}))^{11} \to (\mathcal{P}(\text{Var} \times \text{Lab}))^{11}$$

$$F(\langle v_0, v_1, v_2, v_3, v_4, v_5, v_6, v_7, v_8, v_9, v_{10} \rangle) = \begin{cases} v_0' & v_1' \\ \varnothing, v_0 \setminus (a, *) \cup \{(a, 1)\}, v_1, v_2 \setminus (b, *) \cup \{(b, 2)\}, v_3, v_4, v_5, v_6 \\ v_6 \setminus (m, *) \cup \{(m, 4)\}, v_5, v_8 \setminus (m, *) \cup \{(m, 6)\}, v_7 \cup v_9 \end{cases}$$

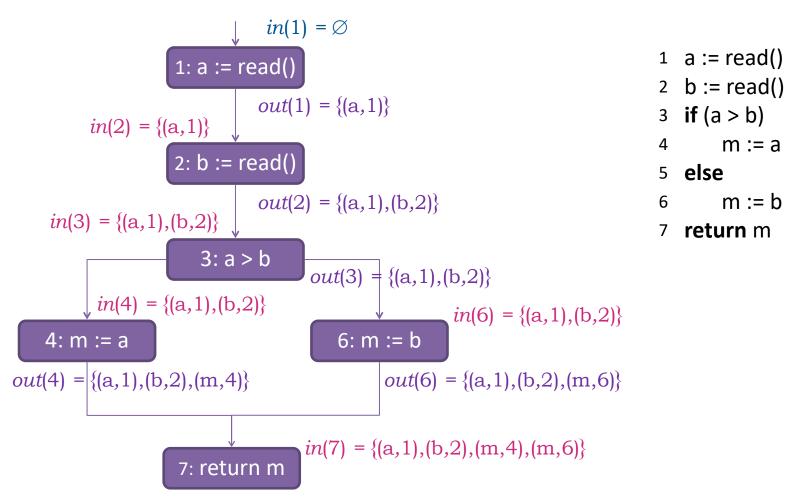
	F ⁵ (⊥)	F ⁶ (⊥)	F ⁷ (⊥)	F ⁸ (⊥)	F9(⊥
$v_0 = in(1)$	Ø	Ø	Ø	Ø	= F
v_1 = out(1)	{(a,1)}	{(a,1)}	{(a,1)}	{(a,1)}	
$v_2 = in(2)$	{(a,1)}	{(a,1)}	{(a,1)}	{(a,1)}	
v_3 = out(2)	{(a, 1), (b,2)}	{(a, 1), (b,2)}	{(a, 1), (b,2)}	{(a, 1), (b,2)}	
$v_4 = in(3)$	{(a, 1), (b,2)}	{(a, 1), (b,2)}	{(a, 1), (b,2)}	{(a, 1), (b,2)}	
$v_5 = \text{out(3)}$	{(a, 1), (b,2)}	{(a, 1), (b,2)}	{(a, 1), (b,2)}	{(a, 1), (b,2)}	
$v_6 = in(4)$	{(b,2)}	{(a, 1), (b,2)}	{(a, 1), (b,2)}	{(a, 1), (b,2)}	
$v_7 = \text{out(4)}$	{(b,2), (m,4)}	{(b,2), (m,4)}	{(a, 1), (b,2), (m,4)}	{(a, 1), (b,2), (m,4)}	
$v_{8} = in(6)$	{(b,2)}	{(a, 1), (b,2)}	{(a, 1), (b,2)}	{(a, 1), (b,2)}	
$v_9 = out(6)$	{(b,2), (m,6)}	{(b,2), (m,6)}	{(a, 1), (b,2), (m,6)}	{(a, 1), (b,2), (m,6)}	
$v_{10} = in(7)$	{(m,4), (m,6)}	{(b,2), (m,4), (m,6)}	{(b,2), (m,4), (m,6)}	{(a, 1), (b,2), (m,4), (m,6)}	

$$F: (\mathcal{P}(\text{Var} \times \text{Lab}))^{11} \to (\mathcal{P}(\text{Var} \times \text{Lab}))^{11}$$

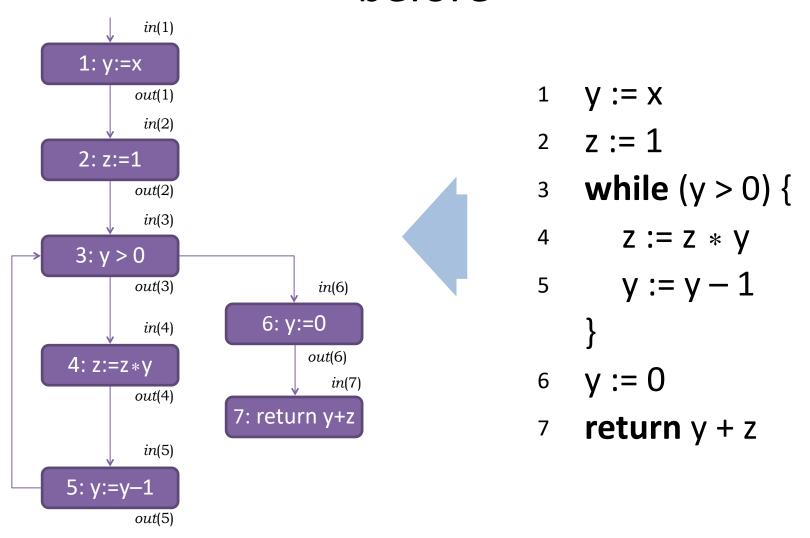
$$F(\langle v_0, v_1, v_2, v_3, v_4, v_5, v_6, v_7, v_8, v_9, v_{10} \rangle) = \begin{cases} v_0' & v_1' \\ \varnothing, v_0 \setminus (a, *) \cup \{(a, 1)\}, v_1, v_2 \setminus (b, *) \cup \{(b, 2)\}, v_3, v_4, v_5, v_6 \\ v_6 \setminus (m, *) \cup \{(m, 4)\}, v_5, v_8 \setminus (m, *) \cup \{(m, 6)\}, v_7 \cup v_9 \end{cases}$$

Solving the Equations

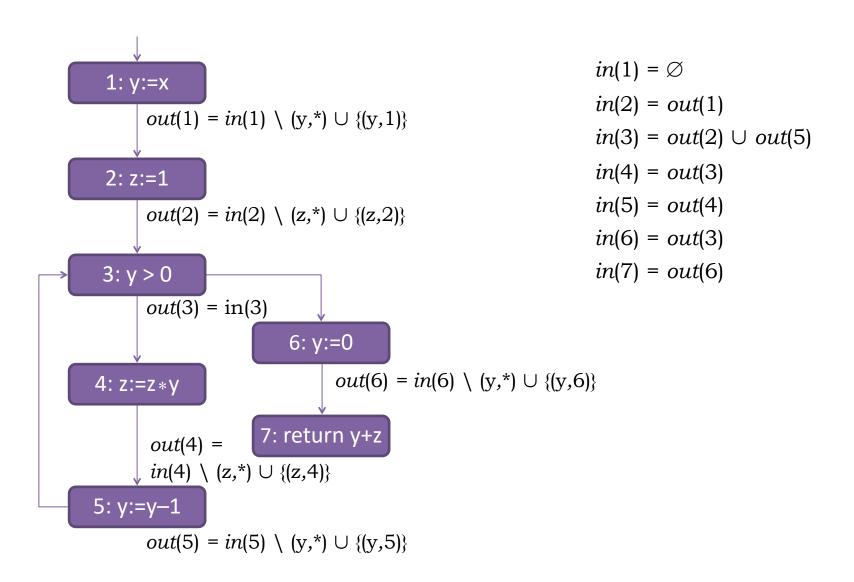
Least fixed point solution



Now, to the example program from before



Transfer Functions



System of Equations

 $F(v_1, v_2, v_3, v_4, v_5, v_6, v_7, v_8, v_9, v_{10}, v_{11}, v_{12}, v_{13}) =$ ⟨Ø, $v_1 - in(1) = \emptyset$ $v_2 - in(2) = out(1)$ \mathcal{U}_{8} v_3 — $in(3) = out(2) \cup out(5)$ $v_9 \cup v_{12}$ $v_4 - in(4) = out(3)$ v_{10} $v_5 - in(5) = out(4)$ v_{11} $v_6 - in(6) = out(3)$ v_{10} $v_7 = in(7) = out(6)$ v_{13} $v_{\circ} = out(1) = in(1) \setminus (y,*) \cup \{ (y,1) \}$ $v_0 = out(2) = in(2) \setminus (z,*) \cup \{(z,2)\}$ v_{10} — out(3) = in(3) v_3 v_{11} out(4) = $in(4) \setminus (z,*) \cup \{(z,4)\}$ v_{12} out(5) = $in(5) \setminus (y,*) \cup \{ (y,5) \}$ v_{13} out(6) = $in(6) \setminus (y,*) \cup \{ (y,6) \}$

$$\langle \ arphi_8 \ v_9 \cup v_{12} \ v_{10} \ v_{11} \ v_{10} \ v_{13} \ v_1 \setminus (\mathrm{y},^*) \cup \{\,(\mathrm{y},1)\,\} \ v_2 \setminus (z,^*) \cup \{\,(z,2)\,\} \ v_3 \ v_4 \setminus (z,^*) \cup \{\,(\mathrm{y},5)\,\} \ v_6 \setminus (\mathrm{y},^*) \cup \{\,(\mathrm{y},6)\,\}\,\rangle$$

System of Equations

Representation as an n-ary function

$$F(v_{1}, v_{2}, v_{3}, v_{4}, v_{5}, v_{6}, v_{7}, v_{8}, v_{9}, v_{10}, v_{11}, v_{12}, v_{13}) = \begin{cases} \langle \varnothing, & & \\ v_{8} & & \\ v_{9} \cup v_{12} & & \\ v_{10} & & \\ v_{11} & & & \\ v_{10} & & \\ v_{13} & & \\ v_{1} \setminus (y,^{*}) \cup \{ (y,1) \} & & \\ v_{2} \setminus (z,^{*}) \cup \{ (z,2) \} & & \\ v_{3} & & & \\ v_{4} \setminus (z,^{*}) \cup \{ (y,5) \} & \\ v_{5} \setminus (y,^{*}) \cup \{ (y,5) \} \end{cases}$$

$$A \text{ solution}$$

- These equations define a function over 13 variables (in(1..7), out(1..6))
- Each variable represents a value from our lattice, $L = \mathcal{P}(\text{Var} \times \text{Lab})$

$$F: (\mathcal{P}(\text{Var} \times \text{Lab}))^{13} \rightarrow (\mathcal{P}(\text{Var} \times \text{Lab}))^{13}$$

A solution \overline{v} satisfies $F(\overline{v}) = \overline{v}$

	上	F(⊥)	F(F(⊥))	F(F(F(⊥)))	F(F(F(F(⊥))))	F(F(F(F(F(上)))))
in(1)	Ø	Ø	Ø	Ø	Ø	Ø
in(2)	Ø	Ø	{(y,1)}	{(y,1)}	{(y,1)}	{(y,1)}
in(3)	Ø	Ø	$\{(z,2),(y,5)\}$	{(z,2),(y,5)}	$\{(z,2),(z,4),(y,1),(y,5)\}$	{(z,2),(z,4),(y,1),(y,5)}
in(4)	Ø	Ø	Ø	Ø	{(z,2),(y,5)}	{(z,2),(y,5)}
in(5)	Ø	Ø	{(z,4)}	{(z,4)}	{(z,4)}	{(z,4)}
in(6)	Ø	Ø	Ø	Ø	{(z,2),(y,5)}	{(z,2),(y,5)}
in(7)	Ø	Ø	{(y,6)}	{(y,6)}	{(y,6)}	{(y,6)}
out(1)	Ø	{(y,1)}	{(y,1)}	{(y,1)}	{(y,1)}	{(y,1)}
out(2)	Ø	{(z,2)}	{(z,2)}	$\{(z,2),(y,1)\}$	{(z,2),(y,1)}	{(z,2),(y,1)}
out(3)	Ø	Ø	Ø	{(z,2),(y,5)}	{(z,2),(y,5)}	$\{(z,2),(z,4),(y,1),(y,5)\}$
out(4)	Ø	{(z,4)}	{(z,4)}	{(z,4)}	{(z,4)}	{(z,4)}
out(5)	Ø	{(y,5)}	{(y,5)}	{(z,4),(y,5)}	{(z,4),(y,5)}	{(z,4),(y,5)}
out(6)	Ø	{(y,6)}	{(y,6)}	{(y,6)}	{(y,6)}	{(z,2),(y,6)}

F: $(\mathcal{P}(\text{Var} \times \text{Lab}))^{13} \rightarrow (\mathcal{P}(\text{Var} \times \text{Lab}))^{13}$

 $in(1)=\emptyset \quad in(2)=out(1) \quad in(3)=out(2) \ U \ out(5) \quad in(4)=out(3) \quad in(5)=out(4) \quad in(6)=out(3) \ out(1)=in(1) \setminus (y,*) \ U \ \{ (y,1) \ \} \quad out(2)=in(2) \setminus (z,*) \ U \ \{ (z,2) \ \} \quad in(7)=out(6) \ out(3)=in(3) \quad out(4)=in(4) \setminus (z,*) \ U \ \{ (z,4) \ \} \quad out(5)=in(5) \setminus (y,*) \ U \ \{ (y,5) \ \}$

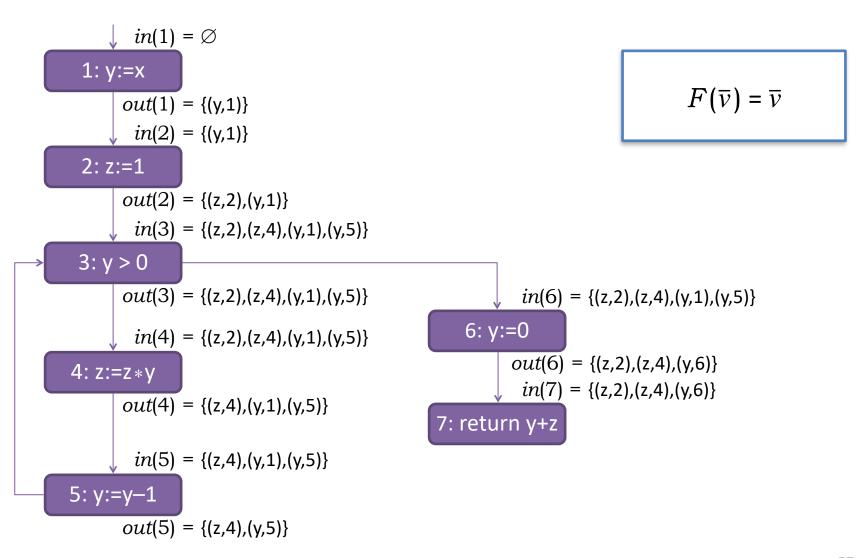
55

	F(F(F(F(F(上)))))	F ⁶ (⊥)	F ⁷ (⊥)	F8(⊥)
in(1)	Ø	Ø	Ø	Ø
in(2)	{(y,1)}	{(y,1)}	{(y,1)}	{(y,1)}
in(3)	{(z,2),(z,4),(y,1),(y,5)}	$\{(z,2),(z,4),(y,1),(y,5)\}$	$\{(z,2),(z,4),(y,1),(y,5)\}$	$\{(z,2),(z,4),(y,1),(y,5)\}$
in(4)	{(z,2),(y,5)}	{(z,2),(z,4),(y,1),(y,5)}	$\{(z,2),(z,4),(y,1),(y,5)\}$	{(z,2),(z,4),(y,1),(y,5)}
in(5)	{(z,4)}	{(z,4)}	{(z,4),(y,1),(y,5)}	{(z,4),(y,1),(y,5)}
in(6)	{(z,2),(y,5)}	{(z,2),(z,4),(y,1),(y,5)}	{(z,2),(z,4),(y,1),(y,5)}	{(z,2),(z,4),(y,1),(y,5)}
in(7)	{(y,6)}	{(y,6)}	{(y,6)}	{(y,6)}
out(1)	{(y,1)}	{(y,1)}	{(y,1)}	{(y,1)}
out(2)	{(z,2),(y,1)}	$\{(z,2),(y,1)\}$	{(z,2),(y,1)}	{(z,2),(y,1)}
out(3)	{(z,2),(z,4),(y,1),(y,5)}	{(z,2),(z,4),(y,1),(y,5)}	$\{(z,2),(z,4),(y,1),(y,5)\}$	{(z,2),(z,4),(y,1),(y,5)}
out(4)	{(z,4)}	{(z,4),(y,1),(y,5)}	$\{(z,4),(y,1),(y,5)\}$	{(z,4),(y,1),(y,5)}
out(5)	{(z,4),(y,5)}	{(z,4),(y,5)}	{(z,4),(y,5)}	{(z,4),(y,5)}
out(6)	{(z,2),(y,6)}	{(z,2),(y,6)}	{(z,2),(y,6)}	{(z,2),(y,6)}

F: $(\mathcal{P}(\text{Var} \times \text{Lab}))^{13} \rightarrow (\mathcal{P}(\text{Var} \times \text{Lab}))^{13}$

$$in(1)=\emptyset \quad in(2)=out(1) \quad in(3)=out(2) \cup out(5) \quad in(4)=out(3) \quad in(5)=out(4) \quad in(6)=out(3)$$
 out(1) = $in(1) \setminus (y,*) \cup \{ (y,1) \} \quad out(2)=in(2) \setminus (z,*) \cup \{ (z,2) \} \quad in(7)=out(6)$ out(3) = $in(3) \quad out(4)=in(4) \setminus (z,*) \cup \{ (z,4) \}$ out(5) = $in(5) \setminus (y,*) \cup \{ (y,5) \}$

Least Fixed Point Solution



Chaotic Iterations

- To avoid recomputing values that do not change:
 - Keep a work list of CFG nodes to update
 - start with work list = {entry}
 - Pick one node at a time $u \in work$ list
 - Update out(u) from in(u)
 - If out(u) has changed, then for all successors v of u;
 - \triangleright recompute in(v) = out(u)
 - \triangleright add ν to the work list
 - ▶ Repeat until work list = ∅

Chaotic Iterations: Example

Initially: work list = {1}

Updates:
$$out(1) = \emptyset \setminus (y, *) \cup \{(y, 1)\}$$
 $out(3) = in(3)$
 $in(2) = out(1)$
 $out(2) = \{(y, 1)\}$
 $out(2) = \{(y, 1)\} \setminus (z, *) \cup \{(z, 2)\}$
 $out(3) = in(3)$
 $out(3) = in(3)$
 $out(3) = in(3)$
 $out(3) = out(3)$
 $out(4) = \{(y, 1), (z, 2)\}$
 $out(3) = \{(y, 1), (z, 2)\}$
 $out(3) = \{(y, 1), (z, 2)\}$
 $out(4) = \{(y, 1), (z, 2)\} \setminus (z, *) \cup \{(z, 4)\}$
 $out(4) = \{(y, 1), (z, 2)\}$
 $out(4) = \{(y, 1), (z, 2)\} \setminus (y, *) \cup \{(y, 1)\}$
 $out(4) = out(4)$
 $out(4) = \{(y, 1), (z, 2)\} \setminus (z, *) \cup \{(z, 4)\}$
 $out(5) = (y, 1), (z, 4)\}$
 $out(6) = (y, 1), (z, 4)\}$
 $out(6) = (y, 1), (z, 4)\}$
 $out(1) = (y, 1), (y, 1) \cup \{(y, 1)\}$
 $out(2) = (y, 1), (z, 4)\}$
 $out(3) = (y, 1), (y, 1) \cup \{(y, 1)\}$
 $out(4) = (y, 1), (z, 4)\}$
 $out(5) = (y, 1), (z, 4)\}$
 $out(5) = (y, 1), (z, 4)\}$
 $out(6) = (y, 1), (z, 4)\}$
 $out(6) = (y, 1), (z, 4)\}$
 $out(6) = (y, 1), (z, 4)\}$
 $out(1) = (y, 1), (2, 2)\}$
 $out(2) = (y, 1), (2, 2)\}$
 $out(3) = (y, 1), (2, 2)$
 $out(4) = (y, 1), (2, 2)$
 $out(5) = (y, 1), (2, 2)$
 $out(6) = (y, 1), (2, 2)$
 $out(7) = (y, 1), (2, 2)$
 $out(9) = (y, 1), (2, 2)$
 $out(1) = (y, 1), (2, 2)$
 $out(1) = (y, 1$

- Remember: this is an over-approximation
 - A definition may be reaching use
 - We may err, but always on the safe side
 - We may say that a definition may reach a program point when it doesn't
 - We never miss a definition that may reach a point
- Usage examples
 - detecting possible use before any definition
 - very simple constant folding
 - transforming IR to SSA form (e.g. for LLVM)
 - useful for debugging in IDEs

by setting **initial** state to $\{(x,?) \mid x \in Vars \}$

detecting possible use before any definition

```
in(1) = \{(x,?), (y,?), (z,?)\}
y := x
while (y > 0) {
                      - in(3) = \{(y,1), (y,4), (x,?), (z,?), (z,3)\}
y := 0
                                When a definition (v,?) for some v reaches
return y + z
                                any use of v in the program,
                                issue a warning
```

very simple constant folding

```
1  y := x

2  z := x := 1

3  while (y > 0) {

4  z := z * y

5  y := y - x

} use of x
```

$$6 y := 0$$

When the **only** definition (*v*,i) of some v that reaches some use of *v* in the program is a constant assignment, the use of *v* can be replaced by the constant

transforming IR to SSA form (e.g. for LLVM)

```
cond:
                                              cond:
   %b = icmp slt i32 %i, %j
                                                 %b = icmp slt i32 %i, %j
   br i1 %b, label %then,
                                                 br i1 %b, label %then,
              label %else
                                                            label %else
then:
                                              then:
                                                 %max then = or i32 0, %j
   max = or i32 0, %j
                                                 br label %exit
   br label %exit.
else:
                                              else:
   max = or i32 0, %i
                                                 %max else = or i32 0, %i
   br label %exit.
                                                 br label %exit.
                     in(exit) = \{(b, cond)\}
exit:
                                              exit:
   ret i32 (%max
                                                 %max exit =
                               (max. then)
                                                  \rightarrow phi i32 [ %max then, %then ],
                               (max, else)
                                                              [ %max else, %else ]
                                                 ret i32 %max exit
```

Live Variables

```
1: x := 2;
2: y := 4;
3: x := 1;
4: if y > x
5: else z := y * y;
7: x := z
```

For each program point, which variables may be live (i.e., has some future use before re-definition, along some path) at the exit from that point.

Live Variables

```
1: x := 2;

2: y := 4;

3: x := 1;

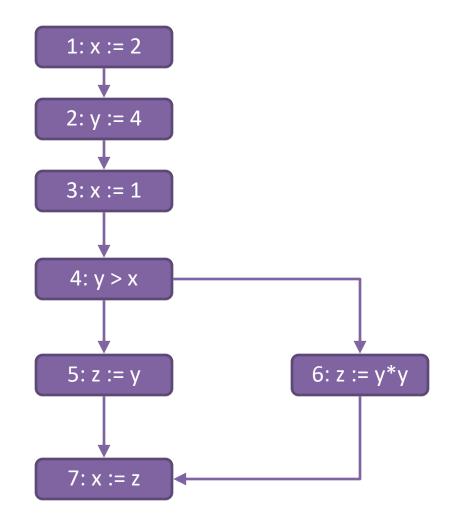
4: if y > x

5: then z := y

6: else z := y * y;

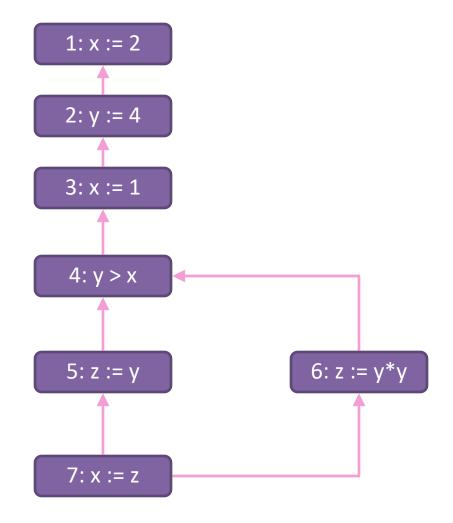
7: x := z
```

Backward Analysis (!)



Live Variables

```
1: x := 2;
      2: y := 4;
      3: x := 1;
      4: if y > x
                <u>then z := v</u>
         FV: Expr \rightarrow \mathcal{P}(Var)
         ▶ Variables used in an expression
Stmt
            out(\ell)
           in(\ell) \setminus \{x\} \cup FV(expr)
x := expr
if cond
            in(\ell) \cup FV(cond)
        Transfer functions
```

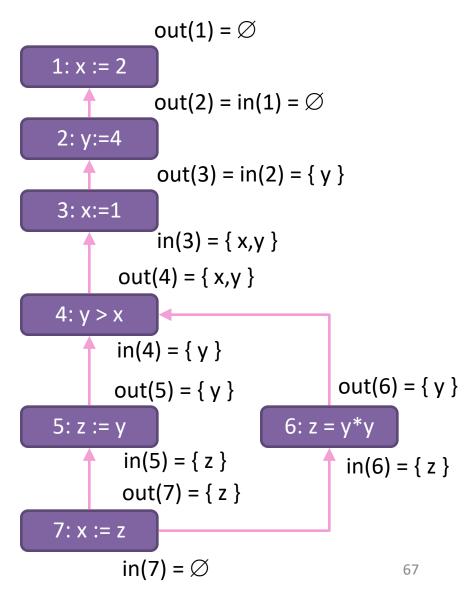


Live Variables — Solution

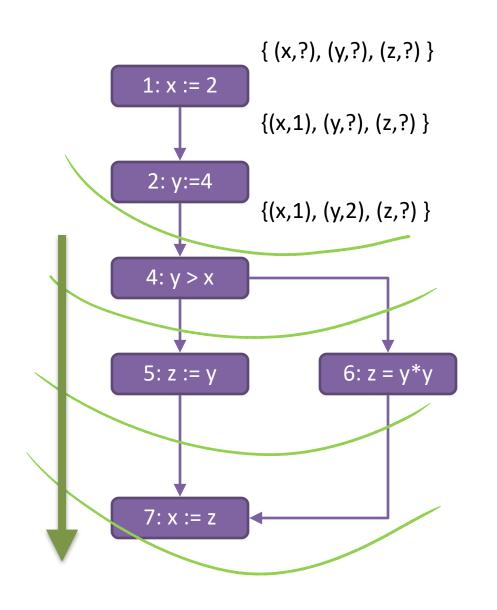
```
    1: x := 2;
    2: y := 4;
    3: x := 1;
    4: if y > x
    5: then z := y
    6: FV: Expr → P(Var)* y;
    7: ► X Variables used in an expression
```

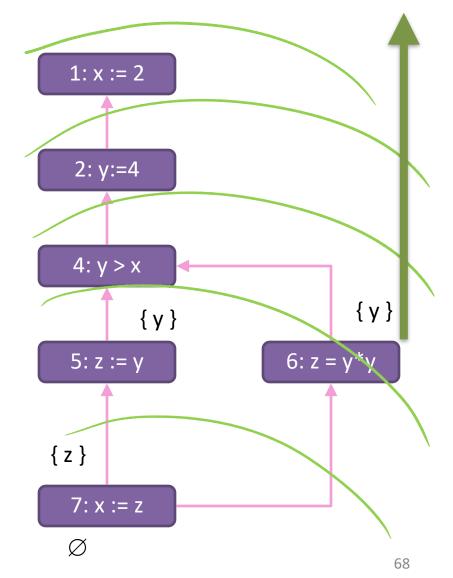
Stmt	out(ℓ)		
x := expr	$in(\ell) \setminus \{ : $	ເ } ∪ F\	/(expr)
if cond	$in(\ell) \cup F'$	V(conc	<i>d</i>)

Transfer functions



Forward vs. Backward Analyses





Kill/Gen

Statement	out(ℓ)
x := <i>expr</i>	$in(\ell) \setminus \{x\} \cup FV(expr)$
skip	$in(\ell)$
if cond	$in(\ell) \cup FV(cond)$

Statement	kill	gen
x := expr	{ x }	FV(expr)
skip	Ø	Ø
if cond	Ø	FV(cond)

out(ℓ) = in(ℓ) \ kill(B ℓ) U gen(B ℓ) B^{ℓ} = statement (or block) at label ℓ

Available Expressions Analysis

```
1 x = a + b

2 y = a * b

3 while (y > a + b) { (a + b) always available

4 a = a + 1

5 x = a + b

}
```

For each program point, find which expressions must have already been computed, and not later modified, on all paths leading to that program point

Some Required Notation

- Classes of expressions:
 - ▶ AExpr arithmetic expressions
 - ▶ BExpr boolean expressions
- FV: (AExpr \cup BExpr) $\rightarrow \mathcal{P}(Var)$
 - Variables used in an expression
- AExpr(e) = all (non-atomic) arithmetic subexpressions of an expression e

Available Expressions Analysis

Property domain

```
▶ L = \mathcal{P}(AExpr) ; \sqsubseteq = \supseteq ; \sqcup = \cap
```

• in, out: Lab \rightarrow L Map a statement label to set of arithmetic expressions that are available at (before, after) that statement

Dataflow equations

- ▶ Flow equations how to join incoming dataflow facts
- Effect equations given an input set of expressions in(i),
 what is the effect of the statement at i

Available Expressions Analysis

• in(ℓ) =

As dictated by the monotone framework

- \blacktriangleright Ø when ℓ is the initial label
- ▶ \bigcap {out(ℓ') | ℓ' ∈ pred(ℓ)} otherwise
- out(ℓ) = →

Statement	$out(\ell)$
x = expr	$in(\ell) \setminus \{ e \in AExpr \mid x \in FV(e) \} \cup \{ e \in AExpr(expr) \mid x \notin FV(e) \}$
skip	in(l) כל ה תר ביונים אל או נאלו בהם אל או בהם אל בהם אל או בהם אל
if cond	$in(\ell) \cup AExpr(cond)$

Transfer Functions

```
1: x := a+b
           out(1) = in(1) \setminus \varnothing \cup \{ a+b \}
2: y := a*b
           out(2) = in(2) \setminus \varnothing \cup \{a*b\}
3: y > a+b
           out(3) = in(3) \setminus \varnothing \cup \{a+b\}
4: a := a+1
           out(4) = in(4) \ { a+b, a*b, a+1 } \cup \emptyset
5: x := a+b
           out(5) = in(5) \setminus \emptyset \cup \{a+b\}
```

```
in(1) = \emptyset

in(2) = out(1)

in(3) = out(2) \cap out(5)

in(4) = out(3)

in(5) = out(4)
```

```
1  x := a + b
2  y := a * b
3  while (y > a + b) {
4     a := a + 1
5     x := a + b
}
```

Solution

in(1) =
$$\emptyset$$

1: x := a+b
in(2) = out(1) = { a + b }
2: y := a*b
out(2) = { a+b, a*b } in(3) = { a + b }
in(4) = out(3) = { a+b }
4: a := a+1
out(4) = \emptyset
5: x := a+b
out(5) = { a+b }

Kill/Gen

Statement	out (ℓ)
x := expr	$in(\ell) \setminus \{e \in AExpr \mid x \in FV(e)\} \cup \{e \in AExpr(expr) \mid x \notin FV(e)\}$
skip	$in(\ell)$
if cond	in(ℓ) U AExpr(cond)

Statement	kill	gen
x := expr	$\{e \in AExpr \mid x \in FV(e)\}$	$\{ e \in AExpr(expr) \mid x \notin FV(e) \}$
skip	Ø	Ø
if cond	Ø	AExpr(cond)

out(
$$\ell$$
) = in(ℓ) \ kill(B ℓ) U gen(B ℓ)
$$B^{\ell}$$
 = statement (or block) at label ℓ

Reaching Definitions Revisited

Statement	out(ℓ)
x := expr	$in(\ell) \setminus \{ (x,i) \mid i \in Lab \} \cup \{ (x,\ell) \}$
skip	$in(\ell)$
if cond	$in(\ell)$

Statement	kill	gen
x := expr	$\{(x,i) \mid i \in Lab \}$	{ (x, \ell) }
skip	Ø	Ø
if cond	Ø	Ø

out(
$$\ell$$
) = in(ℓ) \ kill(B ℓ) U gen(B ℓ)
$$B^{\ell}$$
 = statement (or block) at label ℓ

Analyses Summary

	(may)	(must)	(may)
	Reaching Definitions	Available Expressions	Live Variables
L	$\mathcal{P}(Var \times Lab)$	$\mathcal{P}(AExp)$	$\mathcal{P}(Var)$
		\supseteq	
Ц	U	\cap	U
Т	Var × Lab	Ø	Var
Т	Ø	AExp	Ø
Initial	$\{(x,?) \mid x \in Globals\}$	Ø	Ø
Entry labels	{ init }	{ init }	final
Direction	forward	forward	backward
f_{ℓ}	$f_\ell(val) = (val \setminus kill_\ell) \cup gen_\ell$		

Summary

- Static Analysis
 - ✓ Prove properties of a program at compile time
 - ✓ Over-approximate possible program behaviors
- Dataflow Analysis



- Monotone Framework
 - ✓ Can be used to express many useful analyses, in particular kill/gen-type analyses

