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# A PVS Graph Theory Library

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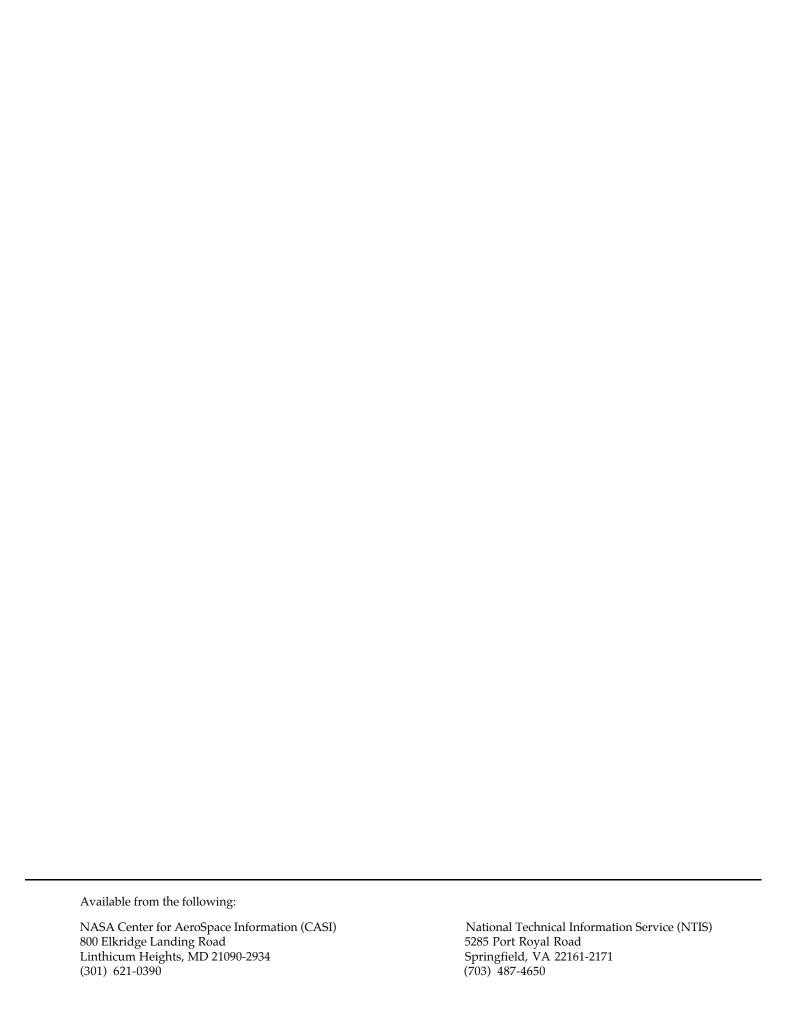
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#### Abstract

This paper documents the NASA Langley PVS graph theory library. The library provides fundamental definitions for graphs, subgraphs, walks, paths, subgraphs generated by walks, trees, cycles, degree, separating sets, and four notions of connectedness. Theorems provided include Ramsey's and Menger's and the equivalence of all four notions of connectedness.

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### 1 Introduction

This paper documents the NASA Langley PVS graph theory library. The library develops the fundamental concepts and properties of finite graphs.

## 2 Definition of a Graph

The standard mathematical definition of a graph is that it is an ordered pair of sets (V,E) such that E is a subset of the ordered pairs pairs of V. Typically V and E are assumed to be finite though sometimes infinite graphs are treated as well. The NASA library is restricted to finite graphs only. The set V is called the vertices of the graph and the set E is called the edges of the graph.

Although PVS directly supports ordered pairs, we have chosen the PVS record structure to define a graph. The advantage of the record structure is that it provides names for the vertex and edge sets rather than proj\_1 and proj\_2. For efficiency reasons, it is preferable to define a graph in PVS in two steps. We begin with the definition of a pregraph:

A pregraph is a structured type with two components: vert and edges. The vert component is a finite set over an arbitrary type T. This represents the vertices of the graph. The edges component is a finite set of doubletons (i.e. sets with exactly two members) of T. Thus, an edge is defined by designating its two end vertices. The type finite\_set is defined in the PVS finite sets library. It is a subtype of the type set which is defined in the PVS prelude as follows:

```
sets [T: TYPE]: THEORY
BEGIN
set: TYPE = [T -> bool]

x, y: VAR T
a, b, c: VAR set
p: VAR [T -> bool]

member(x, a): bool = a(x)
emptyset: set = x | false
subset?(a, b): bool = (FORALL x: member(x, a) => member(x, b))
union(a, b): set = x | member(x, a) OR member(x, b)
intersection(a, b): set = x | member(x, a) AND member(x, b)
END sets
```

A set is just a boolean-valued function of the element type. i.e., a function from T into bool. In PVS this is written as [T -> bool]. If x is a member of a set S, the expression S(x) evaluates to true, otherwise it evaluates to false.

Finite sets are defined as follows:

```
S: VAR set[T]
is_finite(S): bool = (EXISTS (N: nat, f: [(S) -> below[N]]): injective?(f))
finite_set: TYPE = { S | is_finite(S) } CONTAINING emptyset[T]
```

Thus finite sets are sets which can be mapped onto 0..N for some N. The cardinality function card is defined as follows:

All of the standard properties about card have been proved and are available:

Now we are ready to define a graph as follows:

```
graph: TYPE = \{g: pregraph \mid (FORALL (e: doubleton[T]): edges(g)(e) IMPLIES (FORALL (x: T): e(x) IMPLIES vert(g)(x))) \}
```

A graph is a pregraph where the edges set contains doubleton sets with elements restricted to the vert set. The doubleton type is defined as follows:

```
doubletons[T: TYPE]: THEORY
BEGIN

x,y,z: VAR T

dbl(x,y): set[T] = {t: T | t = x OR t = y}
```

```
S: VAR set[T] doubleton?(S): bool = (EXISTS x,y: x /= y AND S = dbl(x,y)) doubleton: TYPE = \{S \mid EXISTS x,y: x /= y AND S = dbl(x,y)\}
```

For example, suppose the base type T is defined as follows:

T: TYPE = 
$$\{a,b,c,d,e,f,g\}$$

END doubletons

Then the following pregraph is also a graph:

```
(# vert := {a,b,c},
edges := { {a,b}, {b,c} } #)
```

whereas

```
(# vert := \{a,b,c\},
edges := \{\{a,b\},\{b,d\},\{a,g\}\} #)
```

is a pregraph but is not a graph 1.

The size of a graph is defined as follows:

```
size(G): nat = card[T](vert(G))
```

A singleton graph with one vertex  $\mathbf{x}$  (i.e. size is 1) can be constructed using the following function:

For convenience we define a number of predicates:

```
edge?(G)(x,y): bool = x /= y AND edges(G)(dbl[T](x,y))
empty?(G): bool = empty?(vert(G))
singleton?(G): bool = (size(G) = 1)
isolated?(G): bool = empty?(edges(G))
```

The net result is that we have the following:

<sup>&</sup>lt;sup>1</sup>PVS does not allow { ... } as set constructors. These must be constructed in PVS using LAMBDA expressions or through use of the functions add, emptyset, etc.

The following useful lemmas are provided:

These definitions and lemmas are located in the graphs theory.

## 3 Graph Operations

The theory graph\_ops defines the following operations on a graph:

These operations are defined as follows:

The following is a partial list of the properties that have been proved:

```
del_vert_still_in : LEMMA FORALL (x: (vert(G))):
                                 x /= v IMPLIES vert(del_vert(G, v))(x)
size_del_vert : LEMMA FORALL (v: (vert(G))):
                               size(del_vert(G,v)) = size(G) - 1
edge_in_del_vert : LEMMA (edges(G)(e) AND NOT e(v)) IMPLIES
                             edges(del_vert(G,v))(e)
del_vert_comm
                   : LEMMA del_vert(del_vert(G, x), v) =
                                del_vert(del_vert(G, v), x)
del_edge_lem3
                  : LEMMA edges(G)(e2) AND e2 /= e IMPLIES
                                edges(del_edge(G,e))(e2)
                  : LEMMA vert(del_edge(G,e)) = vert(G)
vert_del_edge
del_vert_edge_comm : LEMMA del_vert(del_edge(G, e), v) =
                           del_edge(del_vert(G, v), e)
```

## 4 Graph Degree

The theory graph\_deg develops the concept of degree of a vertex. The following functions are defined:

```
incident_edges(v,G) returns set of edges attached to vertex v in graph G
deg(v,G) number of edges attached to vertex v in graph G
```

Formally they are specified as follows:

## 5 Subgraphs

The subgraph relation is defined as a predicate named subgraph?:

The subgraph type is defined using this predicate:

```
Subgraph(G: graph[T]): TYPE = { S: graph[T] | subgraph?(S,G) }
```

The subgraph generated by a vertex set is defined as follows:

The following properties have been proved:

These definitions and lemmas are located in the subgraphs theory.

#### 6 Walks and Paths

Walks are defined using finite sequences which are defined in the seq\_def theory:

```
seq_def[T: TYPE]: THEORY
BEGIN
  finite_seq: TYPE = [# 1: nat, seq: [below[1] -> T] #]
END
```

We begin by defining a prewalk as follows:

```
prewalk: TYPE = {w: finite_seq[T] | l(w) > 0}
```

where, as before, T is the base type of vertices. A prewalk is a finite sequence of vertices. Thus, if we make the declaration:

```
w: VAR prewalk
```

1(w) is the length of the prewalk and seq(w)(i) is the ith element in the sequence. Prewalks are contrained to be greater than 1 in length. We have used the PVS conversion mechanism, so that w(i) can be written instead of seq(w)(i). A walk is then defined as follows:

A walk is just a prewalk where all of the vertices are in the graph and there is an edge between each consecutive element of the sequence. The dependent type Walk(G) defines the domain (or type) of all walks in a graph G. The dependent type Seq(G) defines the domain (or type) of all prewalks in a particular graph G.

The predicates from? and walk\_from? identify sequences and walks from one particular vertex to another.

```
from?(ps,u,v): bool = seq(ps)(0) = u AND seq(ps)(1(ps) - 1) = v
walk_from?(G,ps,u,v): bool = seq(ps)(0) = u AND seq(ps)(1(ps) - 1) = v AND walk?(G,ps)
```

The function verts\_of returns the set of vertices that are in a walk:

Similarly, the function edges\_of returns the set of edges that are in a walk:

Below are listed some of the proved properties about walks:

walk\_from\_vert : LEMMA FORALL (w: prewalk,v1,v2:T):

The walks theory also proves some useful operators for walks:

These are defined formally as follows:

```
gen_seq1(G, (u: (vert(G)))): Seq(G) =
                      (# 1 := 1, seq := (LAMBDA (i: below(1)): u) #)
 gen_seq2(G, (u,v: (vert(G)))): Seq(G) =
                (# 1 := 2,
                   seq := (LAMBDA (i: below(2)):
                                     IF i = O THEN u ELSE v ENDIF) #)
 Longprewalk: TYPE = {ps: prewalk | 1(ps) >= 2}
 trunc1(p: Longprewalk): prewalk = p^{(0,1(p)-2)}
 add1(ww,x): prewalk = (# 1 := 1(ww) + 1,
                         seq := (LAMBDA (ii: below(l(ww) + 1)):
                                   IF ii < l(ww) THEN seq(ww)(ii) ELSE x ENDIF)</pre>
                       #)
fs, fs1, fs2, fs3: VAR finite_seq
m, n: VAR nat
o(fs1, fs2): finite_seq =
   LET 11 = 1(fs1),
       lsum = 11 + 1(fs2)
    IN (# 1 := lsum,
          seq := (LAMBDA (n:below[lsum]):
```

```
IF n < 11
                       THEN seq(fs1)(n)
                       ELSE seq(fs2)(n-l1)
                    ENDIF) #);
emptyarr(x: below[0]): T
emptyseq: fin_seq(0) = (# 1 := 0, seq := emptyarr #);
p: VAR [nat, nat] ;
^(fs: finite_seq, (p: [nat, below(l(fs))])):
     fin_seq(IF proj_1(p) > proj_2(p) THEN 0
             ELSE proj_2(p)-proj_1(p)+1 ENDIF) =
  LET (m, n) = p
   IN IF m > n
      THEN emptyseq
      ELSE (# 1 := n-m+1,
              seq := (LAMBDA (x: below[n-m+1]): seq(fs)(x + m)) #)
      ENDIF;
rev(fs): finite_seq = (# 1 := 1(fs),
                         seq := (LAMBDA (i: below(l(fs))): seq(fs)(l(fs)-1-i))
                       #)
 The following is a partial list of the proven properties about walks:
 gen_seq1_is_walk: LEMMA vert(G)(x) IMPLIES walk?(G,gen_seq1(G,x))
                 : LEMMA u /= v AND edges(G)(edg[T](u, v)) IMPLIES
 edge_to_walk
                           walk?(G,gen_seq2(G,u,v))
 walk?_add1
                  : LEMMA walk?(G,ww) AND vert(G)(x)
                         AND edge?(G)(seq(ww)(1(ww)-1),x)
                         IMPLIES walk?(G,add1(ww,x))
 walk? rev
                 : LEMMA walk?(G,ps) IMPLIES walk?(G,rev(ps))
 walk?_caret : LEMMA i \leq j AND j \leq 1(ps) AND walk?(G,ps)
                             IMPLIES walk?(G,ps^(i,j))
 yt: VAR T
 p1,p2: VAR prewalk
```

A path is a walk that does not encounter the same vertex more than once. The predicate path? identifies paths:

```
ps: VAR prewalk
   path?(G,ps): bool = walk?(G,ps) AND (FORALL (i,j: below(l(ps))):
                                            i /= j IMPLIES ps(i) /= ps(j))
Similarly the predicate path_from? identifies paths from vertex s to t:
   path_from?(G,ps,s,t): bool = path?(G,ps) AND from?(ps,s,t)
Corresponding dependent types are defined:
   Path(G): TYPE = \{p: prewalk \mid path?(G,p)\}
   Path_from(G,s,t): TYPE = {p: prewalk | path_from?(G,p,s,t) }
The following is a partial list of proven properties:
   G: VAR graph[T]
   x,y,s,t: VAR T
   i,j: VAR nat
   p,ps: VAR prewalk
                    : LEMMA i <= j AND j < l(ps) AND path?(G,ps)
   path?_caret
                             IMPLIES path?(G,ps^(i,j))
   path_from?_caret: LEMMA i <= j AND j < 1(ps) AND path_from?(G, ps, s, t)</pre>
                          IMPLIES path_from?(G, ps^(i, j), seq(ps)(i), seq(ps)(j))
   path?_rev
                   : LEMMA path?(G,ps) IMPLIES path?(G,rev(ps))
   path?_gen_seq2 : LEMMA vert(G)(x) AND vert(G)(y) AND
                             edge?(G)(x,y) IMPLIES path?(G,gen_seq2(G,x,y))
                    : LEMMA path?(G,p) AND vert(G)(x)
   path?_add1
                             AND edge?(G)(seq(p)(1(p)-1),x)
```

These definitions and lemmas about paths are located in the paths theory.

## 7 Connected Graphs

The library provides four different definitions for connectedness of a graph and provides proofs that they are are equivalent. These are named connected, path\_connected, piece\_connected, and complected:

```
G,G1,G2,H1,H2: VAR graph[T]
connected?(G): RECURSIVE bool = singleton?(G) OR
                                 (EXISTS (v: (vert(G))): deg(v,G) > 0
                                     AND connected?(del_vert(G,v)))
               MEASURE size(G)
path_connected?(G): bool = NOT empty?(G) AND
                           (FORALL (x,y: (vert(G))):
                                 (EXISTS (w: Walk(G)): seq(w)(0) = x AND
                                                       seq(w)(l(w)-1) = y)
piece_connected?(G): bool = NOT empty?(G) AND
                            (FORALL H1, H2: G = union(H1, H2) AND
                                       NOT empty?(H1) AND NOT empty?(H2)
                               IMPLIES NOT empty?(intersection(vert(H1),
                                                                 vert(H2))))
complected?(G): bool = IF isolated?(G) THEN singleton?(G)
                       ELSIF (EXISTS (v: (vert(G))): deg(v,G) = 1) THEN
                        (EXISTS (x: (vert(G))): deg(x,G) = 1 AND
                           connected?(del_vert(G,x)))
                       ELSE
                          (EXISTS (e: (edges(G))):
                           connected?(del_edge(G,e)))
                       ENDIF
```

These definitions are located in the graph\_conn\_defs theory. The following lemmas about equivalence are located in the theory graph\_connected:

```
graph_connected[T: TYPE]: THEORY
```

```
G: VAR graph[T]
conn_eq_path : THEOREM connected?(G) = path_connected?(G)
path_eq_piece: THEOREM path_connected?(G) = piece_connected?(G)
piece_eq_conn: THEOREM piece_connected?(G) = connected?(G)
conn_eq_complected: THEOREM connected?(G) = complected?(G)
END graph_connected
```

#### 8 Circuits

A slightly non-traditional definition of circuit is used. A circuit is a walk that starts and ends in the same place (i.e. a pre\_circuit) and is cyclically reduced (i.e. cyclically\_reduced?).

The following properties are proved in the circuit\_deg theory:

#### 9 Trees

Trees are defined recursively as follows:

# 10 Ramsey's Theorem

This work builds upon a verification of this theorem by Natarajan Shankar and the paper entitled "The Boyer-Moore Prover and Nuprl: An Experimental Comparison" by David Basin and Matt Kaufmann<sup>2</sup>.

<sup>&</sup>lt;sup>2</sup>CLI Technical Report 58, July 17, 1990.

# 11 Menger's Theorem

To state menger's theorem one must first define minimum separating sets. This is fairly complicated in a formal system. We begin with the concept of a separating set:

In other words V separates s and t when its removal disconnects s and t. To define the minimum separating set, we use an abstract minimum function defined in the abstract\_min theory. The net result is that we end up with a function min\_sep\_set with all of the following desired properties

```
\label{eq:min_sep_set} \begin{split} \min_{sep_set(G,s,t): \ finite_set[T] = \min[seps(G,s,t), \\ & (\text{LAMBDA (v: seps}(G,s,t)): card(v)), \\ & (\text{LAMBDA (v: seps}(G,s,t)): true)] \end{split}
```

We then define sep\_num as follows:

```
sep_num(G,s,t): nat = card(min_sep_set(G,s,t))
```

Next, we define a predicate independent? that defines when two paths are independent:

The concept of a set of independent paths is defined as follows:

In other words, a set of paths is an ind\_path\_set? if all pairs of paths in the set are independent. We can now state Menger's theorem in both directions:

The hard direction of menger has only been formally proved for the K = 2 case.

### 12 PVS Theories

The following is a list of the PVS theories and description:

abstract\_min abstract definition of min abstract\_max abstract definition of max

doubletons theory of doubletons used for definition of edge

graphs fundamental definition of a graph graph\_complected unusual definition of connected graph

graph\_conn\_defs defs of piece, path, and structural connectedness graph\_conn\_piece structural connected supset piece connected

graph\_connected all connected defs are equivalent

graph\_deg definition of degree

graph\_inductions vertex and edge inductions for graphs
graph\_ops delete vertex and delete edge operations

h\_menger hard menger

ind\_paths definition of independent paths

max\_subgraphs maximal subgraphs with specified property max\_subtrees maximal subtrees with specified property

meng\_scaff scaffolding for hard menger proof meng\_scaff\_defs scaffolding for hard menger proof meng\_scaff\_prelude scaffolding for hard menger proof

menger's theorem

min\_walk\_reduced theorem that minimum walk is reduced min\_walks minimum walk satisfying a property path\_lems some useful lemmas about paths path\_ops deleting vertex and edge operations

paths fundamental definition and properties about paths

ramsey\_new Ramsey's theorem

reduce\_walks operation to reduce a walk
sep\_set\_lems properties of separating sets
sep\_sets definition of separating sets

subgraphs generation of subgraphs from vertex sets subgraphs\_from\_walk generation of subgraphs from walks

subtrees subtrees of a graph

tree\_circ theorem that tree has no circuits

tree\_paths theorem that tree has only one path between vertices

trees fundamental definition of trees walk\_inductions induction on length of a walk

walks fundamental definition and properties of walks

The PVS specifications are available at:

http://atb-www.larc.nasa.gov/ftp/larc/PVS-library/.

## 13 Concluding Remarks

This paper gives a brief overview of the NASA Langley PVS Graph Theory Library. The library provides definitions and lemmas for graph operations such as deleting a vertex or edge, provides definitions for vertex degree, subgraphs, minimal subgraphs, walks and paths, notions of connectedness, circuit and trees. Both Ramsey's Theorem and Menger's Theorem are provided.

# A APPENDIX: Other Supporting Theories

#### A.1 Graph Inductions

The graph theory library provides two basic means of performing induction on a graph: induction on the number of vertices and induction on the number of edges.

These theorems can be invoked using the PVS strategy INDUCT. For example

```
(INDUCT "G" 1 "graph_induction_vert")
```

invokes vertex induction on formula 1. They are available in theory graph\_inductions. These induction theorems were proved by rewriting with the following lemmas

which converts the theorem into formulas that are universally quantified over the naturals. The resulting formulas were then easily proved using PVS's built-in theorem for strong induction:

#### A.2 Subgraphs Generated From Walks

The graph theory library provides a function **G\_from** that constructs a subgraph of a graph **G** that contains the vertices and edges of a walk **w**:

The following properties of G\_from have been proved:

This lemmas are available in the theory subgraphs\_from\_walk.

## A.3 Maximum Subgraphs

Given a graph G we say that a subgraph S is maximal with respect to a particular property P if it is the largest subgraph that satisfies the property. Formally we write:

We can define a function that returns the maximum subgraph under the assumption that there exists at least one subgraph that satisfies the predicate. Therefore this function is only defined on a subtype of P, namely Gpred:

These definitions and lemmas are located in the theory max\_subgraphs.

A similar theory for subtrees is available in the theory max\_subtrees.

#### A.4 Minimum Walks

Given that a walk w from vertex x to vertex y exists, we sometimes need to find the shortest walk from x to y. The theory min\_walks provides a function min\_walk\_from that returns a walk that is minimal. It is defined formally as follows:

The following properties of min\_walk\_from have been established:

```
is_min(G,(w:Seq(G)),x,y):bool = walk?(G,w) AND
                     (FORALL (ww: Seq(G)): walk_from?(G,ww,x,y) IMPLIES
                                                       1(w) <= 1(ww)
 min_walk_def: LEMMA FORALL (Gw: gr_walk(x,y)):
                        walk_from?(Gw,min_walk_from(x,y,Gw),x,y) AND
                        is_min(Gw, min_walk_from(x,y,Gw),x,y)
 min_walk_in : LEMMA FORALL (Gw: gr_walk(x,y)):
                        walk_from?(Gw,min_walk_from(x,y,Gw),x,y)
 min_walk_is_min: LEMMA FORALL (Gw: gr_walk(x,y), ww: Seq(Gw)):
                            walk_from?(Gw,ww,x,y) IMPLIES
                                      l(min_walk_from(x,y,Gw)) <= l(ww)</pre>
reduced?(G: graph[T], w: Seq(G)): bool =
        (FORALL (k: nat): k > 0 AND k < l(w) - 1 IMPLIES w(k-1) /= w(k+1))
 x,y: VAR T
 min_walk_is_reduced: LEMMA FORALL (Gw: gr_walk(x,y)):
                                   reduced?(Gw,min_walk_from(x,y,Gw))
```

These lemmas are available in the theories min\_walks and min\_walk\_reduced.

#### A.5 Abstract Min and Max Theories

The need for a function that returns the smallest or largest object that satisfies a particular predicate arises in many contexts. For example, one may need a minimal walk from s to t or the maximal subgraph that contains a tree. Thus, it is useful to develop abstract min and max theories that can be instantiated in multiple ways to provide different min and max functions. Such a theory must be parameterized by

```
T: TYPE the type of the object for which a min function is needed size: [T -> nat] the "size" function by which objects are compared the property that the min function must satisfy
```

Formally we have

```
abstract_min[T: TYPE, size: [T -> nat], P: pred[T]]: THEORY
and
abstract_max[T: TYPE, size: [T -> nat], P: pred[T]]: THEORY
```

To simplify the following discussion, only the abstract\_min theory will be elaborated in detail. The abstract\_max theory is conceptually identical.

In order for a minimum function to be defined, it is necessary that at least one object exists that satisfies the property. Thus, the theory contains the following assuming clause

#### ASSUMING

```
T_ne: ASSUMPTION EXISTS (t: T): P(t)
ENDASSUMING
```

User's of this theory are required to prove that this assumption holds for their type T (via PVS's TCC generation mechanism).

A function minimal?(S: T) is then defined as follows:

Using PVS's dependent type mechanism, min is specified by constraining it's return type to be the subset of T that satisfies minimal?:

```
min: {S: T | minimal?(S)}
```

If there are multiple instances of objects that are minimal, the theory does not specify which object is selected by min. It just states that min will return one of the minimal ones. This definition causes PVS to generate the following proof obligation (i.e. TCC):

```
min_TCC1: OBLIGATION (EXISTS (x: S: T | minimal?(S)): TRUE);
```

This was proved using a function min\_f, defined as follows:

```
is_one(n): bool = (EXISTS (S: T): P(S) AND size(S) = n)
min_f: nat = min[nat](n: nat | is_one(n))
```

to construct the required min function. The T\_ne assumption is sufficient to guarantee that min\_f is well-defined.

The following properties have been proved about min:

```
min_def: LEMMA minimal?(min)
min_in : LEMMA P(min)
min_is_min: LEMMA P(SS) IMPLIES size(min) <= size(SS)</pre>
```

These properties are sufficient for most applications.

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This paper documents the NASA Langley PVS graph theory library. The library provides fundamental definitions for graphs, subgraphs, walks, paths, subgraphs generated by walks, trees, cycles, degree, separating sets, and four notions of connectedness. Theorems provided include Ramsey's and Menger's and the equivalence of all four notions of connectedness.						
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