

Algebraic Groups

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Abstract

The topic of algebraic groups is a rich subject combining both group-theoretic and algebro-geometric-theoretic techniques. Examples include the general linear group GL_n , the special orthogonal group SO_n or the symplectic group Sp_n . Algebraic groups play an important role in algebraic geometry, representation theory and number theory.

In this course, we will take the functorial approach to the study of linear algebraic groups (more generally, affine group schemes) equivalent to the study of Hopf algebras. The classical view of an algebraic group as a variety will come up as a special case of a smooth algebraic group scheme. Our algebraic approach will be independent (even complementary) to the analytic approach taken in the course on Lie groups.

1 September 25, 2019

1.1 Group objects

Let \mathcal{C} be a category with a final object and finite products.

1.1.1 Definition: A **group object** G in \mathcal{C} is an object in \mathcal{C} along with multiplication, identity, and inverse morphisms satisfying the usual axioms.

One thing is that we are using that there is a final object $*$ along with our identity morphism $e : * \rightarrow G$. Here Jarrod explicitly used the fact that there is a unique map to $*$.

Example 1.1

If \mathcal{C} is \mathbf{Set} , then G is a group. If $\mathcal{C} = \mathbf{Top}$, then G is a topological group, smooth manifolds give Lie groups, and finally (interesting to us):

1.1.2 Definition: Let S be a scheme and let \mathcal{C} be the category of schemes over S . Then a group object G in \mathcal{C} is a **group scheme over S** .

When k is a field and \mathcal{C} is schemes of finite type over k , we get a group scheme of finite type over k . There is not a great consensus on what makes an **algebraic group**, but this is what we will use.

When we instead restrict to *affine schemes* we get an affine group scheme of finite type over k , or a **linear algebraic group**.

1.2 Examples

$\mathbb{G}_m = \text{Spec } k[t]_t$ is one.

If we consider the map $f : \mathbb{G}_m \rightarrow \mathbb{G}_m$ which on the level of elements sends $t \mapsto t^p$, the kernel is

$$\mu_p = \ker(f) = \text{Spec } k[t]/(t^p - 1)$$

and that's great, but when $\text{char } k = p$, this causes the group scheme to be **unreduced**. This is (apparently) a case when you need to use schemes.

1.3 The Functorial Approach

Let \mathcal{C} be a category with object X . Define the functor $h_X : \mathcal{C}^{op} \rightarrow \mathbf{Set}$ where

$$h_X(Y) = \text{Hom}_{\mathcal{C}}(Y, X).$$

Then we have

1.3.1 Lemma (Yoneda)

Let $G : \mathcal{C}^{op} \rightarrow \mathbf{Set}$ be a functor. There is a natural bijection

$$G(X) \simeq \text{Nat}(h_X, G).$$

1.3.2 Proposition

A group object G in \mathcal{C} is the same as an object $X \in \mathcal{C}$ together with a choice of factorization of $h_X : \mathcal{C} \rightarrow \mathbf{Set}$ through **Grp**.

1.4 Exercises

- Spell out all the details of the proof of the above proposition.
- Given a group object G , define in two ways what it means for it to act on another object. (In coordinates and functorially).

1.5 Some Interesting Facts

If we had to write down five results that we'd like to get out of this class:

1.5.1 Proposition

Every affine group scheme of finite type over a field embeds into GL_n as a closed subgroup.

1.5.2 Theorem (Chevalley's Theorem)

Let G be a finite type group scheme over a field. Then it factors as

$$1 \rightarrow H \rightarrow G \rightarrow A \rightarrow 1$$

where A is abelian and H is affine (linear algebraic).

1.5.3 Proposition

If G is an affine group scheme of finite type over k , then we have factorization

$$1 \rightarrow U \rightarrow G \rightarrow R \rightarrow 1$$

where U is unipotent and R is reductive.

1.5.4 Proposition

$H \subseteq G$ a subgroup scheme. Then G/H is a projective scheme.

Finally we want to talk about Tanakka duality and how the representations of G define G itself.

2 September 27th, 2019

Last time we defined a group scheme (a group object in the category of schemes over a base scheme). We also mentioned that You could define it as a map $h_G : \mathbf{Sch}/S \rightarrow \mathbf{Set}$ along with a factorization through \mathbf{Grp} .

We defined an **algebraic group** over k as a group scheme over $\mathrm{Spec} k$ of finite type and a **linear algebraic group** to be an *affine* group scheme over k of finite type.

2.1 Hopf Algebras

Let $G = \mathrm{Spec} A$ be a linear algebraic group over k . I have seen most of these before (see Waterhouse or my Hopf algebra notes)

2.1.1 REMARK: One think I haven't seen explicitly before: Notice that the augmentation ideal $\ker \varepsilon$, where ε is the counit, is the (maximal!) ideal corresponding in the algebro-geometric sense to the identity element in G .

2.1.2 Definition: A Hopf algebra is ...

2.1.3 Definition: Let G be an algebraic group over k . Then if h_G factors through \mathbf{Ab} , G is called **commutative**.

2.2 Some Examples

2.2.1 REMARK: Note that to define a functor from schemes over k , it suffices to define it on affine schemes, thereby defining the (Zariski) local behavior of any such map. Thus we really only need to consider maps in \mathbf{Alg}_k .

- \mathbb{G}_a . Here we can define it as a functor that sends $S \mapsto \Gamma(S, \mathcal{O}_S)$. Geometrically, $\mathbb{G}_a = \mathbb{A}^1$ where the multiplication is addition, inverses send $x \mapsto -x$ and the unit is the zero map. The Hopf algebraic picture is the usual dual thing.
- \mathbb{G}_m as a scheme is the map $S \mapsto \Gamma(S, \mathcal{O}_S)^*$. In the geometric picture, $\mathbb{A}^1 \setminus \{0\}$ and the algebra structure comes from multiplication. Hopf is pretty easy.
- GL_n is a scheme that sends

$$S \mapsto \{A = (a_{ij}) : a_{ij} \in \Gamma(S, \mathcal{O}_S), \det(A) \in \Gamma(S, \mathcal{O}_S)^*\}$$

the algebra is $\mathbb{A}^{n \times n} \setminus \{\det = 0\}$ with the usual multiplication. The coalgebra structure can be seen in the book.

This one requires some more explanation so I am setting it apart.

Example 2.1

Let V be a finite dimensional vector space over k . Then we can define the algebraic group V_a which sends

$$S \mapsto \Gamma(S, \mathcal{O}_S) \otimes_k V.$$

Geometrically we are looking at $\mathbb{A}(V) = \mathrm{Spec} \mathrm{Sym}^* V^\vee \simeq \mathrm{Spec} k[x_1, \dots, x_n]$ where $n = \dim V$.

What about finite groups? As a scheme, we want $G = \bigsqcup_{g \in G} \mathrm{Spec} k$. The functor sends $S \mapsto \mathrm{Mor}_{\mathrm{Set}}(\pi_0(S), G)$, or maps from the connected components into G .

Example 2.2

Now consider the n^{th} roots of unity: as a scheme, $\mu_n = \text{Spec } k[t]/(t^n - 1) \subseteq \mathbb{G}_m$. If both $k = \bar{k}$ and $\text{char } k \nmid n$, then $\mu_n \cong \mathbb{Z}/n\mathbb{Z}$.

But if (e.g.) $k = \mathbb{Q}$, then μ_3 is $\mathbb{Q}[t]/(t^3 - 1) = \text{Spec } \mathbb{Q} \sqcup \text{Spec } \mathbb{Q}(\xi)$ where ξ is a primitive third root of unity.

If, on the other hand, $k = \bar{\mathbb{F}}_3$ and consider μ_3 , we get a single point with residue field $\bar{\mathbb{F}}_3$.

Example 2.3

If we are in the case of positive characteristic, then we get an algebraic group α_p . Here the scheme is $\text{Spec } k[x]/x^p$ and functorially it is the map $S \mapsto \{F \in \Gamma(S, \mathcal{O}_S) \mid f^p = 0\}$.

2.3 Matrix Groups

We already defined GL_n , but we can also define

$$\text{SL}_n : S \mapsto \{A = (a_{ij}) \mid \det A = 1\}$$

with scheme $\text{Spec } k[x_{ij}]/(\det - 1)$.

We also have the (upper) triangular matrices T_n and unitary group U_n and diagonal group D_n

2.3.1 Definition: Let G be a linear algebraic group. Then

- G is a **vector group** if $G \cong V_a$ for some finite dimensional V .
- G is a **split torus** if $G \cong \mathbb{G}_m^n$.
- G is a **torus** if there is a field extension $k \rightarrow k'$ such that

$$G \times_{\text{Spec } k} \text{Spec } k' \cong \mathbb{G}_{m, k'}^n$$