Hopf Algebras

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Winter 2019

Introduction

These are the notes I took in class during the Winter 2019 topics course *Math 582H* - *Hopf Algebras* at University of Washington, Seattle.

The course description follows:

This course is an introduction to Hopf algebras. In addition to basic material in Hopf algebra, we will present some latest developments in quantum groups and tensor and fusion categories. One of the newer topics is homological properties of Noetherian Hopf algebras of low Gelfand-Kirillov dimension. A good reference for the first two topics in the book *Hopf Algebras and Their Action Rings* by Susan Montgomery. Here is a list of possible topics:

- Classical theorems concerning finite dimensional Hopf algebras.
- Infinite dimensional Hopf algebras and quantum groups.
- Duality and Calabi-Yau property.
- Actions of Hopf algebras and invariant theory.
- Representations of Hopf algebras, tensor and fusion categories.

1 January 7, 2019

If you don't know what a symmetric tensor category is, today is going to be a three star day. Max is 5.

1.1 Overview

We are shooting to understand two conjectures:

Conjecture (Etingof-Ostrik '04): If A is a finite dimentional Hopf algebra, then

$$\bigoplus_{i>0} \operatorname{Ext}_A^i({}_Ak,_Ak)$$

is Noetherian.

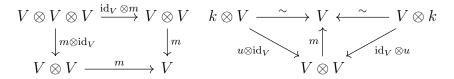


Figure 1: Diagrams for definition 1.2.1.

Conjecture (Brown-Goodearl '98): If A is a Noetherian Hopf algebra, then the injective dimension of A_A is finite.

These are both still open! In fact there is a meeting at Oberwolfach this March concerning exactly these conjectures.

1.2 Symmetric Tensor Categories

We are going to be using the following notation throughout:

- \bullet k is a field
- Vect_k is the category of k-vector spaces
 - Vect_k is closed under tensor products
 - There is an element $k \in \text{Vect}_k$ such that

$$k \otimes_k V \cong V \cong V \otimes_k k$$

where the above isomorphisms are natural.

- $-V\otimes_k W\cong W\otimes_k V$
- An algebra is an object in $Vect_k$.

1.2.1 Definition

 $V \in \text{Vect}_k$ is called an **algebra object** if there are two morphisms

- (a) $m: V \otimes V \to V$
- (b) $u: k \to V$

such that the diagrams in figure 1.2 commute.

1.2.2 Lemma

 $V \in \text{Vect}_k$ is an algebra object iff V is an algebra over k.

1.2.3 Lemma

If C is a symmetric tensor category, so is C^{op} .

Then the natural thing to ask is: what is an algebra object in this opposite category?

1.2.4 Definition

A coalgebra object in C is an algebra object in C^{op} . Here we have comultiplication Δ and counit ε .

1.2.5 Remark: Naturally you could go about defining this from first principles and drawing the diagrams in figure 1.2 with the arrows reversed, but we are probably mature enough to do without that (saving my fingers from repetitive strain injury in the process.)

1.2.6 Lemma

 Alg_k , defined as the category of algebra objects in $Vect_k$, is a symmetric tensor category. Furthermore $Coalg_k$, the category of coalgebra objects in $Vect_k$, is a symmetric tensor category.

1.2.7 Lemma

The following are equivalent:

- (a) V is an algebra object in Coalg_k
- (b) V is a coalgebra object in Alg_k
- (c) There are morphisms $m, u, \Delta, \varepsilon$ such that
 - (V, m, u) is an algebra
 - (V, Δ, ε) is a coalgebra
 - Equivalently:
 - -m and u are coalgebra morphisms
 - $-\Delta$ and ε are algebra morphisms.

Proof

The nice thing here is that the $(a) \Leftrightarrow (c)$ without the last condition. A similar fact holds for (b) except the second-to-last. The last thing to do is to prove the last two conditions are equivalent.

Problem 1.1

Fill in the details for the proof above.

Figure 2: m and u are coalgebra morphisms.

$$\begin{array}{cccc} V \otimes V & \xrightarrow{m} & V & V \otimes V & \xrightarrow{\varepsilon \otimes \varepsilon} & k \otimes k \\ \downarrow^{\Delta \otimes \Delta} & \downarrow^{\Delta} & \downarrow^{m} & \downarrow^{m} \\ V^{\otimes 4} & \xrightarrow{m} & V \otimes V & V & \xrightarrow{\varepsilon} & k \end{array}$$

Figure 3: Δ and ε are algebra morphisms.

Solution:

Assume that $(V, m, u, \Delta, \varepsilon)$ is an algebra and coalgebra and further that m and u are coalgebra morphisms. That means in particular that the diagrams in figure 1 commute.

We are looking to prove that Δ and ε are algebra morphisms, or that the diagrams in figure 1 commute.

From here it's actually a bit boring because it's kinda just a definition/notation game. It boils down to the fact that the (co)multiplication on $V \otimes V$ has a twist that exactly lines up so that each square is saying the same thing.

1.2.8 Definition

V is called a **bialgebra object** if V is an algebra object in Coalg_k.

Problem 1.2

- (a) Suppose that char $k \neq 2$. Classify all bialgebras of dim 2.
- (b) Do the same for char k=2.

Solution:

Part (a)

Consider $\varepsilon: V \to k$ and consider $\ker \varepsilon \lhd V$. By rank-nullity, $\dim \ker \varepsilon = 1$, so $\ker \varepsilon = kx$ for some $x \in V$. Therefore $x^2 = cx$ for some c, and if c = 0, then (as an algebra) $V \cong k[x]/(x^2)$. Otherwise consider $y = \frac{x}{c}$. In this case $y^2 = \frac{x^2}{c^2} = \frac{x}{c} = y$, and $V \cong k[x]/(x^2-x)$. Notice that in either case $\varepsilon(x) = 0$, so let

$$\Delta(x) = a(1 \otimes 1) + b(1 \otimes x) + c(x \otimes 1) + d(x \otimes x)$$

and using that $\varepsilon \otimes \operatorname{id} \circ \Delta = \operatorname{id} \otimes \varepsilon \circ \Delta$ and that each should be (essentially) the identity (this is just the diagram we saw before), we get a = 0 and b = c = 1. Thus the coalgebra structure of any Hopf algebra is given by

$$\varepsilon(x) = 0, \quad \Delta(x) = 1 \otimes x + x \otimes 1 + d(x \otimes x).$$

Consider first the case when $x^2 = 0$. Then since comultiplication will be an algebra morphism,

$$0 = \Delta(x^2) = \Delta(x)^2 = 1 \otimes x^2 + x^2 \otimes 1 + d^2(x^2 \otimes x^2) + 2(x \otimes x) + 2d(x \otimes x^2) + 2d(x^2 \otimes x)$$

and since $x^2 = 0$,

$$0 = 2(x \otimes x).$$

But $x \otimes x$ is a basis element of $V \otimes V$, so V can only have this algebra structure when char k = 2. We will return to this in the next part.

So then $x^2 = x$ and using the computation above,

$$1 \otimes x + x \otimes 1 + d(x \otimes x) = \Delta(x) = \Delta(x^2) = 1 \otimes x + x \otimes 1 + (d^2 + 4d + 2)(x \otimes x)$$

SO

$$(d^2 + 3d + 2)(x \otimes x) = 0 \implies d^2 + 3d + 2 = (d+2)(d+1) = 0$$

and so either d = -1 or d = -2.

One can verify that Δ is coassociative, so we can conclude that when char $k \neq 2$, there are precisely two Hopf algebra structures with algebra structure $k[x]/(x^2-x)$ and comultiplication either

$$\Delta(x) = 1 \otimes x + x \otimes 1 - x \otimes x$$
 or $\Delta(x) = 1 \otimes x + x \otimes 1 - 2(x \otimes x)$

(b)

Now assume that char k=2 and that $V \cong k[x]/(x^2-x)$ as an algebra. Then using the analysis above, we see that we can choose comultiplication either

$$\Delta(x) = x \otimes 1 + 1 \otimes x$$
 or $\Delta(x) = 1 \otimes x + x \otimes 1 + x \otimes x$.

If instead $V \cong k[x]/(x^2)$, then any value of d will suffice, so there are a full k's worth of Hopf algebra structures that can appear.

2 January 9, 2019

Today we are going to rely heavily on Sweedler notation. :) Notice that if we are looking at actual objects in the diagram for coassociativity, we get

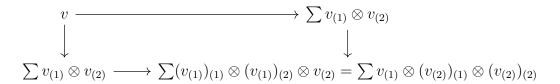


Figure 4: Coassociativity on elements in Sweedler notation

Example 2.1

Let G be a group and kG be the group algebra. The algebra structure arises as normal where $g \cdot h$ comes from the structure on G. Then $\Delta(g) = g \otimes g$ and this extends linearly.

But then if you consider $\Delta(\sum c_g g)$, notice that by the nature of tensors this is not unique! So we will just write

$$\Delta\left(\sum c_g g\right) = \sum_G c_g(g \otimes g) = \sum v_{(1)} \otimes v_{(2)}$$

2.1 Algebra structure on $V \otimes V$

We said earlier on that Alg_k is a symmetric *tensor* category. But how do we define the multiplication on the tensor product?

Well it all comes from the twist! We define

$$m_{V\otimes W} = (m_V \otimes m_W) \circ (\mathrm{id}_V \otimes \tau_{2,3} \otimes \mathrm{id}_W)$$

where $\tau_{2,3}$ is the twist morphism.

More simply, $u_{V \otimes W} : k = k \otimes k \to V \otimes V$ simply defined by $u_V \otimes u_W$.

So then when we say that Δ is an algebra morphism, we are saying that for all $v, w \in V$

$$\Delta(vw) = \sum (vw)_{(1)} \otimes (vw)_{(2)} = (\sum v_{(1)} \otimes v_{(2)})(\sum w_{(1)} \otimes w_{(2)}) = \sum v_{(1)}w_{(1)} \otimes v_{(2)}w_{(2)}$$

2.2 Hopf Algebras

Already to the good stuff!

2.2.1 Definition

 $V \in \operatorname{Vect}_k$ is a **Hopf algebra** if V is a bialgebra together with an **antipode** $S: V \to V$ satisfying

$$(S, \mathrm{id}_V) \circ \Delta = \varepsilon = (\mathrm{id}_V, S) \circ \Delta$$

Conjecture: If $V \in \text{Vect}_k$ is a Noetherian Hopf algebra, then S is bijective.

2.3 History and Motivation

Hopf himself was a topologist, so this is the first context in which it arose. In the 1940's, he began studying Hopf algebras over \mathbb{Z}_2 graded k vector spaces. For instance, the cohomology ring of topological space X with coefficients in k.

Later, in combinatorics, they ended popping up. Looking at rings of symmetric functions and other places gave some interesting examples.

Then in group theory you can define a functor from groups to Hopf algebras by F(G) = kG with the diagonal map. The antipode is just the inverse.

Then with Lie algebras, you can look at $\mathcal{U}(L)$, the universal enveloping algebra is a Hopf algebra.

Finally with algebraic groups (yay!) we take an algebraic group G and consider the ring of functions on it, which is again a Hopf algebra.

Some "cousins" of Hopf algebras: quasi, weak, multiplier, ribbon, quasi-triangular, etc Hopf algebras. Each has slightly different base category or restrictions.

3 January 11, 2019

The plan for today is to talk about:

- Convolution Algebras
- Antipodes
- Duality
- (Co-)Modules

3.1 Convolution Algebras

Let \mathcal{T} be a symmetric tensor category. We can usually think of $\mathcal{T} = \operatorname{Vect}_k$, but there is a problem since Vect_k is equivalent to the category of Hopf algebras over k, while this is not generally true.

We also need that \mathfrak{T} is k-linear (that is, enriched as a category over k). This means that $\operatorname{Hom}_{\mathfrak{T}}(A,B) \in \operatorname{Vect}_k$.

3.1.1 Theorem

Let \mathcal{T} be as above. Then $\mathrm{Hom}_{\mathcal{T}}(C,A)$ is an algebra and $\mathrm{Hom}_{\mathcal{T}}(-,-):(\mathrm{Coalg}_{\mathcal{T}})^{op}\times\mathrm{Alg}_{\mathcal{T}}\to\mathrm{Alg}_k$ is a functor.

Proof

Let A be an algebra object in \mathcal{T} and C be a coalgebra object in \mathcal{T} . Then $1_{\text{Hom}} := u_A \circ \varepsilon_C : C \to 1_{\mathcal{T}}$ and define

$$f * g := m_A(f \otimes g)\Delta_C : C \to A.$$

Then using Lemma 3.1.2 and the fact that A and C are (co)algebra objects, we can see that the product * satisfies the axioms required.

Note that actually

3.1.2 Lemma

- (a) $1_{\text{Hom}} * f = m_A(u \otimes 1)(1 \otimes f)(\varepsilon \otimes 1)\Delta$.
- (b) $f * 1_{\text{Hom}} = m_A(1 \otimes u)(f \otimes 1)(1 \otimes \varepsilon)\Delta$
- (c) $(f * g) * h = m_A(m_A \otimes 1)((f \otimes g) \otimes h)(\Delta_C \otimes 1)\Delta_C$
- (d) $f * (g * h) = m_A(1 \otimes m_A)(f \otimes (g \otimes h))(1 \otimes \Delta)\Delta$

Proof

(a)

$$1_{\text{Hom}} * f = m_A (1_{\text{Hom}} \otimes f) \Delta_C$$
$$= m_A (u_A \circ \varepsilon \otimes f) \Delta_C$$
$$= m_A (u \otimes 1) (\varepsilon \otimes 1) (1 \otimes f) \Delta$$

(b)

Same as (a), essentially.

(c) and (d)

$$(f * g) * h = m_A((f * g) \otimes h)\Delta$$

= $m_A((m_A(f \otimes g)\Delta) \otimes h)\Delta$
= $m_A(m_A \otimes 1)((f \otimes g) \otimes h)(\Delta \otimes 1)\Delta$

and the other is analogous.

3.1.3 Definition

 $V \in \mathfrak{T}$ is a **Hopf algebra object** if:

- ullet V is a bialgebra object in \upidet and
- There is a map $S: V \to V$ that is $(\mathrm{id}_V)^{-1}$ with respect to *.
- 3.1.4 Remark: Notice here that $\mathrm{id}_V \in \mathrm{Hom}_{\mathfrak{T}}(V,V)$, the identity map in \mathfrak{T} . We are not taking about $1_{\mathrm{Hom}} = u \circ \varepsilon$.

Also, we call S an **antipode**.

3.2 Duality

Notice that when $C = 1_{\mathcal{T}}$ (that is the tensor identity), $\operatorname{Hom}_{\mathcal{T}}(1_{\mathcal{T}}, -) : \operatorname{Alg}_{\mathcal{T}} \to \operatorname{Alg}_k$ is a functor. Same for the dual from $\operatorname{Coalg}_{\mathcal{T}}$. This second one gives us a chance to talk about duality.

3.2.1 Lemma

Let \mathcal{T} be the category of finite dimensional vector spaces over k. Then $(-)^*: \mathcal{T} \to \mathcal{T}^{op}$ is an equivalence.

This uses $(V \otimes W)^* = W^* \otimes V^*$.

3.2.2 Corollary

V is an algebra over $k \Leftrightarrow V^*$ is a coalgebra over k. And vice versa.

Recall
$$S = (id_V)^{-1}$$
. Thus

$$S * \mathrm{id}_V = 1_{\mathrm{Hom}} = \mathrm{id}_V * S$$

The diagram we have here is

$$\begin{array}{cccc} V \otimes V & \xrightarrow{S \otimes \mathrm{id}_V} & V \otimes V \\ \Delta \uparrow & & \downarrow^{m_V} \\ V & \xrightarrow{\varepsilon_V} & k & \xrightarrow{u_V} & V \\ \downarrow^{\Delta} & & & m_V \uparrow \\ V \otimes V & \xrightarrow{\mathrm{id}_V \otimes S} & V \otimes V \end{array}$$

Modules/Comodules

3.2.3 Definition

Let A be an algebra object in T. A left A module is $M \in \mathcal{T}$ with a morphism

$$m_M:A\otimes M\to M$$

such that the diagrams in Figure 3.2 commute.

3.2.4 Remark: Note that we don't necessarily need that M lie in \mathcal{T} . We could instead just rely on an algebra homomorphism $\varphi: A \to \operatorname{Hom}_{\mathcal{T}}(M, M)$ and proceed as usual.

Figure 5: Module diagrams

4 January 14, 2019

Today we are talking about Hopf modules and later in the week we will see the fundamental theorem of Hopf modules as well as a neat result.

Here is the "example of the day."

Example 4.1

Consider k[x] with maps $\Delta(x^n) = \sum_{i=0}^n x^i \otimes x^{n-i}$ and $\varepsilon(x^n) = \delta_{1,n}$. $S(x^n) = (-x)^n$. Then notice that $\Delta(x) = 1 \otimes x + x \otimes 1$, so $\delta(x^n) = (\delta x)^n$.

James doesn't want to do the rest of the computations, but they can be done. :)

Problem 4.1

(**) Working with a Hopf algebra V, consider the convolution algebra $Hom(V \otimes V, V)$

(a)
$$(S \circ m) * m = 1_{Hom(V \otimes V, V)} = m * (m \circ s \otimes s \circ \tau)$$
 where s is the antipode in V.

(b)
$$(\Delta \circ S) * \Delta = 1_{Hom(V,V \otimes V)} = \Delta * ((s \otimes s) \circ \tau \circ \Delta)$$

Solution:

$$(s \circ m) * m$$

Problem 4.2

Classify all Frobenius (to be defined) Hopf algebras of dimension 3.

4.1 Returning to (co)modules

4.1.1 Lemma

Let $\mathfrak{I} = \operatorname{Vect}_k$, and V and algebra over k. The following are equivalent:

(a) M is a left V-module (see last lecture).

- (b) There is an action of A on M such that $(ab) \cdot m = a \cdot (b \cdot m)$ and $1 \cdot m = m$.
- (c) There is an algebra morphism $\varphi: V \to \operatorname{Hom}_k(M, M)$

4.1.2 Definition

Let V be a coalgebra over k. We say M is a left **comodule** if there is a map

$$\rho_M: M \to V \otimes M$$

such that the diagrams in figure 4.1 commute.

$$M \xrightarrow{\rho} V \otimes M \qquad M \xrightarrow{\rho} V \otimes M$$

$$\downarrow^{\rho} \qquad \downarrow_{\mathrm{id}_{V} \otimes \rho} \qquad \downarrow^{\cong} \qquad \downarrow_{\varepsilon \otimes \mathrm{id}_{M}}$$

$$V \otimes M \xrightarrow{\Delta_{V} \otimes \mathrm{id}_{M}} V \otimes V \otimes M \qquad k \otimes M$$

Figure 6: Diagrams for the definition of a comodule.

4.1.3 Remark: Notationally speaking, we write $_{V}\mathcal{M}$ and $_{V}\mathcal{M}$ for the categories of left modules and comodules. Similar for right boyes.

4.2 Tensors of V-modules

Say V is a bialgebra (or Hopf if you prefer, but it's not necessary). Then we get the following:

4.2.1 Lemma

Let M and N be two right V-modules. Then

- (a) $M \otimes N$ is a right V-module.
- (b) If V is cocommutative, then $M \otimes N \cong N \otimes M$ as right V-modules.
- (c) \mathcal{M}_V is a tensor (monoidal) category.

PROOF

For (a), use the fact that $V \otimes V$ acts on $M \otimes N$ in a natural way. Then you get a map $V \otimes V \to \operatorname{Hom}_k(M \otimes N, M \otimes N)^{op}$ (why opposite?) and by precomposing with Δ to show they are V-modules. This establishes (b).

Finally (c) follows because $Vect_k$ is a tensor category.

4.3 Hopf Modules

4.3.1 Definition

Let V be a bialgebra over k. We say that M is a $\binom{r}{r}$ Hopf V-module if

- (a) (M, m_M) is a right V-module
- (b) (M, ρ_M) is a right V comodule.
- (c) ρ_M is a right V-module map.
 - Equivalently, m_M is a right V-comodule map.
- 4.3.2 Remark: The fact that these two last conditions are equivalent are perhaps not immediately obvious but we have been assured it is true. :)

5 January 16, 2019

5.0.1 Theorem (Larson-Sweedler '69)

Let V be a Hopf algebra over k. Then the following categories are equivalent:

$$_{V}^{V}\mathcal{M}\cong\operatorname{Vect}_{k}\cong\mathcal{M}_{V}^{V}$$

5.0.2 Remark: A natural question that one may ask is how to extend this theorem to the enriched setting.

5.0.3 Lemma

S is an anti-homomorphism of an algebra V and an anti-homomorphism of the coalgebra V.

Proof

Use the facts proved in problem 4.1.

Problem 5.1

If char k = 0 any Hopf quotient of k[x] is either k or k[x] itself.

Problem 5.2

Suppose k has positive characteristic. Construct two different Hopf algebra quotients of k[x] of dimension $p = \operatorname{char} k$.

Example 5.1

char k=p>0. Let $V=k[x]/(x^p)$ as an algebra and define $\Delta(x)=x\otimes 1+1\otimes x$, $\varepsilon(x)=0$ and S(x)=x. Then notice

$$\Delta(x^p) = \sum \binom{p}{i} x^i \otimes x^{p-i} = x^p \otimes 1 + 1 \otimes x^p.$$

5.1 Hopf Modules Once More

Recall the definitions from section 4.1 and section 4.3.

5.1.1 Remark: An equivalent (more category-theoretical) definition of a Hopf module is a V-comodule object in the category of V-modules. Also can dualize everything.

Example 5.2

Consider $V \in V$. Then you can define $\rho = \Delta$ and check it satisfies all the requirements.

5.1.2 Definition

An $\binom{l}{l}$ Hopf V-module M is called **trivial** if $M \cong V \otimes M_0$ for some $M_0 \in \operatorname{Vect}_k$.

5.1.3 Remark: Basically you can think of this being trivial since we can always define a module in this way where the entire module structure is inherited from the structure of V (that is, irrespective of M_0).

5.0.1' Theorem

Suppose that V is a Hopf algebra. Then

- (a) Every $\binom{l}{l}$ Hopf V-module is trivial.
- (b) Let $M \in V \mathcal{M}$. Then

$$M \xrightarrow{\sim} V \otimes M^{Cov}$$

where

$$M^{Cov} := \{ m \in M | \rho(m) = 1 \otimes m \}.$$

(c) $_{V}^{V}\mathcal{M}\cong \operatorname{Vect}_{k}$.

5.1.4 Remark: This is actually just a reformulation of theorem 5.0.1 in less compact (but ultimately more usable) notation.

PROOF

Some identites that will be useful:

$$\Delta(v) = \sum v_1 \otimes v_2$$

$$\sum S(v_1) \otimes v_2 = \varepsilon(v) = \sum v_1 S(v_2)$$

$$\rho(m) = \sum m_{-1} \otimes m_1$$

$$\sum v_1 \otimes (v_2)_1 \otimes (v_2)_2 = \sum (v_1)_1 \otimes (v_1)_2 \otimes v_2 = \sum v_1 \otimes v_2 \otimes v_3$$

$$\sum (m_{-1})_1 \otimes (m_{-1})_2 \otimes m_0 = \sum m_{-1} \otimes (m_0)_{-1} \otimes (m_0)_0 = \sum m_{-2} \otimes m_{-1} \otimes m_0$$

Then we defin $\phi: M \to M^{Cov}$ by

$$\phi(x) = \sum S(x_{-1})x_0 \in M$$

We claim first that $\phi(x) \in M^{Cov}$ – namely $\rho(\phi(x)) = 1 \otimes \phi(x)$. To see this, compute

$$\rho(\phi(x)) = \sum (\phi(x))_{-1} \otimes (\phi(x))_{0}$$

$$= (S(x_{-1})x_{0})_{-1} \otimes (S(x_{-1})x_{0})$$

$$= \sum \Delta(S(x_{-1}))\rho(x_{0})$$

$$= \sum (S \otimes S) \circ \tau(\Delta(x_{-2})) \cdot [x_{-1} \otimes x_{0}]$$

$$= \sum [S(x_{-2}) \otimes S(x_{-3})][x_{-1} \otimes x_{0}]$$

$$= \text{my fingers are aching...}$$

$$= 1 \otimes \phi(x)$$

Now define $F: M \to V \otimes M^{Cov}$ by $F = (\mathrm{id} \otimes \phi) \circ \rho$ and $G: V \otimes M^{Cov}$ to be the map taking $v \otimes m$ to vm. The next claim is that GF and FG are the identity. You can see this by similarly pushing around notation.

Finally the last claim will be seen on Friday.