

# Schur Duality and Strict Polynomial Functors

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# 1 Classical theory

The classical theory of the representations of groups over  $\mathbb{C}$  was originally investigated via character theory by Frobenius [Fro73] (1900) and continued in his student Schur's thesis [Sch01] in 1901. In this section, we will assume

- We are working over the field  $k = \mathbb{C}$ .
- The subject of discussion is the two groups  $\mathfrak{S}_n$  and  $\Gamma \stackrel{\text{def}}{=} \text{GL}_n(k)$ .
- All representations here are assumed to be finite-dimensional.

## 1.1 Frobenius and representations of the symmetric group

### 1.1.1 Representation theory refresher

We recall some definitions and facts about the representations of groups:

**1.1.1 Definition:** A ( $k$ -linear) representation of a group  $G$  is a vector space  $V$  along with a group morphism  $\rho : G \rightarrow \text{GL}(V)$ .

**1.1.2 Definition:** If  $\rho : G \rightarrow \text{GL}(V)$  is a representation, the **character associated to  $\rho$**  is given by

$$\chi_\rho(g) = \text{tr } \rho(g) \in \mathbb{C}.$$

**1.1.3 Remark:** One can see that the character of a representation is conjugation-invariant (since the trace is independent of choice of basis) so we can identify the **ring of formal characters**  $\mathcal{C}_G$  as the subring generated by the characters of  $G$  in the ring  $R_G$  of all class functions on  $G$ . One can define the orthogonality relation

$$\langle \phi | \psi \rangle = \frac{1}{|G|} \sum_{g \in G} \overline{\phi(g)} \psi(g)$$

and from this one can (independently) define the irreducibility of a character (which coincides with irreducibility of the representation).

**1.1.4 Theorem**

Over  $\mathbb{C}$  there is a bijection between the following sets:

$$\left\{ \begin{array}{c} \text{Iso. classes} \\ \text{of irred. reps.} \end{array} \right\} \leftrightarrow \left\{ \begin{array}{c} \text{Irred. chars.} \\ \text{on } G \end{array} \right\} \leftrightarrow \left\{ \begin{array}{c} \text{Conjugacy classes} \\ \text{in } G \end{array} \right\}$$

**1.1.2 Group theory refresher**

Recall these facts about the symmetric group:

**1.1.5 Lemma**

Every element  $\sigma \in \mathfrak{S}_n$  can be written (essentially) uniquely as a product of disjoint cycles.

**1.1.6 REMARK:** This gives us a well-defined notion of *cycle type* of an element of  $\mathfrak{S}_n$ , which we can define as the (unordered) set of cycle lengths in any disjoint cycle decomposition. Notice the sum of all cycles in such a decomposition is always  $n$ .

**1.1.7 Lemma**

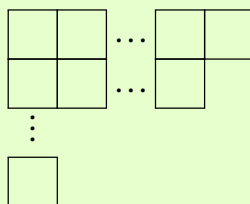
Conjugation in  $\mathfrak{S}_n$  preserves cycle type.

This, together with the fact that any two elements of the same cycle type are conjugate (perhaps demonstrate this) gives us the following result:

**1.1.8 Theorem**

The conjugacy classes (and thus the irreducible representations) of  $\mathfrak{S}_n$  are in bijection with the collection of all cycle types of total length at most  $n$ . The latter set is clearly just the *partitions of  $n$* .

**1.1.9 Definition:** For any partition  $\lambda = (\lambda_1, \dots, \lambda_r) \vdash n$ , the **Young diagram associated to  $\lambda$**  is the diagram  $T_\lambda$  shown below:



where in the  $i^{th}$  row consists of  $\lambda_i$  blocks.

Here I could talk a little about how to realize the trivial and sign representations as subrepresentations of the (left) regular representation to motivate the connection to diagrams and Young symmetrizers.

**1.1.10 Definition:** Fix an  $n \geq 1$  and let  $\lambda$  be a partition of  $n$ . Then define two elements of  $\mathbb{C}\mathfrak{S}_n$ ,  $a_\lambda$  and  $b_\lambda$  in the following way:

$$a_\lambda = \sum_{\sigma \in R(T_\lambda)} \sigma \quad \text{and} \quad b_\lambda = \sum_{\sigma \in C(T_\lambda)} (-1)^{\text{sign}(\sigma)} \sigma$$

where  $T_\lambda$  is the Young diagram corresponding to  $\lambda$  and given some labeling (say the canonical one that labels boxes left-to-right and top-to-bottom)  $R(T_\lambda)$  (resp.  $C(T_\lambda)$ ) denote the subgroups of  $\mathfrak{S}_n$  stabilizing the rows (resp. columns) of  $T_\lambda$  under the action of  $\mathfrak{S}_n$  on the labels.

Then the **Young centralizer** of  $\lambda$  is

$$c_\lambda = a_\lambda b_\lambda \in \mathbb{C}\mathfrak{S}_n.$$

### 1.1.11 Theorem

The  $\mathbb{C}\mathfrak{S}_n$ -module  $V_\lambda$  generated by the Young symmetrizer  $c_\lambda$  is an irreducible  $\mathbb{C}\mathfrak{S}_n$ -module. Furthermore, if  $\lambda$  and  $\eta$  are two partitions of  $n$ ,

$$V_\lambda \cong V_\eta \iff \lambda = \eta$$

## 1.2 Schur and representations of the general linear group

A year later Schur finished his thesis [Sch01] in which he analyzed the “polynomial representations” of  $\text{GL}_n(k)$ . We quickly summarize his results below:

**1.2.1 Definition:** Let  $A(n) \subseteq k[\Gamma] = \text{Hom}_k(\text{GL}_n(k), k)$  be the subring generated by the functions

$$x_{ij} : \text{GL}_n(k) \rightarrow k \quad \text{via} \quad x_{ij}(A) = A_{ij}$$

for all  $1 \leq i, j \leq n$ .

Denote by  $A(n, r) \subset A(n)$  the subset (not a subring!) of functions that are homogeneous degree  $r$  polynomials in the  $x_{ij}$ . In other words, in the  $k$ -span of the degree  $r$  monomials.

**1.2.2 Definition:** Let  $\rho : \Gamma \rightarrow \text{GL}(V)$  be a (fin. dim.) representation where  $V = \langle v_1, \dots, v_n \rangle$ . Then there are functions  $r_{ij} \in k[\Gamma]$  such that for all  $g \in \Gamma$  and  $1 \leq i \leq n$ ,

$$g \cdot v_i = \sum_j r_{ij}(g) v_j.$$

These functions are called the **structure maps for  $\rho$** .

**1.2.3 Definition:** A **polynomial representation** of  $\Gamma$  is a representation of  $\Gamma$  such that the associated structure maps are in  $A(n)$ . A representation is said to be **homogeneous degree  $r$**  if its structure maps lie in  $A(n, r)$ .

Denote the set of polynomial representations of  $\Gamma$  by  $\text{Pol}(n)$  and the homogeneous degree  $r$  representations by  $\text{Pol}(n, r)$ .

Give examples of analytic, polynomial, and homogeneous reps.

#### 1.2.4 Theorem (Schur)

Every polynomial representation of  $\Gamma$  decomposes as a direct sum of homogeneous representations.

### 1.2.1 Constructing the irreducible homogeneous representations

We will delay the discussion of how this is done until the next part, when we open the subject up to analysis using “better” tools. For now, we record the results and constructions in this area.

The following was proven by Schur originally and extended to any characteristic zero field by Weyl:

#### 1.2.5 Theorem ([Sch01][Wey25])

Fix the usual lexicographical ordering on monomials in  $k[X_1, \dots, X_n]$ . Let  $n$  and  $r$  be given integers with  $n \geq 1$  and  $r \geq 0$ . Let  $k$  be an infinite field. Then

- (a) For each  $\lambda \in \Lambda_+(n, r)$ , there exists an (absolutely) irreducible module  $F_{\lambda, k}$  in  $M_k(n, r)$  whose character  $\Phi_{\lambda, k}$  has leading term  $X_1^{\lambda_1} \dots X_n^{\lambda_n}$ .
- (b) Every irreducible  $V \in M_k(n, r)$  is isomorphic to  $F_{\lambda, k}$  for exactly one  $\lambda \in \Lambda_+(n, r)$ .

**1.2.6 Corollary**

The irreducible representations of  $\text{Pol}(n, r)$  are in bijection with the partitions of  $r$ .

**1.2.7 REMARK:** This already suggests a connection between  $\text{Pol}(n, r)$  and  $\mathbb{C}\mathfrak{S}_r\text{-mod}$ . We will see that this is more than superficial soon.

The core tool in constructing (a basis for) the irreducible modules is in the following definition:

**1.2.8 Definition:** Let  $\lambda \in \Lambda_+(n, r)$  be some shape with a fixed labeling and let  $i, j : \underline{r} \rightarrow \underline{n}$ . Then the **bideterminant** of  $T_i$  and  $T_j$  is

$$(T_i : T_j) = \sum_{\sigma \in C(T)} \text{sgn}(\sigma) c_{i, j\sigma} \in A_k(n, r)$$

where  $C(T)$  is the column stabilizer of  $T$ .

This definition can be a bit difficult to unpack, so we give some examples:

**Example 1.1**

(a)  $\lambda = (2, 1, 0) \in \Lambda_+(3, 3)$

$$\left( \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & \\ \hline \end{array} : \begin{array}{|c|c|} \hline 3 & 1 \\ \hline 2 & \\ \hline \end{array} \right) = \begin{vmatrix} c_{13} & c_{12} \\ c_{33} & c_{32} \end{vmatrix} c_{21} = (c_{13}c_{32} - c_{12}c_{33})c_{2,1}$$

(b)  $\lambda = (n, 0, \dots, 0) \in \Lambda_+(m, n)$

$$\left( \begin{array}{|c|c|c|} \hline a_1 & a_2 & a_3 \\ \hline \end{array} \dots \begin{array}{|c|} \hline a_n \\ \hline \end{array} : \begin{array}{|c|c|c|} \hline b_1 & b_2 & b_3 \\ \hline \end{array} \dots \begin{array}{|c|} \hline b_n \\ \hline \end{array} \right) = c_{a_1 b_1} \cdots c_{a_n b_n}$$

(c)  $\lambda = (1, \dots, 1, 0, \dots) \in \Lambda_+(m, n)$  where  $n \geq m$

$$\left( \begin{array}{|c|} \hline a_1 \\ \hline a_2 \\ \hline \vdots \\ \hline a_n \\ \hline \end{array} : \begin{array}{|c|} \hline b_1 \\ \hline b_2 \\ \hline \vdots \\ \hline b_n \\ \hline \end{array} \right) = \begin{vmatrix} c_{a_1 b_1} & \cdots & c_{a_1 b_n} \\ \vdots & \ddots & \vdots \\ c_{a_n b_1} & \cdots & c_{a_n b_n} \end{vmatrix}$$

In the following, let  $l : \underline{r} \rightarrow \underline{n}$  be  $(1, \dots, 1, 2, \dots, 2, 3, \dots)$  such that for any shape  $\lambda$  the  $\lambda$ -tableau  $T_l$  is

1	1	...	...	1
2	2	...	2	
$\vdots$				
$k$				

with  $i$  in every box on the  $i^{th}$  row from the top.

**1.2.9 Definition:** Define, for every shape  $\lambda \in \Lambda_+(n, r)$ , the module

$$D_{\lambda, k} = \langle (T_l : T_i) \rangle_{i \in I(n, r)}$$

where  $l$  is the filling defined above.

According to [Gre07], these modules were originally called “Weyl modules”, while he (and we) reserve this name for the contravariant dual of these objects. To construct them, define the map

$$\pi : E^{\otimes r} \rightarrow D_{\lambda, k}, \quad (1)$$

and we get objects originally defined in Carter and Lusztig’s treatment of modular representations of  $GL_n$  [CL74] and tweaked by Green in [Gre07]:

**1.2.10 Definition:** Given a shape  $\lambda$ , the **Weyl module of shape  $\lambda$  over  $k$**  is  $V_{\lambda, k} \stackrel{\text{def}}{=} N^\perp$  where

$$N \stackrel{\text{def}}{=} \ker \pi \hookrightarrow E^{\otimes r} \rightarrow D_{\lambda, k}$$

and the orthogonal complement of  $N$  is taken with respect to the canonical contravariant form on  $E^{\otimes r}$  that has the property  $\langle e_i, e_j \rangle = \delta_{ij}$ .

In their original paper [CL74, p.218], Carter and Lusztig showed that  $V_{\lambda, k}$  is, in fact, generated as  $S(n, r)$ -modules by a single element:

$$f_l = e_l \cdot \sum_{g \in C(T) \subset \mathfrak{S}_n} \text{sign}(\sigma) \sigma$$

The proof of this is a bit technical so it is ignored here. One can look it up in the original paper or in [Gre07, p. 46].

One can use this to show that

**1.2.11 Lemma ([Gre07, p.47])**

The modules  $V_{\lambda,k}$  have a unique maximal submodule  $V_{\lambda,k}^{\max}$

We are finally in good shape to compute the irreducible modules in  $\text{Pol}(n, r)$ : we write

$$F_{\lambda,k} = V_{\lambda,k} / V_{\lambda,k}^{\max}$$

**1.3 The Schur-Weyl functor and duality**

**1.3.1 Definition:** An  $(n, r)$ -**multi-index**  $i$  is an (ordered) size  $r$  multiset  $\{i_1, \dots, i_r\}$  where each  $i_j \in \underline{n} \stackrel{\text{def}}{=} \{1, \dots, n\}$ . The collection of all  $(n, r)$ -multi-indices is denoted  $I(n, r)$ .

**1.3.2 Remark:** One can also think of  $I(n, r)$  as the collection of maps

$$i : \underline{r} \rightarrow \underline{n}.$$

Notice that every monomial in  $A(n, r)$  can be identified with a pair  $(\underline{i}, \underline{j}) \in I(n, r) \times I(n, r)$  in the following way:

$$x_{i_1 j_1} \cdots x_{i_r j_r} \rightsquigarrow (\{i_1, \dots, i_r\}, \{j_1, \dots, j_r\}) = (\underline{i}, \underline{j})$$

but, since  $A(n)$  is commutative, this correspondence is not unique. To account for this, we only consider multi-index pairs up to equivalence: we say two pairs  $(\underline{a}, \underline{b}), (\underline{c}, \underline{d}) \in I(n, r) \times I(n, r)$  are equivalent if there is a  $\sigma \in \mathfrak{S}_r$  such that

$$\sigma \cdot (\underline{a}, \underline{b}) \stackrel{\text{def}}{=} (\{a_{\sigma(1)}, \dots, a_{\sigma(r)}\}, \{b_{\sigma(1)}, \dots, b_{\sigma(r)}\}) = (\underline{c}, \underline{d})$$

**1.3.3 Remark:** Throughout what follows we will only be using multi-index pairs up to equivalence, so we will, through slight abuse of notation, write  $(\underline{i}, \underline{j})$  when we are actually referring to its equivalence class under the above action. When we want to be clear that we are using equivalence classes, we will still write  $(\underline{a}, \underline{b}) \sim (\underline{c}, \underline{d})$ .

**1.3.4 Definition:** Define  $S(n, r)$  to be the  $k$ -span of the functions  $\xi_{\underline{i}, \underline{j}} : A(n, r) \rightarrow k$  for all  $(\underline{i}, \underline{j}) \in I(n, r) \times I(n, r)$  defined by

$$\xi_{\underline{a}, \underline{b}}(x_{\underline{c}, \underline{d}}) = \begin{cases} 1 & (\underline{a}, \underline{b}) \sim (\underline{c}, \underline{d}) \\ 0 & \text{otherwise} \end{cases}$$



1.3.5 REMARK: The above object  $S(n, r)$  is our first glimpse at the *Schur algebra* that will underlie most of our talk.

**1.3.6 Definition:** Let  $n$  and  $r$  be integers as usual. Then denote by  $[a_1, \dots, a_n]$  the **weight** corresponding to  $(i_1, \dots, i_r) \in I(n, r)$  where for each  $i$ ,

$$a_i = \#\{k \in \underline{r} \mid i_k = i\}$$

Denote by  $\Lambda(n, r)$  the collection of all weights.

**1.3.7 Definition:** A the collection of  $\mathfrak{S}_n$  orbits of  $\Lambda(n, r)$  is called the set of **dominant weights** or **shapes** of  $\text{Pol}(n, r)$ , denoted  $\Lambda^+(n, r)$ .

Then we can define the weight spaces of a representation:

**1.3.8 Definition:** Let  $\alpha = [a_1, \dots, a_n] \in \Lambda(n, r)$  and let  $V \in \text{Pol}(n, r)$ . Then define the **weight space of  $V$  corresponding to  $\alpha$**  by

$$V^\alpha = \{v \in V \mid \text{diag}(t_1, \dots, t_n) \cdot v = t_1^{a_1} \cdots t_n^{a_n} v\}$$

**1.3.9 Theorem**

For each  $V \in \text{Pol}(n, r)$ ,

$$V \cong \bigoplus_{\alpha \in \Lambda(n, r)} V^\alpha$$

This enables us to define a functor:

$$\mathcal{F}: \text{Pol}(n, r) \rightarrow \mathbb{C}\mathfrak{S}_r\text{-mod}$$

where for each polynomial representation we map

$$V \mapsto V^\omega$$

where  $\omega = [1, 1, \dots, 1, 0, \dots, 0] \in \Lambda(n, r)$ .

To really understand this map well and to be able to analyze it well, we need some more machinery. We will reapproach this in the next part and see how this functor works.

## 2 Group schemes and categories

Next we do things a bit more elegantly. In this section we relax our definitions somewhat:

- Now  $k$  can be any infinite field of characteristic zero.
- Now  $\Gamma = \mathrm{GL}_n$  is the affine group scheme (over  $k$ )
- We retain the assumption that representations are finite dimensional, unless otherwise noted.

### 2.1 The group scheme $\mathrm{GL}_n$

We begin by defining the object in question. Let  $A$  be the ring

$$A \stackrel{\mathrm{def}}{=} k[x_{ij} | 1 \leq i, j \leq n]_{\det}$$

where  $\det$  is the polynomial given by computing the determinant of the matrix  $(x_{ij})$ .

Then

$$\Gamma = \mathrm{GL}_n = \mathrm{Hom}_{\mathbf{Alg}_k}(A, -) : \mathbf{Alg}_k \rightarrow \mathbf{Grp}$$

is an affine group scheme.

**2.1.1 Definition:** A representation of  $\Gamma$  is defined as a scheme morphism

$$\rho : \Gamma \rightarrow \mathrm{GL}(V) \stackrel{\mathrm{def}}{=} \mathrm{Aut}(V \otimes -)$$

where  $V$  is a finite dimensional vector space over  $k$ .

2.1.2 REMARK: If  $\dim_k V = m$ ,  $\mathrm{GL}(V)$  is represented by the algebra

$$k[\mathrm{GL}(V)] \cong k[y_{ij} | 1 \leq i, j \leq m]_{\det}.$$

Yoneda lemma tells us that a representation of  $\Gamma$ , equivalently, is a map of algebras

$$\rho^* : k[y_{ij}]_{\det} \rightarrow k[x_{ij}]_{\det}$$

The upshot here is that representations are automatically “algebraic”.

This enables us to make a simpler definition of polynomial and homogeneous representations:

**2.1.3 Definition:** Let  $\rho : \Gamma \rightarrow \mathrm{GL}(V)$  be a representation. We say that it is a **polynomial representation** if

$$\mathrm{Im} \rho^* \subseteq k[x_{ij}] \subset k[\Gamma]$$

and that  $\rho$  is a **homogeneous degree  $r$**  if

$$\mathrm{Im} \rho^* \subseteq (k[x_{ij}])_r \subset k[x_{ij}] \subset k[\Gamma]$$

where  $(k[x_{ij}])_r$  is the degree  $r$  graded piece of the polynomial ring.

In the light of this new definition, it makes sense to go back and confirm that, on  $k$  points it still aligns with our definition. But if we evaluate at  $k$ , our matrices in  $\mathrm{GL}_n(k)$  are, equivalently, maps  $g : k[x_{ij}]_{\mathrm{det}} \rightarrow k$  and  $\rho(g) = g \circ \rho^* : k[y_{ij}]_{\mathrm{det}} \rightarrow k$ . But then if  $V = \langle v_i \rangle$ ,

$$g \cdot v_i = \rho(g)v_i = \sum_j \rho(g)_{ij}v_j = \sum_j g \circ \rho^*(y_{ij})v_j$$

and so the structure maps of  $\rho$  are

$$f_{ij}(g) = g \circ \rho^*(y_{ij})$$

so since the image of  $\rho^*$  lies in the homogeneous degree  $r$  polynomials, we see this is the same as the original condition.

Therefore we can also extend our old notation:

**2.1.4 Definition:** From now on, let  $\mathrm{Pol}(n)$  and  $\mathrm{Pol}(n, r)$  denote the polynomial and homogeneous degree  $r$  group scheme representations of  $\mathrm{GL}_n$ .

## 2.2 Comodules and the Schur algebra

### 2.2.1 The Hopf algebra

The coordinate algebra  $k[\Gamma]$  has not only the structure of an algebra, but of a Hopf algebra! The coalgebra structure is imbued by the group structure on  $\Gamma$ : the maps

$$m : \Gamma \times \Gamma \rightarrow \Gamma \quad \text{and} \quad u : * \rightarrow \Gamma$$

giving multiplication and unit maps induces maps

$$\Delta : k[\Gamma] \rightarrow k[\Gamma] \otimes k[\Gamma] \quad \text{and} \quad \varepsilon : k[\Gamma] \rightarrow k$$

that are given on the  $x_{ij}$  as

$$\Delta(x_{ij}) = \sum_k x_{ik} \otimes x_{kj} \quad \text{and} \quad \varepsilon(x_{ij}) = \delta_{ij}$$

which extends to an algebra morphism multiplicatively.

### 2.2.1 Theorem

The subspace  $A(n, r) \subseteq k[\Gamma] \cong k[x_{ij}]$  is a subcoalgebra.

The key observation here is the comultiplication preserves degree.

### 2.2.2 Colagebras

Recall that a (right)  $A = A(n, r)$  comodule is a vector space  $V$  along with a map

$$\phi : V \rightarrow V \otimes A$$

satisfying counit and coassociativity axioms. These coalesce into a category of left  $A$  comodules with morphisms  $f : V \rightarrow W$  satisfying

$$\phi_W \circ f(v) = (f \otimes \text{id}) \circ \phi_V(v)$$

We denote this category **comod- $A$** .

Let  $(\rho, V) \in \text{Pol}(n, k)$  using the characterization in terms of the associated algebra morphism. Then we can define a right  $A$ -module structure on  $V = \langle v_1, \dots, v_m \rangle$  by the map

$$\phi(v_i) = \sum_j v_j \otimes \rho^*(x_{ij})$$

This construction defines a map

$$\Psi : \text{Pol}(n, r) \rightarrow \mathbf{comod-}A(n, r)$$

and a key result here is the observation that

### 2.2.2 Theorem

The map  $\Psi$  given above is a functor and an equivalence of categories.

**2.2.3 REMARK:** To show that  $\Gamma$ -module morphisms go to comodule morphisms takes a little work, mostly in decoding the different notation we've set up here. From that, the fullness and faithfulness fall out and essential surjectivity follows by defining the action

$$c \cdot v = (\text{id} \otimes e_g) \circ \phi(v)$$

and one can compute this homogeneous degree  $r$  (giving us our pseudo inverse).

### 2.2.3 The Schur Algebra

**2.2.4 Definition:** The Schur algebra  $S(n, r)$  is the linear dual

$$S(n, r) = (A(n, r))^{\vee} = \text{Hom}_k(A(n, r), k).$$

Since  $A(n, r)$  is a coalgebra, this gives  $S(n, r)$  an algebra structure. A standard result is the following:

#### 2.2.5 Lemma

The category of finite dimensional right comodules of a coalgebra  $\Lambda$  is the same as the category of finite dimensional left modules of  $\Lambda^{\vee}$ .

#### 2.2.6 Corollary

The categories  $S(n, r)\text{-mod}$  and  $\text{comod-}A(n, r)$  are equivalent (isomorphic!).

**2.2.7 Remark:** The unit  $\mathbb{1} \in S(n, r)$  is given by  $\mathbb{1}(x_{\underline{i}, \underline{j}}) = x_{\underline{i}, \underline{j}}(I_n)$ . The multiplication is, as per usual, given by

$$(\alpha \cdot \beta)(x_{\underline{i}, \underline{j}}) = \sum_{\underline{k} \in I(n, r)} \alpha(x_{\underline{i}, \underline{k}}) \beta(x_{\underline{k}, \underline{j}}).$$

## 2.3 Weights and irreducible representations

Recall the definitions of multi-indices and weights from before. Now that we are working with  $S(n, r)$ -modules, we can give another formulation of weight spaces: Let  $\alpha \in \Lambda(n, r)$  and let

$$\xi_{\alpha} = \xi_{\underline{i}, \underline{i}} \quad \text{for some } \underline{i} \in \alpha.$$

Then if  $V \in S(n, r)\text{-mod}$ , the weight space corresponding to  $\alpha$  is

$$V^{\alpha} = \xi_{\alpha} V.$$

#### 2.3.1 Proposition

This agrees with the original definition of  $V^{\alpha}$  in terms of the action by diagonal matrices.

Then the fact (which can be checked by evaluating at the  $x_{\underline{i}, \underline{j}}$ ) that

$$\mathbb{1} = \sum_{\alpha \in \Lambda(n, r)} \xi_\alpha$$

gives us a proof of the fact that

$$V = \oplus_{\alpha \in \Lambda(n, r)} V^\alpha.$$

## 2.4 Explicit examples and the SW functor

Let's begin by reformulating the Schur-Weyl functor: first we see a small lemma:

### 2.4.1 Lemma

For  $\alpha \in \Lambda(n, r)$  and  $i, j \in I(n, r)$ ,

$$\xi_\alpha \xi_{i, j} = \begin{cases} \xi_{i, j}, & i \in \alpha \\ 0, & \text{otherwise} \end{cases} \quad \text{and} \quad \xi_{i, j} \xi_\alpha = \begin{cases} \xi_{i, j}, & j \in \alpha \\ 0, & \text{otherwise} \end{cases}$$

This can be checked by evaluating at  $x_{\underline{a}, \underline{b}}$ . Then in particular we see that  $\xi_\omega^2 = \xi_\omega \stackrel{\text{def}}{=} e$ , an idempotent in  $\Lambda \stackrel{\text{def}}{=} S(n, r)$ . From there, there is a general theory which says the functors

$$\mathcal{F}: \Lambda\text{-mod} \rightarrow e\Lambda e\text{-mod} \quad \text{via} \quad \mathcal{F}(V) = eV$$

and

$$\mathcal{G}: e\Lambda e\text{-mod} \rightarrow \Lambda\text{-mod} \quad \text{via} \quad \mathcal{G}(W) = \Lambda e \otimes_{e\Lambda e} W / (\Lambda e \otimes_{e\Lambda e} W)_e$$

In this case, we can compute that for any  $\xi_{\underline{i}, \underline{j}} \in S(n, r)$ ,

$$\xi_\alpha \xi_{\underline{i}, \underline{j}} \xi_\alpha = \begin{cases} \xi_{\underline{i}, \underline{j}} & \underline{i}, \underline{j} \in \omega, \underline{i} \sim \underline{j} \\ 0 & \text{otherwise} \end{cases}$$

so there exist unique  $\sigma, \tau \in \mathfrak{S}_d$  such that  $\sigma \cdot \underline{i} = (1, 1, \dots, 1, 0, \dots, 0) = \underline{l}$  and  $\tau \cdot \underline{j} = \underline{l}$ , and so  $\xi_{\underline{i}, \underline{j}} = \xi_{\underline{l}, \sigma\tau^{-1}\underline{l}}$ . This shows us that we can extract from each element in  $e\Lambda e$  an element  $\sigma\tau^{-1} \in \mathfrak{S}_d$  and in this way we can prove

### 2.4.2 Lemma

If  $e = \xi_\omega$  and  $\Lambda = S(n, r)$ ,

$$e\Lambda e \cong k\mathfrak{S}_r.$$

### 2.4.3 Corollary

The Schur Weyl functor given by  $V \mapsto \xi_\omega V$  gives a functor

$$\mathcal{F}: S(n, r)\text{-mod} \rightarrow k\mathfrak{S}_r\text{-mod}.$$

2.4.4 REMARK: As long as his functor preserves irreducibles, as does  $\mathcal{G}$ .

Schur's classical work dealt only with the case when  $k$  is a field of characteristic zero. In Aquilino and Reischuk's paper [AR17] on the monoidal structure of  $S(n, d)\text{-mod}$ , the authors mention that (in general),

$$S(n, d)\text{-mod} \not\cong \mathfrak{S}_d\text{-mod}.$$

To fix this problem, the authors restrict attention to the “nicely behaved ones”. Let  $M^\lambda$  denote the  $\lambda \in \Lambda(n, d)$  weight space of  $E^{\otimes d} = (k^n)^{\times d}$ . Then one can define

**2.4.5 Definition:** Let  $M = \{M^\lambda | \lambda \in \Lambda(n, d)\}$  and let the category  $\mathbf{add}(M)$  be the full subcategory of  $\mathfrak{S}_d\text{-mod}$  consisting of modules that are summands of finite direct sums of weight modules  $M^\lambda \in M$ .

One can define an analogous subcategory  $\mathbf{add}(S(n, d))$ , and the usual Schur-Weyl functor

$$\mathcal{F}(M) = \xi_\omega M = M^\omega$$

restricts to an equivalence between the categories  $\mathbf{add}(M)$  and  $\mathbf{add}(S(n, d))$ .

### 2.4.1 The symmetric group on two letters

The representation theory (over  $k = \mathbb{C}$ ) of  $\mathfrak{S}_2$  is as simple as it comes: of course  $\mathfrak{S}_2 \cong \mathbb{Z}/2\mathbb{Z}$  and we know that there are  $|G|$  nonisomorphic irreducible representations of an abelian group  $G$  over  $\mathbb{C}$ . Since we are talking about a symmetric group, we can realize these as the trivial and sign representations, represented by the Young diagrams:

$$\square\square \quad \text{and} \quad \begin{array}{c} \square \\ \square \end{array}$$

As submodules of the regular representation  $k\mathfrak{S}_2 = ke \oplus k(12)$ , we can construct these as  $\langle e + (12) \rangle$  (trivial representation) and  $\langle e - (12) \rangle$  (sign representation).

### 2.4.2 The Schur algebra $S_{\mathbb{C}}(2, 2)$

Since  $\text{char } \mathbb{C} = 0$ ,  $S_{\mathbb{C}}(2, 2)$  is semisimple, so it suffices to identify the irreducible submodules therein. We know

$$S = S_{\mathbb{C}}(2, 2) \cong \mathbb{C}^2 \otimes \mathbb{C}^2$$

so  $\dim_{\mathbb{C}} S = 4$ . The theory outlined above gives us that isomorphism types of irreducible modules are in bijection with compositions of 2 of length 2, meaning we have two isomorphism types: one corresponding to  $\lambda_1 = (1, 1)$  and one corresponding to  $\lambda_2 = (2, 1)$ .

Using the construction of  $D_{\lambda, \mathbb{C}}$  from above, we can compute these two irreducible modules explicitly:

**Example 2.1** ( $\lambda_1 = (1, 1)$ )

In this case our shape is  $(1, 1)$ , corresponding to the Young diagram



and then  $D_{\lambda_1, \mathbb{C}}$  is spanned by the element

$$(T_l : T_{(2,1)}) = \left( \begin{array}{|c|} \hline 1 \\ \hline 2 \\ \hline \end{array} : \begin{array}{|c|} \hline 2 \\ \hline 1 \\ \hline \end{array} \right) = c_{12}c_{21} - c_{11}c_{22} = c_{(1,2),(2,1)} - c_{(1,2),(1,2)} \in A_{\mathbb{C}}(2, 2)$$

since all other bideterminants of this shape are zero or linearly dependent. Thus this is a one-dimensional irreducible representation.

**Example 2.2** ( $\lambda_2 = (2, 0)$ )

Now our shape is  $(2, 0)$ , corresponding to the diagram



The bideterminants here are

$$\begin{aligned} (T_l : T_{(1,1)}) &= \left( \begin{array}{|c|c|} \hline 1 & 1 \\ \hline \end{array} : \begin{array}{|c|c|} \hline 1 & 1 \\ \hline \end{array} \right) = c_{11}^2 \\ (T_l : T_{(1,2)}) &= (T_l : T_{(2,1)}) = c_{11}c_{12} \\ (T_l : T_{(2,2)}) &= c_{12}^2 \end{aligned}$$

So we have a three-dimensional irreducible representation spanned by  $\langle c_{11}^2, c_{11}c_{12}, c_{12}^2 \rangle$ .

Since these are the only two Young diagrams of size two, these examples form a complete list of isomorphism classes of irreducible representations of  $S_{\mathbb{C}}(2, 2)$ .

If we prefer instead to recognize our irreducibles as submodules of  $E^{\otimes 2} = (ke_1 \oplus ke_2)^{\otimes 2}$  (giving us a more obvious action by our algebras), we can use the short exact sequence

$$0 \rightarrow N \hookrightarrow E^{\otimes 2} \twoheadrightarrow D_{\lambda, \mathbb{C}} \rightarrow 0$$

to define the  $N = \ker \pi$ , where  $\pi$  is the map defined in equation (1) above. Then we can compute the orthogonal complement to  $N$  to get  $V_{\lambda, \mathbb{C}}$ . We can compute:

$$V_{\lambda_1, \mathbb{C}} = \langle e_1 \otimes e_2 - e_2 \otimes e_1 \rangle$$

and

$$V_{\lambda_2, \mathbb{C}} = \langle e_1 \otimes e_1, e_1 \otimes e_2 + e_2 \otimes e_1, e_2 \otimes e_2 \rangle.$$



## 2.5 Strict polynomial functors

**2.5.1 REMARK:** In our opinion, the best definition of these maps is as follows: Let  $V, W$  be finite dimensional vector spaces over  $k$ . Then the set of **polynomial maps from  $V$  to  $W$**  is defined to be

$$\mathrm{Hom}_{\mathrm{Pol}}(V, W) \stackrel{\mathrm{def}}{=} \mathrm{Hom}_{\mathrm{Sch}/k}(V, W).$$

**2.5.2 Definition:** If  $V, W \in \mathbf{Vect}_k$  are finite dimensional, a **polynomial map  $f : V \rightarrow W$**  can be alternatively defined as an element

$$f \in W \otimes S^*(V^\vee) \cong \mathrm{Hom}_{\mathrm{Sch}/k}(V, W)$$

through the identifications above. An element is said to be **homogeneous degree  $d$**  if  $f \in W \otimes S^d(V^\vee)$ .

**2.5.3 Definition:** A **strict polynomial functor** is a functor  $T : \mathbf{Vect}_k \rightarrow \mathbf{Vect}_k$  such that for any  $V, W \in \mathbf{Vect}_k$ , the map on Homs

$$T_{V,W} : \mathrm{Hom}_k(V, W) \rightarrow \mathrm{Hom}_k(T(V), T(W))$$

is a polynomial map. That is,

$$T_{V,W} \in \mathrm{Hom}_{\mathrm{Pol}}(\mathrm{Hom}_k(V, W), \mathrm{Hom}_k(T(V), T(W)))$$

### 2.5.4 Lemma (Lem. 2.2 in [FS97])

Let  $T$  be a strict polynomial functor and let  $n \geq 0$  be an integer. Then the following conditions are equivalent:

- (a) For any  $V \in \mathbf{Vect}_k$ , any field extension  $k'/k$  and any  $0 \neq \lambda \in k'$ , the  $k'$ -linear map  $T_{k'}(\lambda \cdot 1_{V_{k'}}) \in \mathrm{End}_{k'}(T(V)_{k'})$  coincides with  $\lambda^n 1_{T(V)_{k'}}$ .
- (b) For any  $V \in \mathbf{Vect}_k$ ,  $n$  is the only weight of the representation of the algebraic group  $\mathbb{G}_m$  in  $T(V)$  obtained by applying  $T$  to the evident representation of  $\mathbb{G}_m$  in  $V$ .

(c) For any  $V, W \in \mathbf{Vect}_k$ , the polynomial map

$$T_{V,W} : \mathrm{Hom}_k(V, W) \rightarrow \mathrm{Hom}_k(T(V), T(W))$$

is homogeneous of degree  $n$ .

**2.5.5 Definition:** The category  $\mathcal{P}_d$  is the full subcategory

$$\mathcal{P}_d \subset \mathbf{Func}(\mathbf{Vect}_k, \mathbf{Vect}_k)$$

whose objects are the **strict polynomial functors of degree  $d$** .

We refer the reader to [FS97, Thm. 3.2] for a proof of the following fact:

**2.5.6 Theorem**

Let  $n \geq d$ . Then the map

$$\Psi : \mathcal{P}_d \rightarrow S(n, d)\text{-}\mathbf{mod}$$

given by evaluation at  $k^n$ :

$$T \mapsto T(k^n)$$

is an equivalence of categories with quasi-inverse

$$M \mapsto \Gamma^{d,n} \otimes_{S(n,d)} M$$

where  $\Gamma^{d,n} = \Gamma^d \circ \mathrm{Hom}_k(k^n, -)$  and  $\Gamma^d V = (V^{\otimes d})^{\mathfrak{S}_d}$ .

The important idea in this proof is that, for any polynomial functor  $T$  and any finite-dimensional  $V, W \in \mathbf{Vect}_k$ , we get

$$\begin{aligned} T_{V,W} &\in \mathrm{Hom}(T(V), T(W)) \otimes S^d(\mathrm{Hom}(V, W)^\vee) \\ &\cong \mathrm{Hom}(S^d(\mathrm{Hom}(V, W)^\vee)^\vee, \mathrm{Hom}(T(V), T(W))) \\ &\cong \mathrm{Hom}(S^d(\mathrm{Hom}(V, W)^\vee)^\vee \otimes T(V), T(W)) \end{aligned}$$

and by using that  $\Gamma^d(X) \cong S^d(X^\vee)^\vee \stackrel{\mathrm{def}}{=} (S^d)^\sharp(X)$  and letting  $V = W = k^n$ , we can identify a canonical map

$$T_{k^n, k^n} : \Gamma^d(\mathrm{End}(k^n)) \otimes T(k^n) \rightarrow T(k^n)$$

which gives us an action of  $\Gamma^d(\mathrm{End}(k^n))$  on  $T(k^n)$  and one can see without too much trouble that

$$\Gamma^d(\mathrm{End}(k^n)) \cong S(n, d).$$

**2.5.7 Definition:** When  $k$  is any commutative ring, one can define the category  $P_k \subseteq k\text{-mod}$  as the full subcategory of finitely-generated projective  $k$ -modules. In this paper, we require that  $k$  is an infinite field. In this case,  $P_k = \mathbf{Vect}_k$ , but we use the former notation so that it aligns more closely with Krause's work.

Define  $\Gamma^d P_k$  to be the category of **divided powers**—the objects are the same as those of  $P_k$ , but such that

$$\mathrm{Hom}_{\Gamma^d P_k}(V, W) = \Gamma^d \mathrm{Hom}_{P_k}(V, W)$$

where  $\Gamma^d X = (X^{\otimes d})^{\mathfrak{S}_d}$  denotes the  $d^{\mathrm{th}}$  divided powers of the vector space  $X$ .

Finally, as a matter of notation, let

$$\mathbf{Rep} \Gamma_k^d = \mathbf{Rep} \Gamma^d P_k = \mathbf{Func}(\Gamma^d P_k, k\text{-mod})$$

which we (suggestively) call the **category of homogeneous degree  $d$  strict polynomial functors**.

**2.5.8 Remark:** Of course since  $P_k = \mathbf{Vect}_k$ , an element

$$T \in \mathbf{Rep} \Gamma_k^d = \mathbf{Func}(\Gamma^d \mathbf{Vect}_k, \mathbf{Vect}_k),$$

is a functor that, on objects, is a map  $\mathbf{Vect}_k \rightarrow \mathbf{Vect}_k$  and on morphisms is of the form

$$T_{V,W} : \mathrm{Hom}_{\Gamma^d \mathbf{Vect}_k}(V, W) = \Gamma^d \mathrm{Hom}_k(V, W) \rightarrow \mathrm{Hom}_k(T(V), T(W))$$

which, leveraging  $\otimes$ -Hom adjunction, gives us a map

$$\Gamma^d(V, W) \otimes T(V) \rightarrow T(W)$$

just as we got in the discussion following thm. 2.5.6.

Using the idea in the last remark, Krause proves that there is another equivalence of categories:

**2.5.9 Theorem ([Kra13, Thm. 2.10])**

Let  $d, n$  be positive integers. Then evaluation at  $k^n$  induces a functor

$$\mathbf{Rep} \Gamma_k^d \rightarrow S(n, d)\text{-mod}$$

which is an equivalence of categories when  $n \geq d$ .

The key idea of this proof is to restrict attention to small projective generators of  $\mathbf{Rep} \Gamma_k^d$ . A class of these are the weight spaces  $\Gamma^\lambda$  of the object  $\Gamma^{d, k^n}$ . Then it just remains to see that

$$\mathrm{End}_{\Gamma_k^d}(\Gamma^{d, k^n}) \cong S_k(n, d)$$

and the result follows.

### 2.5.1 Monoidal structure

An important aspect of the Schur-Weyl functor is highlighted by Aquilino and Reischuk:

#### 2.5.10 Theorem ([AR17, thm. 4.4])

The functor

$$\mathcal{F} = \text{Hom}(\Gamma^\omega, -) : \mathbf{Rep} \Gamma_k^d \rightarrow k\mathfrak{S}_d\text{-mod}$$

preserves the monoidal structure defined on strict polynomial functors, i.e.

$$\mathcal{F}(X \otimes_{\Gamma_k^d} Y) \cong \mathcal{F}(X) \otimes_k \mathcal{F}(Y)$$

for all  $X$  and  $Y$  and if  $\mathbb{1}$  is the tensor unit,

$$\mathcal{F}(\mathbb{1}_{\mathbf{Rep} \Gamma_k^d}) = \mathbb{1}_{k\mathfrak{S}_d}.$$

What is the tensor product on  $\Gamma_k^d$ ? It is defined in Krause's paper. We use the following lemma (fairly standard in category theory c.f. [MM92])

#### 2.5.11 Lemma

Given a small category  $\mathcal{C}$ , let  $y$  be the Yoneda embedding

$$y : (\mathcal{C}) \rightarrow \mathbf{Func}(\mathcal{C}^{\text{op}}, \mathbf{Set}) = \mathbf{PreSh}(\mathcal{C}).$$

Then every element in  $\mathbf{PreSh}(\mathcal{C})$  is (in a canonical way) a colimit of elements in the image of  $y$ . That is, for some collection of  $C_i \in \mathcal{C}$ ,

$$X = \underset{\rightarrow i}{\text{colim}} y(C_i)$$

The final observation is that  $\text{Hom}(y(-), E) : \mathbf{PreSh}(\mathcal{C}) \rightarrow \mathbf{PreSh}(\mathcal{C})$  is naturally isomorphic to the identity, meaning its adjoint

$$F = \text{id}_{\mathbf{PreSh}(\mathcal{C})}(F) \simeq L(F) = \text{colim}_i D_F = \text{colim}_i \text{Hom}(-, C_i) = \text{colim}_i y(C_i)$$

Let  $0 \rightarrow X \rightarrow Y \rightarrow Z \rightarrow 0$  be an exact sequence of elements in  $\mathbf{Rep} \Gamma_k^d$ . Then by applying  $\Gamma^{d,V}$  and applying the Yoneda isomorphism  $\text{Hom}(\Gamma^{d,V}, X) \simeq X(V)$ , we get that

$$0 \rightarrow X(V) \rightarrow Y(V) \rightarrow Z(V) \rightarrow 0$$

is exact whence

$$0 \rightarrow \text{Hom}(\Gamma^{d,V}, X) \rightarrow \text{Hom}(\Gamma^{d,V}, Y) \rightarrow \text{Hom}(\Gamma^{d,V}, Z) \rightarrow 0$$

is. This proves the fact that

**2.5.12 Lemma**

For all  $V \in \Gamma^d P_k$ ,  $\Gamma^{d,V}$  is a projective object.

One can similarly define internal hom:

$$\mathcal{H}om_{\Gamma_k^d}(X, Y) \stackrel{\text{def}}{=} \lim_{\Gamma^d, V \rightarrow X} \text{colim}_{\Gamma^d, W \rightarrow Y} \Gamma^{d, \text{Hom}(V, W)}$$

which in [Kra13, prop 2.4] is shown to satisfy the usual adjunction:

**2.5.13 Proposition (Krause)**

If  $X, Y, Z \in \Gamma^d P_k$ ,

$$\text{Hom}_{\Gamma_k^d}(X \otimes_{\Gamma_k^d} Y, Z) \cong \text{Hom}_{\Gamma_k^d}(X, \mathcal{H}om_{\Gamma_k^d}(Y, Z))$$

### 3 Modern tools in action

#### 3.1 Triangulated and derived categories and the Balmer spectrum

This perspective gives us an interesting analogy between (unital, commutative) rings in algebra and category theory. Since every triangulated category is also additive, we can further specify that  $\mathcal{C}$  be triangulated:

**3.1.1 Definition:** A **tensor-triangulated** category  $\mathcal{C}$  is both a symmetric monoidal category and a triangulated category such that the monoidal structure preserves the triangulated structure.

As a reminder, such a category is equipped with a tensor product  $- \otimes - : \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$  and unit object  $\mathbb{1}$ , along with a collection distinguished triangles  $\mathcal{T}$  comprised of objects in  $\mathcal{C}$  and shift functor (an auto-equivalence)  $(-)[1] : \mathcal{C} \rightarrow \mathcal{C}$  such that:  $- \otimes -$  is a triangulated (or exact) functor in each entry (it takes  $\mathcal{T}$  to itself).

**3.1.2 Definition:** Let  $\mathcal{C}$  be a tensor-triangulated category (TTC). Then a **(thick tensor) ideal**  $I \subseteq \mathcal{C}$  is a full triangulated subcategory with the following conditions:

- *(2-of-3 rule/Triangulation)* If  $A, B$ , and  $C \in \mathcal{C}$  are objects that fit into a distinguished triangle

$$A \rightarrow B \rightarrow C \rightarrow A[1]$$

in  $\mathcal{C}$ , and if any two of the three are objects in  $I$ , then so is the third.

- (*Thickness*) If  $A \in I$  is an object that splits as  $A \cong B \oplus C$  in  $\mathcal{C}$ , then both  $B$  and  $C$  belong to  $I$ .
- (*Tensor Ideal*) If  $A \in I$  and  $B \in \mathcal{C}$  then  $A \otimes B = B \otimes A \in I$ .

**3.1.3 Definition:** Let  $\mathcal{C}$  be a TTC as before. Then an ideal  $I \subseteq \mathcal{C}$  is called a **prime ideal** if, whenever  $A \otimes B \in I$  for some  $A, B \in \mathcal{C}$ , either  $A$  or  $B$  is in  $I$ .

We call the collection of all primes the **spectrum** of  $\mathcal{C}$  and write  $\mathrm{Spc}(\mathcal{C})$ .

Here we define

$$Z(S) \stackrel{\mathrm{def}}{=} \{\mathcal{P} \in \mathrm{Spc}(\mathcal{C}) \mid S \cap \mathcal{P} = \emptyset\}$$

and define sets (for any  $S \subseteq \mathcal{C}$  and  $A \in \mathcal{C}$ ):

$$U(S) \stackrel{\mathrm{def}}{=} \mathrm{Spc}(\mathcal{C}) \setminus Z(S) = \{\mathcal{P} \in \mathrm{Spc}(\mathcal{C}) \mid S \cap \mathcal{P} \neq \emptyset\}$$

and

$$\mathrm{supp}(A) \stackrel{\mathrm{def}}{=} Z(\{A\}) = \{\mathcal{P} \in \mathrm{Spc} \mathcal{C} \mid A \notin \mathcal{P}\}$$

**3.1.4 Lemma (2.6 of [Bal05])**

The sets  $U(S)$  for all  $S \subseteq \mathcal{C}$  form a basis for a topology on  $\mathrm{Spc} \mathcal{C}$ .

which we call the **Zariski topology**.

To define the structure sheaf:

**3.1.5 Definition:** Let  $\mathcal{C}$  be a tensor-triangulated category and let  $\mathrm{Spc} \mathcal{C}$  be the construction discussed above. Then the structure sheaf on  $\mathrm{Spc} \mathcal{C}$  is given by the sheafification  $\mathcal{O}_{\mathcal{C}}$  of the presheaf

$$\tilde{\mathcal{O}}_{\mathcal{C}} : \mathrm{Open}(\mathrm{Spc} \mathcal{C})^{\mathrm{op}} \rightarrow \mathbf{Ring}$$

given by

$$\tilde{\mathcal{O}}_{\mathcal{C}}(U) \stackrel{\mathrm{def}}{=} \mathrm{End}_{\mathcal{C}/\mathcal{C}_Z}(\mathbb{1}_U)$$

where  $U \subseteq \mathrm{Spc} \mathcal{C}$  is an open set and  $\mathcal{C}_Z$  is the thick tensor ideal in  $\mathcal{C}$  supported on  $Z = \mathrm{Spc} \mathcal{C} \setminus U$ . The ringed space  $(\mathrm{Spc} \mathcal{C}, \mathcal{O}_{\mathcal{C}})$  is denoted  $\mathrm{Spec}_{\mathrm{Bal}} \mathcal{C}$ .

Thus we have a **locally-ringded space**  $\mathrm{Spec} \mathcal{C}$  for any TTC  $\mathcal{C}$ .

Balmer emphasizes that this is the “correct” ringed space structure to put on  $\mathrm{Spc} \mathcal{C}$ . To do so, one defines an abstract support datum:

**3.1.6 Definition:** A **support datum** for a TTC  $\mathcal{C}$  is a pair

$$(X, \sigma)$$

where  $X$  is a topological space and  $\sigma : \mathcal{C} \rightarrow \mathrm{closed}(X)$  is a map sending  $a \mapsto \sigma_a$  such that

- (a)  $\sigma(0) = \emptyset$  and  $\sigma(1) = X$ ,
- (b)  $\sigma(a \oplus b) = \sigma(a) \cup \sigma(b)$ ,
- (c)  $\sigma(a[1]) = \sigma(a)$ ,
- (d)  $\sigma(a) \subseteq \sigma(b) \cup \sigma(c)$  for any triangle  $a \rightarrow b \rightarrow c \rightarrow a[1]$ ,
- (e)  $\sigma(a \otimes b) = \sigma(a) \cap \sigma(b)$ .

In Thomason [Tho97], the author classifies the triangulated tensor subcategories of  $\mathbf{D}_{\mathrm{perf}}(X)$ , thereby defining the set  $\mathrm{Spc} \mathbf{D}_{\mathrm{perf}}(X)$ . Applying Balmer’s language and structure, he proved that

**3.1.7 Theorem ([Bal05, thm. 6.3(a)])**

If  $X$  is a topologically Noetherian scheme, then (as ringed spaces)

$$\mathrm{Spec}_{\mathrm{Bal}} \mathbf{D}_{\mathrm{perf}}(X) \simeq X.$$

Furthermore another result from Friedlander and Pevtsova [FP07] showed (again using the language of  $\mathrm{Spec}_{\mathrm{Bal}}$ ):

**3.1.8 Theorem ([FP07, thm. 3.6], [Bal05, thm. 6.3(b)])**

Let  $G$  be a finite group scheme over a field  $k$ . Then

$$\mathrm{Spec}_{\mathrm{Bal}}(\mathbf{stmod}(kG)) \simeq \mathrm{Proj}(H^\bullet(G, k))$$

where,  $\mathbf{stmod}(kG)$  is the full subcategory of the stable module category consisting of the finitely generated modules and  $H^\bullet(G, k) = \mathrm{Ext}_G^\bullet(k, k)$  is the cohomology ring of  $G$ .

### 3.1.1 Derived categories

Recall the category of chain complexes in an abelian category and chain homotopies:  $g \sim h$  if  $f = g - h$  is nullhomotopic:

**3.1.9 Definition:** Two chain maps  $f, g : C_\bullet \rightarrow D_\bullet$  in  $\mathbf{Ch}(\mathcal{A})$  are said to be **chain homotopic** if their difference is chain nullhomotopic. That is, if there exists maps  $\sigma_i : C_i \rightarrow D_{i+1}$  such that

$$f_n - g_n = \partial \circ \sigma_n + \sigma_{n-1} \circ \partial.$$

**3.1.10 Definition:** Given the category  $\mathbf{Ch}(\mathcal{A})$ , we define the **homotopy category**  $\mathbf{K}(\mathcal{A})$  to be the category whose objects are the same as those in  $\mathbf{Ch}(\mathcal{A})$  and whose morphisms between any two chains  $C_\bullet$  and  $D_\bullet$  are

$$\mathrm{Hom}_{\mathbf{K}(\mathcal{A})}(C_\bullet, D_\bullet) \stackrel{\mathrm{def}}{=} \mathrm{Hom}_{\mathbf{Ch}(\mathcal{A})}(C_\bullet, D_\bullet) / H$$

where  $H$  consists of all chain nullhomotopic maps from  $C_\bullet$  to  $D_\bullet$ .

We will call a diagram of the form

$$f s^{-1} : X \xleftarrow{s} X_1 \xrightarrow{f} Y$$

where  $s \in S$  a **fraction** and say that two fractions  $f s^{-1}$  and  $g t^{-1}$  are equivalent if there exists an element  $X_3$  fitting into the commutative diagram below:

$$\begin{array}{ccccc} & & X_1 & & \\ & \swarrow s & \uparrow & \searrow f & \\ X & \xleftarrow{\quad} & X_3 & \xrightarrow{\quad} & Y \\ & \nwarrow t & \downarrow & \nearrow g & \\ & & X_2 & & \end{array}$$

Then from this we can define

**3.1.11 Definition:** Let  $\mathcal{T}$  be a triangulated category and  $S$  be a multiplicative system for  $\mathcal{T}$ . Then the **Verdier localization of  $\mathcal{T}$  at  $S$** ,  $\mathcal{T}[S^{-1}]$  (or  $\mathcal{T}/S$ ) is a category whose objects are the same as those of  $\mathcal{T}$  and whose morphisms are equivalence classes of fractions of maps, as defined above.



$$\begin{array}{ccc}
W & \xrightarrow{f} & Z \\
\downarrow s & & \downarrow t \\
X & \xrightarrow{g} & Y
\end{array}$$

Figure 1: Ore condition in a multiplicative system

**3.1.12 Definition:** Given a triangulated category  $\mathcal{T}$ , a **multiplicative system**  $S$  in  $\mathcal{T}$  is a collection of morphisms in  $\mathcal{T}$  satisfying the following properties:

- If  $s, s' \in S$ , so are  $s \circ s'$  and  $s' \circ s$  (whenever either of these make sense).
- $\text{id}_X \in S$  for all  $X \in \mathcal{T}$
- **(Ore condition)** If  $t \in S$  with  $t : Z \rightarrow Y$  then for every  $g : X \rightarrow Y$  there are maps  $f$  and  $s$  (with  $s \in S$ ) such that the diagram in figure 1 commutes. The symmetric statement also holds.
- **(Cancellation)** If  $f, g : X \rightarrow Y$  are two morphisms, then there is an  $s \in S$  with  $sf = sg$  if and only if there is a  $t \in S$  with  $ft = gt$ .

From this more general framework, we can very simply define the **derived category of an abelian category**  $\mathcal{A}$  to be

$$\mathbf{D}(\mathcal{A}) = \mathbf{K}(\mathcal{A})[W^{-1}]$$

where  $W$  is the collection of weak homotopy equivalences (quasi-isomorphisms). For our purposes, it will suffice to restrict to the full triangulated subcategory  $\mathbf{K}^b(\mathcal{A})$ , giving us the **bounded derived category**

$$\mathbf{D}^b(\mathcal{A}) = \mathbf{K}^b(\mathcal{A})[W^{-1}].$$

In the context of  $R$  (where  $R$  is a  $k$  algebra) modules, there is a tensor bifunctor

$$-\otimes_R - : \mathbf{mod}\text{-}R \times R\text{-}\mathbf{mod} \rightarrow \mathbf{Vect}_k$$

and since it is right exact, but not exact, we can take the left derived functor

$$-\otimes_R^{\mathbf{L}} - \stackrel{\text{def}}{=} \mathbf{L}(-\otimes_R -) : \mathbf{D}(\mathbf{mod}\text{-}R) \times \mathbf{D}(R\text{-}\mathbf{mod}) \rightarrow \mathbf{D}(\mathbf{Vect}_k)$$

which we call **the derived tensor product**. This can be defined via a Kan extension:

**3.1.13 Definition:** Let  $\mathcal{F}: \mathcal{A} \rightarrow \mathcal{B}$  be an additive functor between abelian categories. Then since  $\mathcal{F}$  preserves chain homotopies, it descends to a functor  $\mathbf{K}\mathcal{F}: \mathbf{K}(\mathcal{A}) \rightarrow \mathbf{K}(\mathcal{B})$ .

We define the **right derived functor** (if it exists) to be a functor  $\mathbf{R}\mathcal{F}: \mathbf{D}(\mathcal{A}) \rightarrow \mathbf{D}(\mathcal{B})$  along with a natural transformation  $\xi: q \circ \mathbf{K}\mathcal{F} \rightarrow \mathbf{R}\mathcal{F} \circ q$  such that for any  $\mathcal{G}: \mathbf{D}(\mathcal{A}) \rightarrow \mathbf{D}(\mathcal{B})$  and  $\zeta: q \circ \mathbf{K}\mathcal{F} \Rightarrow \mathcal{G} \circ q$  fitting into the diagram

$$\begin{array}{ccccc}
 \mathbf{K}(\mathcal{A}) & \xrightarrow{\mathbf{K}\mathcal{F}} & \mathbf{K}(\mathcal{B}) & \xrightarrow{q} & \mathbf{D}(\mathcal{B}) \\
 & \searrow q & \downarrow \zeta & \nearrow \mathcal{G} & \uparrow \\
 & & \mathbf{D}(\mathcal{A}) & \xrightarrow{\mathbf{R}\mathcal{F}} & \mathbf{D}(\mathcal{B})
 \end{array}$$

(Note: A curved arrow labeled  $\eta$  points from  $\mathbf{R}\mathcal{F}$  to  $\mathcal{G}$  in the original diagram.)

there exists a unique  $\eta: \mathbf{R}\mathcal{F} \Rightarrow \mathcal{G}$  such that  $\eta q \circ \xi = \zeta$ . In other words,

$\mathbf{R}\mathcal{F}$  is the *right Kan extension* of  $q \circ \mathbf{K}\mathcal{F}$  along the localization map  $q$ .

Similarly, the left derived functor  $\mathbf{L}\mathcal{F}$  can be defined as the left Kan extension of  $q \circ \mathbf{K}\mathcal{F}$  along  $q$ , satisfying the same universal property with the natural transformations reversed.

## 3.2 Extensions and problems to work on

# 4 Questions and extensions

The following are some rough outlines of research programs that we can look into moving forward. They vary in depth and difficulty and the questions asked herein may not end up being the ones that are most interesting in these different areas. These do, however, provide a good starting place as we transition into tackling new problems.

## 4.1 Computing the spectrum of $\mathbf{D}^b(S(n, r))$

**4.1.1 Definition:** An algebra  $\Lambda$  of **wild representation type** is one whose irreducible modules are in bijection with those of the free algebra  $k\langle x, y \rangle$ .

### 4.1.2 Theorem

It has been shown (according to [Ben98]) that the representation theory of algebras  $\Lambda$  of wild type is *undecidable* in that there exist statements about  $\Lambda$ -modules for which

no algorithm for a Turing machine exists that can decide the truth or falsehood of a statement in finite time.

Instead we can use spectra! These give us a coarser tool to work with and understanding the thick TT subcategories of the representation category may give us a way at grasping what this looks like.

A first step would be to compute  $\mathbf{D}^b(S(n, r))$  in the “nice” case where our field is characteristic zero. From there, there are interesting computations to be done for Schur algebras over fields of characteristic  $p > 0$  which could yield interesting results.

## 4.2 The representation theory of $S(n, r)$ in positive characteristic

### 4.2.1 Theorem ([Erd93])

$S(3, 10)$  over a field of characteristic 5 has wild representation type.

This has dimension 66! This gives us an ample supply of computationally-tangible objects whose representation theory could shine light on the representation theory of wild type algebras in general.

## 4.3 Representation theory of the $q$ -Schur algebra

Recall a motivating example (c.f. [Maj02]) of a quantum group:  $SL_q(2)$ , so named because it is a “ $q$ -analog” of the algebra of functions on  $SL_2$ . Fix some  $q \in k^\times$ . Then it is defined (as an algebra) as a quotient

$$k\langle a, b, c, d \rangle / R$$

where  $R$  is the ideal generated by the following relations:

$$\begin{array}{lll} ca = qac & ba = qab & db = qdb \\ dc = qcd & bc = cb & da - ad = (q - q^{-1})bc \end{array}$$

along with the “ $q$ -determinant relation”

$$ad - q^{-1}bc = 1.$$

Notice that setting  $q = 1$  makes  $a, b, c$ , and  $d$  commute, so we are left with the usual special linear group.

Quantum groups and, more generally, quantum deformations of objects in commutative algebra, give mathematicians a way to carefully perturb objects to open up areas of research in noncommutative algebra to the the same (or similar) techniques used by commutative algebraists and algebraic geometers.<sup>1</sup>

<sup>1</sup>See, for instance Taft and Towber’s *Quantum deformation of flag schemes and Grassmann schemes. I. A  $q$ -deformation of the shape-algebra for  $GL(n)$*  or the second half of my notes on the Grassmannian at <https://github.com/NicoCourts/Grassmannian-Notes/> where I summarize this paper.

The  $q$ -Schur algebras were developed by Dipper and James and eventually summarized very nicely in [Don98] in a manner that reflects the character of [Gre07] and re-derives the classical results as a degenerate case of a more complex and interesting interplay between quantum  $GL_n$  and Iwahori-Hecke algebras.

These algebras (and even further generalizations) are still an area of active research. The question of identifying representation types of  $q$ -Schur algebras has been completed already by Erdmann and Nakano in [EN01], but the other questions persist. In particular, one can ask questions like:

- What are explicit indecomposable representations and (in the finite and tame cases) how can we classify the families of indecomposable representations of these algebras?
- How can we generalize the idea of Schur duality to even broader families of noncommutative quasihereditary algebras?

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