# Distributed Coordination Service Apache ZooKeeper

Prof. Dr. Wolfgang Blochinger

#### Zookeeper

- Elasticity of applications is a main concept of cloud computing.
  - Depending on the actual workload more or less resources are employed (usually in a scale out fashion).
- Scale out paradigm leads to (highly) distributed systems!
- Zookeeper was designed to facilitate building large scale and highly dynamic distributed systems.
  - Initially developed at Yahoo!
  - Now Apache top level project
- Some examples of systems that employ Zookeeper:
  - Facebook Messages, Apache Solr, Apache HBase,
     Apache Kafka, HDFS, ...

#### **Distributed Systems (1)**

- Distributed system: A system comprised of software components (processes) running independently and concurrently across multiple physical machines that are connected by a network.
  - "Shared nothing architecture"
- Processes in a distributed system can communicate by
  - direct message exchange over the network or
  - read and write operations to a shared storage location.
- ZooKeeper employs the shared storage model.
  - Note: Implementing shared storage requires message exchange between the processes and the storage.

# **Distributed Systems (2)**

- The "view" of a process on the whole system (i.e. the state of the other processes) solely results from incoming messages.
- In a distributed system messages can get arbitrarily delayed.
  - Typical reasons: Network failure or congestion (limited processing capacities at sender, intermediate router, or receiver).
- Message delays can lead to difficult issues, for example:

#### – Event Ordering:

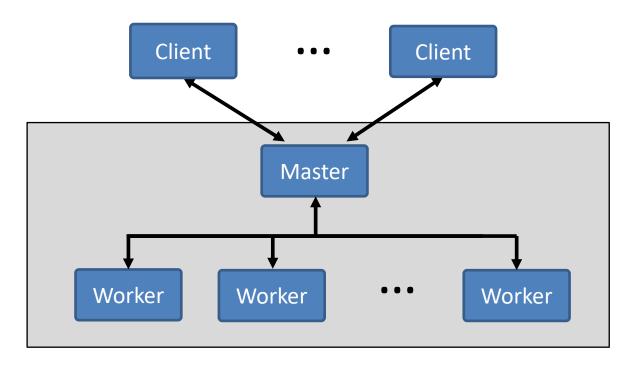
Process *P* may send a message before another process *Q* sends a message, but *Q*'s message might be received first.

#### – Failure Detection:

It is impossible for a process P to reliably determine if an other process Q has crashed (based on message exchange with process Q).

#### **Example: Master-Worker Architecture**

- Clients generate tasks which are executed by the workers.
  - Number of workers is adjusted according to current workload.
- Master process is responsible for assigning tasks to workers and thus must keep track of the workers and tasks available.



# **Coordination Requirements of a Master-Worker Architecture**

- Master election: When a master crashes a new one must be elected among the workers.
  - New master must be able to recover the state of the old one.
  - Two active masters (split-brain scenario) must be avoided.
- Worker presence detection: The master must be able to detect when workers crash or disconnect.
  - Exactly-Once Semantics: Ensure that a task is executed exactly once.
  - At-Most-Once Semantics: Ensure that a task is not executed more than once.
- **Group membership management:** The master must be able to figure out which workers are available to execute tasks.
- Metadata management: The master and the workers must be able to store assignments and execution statuses in a reliable manner.

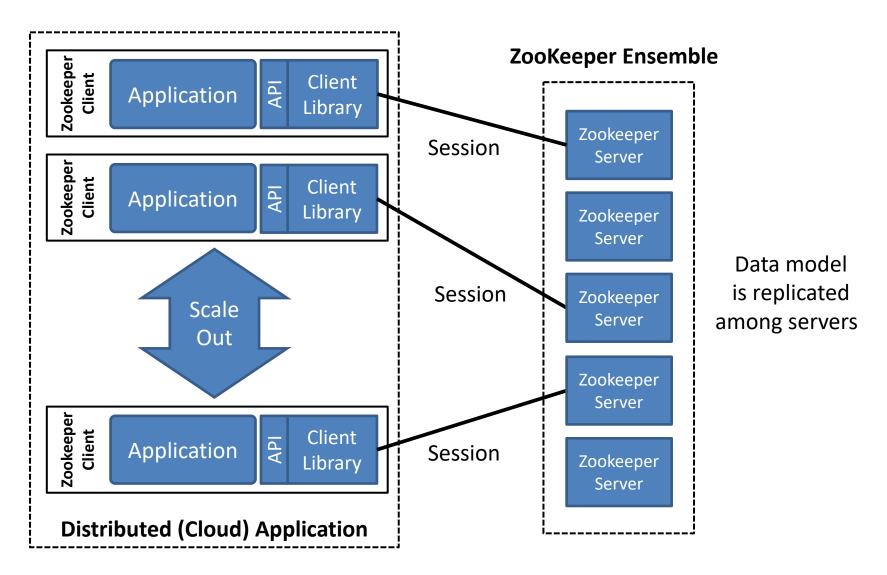
# Main characteristics of Zookeeper (1)

- Zookeeper does not expose specific coordination primitives, instead it provides an API based on a generic, tree based data model.
  - Applications can implement their own coordination primitives in a simple way.
  - Alternative approach: Build for each coordination primitive a specific service, e.g.
    - Chubby (Google): Lock service
    - Centrifuge (Microsoft): Lease service
- A large number of distributed coordination primitives/protocols have been implemented (cf. "Zookeeper recipes"):
  - distributed queues, distributed locks, leader election, ...

# Main characteristics of Zookeeper (2)

- Zookeeper enables separation of application data and control/coordination data.
- Zookeeper based applications consist of a set of clients that connect to Zookeeper servers and invoke operations on them through the Zookeeper client API.
  - Zookeeper servers store the data model which can be manipulated by client requests.
- Zookeeper is designed to be highly reliable, thus avoiding to introduce a single point of failure.
  - The data model is logically centralized but physically replicated among a set of Zookeeper servers.

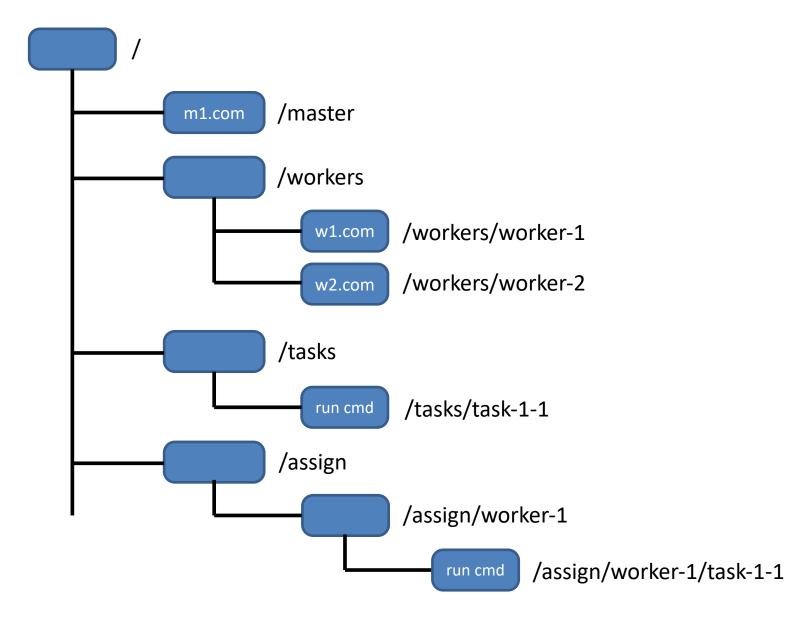
#### **ZooKeeper Architecture**



#### **Zookeeper Data Model**

- Zookeeper data model represents a tree of znodes.
  - A znode can have zero or more child znodes.
  - All znodes (inner znodes as well as leaf znodes ) can (but need not) store data.
  - Data is stored as a byte array (format is application specific)
    - Limit on data size per znode: 1 MByte
  - Data access is protected by an ACL (access control list).
- Znodes are always referenced by absolute paths leading to a hierarchical namespace, e.g.
   /assign/worker-1/task-1-1

# **Zookeeper Data Model (Example)**



#### **Basic Zookeeper Operations (1)**

- create (znode, data, flags)
   Creates a znode containing the given data
- delete (znode, version)
   Deletes a znode
- exists (znode, watch)
   Checks whether a znode exists
- setData (znode, data, version)
   Stores data at a znode
- getData (znode, watch)
   Returns the data stored at a znode
- getChildren (znode, watch)
   Returns a list of the children of a znode

(Detailed discussion on following slides)

# **Basic Zookeeper Operations (2)**

Data access is atomic:

A client always receives the whole data (*getData*) or replaces the whole data (*setData*) stored at a znode. Otherwise the operations fail.

No append, insert or partial read operations.

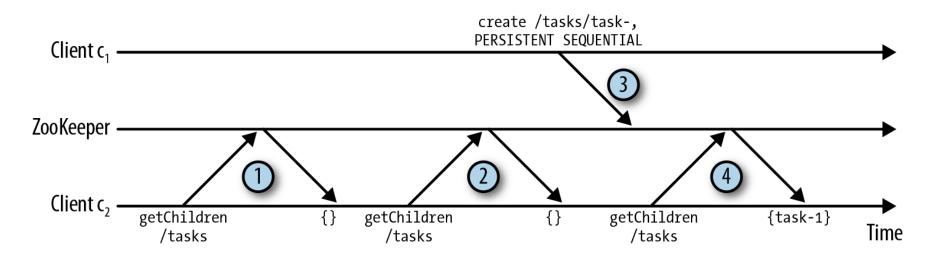
# **Modes of Znodes (1)**

- A znode can be persistent or ephemeral
  - A persistent znode must be explicitly deleted by an arbitrary client (using the delete operation).
    - Master-Worker example: Assignments of tasks to workers must be available even when the master crashes, thus the mode of the "assign znodes" is persistent.
  - An ephemeral znode is automatically deleted when the creating client's session ends (close or expire/crash).
    - Ephemeral znodes may not have child nodes.
    - Ephemeral znodes can also be explicitly deleted
    - Master-Worker example: The mode of "worker znodes" is ephemeral indicating the presence of a specific worker.

# **Modes of Znodes (2)**

- A sequential znode is automatically given a unique, monotonically increasing sequence number.
  - Sequential znodes allow to create znodes with unique names and also to see the creation order of znodes.
  - Master-Worker example:
    - One creates a sequential znode with the path /assign/worker-1/task-
    - ZooKeeper automatically assigns a sequence number n
    - and the final path of the znode becomes /assign/worker-1/task-<n>.
- The mode of a znode is fixed at creation time using the following flags (cf. create operation)
  - PERSISTENT
  - EPHEMERAL
  - PERSISTENT\_SEQUENTIAL
  - EPHEMERAL\_SEQUENTIAL

#### **Polling for State Changes**

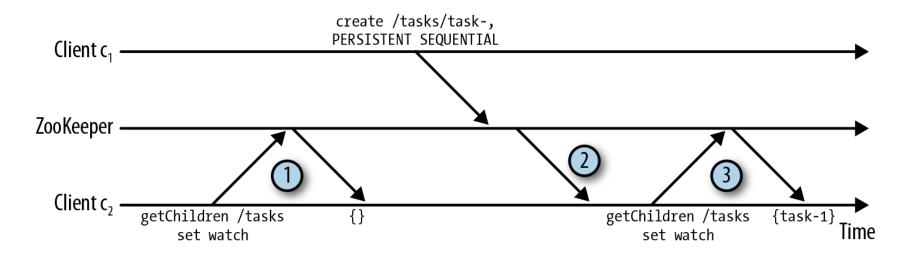


- Client  $c_2$  reads the list of tasks, initially empty.
- Client  $c_2$  reads znode again to determine whether there are new tasks.
- Client c₁ creates a new task.
- (4) Client  $c_2$  reads again and observes the change.

# Watches and Notifications (1)

- Clients can register with ZooKeeper to receive notifications of changes to a znode by setting a watch.
  - Avoids polling for state changes by clients, reducing server load.
  - Watches can be set for changes to the data of a znode, changes to the children of a znode, or a znode being created or deleted.
- Watches are set by operations that read the state of a znode: getData, getChildren, exists
  - Reading state and setting the watch is atomic, thus clients cannot miss state changes.
- A notification is triggered when an operation takes place that changes the state of a watched znode: setData, create, delete

# Watches and Notifications (2)



- Client  $c_2$  reads the list of tasks, initially empty. It sets a watch for changes.
- When there is a change, the client is notified.
- Client  $c_2$  reads the children of /tasks and observes the new task.

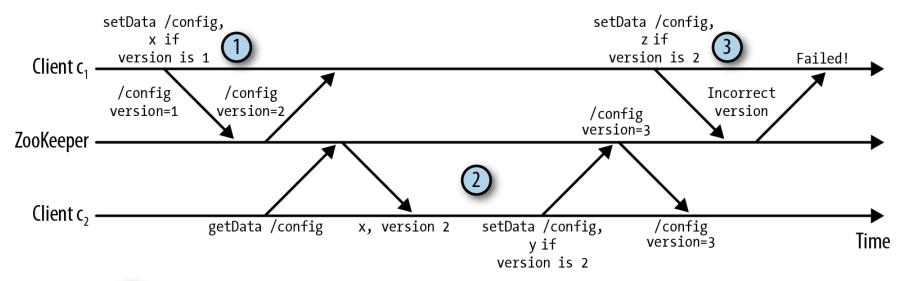
#### **Order of Notifications**

- A watch is a one-shot operation: it triggers exactly one notification event at the client
  - To further receive notifications, the client must set a new watch upon receiving each notification.
- Notifications preserve the order of updates the client observes.
  - Although changes to the state of ZooKeeper may end up propagating more slowly to any given client, it is guaranteed that clients observe changes to the state according to a global order (see below).

# **Versions of Znodes (1)**

- Each znode has a version number associated with it which is incremented every time its data changes.
- setData and delete operations must provide a version number as an input parameter and succeed only if the provided version matches the current version on the server.
  - Set version = -1 to omit the conditional check.
- Employing version numbers can prevent inconsistent data updates, see next slide.

# **Versions of Znodes (2)**



- 1 Client c<sub>1</sub> writes the first version of /config.
- Client  $c_2$  reads /config and writes the second version.
- Client  $c_1$  tries to write a change to /config, but the request fails because the version does not match.

#### **Guarantees**

- Sequential consistency: Updates from a particular client are applied in the order that they are sent.
  - If a client updates the value of znode /z to  $v_1$  and later to  $v_2$  no other client will see /z with a value  $v_1$  after it has seen it with value  $v_2$  (provided no other updates to z take place)
  - Variation of eventual consistency
- Atomicity: Updates either succeed or fail
  - If an update fails, no client will ever see it.
- Durability: Once an update succeeds it will persist
  - Updates will survive server failures.

#### **Example: Group Membership**

- A group keeps track of the presence of a set of clients.
  - Assume every client has a unique id <client-id>

```
createGroup() {
    create("/group-name", "", PERSISTENT)
}

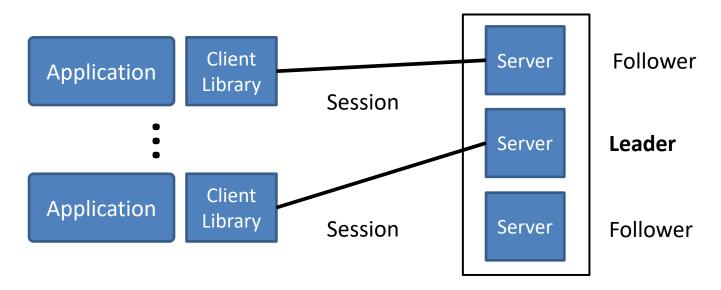
joinGroup() {
    create("/group-name/"+client-id, "address", EPHEMERAL)
}

getGroupMembers() {
    getChildren("/group-name/", false)
}
```

#### **Example: Distributed Lock**

```
aquireLock(lock-name) {
    while (true) {
       create(lock-name, "", EPHEMERAL)
       if create is successfull
           return
       else {
           getData(lock-name, TRUE)
          wait for watch
releaseLock (lock-name) {
    delete (lock-name)
```

# **ZooKeeper Architecture (Quorum Mode)**



- Read operations are processed locally by the server to which the client is connected.
- All write operations are forwarded to the leader which performs a totally ordered broadcast operation to replicate the data within the ensemble.
  - ZAB (Zookeeper Atomic Broadcast)

#### **Quorum based Write-Operations**

- ZooKeeper replicates data across all servers in the ensemble.
  - Problem: If a client had to wait for every server to store its data before continuing, the delays might be unacceptable.
- ZooKeeper employs a majority quorum for write operations:
  - When the majority of servers has finished storing a client's data the client is informed that the operation succeeded.
    - Other servers will eventually catch up and finish storing the data.
  - With 2f + 1 servers f failures of servers can be tolerated.
    - For an ensemble of 5 servers the quorum is 3. Thus, a loss of 2 servers can be tolerated.

# **Leader Operation (Simplified)**

#### Phase 1: Leader election

- The servers in an ensemble select a leader employing a round based protocol.
  - Protocol guarantees that no follower has data with a higher transaction ID than the leader.
- Phase ends when a majority of followers have synchronized their state with the leader.

#### Phase 2: Zookeeper Atomic Broadcast (ZAB)

- All write requests are forwarded to the leader, which broadcasts the update to the followers.
- When a majority of followers has persisted the data the leader commits the update and informs the client.