

# Submodular Optimization for Control of Prosumer Networks

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**Abstract**—In this paper, we study the case of a network composed of entities called prosumers. These agents have the ability to both consume and produce according to external conditions. We first design a model and simplify the underlying dynamic of such network using a second order coupled oscillators network model. Under some conditions, the system synchronizes to a common frequency. However, in case of perturbations in the power distribution, the system might lose synchrony and require control to bring it back to the stable state. In this situation, control can be seen as energy absorbed or injected in the system at specific locations. Moreover, the power outputs of the prosumers are susceptible to change such that loads and generators are not fixed. In this context, it is important to select the right subset of nodes that yields, on average, the cheapest control in terms of energy. We propose and validate an algorithm based on a submodular optimization for choosing the driver nodes.

## I. INTRODUCTION

Modernizing the power grid and increasing the share of renewables in the production are substantial objectives of the energetic transition. Because of progresses in communication, data management, and storage, the upcoming emergence of a power grid "2.0", often called smart grid, appears as a cross-disciplinary challenge of the 21st century [1].

The today centralized top-down architecture is set to evolve to more distributed and bi-directional systems. Furthermore, the emergence of renewable and stochastic distributed energy resources (DER) in the distribution networks will require more flexibility. Within these systems, it is assumed that multiple aggregation levels will be required in order to organize and optimize communication. Agents responsible for generation and load portfolio management, i.e prosumers [2], will provide services (generation, load shedding, frequency regulation) against remuneration. Insure grid stability within this uncertain context appears as a complex task.

Several studies highlighted the need for increasing the storage capacity of the system. Some of them even consider electric vehicles as moving capacities that could be used for frequency regulation. In the case of fixed storage devices, the locations where they should be installed is an important question since it impacts the performances of the system. In a very static and top-down architecture, where loads and generators are fixed, this question is far from trivial. But in a smart grid scenario with bi-directional flows and agents that produce and consume depending on weather conditions, this becomes even more challenging.

In this paper, we explore the use of storage in a network of prosumers whose power outputs are susceptible to change due to various external causes. In such a scenario with a high penetration of renewables, perturbations in the power distributions of the prosumers are very likely. If the balance between production and consumption is lost the system might desynchronize from the main frequency  $\Omega$ . If nothing is done to restaure synchrony, this could damage severely the generators and machines of the system. Since re-balancing the production and the consumption is not immediate, frequency regulation operators are paid to maintain synchrony in the meantime. Because charging and discharging storages is expensive (life cycles for instance), the less energy these operators use to control the frequency, the higher the profits. Furthermore, if we assume that we have a constrained budget, we are probably unable to place storage at every node in the network. That is, we must select a priori a subset of locations and stick with it afterwards whatever the perturbations. We might expect that all sets of locations are not equal in term of the controllability that they provide. The question that we try to answer in this paper is to find where to place the storages such that the average control energy required to maintain synchrony is minimized.

We propose to answer this question by considering the action of the storages as external controllable signals on the grid dynamics. We use a coupled oscillator network as a model for simplifying the power grid dynamic. Each node, representing a prosumer, is modeled as an oscillator with a phase angle and a natural frequency that depends on its production/consumption. The oscillators are interconnected such that their frequencies are impacted by the ones of their neighbors. As we will see, the phase angles dynamics can be written as a system of coupled second order differential equations [3]. Under some conditions, the system synchronizes and all nodes oscillates at  $\Omega$ . However, if a perturbation occurs, the system might desynchronize from  $\Omega$  and requires control to bring it back to the stable synchronized state. Since the electrical lines and storages have finite capacities, we have further constraints on the control signals. Obviously, we do not wish to overload lines while trying to restaure synchrony. Likewise, no battery can inject power when empty, or charge/discharge quicker than some maximum rate. We propose in this paper to incorporate these constraints within the model such that the control signals remain realistic.

This paper is organized as follows, section II presents some basic notions about control in networks, section III introduces the oscillator model designed for simplifying the grid's dynamics. In section IV we propose how we select the subset of controlled nodes such that the average control energy is optimized. In section V we develop the constraints relative to the system and the batteries. Finally, in section VI, we show some results and validation.

## II. CONTROL IN NETWORKS

In this section, we introduce some key notions related to the controllability of complex networks. We consider a graph  $G = (V, E)$  of  $N$  nodes, where  $V$  is the vertex set and  $E$  is the edge set. The topology of this network can be encoded through the adjacency matrix  $M$ , which elements  $m_{ij} \in \{0, 1\}$  indicate whether  $i$  and  $j$  are connected. If the edges are weighted we can set  $m_{ij} = w_{ij}$  where  $w_{ij}$  is the weight of edge  $(i, j)$ , and zero if there is no edge. Graphs are often used to model complex interactions inside a population. Lets attribute to each node  $i$  the variable  $x_i$  that represents the state of node  $i$ . Depending on the model, this state can represent an opinion, a physical quantity, a probability and so on. If there is an edge between  $i$  and  $j$ , we might expect some interaction that would eventually change the values of  $x_i$  and  $x_j$ . Such a dynamic is usually described with a system of differential equations. If we assume a linear first order dynamic, this can be written as  $\dot{X}(t) = AX(t)$ , where  $X(t) = \{x_0(t), \dots, x_{N-1}(t)\}$  is the vector of node states at time  $t$ , and matrix  $A$  encodes both the dynamics and the topology of the system ( $A$  can be, among other, the weighted adjacency matrix of the underlying graph).

If there is no action from the outside, the system state will evolve according to  $X(t) = X_0 e^{At}$  where  $X_0$  is the initial state of the system. Imagine now, that this dynamic could be somehow influenced by injecting some signals. More precisely, lets assume that we can inject these signals in a subset of the nodes such that the dynamics becomes  $\dot{X}(t) = AX(t) + Bu(t)$ , where the matrix  $B$  indicates which nodes receive the signals and  $u(t)$  is the vector of inputs at time  $t$ . Assume that the system is in some initial state  $X_0$  and we aim at bringing it to some final state  $X_T$  in some amount of time  $T$ . Control can be seen as finding the sequence of inputs  $\{u(t_0), \dots, u(t_T)\}$  that would do it given the dynamics  $A$ . Furthermore, we say that the system is controllable in  $T$  steps if it can be steered from any initial state  $X_0$  to any final state  $X_T$  through a sequence of control inputs.

It was shown by Kalman in 1963 that a linear system  $(A, B)$  is controllable if the  $N \times NM$  controllability matrix  $C = [B, AB, A^2B, \dots, A^{N-1}B]$  has full rank, that is,  $\text{rank}(C) = N$ . Nevertheless, when studying the controllability of non trivial networks, using the Kalman's criterion is not a simple task since matrix  $C$  becomes huge. Furthermore, because  $A^k \rightarrow 0$  when  $k \rightarrow \infty$ , numeric precision becomes quickly an issue when computing the rank of  $C$ . A different approach to study controllability in complex network was introduced later on by Lin [4]. This relates the search of the minimum driver set to a maximum matching in a bipartite

graph, which can be done with the Hopcroft-Karp algorithm in  $O(|E|\sqrt{|V|})$  in the worst case. This requires that the system  $(A, B)$  is a structured system, meaning that all elements in  $A$  and  $B$  are either fixed zeros or independant free parameters. In such a situation, structural control is extremely powerfull since it enables us to discuss a network's controllability relatively quickly even if we do not know the exact weights of the edges. Note however that the independance of the parameters is a key assumption. If  $A$  is the adjacency matrix of an undirected network for instance, independance is not verified (since  $A$  is symetric). In this case, one should use different tools to study controllability [5].

On the other hand, if the system is controllable, there might be more than one sequence of control inputs  $u(t)$  that could drive it from  $X_0$  to  $X_T$ . Among all these possibilities, optimal control is devoted to find the sequence that minimizes some cost function that can depend on the sates of the system  $X(t)$ , the control inputs  $u(t)$ , and the final state  $X_T$ . In the following, these control inputs will be used to model the actions of storage devices in a power grid. We are therefore particularly interested in the sequence that requires the minimum amount of control energy [6]. Let  $\mathcal{E} = \int_0^T \|u(t)\|^2 dt$  be the energy used for the control of the system. It can be shown [7] that the control inputs that minimize  $\mathcal{E}$  can be written as :

$$u^*(t) = B^T e^{A^T(T-t)} W^{-1}(T) v_f \quad (1)$$

where  $v_f = X_T - X_0 e^{AT}$  is the difference between the desired final state  $X_T$  and the final free state  $X_0 e^{AT}$ , and  $W(t) = \int_0^t e^{A\tau} B B^T e^{A^T \tau} d\tau$  is called the Gramian matrix of the system. It can also be shown that the system is controllable if and only if the Gramian is not singular, and that its rank indicates the dimension of the controllable subspace. Besides, the minimum control energy associated with the inputs  $u^*(t)$  can be written as :

$$\mathcal{E}_{min} = v_f^T W^{-1}(T) v_f \quad (2)$$

In cases where  $W$  is not invertible, the pseudo-inverse  $W^\dagger$  can be used to obtain similar information in the controllable subspace.

Until now, we stayed very general on the state variables and the dynamics of the system. In the following section, we use a coupled oscillators model as to encode the grid dynamics in some equation of the form  $\dot{X} = AX$ .

## III. MODEL DESIGN FOR POWER GRID DYNAMICS

In this section, we introduce the coupled oscillators network model used to simplify the power grid dynamic, more details can be found in [3].

The objective is to achieve synchronization of the grid at the main frequency  $\Omega = 50Hz$ . Each oscillator  $i$  has a phase angle  $\delta_i$  and a frequency  $\dot{\delta}_i$ . Therefore, we seek an equilibrium of the form :  $\forall i, \delta_i = \Omega$ . For convenience, we express the dynamic of the oscillators in terms of the deviations from the main frequency :  $\delta_i(t) = \Omega t + \theta_i(t)$ . Let  $\omega_i = \dot{\theta}_i$ , such that  $\dot{\delta}_i =$

$\Omega + \omega_i$ . The equilibrium, in terms of the deviations dynamics, is :  $\forall i, \omega_i = 0$

The next step consists in translating the dynamics of the generators and machines into equations involving the phase angles  $\theta_i$  and the frequencies  $\omega_i$ . Generators and machines are composed of a turbine that dissipates energy at a rate proportional to the square of the angular velocity :

$$P_{diss,i}(t) = K_{Di}(\dot{\delta}_i(t))^2 \quad (3)$$

where  $K_{Di}$  is the dissipation constant of entity i.

Furthermore, it also accumulates kinetic energy at a rate :

$$P_{acc,i}(t) = \frac{1}{2}I_i \frac{d}{dt} (\dot{\delta}_i(t)^2) \quad (4)$$

where  $I_i$  is the moment of inertia of entity i. For simplicity, we consider that all entities have the same dissipation constants ( $K_D$ ) and moment of inertia ( $I$ ).

The condition for the power transmission between i and j is that the two devices do not operate in phase. The phase difference between i and j is :  $\delta_j(t) - \delta_i(t) = \Omega t + \theta_j(t) - \Omega t - \theta_i(t) = \theta_j(t) - \theta_i(t)$ . The transmitted power along the line can be written as :

$$P_{transmitted} = -P_{ij}^{MAX} \sin(\theta_j - \theta_i) \quad (5)$$

with  $P_{ij}^{MAX}$  being the maximum capacity of the line (i, j). Each entity i is then described by a power balance equation of the type :

$$P_{S,i} = P_{diss,i} + P_{acc,i} + P_{transmitted,i} \quad (6)$$

By substituting equations 3, 4, and 5 into equation 6 and re-arranging the terms, we obtain the following non-linear coupled system of equations :

$$P_{S,i} = I\Omega\ddot{\theta}_i + \left[ I\ddot{\theta}_i + 2K_D\Omega \right] \dot{\theta}_i + K_D\Omega^2 + K_D\dot{\theta}_i^2 - \sum_{j \in \mathcal{N}_i} P_{ij}^{MAX} \sin[\theta_j - \theta_i] \quad (7)$$

We now use simplifications based on the fact that we consider small deviations from the main frequency :  $\dot{\delta}_i \sim \Omega$  which means that  $\omega_i = \dot{\theta}_i \ll \Omega$ , such that the squared term  $K_D\dot{\theta}_i^2$  can be neglected. Moreover, we assume that the rate at which energy is stored in the kinetic term is much less of the rate at which energy is dissipated by friction :  $\dot{\theta}_i \ll \frac{2K_D}{I}$  (see [3] for more details). Equation 7 becomes :

$$\ddot{\theta}_i \sim \psi_i - \alpha\dot{\theta}_i - \sum_{j \neq i} K_{ij} \sin[\theta_j - \theta_i] \quad (8)$$

Where  $\alpha = \frac{2K_D}{I}$  is the dissipation term,  $K_{ij} = \frac{P_{ij}^{MAX}}{I\Omega}$  are the coupling strengths,  $\psi_i = \left[ \frac{P_{S,i}}{I\Omega} - \frac{K_D\Omega}{I} \right]$ . By working in a rotating frame, we can simplify  $\psi_i = \frac{P_{S,i}}{I\Omega}$ .

The dynamic is still non linear because of the sine coupling. Therefore, we also assume that the phase angle differences are small such that  $\sin[\theta_j - \theta_i] \sim \theta_j - \theta_i$ . By using vector notations, the dynamic can be written in the following form :

$$\ddot{\theta} = \Psi - \alpha\dot{\theta} - (K \circ L)\theta \quad (9)$$

Where  $A \circ B$  represents the Hadamard product between matrices A and B, and L is the Laplacian matrix of the underlying topology. Equation 9 is a continuous time second order linear system of  $N$  equations.

We transform this into a discrete-time (time step is  $\Delta t$ ) first order linear system of  $2N + 1$  equations by introducing the

following vector :  $X = \begin{pmatrix} \theta \\ \dot{\theta} \\ 1 \end{pmatrix}$  :

$$X(t + \Delta t) = AX(t) \quad (10)$$

With transition matrix A :

$$A = \begin{pmatrix} I & I\Delta t & 0 \\ -(K \circ L)\Delta t & (1 - \alpha\Delta t)I & \Psi\Delta t \\ 0 & 0 & 1 \end{pmatrix} \quad (11)$$

Note that the transition matrix A encodes all the system parameters, topology, and power distribution.

We now arrive at the main question of the present paper, given the dynamic of equation 10, how can we select the driver nodes such that we use low control energy on average ?

#### IV. FINDING THE DRIVER NODES

In this section, we explain the method that we used in order to find the driver nodes for the grid's dynamic (eq. 10). We first need to introduce submodular set functions and explain how their maximization can be achieved in reasonable time. More information about submodularity can be found in [8].

##### A. Submodularity

A set function  $F : 2^V \rightarrow \mathbb{R}$  defined over a finite set  $V$  is said to be submodular if for all sets  $X, Y \in V$ , such that  $X \subseteq Y$  and for all element  $x \in V \setminus Y$ , we have :

$$F(X \cup \{x\}) - F(X) \geq F(Y \cup \{x\}) - F(Y) \quad (12)$$

This basically means that submodular functions exhibit a diminishing return property which makes them particularly interesting for optimization. A very intuitive example is the optimum placement of sensors in an area (see figure ??). Sensors can be placed on a grid of locations and function F computes the surface of the space that is being sensed. Note first that adding a new sensor i to the current set S cannot decrease the value of F :  $F(S + \{i\}) \geq F(S)$ . Furthermore, if we add sensor i to a small set  $S_1$  we tend to get larger improvements than if we add i to a larger set  $S_2 \supset S_1$ .

Finding the set  $S_k$  of size k that maximizes a set function F is a difficult problem because the number of sets grows exponentially with the number of nodes. Therefore complete enumeration and evaluation is only a feasible solution on very small examples. Nevertheless, if the set function is submodular, a simple greedy heuristic returns a solution  $S_k^*$  such that, in the worst case,  $\frac{F(S_k^*)}{F(S_k^{OPT})} \sim 63\%$  (where  $S_k^{OPT}$  is

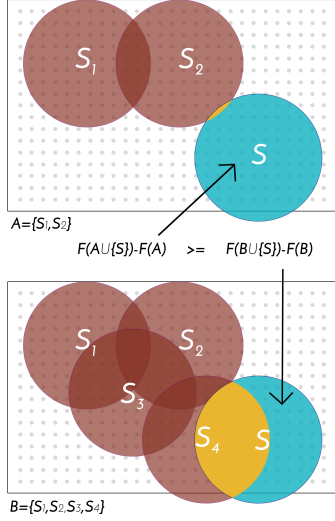


Figure 1: Submodularity example with sensor placements.

the optimum set of size  $k$ ) [8]. This heuristic starts with a set  $S$  (possibly empty) and iteratively adds the element  $i$  that exhibits the highest marginal gain :  $F(S \cup \{i\}) \geq F(S \cup \{j\}) \forall j$ .

For a ground set of  $N$  elements, this heuristic computes  $F$   $\frac{k(2N-k+1)}{2}$  times. Since the evaluation of  $F$  can be costly, a well-known lazy-greedy variation has been proposed by [9]. This smart implementation uses the submodular structure of the marginal gains in order to reduce the number of calls to  $F$ . This requires to maintain a sorted table of marginal gains for all elements. When looking for new element  $i$  to add to set  $S$ , the top one is selected and the new marginal gain  $F(S \cup \{i\}) - F(S)$  is computed. If this gain is larger than the gain of the second element in the table, then  $i$  is added to  $S$ . Otherwise  $i$  is inserted back in the table with its updated gain and the same treatment is applied to the element that is now on the top of the table. Because of the submodularity of  $F$ , this method performs as well as the original one, but can result in speedups of several order of magnitudes.

### B. Gramian based optimization

Recall that  $\mathcal{E}_{min}$  (see eq. 2) depends on the initial and final states as well as on the inverse of the gramian matrix  $W$ , which only depends on  $A$  and  $B$ .  $W$  can thus be used to obtain information about average controllability in the state space. In the prosumer network that we consider, generators and loads ( $\Psi$ ) are susceptible to change, meaning that initial ( $X_0$ ) and final ( $X_f$ ) states might also vary. In such a scenario, we prefer to aim at good performances on average, rather than very good performances in few specific cases and bad performances in all other situations.

Since the control energy is related to  $W^{-1}$ , systems with "large"  $W$  will tend to be controlled with low energy. Still, this notion of "large"  $W$  is not very accurate and we need to quantify it by taking some metric based on  $W$ . We already

mentioned the rank of  $W$  as being the dimension of the controllable subspace, but it is also known that the trace of  $W$  and  $W^{-1}$  give information about average controllability and average control energy [10]. In such a situation, we can build a set function that, given a set of driver nodes  $S$ , returns the value of one of these metrics. Indeed, given  $A$  and  $S$ , we can obtain the system dynamics  $(A, B_S)$  and compute the gramian  $W_S$ . In other word, such a function would quantify the ability of a given set of nodes to control the system on average.

A key point, demonstrated in [10], is that the set function  $F : S \rightarrow Tr[W_S]$  is modular and the two functions  $F : S \rightarrow Tr[W_S^{-1}]$  and  $F : S \rightarrow rank[W_S]$  are submodular. This nice result enables us to look for the driver node set that optimizes some notion of the average controllability using a simple greedy heuristic with a worst case guarantee.

Theoretically, we are now able, for a given prosumer network, to compute  $A$ ,  $B$ , and  $W$ . For some trajectory in the state space, we can use equation 1 to find the optimum control inputs. However, since we are considering a power grid as a network, we would like to verify that these inputs will ensure that the system physical constraints are respected in the process. We propose in the next section to take into account constraints related to line capacities and storage imperfections.

## V. CONSTRAINTS

### A. Flow Constraints

The power that flows on line  $(i, j) \in E$  can be written as  $P_{i \rightarrow j} = -P_{ij}^{MAX} (\theta_j(t) - \theta_i(t))$ . Flow constraints at time  $t$  are straightforward :

$$\forall (i, j) \in E, \quad |\theta_j(t) - \theta_i(t)| \leq 1 \quad (13)$$

If these constraints are verified for all instants  $t$  during the control phase then no line gets overloaded by the action of the control inputs.

[11] showed that a condition for synchronisation in a coupled oscillators network is  $\|L^\dagger \omega\|_{\infty, E} \leq \sin(\gamma)$ , where  $L$  is the Laplacian matrix of the network,  $\omega$  is the vector of the natural frequencies of the oscillators, and  $\|x\|_{\infty, E} = \max_{(i, j) \in E} |x_i - x_j|$ . If this condition is satisfied, the oscillators synchronize at the common frequency  $\omega_{SYNC}$  with the phase lock  $|\theta_i - \theta_j| \leq \gamma \in [0, \frac{\pi}{2}]$ ,  $\forall (i, j) \in E$ . The synchronisation condition becomes in our settings :

$$\|(L \circ K)^\dagger \Psi\|_{\infty} \leq \sin(1) \quad (14)$$

If constraint 14 is satisfied, the oscillators synchronize to a common frequency  $\omega_{SYNC} = \frac{\sum_{k=1}^N \Psi_k}{\sum_{k=1}^N \alpha_k} = \frac{\sum_{k=1}^N P_{S, k}}{I \Omega N \alpha}$ .

Since the dynamics is expressed in term of deviations from  $\Omega$ , synchronization at  $\Omega$  is achieved if  $\omega_{SYNC} = 0$ . Which gives the production consumption balance constraint :

$$\sum_{k=1}^N P_{S, k} = 0 \quad (15)$$

Constraints 14 and 15 ensure that the system is able to synchronize at  $\Omega$  without overloading lines.

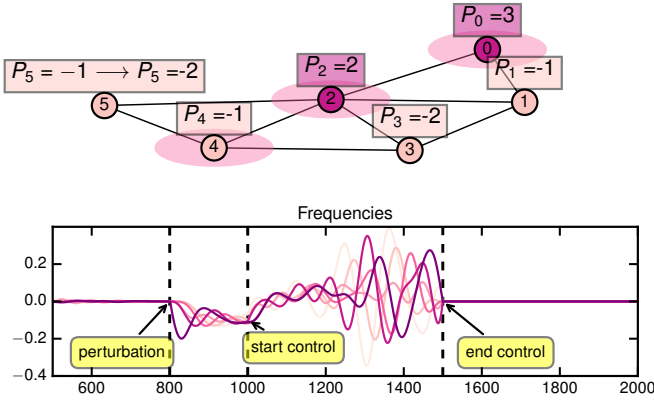


Figure 2: At  $t_k = 800$ ,  $P_5$  goes from  $-1$  to  $-2$  (power imbalance). Consequently, the frequencies  $\theta_i$  deviate from the synchronized state. At  $t_k + d = 1000$ , optimal control inputs (see equation 1) are injected at nodes 0, 2, and 4 (nodes with ellipses) such that the system is brought to the synchronized state at time  $t_k + d + T = 1500$  ( $T$  is the control time).

### B. Battery Constraints

As for power lines, we need to take into account the imperfections of the storage that we consider. In practice, we could use some detailed and complex models, but as to keep things simple, we adopt here a straightforward approach. A storage  $i$  has a maximum charge/discharge rate  $r_i$ , some amount of energy stored  $\Lambda_i(t)$  at time  $t$ , and a maximum capacity  $\Lambda_{i,MAX}$ . We assume that all maximum rates ( $r$ ) and all maximum capacities  $\Lambda_{MAX}$  are the same across the storage equipments. We also denote by  $\Lambda(t)$  the vector of energy level at time  $t$ . Since  $u^*(t)$  specifies the control inputs, the energy level dynamic of the batteries is  $\Lambda(t + \Delta t) = \Lambda(t) - u^*(t)I\Omega$ . Which can also be written as :

$$\Lambda(t) = \Lambda(0) - I\Omega \sum_{k=0}^t u^*(k) \quad (16)$$

Where  $\Lambda(0)$  is the vector of initial levels in the batteries. Obviously,  $\Lambda(t)$  has to stay within possible bounds :  $\forall t, 0 \leq \Lambda(t) \leq \Lambda_{MAX}$ . Which can be written in terms of the control inputs :

$$\forall t, -\frac{\Lambda(0)}{I\Omega} \leq -\sum_{k=0}^t u^*(k) \leq \frac{\Lambda_{MAX} - \Lambda(0)}{I\Omega} \quad (17)$$

During each time slot, the battery cannot charge or discharge at a rate higher than  $r$  :

$$\forall t, |u^*(t)I\Omega| \leq r \quad (18)$$

### C. Optimization with grid constraints

Finding the driver set  $S_k$  of size  $k$  using the gramian  $W_{S_k}$  of the system  $(A, B_{S_k})$  does not require initial and final states. Besides,  $W_{S_k}$  does not depend on the modified power distribution  $\Psi$  of the nodes. We are indeed looking for

$k$  controllers that perform well on average over all possible situations. On the contrary, the constraints derived above (eq. 13, 14, 17 and 18) are bound to a particular trajectory in the state space. We indeed check whether the power grid can sustain the controlled dynamics when transitioning from a given initial state to a target final state. As we do not know what these states could be, we could use multiple scenarios and test whether  $S_k$  can control the system without violation of constraints. In this paper, we use a slightly different approach. We consider that the system is initially at equilibrium (all elements are synchronized at  $\Omega$ ) and that control will be necessary if a perturbation (power imbalance) occurs and takes the system out of equilibrium (see figure 2). Depending on how much time we need to start the control phase, the state of the system (the initial state for control) might be "somewhere around" the synchronized state. In order to test whether a set  $S_k$  can control the system without violation of constraints, we sample initial states within some hypersphere centered on the synchronized state and check all the constraints. If for all trajectories, the constraints are respected, then we consider that  $S_k$  enables the control of the system.

Increasing  $k$  means that we deploy more storage which increases the costs but tends to lower the energy required as we will see in the next section. Using the submodularity of the set functions introduced above, we can build a sequence of growing sets  $S_1 \subset S_2 \subset \dots \subset S_k$  and stop as soon as  $S_k$  enables the control of the system for some  $k$ .

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#### Algorithm 1 Optimization with grid constraints

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 $k = 0$ 
 $S_k = \emptyset$ 
while Not Constrained control do
     $k \leftarrow k + 1$ 
     $S_k = S_{k-1} \cup \text{argmax}_{i \in N \setminus S_{k-1}} F(S_{k-1} \cup \{i\})$ 
end while

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## VI. RESULTS

The purpose of selecting the drivers according to a Gramian related metric is to minimize, on average, the amount of control energy needed. Conversely, if we select the drivers randomly, we expect to need, on average, more energy to control the system. In figure 3, we compare the average control energy  $\log(\mathcal{E})$  in function of  $n_D = N_D/N_{nodes}$  for a set of drivers selected thanks to our algorithm with randomly selected drivers (in both cases overloading and battery limits constraints are satisfied). We draw  $10^4$  scale-free topologies with 50 nodes, and random power distributions and line capacities. For each system we select a random number of drivers  $N_D \sim \mathcal{U}(1, N_{nodes})$  and we find two driver sets of size  $N_D$ . One is chosen randomly and the other is found with algorithm 1. For both sets, if the control is possible, we draw a random initial state  $Y_i$  and a random final state  $Y_f$ , and we compute the control energy required for driving the system from  $Y_i$  to  $Y_f$ . Since the algorithm is based on  $\text{Tr}[W^{-1}]$ , we could plot  $\text{Tr}[W^{-1}]$  in function of  $n_D$  but we prefer to

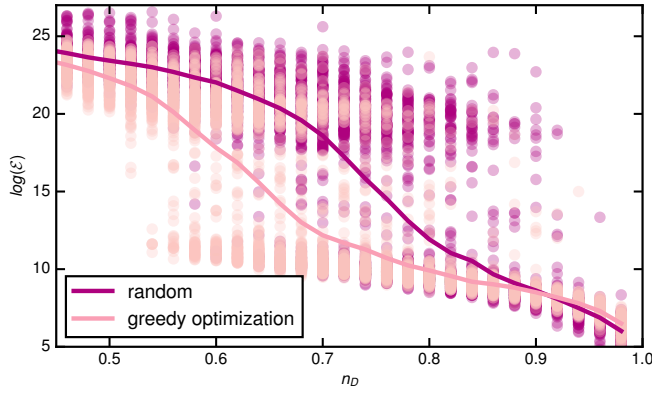


Figure 3:  $\log(\mathcal{E})$  against  $n_D$  for random and optimized driver sets ( $N_{nodes} = 50$ ).

validate our method by computing the actual average energy. As expected, both curves decrease as the number of drivers increases, meaning that, as the number of drivers grows, the average control energy tends to decrease, but we tend to use less energy when the drivers are selected with our algorithm. Note that this difference tends to zero when  $n_D$  tends to one, because almost all nodes are then selected, yielding little flexibility for optimization.

We investigate next how the topology of the grid and the physical constraints affect the minimum size of the driver set. The driver set size  $n_D$  is no longer selected randomly, but minimized. We consider the simple case of an Erdős-Renyi topology with probability of connection  $p$ . We show on Figure 4 how the minimum size of the driver set evolves with  $p$  for different Gramian based metrics and for two levels of constraints :

- Level 1 : full control and grid constraints (see section IV). The system is controllable (i.e it can be moved from any point to any other point) and under the constraints (i.e it can be moved without overloading any line or breaking any battery limits).
- Level 2 : full control only : the system can be moved from any point to any other point of the state space, without considering any overloading or battery limit constraints.

When  $p \sim 0$ , nodes tend to be very poorly connected such that we need to control almost all nodes in the grid. As  $p$  increases, the connectivity of the graph rises and the number of drivers decreases. At some point, the connectivity of the graph starts to harm its controllability, and more drivers are needed (this effect is in accordance with the literature). As expected, the driver sets for the level 1 of constraints are larger than for level 2 (for all metrics) because we impose far more constraints on the control inputs.

## VII. CONCLUSION

In this paper, we considered the case of networks of prosumers which can behave as generators or loads depending on weather conditions. We modeled the grid as a coupled

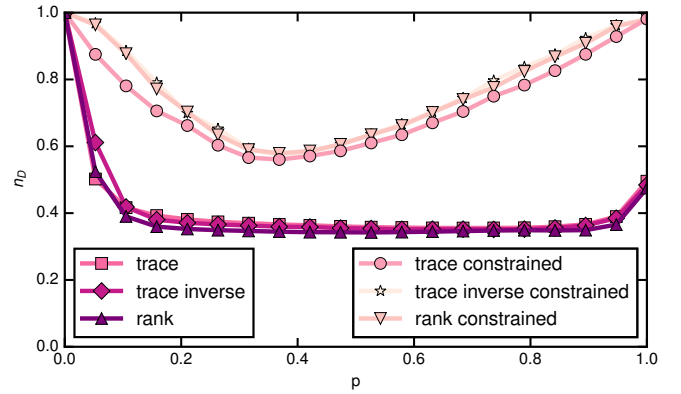


Figure 4:  $n_D$  against link probability  $p$  for erdos-renyi topologies ( $N = 100$ ). Curves are averaged over 100 realizations. The top three curves show the results for the three metrics taken into consideration when all constraints are considered (see Level 1 in the main text). The bottom three curves exhibit the results for the same metrics when only the full controllability constraint is considered (see Level 2).

oscillators network and approximated the dynamics with a system of second order linear differential equations. We seek to control this dynamic when small perturbations occur by placing storage devices at a subset of the nodes. We believe that the energy that should be injected or absorbed from the grid by these controllers should be minimized. Given the diversity of possible situations, we seek the subset of nodes that, on average, necessitates minimum control energy. Based on the gramian matrix of the system and submodular set functions, we show how to find driver sets that use low energy on average and respect the system constraints.

We believe that interesting work could be done by combining this model with real production and consumption data. There are indeed complex spatial and temporal correlations that impacts these distributions. Weather perturbations would therefore affect the nodes not completely at random, and affect how control should be designed.

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