

# SYMMETRIC POWERS OF SYMMETRIC BILINEAR FORMS, HOMOGENEOUS ORTHOGONAL POLYNOMIALS ON THE SPHERE AND AN APPLICATION IN COMPACT HYPERKÄHLER MANIFOLDS

SIMON KAPFER

ABSTRACT. The Beauville–Fujiki relation for a compact Hyperkähler manifold  $X$  of dimension  $2k$  allows to equip the symmetric power  $\mathrm{Sym}^k H^2(X)$  with a symmetric bilinear form induced by the Beauville–Bogomolov form. We study some of its properties and compare it to the form given by the Poincaré pairing.

The construction generalizes to a definition for an induced symmetric bilinear form on the symmetric power of any free module equipped with a symmetric bilinear form. We point out how the situation is related to the theory of orthogonal polynomials in several variables. Finally, we construct a basis of homogeneous polynomials that are orthogonal when integrated over the unit sphere  $\mathbb{S}^d$ , or equivalently, over  $\mathbb{R}^{d+1}$  with a Gaussian kernel.

## 1. INTRODUCTION

Our motivation originated in Hyperkähler theory. The Beauville–Bogomolov–Fujiki form  $q$  for a compact Hyperkähler manifold  $X$  is a quadratic form on the integral cohomology group  $H^2$  which is defined by an equation of the structure

$$(1) \quad q(x)^k = I(x^{2k}),$$

where  $x^{2k}$  means a power in the cohomology ring, and  $I$  is a linear form (in fact, a scaled integral).

Now every quadratic form  $q$  has an associated symmetric bilinear form  $\langle \cdot, \cdot \rangle$ , obtained by polarization:  $2\langle x, y \rangle = q(x+y) - q(x) - q(y)$ . This allows us to retrieve some information about  $I$  from  $\langle \cdot, \cdot \rangle$ , by comparing coefficients in the equality

$$I((x_1 + \dots + x_{2k})^{2k}) = q(x_1 + \dots + x_{2k})^k = \left( \sum_{i=1}^{2k} q(x_i) + \sum_{1 \leq i < j \leq 2k} 2\langle x_i, x_j \rangle \right)^k.$$

If we look at the summands belonging to the monomial  $x_1 \dots x_{2k}$ , we obtain a seemingly more general but in fact equivalent version of (1):

$$(2) \quad (2k)! I(x_1 \dots x_{2k}) = 2^k k! \sum_{\mathcal{P}} \prod_{\{i,j\} \in \mathcal{P}} \langle x_i, x_j \rangle,$$

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where the sum is over all partitions  $\mathcal{P}$  of  $\{1, \dots, 2k\}$  into pairs. This observation was already made by O'Grady, see [9, Eq. 3.2.4]. Let us develop this idea a bit further. The map  $(f, g) \mapsto I(fg)$  clearly defines a symmetric bilinear form on the symmetric product  $\text{Sym}^k H^2$ . Equation (2) gives now a redefinition of this form by means of a bilinear form on  $H^2$ . So we liberate ourselves from the initial setting and take the right hand side of (2) as a general recipe to construct a symmetric bilinear form  $\langle\langle \cdot, \cdot \rangle\rangle$  on  $\text{Sym}^k V$  from a symmetric bilinear form on an appropriate space  $V$ . This is carried out in Section 3. Our main result, Theorem 3.6, gives a formula for the determinant of the Gram matrix of  $\langle\langle \cdot, \cdot \rangle\rangle$ .

For real vector spaces  $V$ , there is a notable description in terms of an analytic integral given in Prop. 3.5: After some simplifications this amounts to integrating homogeneous polynomials over a sphere. Essentially, we have:

$$\langle\langle f, g \rangle\rangle = \int_{\mathbb{S}^d} f(\omega)g(\omega)d\omega$$

This is very comfortable, since it allows to use the whole bunch of techniques from calculus to investigate the algebraic properties of our construction. Since we are interested in the determinant of the Gram matrix of  $\langle\langle \cdot, \cdot \rangle\rangle$  and for computing determinants it is good to have diagonal matrices, we look for polynomials that are mutually orthogonal on the sphere. The theory of orthogonal polynomials is well developed, and a basis of such polynomials is given by spherical harmonics, see Remark 4.3. But as spherical harmonics are not suitable for our determinant problem, we construct a different (and slightly simpler) basis of homogeneous polynomials that are orthogonal on the sphere in Section 4.

After doing that, we come back to our starting point and apply our results to Hyperkähler manifolds. The bilinear form on  $\text{Sym}^k H^2$  allows us to compare  $\text{Sym}^k H^2$  with  $H^{2k}$ . We give some results on torsion factors of the quotient  $\frac{H^{2k}}{\text{Sym}^k H^2}$  in Section 5, similar to those the author studied in [7].

Sections 3, 4 and 5 treat rather different aspects and can be read independently.

## 2. TERMINOLOGY AND HELPER FORMULAS

In this section we give a few standard definitions and recall some facts on elementary calculus. We also mention technical formulas needed for our proofs.

### 2.1. Combinatorial formulas.

**Definition 2.1.** For a multi-index  $\alpha = (\alpha_0, \dots, \alpha_d)$  of length  $\text{len}(\alpha) := d + 1$  we define:  $x^\alpha := x_0^{\alpha_0} \dots x_d^{\alpha_d}$ . The degree is defined by  $|\alpha| := \sum \alpha_i$ , the factorial is  $\alpha! := \prod \alpha_i!$ . Further, we set  $\alpha' := (\alpha_0, \dots, \alpha_{d-1})$ . We introduce the lexicographical ordering on multi-indices:  $\alpha < \beta$  iff  $\alpha_d < \beta_d$  or  $(\alpha_d = \beta_d) \wedge (\alpha' < \beta')$ .

**Definition 2.2.** The binomial coefficient for nonnegative integers  $k$  and arbitrary  $z$  is defined as:  $\binom{z}{k} := \frac{z(z-1)\dots(z-k+1)}{k!}$ . Thus we have  $\binom{-z}{k} = (-1)^k \binom{z+k-1}{k}$ . For negative  $k$  we set  $\binom{z}{k} := 0$ .

We introduce the difference operator  $\Delta f(n) := f(n+1) - f(n)$ . It has the following properties similar to the differential operator:

$$(3) \quad \sum_{i=0}^n \Delta(f) = f \Big|_0^{n+1} = f(n+1) - f(0) \quad (\text{telescoping sum})$$

$$(4) \quad \Delta(fg)(n) = f(n+1)\Delta g(n) + g(n)\Delta f(n) \quad (\text{product rule})$$

$$(5) \quad \sum_{i=0}^n g(i)\Delta f(i) = (fg)\Big|_0^{n+1} - \sum_{i=0}^n f(i+1)\Delta g(i) \quad (\text{summation by parts})$$

This often applies to the binomial coefficient, since we have:

$$(6) \quad \Delta\binom{n}{k} = \binom{n+1}{k} - \binom{n}{k} = \binom{n}{k-1}.$$

Let  $r_{d,k} = \text{rk}(\text{Sym}^k K^{d+1})$  be the rank of the symmetric power. Because of the decomposition  $\text{Sym}^k K^{d+1} \cong \text{Sym}^k K^d \oplus \text{Sym}^{k-1} K^{d+1} \otimes K$ , we have the recurrence  $r_{d,k} = r_{d-1,k} + r_{d,k-1}$ . So we deduce:

$$(7) \quad \binom{k+d}{d} = \binom{k+d}{k} = r_{d,k} = \text{rk}(\text{Sym}^k K^{d+1}) = \text{card}(\{|\alpha| = k\}).$$

The following identity for integers  $d, k, m \geq 0$  is proven by induction over  $k$ :

$$(8) \quad \prod_{j=0}^k (k-j)! \binom{j+d-1}{d-1} = \prod_{i=1}^k i \binom{k-i+d}{d},$$

where the induction step  $k \rightarrow k+1$  produces a factor  $\prod_{i=1}^{k+1} i \binom{k-i+d}{d-1}$  on both sides.

We will also need the identity:

$$(9) \quad \sum_{\substack{i=1 \\ i \text{ even}}}^{2k+d+1} \binom{k-i+d}{d-1} = \begin{cases} 0 & \text{if } d \text{ is even,} \\ \binom{k+d}{d} & \text{if } d \text{ is odd,} \end{cases}$$

which is proven by splitting the sum into:

$$\sum_{\substack{i=1 \\ i \text{ even}}}^{k+1} \binom{k-i+d}{d-1} + \sum_{\substack{i=k+d+1 \\ i \text{ even}}}^{2k+d+1} \binom{k-i+d}{d-1} = \sum_{\substack{i=1 \\ k-i \text{ even}}}^{k+1} \binom{i+d-2}{d-1} + (-1)^{d-1} \sum_{\substack{i=1 \\ k+d+i \text{ even}}}^{k+1} \binom{i+d-2}{d-1}.$$

**Definition 2.3.** We define the double factorial for  $n \geq -1$  by

$$n!! := \prod_{i=0}^{\lfloor \frac{n-1}{2} \rfloor} (n-2i) = n(n-2)(n-4) \dots$$

Clearly,  $(n-1)!!n!! = n!$  and  $(2n)!! = 2^n n!$ .

**Proposition 2.4.** The number of partitions of the set  $\{1, \dots, 2k\}$  into pairs equals  $(2k-1)!! = \frac{(2k)!}{2^k k!}$ .

*Proof.* Given such a partition, look at the pair that contains the element 1. There are  $2k-1$  possible partners for this element; removing the pair leaves a partition of a set of cardinality  $(2k-2)$  into pairs. Then proceed by induction.  $\square$

**2.2. Formulas from Calculus.** Denote  $\Gamma(t) := \int_0^\infty r^{t-1} e^{-r} dr$  the gamma function. It satisfies:

$$(10) \quad n! = \Gamma(n+1), \quad (2n-1)!!\sqrt{\pi} = 2^n \Gamma\left(n + \frac{1}{2}\right),$$

$$(11) \quad n!\sqrt{\pi} = 2^n \Gamma\left(\frac{n}{2} + 1\right) \Gamma\left(\frac{n+1}{2}\right),$$

$$(12) \quad \int_0^\infty r^s e^{-\frac{1}{2}r^2} dr = 2^{\frac{s-1}{2}} \Gamma\left(\frac{s+1}{2}\right).$$

It follows, that:

$$(13) \quad \int_{\mathbb{R}^{d+1}} x^\alpha x^\beta e^{-\frac{1}{2}\|x\|^2} dx = \prod_{i=0}^d \int_{-\infty}^{\infty} x_i^{\alpha_i + \beta_i} e^{-\frac{1}{2}x_i^2} dx_i$$

$$= \begin{cases} (2\pi)^{\frac{d+1}{2}} \prod_{i=0}^d (\alpha_i + \beta_i - 1)!! & \text{if all } \alpha_i + \beta_i \text{ are even,} \\ 0 & \text{otherwise.} \end{cases}$$

The reader may also consult [5] for that kind of calculus. In particular, [5, Eq. (4)] yields:

**Lemma 2.5.** *Let  $f : \mathbb{R}^{d+1} \rightarrow \mathbb{R}$  be a continuous homogeneous function of degree  $k$ , that is  $f(sx) = s^k f(x) \forall s \in \mathbb{R}$ . Then, using polar coordinates  $(r, \omega) = (\|x\|, \frac{x}{\|x\|})$ :*

$$\begin{aligned} \int_{\mathbb{R}^{d+1}} f(x) e^{-\frac{1}{2}\|x\|^2} dx &= \int_{\mathbb{S}^d} \int_0^\infty f(r\omega) r^d e^{-\frac{1}{2}r^2} dr d\omega \\ &= 2^{\frac{k+d-1}{2}} \Gamma\left(\frac{k+d+1}{2}\right) \int_{\mathbb{S}^d} f(\omega) d\omega. \end{aligned}$$

**2.3. Lattices.** By a lattice  $L$  we mean a free  $\mathbb{Z}$ -module of finite rank, equipped with a non-degenerate, integer-valued symmetric bilinear form  $\langle \cdot, \cdot \rangle$ . By a homomorphism or imbedding  $L \subset M$  of lattices we mean a map  $: L \rightarrow M$  that preserves the bilinear forms on  $L$  and  $M$  respectively. It is automatically injective. We always have the imbedding of a lattice  $L$  into its dual space  $L^* := \text{Hom}(L, \mathbb{Z})$ , given by  $x \mapsto \langle x, \cdot \rangle$ . A lattice is called unimodular, if this imbedding is an isomorphism, *i.e.* if it is surjective. By tensoring with  $\mathbb{Q}$ , we can interpret  $L$  as well as  $L^*$  as a discrete subset of the  $\mathbb{Q}$ -vector space  $L \otimes \mathbb{Q}$ . Note that this gives a kind of lattice structure to  $L^*$ , too, but the symmetric bilinear form on  $L^*$  may now take rational coefficients.

The quotient  $L^*/L$  is called the discriminant group. Its order is always finite and is called the discriminant of  $L$ . Choosing a basis  $(x_i)_i$  of  $L$ , we may express  $\text{discr } L$  as the determinant of the so-called Gram matrix  $G$  of  $\langle \cdot, \cdot \rangle$ , which is defined by  $G_{ij} := \langle x_i, x_j \rangle$ .

An imbedding  $L \subset M$  is called primitive, if the quotient  $M/L$  is free. If additionally  $L$  and  $M$  have the same rank, we deduce from the chain of imbeddings  $L \subset M \subset M^* \subset L^*$  the following

**Proposition 2.6.** *Let  $M$  be a unimodular lattice. Let  $L \subset M$  be a primitively imbedded sublattice of the same rank. Then the order of  $M/L$  equals  $\sqrt{\text{discr } L}$ .*

For any imbedding  $L \subset M$  we denote by  $L^\perp$  the orthogonal complement of  $L$  within  $M$ . Since an orthogonal complement is always primitive, the double orthogonal complement  $(L^\perp)^\perp$  is a primitively embedded superlattice of  $L$ . It is clear that the discriminant of  $(L^\perp)^\perp$  divides  $\text{discr } L$ . Now  $L \oplus L^\perp \subset M$ , and therefore we have a chain of imbeddings:

$$L \oplus L^\perp \subset (L^\perp)^\perp \oplus L^\perp \subset M \subset M^* \subset ((L^\perp)^\perp \oplus L^\perp)^* \subset (L \oplus L^\perp)^*.$$

If now again  $M$  is unimodular, then  $M/(L \oplus L^\perp)$  is finite and isomorphic to the torsion part of  $M/L$ . With the above consideration we get

**Proposition 2.7.** *Let  $L \subset M$  be an imbedding of lattices with unimodular  $M$ . Then the order of the torsion part of  $M/L$  divides  $\text{discr } L$ .*

## 3. SYMMETRIC BILINEAR FORMS ON SYMMETRIC POWERS

Let  $V$  be a vector space (or a free module) over a field (resp. a commutative ring)  $K$  of rank  $d + 1$  with basis  $\{x_0, \dots, x_d\}$ , equipped with a symmetric bilinear form  $\langle \cdot, \cdot \rangle : V \times V \rightarrow K$ . We will freely identify the symmetric power  $\text{Sym}^k V$  with the space  $K[x_0, \dots, x_d]_k$  of homogeneous polynomials of degree  $k$ .

There are at least two possibilities to define an induced bilinear form on  $\text{Sym}^k V$ . We will use the following

**Definition 3.1.** On the basis  $\{x_{n_1} \dots x_{n_k} \mid 0 \leq n_1 \leq \dots \leq n_k \leq d\}$  of  $\text{Sym}^k V$ , we define a symmetric bilinear form  $\langle\langle \cdot, \cdot \rangle\rangle$  by:

$$(14) \quad \langle\langle x_{n_1} \dots x_{n_k}, x_{n_{k+1}} \dots x_{n_{2k}} \rangle\rangle := \sum_{\mathcal{P}} \prod_{\{i,j\} \in \mathcal{P}} \langle x_{n_i}, x_{n_j} \rangle,$$

where the sum is over all partitions  $\mathcal{P}$  of  $\{1, \dots, 2k\}$  into pairs.

We emphasize that this is not the only possibility. One could alternatively define

$$(15) \quad ((x_{n_1} \dots x_{n_k}, x_{m_1} \dots x_{m_k})) := \sum_{\sigma} \prod_{i=1}^k \langle x_{n_i}, x_{m_{\sigma(i)}} \rangle,$$

the sum being over all permutations  $\sigma$  of  $\{1, \dots, k\}$ , as studied by McGarraghy in [8]. However, this is a different construction that doesn't match the situation described in the introduction. We will *not* consider it in this article.

If  $U \in O(V)$  is an orthogonal transformation, then the induced diagonal action of  $U^{\otimes k}$  on  $\text{Sym}^k V$  is orthogonal in both cases. This shows that the values of  $\langle\langle \cdot, \cdot \rangle\rangle$  and  $((\cdot, \cdot))$  are independent of the choice of the base of  $V$  up to orthogonal transformation.

*Example 3.2.* To contrast the two definitions, observe that in the case  $k = 2$

$$(16) \quad \langle\langle ab, cd \rangle\rangle = \langle a, c \rangle \langle b, d \rangle + \langle a, d \rangle \langle b, c \rangle + \langle a, b \rangle \langle c, d \rangle,$$

$$(17) \quad ((ab, cd)) = \langle a, c \rangle \langle b, d \rangle + \langle a, d \rangle \langle b, c \rangle.$$

*Remark 3.3.* Note that (15) does not require symmetry of the bilinear form  $\langle \cdot, \cdot \rangle$  on  $V$ . Indeed, the definition would also be valid for an arbitrary bilinear form  $: V \times W \rightarrow K$ , yielding a bilinear form  $: \text{Sym}^k V \times \text{Sym}^k W \rightarrow K$ . On the other hand, if the form on  $V$  is not symmetric, then (14) is not well-defined.

*Remark 3.4.* The defining equation (14) works equally well, if the two arguments have different degree. So we can easily extend our definition to a symmetric bilinear form  $\langle\langle \cdot, \cdot \rangle\rangle : \text{Sym}^* V \times \text{Sym}^* V \rightarrow K$ . Then we have:  $\langle\langle a, bc \rangle\rangle = \langle\langle ab, c \rangle\rangle$ . Note that  $\text{Sym}^k V$  is in general not orthogonal to  $\text{Sym}^l V$  unless  $k - l$  is an odd number.

We wish to investigate some properties of this construction. Let  $G$  be the Gram matrix of  $\langle \cdot, \cdot \rangle$ , i.e.  $G_{ij} = \langle x_i, x_j \rangle$  and let  $\mathbb{G}$  be the Gram matrix of  $\langle\langle \cdot, \cdot \rangle\rangle$ . We use multi-index notation, cf. Definition 2.1.

**Proposition 3.5.** Assume  $K = \mathbb{R}$  and  $G$  is positive definite, so its inverse  $G^{-1}$  exists. Then  $\langle\langle \cdot, \cdot \rangle\rangle$  takes an analytic integral form:

$$\langle\langle x^\alpha, x^\beta \rangle\rangle = \frac{1}{c} \int_{\mathbb{R}^{d+1}} x^\alpha x^\beta d\mu(x),$$

where the integration measure is  $d\mu(x) = \exp\left(-\frac{1}{2} \sum_{i,j} G_{ij}^{-1} x_i x_j\right) dx$  and the normalization constant is  $c = \int_{\mathbb{R}^{d+1}} d\mu(x) = \sqrt{(2\pi)^{d+1} \det G}$ .

*Proof.* Note that we need positive definiteness of  $G$  to make the integral converge. We make use of the content in Section 2. First, observe that both sides of the equation are invariant under orthogonal transformations of the base space  $\mathbb{R}^{d+1}$ . We may therefore assume that  $G = \text{diag}(a_0, \dots, a_d)$  is a diagonal matrix. Then the integral splits nicely:

$$\begin{aligned} \frac{1}{c} \int_{\mathbb{R}^{d+1}} x^\alpha x^\beta d\mu(x) &= \frac{1}{c} \prod_{i=0}^d \int_{-\infty}^{\infty} x_i^{\alpha_i + \beta_i} e^{-\frac{1}{2a_i} x_i^2} dx_i \\ &= \frac{1}{c} \prod_{i=0}^d a_i^{\frac{\alpha_i + \beta_i + 1}{2}} \int_{-\infty}^{\infty} x^{\alpha_i + \beta_i} e^{-\frac{1}{2} x^2} dx \\ &\stackrel{(13)}{=} \begin{cases} \prod_{i=0}^d a_i^{\frac{\alpha_i + \beta_i}{2}} (\alpha_i + \beta_i - 1)!! & \text{if all } \alpha_i + \beta_i \text{ are even,} \\ 0 & \text{otherwise.} \end{cases} \end{aligned}$$

On the other hand, if  $G$  is diagonal, then every partition into pairs in Equation (14) that contains a pair of two different numbers will not contribute to the sum. But the number of partitions of the multiset  $\{n \mid 0 \leq n \leq d, \text{ multiplicity of } n = \alpha_n + \beta_n\}$  into pairs, such that every pair consists of two equal numbers, is by Prop. 2.4 evidently equal to  $\prod_{i=0}^d (\alpha_i + \beta_i - 1)!!$  if all  $\alpha_i + \beta_i$  are even and 0 otherwise. So we obtain the same formula for  $\langle\langle x^\alpha, x^\beta \rangle\rangle$ .  $\square$

The next theorem gives a formula for the determinant of  $\mathbb{G}$ . This is of particular interest when  $K = \mathbb{Z}$ , because in this case we are in the setting of lattice theory, and  $\det \mathbb{G}$  is an important lattice-theoretic invariant, called the discriminant.

**Theorem 3.6.** *The determinant of the Gram matrix  $\mathbb{G}$  of  $\langle\langle \cdot, \cdot \rangle\rangle$ , the induced bilinear form on  $\text{Sym}^k V$ ,  $\text{rk } V = d+1$ , is:*

$$(18) \quad \det(\mathbb{G}) = \det(G)^{\binom{d+k}{d+1}} \theta_{d,k}$$

where  $\theta_{d,k}$  is a combinatorial factor given by:

$$(19) \quad \theta_{d,k} = \begin{cases} \prod_{i=1}^k i^{\binom{k-i+d}{d}} \prod_{\substack{i=1 \\ i \text{ odd}}}^{2k+d-1} i^{\binom{k-i+d}{d}} & \text{if } d \text{ is even,} \\ \prod_{i=1}^k i^{\binom{k-i+d}{d}} \prod_{i=1}^{k+\frac{d-1}{2}} i^{\binom{k-i+d}{d} - \binom{k-2i+d}{d}} & \text{if } d \text{ is odd.} \end{cases}$$

*Remark 3.7.* If  $d$  or  $k$  is small, this simplifies as follows:

$$\begin{aligned} \theta_{d,0} &= \theta_{d,1} = 1, & \theta_{d,2} &= 2^d(d+3), \\ \theta_{0,k} &= (2k-1)!!, & \theta_{1,k} &= (k!)^{k+1}. \end{aligned}$$

*Remark 3.8.* We didn't mention the base ring  $K$  in the theorem. In fact, if the formula holds for  $K = \mathbb{Z}$ , then automatically for any  $K$ , because  $\det \mathbb{G}$  is defined only by sums and products that depend on the entries of  $G$ , but not on  $K$ . In

particular, (18) contains no divisions. However, for the proof we work with  $\mathbb{R}$ -valued coefficients. It is clear that the formula holds for  $K = \mathbb{R}$  if and only if it holds for  $K = \mathbb{Z}$ . We require further that  $G$  is positive definite, because we want to use Prop. 3.5. That this means no loss of generality, is seen by the following argument: Let  $Q \subset \mathbb{R}^{(d+1) \times (d+1)}$  be the set of real symmetric square matrices of size  $d+1$ . The subset  $R \subset Q$  of all matrices  $G \in Q$  that satisfy our formula is Zariski-closed. But on the other hand, the positive definite matrices form a nonempty subset  $P \subset Q$  which is open in the analytic topology. So if  $P \subset R$ , then necessarily  $R = Q$ .

*Proof.* As one may imagine, finding the factor  $\theta_{d,k}$  is the hard part. We have to postpone this to Section 4.3. We will reduce the statement to the case when  $G$  is the identity matrix, which is proven in Theorem 4.17. Since any orthogonal transformation  $U \in O(V)$  induces a transformation  $U^{\otimes k} \in O(\text{Sym}^k V)$  and thus doesn't affect determinants, we may assume that  $G$  is a diagonal matrix. So let us check, what happens if we apply a coordinate transformation  $x \mapsto \tilde{x}$  that changes the last coordinate by  $\tilde{x}_d = \gamma x_d$  and leaves the other coordinates invariant. Let  $\tilde{G}$  and  $\tilde{\mathbb{G}}$  be the Gram matrices corresponding to the new coordinates. We clearly have:  $\tilde{x}^\alpha = \gamma^{\alpha_d} x^\alpha$ . Extracting the factor  $\gamma$  from the Leibniz determinant formula, which is of the form  $\det \tilde{\mathbb{G}} = \sum_{\sigma} \pm \prod_{|\alpha|=k} \langle \tilde{x}^\alpha, \tilde{x}^{\sigma(\alpha)} \rangle = \det G \prod_{|\alpha|=k} \gamma^{2\alpha_d}$ , we get:

$$\frac{\det \tilde{\mathbb{G}}}{\det \mathbb{G}} = \prod_{|\alpha|=k} \gamma^{2\alpha_d} = \prod_{i=0}^k \prod_{|\alpha'|=k-i} \gamma^{2i} \stackrel{(7)}{=} \prod_{i=0}^k \gamma^{2i \binom{k-i+d-1}{d-1}} \stackrel{(5)}{=} \gamma^{2 \binom{d+k}{d+1}}.$$

Now, if  $G = \text{diag}(a_0, \dots, a_d)$ , we apply successively coordinate transformations that map  $x_i$  to  $\frac{x_i}{\sqrt{a_i}}$ . We get a factor  $(a_0 \dots a_d)^{\binom{d+k}{d+1}} = \det G^{\binom{d+k}{d+1}}$ .  $\square$

#### 4. HOMOGENEOUS ORTHOGONAL POLYNOMIALS ON THE SPHERE

In this section we will construct a basis for the space of homogeneous polynomials of degree  $k$  in  $d+1$  variables,  $\mathbb{R}[x_0, \dots, x_d]_k$ , that is orthogonal with respect to the bilinear form given by

$$(20) \quad \langle f, g \rangle = \int_{\mathbb{R}^{d+1}} f(x)g(x)d\mu(x),$$

where the measure is  $d\mu(x) = (2\pi)^{-\frac{d+1}{2}} e^{-\frac{1}{2}\|x\|^2} dx$ . In order to do this, we wish to apply the Gram-Schmidt process to the (lexicographically ordered) monomial basis  $(x^\alpha)_{|\alpha|=k}$ . Our result is stated in Subsection 4.3.

*Remark 4.1.* Although the above definition of  $\langle \cdot, \cdot \rangle$  doesn't mention the sphere, in view of Lemma 2.5, we could equivalently consider the integral:

$$\langle f, g \rangle = c_{d,k} \int_{\mathbb{S}^d} f(\omega)g(\omega)d\omega, \quad c_{d,k} = 2^{\frac{k}{2}-1} \pi^{-\frac{d+1}{2}} \Gamma\left(\frac{k+d+1}{2}\right).$$

This is the reason why we speak of polynomials orthogonal on the sphere. However, we prefer to integrate over  $\mathbb{R}^{d+1}$ , since this avoids the unwanted constant  $c_{d,k}$ .

*Remark 4.2.* We stress that this equivalency really depends on the homogeneity. Denote  $\langle f, g \rangle_{\mathbb{R}^{d+1}} = \langle f, g \rangle$  and  $\langle f, g \rangle_{\mathbb{S}^d} = \int_{\mathbb{S}^d} fg$  for a moment and let us look at what happens if we drop the homogeneity constraint. Since  $c_{d,k}$  depends on  $k$ ,  $\langle \cdot, \cdot \rangle_{\mathbb{R}^{d+1}}$  and  $\langle \cdot, \cdot \rangle_{\mathbb{S}^d}$  aren't equivalent anymore. A basis of  $\mathbb{R}[x_0, \dots, x_d]$ , consisting

of  $\langle\langle f, g \rangle\rangle_{\mathbb{R}^{d+1}}$ -orthogonal polynomials is given by products  $H_{\alpha_0}(x_0) \dots H_{\alpha_d}(x_d)$  of Hermite polynomials in one variable, see also [4, Sect. 2.3.4]. On the other hand, the form  $\langle\langle \cdot, \cdot \rangle\rangle_{\mathbb{S}^d}$  becomes degenerate on  $\mathbb{R}[x_0, \dots, x_d]$ , because integration on the sphere can't distinguish between 1 and the square radius  $\|x\|^2$ .

*Remark 4.3.* A  $\langle\langle \cdot, \cdot \rangle\rangle$ -orthogonal basis of homogeneous polynomials which we won't consider here is given by spherical harmonics. Let  $\mathcal{H}_k^{d+1} \subset \mathbb{R}[x_0, \dots, x_d]_k$  be the subspace of harmonic polynomials. By Theorem 1.3 and Proposition 1.4 of [3], there is an orthogonal decomposition

$$\mathbb{R}[x_0, \dots, x_d]_k = \mathcal{H}_k^{d+1} \oplus r^2 \mathcal{H}_{k-2}^{d+1} \oplus r^4 \mathcal{H}_{k-4}^{d+1} \oplus \dots$$

where  $r^2 = \|x\|^2 = x_0^2 + \dots + x_d^2$ . Orthogonal bases for each of the  $\mathcal{H}_k^{d+1}$  in turn are constructed in [4, Sect. 2.2]. However, the basis one obtains this way has nothing to do with monomials. In particular, the transition matrix between them is not triangular, so they are not related by a Gram-Schmidt process.

**4.1. Generalities on orthogonal polynomials in one variable.** Given a non-degenerate symmetric bilinear form on the space of polynomials  $K[x]$ , one may ask for a basis of polynomials  $(p_n)_n$  that are mutually orthogonal with respect to that form. To find such a basis, one could start with the monomial basis  $(x^n)_n$  and apply some version of the Gram-Schmidt algorithm. The result will be an infinite lower triangular matrix  $T$  such that  $p_n = \sum_j T_{nj} x^j$ . We prefer to normalize such that the diagonal elements of  $T$  are equal to 1. If our bilinear form now depends only on the product of its two arguments, the procedure simplifies as follows:

Let  $\mathcal{L}$  be a linear functional such that the induced bilinear form  $(f, g) = \mathcal{L}(fg)$  is nondegenerate when restricted to  $K[x]_{\leq n}$ , the space of polynomials of bounded degree, for all  $n \geq 0$ . Let  $(p_n)_n$  be the associated sequence of monic orthogonal polynomials, *i.e.* the leading term of  $p_n(x)$  is  $x^n$  and  $(p_k, p_n) = 0$  for  $k \neq n$ . Then we have

**Theorem 4.4.** [2, Thm. 4.1] *There are constants  $c_n, d_n$  such that*

$$p_0(x) = 1, \quad p_{n+1}(x) = (x - c_n)p_n(x) - d_n p_{n-1}(x).$$

But also the converse is true:

**Theorem 4.5** (Favard's theorem). [2, Thm. 4.4] *Let  $(p_n)_n$  be a sequence of polynomials, such that  $\deg p_n = n$  and the following three-term recurrence holds:*

$$p_0(x) = 1, \quad p_{n+1}(x) = (x - c_n)p_n(x) - d_n p_{n-1}(x).$$

*Then there exists a unique linear functional  $\mathcal{L}$  such that  $\mathcal{L}(1) = 1$  and  $\mathcal{L}(p_k p_n) = 0$  for  $k \neq n$ .*

**Theorem 4.6.** [2, Thm. 4.2] *Under the conditions of the above theorems, we have for  $n \geq 1$ :*

$$\mathcal{L}(p_n^2) = d_n \mathcal{L}(p_{n-1}^2).$$

*Remark 4.7.* Since we shall deal with finite polynomial families, we need a little modification of Favard's theorem: If  $(p_n)_{n \leq N}$  is a finite sequence that satisfies a three-term recurrence as above, then we can always extend it to an infinite sequence by choosing arbitrary constants  $c_n, d_n$  for  $n \geq N$ . But for every such extension, the resulting functional  $\mathcal{L}$  from Favard's theorem will satisfy  $\mathcal{L}(1) = 1$  and  $\mathcal{L}(p_n) = \mathcal{L}(p_n p_0) = 0$  for  $n \geq 1$ . So  $\mathcal{L}$  will always be uniquely determined on  $K[x]_{\leq N}$ , the space of degree-bounded polynomials.



**4.2. A polynomial family.** Our construction of homogeneous polynomials orthogonal on the sphere is formally similar to the definition of spherical harmonics, see [4, p. 35]. Those are defined by recursion over the number of variables, as products of Chebychev and Gegenbauer polynomials. Inspired by that procedure, we introduce the following polynomial family in lieu thereof:

**Definition 4.8.** Let  $n, m$  be integers with  $0 \leq 2n \leq m+1$ , a condition that we always will assume silently. We define monic polynomials  $p_n^m$  of degree  $n$  with rational coefficients:

$$p_n^m(x) := \sum_{\substack{j=0 \\ n-j \text{ even}}}^n (-1)^{\frac{n-j}{2}} \frac{n! (m-2n)!!}{j! (m-n-j)!! (n-j)!!} x^j.$$

*Remark 4.9.* As Yuan Xu pointed out to the author, this can be written in terms of the hypergeometric function, namely  $p_n^m(x) = x^n {}_2F_1\left(\frac{-n}{2}, \frac{1-n}{2}; -\frac{1}{x^2}\right)$ . To see this, first change summation from  $j$  to  $n-j$ , so that the sum is over  $j = \text{even}$ , then set  $j = 2i$  and rewrite the sum in the notation of the rising Pochhammer symbol  $(a)_n = a(a+1)\dots(a+n-1)$ . Comparing this formula with [4, Prop. 1.4.11], it follows that the  $p_n^m$  are in fact a variant of the Gegenbauer polynomials  $C_n^\lambda$ . More precisely,  $p_n^m(x)$  is a multiple of  $C_n^{-\frac{m}{2}}(\sqrt{-1}x)$ , where the factor is chosen such that the polynomial becomes monic.

**Lemma 4.10.** For  $n \geq 1$ , we have a trigonometric differential relation:

$$\frac{d}{d\omega} \left[ p_{n-1}^{m-2}(\tan(\omega)) \cos(\omega)^{m-1} \right] = (n-m) p_n^m(\tan(\omega)) \cos(\omega)^{m-1}.$$

*Proof.* This is straightforward. Firstly, we calculate  $\frac{d}{d\omega} [\sin(\omega)^j \cos(\omega)^{m-j-1}] = j \sin(\omega)^{j-1} \cos(\omega)^{m-j} - (m-j-1) \sin(\omega)^{j+1} \cos(\omega)^{m-j-2}$ , and so

$$\begin{aligned} & \frac{d}{d\omega} \left[ p_{n-1}^{m-2}(\tan(\omega)) \cos(\omega)^{m-1} \right] \\ &= \sum_{\substack{j=0 \\ n-j \text{ odd}}}^{n-1} \frac{(-1)^{\frac{n-j-1}{2}} (n-1)! (m-2n)!!}{j! (m-n-j-1)!! (n-j-1)!!} \frac{d}{d\omega} [\sin(\omega)^j \cos(\omega)^{m-j-1}] \\ &= \sum_{\substack{j=0 \\ n-j \text{ even}}}^{n-2} \frac{(-1)^{\frac{n-j-2}{2}} (n-1)! (m-2n)!!}{j! (m-n-j-2)!! (n-j-2)!!} \sin(\omega)^j \cos(\omega)^{m-j-1} \\ &\quad - \sum_{\substack{j=1 \\ n-j \text{ even}}}^n \frac{(-1)^{\frac{n-j}{2}} (n-1)! (m-2n)!! (m-j)}{(j-1)! (m-n-j)!! (n-j)!!} \sin(\omega)^j \cos(\omega)^{m-j-1} \\ &= \sum_{\substack{j=0 \\ n-j \text{ even}}}^n \frac{(-1)^{\frac{n-j}{2}} (n-1)! (m-2n)!!}{j! (m-n-j)!! (n-j)!!} \underbrace{[(j-n)(m-n-j) - j(m-j)]}_{=n(n-m)} \tan(\omega)^j \cos(\omega)^{m-1} \\ &= (n-m) p_n^m(\tan(\omega)) \cos(\omega)^{m-1}. \end{aligned}$$

□

Our next goal is to show that the  $p_n^m$ , for fixed  $m$ , form a set of orthogonal polynomials in the sense of the above subsection. In order to apply Favard's theorem, we claim:

**Proposition 4.11.** *For  $0 \leq 2n \leq m-1$ , we have a three-term recurrence:*

$$p_0^m(x) = 1, \quad p_1^m(x) = x, \quad p_{n+1}^m(x) = xp_n^m(x) - d_n^m p_{n-1}^m(x),$$

where  $d_n^m := \frac{n(m-n+1)}{(m-2n)(m-2n+2)}$ .

*Proof.* We start from the right:  $xp_n^m(x) - d_n^m p_{n-1}^m(x)$  gives

$$\begin{aligned} & \sum_{\substack{j=1 \\ n-j \text{ odd}}}^{n+1} \frac{(-1)^{\frac{n-j+1}{2}} n! (m-2n)!!}{(j-1)! (m-n-j+1)!! (n-j+1)!!} x^j - \sum_{\substack{j=0 \\ n-j \text{ odd}}}^{n-1} d_n^m \frac{(-1)^{\frac{n-j-1}{2}} (n-1)! (m-2n+2)!!}{j! (m-n-j+1)!! (n-j-1)!!} x^j \\ &= \sum_{\substack{j=0 \\ n-j \text{ odd}}}^{n+1} \frac{(-1)^{\frac{n-j+1}{2}} (n+1)! (m-2n-2)!!}{j! (m-n-j-1)!! (n-j+1)!!} \underbrace{\frac{j(m-2n)+(m-n+1)(n-j+1)}{(n+1)(m-n-j+1)}}_{=1} x^j = p_{n+1}^m(x). \end{aligned}$$

□

The next theorem gives a useful analytic form of the corresponding linear functional.

**Theorem 4.12.** *We define, for  $m \geq 1$ , a linear functional  $\mathcal{L}$  on the vector space of polynomials of degree less than  $m$ , by setting*

$$(21) \quad \mathcal{L} : f \mapsto \int_0^\infty \int_{-\infty}^\infty z^{m-1} f\left(\frac{y}{z}\right) e^{-\frac{y^2+z^2}{2}} dy dz.$$

*Then the  $p_n^m$  form a set of orthogonal polynomials with respect to the induced bilinear form, i.e. for  $k \neq n$ ,  $k+n \leq m-1$ , we have  $\mathcal{L}(p_k^m p_n^m) = 0$  and for  $2n \leq m-1$ :*

$$(22) \quad \mathcal{L}(p_n^m p_n^m) = 2^{\frac{3}{2}m-2n-\frac{1}{2}} \frac{n!}{(m-n)!} \Gamma\left(\frac{m}{2} - n\right) \Gamma\left(\frac{m}{2} - n + 1\right) \Gamma\left(\frac{m+1}{2}\right).$$

*Proof.* Since  $p_n^m$  satisfy the three-term relation in Prop. 4.11, by Favard's theorem and Remark 4.7, there exists a unique functional  $\mathcal{L}'$  with  $\mathcal{L}'(1) = 1$ , such that the  $p_n^m$  form an orthogonal basis with respect to the bilinear form induced by  $\mathcal{L}'$ . We claim that  $\mathcal{L}$  is a scalar multiple of  $\mathcal{L}'$ . Since  $(p_n^m)_n$  is a basis of the space of polynomials, we must show that, for  $n \geq 1$ ,  $\mathcal{L}(p_n^m) = \mathcal{L}(p_n^m p_0^m) = 0$ . Using polar coordinates  $(y, z) = (r \cos \omega, r \sin \omega)$  and Lemma 4.10, we get:

$$\begin{aligned} \mathcal{L}(p_n^m) &= \int_0^\pi \int_0^\infty p_n^m\left(\frac{\cos \omega}{\sin \omega}\right) \sin(\omega)^{m-1} r^m e^{-\frac{r^2}{2}} dr d\omega \\ &= \int_0^\infty r^m e^{-\frac{r^2}{2}} dr \int_{\frac{\pi}{2}}^{\frac{3\pi}{2}} (-1)^n p_n^m(\tan(\omega)) \cos(\omega)^{m-1} d\omega \\ &= 2^{\frac{m-1}{2}} \Gamma\left(\frac{m+1}{2}\right) \left[ \frac{(-1)^n}{n-m} p_{n-1}^{m-2}(\tan(\omega)) \cos(\omega)^{m-1} \right]_{\frac{\pi}{2}}^{\frac{3\pi}{2}} = 0, \end{aligned}$$

while  $\mathcal{L}(1) = 2^{\frac{m-1}{2}} \sqrt{\pi} \Gamma\left(\frac{m}{2}\right) = 2^{\frac{3m-1}{2}} \frac{1}{m!} \Gamma\left(\frac{m}{2}\right) \Gamma\left(\frac{m}{2} + 1\right) \Gamma\left(\frac{m+1}{2}\right)$  by (13) and (11). To verify that equation (22) holds for  $n \geq 1$ , too, we must show that the right hand side satisfies the recurrence from Theorem 4.6, but this is immediate:

$$\frac{2^{\frac{3}{2}m-2n-\frac{1}{2}} \frac{n!}{(m-n)!} \Gamma\left(\frac{m}{2} - n\right) \Gamma\left(\frac{m}{2} - n + 1\right) \Gamma\left(\frac{m+1}{2}\right)}{2^{\frac{3}{2}m-2n+\frac{3}{2}} \frac{(n-1)!}{(m-n+1)!} \Gamma\left(\frac{m}{2} - n + 1\right) \Gamma\left(\frac{m}{2} - n + 2\right) \Gamma\left(\frac{m+1}{2}\right)} = \frac{n(m-n+1)}{(m-2n)(m-2n+2)} = d_n^m.$$

□

**Corollary 4.13.**  $\mathcal{L}(x^k p_n^m) = 0$  for  $k < n$  and  $\mathcal{L}(x^n p_n^m) = \mathcal{L}(p_n^m p_n^m)$ .

*Proof.* By the theorem, we have  $\mathcal{L}(x^0 p_n^m) = 0$  for  $n > 0$ , so the case  $k = 0$  holds true. Now the three-term recurrence from Proposition 4.11 allows us to inductively conclude that  $\mathcal{L}(x^k p_n^m) = \mathcal{L}(x^{k-1} p_{n+1}^m) + d_n^m \mathcal{L}(x^{k-1} p_{n-1}^m) = 0$ . The second assertion,  $\mathcal{L}(x^n p_n^m) = \mathcal{L}(p_n^m p_n^m)$  is trivial in the case  $n \leq 1$ . For  $n \geq 1$ , the three-term recurrence yields now  $\mathcal{L}(x^n p_n^m) = \mathcal{L}(x^{n-1} p_{n+1}^m) + d_n^m \mathcal{L}(x^{n-1} p_{n-1}^m) = d_n^m \mathcal{L}(x^{n-1} p_{n-1}^m)$ , so  $\mathcal{L}(x^n p_n^m)$  and  $\mathcal{L}(p_n^m p_n^m)$  (by Theorem 4.6) satisfy the same recurrence relation and therefore must be equal.  $\square$

**4.3. Homogeneous orthogonal polynomials.** We are now ready to give the desired basis  $(h_\alpha)_\alpha$  of homogeneous polynomials that are orthogonal on the sphere.

**Definition 4.14.** For multi-indices  $\alpha = (\alpha_0, \dots, \alpha_d)$  we recursively define homogeneous polynomials  $h_\alpha$  of degree  $|\alpha|$  by  $h_{(\alpha_0)}(x) := x_0^{\alpha_0}$  and, for  $d \geq 1$ ,

$$h_\alpha(x) := p_{\alpha_d}^{2|\alpha|+d} \left( \frac{x_d}{r} \right) r^{\alpha_d} h_{\alpha'}(x'),$$

where we have set  $r = \sqrt{x_0^2 + \dots + x_{d-1}^2} = \|x'\|$ . Note that the definition of  $p_n^m$  implies that  $p_n^m(\frac{1}{y})y^n$  is an even polynomial, so all square roots vanish.

**Theorem 4.15.** Let  $\langle \cdot, \cdot \rangle$  be defined as in (20). For all multi-indices  $\alpha, \beta$  of length  $\text{len}(\alpha) = \text{len}(\beta) = d+1$  and degree  $|\alpha| = |\beta|$  we have:

$$(23) \quad \langle h_\alpha, h_\alpha \rangle = \alpha_d! \frac{(2|\alpha'|+d)!! (2|\alpha|+d-1)!!}{(|\alpha'|+|\alpha|+d)!} \langle h_{\alpha'}, h_{\alpha'} \rangle,$$

$$(24) \quad \langle h_\alpha, h_\beta \rangle = 0 \quad \text{for } \alpha \neq \beta,$$

$$(25) \quad \langle x^\alpha, h_\alpha \rangle = \langle h_\alpha, h_\alpha \rangle,$$

$$(26) \quad \langle x^\alpha, h_\beta \rangle = 0 \quad \text{for } \alpha < \beta \text{ (see Def. 2.1)}.$$

*Remark 4.16.* This means that the  $h_\alpha(x)$ ,  $|\alpha| = k$  form an orthogonal basis of  $\mathbb{R}[x_0, \dots, x_d]_k$  that comes from a Gram-Schmidt process applied to the monomials (in lexicographic order). Indeed, equations (23) and (24) say that the  $h_\alpha(x)$  are orthogonal, while equations (25) and (26) imply that the transition matrix  $T^{-1}$ , defined by  $x^\alpha = \sum_\beta T_{\alpha\beta}^{-1} h_\beta$ ,  $T_{\alpha\beta}^{-1} := \frac{\langle x^\alpha, h_\beta \rangle}{\langle h_\beta, h_\beta \rangle}$  is lower triangular with all diagonal elements equal to 1.

*Proof.* We begin with equation (23). The term  $\langle h_{\alpha'}, h_{\alpha'} \rangle$  on the right hand side means of course the form in  $d$  instead of  $d+1$  variables. We use polar coordinates on  $\mathbb{R}^d$  to compute:

$$\begin{aligned} \sqrt{2\pi}^{d+1} \langle h_\alpha, h_\alpha \rangle &= \int_{\mathbb{R}^{d+1}} \left[ p_{\alpha_d}^{2|\alpha|+d} \left( \frac{x_d}{r} \right) r^{\alpha_d} h_{\alpha'}(x) \right]^2 e^{-\frac{1}{2}\|x\|^2} dx \\ &= \underbrace{\int_0^\infty \int_{\mathbb{R}} \left[ p_{\alpha_d}^{2|\alpha|+d} \left( \frac{x_d}{r} \right) \right]^2 r^{2|\alpha'|+2\alpha_d+d-1} e^{-\frac{r^2+x_d^2}{2}} dx_d dr}_{=\mathcal{L}\left(\left(p_{\alpha_d}^{2|\alpha|+d}\right)^2\right)} \int_{\mathbb{S}^{d-1}} [h_{\alpha'}(\omega)]^2 d\omega \\ &\stackrel{(22)}{=} \frac{\alpha_d! 2^{2|\alpha'|+|\alpha|+\frac{3}{2}d-\frac{1}{2}}}{(|\alpha|+|\alpha'|+d)!} \Gamma(|\alpha'|+\frac{d}{2}) \Gamma(|\alpha'|+\frac{d}{2}+1) \Gamma(|\alpha|+\frac{d+1}{2}) \int_{\mathbb{S}^{d-1}} [h_{\alpha'}(\omega)]^2 d\omega \\ &\stackrel{\text{Lemma 2.5}}{=} \alpha_d! 2^{2|\alpha'|+|\alpha|+d+\frac{1}{2}} \frac{\Gamma(|\alpha'|+\frac{d}{2}+1) \Gamma(|\alpha|+\frac{d+1}{2})}{(|\alpha'|+|\alpha|+d)!} \int_{\mathbb{R}^d} [h_{\alpha'}(x')]^2 dx' \end{aligned}$$

$$\stackrel{(10)}{=} \alpha_d! \frac{(2|\alpha'|+d)!! (2|\alpha|+d-1)!!}{(|\alpha'|+|\alpha|+d)!} \underbrace{\sqrt{2\pi} \int_{\mathbb{R}^d} [h_{\alpha'}(x')]^2 dx'}_{=\sqrt{2\pi}^{d+1} \langle\langle h_{\alpha'}, h_{\alpha'} \rangle\rangle}.$$

For the proof of (24), let  $i$  be the highest index where  $\alpha$  and  $\beta$  differ. Due to the recursive nature of (23) and the definition of  $h_\alpha$ , we may assume  $i = d$ , so  $\alpha_d \neq \beta_d$ . Then we use the calculation above to see that Theorem 4.12 now implies the vanishing of the integral. Equations (25) and (26) follow analogously from Corollary 4.13.  $\square$

**Theorem 4.17.** *Let the bilinear form  $\langle\langle \cdot, \cdot \rangle\rangle$  on  $\mathbb{R}[x_0, \dots, x_d]_k$  be defined as in (20). Let  $D(d, k) := \det_{|\alpha|, |\beta|=k} \langle\langle x^\alpha, x^\beta \rangle\rangle$  be the determinant of its Gram matrix. Then:*

$$D(d, k) = \theta_{d, k}$$

where  $\theta_{d, k}$  is defined as in (19).

*Proof.* This is a double induction over  $k$  and  $d$ . First check that  $D(d, 0) = \theta_{d, 0} = 1$  and  $D(0, k) = \theta_{0, k} = (2k-1)!!$ . From the above theorem it is clear that  $D(d, k) = \prod_{|\alpha|=k} \langle\langle h_\alpha, h_\alpha \rangle\rangle$ . Since  $\{|\alpha| = k\} = \bigcup_{j=0}^k \{|\alpha'| = j\} \times \{k-j\}$ , we have from (23):

$$D(d, k) = \prod_{j=0}^k D(d-1, j) \prod_{|\alpha'|=j} (k-j)! \frac{(2j+d)!! (2k+d-1)!!}{(j+k+d)!},$$

hence we get the ratio

$$\begin{aligned} R(d, k) &:= \frac{D(d, k)}{\prod_{j=0}^k D(d-1, j)} = \prod_{j=0}^k \left[ (k-j)! \frac{(2j+d)!! (2k+d-1)!!}{(j+k+d)!} \right]^{(j+d-1)} \\ &\stackrel{(8)}{=} \prod_{j=0}^k \left[ \frac{(2j+d)!!}{(j+k+d)!!} \right]^{(j+d-1)} (2k+d-1)^{\binom{k+d}{d}} \prod_{i=1}^k i^{\binom{k-i+d}{d-1}}. \end{aligned}$$

We will now show the principal inductive step:  $\frac{D(d, k+1)}{D(d, k)D(d-1, k+1)} = \frac{\theta_{d, k+1}}{\theta_{d, k}\theta_{d-1, k+1}}$ . The left hand side clearly equals

$$\begin{aligned} \frac{R(d, k+1)}{R(d, k)} &= \frac{(2k+d+2)!!^{\binom{k+d}{d-1}} (2k+d+1)^{\binom{k+d+1}{d}} (2k+d+1)!!^{\binom{k+d}{d-1}}}{(2k+d+2)!^{\binom{k+d}{d-1}} \prod_{j=0}^k (j+k+d+1)^{\binom{j+d-1}{d-1}}} \prod_{i=1}^{k+1} i^{\binom{k-i+d}{d-1}} \\ &= \frac{(2k+d+1)^{\binom{k+d}{d}}}{\prod_{i=k+d+1}^{2k+d+1} i^{\binom{k-i+d}{d-1}}} \prod_{i=1}^{k+1} i^{\binom{k-i+d}{d-1}}. \end{aligned}$$

To simplify the right hand side a little bit, we split  $\theta_{d, k} = A(d, k)B(d, k)$  with  $A(d, k) := \prod_{i=1}^k i^{\binom{k-i+d}{d}}$ , and  $B(d, k)$  the complementary factor of  $\theta_{d, k}$  depending on the parity of  $d$ . For  $A(d, k)$  we have:

$$\frac{A(d, k+1)}{A(d, k)A(d-1, k+1)} = \prod_{i=1}^{k+1} i^{\binom{k-i+d+1}{d} - \binom{k-i+d}{d} - \binom{k-i+d}{d-1}} \stackrel{(6)}{=} \prod_{i=1}^{k+1} i^{\binom{k-i+d}{d-1}},$$

while the other factor  $B(d, k)$  gives, for even  $d$ ,

$$\begin{aligned} \frac{B(d, k+1)}{B(d, k)B(d-1, k+1)} &= \frac{(2k+d+1)^{\binom{-k-1}{d}} \prod_{\substack{i=1 \\ i \text{ odd}}}^{2k+d+1} i^{\binom{k-i+d+1}{d} - \binom{k-i+d}{d}}}{\prod_{i=1}^{k+\frac{d}{2}} i^{\binom{k-i+d}{d-1}} \prod_{\substack{i=1 \\ i \text{ even}}}^{2k+d} \left(\frac{i}{2}\right)^{-\binom{k-i+d}{d-1}}} \\ &\stackrel{(6)}{=} \frac{(2k+d+1)^{\binom{k+d}{d}} \prod_{i=1}^{2k+d+1} i^{\binom{k-i+d}{d-1}}}{\prod_{i=1}^{k+\frac{d}{2}} i^{\binom{k-i+d}{d-1}} \prod_{\substack{i=1 \\ i \text{ even}}}^{2k+d} 2^{\binom{k-i+d}{d-1}}} \stackrel{(9)}{=} \frac{(2k+d+1)^{\binom{k+d}{d}}}{\prod_{i=k+d+1}^{2k+d+1} i^{\binom{k-i+d}{d-1}}}, \end{aligned}$$

but also for odd  $d$ ,

$$\begin{aligned} \frac{B(d, k+1)}{B(d, k)B(d-1, k+1)} &= \frac{\left(k + \frac{d+1}{2}\right)^{-\binom{-k-1}{d}} \prod_{i=1}^{k+\frac{d+1}{2}} i^{\binom{k-i+d}{d-1} - \binom{k-2i+d}{d-1}}}{\prod_{\substack{i=1 \\ i \text{ odd}}}^{2k+d+1} i^{\binom{k-i+d}{d-1}}} \\ &= \frac{\left(k + \frac{d+1}{2}\right)^{\binom{k+d}{d}} \prod_{i=1}^{k+\frac{d+1}{2}} i^{\binom{k-i+d}{d-1}} \prod_{\substack{i=1 \\ i \text{ even}}}^{2k+d} 2^{\binom{k-i+d}{d-1}}}{\prod_{i=1}^{2k+d+1} i^{\binom{k-i+d}{d-1}}} \stackrel{(9)}{=} \frac{(2k+d+1)^{\binom{k+d}{d}}}{\prod_{i=k+d+1}^{2k+d+1} i^{\binom{k-i+d}{d-1}}}. \end{aligned}$$

□

## 5. APPLICATION IN HYPERKÄHLER GEOMETRY

Let  $X$  be a compact Hyperkähler manifold of complex dimension  $2k$ . These objects are also called Irreducible Holomorphic Symplectic manifolds. The second cohomology group  $H^2(X, \mathbb{Z})$  comes with an integral quadratic form, called the Beauville–Bogomolov form  $q_X$ , which can be computed by an integration over some cup-product power, see [9, Subsection 2.3]:

$$(27) \quad \int_X \alpha^{2k} = (2k-1)!! c_X q_X(\alpha)^k, \quad \alpha \in H^2(X, \mathbb{Z}).$$

This equation is referred to as the Beauville–Fujiki relation. The constant  $c_X \in \mathbb{Q}$  is chosen such that the quadratic form  $q_X$  is indivisible and its signum is such that  $q_X(\sigma + \bar{\sigma}) > 0$  for a holomorphic two-form  $\sigma$  with  $\int_X \sigma \bar{\sigma} = 1$ . There is an alternative description, as shown in [6, Chap. 23]. Up to a scalar factor  $\tilde{c}$ ,  $q_X$  is equal to:

$$(28) \quad \tilde{c} q_X(\alpha) = \frac{k}{2} \int_X \alpha^2 (\sigma \bar{\sigma})^{k-1} + (1-k) \left( \int_X \alpha \sigma^{n-1} \bar{\sigma}^n \right) \left( \int_X \alpha \sigma^n \bar{\sigma}^{n-1} \right).$$

Now  $q_X$ , by polarization, gives rise to a symmetric bilinear form  $\langle \cdot, \cdot \rangle$  on  $H^2(X, \mathbb{Z})$ , namely  $2\langle \alpha, \beta \rangle := q_X(\alpha + \beta) - q_X(\alpha) - q_X(\beta)$ . On the other hand, from (27) one deduces again by polarization, as shown in the introduction, see also [9, Eq. 3.2.4] that:

$$(29) \quad \int_X \alpha_1 \wedge \dots \wedge \alpha_{2k} = c_X \langle \alpha_1 \dots \alpha_k, \alpha_{k+1} \dots \alpha_{2k} \rangle,$$

with the induced form  $\langle \cdot, \cdot \rangle$  on  $\text{Sym}^k H^2(X, \mathbb{Z})$ , according to Definition 3.1. Since the Poincaré pairing  $(\beta_1, \beta_2)_X := \int_X \beta_1 \wedge \beta_2$  gives  $H^{2k}(X, \mathbb{Z})$  the structure of an unimodular lattice, we have got an imbedding of lattices:

$$(30) \quad \left( \text{Sym}^k H^2(X, \mathbb{Z}), c_X \langle \cdot, \cdot \rangle \right) \longrightarrow \left( H^{2k}(X, \mathbb{Z}), (\cdot, \cdot)_X \right).$$

From Theorem 3.6 and Proposition 2.7 we now get some interesting corollaries:

**Corollary 5.1.** *Let  $X$  be a compact Hyperkähler manifold of complex dimension  $2k$ . Denote  $b_2$  resp.  $d_2$  the rank and the discriminant of  $H^2(X, \mathbb{Z})$ . Then the torsion part of the quotient*

$$\frac{H^{2k}(X, \mathbb{Z})}{\text{Sym}^k H^2(X, \mathbb{Z})}$$

*contains only prime factors that are smaller than  $2k + b_2 - 1$  or divide  $c_X^{b_2} d_2$ .*

For the known examples of compact Hyperkähler manifolds, we can refine this a bit, using the list given in [9, Table 1]:

Let  $S^{[k]}$  for  $k \geq 2$  be the Hilbert scheme of  $k$  points on a K3 surface  $S$ , let  $K^{[[k]]}$  be the generalized Kummer variety of a torus and let  $OG_6$  and  $OG_{10}$  be the 6- resp. 10-dimensional O'Grady manifold. Then we have:

$X$	$\dim X$	$b_2$	$c_X$
$S^{[k]}$	$2k$	23	1
$K^{[[k]]}$	$2k$	7	$k + 1$
$OG_6$	6	8	4
$OG_{10}$	10	24	1

**Corollary 5.2.** *The torsion part of the quotient*

$$\frac{H^{2k}(X, \mathbb{Z})}{\text{Sym}^k H^2(X, \mathbb{Z})}$$

*contains no prime factors bigger than*

- $2k + 21$ , if  $X$  is deformation equivalent to  $S^{[k]}$ ,
- $2k + 5$ , if  $X$  is deformation equivalent to  $K^{[[k]]}$ ,
- 5, if  $X$  is deformation equivalent to  $OG_6$ ,
- 13, if  $X$  is deformation equivalent to  $OG_{10}$ .

*Remark 5.3.* The cases  $X = S^{[2]}$  and  $X = S^{[3]}$  were already studied in [1, Prop. 6.6] and [7, Prop. 2.4], using explicit calculations. The case  $X = S^{[2]}$  is special, because  $\text{Sym}^2 H^2(S^{[2]}, \mathbb{Z})$  and  $H^4(S^{[2]}, \mathbb{Z})$  have the same rank. So Prop. 2.6, together with Theorem 3.6 imply that the cardinality of the quotient is precisely  $\sqrt{2^{24} \cdot 2^{22} \cdot (22 + 3)} = 2^{23} \cdot 5$ .

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SIMON KAPFER, LABORATOIRE DE MATHÉMATIQUES ET APPLICATIONS, UMR CNRS 6086, UNIVERSITÉ DE POITIERS, TÉLÉPORT 2, BOULEVARD MARIE ET PIERRE CURIE, F-86962 FUTUROSCOPE CHASSENEUIL

*E-mail address:* `simon.kapfer@math.univ-poitiers.fr`