# Petersson Inner Product of Theta Series PhD Defense

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## *L*-functions at s=1

It is a well-known (but fascinating) fact that many L-functions contain arithmetic informations in their value at s=1:

- 1.  $\zeta(s)$  at s=1: Infinitely many primes
- 2.  $L(\chi, s)$  at s = 1: Infinitely many primes in arithmetic progressions
- 3.  $\zeta_F(s)$  at s=1: Class number formula

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- 3.  $\zeta_F(s)$  at s=1: Class number formula

# Conjecture (Stark (Idea))

In general, L-functions of Artin representations have a (relatively) explicit expression involving arithmetic invariants of the number fields involved.

Let  $K = \mathbb{Q}(\sqrt{-23})$  and let H be its Hilbert class field. Let

$$\psi: \mathsf{Gal}(H/K) \to \mathbb{C}^{\times} = \mathsf{GL}_1(\mathbb{C})$$

be a non-trivial one-dimensional Artin representation and let

$$ho = \operatorname{Ind}_{\mathcal{K}}^{\mathbb{Q}} \psi : \operatorname{Gal}(H/\mathbb{Q}) o \operatorname{GL}_2(\mathbb{C})$$

be the induced representation. Then one can consider the associated Artin *L*-function

$$L(\psi, s) = L(\rho, s).$$

On the one hand, in accordance with his conjecture (which was known in this case), Stark shows that

$$L(\rho,1) = \frac{2\pi}{\sqrt{23}}\log\varepsilon,$$

where  $\varepsilon$  is the real root of

$$x^3 - x - 1$$
.

Note that  $\varepsilon$  generates H over K.

On the other hand, by the Deligne-Serre theorem, one has

$$L(\rho, s) = L(\theta_{\psi}, s),$$

where

$$\theta_{\psi}(q) = \eta(q)\eta(23q) = q \prod_{n\geq 1} (1-q^n)(1-q^{23n}) \in M_1(\Gamma_0(23),\chi_{-23}).$$

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Then Stark proves that

$$L(\rho,1) = \frac{2\pi}{3\sqrt{23}} \langle \theta_{\psi}, \theta_{\psi} \rangle.$$

#### The main motivation

It follows that

$$\langle \theta_{\psi}, \theta_{\psi} \rangle = 3 \log \varepsilon.$$

# Structure of the presentation

Introduction

Petersson inner product of theta series

Algorithms

Generalizing Stark's observation

p-adic interpolation

Experimentation, invariants and observations

Conclusion



# Where we are in the presentation

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#### **Notation**

#### Throughout this presentation, let

- K be an imaginary quadratic field of discriminant D with Hilbert class field H,
- $h_K$ ,  $w_K$  and  $Cl_K$  be the class number, root number and class group of K (respectively)
- $\psi$  be a Hecke character of infinity type (2 $\ell$ , 0) for some  $\ell \geq$  0, i.e. a homomorphism

$$\psi: I_K \longrightarrow \mathbb{C}^{\times}$$

such that  $\psi((\alpha)) = \alpha^{2\ell}$  for all  $\alpha \in K^{\times}$ 

• and a, b and c be fractional ideals of K.

### Theta series attached to K

#### Consider

$$\left. \begin{array}{ll} \theta_{\psi}(q) & = \sum_{\mathfrak{a} \in \mathcal{O}_{K}} \psi(\mathfrak{a}) q^{N(\mathfrak{a})} \\ \theta_{\mathfrak{a},\ell}(q) & = \sum_{x \in \mathfrak{a}} x^{2\ell} q^{N(x)/N(\mathfrak{a})} \end{array} \right\} \in M_{2\ell+1}(\Gamma_{0}(|D|),\chi_{D}).$$

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#### Then

	$ heta_{\psi}$	$ heta_{\mathfrak{a},\ell}$
$\ell > 0$	Newform	Cusp form
	$\psi^2  eq 1$ : Newform	
$\ell = 0$	$\psi^2=1$ : (genus) Eisenstein series	Not a cusp form

# Some examples to keep in mind

	$ heta_{\psi}$	$ heta_{\mathfrak{a},\ell}$
$\ell > 0$		
	$q \prod_{n \geq 1} (1 - q^n)(1 - q^{23n})$	$ heta_{\mathbb{Z}[i]}(q) = \sum\limits_{x,y \in \mathbb{Z}} q^{x^2 + y^2}$
$\ell = 0$		$x,y\in\mathbb{Z}$

Recall that

$$q\prod_{n>1}(1-q^n)(1-q^{23n})$$

is the modular form in Stark's example.

# Formulas for the Petersson inner product of those theta series

Recall that the Petersson inner product of any cusp forms  $f,g\in S_k(\Gamma_0(N),\chi)$  is defined as

$$\langle f,g \rangle = \iint_{\Gamma_0(N) \backslash \mathcal{H}} f(\tau) \bar{g}(\tau) \Im(\tau)^k \mathrm{d}\mu(\tau).$$

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With minor effort, this formula can be used to compute the Petersson inner product numerically:

$$\langle f,g\rangle = \sum_{\gamma \in \Gamma_0(N) \backslash \operatorname{SL}_2(\mathbb{Z})} \int_{-\frac{1}{2}}^{\frac{1}{2}} \int_{\sqrt{1-x^2}}^{\infty} f(\tau) \overline{g}(\tau) y^{k-2} \mathrm{d}y \mathrm{d}x.$$

But this is very (very) slow and behaves badly as the level grows.

## The quest for more efficient and useful formulas

Let  $\psi$  be such that  $\theta_{\psi}$  is a cusp form. Then

1. Apply Rankin-Selberg:

$$\langle \theta_{\psi}, \theta_{\psi} \rangle = \left( \frac{\pi}{2} \frac{\phi(|D|)}{D^2} \frac{(4\pi)^{2\ell+1}}{\Gamma(2\ell+1)} \right)^{-1} L(\chi_D, 1) \operatorname{res}_{s=2\ell+1} L(\operatorname{\mathsf{Sym}}^2 \theta_{\psi}, s)$$

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2. Isolate the residue of  $L(\operatorname{Sym}^2 \theta_{\psi}, s)$ :

$$\operatorname{res}_{s=2\ell+1} L(\operatorname{Sym} 2\theta_{\psi}, 1, s) = \prod_{p \mid D} (1 - p^{-1}) L(\psi^2, 2\ell + 1)$$

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3. When  $\ell > 0$ , express  $L(\psi^2, 2\ell + 1)$  in terms of (derivatives of nearly holomorphic) Eisenstein series:

$$L(\psi^{2}, 2\ell+1) = \frac{4(2\pi)^{2\ell+1}\sqrt{|D|}^{2\ell-1}}{w_{K}\Gamma(2\ell+1)} \sum_{j=1}^{h_{K}} \psi^{-2}(\mathfrak{a}_{j}) N(\mathfrak{a}_{j})^{4\ell} \delta^{2\ell-1} E_{2}(\bar{\mathfrak{a}}_{j})$$

# The most useful formulas for *p*-adic interpolation

	$\langle  heta_\psi,  heta_\psi  angle$	$\langle  heta_{\mathfrak{a},\ell},  heta_{\mathfrak{b},\ell}  angle$
$\ell > 0$	$C_1 \sum_{\mathcal{A} \in Cl_{\mathcal{K}}} \psi^2(\mathcal{A}) \delta^{2\ell-1} E_2(\mathcal{A})$	
$\ell=0$	$\psi^2=1$ : not applicable	not applicable

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Using the relation

$$heta_{\mathfrak{a},\ell} = rac{w_{\mathcal{K}}}{h_{\mathcal{K}}} \sum_{\psi} \psi(\mathfrak{a}) heta_{\psi}$$

and the orthogonality of the newforms  $\theta_{\psi}.$ 

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$\ell=0$	$C_3 \sum_{\mathcal{A} \in Cl_K} \psi^2(\mathcal{A}) \log \Phi(\mathcal{A})$	not applicable
	$\psi^2=$ 1: not applicable	пос аррпсавіе

Here

$$\Phi^{12}(\mathcal{A}) = \mathcal{N}(\mathcal{A})^6 |\Delta(\mathcal{A})|,$$

where

$$\Delta(q) = q \prod_{n=1}^{\infty} (1 - q^n)^{24}.$$



# The most efficient formula for computations

Experimentally, one finds that the most efficient way to compute the Petersson inner product of theta series is to compute the q-expansion of  $\delta^n E_2$  by hand:

$$\delta^{n} E_{2}(\tau) = (-1)^{n} \left( \frac{1}{8\pi \Im(\tau)} - \frac{n+1}{24} \right) \frac{n!}{(4\pi \Im(\tau))^{n}} + \sum_{m>1} \sigma(m) \left( \sum_{r=0}^{n} (-1)^{n-r} \binom{n}{r} \frac{(r+2)_{n-r}}{(4\pi \Im(\tau))^{n-r}} m^{r} \right) q^{m}.$$

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# Bridging the gap between the "explicit" formulas and the algorithms

Here are some of the things one needs to do before implementing those formulas:

- Complete the *L*-functions  $L(\operatorname{Sym}^2 \theta_{\psi}, s)$  and  $L(\psi, s)$  and find all the information about their functional equation,
- Find a way to compute with Hecke characters,
- Find an efficient way to compute

$$\delta^n E_2(\mathfrak{a}),$$

 Choose the computer algebra system that allows you to do all this!

# The resulting algorithm

This leads to the following

## Theorem (S.)

There exists a software package in PARI/GP to compute the Petersson inner product of the theta series defined above with the following properties:

- It is fast (relative to the definition),
- It supports arbitrary precision (no coefficients stored, no database involved),
- User friendly (easy to download, help functions, well commented source code).

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#### Proof.

See the calculations in Part 3 of the thesis and look at the source code online!



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### What about Stark's observation?

Using the above formula when  $\ell = 0$ , one has

$$\langle \theta_{\psi}, \theta_{\psi} \rangle = \frac{-h_{\mathcal{K}}}{3w_{\mathcal{K}}^2} \sum_{\mathcal{A} \in \mathsf{Cl}_{\mathcal{K}}} \psi^2(\mathcal{A}) \log \mathcal{N}(\mathcal{A})^6 |\Delta(\mathcal{A})| = h_{\mathcal{K}} \log \kappa_{\psi},$$

where

$$\kappa_{\psi} = \prod_{\mathcal{A} \in \mathsf{Cl}_{\kappa}} \Phi(\mathcal{A})^{-\psi^{2}(\mathcal{A})},$$

with

$$\Phi(\mathcal{A}) = \sqrt{\textit{N}(\mathfrak{a})} |\Delta(\mathfrak{a})|^{1/12}$$

as before, where now  $\alpha$  is any ideal in the class A.

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as before, where now  $\mathfrak a$  is any ideal in the class  $\mathcal A$ .

#### Question

Is  $\kappa_{\psi}$  a unit in H?

# A corollary and some examples

#### Some examples:

- $K = \mathbb{Q}(\sqrt{-23})$  ( $h_K = 3$ ):  $\kappa_{\psi}$  is a unit and numerically,  $\kappa_{\psi} = \varepsilon$ ,
- $K=\mathbb{Q}(\sqrt{-39})$   $(h_K=4)$ :  $\kappa_\psi=\epsilon_{13}^{\frac{1}{3}}$  is a unit, but not in H,
- $K = \mathbb{Q}(\sqrt{-47})$  ( $h_K = 5$ ):  $\kappa_{\psi}$  doesn't seem to be a unit for any  $\psi$ .

# Generalizing Stark's Observation

# Proposition (S.)

Let  $\psi$  be a class character such that  $\psi^2$  is a non-trivial character with rational real part (equivalently, the character of  $\operatorname{Ind}_K^\mathbb{Q} \psi$  is rational). Then  $\kappa_\psi$  is an algebraic integer which is a unit. Moreover, if  $\psi^2$  is a non-trivial genus character corresponding to the factorisation  $D=D_1D_2$ , with  $D_1>0$  say, then

$$\kappa_{\psi} = \epsilon_{D_1}^{\frac{4h_{D_1}h_{D_2}}{w_K w_{D_2}}},$$

where  $\epsilon_{D_1}$  is the fundamental unit of  $\mathbb{Q}(\sqrt{D_1})$ ,  $h_{D_j}$  is the class number of  $\mathbb{Q}(\sqrt{D_j})$  and  $w_{D_2}$  is the number of roots of unity in  $\mathbb{Q}(\sqrt{D_2})$ .

# A corollary

It follows from this proposition that

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If K has class number divisible by 2 or 3, there exists a class character  $\psi$  for which  $\kappa_{\psi}$  is a unit.

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#### Question

Is the converse true?

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# Two objectives

#### Recall that

	$\langle  heta_\psi,  heta_\psi  angle$	$\langle  heta_{\mathfrak{a},\ell},  heta_{\mathfrak{b},\ell}  angle$
$\ell > 0$	$C_1 \sum_{\mathcal{A} \in Cl_{\mathcal{K}}} \psi^2(\mathcal{A}) \delta^{2\ell-1} E_2(\mathcal{A})$	$C_2 \sum_{\mathfrak{a}\bar{\mathfrak{b}}\mathfrak{c}^2 = \lambda_{\mathfrak{c}}\mathcal{O}_K} \lambda_{\mathfrak{c}}^{2\ell} \delta^{2\ell-1} E_2(\mathfrak{c})$
$\ell=0$	$C_3 \sum_{\mathcal{A} \in Cl_K} \psi^2(\mathcal{A}) \log \Phi(\mathcal{A})$	not applicable
	$\psi^2=1$ : not applicable	

#### Two objectives for the *p*-adic interpolation

- 1. Show that the quantities  $\langle \theta_{\mathfrak{a},\ell}, \theta_{\mathfrak{b},\ell} \rangle$  can be *p*-adically interpolated for  $\ell > 0$  (under certain restrictions),
- 2. Evaluate the p-adic analytic function obtained at  $\ell = 0$ .



# *p*-adic interpolation of Petersson inner product of theta series: setup

#### Suppose that:

- 1. *D* is prime,
- 2. p is a prime  $\neq 2,3$  which splits in K (say  $p\mathcal{O}_K = \mathfrak{p}\bar{\mathfrak{p}}$ ),
- 3.  $\mathfrak{a}$  and  $\mathfrak{b}$  are two fractional ideals of K such that

$$\mathfrak{a}\overline{\mathfrak{b}}\mathfrak{c}^2 = \mathcal{O}_K$$
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- 3.  $\mathfrak{a}$  and  $\mathfrak{b}$  are two fractional ideals of K such that

$$\mathfrak{a}\overline{\mathfrak{b}}\mathfrak{c}^2=\mathcal{O}_K.$$

Moreover, fix an isomorphism

$$\mathbb{Q}_p/\mathbb{Z}_p\to\bigcup_{n\geq 1}\bar{\mathfrak{p}}^{-n}\mathfrak{c}/\mathfrak{c}$$

and let

$$\mathcal{W} = \mathsf{Hom}_{\mathsf{cont}}(\mathbb{Z}_p^{\times}, \mathbb{Z}_p^{\times})$$

denote the p-adic weight space.



# *p*-adic interpolation of Petersson inner product of theta series: result

Under the above assumptions, one has the following

## Theorem (S.)

There exists a p-adic analytic function

$$F: \mathcal{W} \to \mathbb{C}_p$$

with the property that

$$F(\ell) = (\mathsf{Frob}_{\mathfrak{p}}^{-1} - p^{2\ell-1})(\mathsf{Frob}_{\mathfrak{p}}^{-1} - p^{2\ell}) \left( \frac{\langle \theta_{\mathfrak{a},\ell}, \theta_{\mathfrak{b},\ell} \rangle}{((2\pi i)^{-1}\Omega_{\mathbb{C}}(\mathfrak{c}))^{4\ell}} \right) \ \ \textit{for all} \ \ell > 0$$

where  $\mathsf{Frob}_{\mathfrak{p}} = \left(\frac{H/K}{\mathfrak{p}}\right)$  is the Artin symbol.

## Evaluation of F at $\ell = 0$

Let

$$g_0(q) = rac{\Delta(q)}{\Delta(q^p)}$$

and let

$$g_0^{(p)}(q) = rac{g_0(q^p)}{g_0^p(q)} = rac{\Delta^{p+1}(q^p)}{\Delta^p(q)\Delta(q^{p^2})}.$$

Then  $\log_p g_0^{(p)}$  is a p-adic modular form and one has the following

Theorem (S. (loose form))

The following equality holds in  $\mathbb{C}_p$ :

$$F(0) = -\frac{1}{6\rho} \log_{\rho} g_0^{(\rho)}(\mathfrak{c}).$$

# Interpretation of the above theorem (in the current setup)

#### Again, recall that

	$\langle  heta_\psi,  heta_\psi  angle$	$\langle  heta_{\mathfrak{a},\ell},  heta_{\mathfrak{b},\ell}  angle$	
$\ell > 0$	$C_1 \sum_{\mathcal{A} \in Cl_{\mathcal{K}}} \psi^2(\mathcal{A}) \delta^{2\ell-1} E_2(\mathcal{A})$	$C_2 \sum_{\mathfrak{a}ar{\mathfrak{b}}\mathfrak{c}^2=\lambda_{\mathfrak{c}}\mathcal{O}_{K}} \lambda_{\mathfrak{c}}^{2\ell} \delta^{2\ell-1} E_2(\mathfrak{c})$	
$\ell=0$	$C_3 \sum_{\mathcal{A} \in Cl_K} \psi^2(\mathcal{A}) \log \Phi(\mathcal{A})$	not applicable	
	$\psi^2=1$ : not applicable	пос аррпсавіс	

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Using the formula for  $\langle \theta_{\psi}, \theta_{\psi} \rangle$  even if  $\psi^2 = 1$ , one has

	$\langle  heta_\psi,  heta_\psi  angle$	$\langle  heta_{\mathfrak{a},\ell},  heta_{\mathfrak{b},\ell}  angle$
$\ell > 0$	$C_1 \sum_{\mathcal{A} \in CI_{\mathcal{K}}} \psi^2(\mathcal{A}) \delta^{2\ell-1} E_2(\mathcal{A})$	$4\delta^{2\ell-1} E_2(\mathfrak{c})$
$\ell = 0$	$C_3 \sum_{A \in Cl_K} \psi^2(A) \log \Phi(A)$	$-rac{1}{3}\log(N(\mathfrak{c})^6 \Delta(\mathfrak{c}) )$

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$\ell=0$	$C_3 \sum_{\mathcal{A} \in Cl_K} \psi^2(\mathcal{A}) \log \Phi(\mathcal{A})$	$-\frac{1}{3}\log(N(\mathfrak{c})^6 \Delta(\mathfrak{c}) )$

Using the above result, a formal computation gives

$$egin{aligned} F(0) &= -rac{1}{6p}\log_prac{\Delta^{p+1}(q^p)}{\Delta^p(q)\Delta(q^{p^2})} \ &= -rac{1}{6}(\operatorname{Frob}_{\mathfrak{p}}^{-1}-p^{-1})(\operatorname{Frob}_{\mathfrak{p}}^{-1}-1)\log_p\Delta(\mathfrak{c}). \end{aligned}$$

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## Experimenting with Petersson norm of theta series

Consider the algebraic number

$${\it N}(\psi) = rac{\langle heta_\psi, heta_\psi 
angle}{\Omega_{\it K}^{4\ell}} \qquad {
m for} \ell > 0,$$

where  $\Omega_K$  is the Chowla-Selberg period.

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$$N(\psi_0) \in \mathbb{Z}$$

for a unique Hecke character  $\psi_0$ .

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$$N(\psi_0) \in \mathbb{Z}$$

for a unique Hecke character  $\psi_0$ . This  $\psi_0$  is the Hecke character

$$\psi_0(\mathfrak{a}) = \alpha^{2\ell/h_K},$$

where  $\mathfrak{a}^{h_K} = (\alpha)$ .

## Computing the Gram matrix in the space of theta series

Let

$$Gram(f_1, \ldots, f_d) = det(\langle f_i, f_i \rangle)_{1 \leq i, i \leq d}$$

be the determinant of the Gram matrix of the Petersson inner product for a basis  $\{f_1, \ldots, f_d\}$  in a vector space.

## Computing the Gram matrix in the space of theta series

Let

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be the determinant of the Gram matrix of the Petersson inner product for a basis  $\{f_1,\ldots,f_d\}$  in a vector space. Then one has the following

### Proposition

Let  $\{\mathfrak{a}_1,\ldots,\mathfrak{a}_{h_K}\}$  be a set of representatives of  $CI_K$  and let  $\{\psi_1,\ldots,\psi_{h_K}\}$  be the Hecke characters of K of infinity type  $(2\ell,0)$ . Then

$$\operatorname{\mathsf{Gram}}(\theta_{\mathfrak{a}_1,\ell},\ldots,\theta_{\mathfrak{a}_{h_K},\ell}) = \left(\frac{w_K^2}{h_K}\right)^{h_K} \left(\prod_{i=1}^{h_k} \mathsf{N}(\mathfrak{a}_i)\right) \operatorname{\mathsf{Gram}}(\theta_{\psi_1},\ldots,\theta_{\psi_{h_K}}).$$

## Computing the Gram matrix in the space of theta series

Numerically, it appears that

$$A(K,\ell) = \prod_{i=1}^{h_K} N(\psi_i) = rac{\mathsf{Gram}( heta_{\psi_1},\dots, heta_{\psi_{h_K}})}{\Omega_K^{4h_k\ell}}$$

is integral (except in some cases when  $\ell=1$ ):

D	$\ell=1$	$\ell = 2$	$\ell = 3$	$\ell = 4$	
-7	$\frac{1}{3}$	1	17	1337	
-8	$\frac{1}{2}$	5	92	1448	
-11	1	10	139	16000	
-15	4	6084	2528100	5222952900	
-19	$\frac{13}{3}$	142	9251	944192	
-20	64	21904	189337600	2907434214400	
-23	621	7303581	1571089526325	1233974294487401229	

## A basis of normalized theta series

Suppose that D<-4. For any ideal class  $\mathcal{A}=[\mathfrak{a}]\in \mathsf{Cl}_{\mathcal{K}}$  and any  $\ell>0$ , define

$$heta_{\mathcal{A},\ell} = rac{ heta_{\mathfrak{a},\ell}}{ extstyle E_2(\mathfrak{a}^{-1})^\ell}.$$

Then by CM theory

$$\langle \theta_{\mathcal{A}_1,\ell}, \theta_{\mathcal{A}_2,\ell} \rangle \in \mathcal{H}.$$

Define

$$C(K, \ell) = \mathsf{Gram}(\theta_{\mathcal{A}_1, \ell}, \dots, \theta_{\mathcal{A}_{h_K}, \ell}).$$

## Relation to the other invariants

After running some experiments, one notices that numerically,

$$\prod_{i=1}^{h_K} (12\sqrt{|D|}E_2(\mathfrak{a}_i)N(\mathfrak{a}_i)) = n(K)\Omega_K^{2h_K}$$

for some integer n(K).

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It follows that  $B(K, \ell)$  and  $C(K, \ell)$  only differ by n(K), up to an explicit quantity.

# Where we are in the presentation

Introduction

Petersson inner product of theta series

Algorithms

Generalizing Stark's observation

p-adic interpolation

Experimentation, invariants and observations

Conclusion



### Take home

The Petersson inner product of theta series

1. Can be efficiently computed,

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## Take home

The Petersson inner product of theta series

- 1. Can be efficiently computed,
- 2. Can be *p*-adically interpolated,
- 3. Contains interesting information in weight 1 and greater.

## Thank you!

Presentation available at:

https://github.com/NicolasSimard/Notes

Code available at: https://github.com/NicolasSimard/ENT