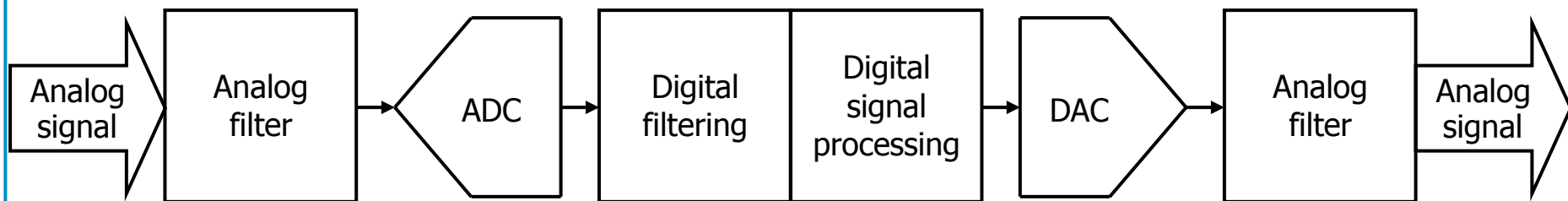




### **Signal processing:** (1<sup>st</sup> Sept. 2017 – 31<sup>st</sup> Jan. 2018)

rev. 2017-09-05/MS

- o Analog filters (Ming Shen) 5 lectures 1 lab exercise
  - o Types of filters (Butterworth, Chebyshev etc.)
  - o Filter characteristics, e.g. group delay
  - o Frequency- and impedance-scaling
  - o LP-HP and LP-BP transformation
  - o Realisation of active LP, HP and BP-filters
- o Digital filtering (Ove Andersen)
  - o Synthesis of transfer functions (FIR, IIR)
  - o Analysis (frequency response, signal graphs)
  - o Realisation/implementation-aspects
- o Spectral estimation (Søren Krarup Olesen)
  - o Discrete Fourier Transform (DFT)
  - o Time- and frequency-sampling, multiplication in the time- and frequency domains
  - o Window functions, zero padding, resolution
  - o Effective algorithms (FFT)





### **Examination:**

- o Written examination in January (4 hours)
- o You are allowed to use books, slides, your notes etc.
- o Matlab etc. may be used as a calculator, but no examination assignment requires the use of Matlab
- o Grading according to the 7 point scale
- o Formal rules to be announced at: <http://www.sict.aau.dk/studienaevn-for-elektronik-og-it/proevedatoer/>

### **Info on Moodle:**

- Some course materials (my part) from last year are available FYI - it will be updated – check the dates on the front pages.
- An updated agenda will be available before 12<sup>00</sup> the day before the lecture.
- Presentation "slides" and suggested solutions to exercises is supplemental material and may be modified at any time.



## Prerequisites

Required qualifications	Courses providing the required skill	
	EIT	ITC
<b>Analog Filters:</b>		
Ability to do calculations with complex numbers and make Laplace- and inverse Laplace-transformations.	Calculus; 2 <sup>nd</sup> sem.	
Application of circuit theory including dynamic circuits: <ul style="list-style-type: none"><li>• Impedance and transfer function calculations on circuits with resistors, capacitors, inductors and ideal operational amplifiers</li><li>• Impulse- and step-response calculations</li><li>• Ability to make Bode plots</li><li>• Knowledge of the significance of locations of poles and zeroes of a transfer function</li></ul>	Circuit Theory and Dynamic Systems, 2 <sup>nd</sup> sem.	Linear Circuits, 3 <sup>rd</sup> sem.



## Preliminary plan (analog filters)

1. **{1-2}, 3-7, {7-16}, 25-30, 49-57, (app. A)**
  - o **Course overview**
  - o **Analog filters: Applications**
  - o **Ideal and real filters**
  - o **The Butterworth approximation**
  - o **Design procedure, frequency and impedance scaling**
2. 7-20 (partly repetition), 30-36, 58-62, {App. A}
  - o Briefly: Passive filter realisation (ladder structure)
  - o The Chebyshev approximation
  - o Impact of group delay variations
3. 37-38, {67-71}, 77-88
  - o Briefly: Other filter types
  - o Frequency transformations, LP-HP, LP-BP & LP-BS
4. 171-184, 187-189, {190-196}, 197-208
  - o Sensitivity analysis
    - o How sensitive is a given circuit to component variations?
    - o Used as a tool to evaluate filter circuits
  - o OpAmps applied as building blocks in active RC-filters
6. 217-238, 253-260, 263-264
  - o 2nd order Sallen-Key
  - o 2nd order multiple feed-back
  - o Higher order filters
7. Design/lab. exercise

Kendall Su: "Analog Filters",  
Kluwer Academic Publishers,  
2nd ed. 2002, ISBN 1-4020-7033-0  
(Springer: ISBN 978-1-4020-7033-4)

Available in electronic form at  
<http://site.ebrary.com/lib/aalborguniv>  
where you can read the book and  
print a few pages.

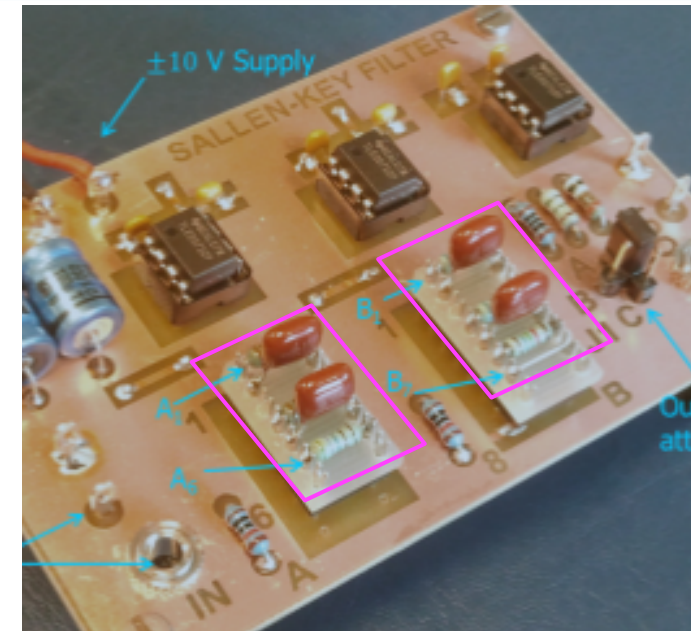
Pages from textbook on Moodle



## Preliminary plan - continued

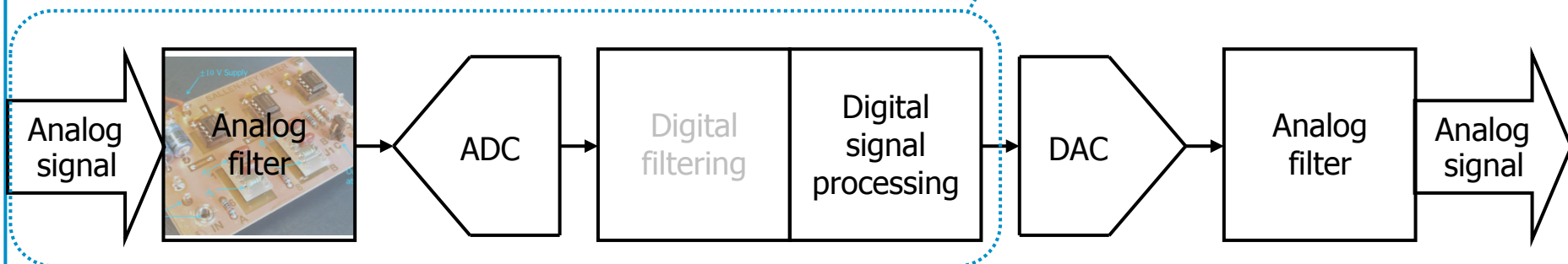
### Design and lab. exercise:

9. (Full day – schedule to be revised)
  - o Active (analog) filter design
  - o Measurement of filter frequency response
  - o Sampling of a signal with noise and interference through the filter
  - o Analysis of the sampled signal using DFT-techniques (the theory will be given later in the course)
  - o Later in the course: Analysis of the signal using digital filtering



### Design and lab. exercise

Typical processing chain:





## Analog filters: When and why?

- o Analog filters
  - o History
    - o Simple filters (1880s, telegraph)
    - o Image filters (1920s, telephone)
    - o Network synthesis filters (1930s, WWII)
  - o The theory makes a basis for some digital filters
  - o Interface to analog surroundings
  - o High power, high frequency

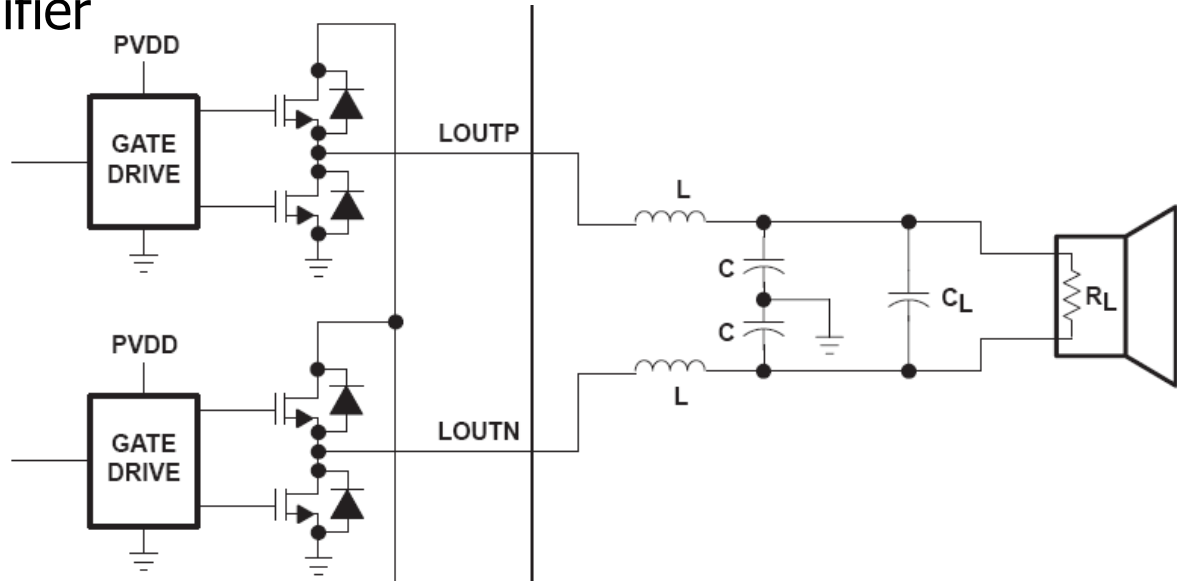
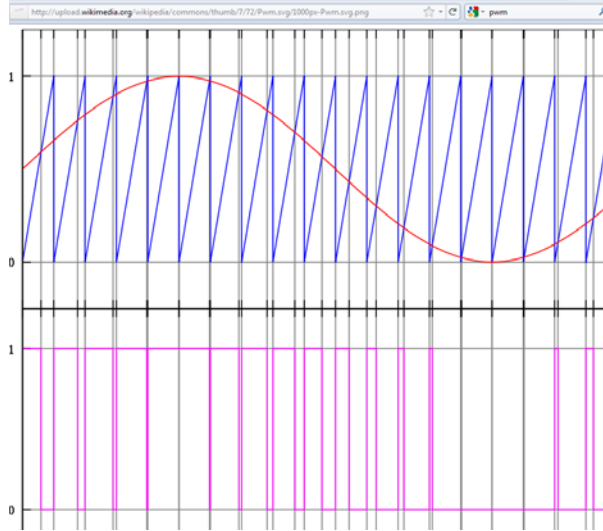
ZZZZ... 2 min

Hear some noise?

# Analog filters: Examples

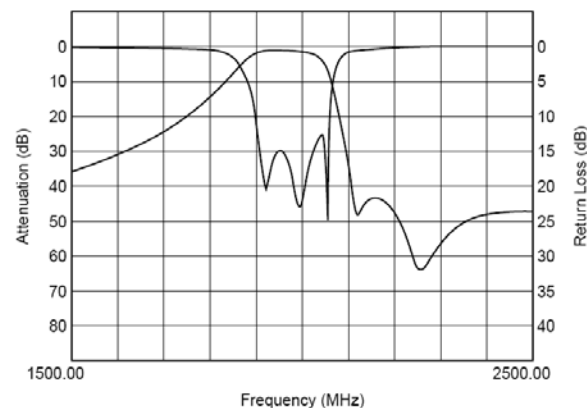
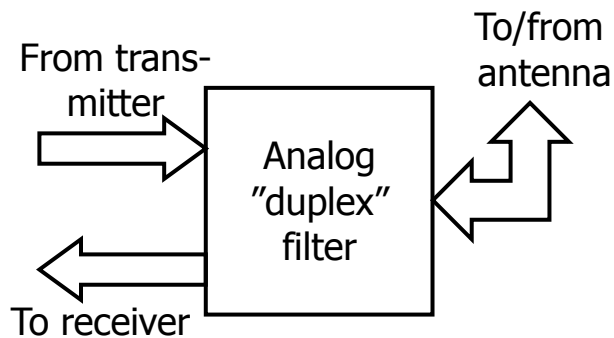
## Class D (PWM) audio amplifier

[<http://focus.ti.com/lit/an/sloa031/sloa031.pdf>]

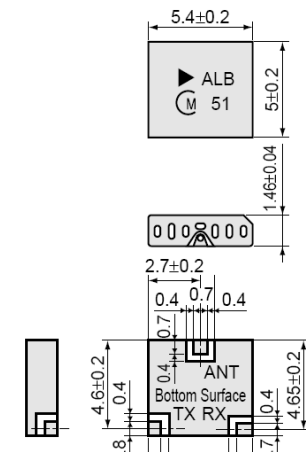


## 3G mobile phone ~ 2 GHz

[<http://murata.com/catalog/o81e.pdf>]

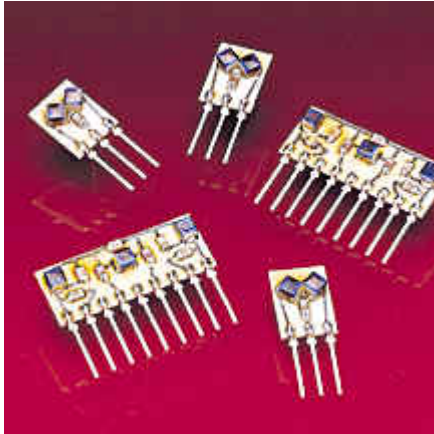


DFYY61G95LANAD

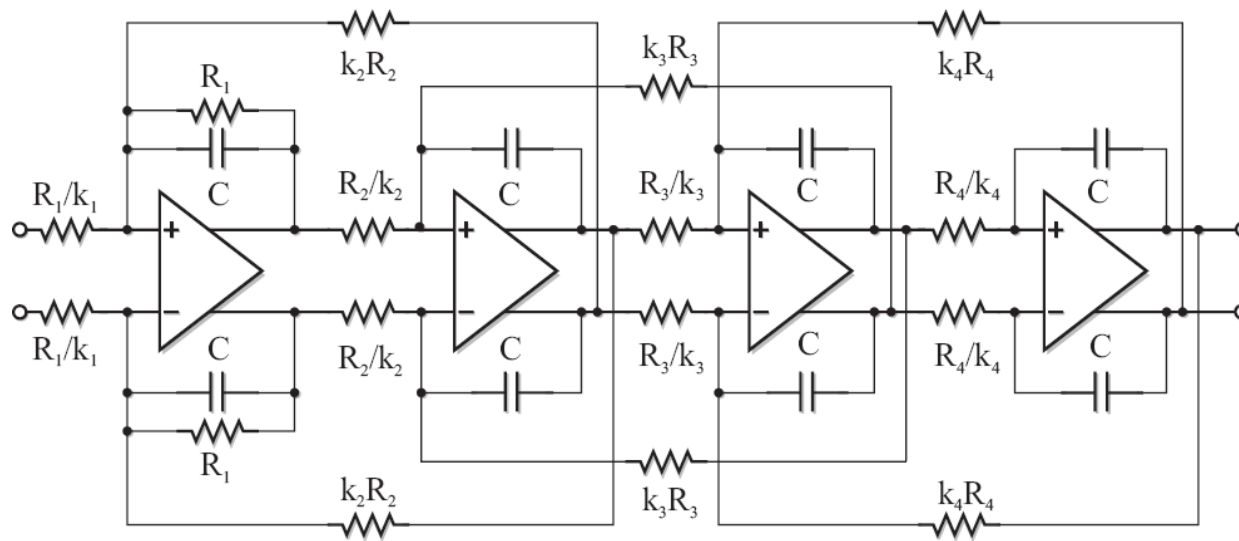




## Analog filters: Examples



Coilcraft LC low-pass filters "xx-xxx" MHz



3G mobile phone.  
Integrated adjustable  
low-pass filter (2 MHz)

[Jan H. Mikkelsen]

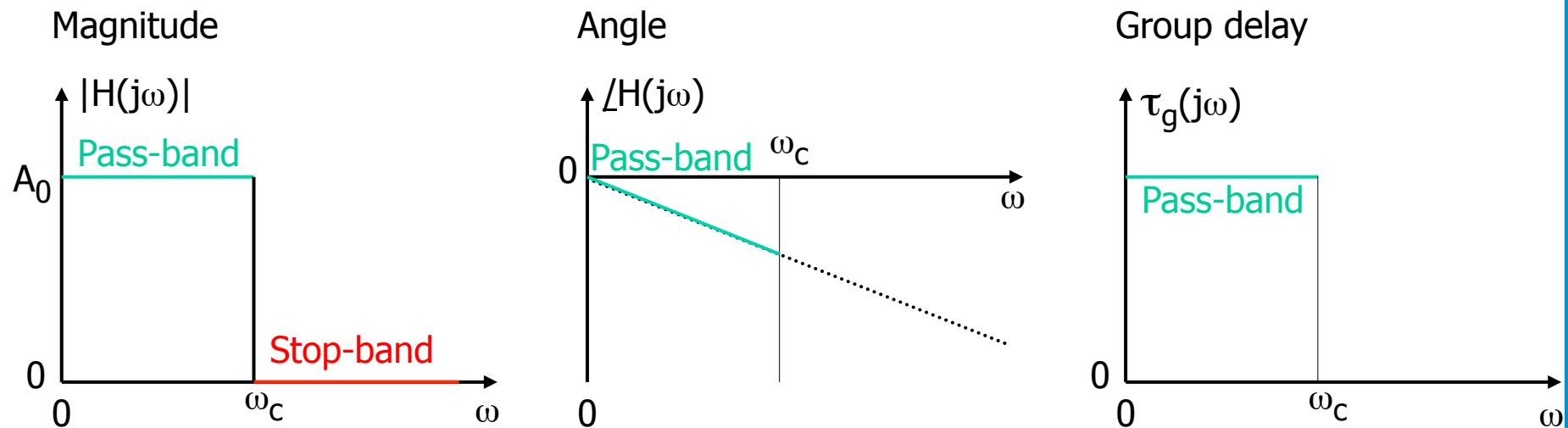
Note: Not all design methods required for design of these filters are covered in this course





# Ideal filters

Low-pass filter:



$$\mathcal{L}\{f(t)\} = F(s)$$

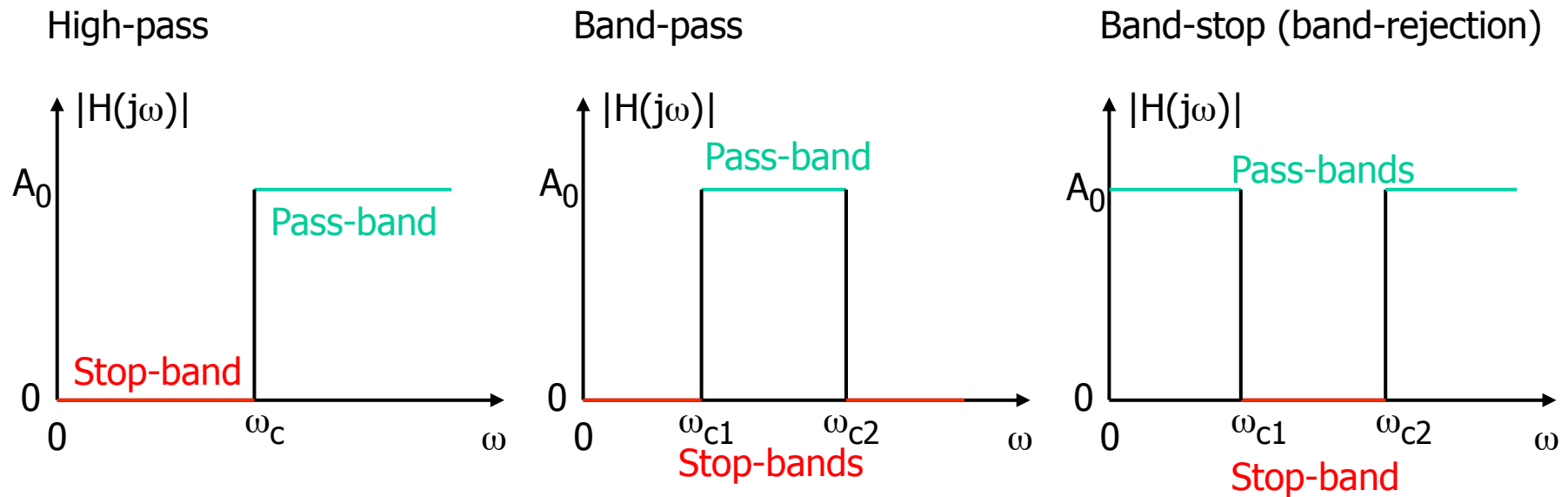
$$\mathcal{L}\{f(t - \tau) \cdot u(t - \tau)\} = F(s) \cdot e^{-s\tau}$$

$$H(j\omega) = e^{-j\omega\tau} \Leftrightarrow \text{delay}$$

$$\tau_g(j\omega) = -\frac{d\angle H(j\omega)}{d\omega}$$



## Ideal filters

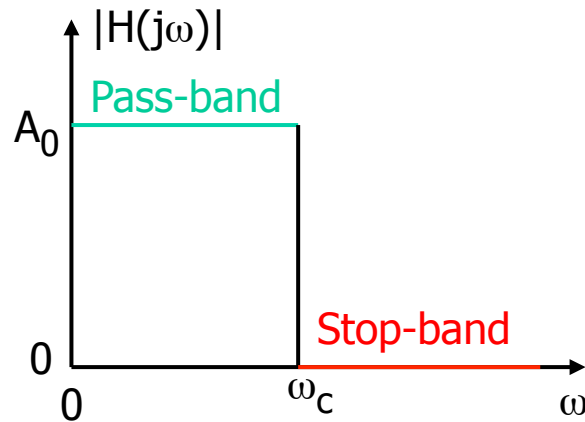


### Ideal filters:

- o Zero transmission in stop-bands
- o Constant transmission magnitude in pass bands
- o Linear transmission phase  $\Leftrightarrow$  constant group delay in the transmission bands
- o No distance between pass- and stop-bands
- o Impossible to make ☹



# Ideal LP-filter

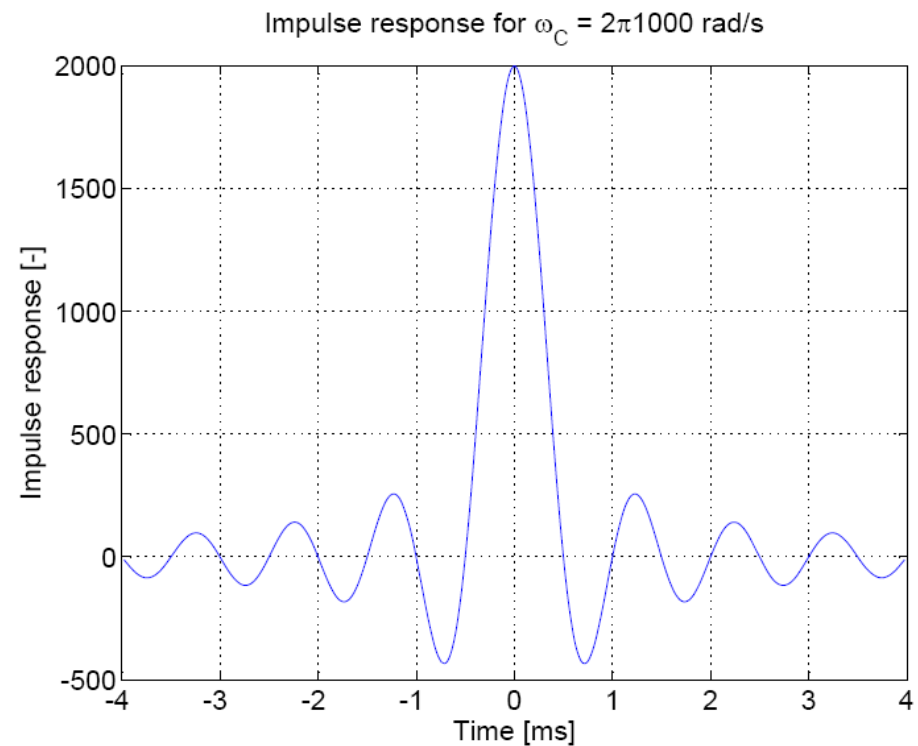


The impulse response may be found as:

$$h(t) = \frac{\sin(\omega_c t)}{\pi t}$$

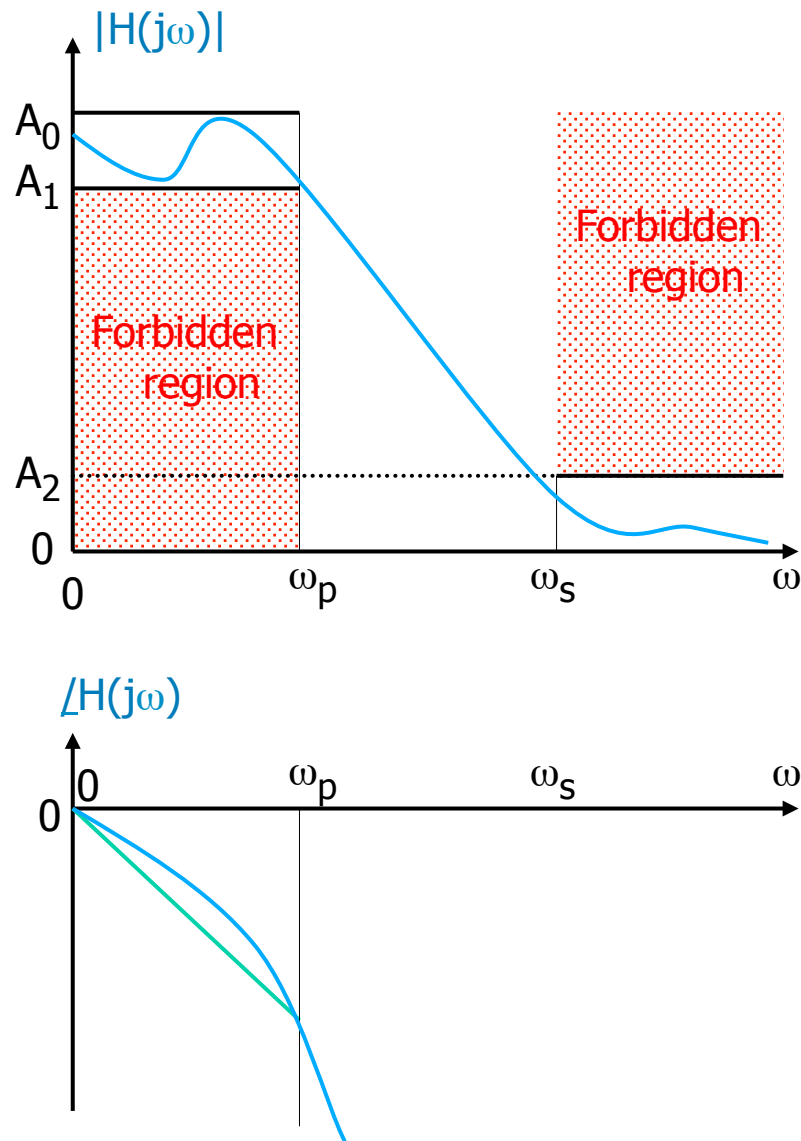
$h(t)$  :

- o Has infinite duration
- o Is not causal (output starts before input)





## Real filters



A real filters transfer function is not perfect – limits must be specified

Low-pass filter requirements:

- o Maximum attenuation at and below the pass-band edge,  $\omega_p$ .
- o Minimum attenuation at and beyond the stop-band edge,  $\omega_s$ .

Conflicting requirements:

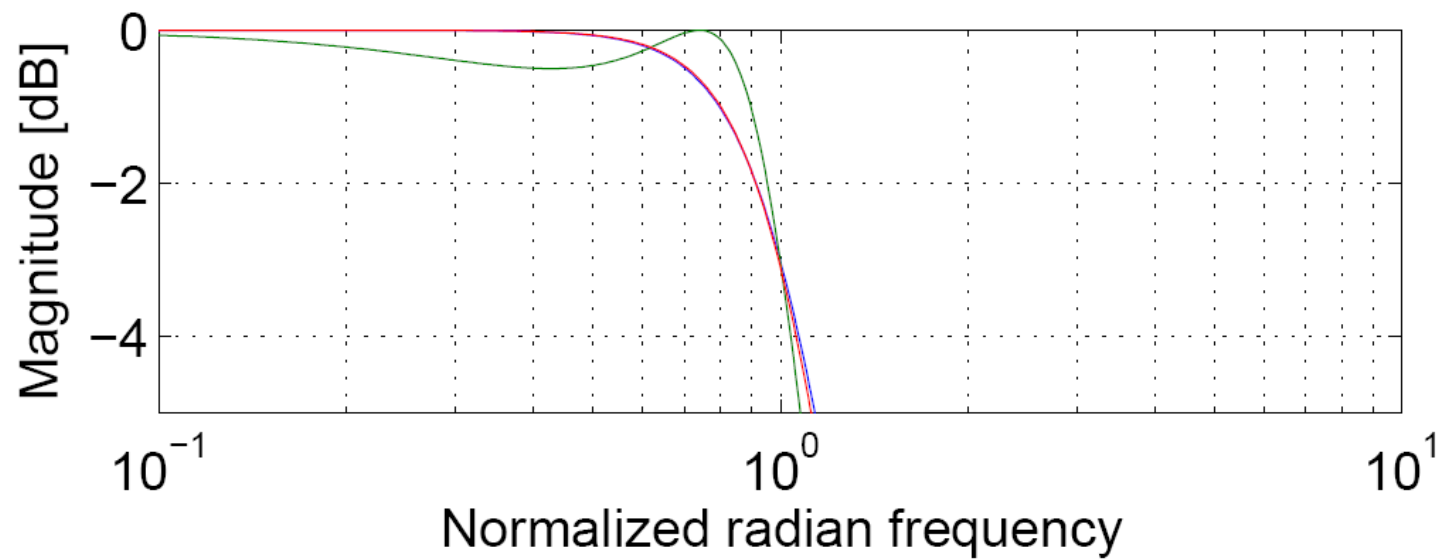
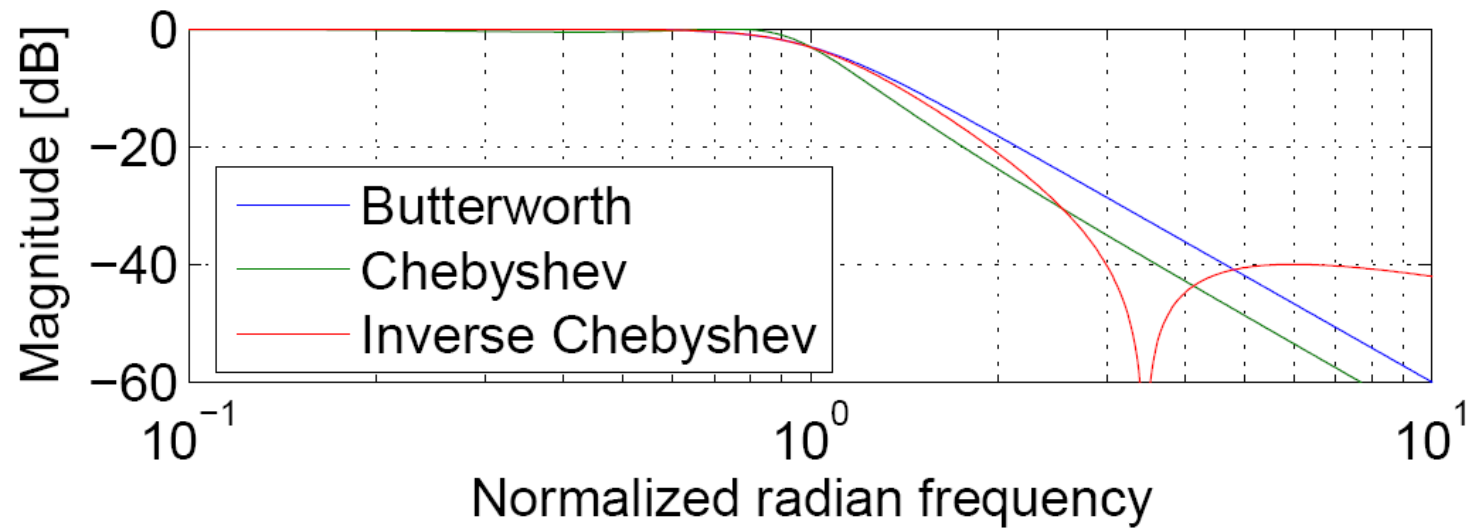
- o Low passband variation,  $A_0/A_1$ .
- o High stopband attenuation,  $A_0/A_2$ .
- o Low transition band ratio = shape factor,  $\omega_s/\omega_p$ .
- o Simple circuit

Maybe also requirements for:

- o Phase nonlinearity  $\sim$  group delay variation in the pass-band



## Examples of filter approximations (3rd order)



## Filter prototypes

Filter designs are usually based on a library of "prototypes" describing:

- o Transfer function polynomials for active realisation
- o Component values for a passive realisation

$$H(s) = \frac{K}{a_n s^n + a_{n-1} s^{n-1} \dots + a_1 s + 1}$$

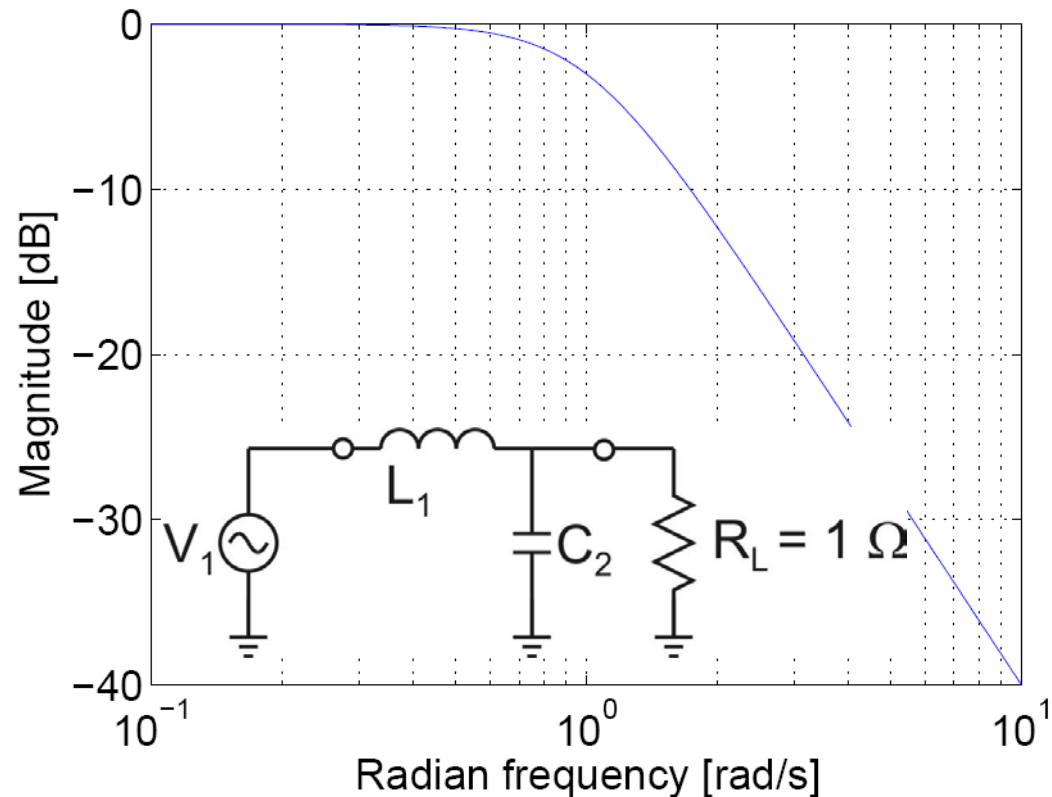
Prototypes are normalized:

- o Passband-edge radian frequency  $\omega_{P, \text{Norm}} = 1 \text{ rad/s}$  ( $\sim f_p = 0.159 \text{ Hz}$ ) (Not necessarily -3 dB)
- o  $1 \Omega$  load resistor (passive)

Example (2<sup>nd</sup> order Butterworth):

$$H(s) = \frac{1}{s^2 L_1 C_2 + s L_1 / R_L + 1}$$

$$C = \frac{1}{\sqrt{2}} F \quad L = \sqrt{2} H$$





## Disclaimer

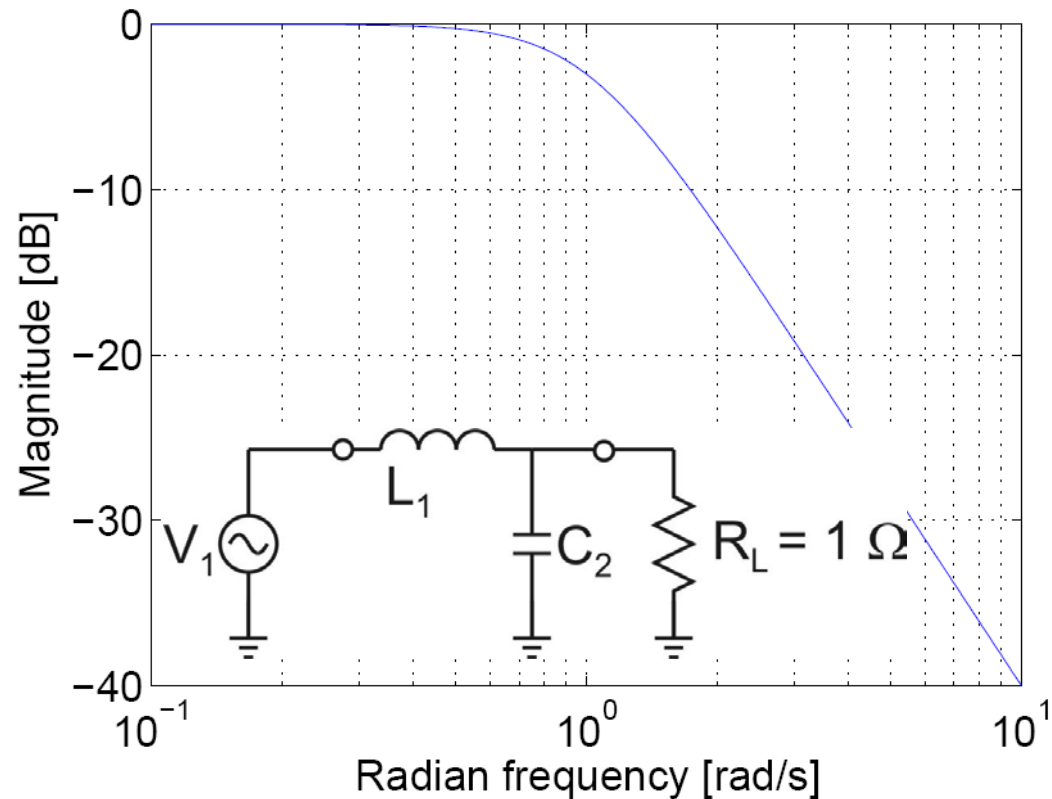
$$H(s) = \frac{1}{s^2 L_1 C_2 + s L_1 / R_L + 1}$$

$$C = \frac{1}{\sqrt{2}} F \quad L = \sqrt{2} H$$

$$H(s) = \frac{1}{s^2 + s\sqrt{2} + 1}$$

Units omitted

Mathematically incorrect!!!



In filter literature it is common practice:

- o To use normalized prototypes (1 rad/s, 1  $\Omega$  load resistor (passive))
- o Disregard units

This will also be done in this course

2 min



## Butterworth approximation

Steps in the derivation:

1. The magnitude of the transfer function,  $|H(j\omega)|$ , is defined
2. Find the poles of the transfer function
3. Find the transfer function,  $H(s)$
4. Find a circuit realizing  $H(s)$ 
  - o Passive
  - o Active

$|H(j\omega)|^2$  is more convenient to work with than  $|H(j\omega)|$

A transfer function magnitude of the form:

$$|H(j\omega)|^2 = \frac{A_0}{1 + F(\omega^2)} \quad \text{where}$$

$$0 < F(\omega^2) \ll 1 \quad \text{for} \quad \omega < \omega_p$$

$$F(\omega^2) \gg 1 \quad \text{for} \quad \omega > \omega_s$$

will make a low-pass function





## Butterworth approximation/definition ( $\omega_{-3dB} = 1 \text{ rad/s}$ )

An  $n^{\text{th}}$  order ( $n$  = number of poles) normalized Butterworth filter is defined by:

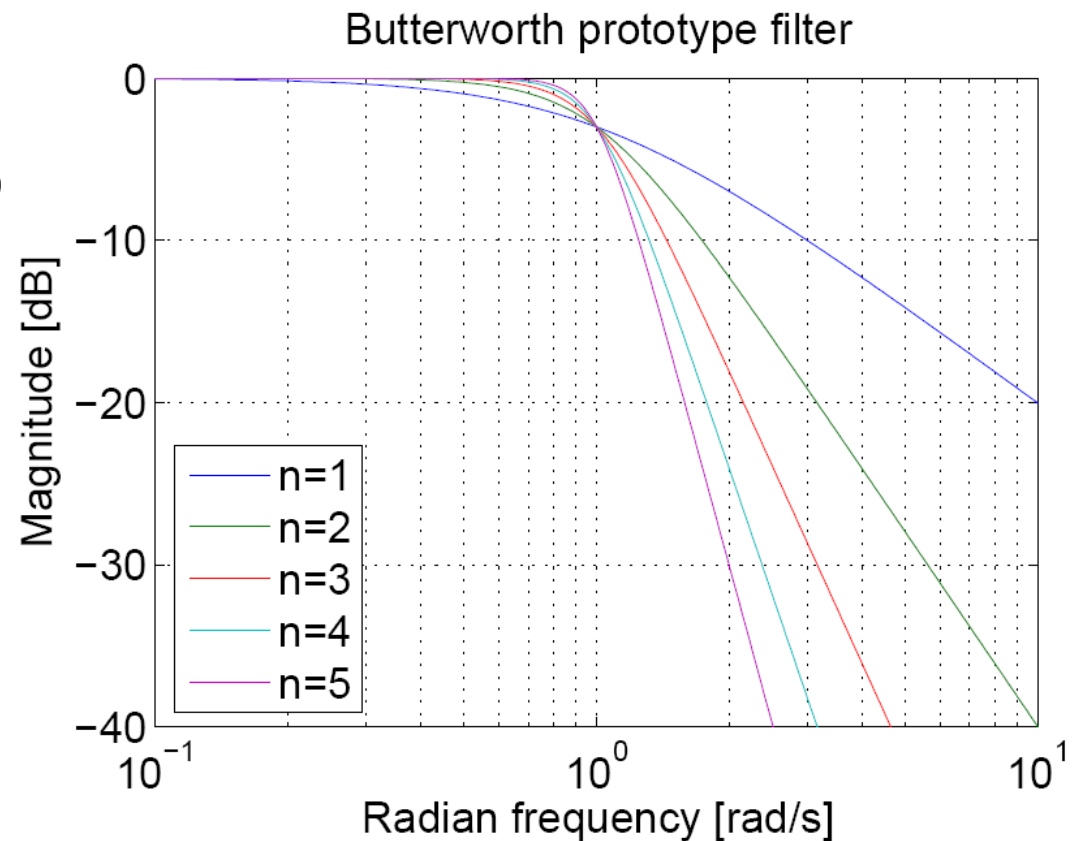
$$F(\omega^2) = \omega^{2n}$$

$$|H(j\omega)|^2 = \frac{1}{1 + \omega^{2n}} \quad (A_0 = 1)$$

Properties (independent of order):

$$|H(j\omega)|_{\max} = |H(j0)| = 1$$

$$|H(j1 \text{ rad/s})| = \frac{1}{\sqrt{2}} \sim -3 \text{ dB}$$





## Butterworth approximation

It can be shown that the derivatives:

$$\left. \frac{d^k |H(j\omega)|}{d\omega^k} \right|_{\omega=0} = 0$$

for  $k = 1, 2, \dots, 2n - 1$

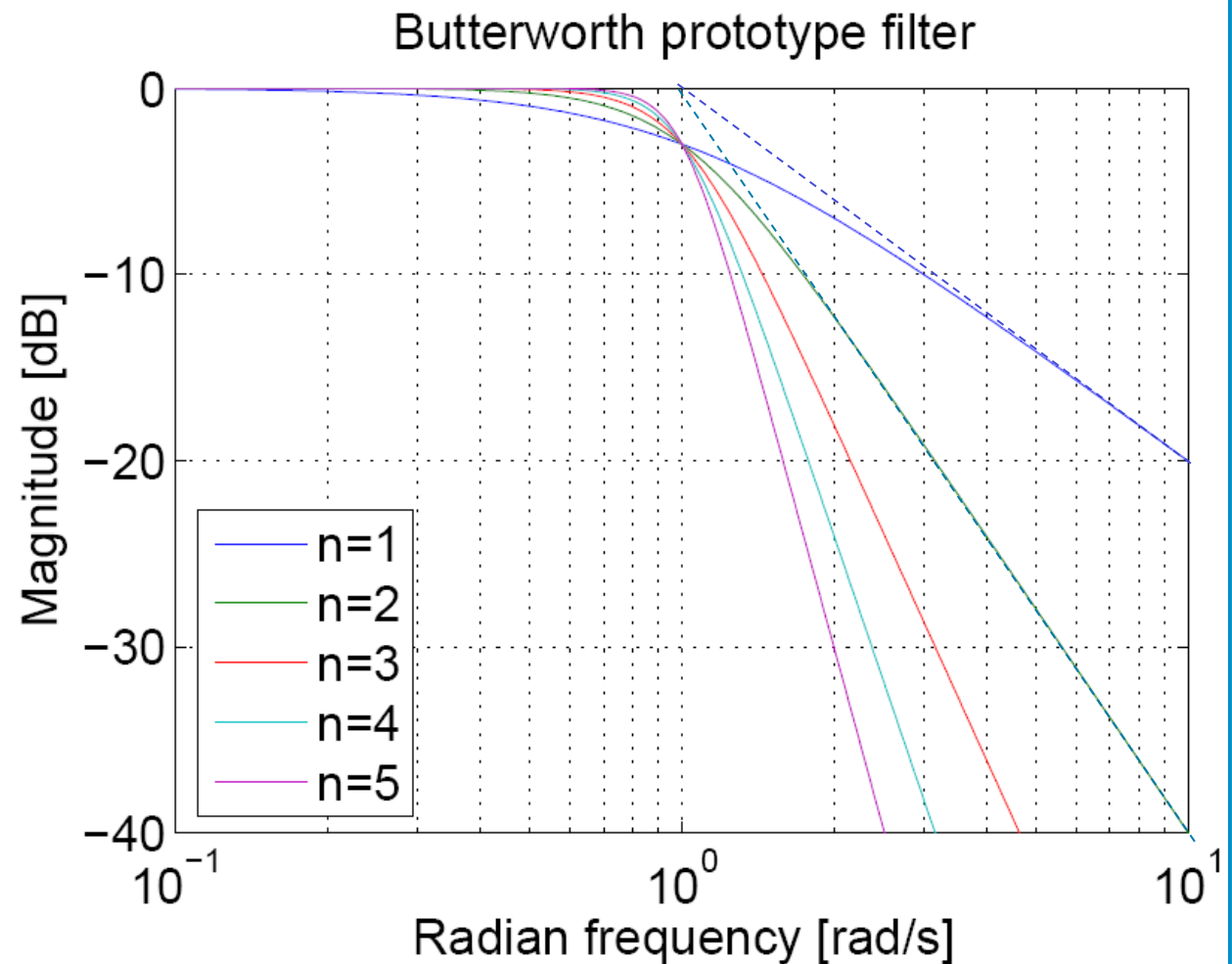
"maximally flat"

Stopband:

$$|H(j\omega)|^2 = \frac{1}{1 + \omega^{2n}}$$

$$|H(j\omega)|^2 \rightarrow \omega^{-2n}$$
$$\omega \rightarrow \infty$$

$$\sim -n \cdot 20 \text{ dB / dec}$$





## Butterworth transfer function ( $\omega_{-3dB} = 1$ rad/s)

Given:

$$|H(j\omega)|^2 = \frac{1}{1 + \omega^{2n}}$$

Find  $H(s)$

Sorry, it requires a few equations ☹:

A transfer function of the form:

$$H(s) = \frac{1}{a_n s^n + a_{n-1} s^{n-1} + \dots + a_2 s^2 + a_1 s + 1} \quad \text{where } a_i \text{'s are real}$$

has the property:

so: 
$$H(-j\omega) = H^*(j\omega)$$

$$|H(j\omega)|^2 = H(j\omega) \cdot H^*(j\omega) = H(s) \cdot H(-s) \Big|_{j\omega=s}$$

$$H(s) \cdot H(-s) = \frac{1}{1 + (-s^2)^n}$$



## Butterworth transfer function ( $\omega_{-3\text{dB}} = 1 \text{ rad/s}$ )

$$H(s) \cdot H(-s) = \frac{1}{1 + (-s^2)^n}$$

Find poles  $\Leftrightarrow$  roots of:

$$1 + (-s^2)^n = 0$$

$$(-s^2)^n = -1 = e^{j(-\pi + 2k\pi)}$$

$$-s^2 = e^{j\frac{2k-1}{n}\pi}$$

(Another choice of signs in the book)

$$s^2 = e^{j\left(\frac{2k-1}{n}+1\right)\pi}$$

$$s = e^{j\left(\frac{2k-1}{2n}\pi + \frac{\pi}{2}\right)} \quad k = 1, 2, \dots, 2n$$

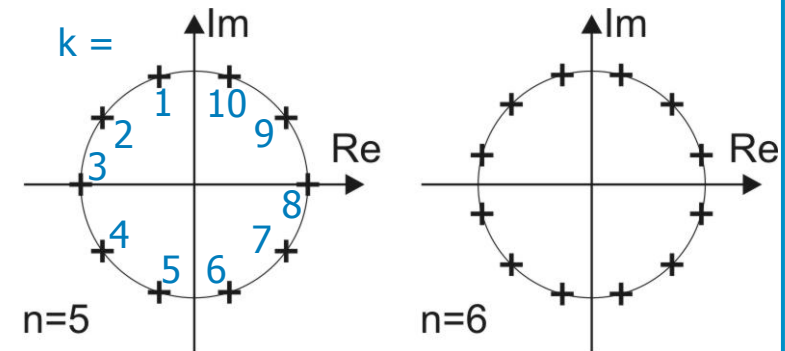


## Butterworth transfer function ( $\omega_{-3dB} = 1 \text{ rad/s}$ )

Poles of  $H(s) \cdot H(-s)$ :

$$p_k = e^{j\left(\frac{2k-1}{2n}\pi + \frac{\pi}{2}\right)} \quad k = 1, 2, \dots, 2n$$

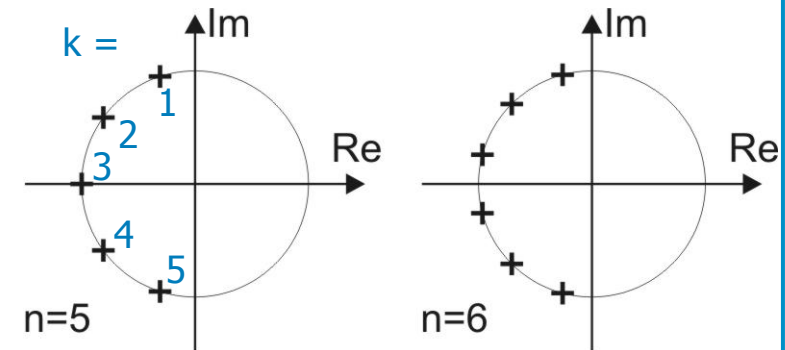
PS : Kendall Su :  $p_k = e^{j\left(\frac{2k+1}{2n}\pi - \frac{\pi}{2}\right)}$



Since  $H(s)$  must be a stable function, poles in the left half plane are assigned to  $H(s)$  (and right hand poles to  $H(-s)$ )

Poles of  $H(s)$  :

$$p_k = e^{j\left(\frac{2k-1}{2n}\pi + \frac{\pi}{2}\right)} \quad k = 1, 2, \dots, n$$



Note that:

- o Only complex conjugated pole pairs when  $n$  is even
- o Complex conjugated pole pairs and one real pole when  $n$  is odd



## Butterworth transfer function ( $\omega_{-3dB} = 1 \text{ rad/s}$ )

Poles of  $H(s)$  :

Transfer function,  $n$  odd:

( $p_r \Leftrightarrow$  real pole,  $p_c \Leftrightarrow$  complex pole)

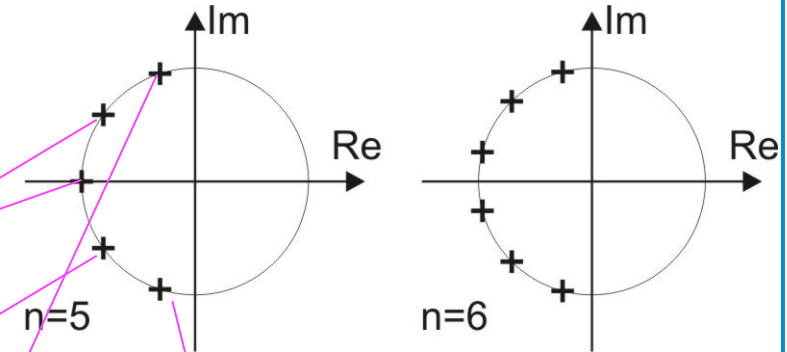
$$H(s) = \frac{K}{(s - p_r)(s - p_{c1})(s - p_{c1}^*)(s - p_{c2})(s - p_{c2}^*) \dots}$$

$$H(s) = \frac{K}{(s - p_r)(s^2 - 2 \operatorname{Re}\{p_{c1}\}s + |p_{c1}|^2)(s^2 - 2 \operatorname{Re}\{p_{c2}\}s + |p_{c2}|^2) \dots}$$

$$H(s) = \frac{1}{(s + 1)(s^2 - 2 \operatorname{Re}\{p_{c1}\}s + 1)(s^2 - 2 \operatorname{Re}\{p_{c2}\}s + 1) \dots}$$

$n$  even:

$$H(s) = \frac{1}{(s^2 - 2 \operatorname{Re}\{p_{c1}\}s + 1)(s^2 - 2 \operatorname{Re}\{p_{c2}\}s + 1) \dots}$$





## Butterworth transfer function (example: 3<sup>rd</sup> order)

Poles:

$$p_k = e^{j\left(\frac{2k-1}{2 \cdot 3}\pi + \frac{\pi}{2}\right)} \quad k = 1, 2, 3 \quad p_k = \begin{cases} e^{j2\pi/3} \\ -1 \\ e^{j4\pi/3} = e^{-j2\pi/3} \end{cases}$$

Transfer function:

$$\begin{aligned} H(s) &= \frac{1}{(s+1)(s-e^{j2\pi/3})(s-e^{j4\pi/3})} \\ &= \frac{1}{(s+1)(s^2 + s(-e^{j2\pi/3} - e^{j4\pi/3}) + 1)} \\ &= \frac{1}{(s+1)(s^2 + s + 1)} \\ &= \frac{1}{s^3 + 2s^2 + 2s + 1} \end{aligned}$$

10 min. break  
Break over



## Butterworth transfer function ( $\omega_{-3\text{dB}} = 1 \text{ rad/s}$ )

The Butterworth transfer functions may be found using the equations on the previous slides.

Alternative 1: Kendall Su: "Analog Filters", tables A.1 and A.2 where Butterworth polynomials = denominator of  $H(s)$  are given.

Alternative 2: Matlab – last slides.





## Butterworth phase response

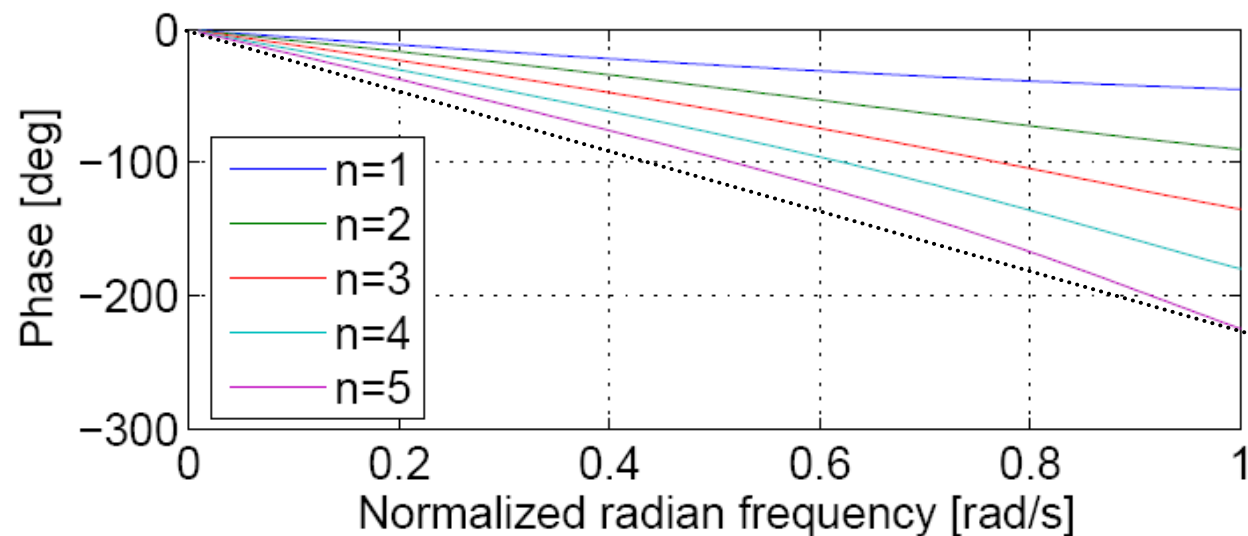
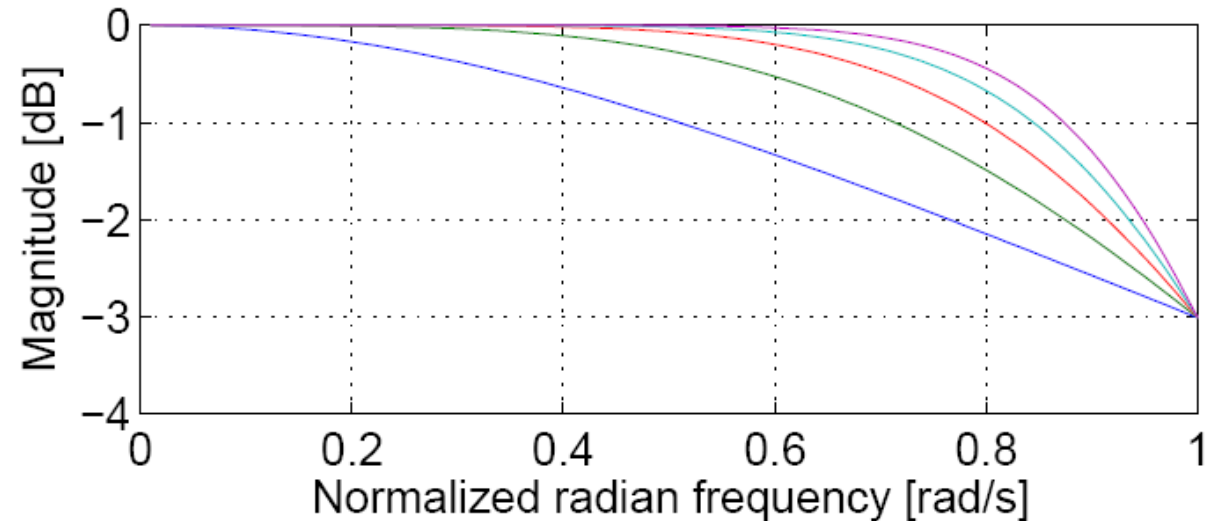
The transfer function is created without considering the phase.

Result: Some deviation from linearity

😊 ?

😞 ?

This will be investigated a bit further in lecture 2



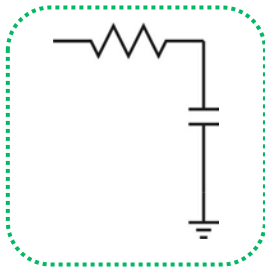


## Active realisation (more on this in lecture 4 & 5)

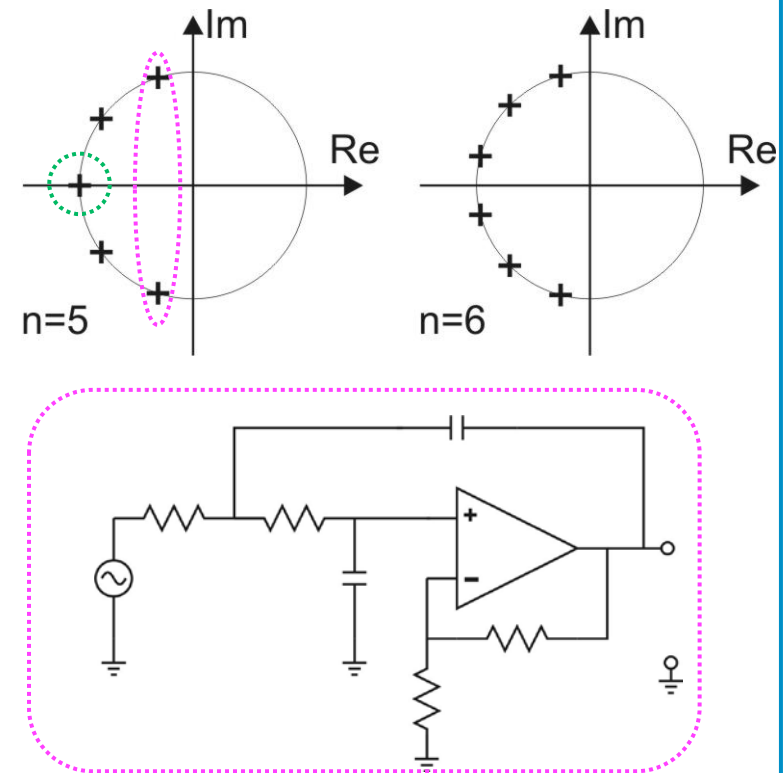
$$H_{odd}(s) = \frac{1}{(s+1)(s^2 - 2\operatorname{Re}\{p_{c1}\}s + 1)(s^2 - 2\operatorname{Re}\{p_{c2}\}s + 1)\dots}$$

$$H_{even}(s) = \frac{1}{(s^2 - 2\operatorname{Re}\{p_{c1}\}s + 1)(s^2 - 2\operatorname{Re}\{p_{c2}\}s + 1)\dots}$$

A real pole is realized by an RC-circuit



A complex pole pair is realized by one of many op-amp circuits, e.g.:





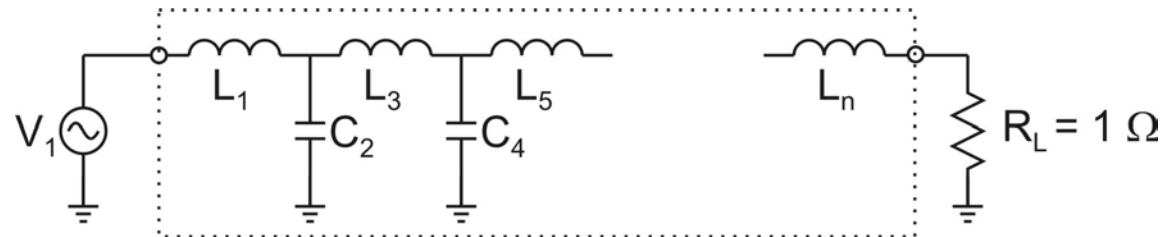
## Passive "ladder" realisation (more on this in lecture 2)

The transfer function may be written in the form ( $a_n = 1$  for Butterworth):

$$H(s) = \frac{1}{a_n s^n + a_{n-1} s^{n-1} + \dots + a_2 s^2 + a_1 s + 1} \quad \text{where } a_i \text{'s are real}$$

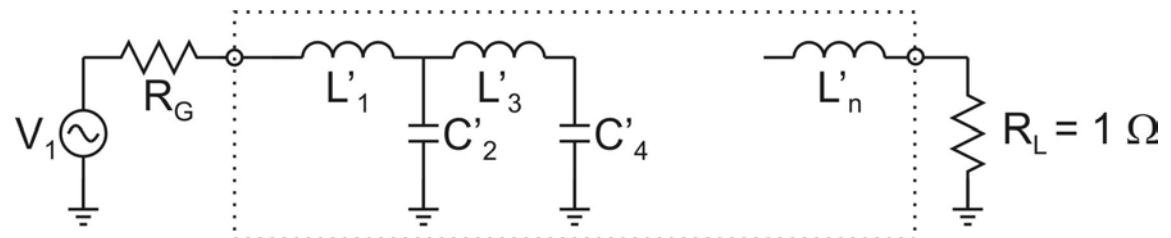
This can be realized with a ladder circuit:

- o Singly terminated,  $R_G = 0$
- o Doubly terminated



- o Component values can be found analytically

Ref.: Kendall Su:  
"Analog Filters",  
Ch. 5, 6 & 7.



- o Tables of component values can be found in reference books

$n$  reactive components gives an  $n^{\text{th}}$  order transfer function

**Prototypes are normalized: 1 rad/s, 1  $\Omega$  load resistor**

# Frequency scaling

The prototype has a normalized transfer function

It's wanted to have some other bandedge frequency

The frequency scaling factor is:

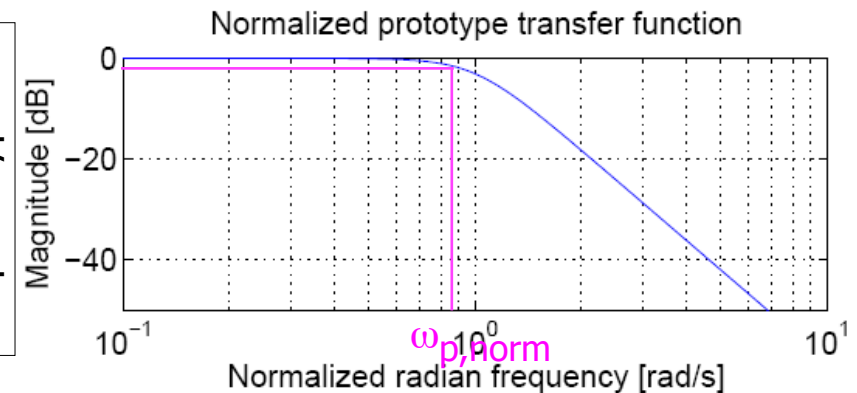
$$k_f = \frac{\omega_{p,scaled}}{\omega_{p,norm}} = \frac{2\pi \cdot f_{p,scaled}}{\omega_{p,norm}}$$

And then the transfer functions are related by:

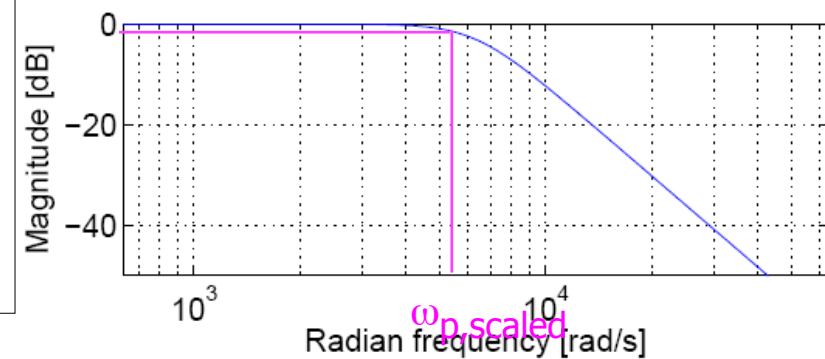
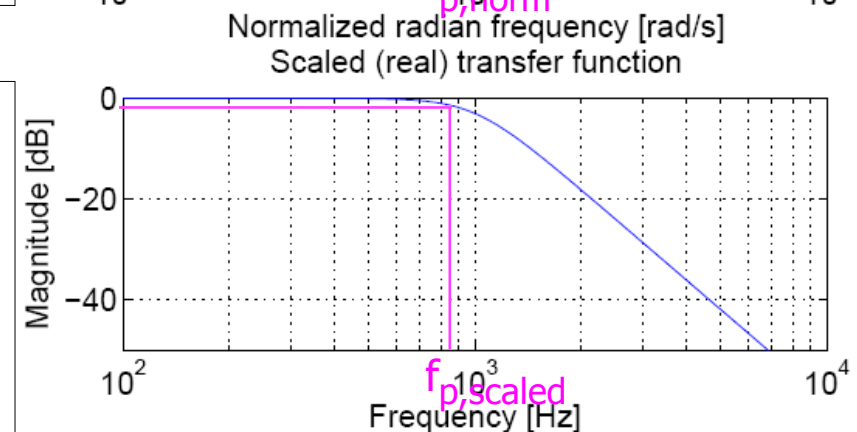
$$H_{scaled}(j\omega) = H_{norm}\left(\frac{j\omega}{k_f}\right)$$

$$|H_{scaled}(j\omega)|^2 = \frac{1}{1 + \left(\omega / k_f\right)^{2n}}$$

Normalized prototype



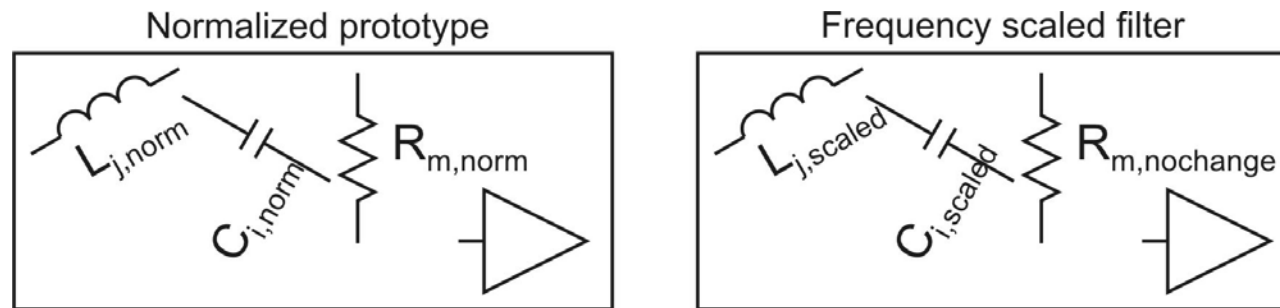
Frequency scaled filter





## Frequency scaling

The frequency response is obtained by scaling the reactive circuit components:



The same value of transfer function requires the same set of impedances:

$$j\omega_{p,norm}L_{j,norm} = j\omega_{p,scaled}L_{j,scaled}$$

$$L_{j,scaled} = \frac{\omega_{p,norm}}{\omega_{p,scaled}} L_{j,norm}$$

$$L_{j,scaled} = \frac{L_{j,norm}}{k_f}$$

Likewise:

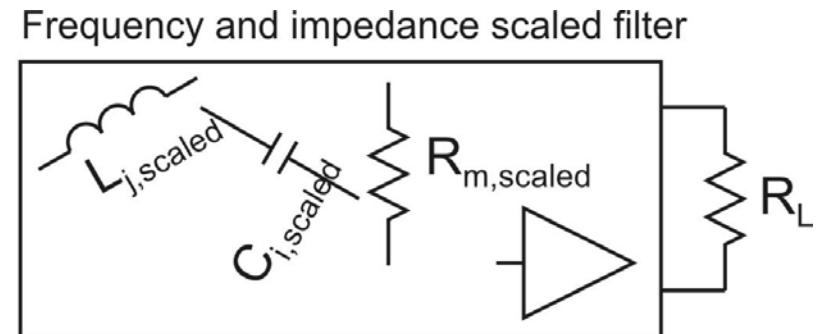
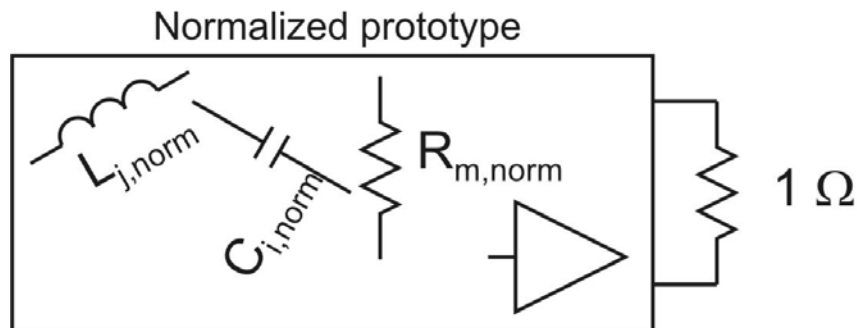
$$C_{i,scaled} = \frac{C_{i,norm}}{k_f}$$



## Impedance scaling

Likewise, the impedance level is scaled:

- o Passive: Scaling with load impedance
- o Active: Scaling to an "appropriate" impedance level



The same transfer function requires the same set of impedance ratios:

Inductor values increase with increasing impedance level

Capacitor values decrease with increasing impedance level

$$k_z = \frac{R_L}{1 \Omega}$$

$$k_f = \frac{\omega_{p,scaled}}{\omega_{p,norm}}$$

$$L_{j,scaled} = \frac{k_z}{k_f} L_{j,norm}$$

$$C_{i,scaled} = \frac{1}{k_f k_z} C_{i,norm}$$

$$R_{m,scaled} = k_z R_{m,norm}$$



## Butterworth, necessary order

Required:

- o Max.  $\alpha_{p,dB}$  attenuation at  $\omega_p$
- o Min.  $\alpha_{s,dB}$  attenuation at  $\omega_s$

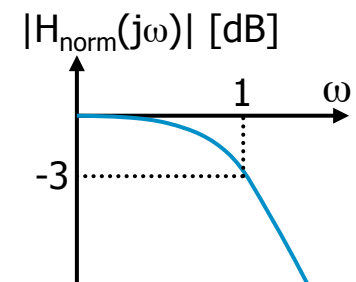
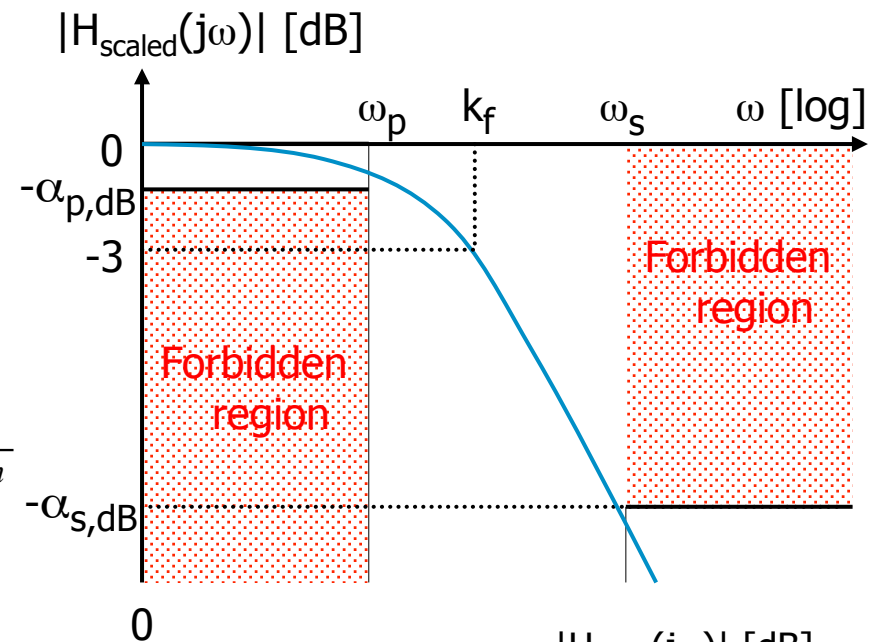
Given: General form of the Butterworth magnitude function:

$$|H_{scaled}(j\omega)|^2 = \left| H_{norm} \left( \frac{j\omega}{k_f} \right) \right|^2 = \frac{1}{1 + \left( \frac{\omega}{k_f} \right)^{2n}}$$

$$\alpha_{s,dB} = 10 \cdot \log \left( 1 + \left( \frac{\omega_s}{k_f} \right)^{2n} \right) \quad \alpha_{p,dB} = 10 \cdot \log \left( 1 + \left( \frac{\omega_p}{k_f} \right)^{2n} \right)$$

$$\left( \frac{\omega_s}{\omega_p} \right)^{2n} = \frac{10^{\alpha_{s,dB}/10} - 1}{10^{\alpha_{p,dB}/10} - 1}$$

$$n \geq \frac{1}{2 \cdot \log \frac{\omega_s}{\omega_p}} \log \frac{10^{\alpha_{s,dB}/10} - 1}{10^{\alpha_{p,dB}/10} - 1}$$



$$\alpha_{p,dB} > 0$$

$$\alpha_{s,dB} > 0$$



## Butterworth, scaling factor

The scaling factor is required for design:

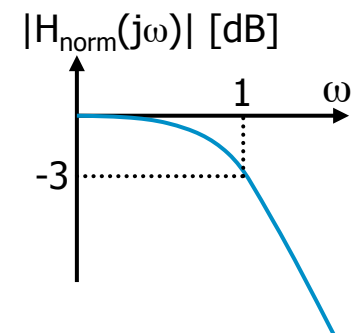
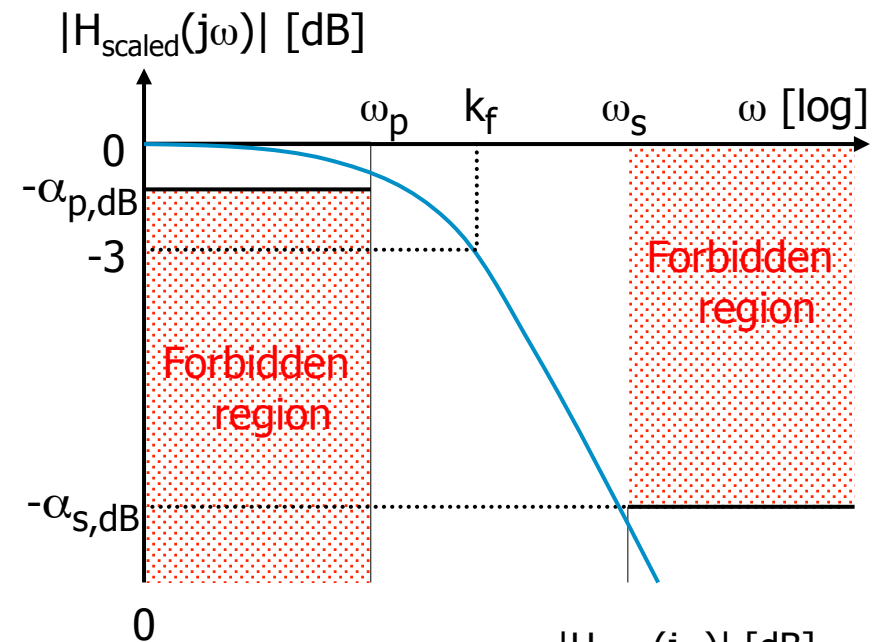
- 3 dB attenuation @  $\omega = k_f$ :
- setting  $\omega_S = k_f$  (just reuse the equation):

$$\left( \frac{k_f}{\omega_p} \right)^{2n} = \frac{10^{3/10} - 1}{10^{\alpha_{p,dB}/10} - 1}$$



$$k_f = \frac{\omega_p}{\sqrt[2n]{10^{\alpha_{p,dB}/10} - 1}}$$

( If  $\alpha_{p,dB} = 3$  dB, then  $\omega_p = k_f$  )

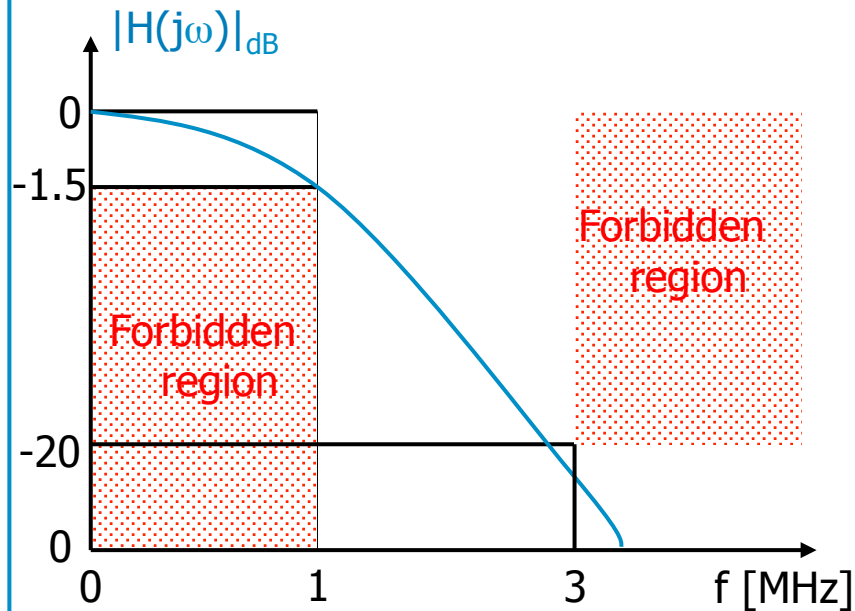


ZZZ... 2 min





## Butterworth, example



### Requirements:

- o 1.5 dB attenuation at 1 MHz
- o At least 20 dB att. at 3 MHz
- o Passive filter, Load resistance = 100 Ω

Necessary order:

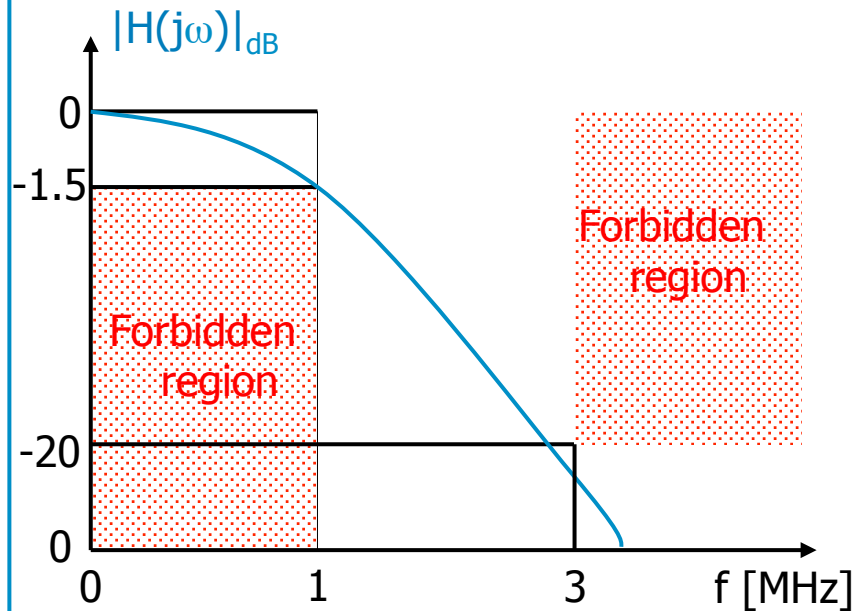
$$n \geq \frac{1}{2 \cdot \log \frac{\omega_s}{\omega_p}} \log \frac{10^{\alpha_{s,dB}/10} - 1}{10^{\alpha_{p,dB}/10} - 1} = \frac{1}{2 \cdot \log 3} \log \frac{10^{20/10} - 1}{10^{1.5/10} - 1} = 2.49 \rightarrow 3$$

Frequency scaling factor:

$$k_f = \frac{\omega_p}{\sqrt[2n]{10^{\alpha_{p,dB}/10} - 1}} = \frac{2\pi \cdot 10^6}{\sqrt[6]{10^{1.5/10} - 1}} = 7.28 \cdot 10^6$$



## Butterworth, example



Actual attenuation @ 3 MHz:

$$\alpha_{s,dB} = 10 \cdot \log \left( 1 + \left( \frac{\omega_s}{k_f} \right)^{2n} \right) =$$

$$10 \cdot \log \left( 1 + \left( \frac{2\pi \cdot 3 \cdot 10^6}{7.28 \cdot 10^6} \right)^6 \right) = 24.8 \text{ dB}$$

Alternative:

$$H_{norm}(s) = \frac{1}{s^3 + 2s^2 + 2s + 1}$$

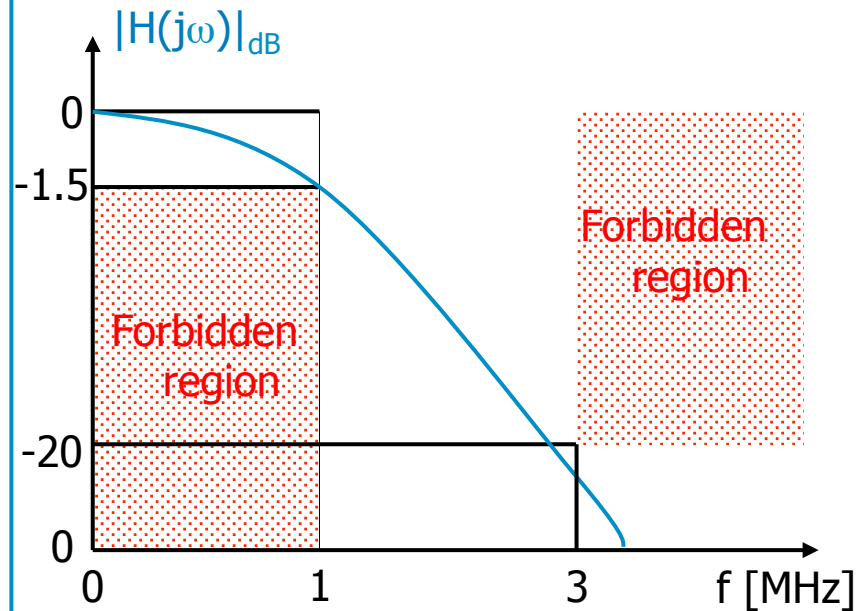
$$H_{scaled}(s) = \frac{1}{\left( \frac{s}{k_f} \right)^3 + 2 \left( \frac{s}{k_f} \right)^2 + 2 \frac{s}{k_f} + 1}$$

$$H_{scaled}(j2\pi \cdot 3e6) = \frac{1}{\left( \frac{j2\pi \cdot 3e6}{7.28e6} \right)^3 + 2 \left( \frac{j2\pi \cdot 3e6}{7.28e6} \right)^2 + 2 \frac{j2\pi \cdot 3e6}{7.28e6} + 1}$$

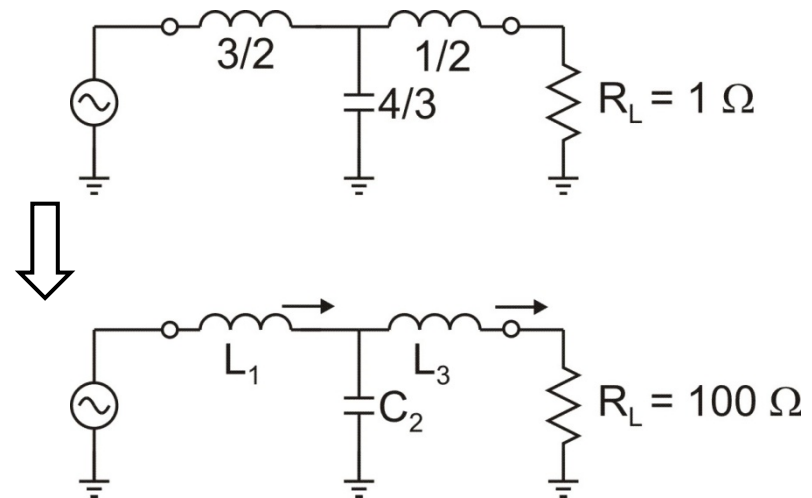
$$H_{scaled}(j2\pi \cdot 3e6) = \frac{1}{(j2.59)^3 + 2(j2.59)^2 + 2 \cdot j2.59 + 1} = 0.0575 \angle 136^\circ$$



## Butterworth, example



Design based on a prototype circuit:



$$L_1 = \frac{3k_z}{2k_f} = \frac{3 \cdot 100}{2 \cdot 7.28e6} = 20.6 \mu H$$

$$L_3 = \frac{1k_z}{2k_f} = \frac{100}{2 \cdot 7.28e6} = 6.87 \mu H$$

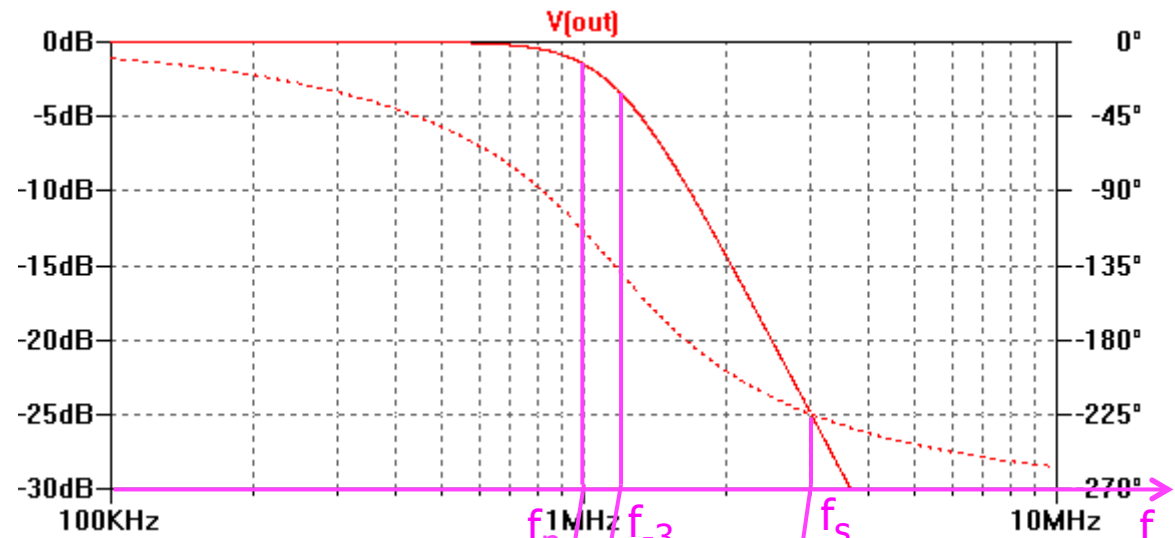
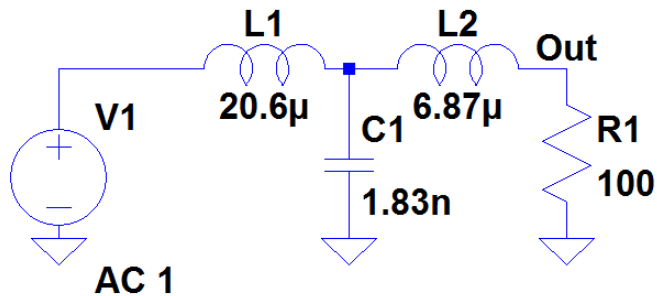
$$C_2 = \frac{4}{3k_f k_z} = \frac{4}{3 \cdot 7.28e6 \cdot 100} = 1.83 nF$$



# Butterworth, example

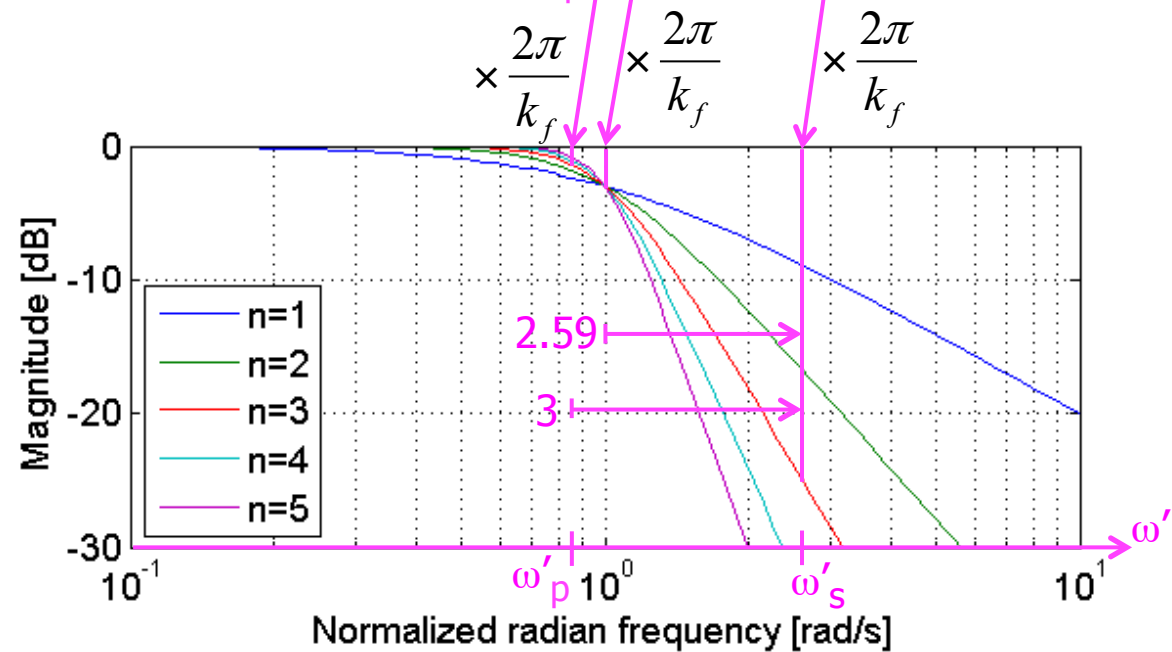
Check:

.ac dec 1000 100k 10meg



Frequency mapping to low-pass prototype:

(Often the allowed passband attenuation is 3 dB, so  $f_p = f_{-3}$  )





## Matlab example: Butterworth transfer function

Matlab:

```
>> % Find the Butterworth polynomial the basic way:
```

```
n = 3;
```

```
k = 1:1:n;
```

```
poles = exp(j*pi*((2*k-1)/2/n+0.5));
```

```
poles =
```

```
-0.5000 + 0.8660i -1.0000 + 0.0000i -0.5000 - 0.8660i
```

$$H(s) = \frac{1}{(s+1)(s-e^{j2\pi/3})(s-e^{j4\pi/3})}$$

```
denom_coeff = poly(poles) % or denom_coeff = conv([1 -poles(1)],conv([1 -poles(2)],[1 -poles(3)]))
```

```
denom_coeff =
```

```
1.0000 + 0.0000i 2.0000 + 0.0000i 2.0000 + 0.0000i 1.0000 + 0.0000i
```

% CAUTION: Note the numbering:

$$H(s) = \frac{1}{a_1 s^n + a_2 s^{n-1} + \dots + a_{n-1} s^2 + a_n s + a_{n+1}}$$

$(a_1 = 1)$

```
>> % Find the polynomials in an easier way:
```

```
n = 3;
```

```
[dummy poles b0] = buttap(n); % Returns the poles (and  $b_0 = 1$ )
```



## Matlab example: Butterworth transfer function

Matlab (toolbox):

```
>> % Find the Butterworth polynomial the easiest way:
n = 3; % Order of the filter
omeg3 = 1; % Normalized -3 dB frequency
[b a] = butter(n,omeg3,'s') % 's' indicates analog filter
b =
    0    0    0  1.0000
a =
  1.0000  2.0000  2.0000  1.0000
```

% CAUTION: Note the numbering:

$$H(s) = \frac{b_1 s^n + b_2 s^{n-1} + \dots + b_{n-1} s^2 + b_n s + b_{n+1}}{a_1 s^n + a_2 s^{n-1} + \dots + a_{n-1} s^2 + a_n s + a_{n+1}}$$

$(a_1 = 1)$

```
>> % Find the Butterworth polynomial the easiest way:
n = 3;
omeg3 = 2*pi*10; % 10 Hz bandedge frequency
[b a] = butter(n,omeg3,'s') % 's' indicates analog filter
b =
  1.0e+005 *
    0    0    0  2.4805
a =
  1.0e+005 *
  0.0000  0.0013  0.0790  2.4805 % CAUTION: a(1) = 1 !!!!
```



## Matlab plots: Butterworth transfer function

### Matlab (toolbox):

% Find the Butterworth polynomial the easiest way:

n = 3;

omeg3 = 1;

[b a] = **butter**(n,omeg3,'s');

% Normalized frequency

% 's' indicates analog filter

% Easy plot

ButSys = **tf**(b,a);

**bode**(ButSys,{0.1,10});

grid;

% Creates transfer function

% Plots from  $\omega=0.1$  to  $\omega=10$  rad/s. R-Click on axes to set frequencies [Hz]

>> ButSys = tf(b,a)

Transfer function:

1

-----  
 $s^3 + 2 s^2 + 2 s + 1$

% Plot the basic way as function of "real" frequency

freq = logspace(-2,1,400);

% 400 points from  $10^{-2}$  to  $10^1$  Hz

om = 2\*pi\*freq;

HdB = -10\*log<sub>10</sub>(1+om.<sup>(2\*n)</sup>);

semilogx(freq,HdB);

xlabel('Frequency [Hz]');

ylabel('Magnitude [dB]');

grid;



# Matlab roadmap, FYI

butter  
cheb1ap  
etc.

Zeros & Poles:

$$K \frac{(s - z_1)(s - z_2) \dots}{(s - p_1)(s - p_2) \dots}$$

residue

Partial fraction exp.

$$\frac{r_1}{(s - p_1)} + \frac{r_2}{(s - p_2)} + \dots + k$$

poly

roots

ht =  
r.'\*exp(p\*time);

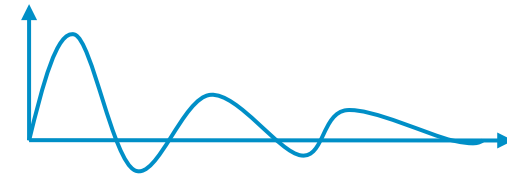
butter  
cheby1  
etc.

Polynomials:

$$\frac{b_1 s^n + \dots + b_n s + b_{n+1}}{a_1 s^n + \dots + a_n s + a_{n+1}}$$

tf  
impulse

Impulse response:



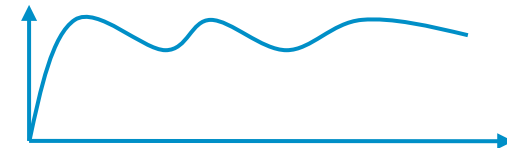
freqs

tf  
bode

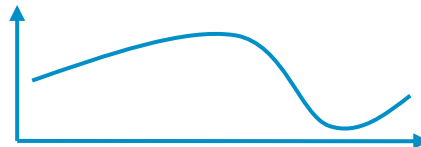
polyval

tf  
step

Step response:



Frequency response:



In toolbox'es





## Questions & Comments

Group rooms:

ITC:

- o 550: B1-215?
- o 551: B1-215?

Group rooms:

EIT:

- o 510: B2-211
- o 511: B2-209
- o 512: B2-207
- o 513: B2-205
- o 514: B2-203
- o 515: B2-103
- o 516: B2-105
- o 517: C\*-\*\*\*
- o 518: C\*-\*\*\*
- o 519: C\*-\*\*\*
- o 520: C\*-\*\*\*
- o Any other rooms?

- Please use the black(/white) board for exercises
- In general: There may be more exercises than you have time to do
- Suggested solutions to the exercises will be released ~ 16:30

My office: Selma Lagerløfs Vej 312, 1.311

Email: [mish@es.aau.dk](mailto:mish@es.aau.dk)