Final Review 03

Operating Systems Wenbo Shen

Summary

- Computer architecture
- OS introduction
- OS structures
- Processes
- IPC
- Thread
- Scheduling
- Synchronization
- Deadlock

Summary

- Memory segmentation
- Memory paging
- Virtual memory
- Virtual memory Linux
- Mass storage
- IO
- FS interface
- FS implementation
- FS in practice

08: Deadlock

The Deadlock Problem

- **Deadlock**: a set of blocked processes each holding a resource and waiting to acquire a resource held by another process in the set
- Examples:
 - a system has 2 disk drives, P₁ and P₂ each hold one disk drive and each needs another one
 - semaphores A and B, initialized to 1

```
P<sub>1</sub> P<sub>2</sub>
wait (A); wait(B)
wait (B); wait(A)
```

Deadlock in program

 Two mutex locks are created an initialized:

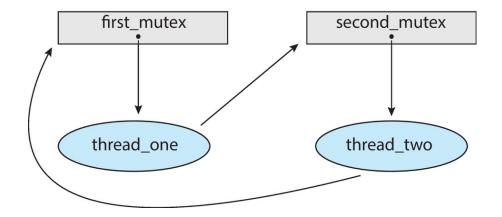
```
pthread_mutex_t first_mutex;
pthread_mutex_t second_mutex;

pthread_mutex_init(&first_mutex, NULL);
pthread_mutex_init(&second_mutex, NULL);
```

```
/* thread one runs in this function */
void *do_work_one(void *param){
    pthread mutex lock(&first mutex);
    pthread mutex lock(&second mutex);
    /* Do some work*/
    pthread mutex unlock(&second mutex);
    pthread mutex unlock(&first mutex);
    pthread exit(0);
/* thread two runs in this function */
void *do work two(void *param){
    pthread mutex lock(&second mutex);
    pthread mutex lock(&first mutex);
    /* Do some work*/
    pthread mutex unlock(&first mutex);
    pthread mutex unlock(&second mutex);
   pthread exit(0);
```

Deadlock in program

- Deadlock is possible if thread 1 acquires first_mutex and thread 2
 acquires second_mutex. Thread 1 then waits for second_mutex
 and thread 2 waits for first_mutex.
- Can be illustrated with a resource allocation graph:



Four Conditions of Deadlock

- Mutual exclusion: only one process at a time can use a resource
- Hold and wait: a process holding at least one resource is waiting to acquire additional resources held by other processes
- No preemption: a resource can be released only voluntarily by the process holding it, after it has completed its task
- Circular wait: there exists a set of waiting processes {Po, P1, ..., Pn}
 - Po is waiting for a resource that is held by P1
 - P1 is waiting for a resource that is held by P2 ...
 - P_{n-1} is waiting for a resource that is held by P_n
 - Pn is waiting for a resource that is held by Po

How to Handle Deadlocks

- Ensure that the system will never enter a deadlock state
 - Prevention
 - Avoidance
- Allow the system to enter a deadlock state and then recover database
 - Deadlock detection and recovery:
- Ignore the problem and pretend deadlocks never occur in the system
 - Usually adopted by mainstream operating systems



Deadlock Prevention

- How to prevent mutual exclusion
 - Not required for sharable resources
 - Must hold for non-sharable resources
- How to prevent hold and wait
 - Whenever a process requests a resource, it doesn't hold any other resources
 - Require process to request all its resources before it begins execution
 - Allow process to request resources only when the process has none
 - Low resource utilization; starvation possible

Deadlock Prevention

- How to handle no preemption
 - if a process requests a resource not available
 - release all resources currently being held
 - preempted resources are added to the list of resources it waits for
 - process will be restarted only when it can get all waiting resources
- How to handle circular wait
 - impose a total ordering of all resource types
 - require that each process requests resources in an increasing order
 - Many operating systems adopt this strategy for some locks.

Deadlock Avoidance

- Dead avoidance: require extra information about how resources are to be requested
 - Is this requirement practical?
- Each process declares a max number of resources it may need
- Deadlock-avoidance algorithm ensure there can never be a circularwait condition
- Resource-allocation state:
 - the number of available and allocated resources
 - the maximum demands of the processes

Deadlock Avoidance Algorithms

- Allocate based on safe state
 - Limit the order of resource application

Banker's Algorithm

- Banker's algorithm is for multiple-instance resource deadlock avoidance
 - each process must claim **maximum** use of each resource type **in advance**
 - when a process requests a resource it may have to wait
 - when a process gets all its resources it must release them in a finite amount of time

Data Structures for the Banker's Algorithm

- n processes, m types of resources
 - available: an array of length m, instances of available resource
 - available[j] = k: k instances of resource type R_j available
 - max: a n x m matrix
 - max [i,j] = k: process Pi may request at most k instances of resource Rj
 - allocation: n x m matrix
 - allocation[i,j] = k: Pi is currently allocated k instances of Rj
 - need: n x m matrix
 - need[i,j] = k: Pi may need k more instances of Rj to complete its task
 - need [i,j] = max[i,j] allocation [i,j]

- System state:
 - 5 processes Po through P4
 - 3 resource types: A (10 instances), B (5 instances), and C (7 instances)
- Snapshot at time To:

	allocation	max	available
	АВС	АВС	CABC
P ₀	010	753	3 3 2
P ₁	200	3 2 2	
P ₂	3 0 2	902	
P ₃	211	2 2 2	
P ₄	002	433	

Banker's Algorithm: Safe State

- Data structure to compute whether the system is in a safe state
 - use **work** (a vector of length *m*) to track **allocable resources**
 - current available resources
 - unallocated + released by finished processes
 - use finish (a vector of length n) to track whether process has finished
 - initialize: work = available, finish[i] = false for i = 0, 1, ..., n- 1
- Algorithm:
 - find an i such that finish[i] = false && need[i] ≤ work
 - if no such i exists, go to step 3
 - work = work + allocation[i], finish[i] = true, go to step 1
 - if finish[i] == true for all i, then the system is in a safe state

Bank's Algorithm: Resource Allocation

- Data structure: request vector for process Pi
 - request[j] = k then process Pi wants k instances of resource type Rj
- Algorithm:
 - 1.if request[i]≤ need[i] go to step 2; otherwise, raise error condition (the process has exceeded its maximum claim)
 - 2.if request[i] ≤ available, go to step 3; otherwise P_i must wait (not all resources are not available)
 - 3.pretend to allocate requested resources to Pi by modifying the state:

```
available = available - request[i]
allocation[i] = allocation[i] + request[i]
need[i] = need[i] - request[i]
```

- 4.use **previous algorithm** to test if it is a safe state, if so allocate the resources to Pi
- 5.if unsafe Pi must wait, and the old resource-allocation state is restored

- System state:
 - 5 processes Po through P4
 - 3 resource types: A (10 instances), B (5 instances), and C (7 instances)
- Snapshot at time To:

	allocation	max
	ABC	ABC
P ₀	010	753
P ₁	200	322
P ₂	302	902
Рз	211	222
P ₄	002	4 3 3

available A B C 3 3 2

- System state:
 - 5 processes Po through P4
 - 3 resource types: A (10 instances), B (5 instances), and C (7 instances)
- need = max allocation

	allocation	max	need
	ABC	ABC	ABC
P ₀	010	753	7 4 3
P ₁	200	322	122
P ₂	302	902	600
Рз	211	222	0 1 1
P ₄	002	433	4 3 1

available A B C 3 3 2

- System state:
 - 5 processes Po through P4
 - 3 resource types: A (10 instances), B (5 instances), and C (7 instances)
- need = max allocation

	allocation	max	need
	ABC	ABC	ABC
Po	010	753	7 4 3
P ₁	200	322	122
P ₂	302	902	600
Рз	211	222	0 1 1
P ₄	002	433	4 3 1

available A B C 3 3 2

First one can be either P1 or P3

What's the safe sequence

	allocation	max	need
	ABC	ABC	ABC
Po	010	753	7 4 3
P ₁	200	322	122
P ₂	302	902	600
Рз	211	222	0 1 1
P4	002	433	4 3 1

available A B C 3 3 2

- finish[1] = true, needed[1] < work -> work = work + allocation = [5 3 2]
- finish[3] = true, needed[3]< work -> work = work + allocation = [7 4 3]
- finish[4] = true, needed[4] < work -> work = work + allocation = [7 4 5]
- finish[2] = true, needed[2] < work -> work = work + allocation = [10 4 7]
- finish[0] = true, needed[0] < work -> work = work + allocation = [10 5 7]

P1 requires and gets allocated 1 0 2 more

	allocation	max	need
	ABC	ABC	ABC
Po	010	753	7 4 3
P ₁	302	424	122
P ₂	302	902	600
Рз	211	222	0 1 1
P ₄	002	433	4 3 1

available A B C 2 3 0

- Check whether it is in safe state?
 - We cannot find a process that the need[i] < work[i]

Deadlock Detection

- Allow system to enter deadlock state, but detect and recover from it
- Detection algorithm and recovery scheme

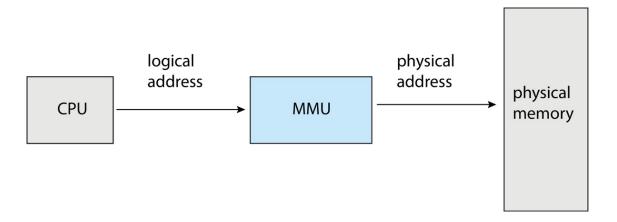
09: Main Memory

Logical vs. Physical Address Space

- The concept of a logical address space that is bound to a separate physical address space is central to proper memory management
 - Logical address generated by the CPU; also referred to as virtual address
 - Physical address address seen by the memory unit
- Logical address space is the set of all logical addresses generated by a program
- Physical address space is the set of all physical addresses generated by a program

Memory-Management Unit (MMU)

Hardware device that at run time maps virtual to physical address



Contiguous Allocation

- Main memory must support both OS and user processes
- Limited resource, must allocate efficiently
- Contiguous allocation is one early method
- Main memory usually into two partitions:
 - Resident operating system, usually held in low memory with interrupt vector
 - User processes then held in high memory
 - Each process contained in single contiguous section of memory

Memory Allocation Strategies

- Fixed partitions
- Variable partitions

Partitioning Strategies – Fixed

- Fixed Partitions divide memory into equal sized pieces (except for OS)
 - Degree of multiprogramming = number of partitions
 - Simple policy to implement
 - All processes must fit into partition space
 - Find any free partition and load process
- Problem Internal Fragmentation
 - Unused memory in partition not available to other processes

Question:

What is the "right" partition size?

Partitioning Strategies – Variable

- Memory is dynamically divided into partitions based on process needs
 - More complex management problem
 - Need data structures to track free and used memory
 - New process allocated memory from hole large enough to fit it
- Problem External Fragmentation
 - Unused memory between partitions too small to be used by any processes

Memory Allocation

- How to satisfy a request of size n from a list of free memory blocks?
 - first-fit: allocate from the first block that is big enough
 - best-fit: allocate from the smallest block that is big enough
 - must search entire list, unless ordered by size
 - produces the smallest leftover hole
 - worst-fit: allocate from the largest hole
 - must also search entire list
 - produces the largest leftover hole
- **Fragmentation** is big problem for all three methods
 - first-fit and best-fit usually perform better than worst-fit

Fragmentation

External fragmentation

- Unusable memory between allocated memory blocks
 - Total amount of free memory space is larger than a request
 - The request cannot be fulfilled because the free memory is not contiguous
- External fragmentation can be reduced by compaction
 - Shuffle memory contents to place all free memory in one large block
 - Program needs to be relocatable at runtime
 - Performance overhead, timing to do this operation
- Another solution: paging

Fragmentation

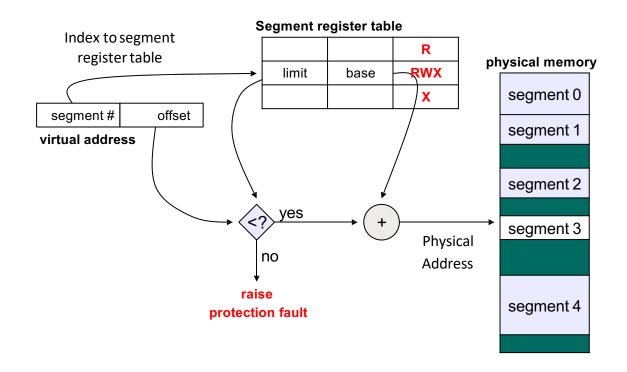
Internal fragmentation

- memory allocated may be larger than the requested size
- this size difference is memory internal to a partition, but not being used
- Example: free space 18464 bytes, request 18462 bytes
- Sophisticated algorithms are designed to avoid fragmentation
 - none of the first-/best-/worst-fit can be considered sophisticated

Segmentation

- Logical address consists of a pair:
 - <segment-number, offset>
- Segment table where each entry has:
 - Base: starting physical address
 - Limit: length of segment

Segment Lookup



Address Binding

- Addresses are represented in different ways at different stages of a program's life
 - source code addresses are usually symbolic (e.g., variable name)
 - compiler binds symbols to relocatable addresses
 - e.g., "14 bytes from beginning of this module"
 - linker (or loader) binds relocatable addresses to absolute addresses
 - e.g., 0x0e74014
 - Each binding maps one address space to another

Binding of Instructions and Data to Memory

- Address binding of instructions and data to memory addresses can happen at three different stages
 - Compile time: If memory location known a priori, absolute code can be generated; must recompile code if starting location changes
 - Load time: Must generate relocatable code if memory location is not known at compile time
 - Execution time: Binding delayed until run time if the process can be moved during its execution from one memory segment to another
 - Need hardware support for address maps (e.g., base and limit registers)

Paging

- Physical address space of a process can be noncontiguous; process is allocated physical memory whenever the latter is available
 - Avoids external fragmentation -> avoid for compacting
 - Avoids problem of varying sized memory chunks
- Basic methods
 - Divide physical memory into fixed-sized blocks called frames
 - Size is power of 2, between 512 bytes and 16 Mbytes
 - Divide logical memory into blocks of same size called pages
 - Keep track of all free frames
 - To run a program of size N pages, need to find N free frames and load program
 - Set up a page table to translate logical to physical addresses
 - Backing store likewise split into pages
 - Still have Internal fragmentation, where?

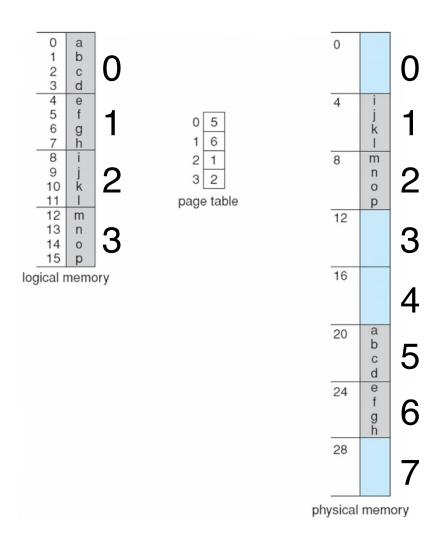
Paging: Address Translation

- A logical address is divided into:
 - page number (p)
 - used as an index into a page table
 - page table entry contains the corresponding physical frame number
 - page offset (d)
 - offset within the page/frame
 - combined with frame number to get the physical address

page number	page offset
р	d
m - n bits	n bits

m bit logical address space, *n* bit page size

Paging Example



m = 4 and n = 2 32-byte memory and 4-byte pages

TLB

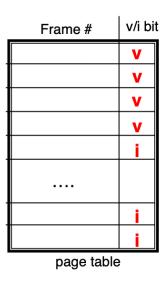
- TLB and context switch
 - Each process has its own page table
 - Switching process needs to switch page table
 - TLB must be consistent with page table
 - TLB entries are from page table of current process
 - Option I: flush TLB at every context switch, or,
 - Option II: tag TLB entries with address-space identifier (ASID) that uniquely identifies a process
 - Some TLB entries can be shared by processes, and fixed in the TLB
 - E.G., TLB entries for the kernel
- TLB and operating system
 - MIPS: OS should deal with TLB miss exception
 - X86: TLB miss is handled by hardware

Effective Access Time

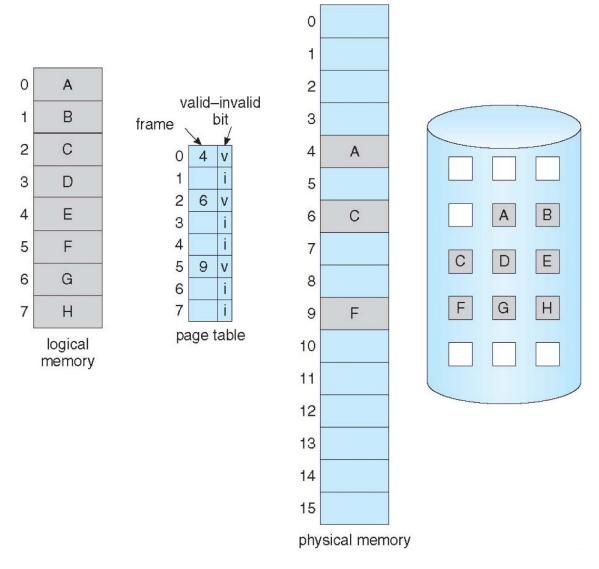
- Hit ratio percentage of times that a page number is found in the TLB
- An 80% hit ratio means that we find the desired page number in the TLB 80% of the time.
- Suppose that 10 nanoseconds to access memory.
 - If we find the desired page in TLB then a mapped-memory access take 10 ns
 - Otherwise we need **two memory access** so it is 20 ns: page table + memory access
- Effective Access Time (EAT)
- EAT = $0.80 \times 10 + 0.20 \times 20 = 12$ nanoseconds
- Implying 20% slowdown in access time
- What if TLB access time is non-zero
 - For example, TLB access time is 2 ns, memory access is 10 ns, hit ratio is p
 - With TLB: total time = p*(2+10)+(1-p)*(2+20)
 - Without TLB: 20

Valid-Invalid Bit

- Each page table entry has a valid—invalid (present) bit
 - <u>V</u> ⇒ in memory (memory is resident), /_⇒ not-in-memory
 - initially, valid—invalid bit is set to i on all entries
 - during address translation, if the entry is invalid, it will trigger a page fault
- Example of a page table snapshot:

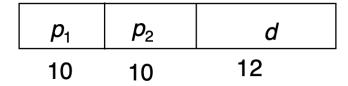


Page Table (Some Pages Are Not in Memory)

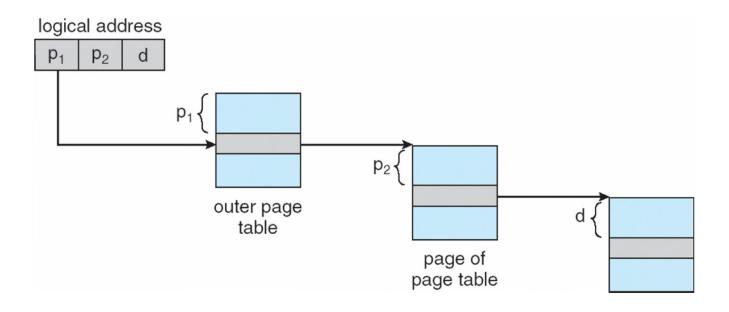


Two-Level Paging

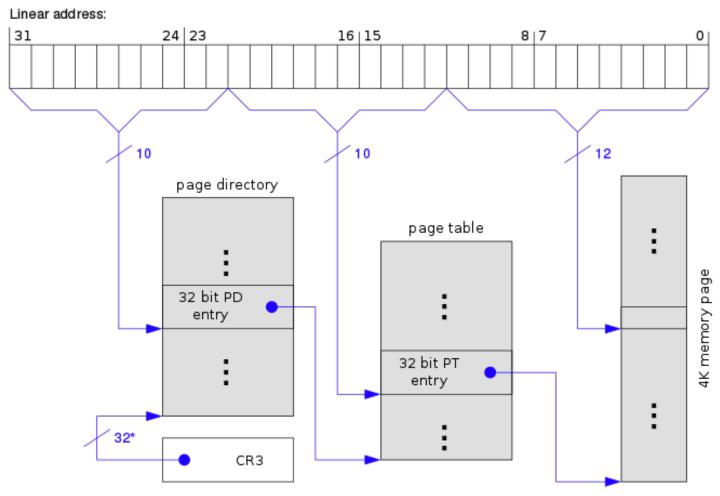
- A logical address is divided into:
 - A page directory number (first level page table)
 - A page table number (2nd level page table)
 - A page offset
- Example: 2-level paging in 32-bit intel cpus
 - 32-bit address space, 4KB page size
 - 10-bit page directory number, 10-bit page table number
 - Each page table entry is 4 bytes, one frame contains 1024 entries (2¹⁰)



Address-Translation Scheme



Page Table in Linux



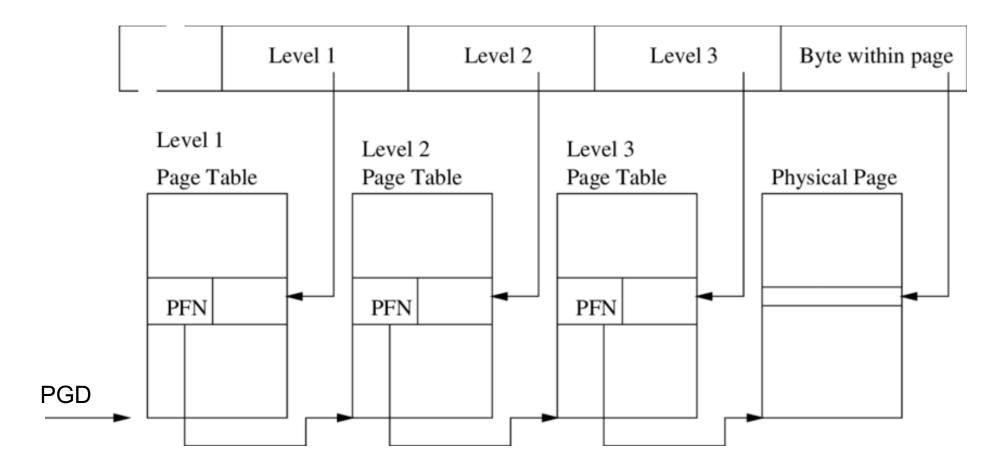
*) 32 bits aligned to a 4-KByte boundary

64-bit Logical Address Space

- 64-bit logical address space requires more levels of paging
 - two-level paging is not sufficient for 64-bit logical address space
 - if page size is 4 KB (2^{12}), outer page table has 2^{42} entries, inner page tables have 2^{10} 4-byte entries
 - one solution is to add more levels of page tables
 - e.g., three levels of paging: 1st level page table is 2³² bytes in size
 - and possibly 4 memory accesses to get to one physical memory location
 - usually not support full 64-bit virtual address space
 - AMD-64 supports 48-bit
 - ARM64 supports 39-bit, 48-bit

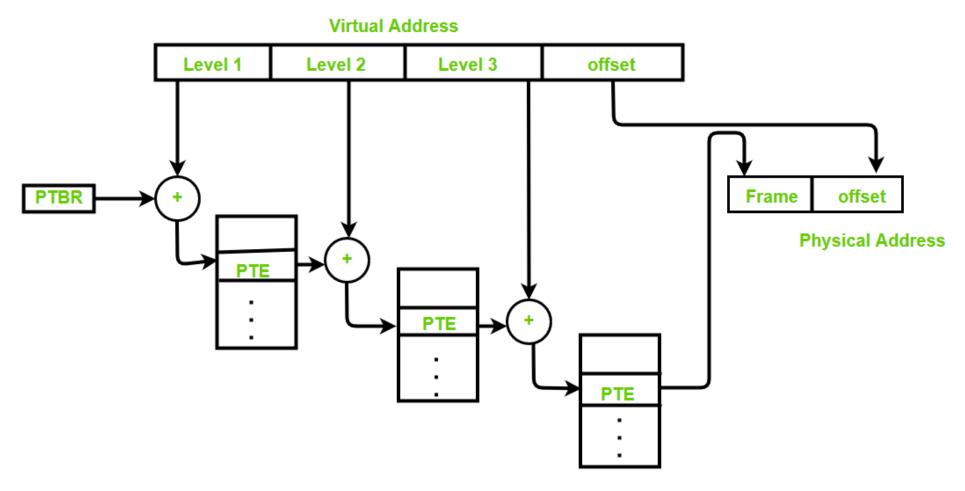
64-bit Logical Address Space

• ARM64: 39 bits = 9+9+9+12



64-bit Logical Address Space

• ARM64: 39 bits = 9+9+9+12



3 Level paging system

Memory Protection

- Accomplished by protection bits with each frame
- Each page table entry has a present (aka. valid) bit
 - present: the page has a valid physical frame, thus can be accessed
- Each page table entry contains some protection bits
 - kernel/user, read/write, execution?, kernelexecution?
 - why do we need them?
- Any violations of memory protection result in a trap to the kernel

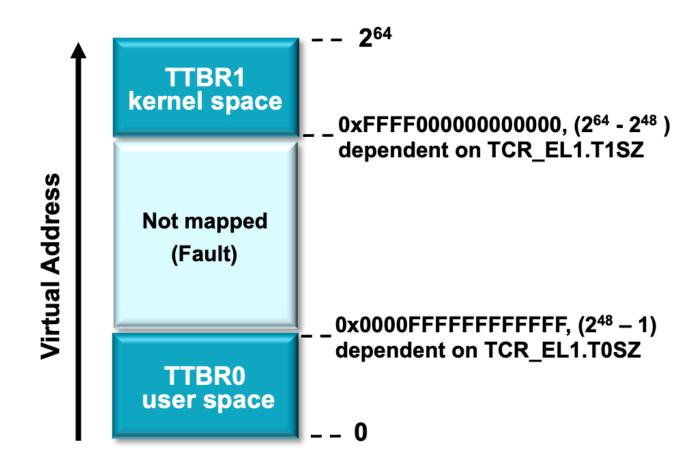
Virtual Addresses 32-bit – Linux

OXFFFFFFF By default, the (4GB) **Kernel Addresses** kernel uses the top 1GB of virtual CONFIG_PAGE_OFFSET address space. (default 0xC0000000) Each userspace processes get the lower 3GB of virtual address **Userspace Addresses** space.

00000000

Virtual Addresses 64-bit

- ARM 64
 - 48-bit page table
 - 4-level: 9+9+9+9+12



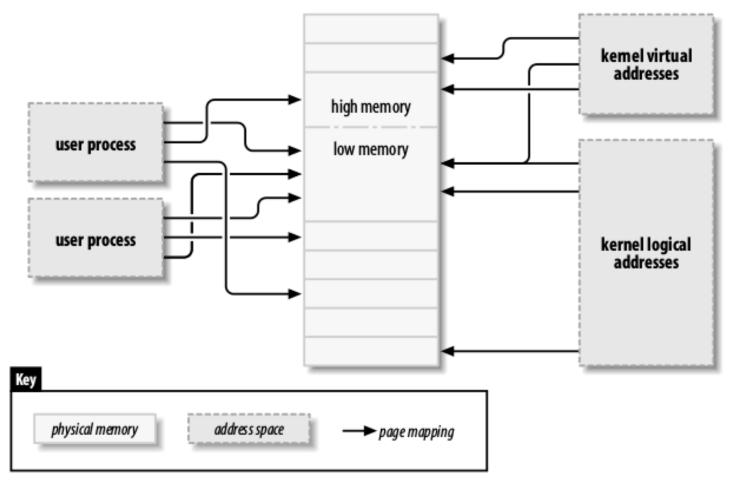
Virtual Addresses 64-bit

- ARM 64
 - 39-bit page table, 3-level: 9+9+9+12
 - 39-bit virtual address for both user and kernel
 - 000000000000000000000007ffffffffff (512GB): user
 - [architectural gap]
 - ffffff800000000-ffffffbbfffeffff (~240MB): vmalloc
 - ffffffbbffff0000-fffffffbcffffffff (64KB): [guard]

 - ffffffbe00000000-ffffffbffbffffff (~8GB): [guard]
 - 4KB page configuration
 - 3 levels of page tables (pgtable-nopud.h)
 - Linear mapping using 4KB, 2MB or 1GB blocks
 - AArch32 (compat) supported

Virtual Addresses - Linux

- User virtual address
- Kernel virtual address
- Kernel logical address



10: Virtual Memory

Demand Paging Background

- Code needs to be in memory to execute, but entire program rarely needed or used at the same time
 - unused code: error handling code, unusual routines
 - unused data: large data structures
- Consider ability to execute partially-loaded program
 - program no longer constrained by limits of physical memory
 - programs could be larger than physical memory

Demand Paging

- Demand paging brings a page into memory only when it is demanded
 - demand means access (read/write)

 - - Memory here means physical memory
 - This is called page fault
 - via swapping for swapped pages
 - via mapping for new page
 - no unnecessary I/O, less memory needed, slower response, more apps

Page Fault

- First reference to a non-present page will trap to kernel: page fault, the reasons can be
 - **invalid reference** \Longrightarrow deliver an exception to the process
 - valid but not in memory swap in
- get an empty physical frame
- swap page into frame via disk operation
- set page table entry to indicate the page is now in memory
- restart the instruction that caused the page fault

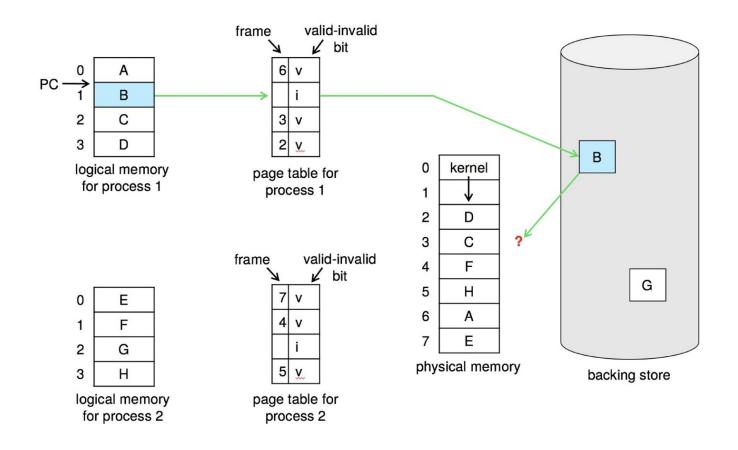
What Happens if There is no Free Frame?

- Used up by process pages
- Also in demand from the kernel, I/O buffers, etc.
- How much to allocate to each?
- Page replacement find some page in memory, but not really in use, page it out
 - Algorithm terminate? swap out? replace the page?
 - Performance want an algorithm which will result in minimum number of page faults
- Same page may be brought into memory several times

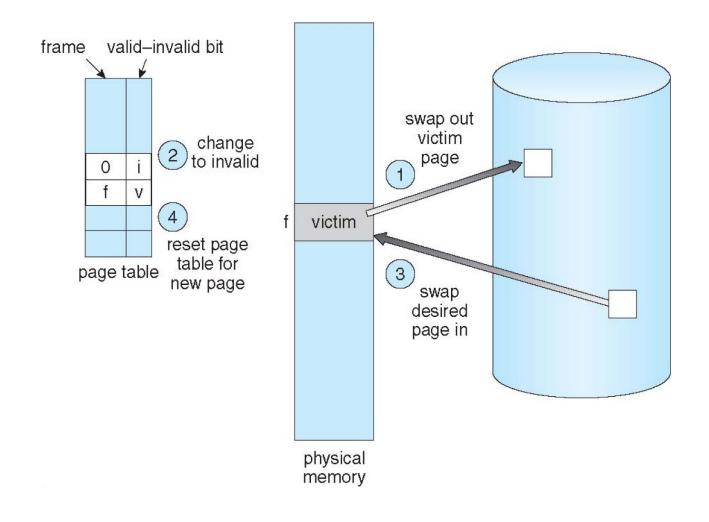
Page Replacement

- Memory is an important resource, system may run out of memory
- To prevent out-of-memory, swap out some pages
 - Page replacement usually is a part of the page fault handler
 - Policies to select victim page require careful design
 - Need to reduce overhead and avoid thrashing
 - Use modified (dirty) bit to reduce number of pages to swap out
 - Only modified pages are written to disk
 - Select some processes to kill (last resort)
- Page replacement completes separation between logical memory and physical memory – large virtual memory can be provided on a smaller physical memory

Need For Page Replacement



Page Replacement

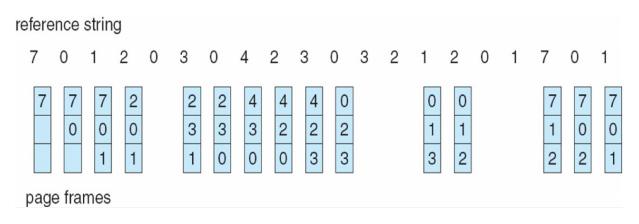


Page Replacement Algorithms

- Page-replacement algorithm should have lowest page-fault rate on both first access and re-access
 - FIFO, optimal, LRU, LFU, MFU...
- To evaluate a page replacement algorithm:
 - Run it on a particular string of memory references (reference string)
 - String is just page numbers, not full addresses
 - Compute the number of page faults on that string
 - Repeated access to the same page does not cause a page fault
 - In all our examples, the reference string is
 7,0,1,2,0,3,0,4,2,3,0,3,0,3,2,1,2,0,1,7,0,1

First-In-First-Out (FIFO)

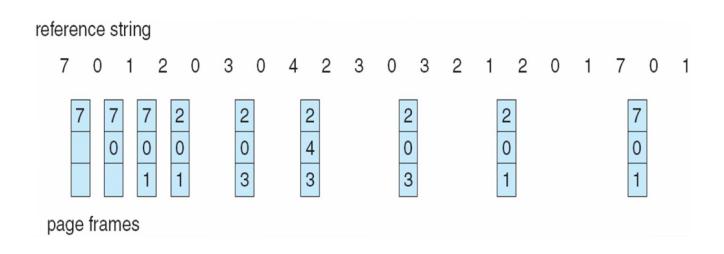
- FIFO: replace the first page loaded
 - similar to sliding a window of n in the reference string
 - our reference string will cause 15 page faults with 3 frames
 - how about reference string of 1,2,3,4,1,2,5,1,2,3,4,5 /w 3 or 4 frames?
- For FIFO, adding more frames can cause more page faults!
 - Belady's Anomaly



15 page faults

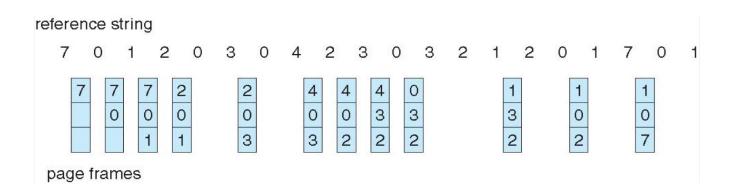
Optimal Algorithm

- Optimal: replace page that will not be used for the longest time
 - 9 page fault is optimal for the example on the next slide
- How do you know which page will not be used for the longest time?
 - can't read the future
 - used for measuring how well your algorithm performs



Least Recently Used (LRU)

- LRU replaces pages that have not been used for the longest time
 - associate time of last use with each page, select pages w/ oldest timestamp
 - generally good algorithm and frequently used
 - 12 faults for our example, better than FIFO but worse than OPT
- LRU and OPT do NOT have Belady's Anomaly



Thrashing

- If a process doesn't have "enough" pages, page-fault rate may be high
 - page fault to get page, replace some existing frame
 - but quickly need replaced frame back

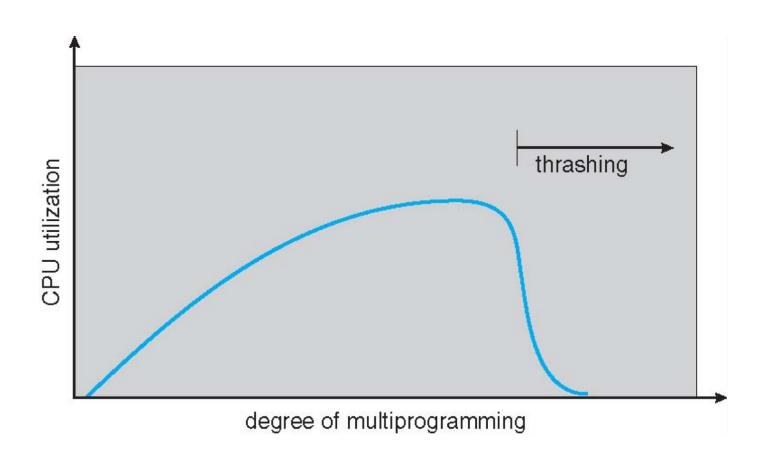
low CPU utilization ■

this leads to:

kernel thinks it needs to increase the degree of multiprogramming to maximize CPU utilization another process added to the system

Thrashing: a process is busy swapping pages in and out

Thrashing



Demand Paging and Thrashing

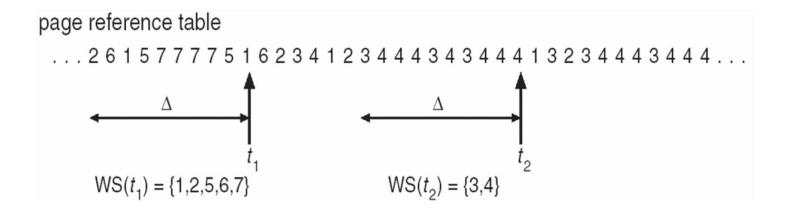
- Why does demand paging work?
 - process memory access has high locality
 - process migrates from one locality to another, localities may overlap
- Why does thrashing occur?
 - total size of locality > total memory size

Working-Set Model

- Working-set window(Δ): a fixed number of page references
 - if Δ too small \longrightarrow will not include entire locality
 - if Δ too large
 will include several localities
 - if $\Delta = \infty$ will include entire program
- Working set of process p_i (WSSi): total number of pages referenced in the most recent Δ (varies in time)
- Total working sets: D = ∑ WSSi
 - approximation of total locality
 - if D > m → possibility of thrashing
 - to avoid thrashing: if D > m, suspend or swap out some processes

Working-Set Model

• Working-set window $\Delta = 10$



Page table quiz

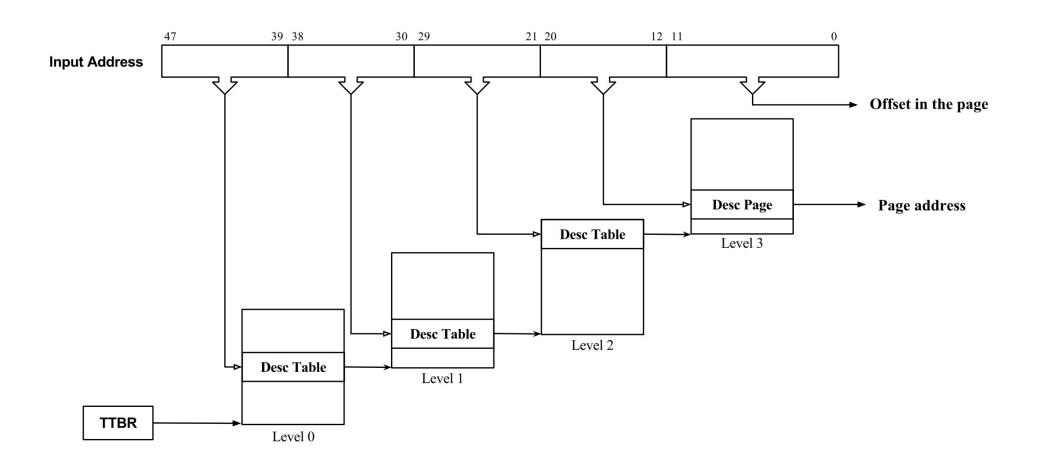
- 1) In 32-bit architecture, for 1-level page table, how large is the whole page table?
- 2) In 32-bit architecture, for 2-level page table, how large is the whole page table?
 - 1) How large for the 1st level PGT?
 - 2) How large for the 2nd level PGT?
- 3) Why can 2-level PGT save memory?
- 4) 2-level page table walk example
 - 1) Page table base register holds 0x0061 9000
 - 2) Virtual address is 0xf201 5202
 - 3) Page table base register holds 0x1051 4000
 - 4) Virtual address is 0x2190 7010

Page table quiz

- How about page size is 64KB
 - What is the virtual address format for 32-bit?
 - What is the virtual address format for 64-bit?
 - 39-bit VA
 - 48-bit VA

Virtual address format

48-bit VA with 4KB page



Takeaway

The whole slides