



**MALLA REDDY COLLEGE OF ENGINEERING & TECHNOLOGY**  
**DEPARTMENT OF INFORMATION TECHNOLOGY**

**UNIT I:**

**Introduction:** Algorithm, Psuedo code for expressing algorithms, Performance Analysis- Space complexity, Time complexity, Asymptotic Notation- Big oh notation, Omega notation, Theta notation and Little oh notation, Probabilistic analysis, Amortized analysis.

**Divide and conquer:** General method, applications-Binary search, Quick sort, Merge sort, Strassen's matrix multiplication.

**INTRODUCTION TO ALGORITHM**

**History of Algorithm**

- The word algorithm comes from the name of a Persian author, Abu Ja'far Mohammed ibn Musa al Khowarizmi (c. 825 A.D.), who wrote a textbook on mathematics.
- He is credited with providing the step-by-step rules for adding, subtracting, multiplying, and dividing ordinary decimal numbers.
- When written in Latin, the name became Algorismus, from which algorithm is but a small step
- This word has taken on a special significance in computer science, where “algorithm” has come to refer to a method that can be used by a computer for the solution of a problem
- Between 400 and 300 B.C., the great Greek mathematician Euclid invented an algorithm
- Finding the greatest common divisor (gcd) of two positive integers.
- The gcd of X and Y is the largest integer that exactly divides both X and Y .
- Eg., the gcd of 80 and 32 is 16.
- The Euclidian algorithm, as it is called, is considered to be the first non-trivial algorithm ever devised.

**What is an Algorithm?**

**Algorithm** is a set of steps to complete a task.

**For example,**

**Task: to make a cup of tea.**

Algorithm:

- add water and milk to the kettle,
- boil it, add tea leaves,
- Add sugar, and then serve it in cup.

**“a set of steps to accomplish or complete a task that is described precisely enough that a computer can run it”.**

**Described precisely:** very difficult for a machine to know how much water, milk to be added etc. in the above tea making algorithm.

These algorithms run on computers or computational devices..For example, GPS in our smartphones, Google hangouts.

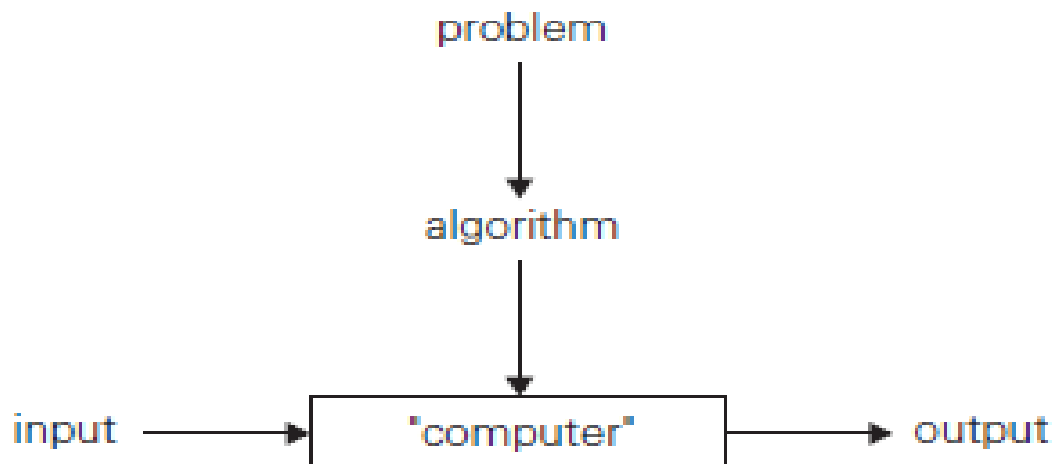
**GPS** uses shortest path algorithm.. **Online shopping** uses cryptography which uses RSA algorithm.

- Algorithm Definition1:

- An algorithm is a finite set of instructions that, if followed, accomplishes a particular task. In addition, all algorithms must satisfy the following criteria:
  - Input. Zero or more quantities are externally supplied.
  - Output. At least one quantity is produced.
  - Definiteness. Each instruction is clear and unambiguous.
  - Finiteness. The algorithm terminates after a finite number of steps.
  - Effectiveness. Every instruction must be very basic enough and must be feasible.

- Algorithm Definition2:

- An algorithm is a sequence of unambiguous instructions for solving a problem, i.e., for obtaining a required output for any legitimate input in a finite amount of time.
- Algorithms that are definite and effective are also called computational procedures.
- A program is the expression of an algorithm in a programming language



- **Algorithms for Problem Solving**

The main steps for Problem Solving are:

1. Problem definition
2. Algorithm design / Algorithm specification
3. Algorithm analysis
4. Implementation
5. Testing
6. [Maintenance]

- Step1. Problem Definition

What is the task to be accomplished?

Ex: Calculate the average of the grades for a given student

- Step2. Algorithm Design / Specifications:

Describe: in natural language / pseudo-code / diagrams / etc

- Step3. Algorithm analysis

Space complexity - How much space is required

Time complexity - How much time does it take to run the algorithm

Computer Algorithm

An algorithm is a procedure (a finite set of well-defined instructions) for accomplishing some tasks which, given an initial state terminate in a defined end-state

The computational complexity and efficient implementation of the algorithm are important in computing, and this depends on suitable data structures.

- Steps 4,5,6: Implementation, Testing, Maintenance

- Implementation:

Decide on the programming language to use C, C++, Lisp, Java, Perl, Prolog, assembly, etc., etc.

Write clean, well documented code

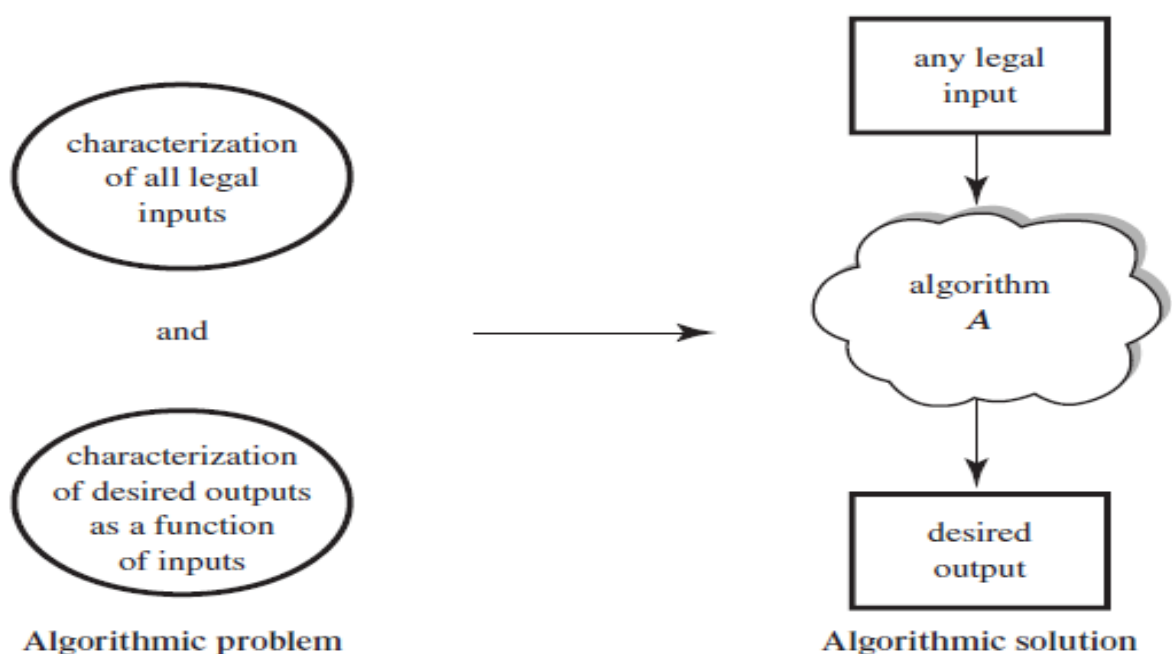
- Test, test, test

Integrate feedback from users, fix bugs, ensure compatibility across different versions

- Maintenance.

Release Updates, fix bugs

Keeping illegal inputs separate is the responsibility of the algorithmic problem, while treating special classes of unusual or undesirable inputs is the responsibility of the algorithm itself.



- **4 Distinct areas of study of algorithms:**

- How to devise algorithms. → Techniques – Divide & Conquer, Branch and Bound , Dynamic Programming
- How to validate algorithms.
- Check for Algorithm that it computes the correct answer for all possible legal inputs. → algorithm validation. → First Phase
- Second phase → Algorithm to Program → Program Proving or Program Verification → Solution be stated in two forms:
- First Form: Program which is annotated by a set of assertions about the input and output variables of the program → predicate calculus
- Second form: is called a specification
- 4 Distinct areas of study of algorithms (..Contd)
- How to analyze algorithms.
- Analysis of Algorithms or performance analysis refer to the task of determining how much computing time & storage an algorithm requires
- How to test a program → 2 phases →
- Debugging - Debugging is the process of executing programs on sample data sets to determine whether faulty results occur and, if so, to correct them.
- Profiling or performance measurement is the process of executing a correct program on data sets and measuring the time and space it takes to compute the results

## **PSEUDOCODE:**

- Algorithm can be represented in Text mode and Graphic mode
- Graphical representation is called Flowchart
- Text mode most often represented in close to any High level language such as C, Pascal → Pseudocode
- **Pseudocode: High-level description of an algorithm.**
- → More structured than plain English.
- → Less detailed than a program.
- → Preferred notation for describing algorithms.
- → Hides program design issues.
- **Example of Pseudocode:**
- To find the max element of an array

```
Algorithm arrayMax(A, n)
Input array A of n integers
Output maximum element of A
currentMax ← A[0]
for i ← 1 to n – 1 do
  if A[i] > currentMax then
    currentMax ← A[i]
```

|                          |
|--------------------------|
| return <i>currentMax</i> |
|--------------------------|

- Control flow
- if ... then ... [else ...]
- while ... do ...
- repeat ... until ...
- for ... do ...
- Indentation replaces braces
- Method declaration
- Algorithm *method* (*arg* [, *arg*...])
- Input ...
- Output ...
- Method call
- *var.method* (*arg* [, *arg*...])
- Return value
- return *expression*
- Expressions
- Assignment (equivalent to =)
- Equality testing (equivalent to ==)
- $n^2$  Superscripts and other mathematical formatting allowed

### **PERFORMANCE ANALYSIS:**

- What are the Criteria for judging algorithms that have a more direct relationship to performance?
- computing time and storage requirements.
- **Performance evaluation** can be loosely divided into two major phases:
  - a priori estimates and
  - a posteriori testing.
- ➔ refer as performance analysis and performance measurement respectively
- The space complexity of an algorithm is the amount of memory it needs to run to completion.
- The time complexity of an algorithm is the amount of computer time it needs to run to completion.

### **Space Complexity:**

- Space Complexity Example:
- Algorithm abc(a,b,c)

```
{
    return a+b++*c+(a+b-c)/(a+b) +4.0;
}
```

➔ The Space needed by each of these algorithms is seen to be the sum of the following component.

1. A fixed part that is independent of the characteristics (eg: number, size) of the inputs and outputs.

The part typically includes the instruction space (ie. Space for the code), space for simple variable and fixed-size component variables (also called aggregate) space for constants, and so on.

2. A variable part that consists of the space needed by component variables whose size is dependent on the particular problem instance being solved, the space needed by referenced variables (to the extent that it depends on instance characteristics), and the recursion stack space.

The space requirement  $s(p)$  of any algorithm  $p$  may therefore be written as,

$$S(P) = c + S_p(\text{Instance characteristics})$$

Where 'c' is a constant.

### Example 2:

Algorithm sum(a,n)

```
{  
s=0.0;  
for I=1 to n do  
s= s+a[I];  
return s;  
}
```

- The problem instances for this algorithm are characterized by  $n$ , the number of elements to be summed. The space needed by 'n' is one word, since it is of type integer.
- The space needed by 'a' is the space needed by variables of type array of floating point numbers.
- This is at least 'n' words, since 'a' must be large enough to hold the 'n' elements to be summed.
- So, we obtain  $S_{\text{sum}}(n) \geq (n + s)$
- [ n for a[], one each for n, I a& s ]

### Time Complexity:

- The time  $T(p)$  taken by a program  $P$  is the sum of the compile time and the run time (execution time)
- The compile time does not depend on the instance characteristics. Also we may assume that a compiled program will be run several times without recompilation. This run time is denoted by  $tp(\text{instance characteristics})$ .
- The number of steps any problem statement is assigned depends on the kind of statement.
- For example, comments à 0 steps.  
Assignment statements is 1 steps.

[Which does not involve any calls to other algorithms]

Interactive statement such as for, while & repeat-untilà Control part of the statement.

We introduce a variable, count into the program statement to increment count with initial value 0. Statement to increment count by the appropriate amount are introduced into the program.

This is done so that each time a statement in the original program is executes count is incremented by the step count of that statement.

**Algorithm:**

Algorithm sum(a,n)

```
{  
s= 0.0;  
count = count+1;  
for I=1 to n do  
{  
count =count+1;  
s=s+a[I];  
count=count+1;  
}  
count=count+1;  
count=count+1;  
return s;  
}
```

→ If the count is zero to start with, then it will be  $2n+3$  on termination. So each invocation of sum execute a total of  $2n+3$  steps.

2. The second method to determine the step count of an algorithm is to build a table in which we list the total number of steps contributes by each statement.

→ First determine the number of steps per execution (s/e) of the statement and the total number of times (ie., frequency) each statement is executed.

→ By combining these two quantities, the total contribution of all statements, the step count for the entire algorithm is obtained.

| Statement             | Steps per execution | Frequency | Total |
|-----------------------|---------------------|-----------|-------|
| 1. Algorithm Sum(a,n) | 0                   | -         | 0     |
| 2. {                  | 0                   | -         | 0     |
| 3. S=0.0;             | 1                   | 1         | 1     |
| 4. for I=1 to n do    | 1                   | n+1       | n+1   |
| 5. s=s+a[I];          | 1                   | n         | n     |
| 6. return s;          | 1                   | 1         | 1     |
| 7. }                  | 0                   | -         | 0     |
| Total                 |                     |           | 2n+3  |

### How to analyse an Algorithm?

Let us form an algorithm for Insertion sort (which sort a sequence of numbers).The pseudo code for the algorithm is give below.

### Pseudo code for insertion Algorithm:

Identify each line of the pseudo code with symbols such as C1, C2 ..

| Pseudocode for Insertion Algorithm           | Line Identification |
|--|---------------------|
| for j=2 to A length                          | C1                  |
| key=A[j]                                     | C2                  |
| //Insert A[j] into sorted Array A[1.....j-1] | C3                  |
| i=j-1  | C4                  |
| while i>0 & A[j]>key                         | C5                  |
| A[i+1]=A[i]                                  | C6                  |
| i=i-1  | C7                  |
| A[i+1]=key                                   | C8                  |

Let Ci be the cost of ith line. Since comment lines will not incur any cost C3=0.

| Cost | No. Of times Executed  |
|------|------------------------|
| C1   | N                      |
| C2   | n-1                    |
| C3=0 | n-1                    |
| C4   | n-1                    |
| C5   | $\sum_{j=2}^{n-1} t_j$ |
| C6   | $\sum_{j=2}^n t_j - 1$ |
| C7   | $\sum_{j=2}^n t_j - 1$ |
| C8   | n-1                    |

Running time of the algorithm is:

$$T(n)=C1n+C2(n-1)+0(n-1)+C4(n-1)+C5(\sum_{j=2}^{n-1} t_j )+C6(\sum_{j=2}^n t_j - 1 )+C7(\sum_{j=2}^n t_j - 1 )+C8(n-1)$$

### Best case:

It occurs when Array is sorted.

All  $t_j$  values are 1.



$$T(n) = C_1n + C_2(n-1) + 0(n-1) + C_4(n-1) + C_5(\sum_{j=2}^{n-1} 1) + C_6(\sum_{j=2}^n 1 - 1) + C_7(\sum_{j=2}^n 1 - 1) + C_8(n-1)$$

$$= C_1n + C_2(n-1) + 0(n-1) + C_4(n-1) + C_5 + C_8(n-1)$$

$$= (C_1 + C_2 + C_4 + C_5 + C_8)n - (C_2 + C_4 + C_5 + C_8)$$

· Which is of the form  $an + b$ .

→ Linear function of  $n$ .

→ So, linear growth.

### **Worst case:**

It occurs when Array is reverse sorted, and  $t_j = j$

$$T(n) = C_1n + C_2(n-1) + 0(n-1) + C_4(n-1) + C_5(\sum_{j=2}^{n-1} j) + C_6(\sum_{j=2}^n j - 1) + C_7(\sum_{j=2}^n j - 1) + C_8(n-1)$$

$$= C_1n + C_2(n-1) + C_4(n-1) + C_5(\frac{n(n-1)}{2} - 1) + C_6(\sum_{j=2}^n \frac{n(n-1)}{2}) + C_7(\sum_{j=2}^n \frac{n(n-1)}{2}) + C_8(n-1)$$

which is of the form  $an^2 + bn + c$

Quadratic function. So in worst case insertion set grows in  $n^2$ .

Why we concentrate on worst-case running time?

· The worst-case running time gives a guaranteed upper bound on the running time for any input.

· For some algorithms, the worst case occurs often. For example, when searching, the worst case often occurs when the item being searched for is not present, and searches for absent items may be frequent.

· Why not analyze the average case? Because it's often about as bad as the worst case.

### **Order of growth:**

It is described by the highest degree term of the formula for running time. (Drop lower-order terms. Ignore the constant coefficient in the leading term.)

Example: We found out that for insertion sort the worst-case running time is of the form  $an^2 + bn + c$ .

Drop lower-order terms. What remains is  $an^2$ . Ignore constant coefficient. It results in  $n^2$ . But we cannot say that the worst-case running time  $T(n)$  equals  $n^2$ . Rather It grows like  $n^2$ . But it doesn't equal  $n^2$ . We say that the running time is  $\Theta(n^2)$  to capture the notion that the order of growth is  $n^2$ .

We usually consider one algorithm to be more efficient than another if its worst-case running time has a smaller order of growth.

### **Complexity of Algorithms**

The complexity of an algorithm  $M$  is the function  $f(n)$  which gives the running time and/or storage space requirement of the algorithm in terms of the size ' $n$ ' of the input data. Mostly, the storage space required by an algorithm is simply a multiple of the data size ' $n$ '.

Complexity shall refer to the running time of the algorithm.

The function  $f(n)$ , gives the running time of an algorithm, depends not only on the size ' $n$ ' of the input data but also on the particular data. The complexity function  $f(n)$  for certain cases are:

1. **Best Case** : The minimum possible value of  $f(n)$  is called the best case.
2. **Average Case** : The expected value of  $f(n)$ .
3. **Worst Case** : The maximum value of  $f(n)$  for any key possible input.

### **ASYMPTOTIC NOTATION**

#### **➔ Formal way notation to speak about functions and classify them**

The following notations are commonly use notations in performance analysis and used to characterize the complexity of an algorithm:

1. Big-OH ( $O$ ) ,
2. Big-OMEGA ( $\Omega$ ),
3. Big-THETA ( $\Theta$ ) and
4. Little-OH ( $o$ )

#### **Asymptotic Analysis of Algorithms:**

Our approach is based on the *asymptotic complexity* measure. This means that we don't try to count the exact number of steps of a program, but how that number grows with the size of the input to the program. That gives us a measure that will work for different operating systems, compilers and CPUs. The asymptotic complexity is written using big-O notation.

- It is a way to describe the characteristics of a function in the limit.
- It describes the rate of growth of functions.
- Focus on what's important by abstracting away low-order terms and constant factors.
- It is a way to compare "sizes" of functions:

$$O \approx \leq$$

$\Omega \approx \geq$   
 $\Theta \approx =$   
 $o \approx <$   
 $\omega \approx >$

| Time complexity | Name        | Example   |
|-----------------|-------------|---|
| $O(1)$          | Constant    | Adding an element to the front of a linked list               |
| $O(\log n)$     | Logarithmic | Finding an element in a sorted array                          |
| $O(n)$          | Linear      | Finding an element in an unsorted array                       |
| $O(n \log n)$   | Linear      | Logarithmic Sorting n items by 'divide-and-conquer'-Mergesort |
| $O(n^2)$        | Quadratic   | Shortest path between two nodes in a graph                    |
| $O(n^3)$        | Cubic       | Matrix Multiplication   |
| $O(2^n)$        | Exponential | The Towers of Hanoi problem                                   |

**Big 'oh':** the function  $f(n)=O(g(n))$  iff there exist positive constants  $c$  and  $n_0$  such that  $f(n) \leq c \cdot g(n)$  for all  $n, n \geq n_0$ .

**Omega:** the function  $f(n)=\Omega(g(n))$  iff there exist positive constants  $c$  and  $n_0$  such that  $f(n) \geq c \cdot g(n)$  for all  $n, n \geq n_0$ .

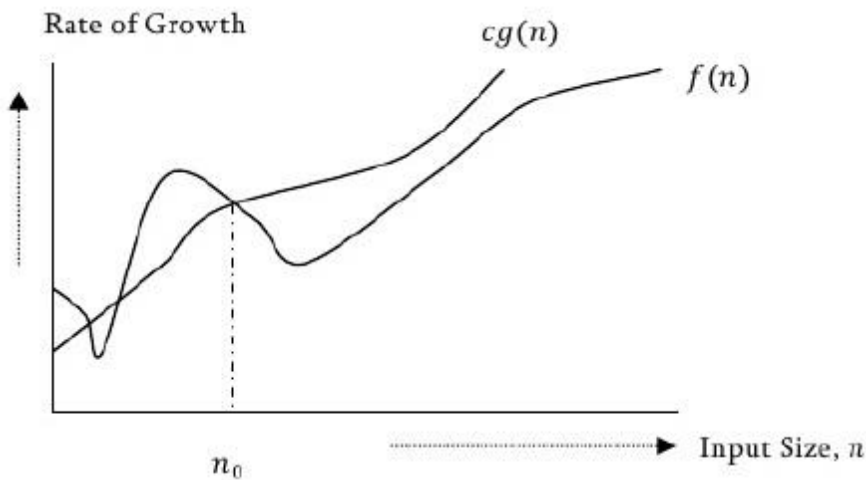
**Theta:** the function  $f(n)=\Theta(g(n))$  iff there exist positive constants  $c_1, c_2$  and  $n_0$  such that  $c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$  for all  $n, n \geq n_0$

### Big-O Notation

This notation gives the tight upper bound of the given function. Generally we represent it as  $f(n) = O(g(n))$ . That means, at larger values of  $n$ , the upper bound of  $f(n)$  is  $g(n)$ . For example, if  $f(n) = n^4 + 100n^2 + 10n + 50$  is the given algorithm, then  $n^4$  is  $g(n)$ . That means  $g(n)$  gives the maximum rate of growth for  $f(n)$  at larger values of  $n$ .

**O —notation** defined as  $O(g(n)) = \{f(n): \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq c \cdot g(n) \text{ for all } n \geq n_0\}$ .  $g(n)$  is an asymptotic tight upper bound for  $f(n)$ . Our objective is to give some rate of growth  $g(n)$  which is greater than given algorithms rate of growth  $f(n)$ .

In general, we do not consider lower values of  $n$ . That means the rate of growth at lower values of  $n$  is not important. In the below figure,  $n_0$  is the point from which we consider the rate of growths for a given algorithm. Below  $n_0$  the rate of growths may be different.



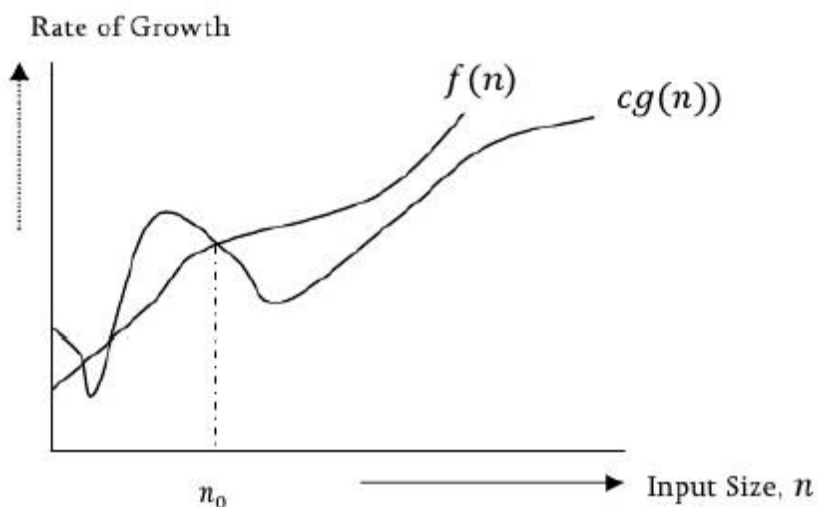
Note Analyze the algorithms at larger values of  $n$  only What this means is, below  $n_0$  we do not care for rates of growth.

### Omega— $\Omega$ notation

Similar to above discussion, this notation gives the tighter lower bound of the given algorithm and we represent it as  $f(n) = \Omega(g(n))$ . That means, at larger values of  $n$ , the tighter lower bound of  $f(n)$  is  $g$

For example, if  $f(n) = 100n^2 + 10n + 50$ ,  $g(n)$  is  $\Omega(n^2)$ .

The  $\Omega$  notation as be defined as  $\Omega(g(n)) = \{f(n): \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$ .  $g(n)$  is an asymptotic lower bound for  $f(n)$ .  $\Omega(g(n))$  is the set of functions with smaller or same order of growth as  $f(n)$ .

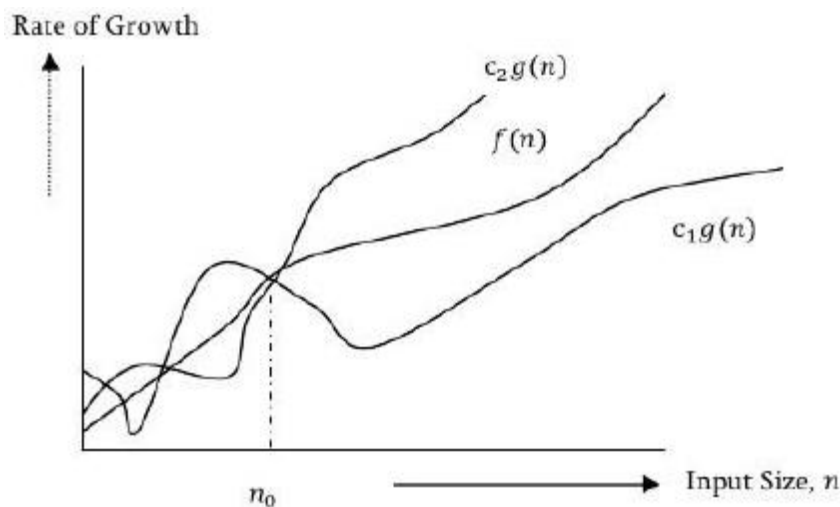


### Theta- $\Theta$ notation

This notation decides whether the upper and lower bounds of a given function are same or not. The average running time of algorithm is always between lower bound and upper bound.

If the upper bound ( $O$ ) and lower bound ( $\Omega$ ) gives the same result then  $\Theta$  notation will also have the same rate of growth. As an example, let us assume that  $f(n) = 10n + n$  is the expression. Then, its tight upper bound  $g(n)$  is  $O(n)$ . The rate of growth in best case is  $g(n) = O(n)$ . In this case, rate of growths in best case and worst are same. As a result, the average case will also be same.

None: For a given function (algorithm), if the rate of growths (bounds) for  $O$  and  $\Omega$  are not same then the rate of growth  $\Theta$  case may not be same.



Now consider the definition of  $\Theta$  notation It is defined as  $\Theta(g(n)) = \{f(n) : \text{there exist positive constants } C_1, C_2 \text{ and } n_0 \text{ such that } C_1g(n) \leq f(n) \leq C_2g(n) \text{ for all } n \geq n_0\}$ .  $g(n)$  is an asymptotic tight bound for  $f(n)$ .  $\Theta(g(n))$  is the set of functions with the same order of growth as  $g(n)$ .

### Important Notes

For analysis (best case, worst case and average) we try to give upper bound ( $O$ ) and lower bound ( $\Omega$ ) and average running time ( $\Theta$ ). From the above examples, it should also be clear that, for a given function (algorithm) getting upper bound ( $O$ ) and lower bound ( $\Omega$ ) and average running time ( $\Theta$ ) may not be possible always.

For example, if we are discussing the best case of an algorithm, then we try to give upper bound ( $O$ ) and lower bound ( $\Omega$ ) and average running time ( $\Theta$ ).

In the remaining chapters we generally concentrate on upper bound ( $O$ ) because knowing lower bound ( $\Omega$ ) of an algorithm is of no practical importance and we use  $\Theta$  notation if upper bound ( $O$ ) and lower bound ( $\Omega$ ) are same.

### Little Oh Notation

The little Oh is denoted as  $o$ . It is defined as : Let,  $f(n)$  and  $g(n)$  be the non negative functions then

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

such that  $f(n) = o(g(n))$  i.e  $f$  of  $n$  is little Oh of  $g$  of  $n$ .

$f(n) = o(g(n))$  if and only if  $f(n) = o(g(n))$  and  $f(n) \neq \Theta(g(n))$

### **PROBABILISTIC ANALYSIS**

**Probabilistic analysis** is the use of probability in the analysis of problems.

In order to perform a probabilistic analysis, we must use knowledge of, or make assumptions about, the distribution of the inputs. Then we analyze our algorithm, computing an average-case running time, where we take the average over the distribution of the possible inputs.

### **Basics of Probability Theory**

Probability theory has the goal of characterizing the outcomes of natural or conceptual “experiments.” Examples of such experiments include tossing a coin ten times, rolling a die three times, playing a lottery, gambling, picking a ball from an urn containing white and red balls, and so on

Each possible outcome of an experiment is called a sample point and the set of all possible outcomes is known as the sample space  $S$ . In this text we assume that  $S$  is finite (such a sample space is called a discrete sample space). An event  $E$  is a subset of the sample space  $S$ . If the sample space consists of  $n$  sample points, then there are  $2^n$  possible events.

**Definition-** Probability: The probability of an event  $E$  is defined to be  $\frac{|E|}{|S|}$  where  $S$  is the sample space.

Then the **indicator random variable**  $I\{A\}$  associated with event  $A$  is defined as

$$I\{A\} = \begin{cases} 1 & \text{if } A \text{ occurs;} \\ 0 & \text{if } A \text{ does not occur} \end{cases}$$

The probability of event  $E$  is denoted as  $\text{Prob.}[E]$  The complement of  $E$ , denoted  $E^c$ , is defined to be  $S - E$ . If  $E_1$  and  $E_2$  are two events, the probability of  $E_1$  or  $E_2$  or both happening is denoted as  $\text{Prob.}[E_1 \cup E_2]$ . The probability of both  $E_1$  and  $E_2$  occurring at the same time is denoted as  $\text{Prob.}[E_1 \cap E_2]$ . The corresponding event is  $E_1 \cap E_2$ .

### **Theorem 1.5**

1.  $\text{Prob.}[E^c] = 1 - \text{Prob.}[E]$ .
2.  $\text{Prob.}[E_1 \cup E_2] = \text{Prob.}[E_1] + \text{Prob.}[E_2] - \text{Prob.}[E_1 \cap E_2]$   
 $\leq \text{Prob.}[E_1] + \text{Prob.}[E_2]$

### **Expected value of a random variable**

The simplest and most useful summary of the distribution of a random variable is the average” of the values it takes on. The **expected value** (or, synonymously, **expectation** or **mean**) of a discrete random variable  $X$  is

$$E[X] = \sum_x x \cdot P_r\{X = x\}$$

which is well defined if the sum is finite or converges absolutely.

Consider a game in which you flip two fair coins. You earn \$3 for each head but lose \$2 for each tail. The expected value of the random variable  $X$  representing

your earnings is

$$\begin{aligned} E[X] &= 6 \cdot \Pr\{2H's\} + 1 \cdot \Pr\{1H, 1T\} - 4 \cdot \Pr\{2T's\} \\ &= 6(1/4) + 1(1/2) - 4(1/4) \\ &= 1 \end{aligned}$$

Any one of these first  $i$  candidates is equally likely to be the best-qualified so far. Candidate  $i$  has a probability of  $1/i$  of being better qualified than candidates 1 through  $i-1$  and thus a probability of  $1/i$  of being hired.

$$E[X_i] = 1/i$$

So,

$$E[X] = E[\sum_{i=1}^n X_i]$$

$$\begin{aligned} &= \sum_{i=1}^n E[X]_i \\ &= \sum_{i=1}^n 1/i \end{aligned}$$

## **AMORTIZED ANALYSIS**

In an **amortized analysis**, we average the time required to perform a sequence of datastructure operations over all the operations performed. With amortized analysis, we can show that the average cost of an operation is small, if we average over a sequence of operations, even though a single operation within the sequence might be expensive. Amortized analysis differs from average-case analysis in that probability is not involved; an amortized analysis guarantees the *average performance of each operation in the worst case*.

Three most common techniques used in amortized analysis:

1. **Aggregate Analysis** - in which we determine an upper bound  $T(n)$  on the total cost of a sequence of  $n$  operations. The average cost per operation is then  $T(n)/n$ . We take the average cost as the amortized cost of each operation
2. **Accounting method** – When there is more than one type of operation, each type of operation may have a different amortized cost. The accounting method overcharges some operations early in the sequence, storing the overcharge as “prepaid credit” on specific objects in the data structure. Later in the sequence, the credit pays for operations that are charged less than they actually cost.

3. **Potential method** - The potential method maintains the credit as the “potential energy” of the data structure as a whole instead of associating the credit with individual objects within the data structure. The potential method, which is like the accounting method in that we determine the amortized cost of each operation and may overcharge operations early on to compensate for undercharges later

## **DIVIDE AND CONQUER**

### **General Method**

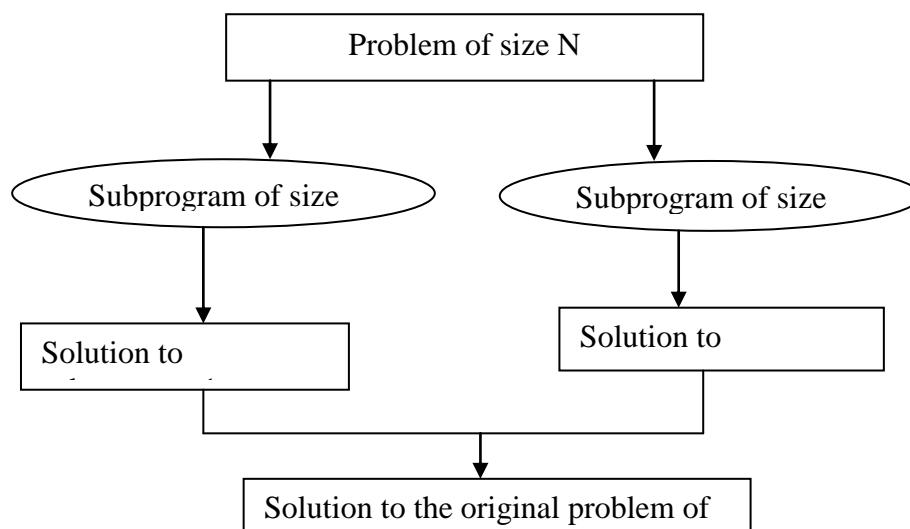
In divide and conquer method, a given problem is,

- i) Divided into smaller subproblems.
- ii) These subproblems are solved independently.
- iii) Combining all the solutions of subproblems into a solution of the whole.

If the subproblems are large enough then divide and conquer is reapplied.

The generated subproblems are usually of some type as the original problem.

Hence recursive algorithms are used in divide and conquer strategy.



**Pseudo code Representation of Divide and conquer rule for problem “P”**



```

Algorithm DAndC(P)
{
if small(P) then return S(P)
else{
divide P into smaller instances P1,P2,P3...Pk;
apply DAndC to each of these subprograms; // means DAndC(P1), DAndC(P2).....
DAndC(Pk)
return combine(DAndC(P1), DAndC(P2)..... DAndC(Pk));
}
}

//P→Problem
//Here small(P)→ Boolean value function. If it is true, then the function S is
//invoked

```

**Time Complexity of DAndC algorithm:**

$$\begin{aligned}
 T(n) &= T(1) \text{ if } n=1 \\
 &= aT(n/b)+f(n) \text{ if } n>1
 \end{aligned}$$

a,b→ contants.

This is called the **general divide and-conquer recurrence**.

### **Example for GENERAL METHOD:**

As an example, let us consider the problem of computing the sum of n numbers  $a_0, \dots a_{n-1}$ .

If  $n > 1$ , we can divide the problem into two instances of the same problem. They are sum of the first  $\lfloor n/2 \rfloor$  numbers

Compute the sum of the 1<sup>st</sup>  $\lfloor n/2 \rfloor$  numbers, and then compute the sum of another  $n/2$  numbers. Combine the answers of two  $n/2$  numbers sum.

i.e.,

$$a_0 + \dots + a_{n-1} = (a_0 + \dots + a_{n/2}) + (a_{n/2} + \dots + a_{n-1})$$

Assuming that size n is a power of b, to simplify our analysis, we get the following recurrence for the running time T(n).

$$T(n)=aT(n/b)+f(n)$$

This is called the general **divide and-conquer recurrence**.

$f(n)$ → is a function that accounts for the time spent on dividing the problem into smaller ones and on combining their solutions. (For the summation example,  $a = b = 2$  and  $f(n) = 1$ ).

### **Advantages of DAndC:**

The time spent on executing the problem using DAndC is smaller than other method.

This technique is ideally suited for parallel computation.

This approach provides an efficient algorithm in computer science.

### **Master Theorem for Divide and Conquer**

In all efficient divide and conquer algorithms we will divide the problem into subproblems, each of which is some part of the original problem, and then perform some additional work to compute the final answer. As an example, if we consider merge sort [for details, refer Sorting chapter], it operates on two problems, each of which is half the size of the original, and then uses  $O(n)$  additional work for merging. This gives the running time equation:

$$T(n) = 2T\left(\frac{n}{2}\right) + O(n)$$

The following theorem can be used to determine the running time of divide and conquer algorithms. For a given program or algorithm, first we try to find the recurrence relation for the problem. If the recurrence is of below form then we directly give the answer without fully solving it.

If the recurrence is of the form  $T(n) = aT\left(\frac{n}{b}\right) + \Theta(n^k \log^p n)$ , where  $a \geq 1$ ,  $b > 1$ ,  $k \geq 0$  and  $p$  is a real number, then we can directly give the answer as:

- 1) If  $a > b^k$ , then  $T(n) = \Theta(n^{\log_b a})$
- 2) If  $a = b^k$ 
  - a. If  $p > -1$ , then  $T(n) = \Theta(n^{\log_b a} \log^{p+1} n)$
  - b. If  $p = -1$ , then  $T(n) = \Theta(n^{\log_b a} \log \log n)$
  - c. If  $p < -1$ , then  $T(n) = \Theta(n^{\log_b a})$
- 3) If  $a < b^k$ 
  - a. If  $p \geq 0$ , then  $T(n) = \Theta(n^k \log^p n)$
  - b. If  $p < 0$ , then  $T(n) = O(n^k)$

#### Applications of Divide and conquer rule or algorithm:

- Binary search,
- Quick sort,
- Merge sort,
- Strassen's matrix multiplication.

#### Binary search or Half-interval search algorithm:

1. This algorithm finds the position of a specified input value (the search "key") within an [array sorted by key value](#).
2. In each step, the algorithm compares the search key value with the key value of the middle element of the array.
3. If the keys match, then a matching element has been found and its index, or position, is returned.
4. Otherwise, if the search key is less than the middle element's key, then the algorithm repeats its action on the sub-array to the **left** of the middle element or, if the search key is greater, then the algorithm repeats on sub array to the **right** of the middle element.
5. If the search element is less than the minimum position element or greater than the maximum position element then this algorithm returns not found.

#### Binary search algorithm by using recursive methodology:

|   |   |
|---|---|
| Program for binary search (recursive)                   | Algorithm for binary search (recursive)     |
| int binary_search(int A[], int key, int imin, int imax) | Algorithm binary_search(A, key, imin, imax) |

|  |  |
|--|--|
| <pre> { if (imax &lt; imin) return array is empty; if(key&lt;imin    K&gt;imax) return element not in array list else { int imid = (imin +imax)/2; if (A[imid] &gt; key) return binary_search(A, key, imin, imid-1); else if (A[imid] &lt; key) return binary_search(A, key, imid+1, imax); else return imid; } } </pre> | <pre> { if (imax &lt; imin) then return "array is empty"; if(key&lt;imin    K&gt;imax) then return "element not in array list" else { imid = (imin +imax)/2; if (A[imid] &gt; key) then return binary_search(A, key, imin, imid-1); else if (A[imid] &lt; key) then return binary_search(A, key, imid+1, imax); else return imid; } } </pre> |
|--|--|

### Time Complexity:

**Data structure:-** Array

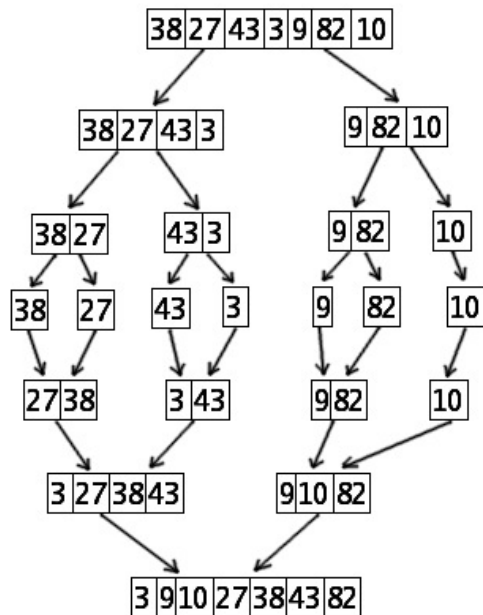
| For successful search   | Unsuccessful search                |
|---|------------------------------------|
| Worst case → $O(\log n)$ or $\theta(\log n)$<br>Average case → $O(\log n)$ or $\theta(\log n)$<br>Best case → $O(1)$ or $\theta(1)$ | $\theta(\log n)$ :- for all cases. |

### Binary search algorithm by using iterative methodology:

| Binary search program by using iterative methodology:   | Binary search algorithm by using iterative methodology:   |
|---|---|
| <pre> int binary_search(int A[], int key, int imin, int imax) { while (imax &gt;= imin) { int imid = midpoint(imin, imax); if(A[imid] == key) return imid; else if (A[imid] &lt; key) imin = imid + 1; else imax = imid - 1; } } </pre> | <pre> Algorithm binary_search(A, key, imin, imax) { While &lt; (imax &gt;= imin)&gt; do { int imid = midpoint(imin, imax); if(A[imid] == key) return imid; else if (A[imid] &lt; key) imin = imid + 1; else imax = imid - 1; } } </pre> |

## Merge Sort:

The merge sort splits the list to be sorted into two equal halves, and places them in separate arrays. This sorting method is an example of the DIVIDE-AND-CONQUER paradigm i.e. it breaks the data into two halves and then sorts the two half data sets recursively, and finally merges them to obtain the complete sorted list. The merge sort is a comparison sort and has an algorithmic complexity of  $O(n \log n)$ . Elementary implementations of the merge sort make use of two arrays - one for each half of the data set. The following image depicts the complete procedure of merge sort.



### Advantages of Merge Sort:

1. Marginally faster than the heap sort for larger sets
2. Merge Sort always does lesser number of comparisons than Quick Sort. Worst case for merge sort does about 39% less comparisons against quick sort's average case.
3. Merge sort is often the best choice for sorting a linked list because the slow random-access performance of a linked list makes some other algorithms (such as quick sort) perform poorly, and others (such as heap sort) completely impossible.

#### Program for Merge sort:

```
#include<stdio.h>
#include<conio.h>
int n;
void main(){
int i,low,high,z,y;
int a[10];
void mergesort(int a[10],int low,int high);
void display(int a[10]);
clrscr();
printf("\n \t\t mergesort \n");
printf("\n enter the length of the list:");
scanf("%d",&n);
printf("\n enter the list elements");
for(i=0;i<n;i++)
scanf("%d",&a[i]);
low=0;
high=n-1;
mergesort(a,low,high);
display(a);
getch();
}
void mergesort(int a[10],int low, int high)
```

```

{
int mid;
void combine(int a[10],int low, int mid, int high);
if(low<high)
{
mid=(low+high)/2;
mergesort(a,low,mid);
mergesort(a,mid+1,high);
combine(a,low,mid,high);
}
}
void combine(int a[10], int low, int mid, int high){
int i,j,k;
int temp[10];
k=low;
i=low;
j=mid+1;
while(i<=mid&& j<=high){
if(a[i]<=a[j])
{
temp[k]=a[i];
i++;
k++;
}
else
{
temp[k]=a[j];
j++;
k++;
}
}
while(i<=mid){
temp[k]=a[i];
i++;
k++;
}
while(j<=high){
temp[k]=a[j];
j++;
k++;
}
for(k=low;k<=high;k++)
a[k]=temp[k];
}
void display(int a[10]){
int i;
printf("\n \n the sorted array is \n");
for(i=0;i<n;i++)
printf("%d \t",a[i]);}

```

### Algorithm for Merge sort:

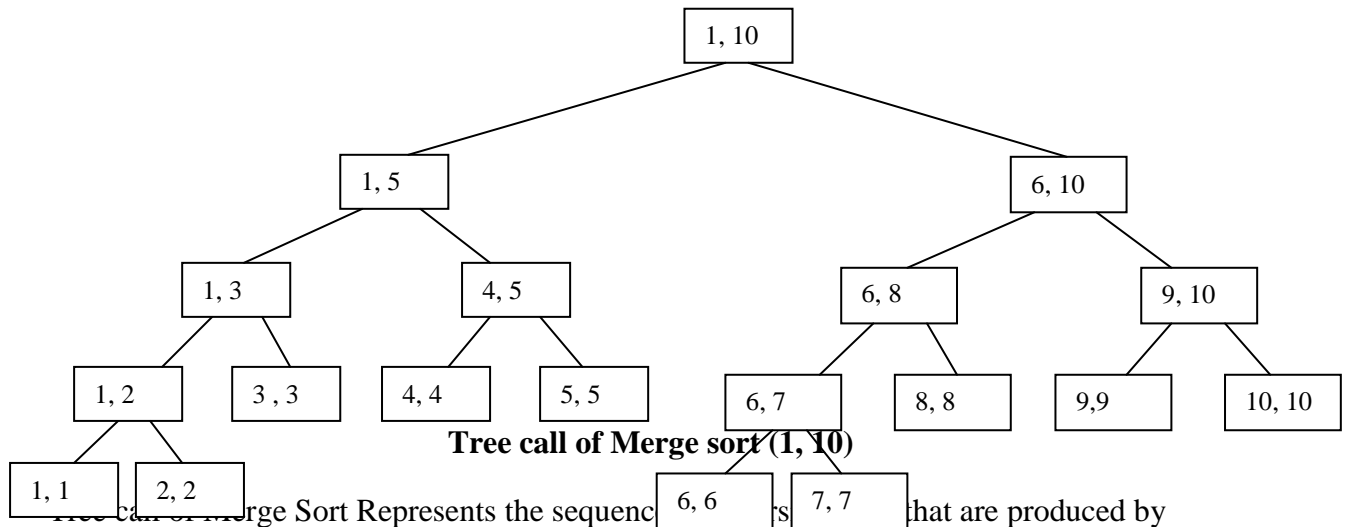
```
Algorithm mergesort(low, high)
{
  if(low<high) then
  {
    mid=(low+high)/2;
    mergesort(low,mid);
    mergesort(mid+1,high); //Solve the sub-problems
    Merge(low,mid,high); // Combine the solution
  }
}

void Merge(low, mid,high){
  k=low;
  i=low;
  j=mid+1;
  while(i<=mid&& j<=high) do{
    if(a[i]<=a[j]) then
    {
      temp[k]=a[i];
      i++;
      k++;
    }
    else
    {
      temp[k]=a[j];
      j++;
      k++;
    }
  }
  while(i<=mid) do{
    temp[k]=a[i];
    i++;
    k++;
  }
  while(j<=high) do{
    temp[k]=a[j];
    j++;
    k++;
  }
  For k=low to high do
  a[k]=temp[k];
}
For k:=low to high do a[k]=temp[k];
}
```

### Tree call of Merge sort

Consider a example: (From text book)

$A[1:10] = \{310, 285, 179, 652, 351, 423, 861, 254, 450, 520\}$



Tree call of Merge Sort Represents the sequence of recursive calls that are produced by merge sort.

“Once observe the explained notes in class room”

### Computing Time for Merge sort:

The time for the merging operation is proportional to  $n$ , then computing time for merge sort is described by using recurrence relation.

$$T(n) = \begin{cases} a & \text{if } n=1; \\ 2T(n/2) + cn & \text{if } n>1 \end{cases}$$

Here  $c, a \rightarrow$  Constants.

If  $n$  is power of 2,  $n=2^k$

Form recurrence relation

$$T(n) = 2T(n/2) + cn$$

$$2[2T(n/4) + cn/2] + cn$$

$$4T(n/4) + 2cn$$

$$2^2 T(n/4) + 2cn$$

$$2^3 T(n/8) + 3cn$$

$$2^4 T(n/16) + 4cn$$

$$2^k T(1) + kcn$$

$$an + cn(\log n)$$

By representing it by in the form of Asymptotic notation O is

$$T(n)=O(n \log n)$$

## Quick Sort

Quick Sort is an algorithm based on the DIVIDE-AND-CONQUER paradigm that selects a pivot element and reorders the given list in such a way that all elements smaller to it are on one side and those bigger than it are on the other. Then the sub lists are recursively sorted until the list gets completely sorted. The time complexity of this algorithm is  $O(n \log n)$ .

- Auxiliary space used in the average case for implementing recursive function calls is  $O(\log n)$  and hence proves to be a bit space costly, especially when it comes to large data sets.
- Its worst case has a time complexity of  $O(n^2)$  which can prove very fatal for large data sets. Competitive sorting algorithms

### Quick sort program

```
#include<stdio.h>
#include<conio.h>
int n,j,i;
void main(){
int i,low,high,z,y;
int a[10],kk;
void quick(int a[10],int low,int high);
int n;
clrscr();
printf("\n \t\t mergesort \n");
printf("\n enter the length of the list:");
scanf("%d",&n);
printf("\n enter the list elements");
for(i=0;i<n;i++)
scanf("%d",&a[i]);
low=0;
high=n-1;
quick(a,low,high);
printf("\n sorted array is:");
for(i=0;i<n;i++)
printf(" %d",a[i]);
getch();
}

int partition(int a[10], int low, int high){
int i=low,j=high;
int temp;
int mid=(low+high)/2;
int pivot=a[mid];
while(i<=j)
{
while(a[i]<=pivot)
i++;
```



```

while(a[j]>pivot)
j--;
if(i<=j){
    temp=a[i];
    a[i]=a[j];
    a[j]=temp;
    i++;
    j--;
}
}
return j;
}
void quick(int a[10],int low, int high)
{
    int m=partition(a,low,high);
    if(low<m)
    quick(a,low,m);
    if(m+1<high)
    quick(a,m+1,high);
}

```

#### Algorithm for Quick sort

```

Algorithm quickSort (a, low, high) {
    If(high>low) then{
        m=partition(a,low,high);
        if(low<m) then quick(a,low,m);
        if(m+1<high) then quick(a,m+1,high);
    }
}

Algorithm partition(a, low, high){
    i=low,j=high;
    mid=(low+high)/2;
    pivot=a[mid];
    while(i<=j) do { while(a[i]<=pivot)
        i++;
        while(a[j]>pivot)
            j--;
        if(i<=j){ temp=a[i];
            a[i]=a[j];
            a[j]=temp;
            i++;
            j--;
        }
    }
    return j;
}

```

| Name             | Time Complexity |              |            | Space Complexity |
|------------------|-----------------|--------------|------------|------------------|
|                  | Best case       | Average Case | Worst Case |                  |
| <b>Bubble</b>    | $O(n)$          | -            | $O(n^2)$   | $O(n)$           |
| <b>Insertion</b> | $O(n)$          | $O(n^2)$     | $O(n^2)$   | $O(n)$           |
| <b>Selection</b> | $O(n^2)$        | $O(n^2)$     | $O(n^2)$   | $O(n)$           |

|              |               |               |               |                 |
|--------------|---------------|---------------|---------------|-----------------|
| <b>Quick</b> | $O(\log n)$   | $O(n \log n)$ | $O(n^2)$      | $O(n + \log n)$ |
| <b>Merge</b> | $O(n \log n)$ | $O(n \log n)$ | $O(n \log n)$ | $O(2n)$         |
| <b>Heap</b>  | $O(n \log n)$ | $O(n \log n)$ | $O(n \log n)$ | $O(n)$          |

### Comparison between Merge and Quick Sort:

- Both follows Divide and Conquer rule.
- Statistically both merge sort and quick sort have the same average case time i.e.,  $O(n \log n)$ .
- Merge Sort Requires additional memory. The pros of merge sort are: it is a stable sort, and there is no worst case (means average case and worst case time complexity is same).
- Quick sort is often implemented in place thus saving the performance and memory by not creating extra storage space.
- But in Quick sort, the performance falls on already sorted/almost sorted list if the pivot is not randomized. Thus why the worst case time is  $O(n^2)$ .

### Randomized Sorting Algorithm: (Random quick sort)

- While sorting the array  $a[p:q]$  instead of picking  $a[m]$ , pick a random element (from among  $a[p]$ ,  $a[p+1]$ ,  $a[p+2]$ --- $a[q]$ ) as the partition elements.
- The resultant randomized algorithm works on any input and runs in an expected  $O(n \log n)$  times.

| Algorithm for Random Quick sort  |
|--|
| <pre> Algorithm RquickSort (a, p, q) { If(high&gt;low) then{ If((q-p)&gt;5) then Interchange(a, Random() mod (q-p+1)+p, p); m=partition(a,p, q+1); quick(a, p, m-1); quick(a,m+1,q); }} </pre> |

### Strassen's Matrix Multiplication:

Let A and B be two  $n \times n$  Matrices. The product matrix  $C=AB$  is also a  $n \times n$  matrix whose  $i, j^{\text{th}}$  element is formed by taking elements in the  $i^{\text{th}}$  row of A and  $j^{\text{th}}$  column of B and multiplying them to get

$$C(i, j) = \sum_{1 \leq k \leq n} A(i, k)B(k, j)$$

Here  $1 \leq i \text{ \& } j \leq n$  means  $i$  and  $j$  are in between 1 and  $n$ .

To compute  $C(i, j)$  using this formula, we need  $n$  multiplications.

The divide and conquer strategy suggests another way to compute the product of two  $n \times n$  matrices.

For Simplicity assume  $n$  is a power of 2 that is  $n=2^k$

Here  $k \rightarrow$  any nonnegative integer.

If  $n$  is not power of two then enough rows and columns of zeros can be added to both  $A$  and  $B$ , so that resulting dimensions are a power of two.

Let  $A$  and  $B$  be two  $n \times n$  Matrices. Imagine that  $A$  &  $B$  are each partitioned into four square sub matrices. Each sub matrix having dimensions  $n/2 \times n/2$ .

The product of  $AB$  can be computed by using previous formula.

If  $AB$  is product of  $2 \times 2$  matrices then

$$\begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix} \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix} = \begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix}$$

$$C_{11} = A_{11}B_{11} + A_{12}B_{21}$$

$$C_{12} = A_{11}B_{12} + A_{12}B_{22}$$

$$C_{21} = A_{21}B_{11} + A_{22}B_{21}$$

$$C_{22} = A_{21}B_{12} + A_{22}B_{22}$$

Here 8 multiplications and 4 additions are performed.

Note that Matrix Multiplication are more Expensive than matrix addition and subtraction.

|  |
|--|
| $T(n) = \begin{cases} b & \text{if } n \leq 2; \\ 8T(n/2) + cn^2 & \text{if } n > 2 \end{cases}$ |
|--|

Volker strassen has discovered a way to compute the  $C_{i,j}$  of above using 7 multiplications and 18 additions or subtractions.

For this first compute 7  $n/2 \times n/2$  matrices  $P, Q, R, S, T, U$  &  $V$

$$P = (A_{11} + A_{22})(B_{11} + B_{22})$$

$$Q = (A_{21} + A_{22})B_{11}$$

$$R = A_{11}(B_{12} - B_{22})$$

$$S = A_{22}(B_{21} - B_{11})$$

$$T = (A_{11} + A_{12})B_{22}$$

$$U = (A_{21} - A_{11})(B_{11} + B_{12})$$

$$V = (A_{12} - A_{22})(B_{21} + B_{22})$$

$$C_{11} = P + S - T + V$$

$$C_{12} = R + T$$

$$C_{21} = Q + S$$

$$C_{22} = P + R - Q + U$$

|  |
|--|
| $T(n) = \begin{cases} b & \text{if } n \leq 2; \\ 7T(n/2) + cn^2 & \text{if } n > 2 \end{cases}$ |
|--|