#### Quantum Error Correction: A Tutorial

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#### Outline

Background and Motivation

Quantum Error Correction Basics
The 3-Qubit Bit-Flip and Phase-Flip Correcting Codes
Shor's Code

Quantum Stabilizer Codes

References

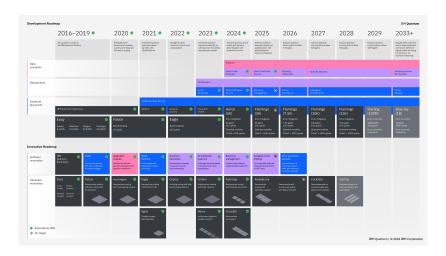
# Background and Motivation

#### NISQ-Era and Beyond

John Preskill, Quantum Computing in the NISQ era and beyond, Quantum, vol. 2, p. 79, 2018. [Online] arXiv:1801.00862v3

- Noisy Intermediate-Scale Quantum (NISQ) technology
  - up to a few hundred qubits
  - faulty gates
- 5-10 year horizon
  - thousands of qubits and beyond
  - fault-tolerant, based on quantum error correction.

### The IBM Quantum Computing Roadmap



### Quantum Computing Technologies

Various quantum computing technologies are in development:

- ► Superconducting qubits IBM, Google, IQM, Rigetti etc.
- Photonics / bosonic computing —
   Xanadu, ORCA Computing, PsiQuantum etc.
- ► Trapped ions IonQ, Oxford Ionics, Quantinuum etc.
- Neutral atoms Pasqal, QuEra, planqc etc.

Many other efforts at universities and start-ups around the world.

### Quantum Error Correction Basics

### Logical and Physical Qubits

▶ A qubit is the state of a two-state quantum system. Formally, it is a quantum state living in a 2-dimensional state space, H:

$$|\psi\rangle = \alpha |0\rangle + \beta |1\rangle$$
, where  $\alpha, \beta \in \mathbb{C}$ , with  $|\alpha|^2 + |\beta^2| = 1$ .

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Physical Qubits. These are the physical objects that behave as two-state quantum systems.

Physical qubits are highly error-prone due to decoherence, i.e., loss of information to the environment.

► Logical Qubits. These are the abstract qubits upon which a quantum algorithm is executed.



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- ► Logical Qubits. These are the abstract qubits upon which a quantum algorithm is executed.
- ▶ Dozens of physical qubits are typically required to sustain a single logical qubit for the purposes of computation.

### Bit-Flip and Phase-Flip Errors

A bit-flip error on a physical qubit is an X gate acting on that qubit:  $X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ 

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A phase-flip error on a physical qubit is a Z gate acting on that qubit:  $Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$ 

$$Z\ket{0}=\ket{0}$$
 and  $Z\ket{1}=-\ket{1}$   $\alpha\ket{0}+\beta\ket{1}$   $\longrightarrow$   $Z$   $\longrightarrow$   $\alpha\ket{0}-\beta\ket{1}$ 

### The No-Cloning Theorem

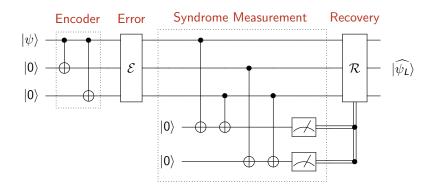
#### **Theorem**

There is no unitary gate *U* that operates as shown:

$$\begin{array}{c|c} \textit{input} & |\psi\rangle & \hline & U \\ \textit{ancilla} & |0\rangle & \hline & U \\ \end{array}$$

In other words, there is no quantum gate that can create an exact replica of an input qubit in an arbitrary (unknown) state.

#### The 3-Qubit Single-Bit-Flip-Correcting Code



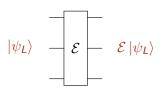
- One logical qubit is encoded within three physical qubits
- ► Can correct a bit-flip (X) error in at most one of the three physical qubits

#### Encoder

$$\begin{vmatrix} \psi \rangle & & \\ |0\rangle & & & \\ |0\rangle & & & \\ \end{vmatrix} \begin{vmatrix} \psi_L \rangle \\ \end{vmatrix}$$

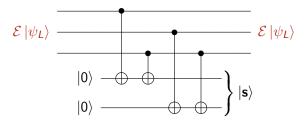
$$\begin{array}{ccc} |0\rangle & \longmapsto & |000\rangle =: |0_L\rangle \\ |1\rangle & \longmapsto & |111\rangle =: |1_L\rangle \\ \\ \underline{\alpha \, |0\rangle + \beta \, |1\rangle} & \longmapsto & \underline{\alpha \, |000\rangle + \beta \, |111\rangle} \\ \underline{\psi_L\rangle} \end{array}$$

#### Error



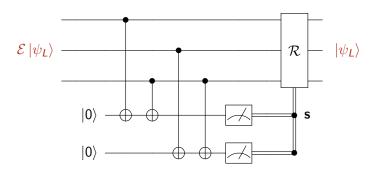
| $\mathcal{E}$           | $\mathcal{E}\ket{\psi_{L}}$  | description           |  |
|-------------------------|--|-----------------------|--|
| $I\otimes I\otimes I$   | $\mid \alpha \mid$ 000 $ angle + eta \mid$ 111 $ angle \mid \mid$ no error |                       |  |
| $X \otimes I \otimes I$ | $\alpha   100 \rangle + \beta   011 \rangle$                               | bit-flip on 1st qubit |  |
| $I \otimes X \otimes I$ | $\alpha  010\rangle + \beta  101\rangle$                                   | bit-flip on 2nd qubit |  |
| $I \otimes I \otimes X$ | $\alpha  001\rangle + \beta  110\rangle$                                   | bit-flip on 3rd qubit |  |

# Syndrome Qubits



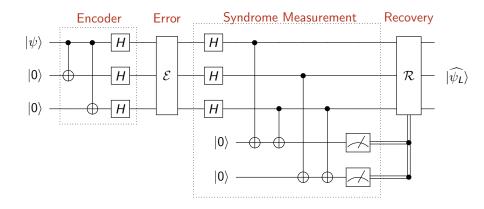
| $\mathcal{E}$           | $\mathcal{E}\ket{\psi_L}$                    | Syndrome $ \mathbf{s}\rangle$ |  |
|-------------------------|--|-------------------------------|--|
| $I \otimes I \otimes I$ | $\alpha  000\rangle + \beta  111\rangle$     | 00⟩                           |  |
| $X \otimes I \otimes I$ | $\alpha   100 \rangle + \beta   011 \rangle$ | $ 10\rangle$                  |  |
| $I \otimes X \otimes I$ | $\alpha  010\rangle + \beta  101\rangle$     | 01⟩                           |  |
| $I \otimes I \otimes X$ | $\alpha  001\rangle + \beta  110\rangle$     | $ 11\rangle$                  |  |

# Syndrome Measurement and Recovery



| $\mathcal{E}$           | $\mathcal{E}\ket{\psi_{L}}$  | S  | $\mathcal{R}$           |
|-------------------------|--|----|-------------------------|
| $I \otimes I \otimes I$ | $\alpha  000\rangle + \beta  111\rangle$   | 00 | $I \otimes I \otimes I$ |
| $X \otimes I \otimes I$ | $\alpha \left  \frac{1}{0} \right\rangle + \beta \left  \frac{0}{0} \right\rangle$ | 10 | $X \otimes I \otimes I$ |
| $I \otimes X \otimes I$ | $\alpha  010\rangle + \beta  101\rangle$   | 01 | $I \otimes X \otimes I$ |
| 1 ⊗ 1 ⊗ <b>X</b>        | $\alpha  001\rangle + \beta  110\rangle$   | 11 | $I \otimes I \otimes X$ |

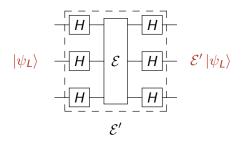
# The 3-Qubit Single-Phase-Flip-Correcting Code



- One logical qubit is encoded within three physical qubits
- ► Can correct a phase-flip (*Z*) error in at most one of the three physical qubits

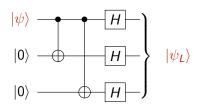


# Converting *Z*-Errors to *X*-Errors



| $\mathcal{E}$           | $\mathcal{E}'$          |  |
|-------------------------|-------------------------|--|
| $I \otimes I \otimes I$ | $I \otimes I \otimes I$ |  |
| $Z \otimes I \otimes I$ | $X \otimes I \otimes I$ |  |
| $I \otimes Z \otimes I$ | $I \otimes X \otimes I$ |  |
| $I \otimes I \otimes Z$ | $I \otimes I \otimes X$ |  |

#### Encoder



$$\begin{array}{ccc} |0\rangle &\longmapsto & |+++\rangle =: |0_L\rangle \\ |1\rangle &\longmapsto & |---\rangle =: |1_L\rangle \\ \\ \underline{\alpha \, |0\rangle + \beta \, |1\rangle} &\longmapsto & \underline{\alpha \, |+++\rangle \, + \, \beta \, |---\rangle} \\ \underline{\psi_L\rangle} \end{array}$$

#### Shor's Code Shor (1995)

- Obtained by concatenating the 3-qubit phase-flip code with the 3-qubit bit-flip code.
- Outer code: 3-qubit phase-flip code

$$|0
angle \;\longmapsto\; |+++
angle \;\; \mbox{and} \;\;\; |1
angle \;\;\longmapsto\; |---
angle$$

▶ Inner code: 3-qubit bit-flip code — each  $|+\rangle$  or  $|-\rangle$  of the outer code is further encoded as

$$\begin{aligned} |+\rangle &= \frac{|0\rangle + |1\rangle}{\sqrt{2}} &\longmapsto \frac{|000\rangle + |111\rangle}{\sqrt{2}} \\ |-\rangle &= \frac{|0\rangle - |1\rangle}{\sqrt{2}} &\longmapsto \frac{|000\rangle - |111\rangle}{\sqrt{2}} \end{aligned}$$

### Shor's Code

Shor (1995)

► The resulting code encodes one logical qubit into 3 × 3 = 9 physical qubits:

$$|0\rangle \longmapsto |0_L\rangle := \left(\frac{|000\rangle + |111\rangle}{\sqrt{2}}\right)^{\otimes 3}$$

$$|1\rangle \longmapsto |1_L\rangle := \left(\frac{|000\rangle - |111\rangle}{\sqrt{2}}\right)^{\otimes 3}$$

$$\underbrace{\alpha |0\rangle + \beta |1\rangle}_{|\psi\rangle} \longmapsto \underbrace{\alpha |0_L\rangle + \beta |1_L\rangle}_{|\psi_L\rangle}$$

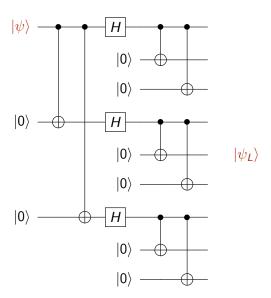
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► This code is capable of correcting an *arbitrary* unitary error on any one of the 9 physical qubits.

#### Encoder



### Bit-flip and Phase-flip Errors

▶ Encoded  $|\psi\rangle = \alpha |0\rangle + \beta |1\rangle$ :

$$\begin{split} &\alpha\bigg(\frac{|000\rangle+|111\rangle}{\sqrt{2}}\bigg)\otimes\bigg(\frac{|000\rangle+|111\rangle}{\sqrt{2}}\bigg)\otimes\bigg(\frac{|000\rangle+|111\rangle}{\sqrt{2}}\bigg)\\ &+\beta\bigg(\frac{|000\rangle-|111\rangle}{\sqrt{2}}\bigg)\otimes\bigg(\frac{|000\rangle-|111\rangle}{\sqrt{2}}\bigg)\otimes\bigg(\frac{|000\rangle-|111\rangle}{\sqrt{2}}\bigg) \end{split}$$

▶ Bit-flip error in 5th qubit:

$$\begin{split} &\alpha\bigg(\frac{|000\rangle+|111\rangle}{\sqrt{2}}\bigg)\otimes\bigg(\frac{|010\rangle+|101\rangle}{\sqrt{2}}\bigg)\otimes\bigg(\frac{|000\rangle+|111\rangle}{\sqrt{2}}\bigg)\\ &+\beta\bigg(\frac{|000\rangle-|111\rangle}{\sqrt{2}}\bigg)\otimes\bigg(\frac{|010\rangle-|101\rangle}{\sqrt{2}}\bigg)\otimes\bigg(\frac{|000\rangle-|111\rangle}{\sqrt{2}}\bigg) \end{split}$$

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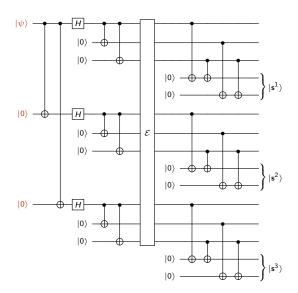
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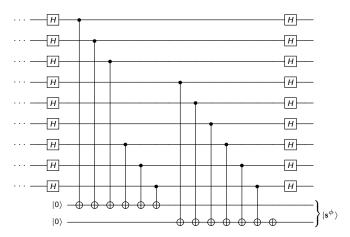
Phase-flip error in 7th qubit:

$$\alpha \left(\frac{|000\rangle + |111\rangle}{\sqrt{2}}\right) \otimes \left(\frac{|000\rangle + |111\rangle}{\sqrt{2}}\right) \otimes \left(\frac{|000\rangle - |111\rangle}{\sqrt{2}}\right) + \beta \left(\frac{|000\rangle - |111\rangle}{\sqrt{2}}\right) \otimes \left(\frac{|000\rangle - |111\rangle}{\sqrt{2}}\right) \otimes \left(\frac{|000\rangle + |111\rangle}{\sqrt{2}}\right)$$

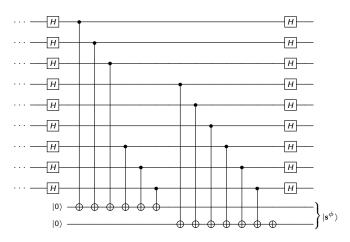
# Syndrome Qubits for Bit-Flip Error Correction



# Syndrome Qubits for Phase-Flip Error Correction



# Syndrome Qubits for Phase-Flip Error Correction



- ► Thus, a total number of eight syndrome qubits are used:
  - ▶ 6 for bit-flip errors
  - 2 for phase-flip errors



#### Shor's Code: Error Correction

Shor's code is capable of correcting the following types of errors:

- a single bit-flip (X) error in each of the three blocks of 3 physical qubits
- ▶ a single phase-flip (Z) error affecting exactly one of the three blocks of 3 physical qubits
- ▶ an XZ-error, i.e., a bit-flip followed by a phase-flip on the same physical qubit

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- ➤ an XZ-error, i.e., a bit-flip followed by a phase-flip on the same physical qubit
- ► an *arbitrary* unitary error operator acting on any one of the 9 physical qubits!

# Linear Algebra: An ON Basis of $\mathbb{C}^{2\times 2}$

The matrices

$$\textit{I}_2 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \ \textit{X} = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \ \textit{Z} = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}, \ \textit{XZ} = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$$

form an orthonormal basis of  $\mathbb{C}^{2\times 2}$  with respect to the Hilbert-Schmidt inner product

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$$(A,B) := \frac{1}{2}\operatorname{tr}(A^{\dagger}B)$$

- ► Thus, any 2 × 2 complex matrix A is (uniquely) expressible as  $\alpha_1 I_2 + \alpha_2 X + \alpha_3 Z + \alpha_4 (XZ)$  for some  $\alpha_1, \alpha_2, \alpha_3, \alpha_4 \in \mathbb{C}$
- ▶ If A is unitary, then  $|\alpha_1|^2 + |\alpha_2|^2 + |\alpha_3|^2 + |\alpha_4|^2 = 1$ .

#### Shor's Code: Error Correction

▶ Suppose that  $|\psi_L\rangle = \alpha |0_L\rangle + \beta |1_L\rangle$  is affected by a single-qubit error operator

$$\mathcal{E} = I_2 \otimes \cdots \otimes I_2 \otimes U \otimes I_2 \otimes \cdots \otimes I_2,$$

where U is an arbitrary  $2 \times 2$  unitary operator acting on the ith qubit of  $|\psi_L\rangle$ .

- ▶ Write  $U = \alpha_1 I_2 + \alpha_2 X + \alpha_3 Z + \alpha_4 (XZ)$ , with  $|\alpha_1|^2 + |\alpha_2|^2 + |\alpha_3|^2 + |\alpha_4|^2 = 1$ .
- Then,

$$\mathcal{E} |\psi_L\rangle = \alpha_1 |\psi_L\rangle + \alpha_2 X_i |\psi_L\rangle + \alpha_3 Z_i |\psi_L\rangle + \alpha_4 X_i Z_i |\psi_L\rangle,$$

the subscript i indicating that the operator acts on the ith qubit of  $|\psi_L\rangle$ .



#### Shor's Code: Error Correction

▶ After  $\mathcal{E} | \psi_L \rangle$  is passed through the syndrome computation circuit, we obtain (by linearity)

$$\alpha_{1} \left| \psi_{L} \right\rangle \left| \mathbf{0} \right\rangle + \alpha_{2} X_{i} \left| \psi_{L} \right\rangle \left| \mathbf{s}_{X_{i}} \right\rangle + \alpha_{3} Z_{i} \left| \psi_{L} \right\rangle \left| \mathbf{s}_{Z_{i}} \right\rangle + \alpha_{4} X_{i} Z_{i} \left| \psi_{L} \right\rangle \left| \mathbf{s}_{X_{i} Z_{i}} \right\rangle$$

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▶ Measuring the syndrome qubits |s⟩ yields

| Outcome               | Post-measurement $\mathcal{E}\ket{\psi_{m{L}}}$ | Probability    |
|-----------------------|---|----------------|
| 0                     | $ \psi_{L} angle$                               | $ \alpha_1 ^2$ |
| $\mathbf{s}_{\chi_i}$ | $X_i \ket{\psi_L}$                              | $ \alpha_2 ^2$ |
| $\mathbf{s}_{Z_i}$    | $Z_i\ket{\psi_L}$                               | $ \alpha_3 ^2$ |
| $\mathbf{s}_{X_iZ_i}$ | $X_i Z_i \ket{\psi_L}$                          | $ \alpha_4 ^2$ |

► The measurement outcome identifies the error operator present in the post-measurement state, and its effect can then be reversed.

#### Discretization of Errors

Shor's code illustrates the principle of discretization of errors:

to correct an arbitrary unitary error operator, it suffices to ensure that the basis errors  $I_2$ , X, Z and XZ can be corrected.

# Quantum Stabilizer Codes

#### The Pauli Matrices

▶ The four Pauli matrices

$$I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \ X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \ Y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}, \ Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

form an orthonormal basis of  $\mathbb{C}^{2\times 2}$  with respect to the inner product  $(A,B) := \operatorname{tr}(A^{\dagger}B)$ .

- Some useful properties:
  - $Y = iXZ \quad (i = \sqrt{-1})$
  - ightharpoonup tr(X) = tr(Y) = tr(Z) = 0 (traceless property)
  - $X^2 = Y^2 = Z^2 = I$  (involution)
  - ► XY = -YX, XZ = -ZX, YZ = -ZY. (anti-commutativity)
  - ▶ X, Y and Z all have eigenvalues +1, -1.

# The Pauli group $\mathcal{P}_n$

$$\mathcal{P}_{n} \; := \; \left\{ i^{\ell} \cdot M_{1} \otimes \cdots \otimes M_{n} : M_{j} \in \{I,X,Y,Z\}, \, \ell \in \{0,1,2,3\} \right\}$$

▶  $\mathcal{P}_n$  is a subgroup of the multiplicative group,  $\mathcal{U}(N)$ , consisting of  $N \times N$  unitary matrices  $(N = 2^n)$ .

$$(M_1 \otimes \cdots \otimes M_n)(M'_1 \otimes \cdots \otimes M'_n) = M_1 M'_1 \otimes \cdots \otimes M_n M'_n.$$

- $\triangleright$   $\mathcal{P}_n$  is non-abelian; for example, XZ = -ZX.
- Any two elements of  $\mathcal{P}_n$  either commute or anti-commute: either MM' = M'M or MM' = -M'M.

# Linear Algebraic Properties of $M_1 \otimes \cdots \otimes M_n$

▶  $M_1 \otimes \cdots \otimes M_n$ , with  $M_j \in \{I, X, Y, Z\} \forall j$ , is Hermitian.

► 
$$\operatorname{tr}(M_1 \otimes \cdots \otimes M_n) = \begin{cases} N \ (=2^n) & \text{if } M_j = I \text{ for all } j \\ 0 & \text{otherwise} \end{cases}$$

▶ Other than when  $M_j = I$  for all j, the matrix  $M_1 \otimes \cdots \otimes M_n$  has N/2 eigenvalues equal to +1 and N/2 eigenvalues equal to -1.

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- ▶ Other than when  $M_j = I$  for all j, the matrix  $M_1 \otimes \cdots \otimes M_n$  has N/2 eigenvalues equal to +1 and N/2 eigenvalues equal to -1.
- ▶ The  $4^n$  matrices  $M_1 \otimes \cdots \otimes M_n$ , with  $M_j \in \{I, X, Y, Z\} \forall j$ , form an ON basis of  $\mathbb{C}^{N \times N}$ , with respect to the inner product  $(A, B) = \operatorname{tr}(A^{\dagger}B)$ .

#### **Stabilizers**

Daniel Gottesman, PhD Thesis, Caltech, 1997

For S a subgroup of  $\mathcal{P}_n$ , define the subspace of  $\mathbb{C}^N$  stabilized by S to be the set of all n-qubit states  $|\psi\rangle$  that are invariant under every Pauli operator in S:

$$Q_{\mathcal{S}} := \{ |\psi\rangle : M |\psi\rangle = |\psi\rangle \text{ for all } M \in \mathcal{S} \}$$

- ▶ In other words,  $Q_S$  is the common +1-eigenspace of all  $M \in S$ .
- ▶ Any  $M \in S$  is called a stabilizer of  $Q_S$ .

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- ▶ In other words,  $Q_S$  is the common +1-eigenspace of all  $M \in S$ .
- ▶ Any  $M \in S$  is called a stabilizer of  $Q_S$ .
- ► Example: For  $S = \langle I \otimes Z \otimes Z, Z \otimes Z \otimes I \rangle = \{I \otimes I \otimes I, I \otimes Z \otimes Z, Z \otimes I \otimes Z, Z \otimes Z \otimes I \},$

$$Q_{\mathcal{S}} = \mathsf{span}(\ket{000}, \ket{111}).$$

## Quantum Stabilizer Code

▶ If S is non-abelian or  $-I_N \in S$ , then  $Q_S = \{0\}$ .

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#### **Theorem**

Let  $\mathcal S$  be an abelian subgroup of  $\mathcal P_n$  such that  $-I_N \notin \mathcal S$ . Then,

$$\dim \mathcal{Q}_{\mathcal{S}} = \frac{2^n}{|\mathcal{S}|}.$$

In particular, dim  $Q_S \geq 1$ .

▶ A non-trivial  $Q_S$  is referred to as a quantum stabilizer code.

## Quantum Stabilizer Code

▶ If S is non-abelian or  $-I_N \in S$ , then  $Q_S = \{0\}$ .

#### **Theorem**

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$$\dim \mathcal{Q}_{\mathcal{S}} = \frac{2^n}{|\mathcal{S}|}.$$

In particular, dim  $Q_S \geq 1$ .

▶ A non-trivial  $Q_S$  is referred to as a quantum stabilizer code.

From now onwards, we will use the term "stabilizer group" to mean an abelian subgroup of  $\mathcal{P}_n$  that does not contain  $-I_N$ .

## Stabilizer Groups

Let S be a stabilizer group.

▶ The elements in S are all of the form  $\pm M_1 \otimes \cdots \otimes M_n$  with  $M_j \in \{I, X, Y, Z\}$  for all j.

The sign in front can either be + or -, but not both.

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▶ S can be completely specified by a set of independent generators:  $S = \langle g_1, g_2, \dots, g_m \rangle$ .

This means that each  $M \in \mathcal{S}$  is uniquely expressible as a product of the generators  $g_i$ :

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Consequently,  $|S| = 2^m$ .

 $\operatorname{dim} \mathcal{Q}_{\mathcal{S}} = 2^{n-m}.$ 



## Symplectic Notation

▶ A Pauli operator of the form  $M = M_1 \otimes \cdots \otimes M_n$  has a useful binary vector representation:

$$[\mathbf{a} \mid \mathbf{b}] = [a_1, a_2, \dots, a_n \mid b_1, b_2, \dots, b_n]$$

with

$$(a_j, b_j) = egin{cases} (0,0) & ext{if } M_j = I \ (1,0) & ext{if } M_j = X \ (0,1) & ext{if } M_j = Z \ (1,1) & ext{if } M_j = Y \end{cases}$$

We also write this as

$$M = X(\mathbf{a})Z(\mathbf{b}) = X(a_1a_2 \dots a_n)Z(b_1b_2 \dots b_n).$$



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► Example:  $M = Y \otimes Z \otimes I \otimes Y \otimes X$  has the vector representation  $[1,0,0,1,1 \mid 1,1,0,1,0]$ . We also write this as

$$M = X(10011)Z(11010)$$



# Utility of Symplectic Notation

Let 
$$M = X(\mathbf{a})Z(\mathbf{b})$$
 and  $M' = X(\mathbf{a}')Z(\mathbf{b}')$ .

$$MM' = (-1)^{\mathbf{a}' \cdot \mathbf{b}} X(\mathbf{a} \oplus \mathbf{a}') Z(\mathbf{b} \oplus \mathbf{b}')$$

# Utility of Symplectic Notation

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$$M'M = (-1)^{\mathbf{a} \cdot \mathbf{b}'} X(\mathbf{a} \oplus \mathbf{a}') Z(\mathbf{b} \oplus \mathbf{b}').$$

▶ Thus,  $M = X(\mathbf{a})Z(\mathbf{b})$  and  $M' = X(\mathbf{a}')Z(\mathbf{b}')$  commute if and only if  $(-1)^{\mathbf{a}' \cdot \mathbf{b}} = (-1)^{\mathbf{a} \cdot \mathbf{b}'}$ , or equivalently,

$$\mathbf{a}' \cdot \mathbf{b} \equiv \mathbf{a} \cdot \mathbf{b}' \pmod{2}$$



# Symplectic Inner Product

▶ The symplectic inner product between  $[\mathbf{a} \mid \mathbf{b}]$  and  $[\mathbf{a}' \mid \mathbf{b}']$  is defined as

$$\langle [\mathbf{a}|\mathbf{b}] \mid [\mathbf{a}'|\mathbf{b}'] \rangle_{\mathrm{s}} := \mathbf{a}' \cdot \mathbf{b} - \mathbf{a} \cdot \mathbf{b}'$$

- ▶ Thus,  $M = X(\mathbf{a})Z(\mathbf{b})$  and  $M' = X(\mathbf{a}')Z(\mathbf{b}')$  commute if and only if  $\langle [\mathbf{a}|\mathbf{b}] \mid [\mathbf{a}'|\mathbf{b}'] \rangle_s \equiv 0 \pmod{2}$ .
- ► Example:  $M = Y \otimes Z \otimes I \otimes Y \otimes X = X(10011)Z(11010)$  $M' = Z \otimes I \otimes Y \otimes I \otimes X = X(00101)Z(10100).$

The symplectic inner product between  $[10011 \mid 11010]$  and  $[00101 \mid 10100]$  is  $1 \pmod{2}$ , so M and M' anti-commute.

#### Check Matrix

Let 
$$S = \langle g_1, g_2, \dots, g_m \rangle$$
 be a stabilizer group in  $\mathcal{P}_n$ .  
Let  $g_\ell = X(\mathbf{a}^{(\ell)})Z(\mathbf{b}^{(\ell)}), \ \ell = 1, 2, \dots, m$ .

- ▶ The check matrix representation of this set of generators is a  $m \times 2n$  matrix H whose  $\ell$ -th row is  $[\mathbf{a}^{(\ell)} \mid \mathbf{b}^{(\ell)}]$ .
- ▶ Example:  $S = \langle I \otimes Z \otimes Z, Z \otimes Z \otimes I \rangle$  has the check matrix

$$H = \begin{bmatrix} 0 & 0 & 0 & | & 0 & 1 & 1 \\ 0 & 0 & 0 & | & 1 & 1 & 0 \end{bmatrix}$$

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▶ Generators  $g_1, g_2, \ldots, g_m$  are independent if and only if the corresponding check matrix has rank m.



# A Prescription for Constructing Quantum Stabilizer Codes

1. Pick *m* linearly independent vectors

$$[\mathbf{a}^{(1)}, \mathbf{b}^{(1)}], [\mathbf{a}^{(2)}, \mathbf{b}^{(2)}], \dots, [\mathbf{a}^{(m)}, \mathbf{b}^{(m)}] \in \{0, 1\}^{2n}$$

such that

$$\langle [\mathbf{a}^{(k)}, \mathbf{b}^{(k)}] \mid [\mathbf{a}^{(\ell)}, \mathbf{b}^{(\ell)}] \rangle_{\mathrm{s}} \equiv 0 \pmod{2}$$
 for all  $k, \ell$ 

2. Set up the stabilizer generators  $g_j = X(\mathbf{a}^{(j)})Z(\mathbf{b}^{(j)})$ , j = 1, 2, ..., m, and the stabilizer group  $S = \langle g_1, g_2, ..., g_m \rangle$ .

The resulting *n*-qubit subspace  $Q_S$  has dimension  $2^{n-m}$ , so it can hold n-m logical qubits.

We call  $Q_S$  an  $[[n, n-m]]_2$  quantum stabilizer code.



# The Calderbank-Shor-Steane (CSS) Construction

Calderbank-Shor (1996), Steane (1996)

- ▶ Pick an  $[n, k_1]$  (classical) binary linear code  $C_1$  and an  $[n, k_2]$  binary linear code  $C_2$  such that  $C_2^{\perp} \subseteq C_1$ .
- ▶ Let  $H_i$  be an  $(n k_i) \times n$  parity-check matrix for  $C_i$ . Note that

$$\mathcal{C}_2^\perp \subseteq \mathcal{C}_1 \;\iff\; H_1H_2^T = 0 \pmod{2}$$

Set  $m := (n - k_1) + (n - k_2)$  and construct the  $m \times 2n$  check matrix

$$H = \begin{bmatrix} H_1 & | & \mathbf{0} \\ - & - & - \\ \mathbf{0} & | & H_2 \end{bmatrix}$$

By construction, the H matrix has rank m.



# The Calderbank-Shor-Steane (CSS) Construction Calderbank-Shor (1996), Steane (1996)

- ▶ It is easy to check that the symplectic inner product between any pair of rows of *H* is equal to 0 (mod 2):
  - $\langle \cdot | \cdot \rangle_s = 0$  for any pair of rows from the top half
  - $\langle \cdot | \cdot \rangle_s = 0$  for any pair of rows from the bottom half
  - ▶ the condition  $H_1H_2^T=0$  ensures that  $\langle\cdot|\cdot\rangle_s=0$  when one row comes from the top half and the other from the bottom half

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  - ▶ the condition  $H_1H_2^T=0$  ensures that  $\langle\cdot|\cdot\rangle_s=0$  when one row comes from the top half and the other from the bottom half
- ► The rows of H yield m independent generators of a stabilizer group S.
- ► The associated *n*-qubit subspace  $Q_S$  is an  $[[n, k_1 + k_2 n]]_2$  quantum stabilizer code, termed a CSS code.

# Example: The $[[7,1]]_2$ Steane Code

▶ Take  $C_1 = C_2 = [7,4]$  binary Hamming code, with parity-check matrix

It is easily verified that  $H_1H_1^T=0 \pmod{2}$ .

Applying the CSS construction results in the check matrix

# Example: The $[[7,1]]_2$ Steane Code

► The stabilizer generators correponding to the rows of the check matrix are

$$g_{1} = X \otimes X \otimes I \otimes X \otimes X \otimes I \otimes I$$

$$g_{2} = X \otimes I \otimes X \otimes X \otimes I \otimes X \otimes I$$

$$g_{3} = I \otimes X \otimes X \otimes X \otimes I \otimes I \otimes X$$

$$g_{4} = Z \otimes Z \otimes I \otimes Z \otimes Z \otimes I \otimes I$$

$$g_{5} = Z \otimes I \otimes Z \otimes Z \otimes I \otimes Z \otimes I$$

$$g_{6} = I \otimes Z \otimes Z \otimes Z \otimes I \otimes I \otimes Z$$

► The stabilizer group S generated by these gives rise to a [[7,1]]<sub>2</sub> quantum stabilizer code called the Steane code.

## Example: Shor's Code

Shor's code is also a CSS code obtained from the following matrices:

$$H_1 = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$

$$H_2 = \begin{bmatrix} 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \end{bmatrix}$$

# The Centralizer of a Stabilizer Group

Let S be a stabilizer group in  $P_n$ .

#### **Definition**

The centralizer of S in  $\mathcal{P}_n$  is the set of <u>all</u> operators in  $\mathcal{P}_n$  that commute with every  $M \in S$ ; denoted by  $\mathcal{Z}(S)$ .

- ▶ Note that  $S \subseteq \mathcal{Z}(S)$ .
- ▶ It can be shown that  $|\mathcal{Z}(S)| = \frac{2^{2n}}{|S|}$ .

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- ▶ It can be shown that  $|\mathcal{Z}(\mathcal{S})| = \frac{2^{2n}}{|\mathcal{S}|}$ .

Example: 
$$S = \langle I \otimes Z \otimes Z, Z \otimes Z \otimes I \rangle$$
.  $IZZ = X(000)Z(011)$  and  $ZZI = X(000)Z(110)$ .

The centralizer,  $Z(S) = \{X(000)Z(***), X(111)Z(***)\}.$ 

#### **Error Correction**

Let Q be an  $[[n, k]]_2$  quantum stabilizer code.

#### Definition (informal):

A set of unitary error operators  $\mathcal{E} \subseteq \mathcal{U}(N)$  is said to be correctable by  $\mathcal{Q}$  if there exists a recovery operation  $\mathcal{R}$  such that for all  $|\psi\rangle \in \mathcal{Q}$  and all  $E \in \mathcal{E}$ , we can recover  $|\psi\rangle$  by applying  $\mathcal{R}$  to  $E |\psi\rangle$ .

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- ▶ Recall that the Pauli matrices  $M_1 \otimes \cdots \otimes M_n$ , with  $M_j \in \{I, X, Y, Z\}$  for all j, form a basis of  $\mathbb{C}^{N \times N}$ .
- ▶ So, the principle of discretization of errors allows us to focus on correcting error operators that come from  $\mathcal{P}_n$ .

## Error Correction within $\mathcal{P}_n$

Let S be a stabilizer group within  $P_n$ , with  $Q_S$  its quantum stabilizer code.

#### **Theorem**

A subset  $\mathcal{E} \subseteq \mathcal{P}_n$  is correctable by  $\mathcal{Q}_{\mathcal{S}}$  if and only if

$$E_1^\dagger E_2 \notin \mathcal{Z}(\mathcal{S}) \setminus \mathcal{S} \quad \textit{for all } E_1, E_2 \in \mathcal{E}.$$

#### Minimum Distance

► The symplectic weight of a Pauli operator  $M = i^{\ell} M_1 \otimes \cdots \otimes M_n$  is defined as

$${
m wt_s}(M) = \#\{j: M_j \neq I\}.$$

▶ For an  $[[n, k]]_2$  quantum stabilizer code  $Q_S$  with k > 0, we define the minimum distance to be

$$d_{\min}(\mathcal{Q}_{\mathcal{S}}) = \min\{\operatorname{wt}_{\mathrm{s}}(M) : M \in \mathcal{Z}(\mathcal{S}) \setminus \mathcal{S}\}.$$

An  $[[n, k, d]]_2$  quantum stabilizer code is a  $2^k$ -dimensional subspace of  $\mathbb{C}^{2^n}$ , with  $d_{\min} = d$ .



Example:  $S = \langle I \otimes Z \otimes Z, Z \otimes Z \otimes I \rangle$ 

For  $S = \langle I \otimes Z \otimes Z, Z \otimes Z \otimes I \rangle$ , recall that

- $\triangleright \mathcal{Q}_{\mathcal{S}} = \operatorname{span}(|000\rangle, |111\rangle)$ , so that  $\dim(\mathcal{Q}_{\mathcal{S}}) = 2^1$ , i.e., k = 1.
- $> Z(S) = \{X(000)Z(***), X(111)Z(***)\}$

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Then,

▶ 
$$d_{\min}(Q_S) = 1$$
, since  $I \otimes I \otimes Z \in \mathcal{Z}(S) \setminus S$ .

Thus,  $\mathcal{Q}_{\mathcal{S}}$  is a  $[[3,1,1]]_2$  quantum stabilizer code.

#### Minimum Distance and Error Correction

Let  $Q_S$  be an [[n, k, d]] quantum stabilizer code, with k > 0.

Proposition: The set of error operators

$$\mathcal{E} = \{ M \in \mathcal{P}_n : \operatorname{wt}_s(M) < d/2 \}$$

is correctable by  $Q_S$ .

#### Proof:

▶ For any  $E_1, E_2 \in \mathcal{E}$ , we have

$$\operatorname{wt}_{s}(E_{1}^{\dagger}E_{2}) \leq \underbrace{\operatorname{wt}_{s}(E_{1})}_{< d/2} + \underbrace{\operatorname{wt}_{s}(E_{2})}_{< d/2} < d$$

▶ Since  $d = \min\{\operatorname{wt}_{\operatorname{s}}(M) : M \in \mathcal{Z}(\mathcal{S}) \setminus \mathcal{S}\}$ , we find that  $E_1^{\dagger}E_2 \neq \mathcal{Z}(\mathcal{S}) \setminus \mathcal{S}$ .



### Minimum Distance of a CSS Code

Let  $C_1$  be an  $[n, k_1]$  binary linear code and  $C_2$  an  $[n, k_2]$  binary linear code such that  $C_2^{\perp} \subseteq C_1$ .

Let Q be the resulting  $[[n, k_1 + k_2 - n]]_2$  CSS code.

 $ightharpoonup d_{\min}(\mathcal{Q}) = \min\{d_1, d_2\}$ , where

$$d_1 = \min\{w(\mathbf{c}) : \mathbf{c} \in \mathcal{C}_1 \setminus \mathcal{C}_2^{\perp}\} \text{ and } d_2 = \min\{w(\mathbf{c}) : \mathbf{c} \in \mathcal{C}_2 \setminus \mathcal{C}_1^{\perp}\}$$

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▶ Example: Recall that the Steane code is the CSS code constructed from  $C_1 = C_2 = [7, 4]$  binary Hamming code.

For the Hamming code C, it can be verified that  $\min\{w(\mathbf{c}) : \mathbf{c} \in C \setminus C^{\perp}\} = 3$ .

Therefore, the Steane code is a  $[7, 1, 3]_2$  stabilizer code.

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Therefore, the Steane code is a  $[[7,1,3]]_2$  stabilizer code.

It is, thus, single-error-correcting, with a better ratio of logical qubits to physical qubits than the  $[[9,1,3]]_2$  Shor code.



 $\mathcal{S} = \langle g_1, g_2, \dots, g_{n-k} \rangle$  with  $\mathcal{Q}_{\mathcal{S}}$  an  $[[n,k]]_2$  stabilizer code.

 $\mathcal{E} \subset \mathcal{P}_n$  a set of errors s.t.  $E_1^\dagger E_2 \notin \mathcal{Z}(\mathcal{S}) \setminus \mathcal{S}$  for all  $E_1, E_2 \in \mathcal{E}$ .

▶ Suppose that  $|\psi\rangle \in \mathcal{Q}_{\mathcal{S}}$  is acted on by  $E_1 \in \mathcal{E}$  to become  $|\psi'\rangle = E_1 |\psi\rangle$ .

$$|\psi\rangle$$
 —  $E_1$  —  $|\psi'\rangle$ 

- $\mathcal{S} = \langle g_1, g_2, \dots, g_{n-k} \rangle$  with  $\mathcal{Q}_{\mathcal{S}}$  an  $[[n,k]]_2$  stabilizer code.
- $\mathcal{E} \subset \mathcal{P}_n$  a set of errors s.t.  $E_1^\dagger E_2 \notin \mathcal{Z}(\mathcal{S}) \setminus \mathcal{S}$  for all  $E_1, E_2 \in \mathcal{E}$ .
  - Suppose that  $|\psi\rangle \in \mathcal{Q}_{\mathcal{S}}$  is acted on by  $E_1 \in \mathcal{E}$  to become  $|\psi'\rangle = E_1 |\psi\rangle$ .  $|\psi\rangle E_1 |\psi'\rangle$
  - ▶ Define the syndrome of  $E_1$  to be  $\mathbf{s} = [s_1, s_2, \dots, s_{n-k}]$ , given by

$$s_\ell \ = \ egin{dcases} 0 & ext{if $E_1$ commutes with $g_\ell$} \ 1 & ext{if $E_1$ anti-commutes with $g_\ell$} \end{cases}$$

for 
$$\ell = 1, 2, ..., n - k$$
.

In other words,  $E_1g_\ell = (-1)^{s_\ell}g_\ell E_1$ .



- $\blacktriangleright$  Assume, for now, that the syndrome  ${\bf s}$  can be computed directly from  $|\psi'\rangle$  without disturbing it.
- ▶ If the syndrome **s** uniquely identifies  $E_1 \in \mathcal{E}$ , then we simply apply  $E_1^{\dagger}$  to  $|\psi'\rangle$ :

$$|\psi'\rangle$$
 —  $E_1^{\dagger}$  —  $|\psi\rangle$ 

- ▶ On the other hand, suppose there are multiple error operators in  $\mathcal{E}$  that have the same syndrome  $\mathbf{s}$ .
  - ▶ If  $E_1, E_2$  are two such operators, then  $E_2^{\dagger} E_1$  commutes with all stabilizer generators  $g_{\ell}$ :

$$E_{2}^{\dagger} E_{1} g_{\ell} = E_{2}^{\dagger} (-1)^{s_{\ell}} g_{\ell} E_{1}$$

$$= (-1)^{s_{\ell}} E_{2}^{\dagger} g_{\ell} E_{1} = g_{\ell} E_{2}^{\dagger} E_{1}$$

• Hence,  $E_2^{\dagger}E_1$  is in  $\mathcal{Z}(\mathcal{S})$ .

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- ▶ If the syndrome **s** uniquely identifies  $E_1 \in \mathcal{E}$ , then we simply apply  $E_1^{\dagger}$  to  $|\psi'\rangle$ :

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- ➤ On the other hand, suppose there are multiple error operators in E that have the same syndrome s.
  - ▶ If  $E_1$ ,  $E_2$  are two such operators, then  $E_2^{\dagger}E_1$  commutes with all stabilizer generators  $g_{\ell}$ :

$$E_{2}^{\dagger} E_{1} g_{\ell} = E_{2}^{\dagger} (-1)^{s_{\ell}} g_{\ell} E_{1}$$

$$= (-1)^{s_{\ell}} E_{2}^{\dagger} g_{\ell} E_{1} = g_{\ell} E_{2}^{\dagger} E_{1}$$

- ▶ Hence,  $E_2^{\dagger}E_1$  is in  $\mathcal{Z}(S)$ .
- ▶ However, by our assumption on  $\mathcal{E}$ , we have  $E_2^{\dagger}E_1 \notin \mathcal{Z}(\mathcal{S}) \setminus \mathcal{S}$ . Hence,  $E_2^{\dagger}E_1 \in \mathcal{S}$ .



▶ So, if there are multiple error operators in  $\mathcal{E}$  that have the same syndrome  $\mathbf{s}$ , pick any one of them, say  $E_2$ , and apply  $E_2^{\dagger}$  to  $|\psi'\rangle$ !

$$|\psi'\rangle$$
 —  $E_2^{\dagger}$  —  $|\psi\rangle$ 

The reason this works is that

$$E_2^{\dagger} |\psi'\rangle = \underbrace{E_2^{\dagger} E_1}_{\in \mathcal{S}} |\psi\rangle = |\psi\rangle.$$

# Determining the Syndrome from $|\psi'\rangle$

► Key observation:

$$g_{\ell} | \psi' \rangle = g_{\ell} E_1 | \psi \rangle = (-1)^{s_{\ell}} E_1 g_{\ell} | \psi \rangle$$
$$= (-1)^{s_{\ell}} E_1 | \psi \rangle = (-1)^{s_{\ell}} | \psi' \rangle$$

Thus,  $|\psi'\rangle$  is in the  $(-1)^{s_{\ell}}$ -eigenspace of  $g_{\ell}$ .

## Determining the Syndrome from $|\psi'\rangle$

► Key observation:

$$g_{\ell} | \psi' \rangle = g_{\ell} E_1 | \psi \rangle = (-1)^{s_{\ell}} E_1 g_{\ell} | \psi \rangle$$
$$= (-1)^{s_{\ell}} E_1 | \psi \rangle = (-1)^{s_{\ell}} | \psi' \rangle$$

Thus,  $|\psi'\rangle$  is in the  $(-1)^{s_{\ell}}$ -eigenspace of  $g_{\ell}$ .

- ▶ If we measure  $|\psi'\rangle$  using the observable  $g_{\ell}$ , then
  - ▶ the measurement outcome is  $(-1)^{s_{\ell}}$ , with probability 1;
  - the post-measurement state remains  $|\psi'\rangle$ .

Thus, the syndrome bit  $s_{\ell}$  can be recovered from the measurement outcome without affecting  $|\psi'\rangle$ .

## Error Correction Via Syndromes: Summary

$$|\psi\rangle$$
 —  $E_1$  —  $|\psi'\rangle$ 

- 1. Determine the syndrome **s** by measuring  $|\psi'\rangle$  in each of the observables  $g_{\ell}$ ,  $\ell=1,2,\ldots,n-k$ .
- 2. Identify an error operator,  $E_2$ , that has syndrome equal to **s**.
- 3. Apply  $E_2^{\dagger}$  to  $|\psi'\rangle$ :

$$|\psi'\rangle$$
 —  $E_2^{\dagger}$  —  $|\psi\rangle$ 

### Quantum Channels

The performance of stabilizer codes, specifically CSS codes, is often evaluated over one of two types of quantum channels:

- Depolarizing noise: Each qubit undergoes an error according to the following probabilities (independent across qubits):
  - (1-p): I (i.e., no error)
  - ▶ *p*/3: *X* error
  - ▶ *p*/3: *Y* error
  - ▶ p/3: Z error
- ▶ Independent X-Z noise: Single-qubit errors occur according to the following probabilities (again, independent across qubits):
  - ▶  $(1-p)^2$ : *I* (i.e., no error)
  - $\triangleright$  p(1-p): X error
  - $\triangleright$   $p^2$ : Y (i.e., XZ) error
  - ▶ p(1-p): Z error

## Maximum-Likelihood (ML) Decoding

Let S be a stabilizer subgroup of  $P_n$ , with  $Q_S$  the corresponding stabilizer code.

$$Q_S \ni |\psi\rangle$$
 —  $\mathcal{E}$  —  $|\psi'\rangle$ 

## Maximum-Likelihood (ML) Decoding

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#### Maximum-Likelihood Decoding:

- Measure  $|\psi'\rangle$  to determine the syndrome **s**.
- ▶ The syndrome **s** uniquely identifies the coset, W, of Z(S) within  $P_n$  which contains the true error E.
- ▶ Find the coset,  $\mathcal{T}$ , of  $\mathcal{S}$  with the largest probability that is contained in  $\mathcal{W}$ , and pick any  $\tilde{E} \in \mathcal{T}$ :

$$|\psi'
angle - - \hat{\mathcal{E}}^{\dagger} - - |\hat{\psi}
angle$$

### Selected Families of Quantum Codes

- Topological codes
  - ► Toric codes
  - Surface codes
  - Colour codes
- Quantum LDPC codes
  - Hypergraph product codes
  - Lifted product codes
  - Quantum Tanner codes
- Subsystem codes
- Floquet codes
- Entanglement-assisted codes
- Bosonic codes
  - Gottesman-Kitaev-Preskill (GKP) codes
  - Cat codes
  - Fock-state codes



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