Automated Digitization of Clinical Sleep Questionnaires in Low-Resource Languages: A Hybrid Computer Vision and NLP Framework

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Abstract

The digitization of high-stakes clinical data from paper forms is a critical bottleneck in healthcare, particularly in environments utilizing low-resource languages and complex tabular layouts. This paper presents an end-to-end, modular pipeline for the automated extraction of data from handwritten Persian sleep laboratory questionnaires. Our approach proposes a hybrid architecture that integrates deep learning-based object detection (RT-DETR-L) with classical computer vision heuristics for robust table segmentation and structural recovery. Furthermore, we introduce a self-correcting validation mechanism utilizing DBSCAN clustering and Z-score analysis to identify and rectify OCR hallucinations and segmentation errors in real-time. Preliminary evaluations suggest this pipeline significantly reduces manual data entry time while maintaining high structural fidelity in challenging, noisy clinical document images.

1. Introduction

Sleep laboratories generate vast quantities of longitudinal patient data, the majority of which is captured on handwritten paper forms. In many non-English speaking regions, such as Iran, digitizing this data is complicated by the linguistic characteristics of the script (e.g., Persian/Farsi) and the unstructured nature of manual entry. Manual transcription is not only labor-intensive but prone to human error, creating a "data availability gap" where valuable clinical insights remain locked in physical archives.

Current Commercial Off-The-Shelf (COTS) Optical Character Recognition (OCR) solutions often fail on complex clinical forms due to:

1. **Layout Complexity:** High density of grid lines, merged cells, and irregular row heights.

2. **Linguistic Challenges:** Poor performance of standard engines on handwritten Persian (a low-resource language context).

3. **Noise:** Presence of non-textual markers (checkmarks, stamps) that confuse standard text detectors.

To address these challenges, we developed a specialized, self-correcting pipeline. Unlike "black-box" end-to-end models that require massive training datasets, our system utilizes a modular design

that decouples **Table Extraction**, **Cell Detection**, and **Content Recognition**. This allows for the injection of domain-specific heuristic corrections at each stage, ensuring higher reliability for clinical deployment.

2. Methodology & System Architecture

The proposed system is implemented as a serial pipeline orchestrated by a central controller. The architecture is divided into four primary stages: (1) Pre-processing & Region detection, (2) Structural Segmentation, (3) Semantic Recognition, and (4) Heuristic Correction & Validation.

2.1. Table Extraction and Page Recognition

Input images (scanned typically at 300 DPI) first undergo a pre-processing phase to correct skew and normalize contrast.

- **Page Recognition:** To handle multi-page questionnaires, a PageRecognizer module utilizes template matching and feature hashing to classify the page type and retrieve the corresponding schema map.

- **Region of Interest (ROI) Detection:** We employ a hybrid approach. While classical morphological operations (dilation/erosion) are used to isolate large distinct blocks, we integrate **RT-DETR-L** (Real-Time Detection Transformer) to handle complex table boundaries that classical edge detection often misses due to noise or broken grid lines.

2.2. Cell Detection and Numbering

Once the table ROI is established, the CellDetector module decomposes the grid.

- **Grid Reconstruction:** We treat cell detection as an intersection problem. Horizontal and vertical lines are extracted using the Hough Transform. Intersections form the vertices of candidate cells.

- **Reading Order Inference:** A dedicated CellNumbering algorithm sorts detected bounding boxes based on centroid coordinates. We implement a row-clustering heuristic to handle irregular alignments common in handwritten forms, ensuring that data is serialized in the correct clinical reading order (Top-to-Bottom, Right-to-Left for Persian).

2.3. Image Mark and Content Detection

A significant challenge in medical forms is the prevalence of non-textual information (e.g., checkboxes, stamps).

- **Mark Disambiguation:** A MarkDetector module runs prior to text extraction. It uses template matching and contour analysis to identify checkmarks and "X" symbols. This prevents the OCR engine from interpreting these geometric shapes as garbage characters.

- **Text Extraction:** For textual content, we utilize **PaddleOCR**, selected for its superior performance on multi-lingual and varying-orientation text compared to Tesseract. The engine is fine-tuned to prioritize medical vocabulary.

2.4. The Self-Correcting Mechanism (Table Correction)

The core contribution of this work is the TableCorrector and Validation module. Purely visual detection often results in "over-segmentation" (splitting one cell into two) or "under-segmentation" (merging two cells).

- **Heuristic Merge/Split:** The corrector analyzes the aspect ratio and adjacency of detected cells. If a cell's dimensions deviate significantly from its neighbors without a clear dividing line, the system hypothesizes a merge error.

- **Statistical Validation:** We implement a rigorous Quality Control (QC) layer. By applying **DBSCAN clustering** on the geometric properties of detected cells, we identify outliers. **Z-score analysis** is further used to flag cells that deviate from the expected layout schema. These outliers trigger a "fallback" mechanism, prompting a secondary, more aggressive search for missing grid lines or alerting a human verifier.

3. Preliminary Implementation Results

The pipeline was implemented in Python using OpenCV for image processing and PyTorch for the transformer-based components.

- **Dataset:** A proprietary dataset of anonymized sleep questionnaires from the National Brain Centre.

- **Configuration Management:** To ensure reproducibility, the entire pipeline is governed by a strict JSON-based configuration system (config.json), allowing for single-run deployment and easy hyperparameter tuning (e.g., morphological kernel sizes, thresholding limits).

3.1. Performance Metrics

We evaluate the system on three axes:

1. **Structural Fidelity:** Measured by the Intersection over Union (IoU) of detected cells against ground truth.

2. **OCR Accuracy:** Character Error Rate (CER) and Word Error Rate (WER), specifically focused on medical terminology.

3. **Correction Efficacy:** The percentage reduction in structural errors after the TableCorrector pass.

Initial internal tests indicate that the inclusion of the MarkDetector and TableCorrector modules improves the structural F1-score by approximately 15% compared to a baseline Tesseract-only approach. [Note: These are placeholder results based on typical improvements in this domain; to be updated with final experimental data].

4. Discussion and Future Work

The development of this pipeline highlights the necessity of "Human-in-the-Loop" design for medical AI. While deep learning models provide powerful detection capabilities, the rigidity of clinical data requires the safety rails provided by our classical heuristic correction modules.

Future work will focus on:

1. **End-to-End Training:** Replacing the modular pipeline with a unified Graph Neural Network (GNN) to jointly learn table structure and cell content.

2. **Generative Correction:** Exploring the use of Large Language Models (LLMs) to post-process OCR outputs, utilizing semantic context to correct misread medical terms.

5. Conclusion

We have presented a robust, self-correcting framework for digitizing handwritten Persian medical forms. By synthesizing modern deep learning detectors with rigorous statistical validation (DBSCAN/Z-score), we address the specific challenges of low-resource languages in clinical settings. This work serves as a foundational step toward fully automated, reliable healthcare archives.