

# Theory of Computation (CT-502)

Course Instructor  
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# Regular Expression and Finite Automata

- A language denoted by  $L$  over an alphabet  $\Sigma$  is subset of all the strings that can be formed out of  $\Sigma$ .
- Simply, language is subset of kleen closure over an alphabet  $\Sigma$  : $L \subseteq \Sigma^*$  (set of strings chosen from  $\Sigma^*$  defines language).
- Regular Expression is a formula for representing a language in terms of a form combined using 3 operations union, concatenation and kleen closure.

# Regular Expression and Finite Automata

- A regular expression is a rule that describe a whole set of strings belongs to certain language.
- Any regular expression is recursively defined as:
  - Empty state ( $\phi$ ), empty string( $\epsilon$ ) symbol of input alphabet ( $\Sigma$ ) are regular expression
  - Let  $R_1$  and  $R_2$  be regular expression then union of  $R_1$  and  $R_2$  denoted by  $(R_1 + R_2)$  is also Regular Expression.
  - Let  $R_1$  and  $R_2$  be regular expression then concatenation of  $R_1$  and  $R_2$  denoted by  $(R_1 . R_2)$  is also Regular Expression.
  - Let  $R$  be regular expression then kleen closure of  $R$  denoted by  $R^*$  is also Regular Expression.

# Regular Expression and Finite Automata

- Regular expression are the language generator and finite automata are the language acceptor.
- The regular expression approach for describing regular language is fundamentally different from the finite automata approach.
- However, these two notations represent exactly the same set of languages, called regular languages.
- A language is said to be regular language if and only if a finite automata recognizes it.

# Conversion of Regular Expression To Finite Automata

- We can convert the finite automata to its equivalent regular expression and vice versa.
- We have already discussed that DFA and two kinds of NFA with & without  $\epsilon$ -transition which accept the same class of languages.
- In order to show that the regular expressions define the same class, we must show that:
  - Every language defined by one of these automata is also defined by a regular expression. For this proof, we can assume the language is accepted by some DFA.

# Conversion of Regular Expression To Finite Automata

- Every language defined by a regular expression is defined by one of these automata. For this part of proof, the easiest is to show that there is an NFA with  $\epsilon$ -transitions accepting the same language.

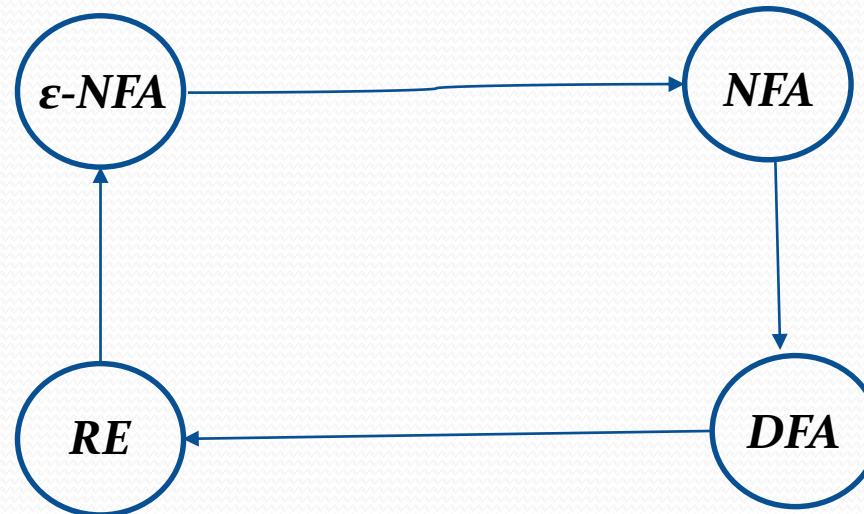
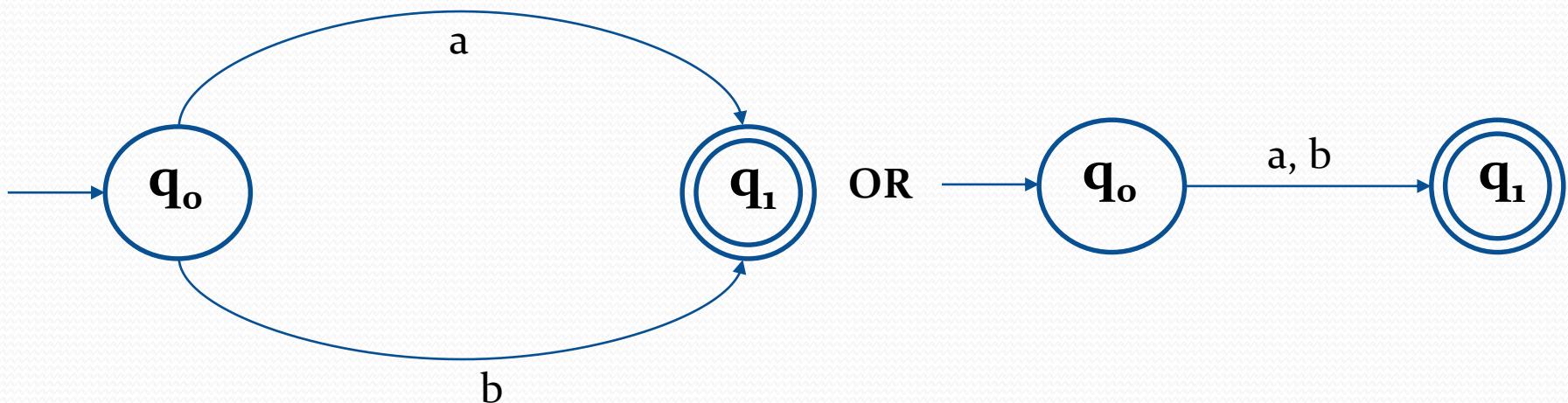


Fig: Plan for showing the equivalence of four different notations for regular language.

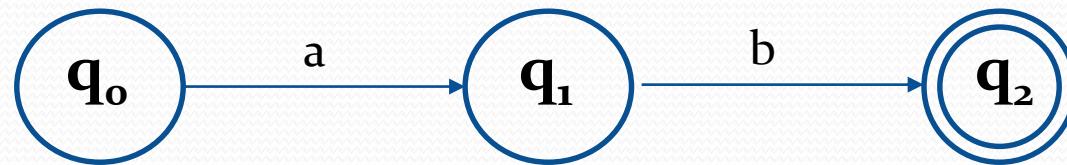
# Conversion of Regular Expression To Finite Automata

- For the conversion of regular expression to finite automata we have some important basic rules as follows:
  - When we have the expression like  $(a+b)$  or  $(a \cup b)$  or  $(a|b)$

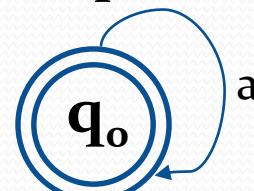


# Conversion of Regular Expression To Finite Automata

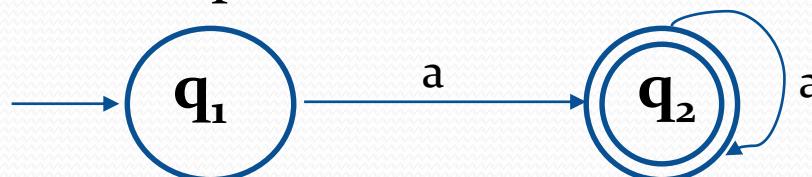
- When we have the expression of the form  $a.b$  then



- If we have the expression of the form  $a^*$  then:



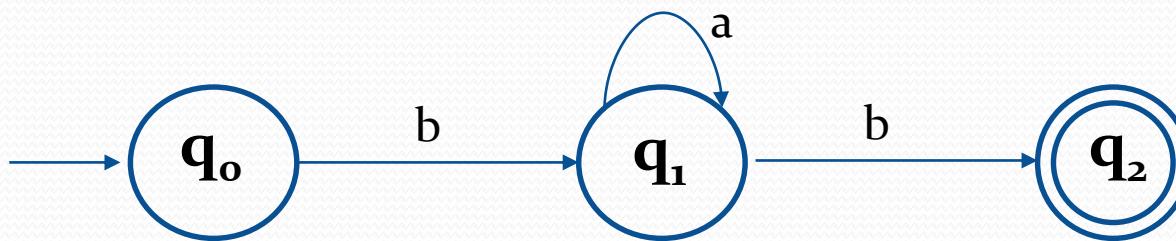
- If we have the expression of the form  $a^+$  then:



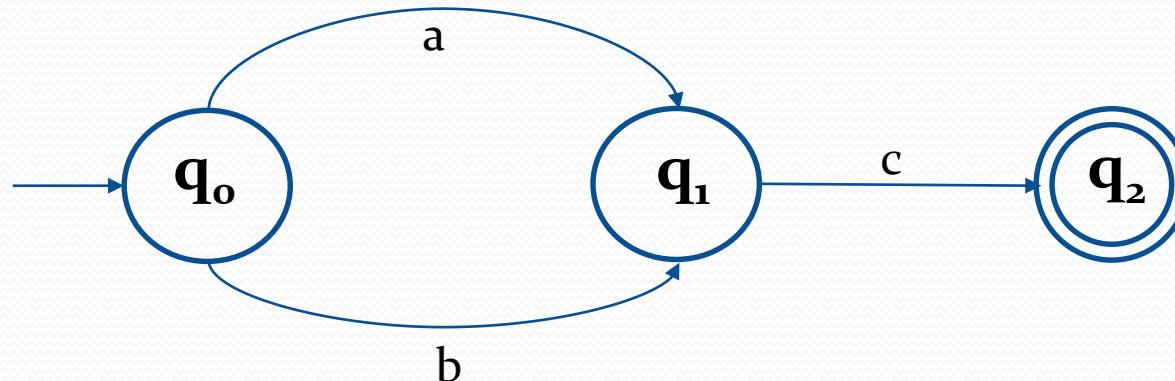
# Conversion of Regular Expression To Finite Automata\_Example

- Convert the given RE into FA:

- $ba^*b$



- $(a+b)c$

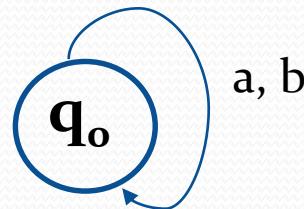


# Conversion of Regular Expression To Finite Automata\_Example\_1

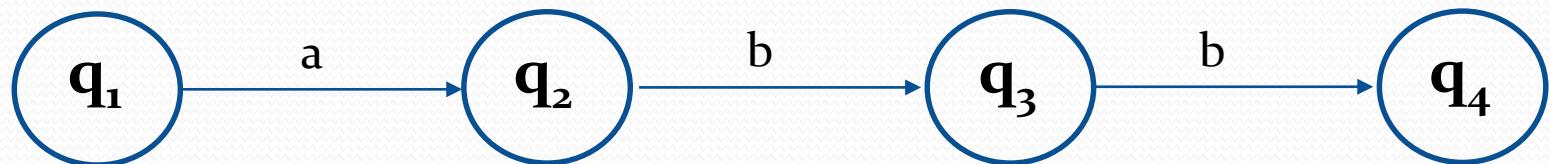
- Convert the given RE into FA:
  - $(a|b)^*(abb|a^+b)$

To solve this problem we first break down the given RE, construct the respective FA and then combine them.

for  $(a|b)^*$

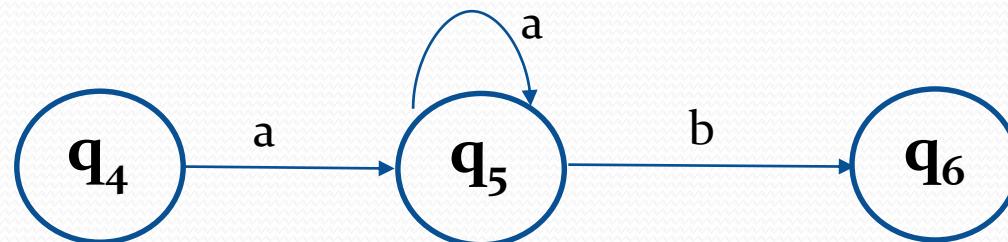


for  $abb$

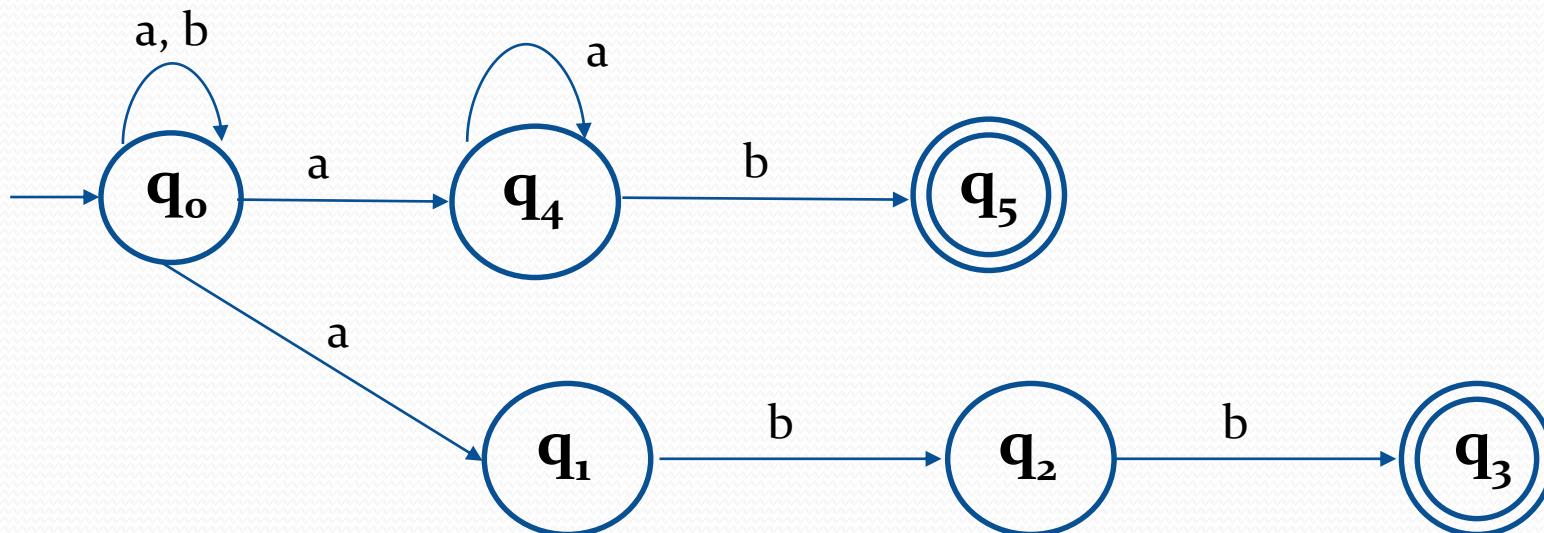


# Conversion of Regular Expression To Finite Automata\_Example\_1

for  $a^+ b$



Now we combine all of them into the single FA as:



# Conversion of Regular Expression To Finite Automata\_Example\_2

Convert following Regular Expression to NFA and then to DFA

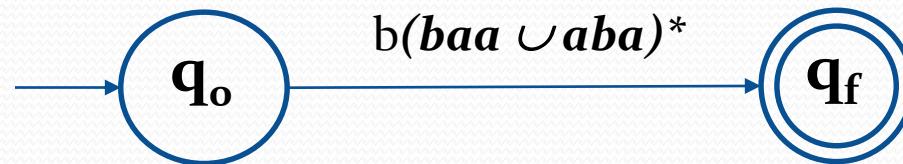
$$L:b(baa \cup aba)^*$$

- *To convert the given RE into the required FA we perform the step wise transition of the state for a particular input.*
- *The final FA will accept all the set of string generated by the given RE.*
- *So, we draw the FA step by step which will accept all the string that belongs to that language.*

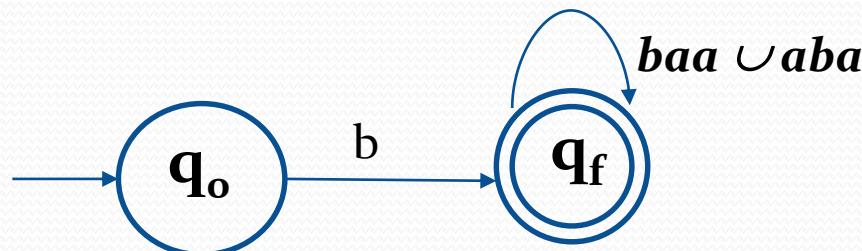
# Conversion of Regular Expression To Finite Automata\_Example\_2

Here,  $L:b(baa \cup aba)^*$

We know the FA being constructed will move to final state after consuming  $b(baa \cup aba)^*$

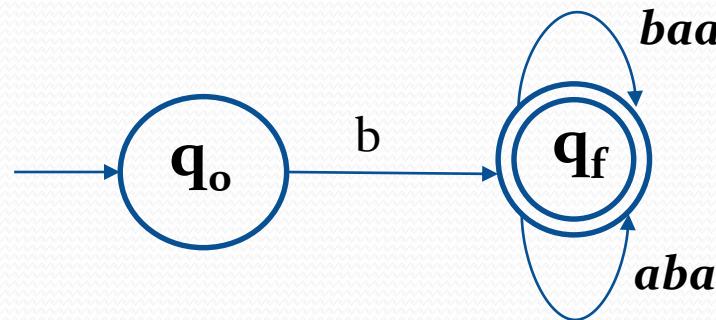


We first transit the automata for  $b$  and then  $(baa \cup aba)^*$  which will take the automata to final state.

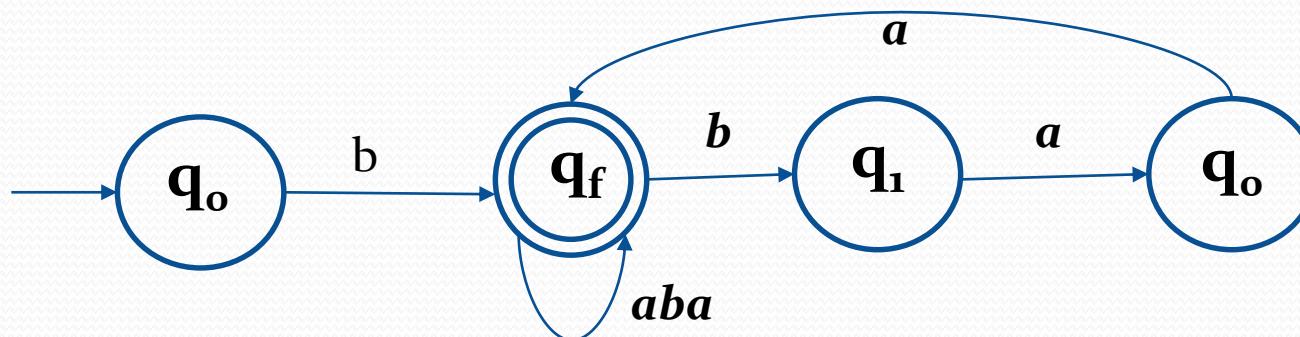


# Conversion of Regular Expression To Finite Automata\_Example\_2

Now to break down the input  $(baa \cup aba)^*$  as follows:

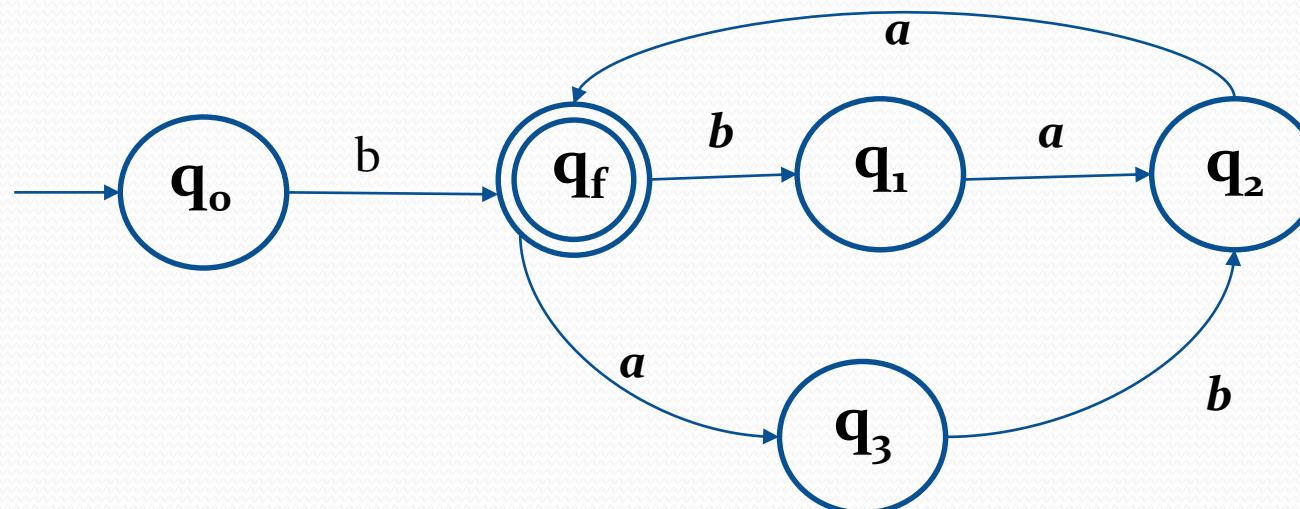


Again we further break down the input **baa** as:



# Conversion of Regular Expression To Finite Automata\_Example\_2

Again we further break down the input  $aba$  as:



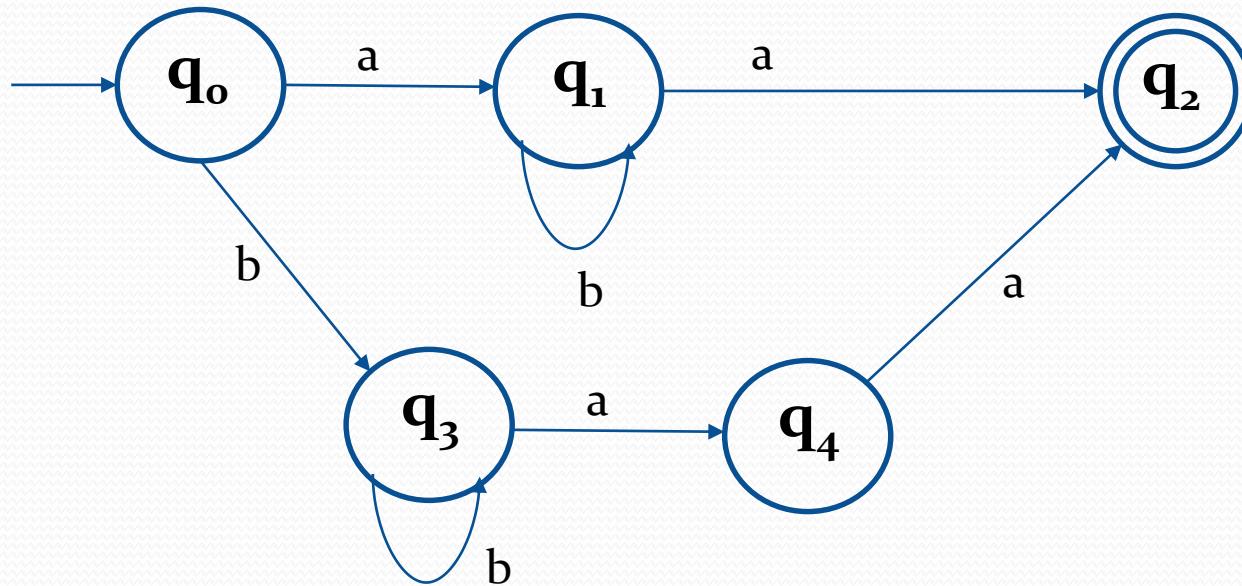
There is no other input that needs to be further broken down so this is the required FA for the given language.

***Finally convert this NFA to its equivalent DFA***

# Conversion of Regular Expression To Finite Automata\_Example\_3

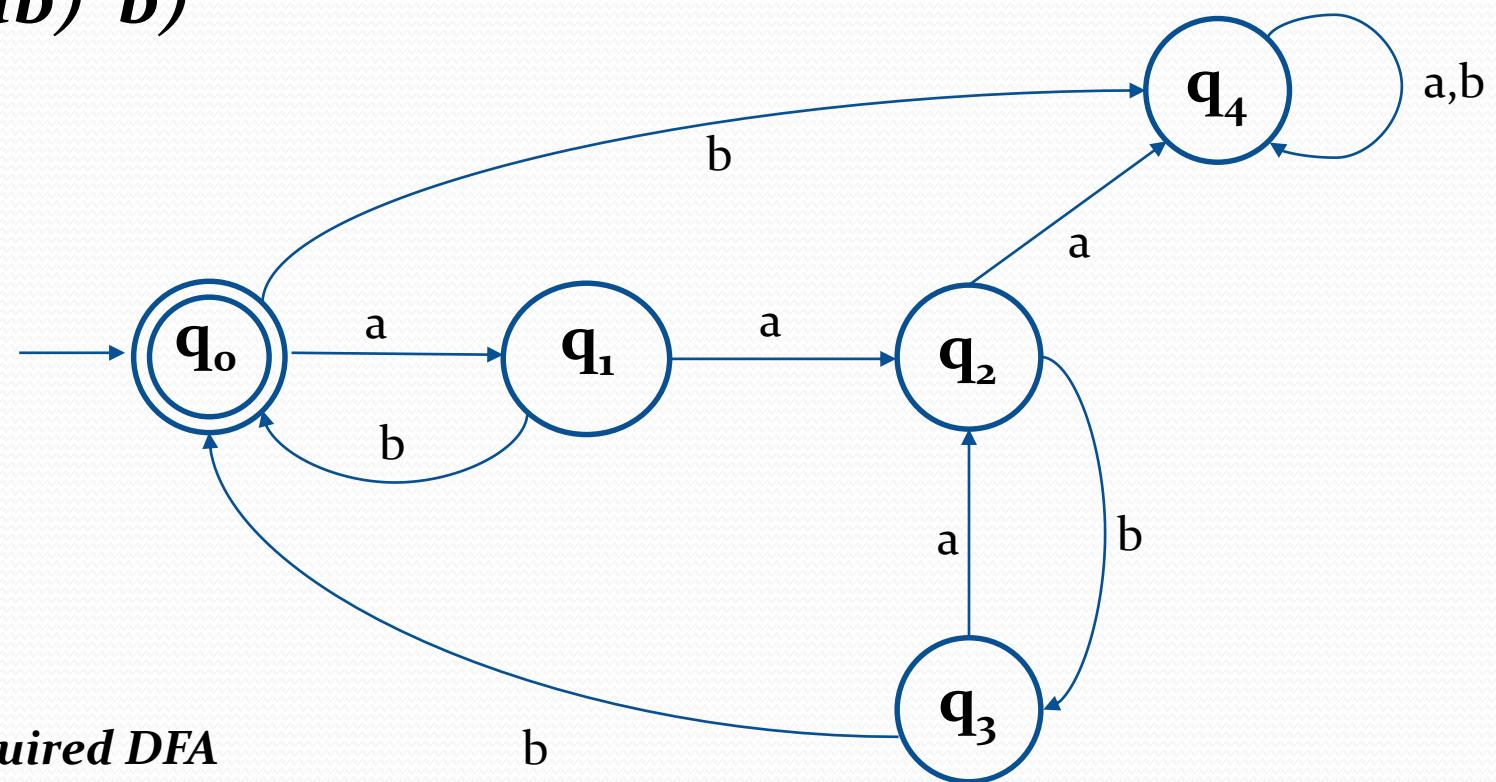
Construct a NFA for the language

$$L: (ab^*a \cup b^*aa)$$



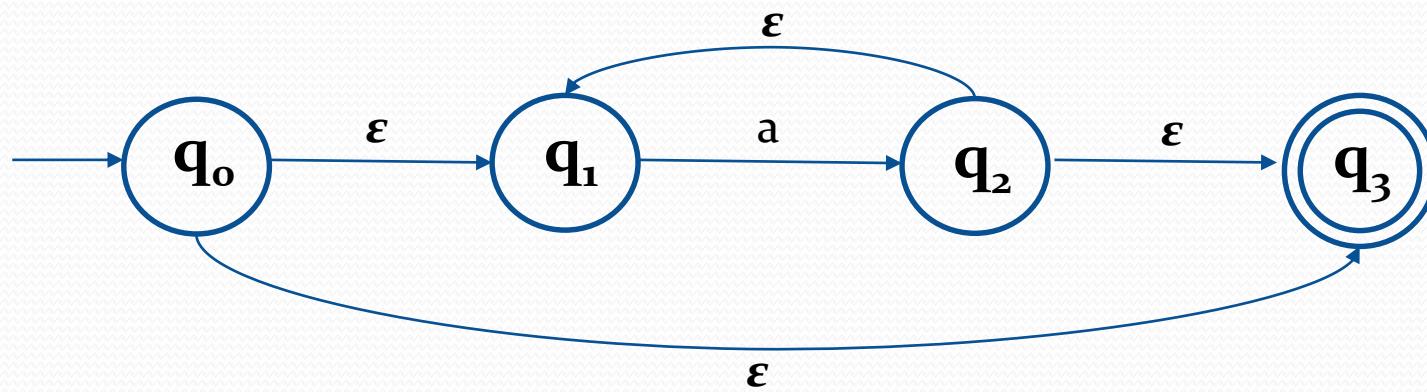
# Conversion of Regular Expression To Finite Automata\_Example\_4

Design a Deterministic Finite Automata (DFA) for the RE: $(a(ab)^*b)^*$



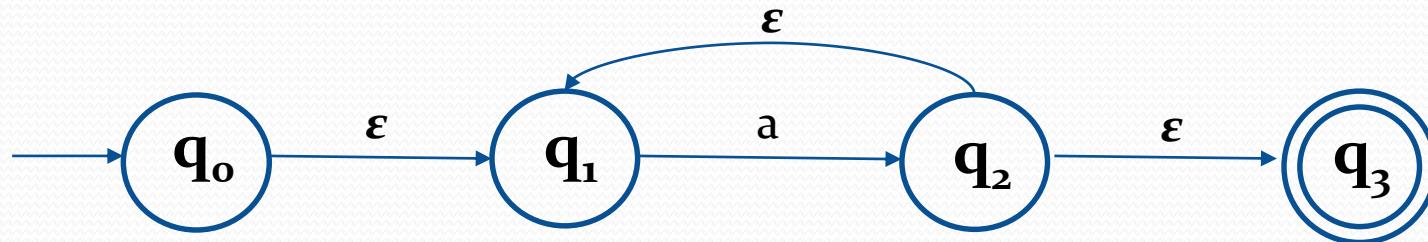
# Conversion of Regular Expression To $\epsilon$ -NFA

- For the conversion of the regular expression to its equivalent  $\epsilon$ -NFA, we have some important basic rules as we used before (*including now  $\epsilon$* ) which is as follows:
  - When we have the expression like  $a^*$

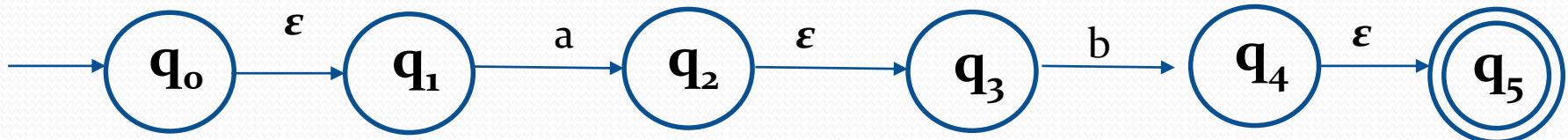


# Conversion of Regular Expression To $\epsilon$ -NFA

- When we have the expression like  $a^+$

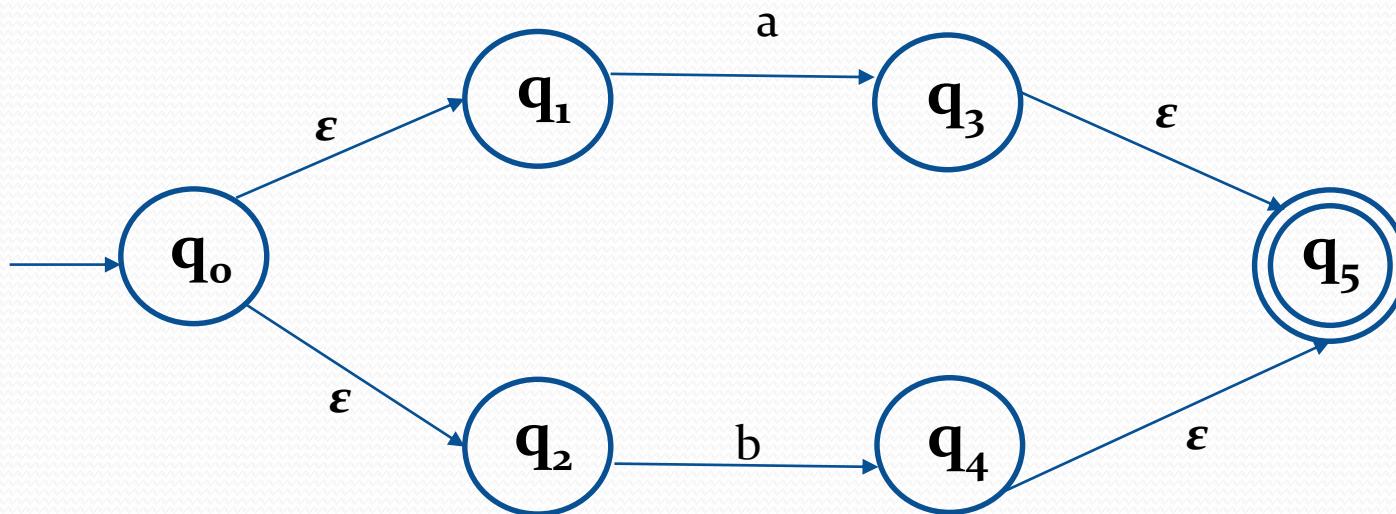


- When we have the expression like  $(a.b)$



# Conversion of Regular Expression To $\epsilon$ -NFA

- When we have the expression like  $(a+b)$  or  $(a \cup b)$  or  $(a|b)$



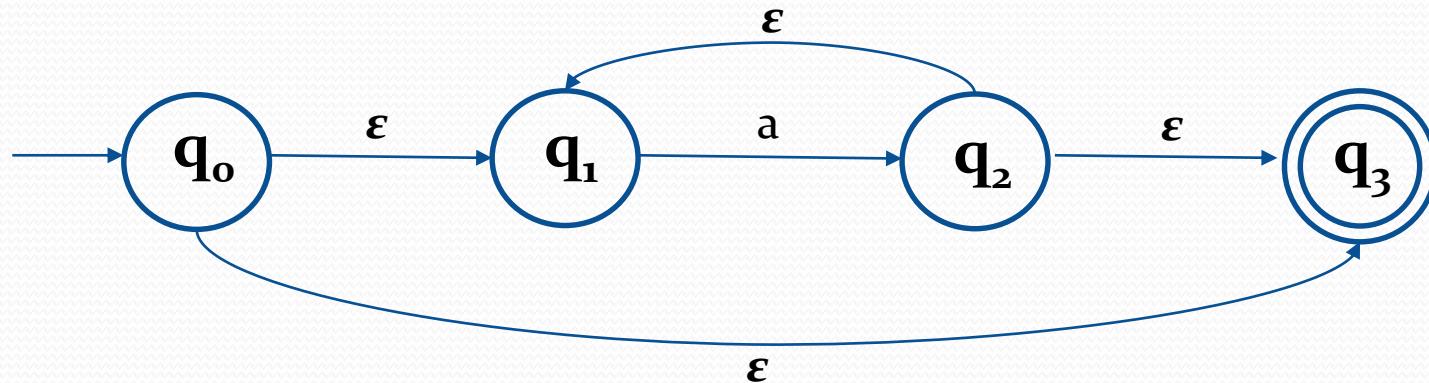
# Conversion of Regular Expression To $\epsilon$ -NFA\_Example\_1

- Convert the given regular expression into  $\epsilon$ -NFA

$$RE: (a+b)^*$$

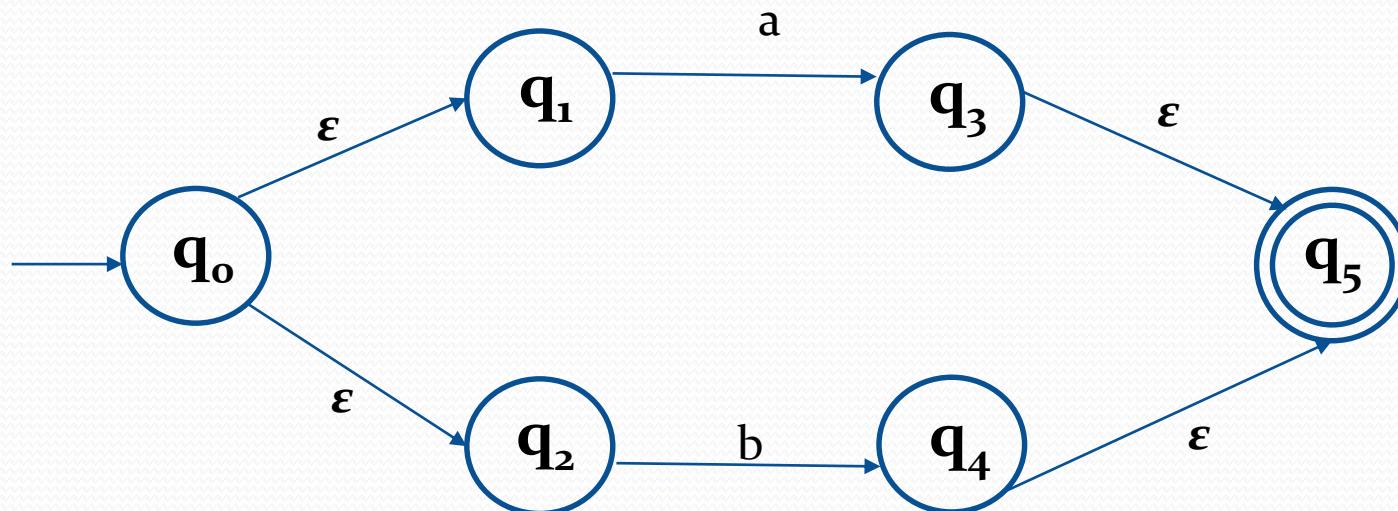
**Solution,**

Let us think  $(a+b)^*$  whole as  $a^*$  then draw the  $\epsilon$ -NFA as per the rule of  $a^*$  as:



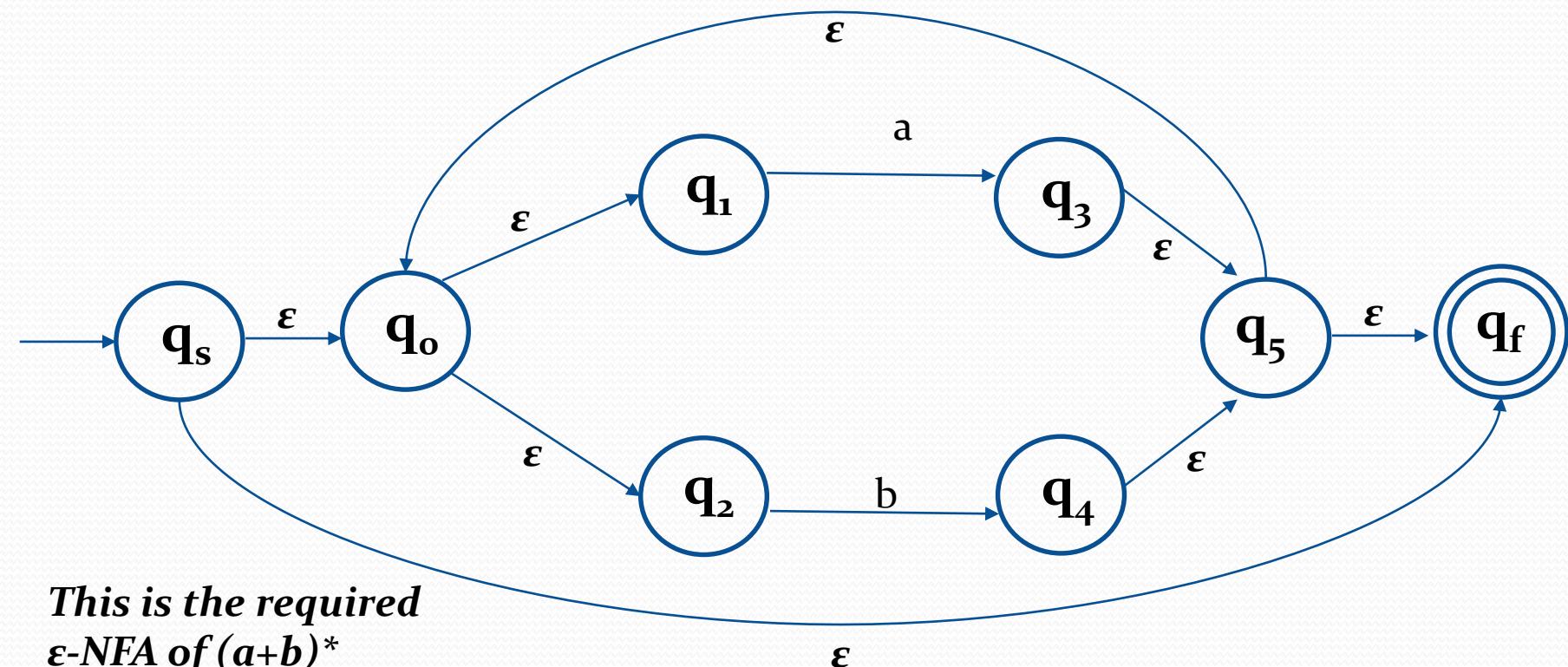
# Conversion of Regular Expression To $\epsilon$ -NFA\_Example\_1

Now substitute  $a+b$  2<sup>nd</sup> diagram in place of  $a$  in 1<sup>st</sup> diagram as



# Conversion of Regular Expression To $\epsilon$ -NFA\_Example\_1

But we have  $(a+b)$  instead of  $a$  so,  $a+b$  form can be formed from the rule of  $\epsilon$  as:



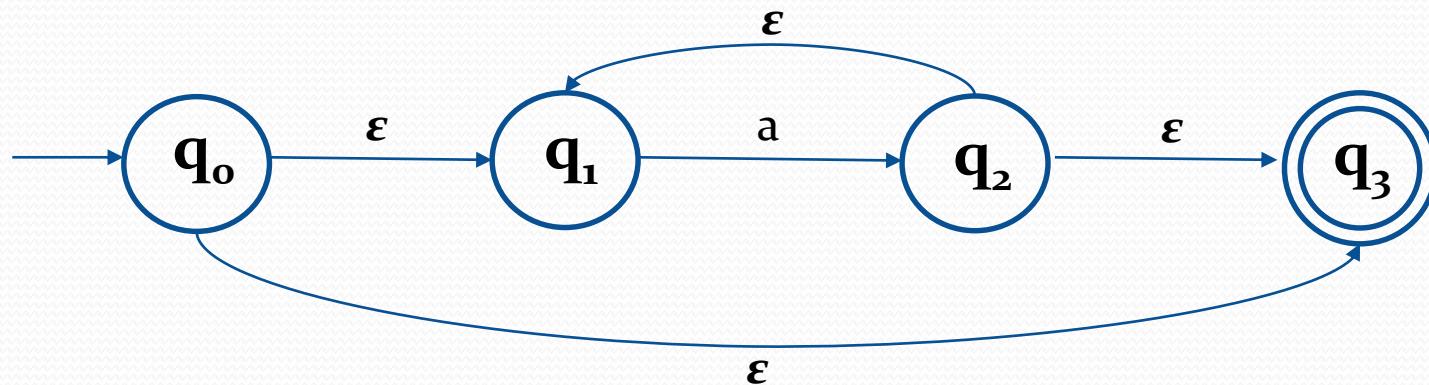
# Conversion of Regular Expression To $\epsilon$ -NFA\_Example\_2

- Convert the given regular expression into  $\epsilon$ -NFA

$$RE: (oo+11)^*$$

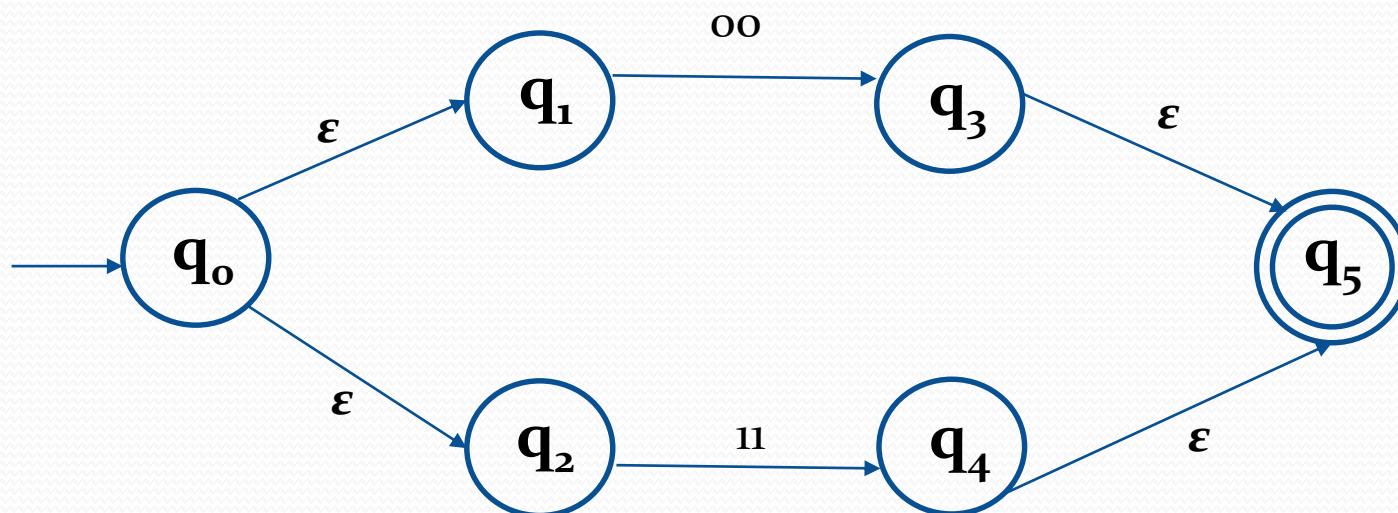
**Solution,**

Let us think  $(oo+11)^*$  whole as  $a^*$  then draw the  $\epsilon$ -NFA as per the rule of  $a^*$  as:



# Conversion of Regular Expression To $\epsilon$ -NFA\_Example\_2

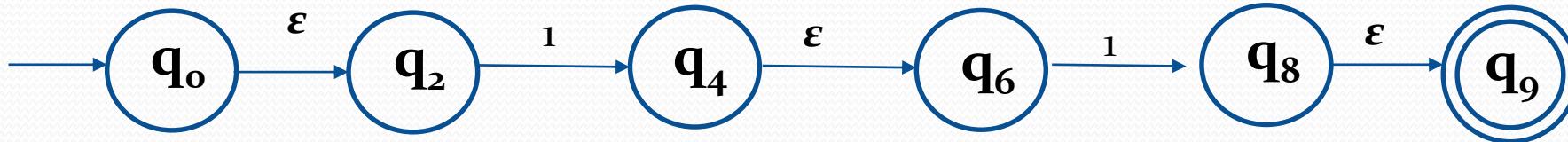
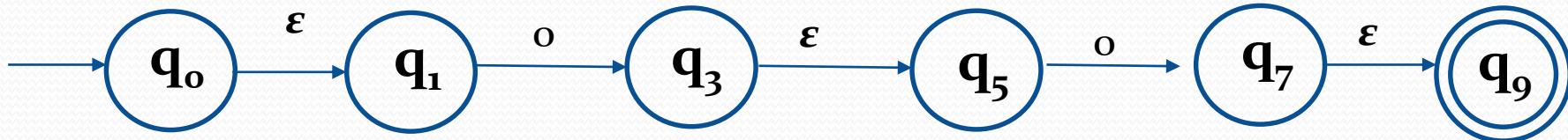
Now substitute  $oo+11$  in place of  $a$  in 1<sup>st</sup> diagram as



# Conversion of Regular Expression To $\epsilon$ -NFA\_Example\_2

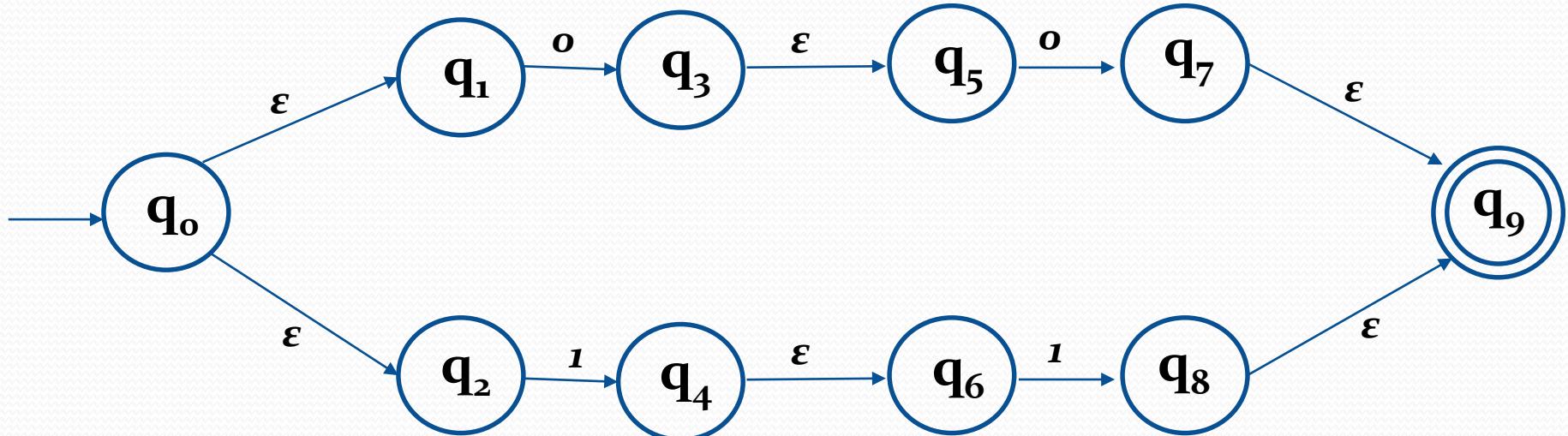
But instead of  $a$  we have  $oo+11$ . Again two inputs  $oo$  and  $11$  cannot remain at same place in diagram so we subdivide  $oo$  and  $11$  and draw for each separately as follows;

***for oo and 11***



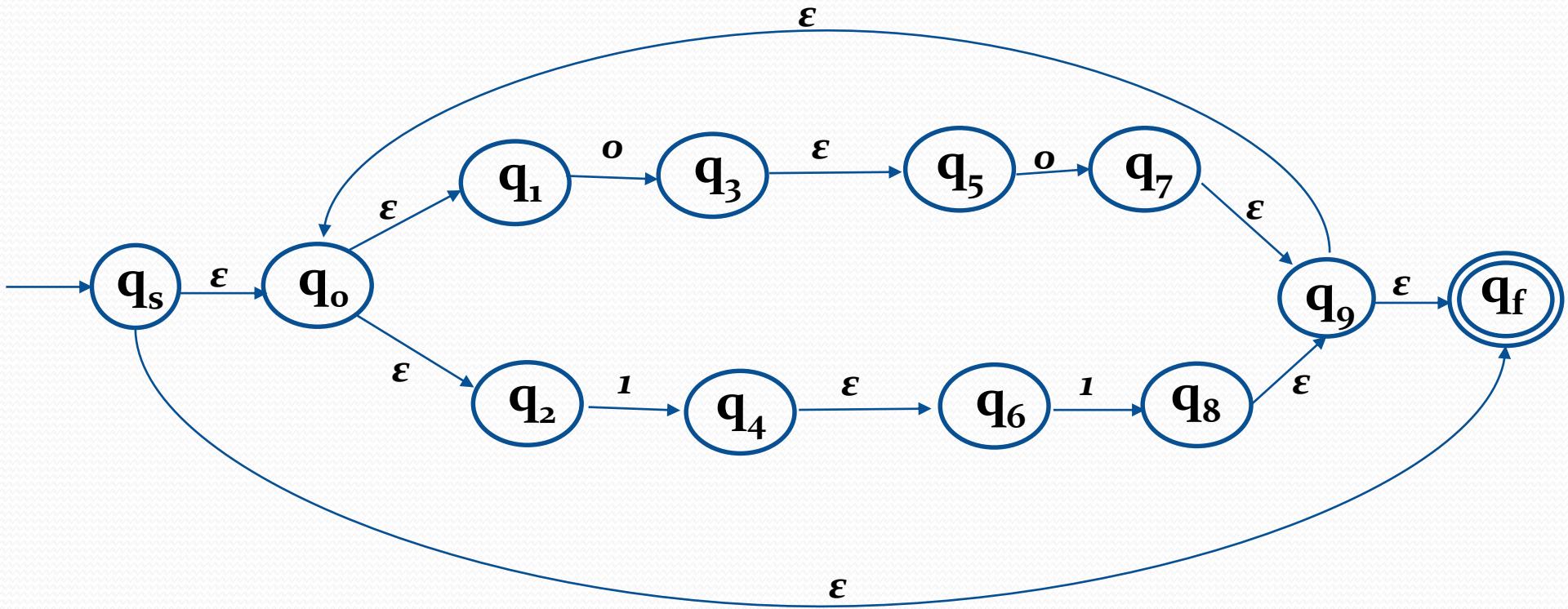
# Conversion of Regular Expression To $\epsilon$ -NFA\_Example\_2

Again **oo** and **11** can be converted in  $\epsilon$ -NFA as:



# Conversion of Regular Expression To $\epsilon$ -NFA\_Example\_2

Now finally its closure is drawn as:



*This is the required  
 $\epsilon$ -NFA of  $(oo+ii)^*$*

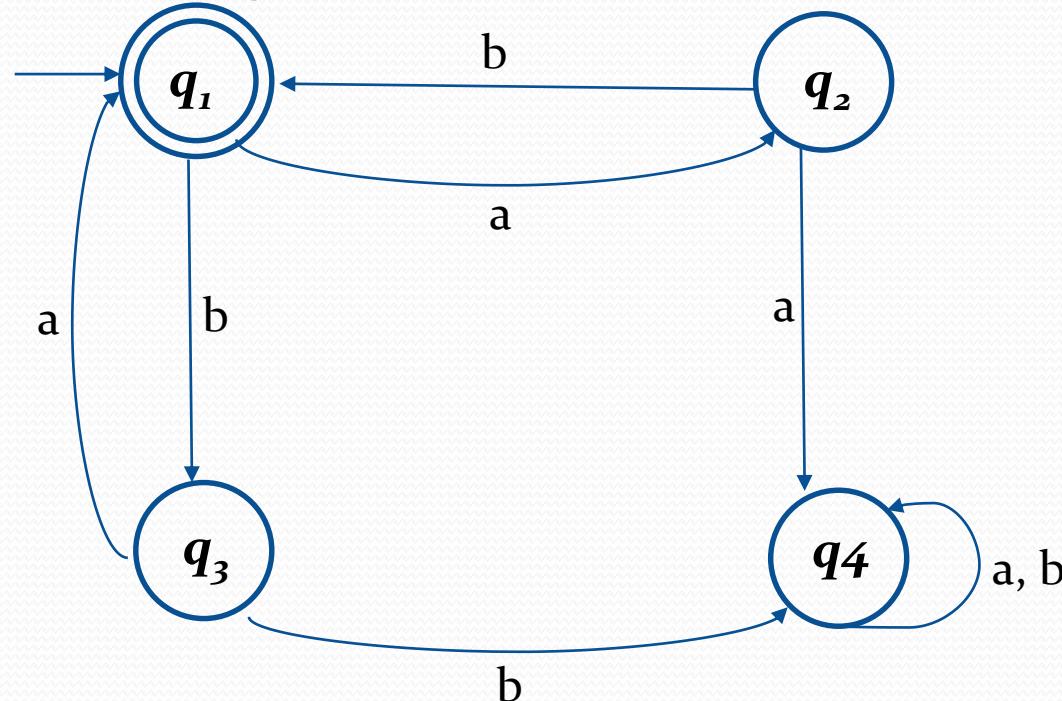
# Conversion of FA to Regular Expression

- We use Arden's Theorem to convert the FA to RE.
- Let P and Q be the two regular expression such that P does not contain the empty string ( $\epsilon$ ) then regular expression R of the form  $R=Q+RP$  has the unique solution as:

$$R=QP^*$$

# Conversion of FA to Regular Expression

- Find RE for the given DFA



# Conversion of FA to Regular Expression

*Here the equation for each in terms of incoming transitions are:*

$$q_1 = \varepsilon + q_2 b + q_3 a \dots \text{(i) } \{ \text{incoming coming from nowhere so } \varepsilon \}$$

$$q_4 = q_2 a + q_3 b + q_4 a + q_4 b \quad \text{---(iv)}$$

# Conversion of FA to Regular Expression

*Taking equation (i) since it is final state*

$$q_1 = \epsilon + q_2 b + q_3 a \quad \text{---(i)}$$

*Substituting value of  $q_2$  and  $q_3$  from (ii) and (iii)*

$$q_1 = \epsilon + q_1 ab + q_1 ba$$

$$q_1 = \epsilon + q_1(ab + ba) \quad \text{---(v)}$$

*Equation (v) is of the form  $R = Q + RP$ , so we can write it in the form  $R = QP^*$  according to Arden's Theorem.*

i.e.  $q_1 = \epsilon(ab + ba)^*$

$q_1 = (ab + ba)^*$ , [according to algebraic laws of regular expression  $\epsilon.R = R$ ]

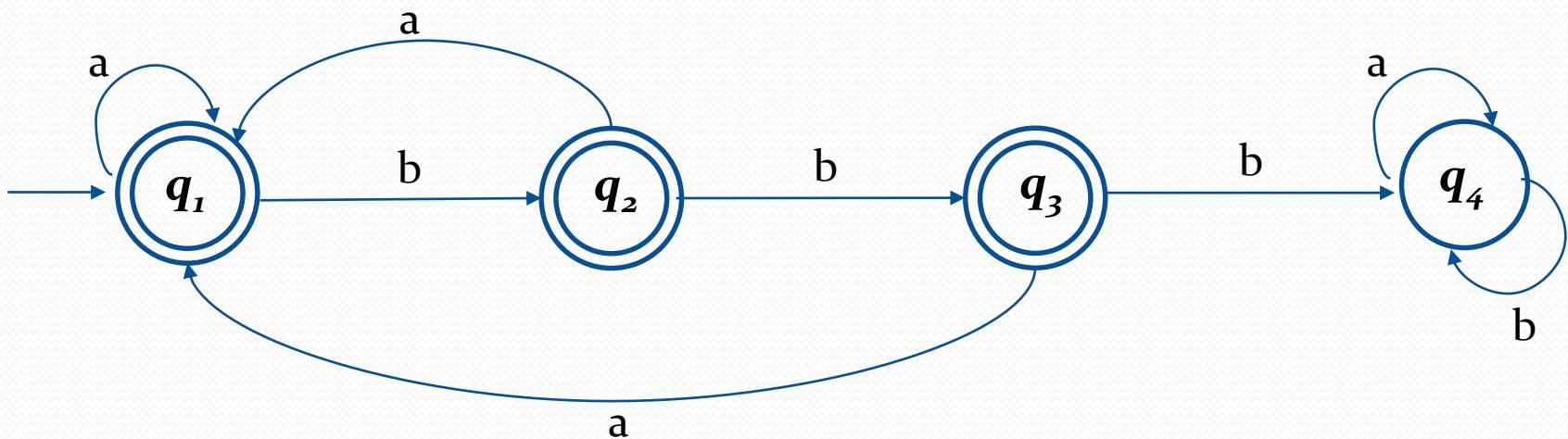
# Conversion of FA to Regular Expression

**Since**  $q_1$  is the final state and we have derived the equation of  $q_1$  hence  $q_1 = (ab+ba)^*$  is the required regular expression.

so required  $RE = (ab+ba)^*$

# Conversion of FA to Regular Expression

*Obtain the RE for the given FA*



# Conversion of FA to Regular Expression

*Here the equation for each in terms of incoming transitions are:*

$$q_4 = q_3 b + q_4 a + q_4 b \dots \text{---(iv)}$$

# Conversion of FA to Regular Expression

*Taking equation (i) since  $q_1$  it is final state*

$$q_1 = \epsilon + q_1 a + q_2 a + q_3 a$$

*Substituting value of  $q_2$  and  $q_3$  from (ii) and (iii)*

$$q_1 = \epsilon + q_1 a + q_1 ba + q_2 ba$$

$$q_1 = \epsilon + q_1 a + q_1 ba + q_1 bba$$

$$q_1 = \epsilon + q_1 (a + ba + bba) \text{-----(v)}$$

*Equation (v) is of the form  $R = Q + RP$ , so we can write it in the form  $R = QP^*$  according to Arden's Theorem.*

i.e.  $q_1 = \epsilon(a + ba + bba)^*$

$q_1 = (a + ba + bba)^*$ , [according to algebraic laws of regular expression  $\epsilon \cdot R = R$ ]

# Conversion of FA to Regular Expression

*Taking equation (ii) since  $q_2$  is also final state*

$$q_2 = q_1 b$$

$$q_2 = (a + ba + bba)^* b$$

*Taking equation (iii) since  $q_3$  is also final state*

$$q_3 = q_2 b$$

$$q_3 = (a + ba + bba)^* bb$$

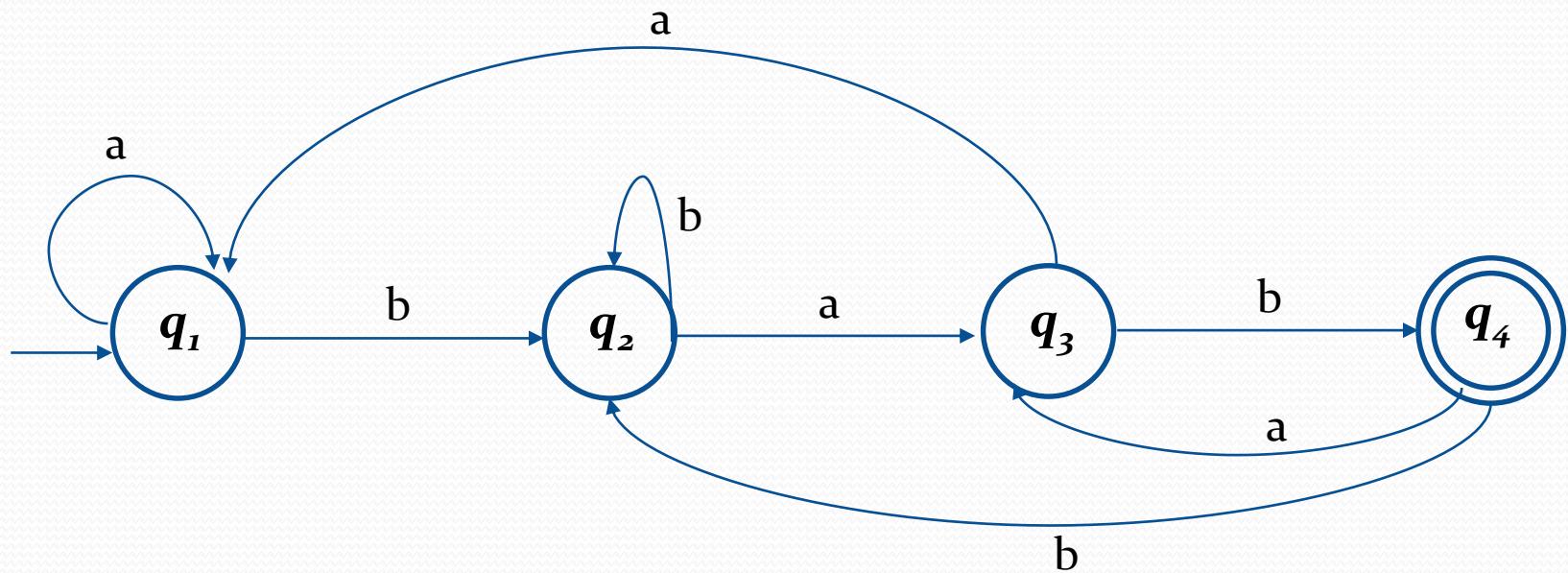
*We have derived the expression for all the final states so the regular expression is union of all the obtained expression i.e.*

$$q_1 \cup q_2 \cup q_3$$

$$RE = (a + ba + bba)^* \cup (a + ba + bba)^* b \cup (a + ba + bba)^* bb$$

# Conversion of FA to Regular Expression

Find RE for given DFA



# Closure Properties of Regular Language

- Closure refers to some operation on a language, resulting in a new language that is of the same “type” as originally operated on i.e. regular.
- Those operations on regular languages that are certain to result in regular language are known as closure qualities.
- The class of language accepted by finite automata is closed under:
  - Union
  - Concatenation
  - Kleen Closure
  - Complement
  - Intersection

# Closure Properties of Regular Language (Union)

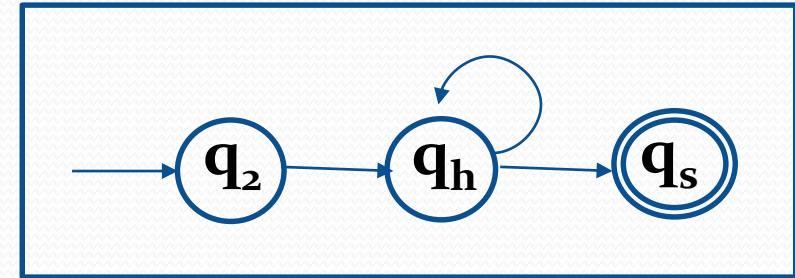
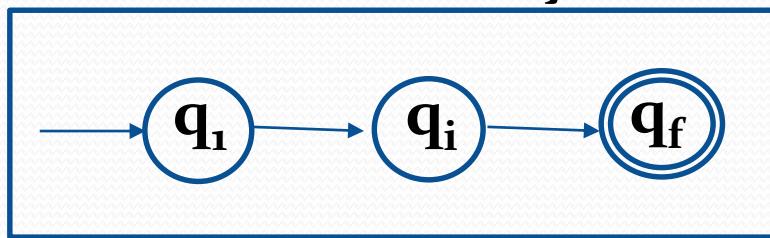
- Let  $R_1$  and  $R_2$  are the regular language then the union of  $R_1$  and  $R_2$  denoted by  $R_1+R_2$  or  $R_1 \cup R_2$  is also regular.
- To show that  $R_1+R_2$  are regular language we need to design a non deterministic automata which can recognize the language  $(R_1+R_2)$ .
- For this let us consider the non deterministic automata  $M_1$  which can recognize  $R_1$  i.e. string generated by  $R_1$  will be accepted by  $M_1$ .
- $M_1$  can be defined as  $M_1= (Q_1, \Sigma_1, \delta_1, q_i, F_1)$  such that  $R_1=L(M_1)$

# Closure Properties of Regular Language (Union)

- Also consider another non deterministic automata  $M_2$  which can recognize  $R_2$  i.e. string generated by  $R_2$  will be accepted by  $M_2$ .
- $M_2$  can be defined as:  $M_2= (Q_2, \Sigma_2, \delta_2, q_2, F_2)$  such that  $R_2=L(M_2)$
- We now construct a non deterministic automata such that  $L(M)=L(M_1)+L(M_2)$ .

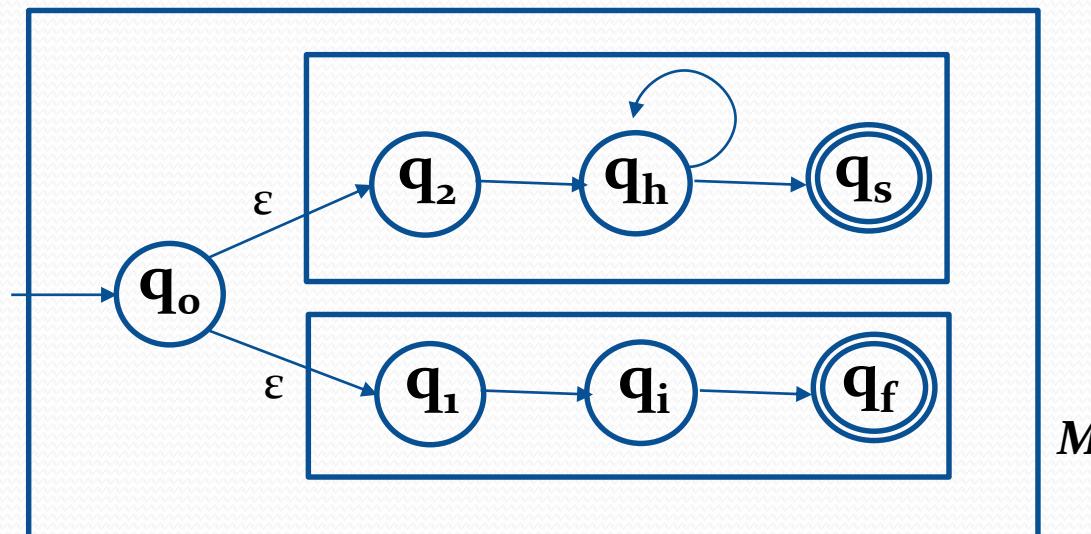
# Closure Properties of Regular Language (Union)

- A simple non deterministic automata can be constructed easily as:



$M_1$

$M_2$



$M$

# Closure Properties of Regular Language (Union)

- Simply newly constructed automata M uses non-determinism to guess whether input is in  $L(M_1)$  or in  $L(M_2)$ .
- The automata M then processes the string exactly as the corresponding automata either  $M_1$  or  $M_2$  so it follows  $L(M) = L(M_1) + L(M_2)$ .
- The formal proof can be given as:

From these two NFAs, we will construct an NFA

$$M = (Q, \Sigma, \delta, q_0, F), \text{ such that } L(M) = L(M_1) + L(M_2)$$

# Closure Properties of Regular Language (Union)

- Different tuple of M can be defined as:

$Q: Q_1 \cup Q_2 \cup q_o$

$\Sigma : \Sigma_1 \cup \Sigma_2 \cup \{\epsilon\}$

$q_o : q_o$

$F: \text{Set of Final States } (F \subseteq Q)$

$\delta : \delta_1 \cup \delta_2 \cup \delta(q_o, \epsilon) \rightarrow q_1 \cup \delta(q_o, \epsilon) \rightarrow q_2$

# Closure Properties of Regular Language (Union)

- That is M beings any computation by non deterministically choosing to enter either initial sate of  $M_1$ , or the initial state of  $M_2$ .
- M then reproduces either  $M_1$ , or  $M_2$ , thereafter.
- Hence M accepts the string  $w$  if and only if  $M_1$ , accepts  $w$  or  $M_2$  accepts  $w$  and  $L(M)=L(M_1)+L(M_2)$ .
- Hence, regular properties are closed under union.
- **Steps in Union of  $R_1$  and  $R_2$** 
  - *Create a new start state.*
  - *Make a  $\epsilon$ -transition from the new start state to each of the original start states.*

# Closure Properties of Regular Language (Concatenation)

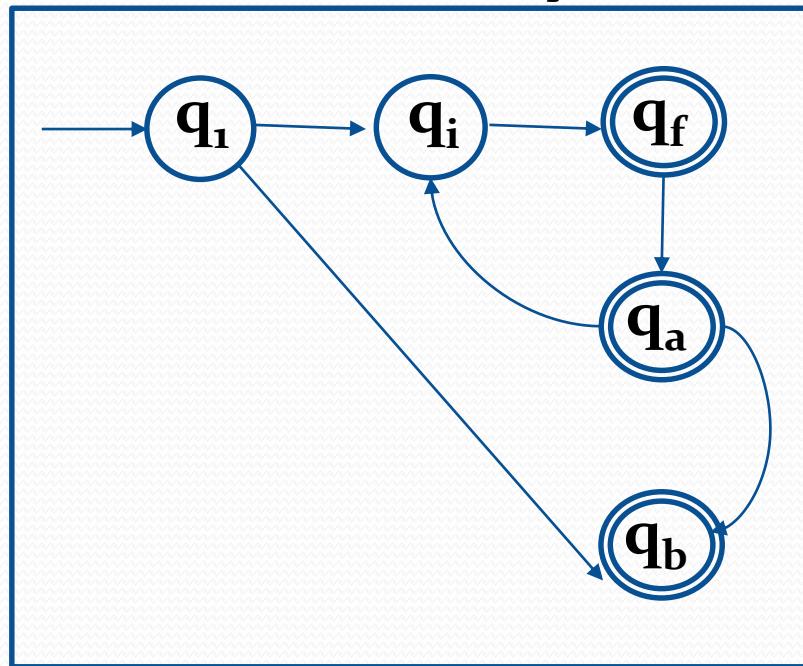
- Let  $R_1$  and  $R_2$  are the regular language then the concatenation of  $R_1$  and  $R_2$  denoted by  $R_1.R_2$  is also regular.
- To show that  $R_1.R_2$  are regular language we need to design a non deterministic automata which can recognize the language ( $R_1.R_2$ ).
- For this let us consider the non deterministic automata  $M_1$  which can recognize  $R_1$  i.e. string generated by  $R_1$  will be accepted by  $M_1$ .
- $M_1$  can be defined as  $M_1= (Q_1, \Sigma_1, \delta_1, q_i, F_1)$  such that  $R_1=L(M_1)$

# Closure Properties of Regular Language (Concatenation)

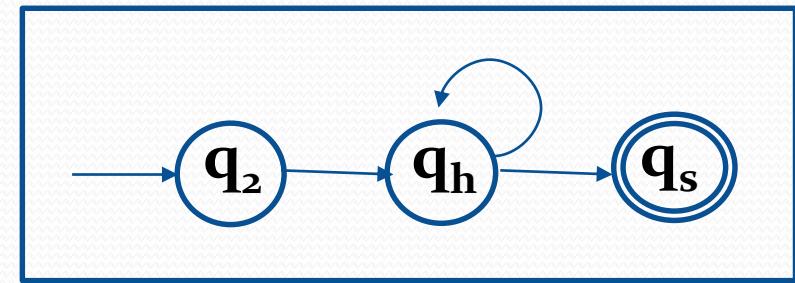
- Also consider another non deterministic automata  $M_2$  which can recognize  $R_2$  i.e. string generated by  $R_2$  will be accepted by  $M_2$ .
- $M_2$  can be defined as:  $M_2= (Q_2, \Sigma_2, \delta_2, q_2, F_2)$  such that  $R_2=L(M_2)$
- We now construct a non deterministic automata such that  $L(M)=L(M_1).L(M_2)$ .

# Closure Properties of Regular Language (Concatenation)

- A simple non deterministic automata can be constructed easily as:

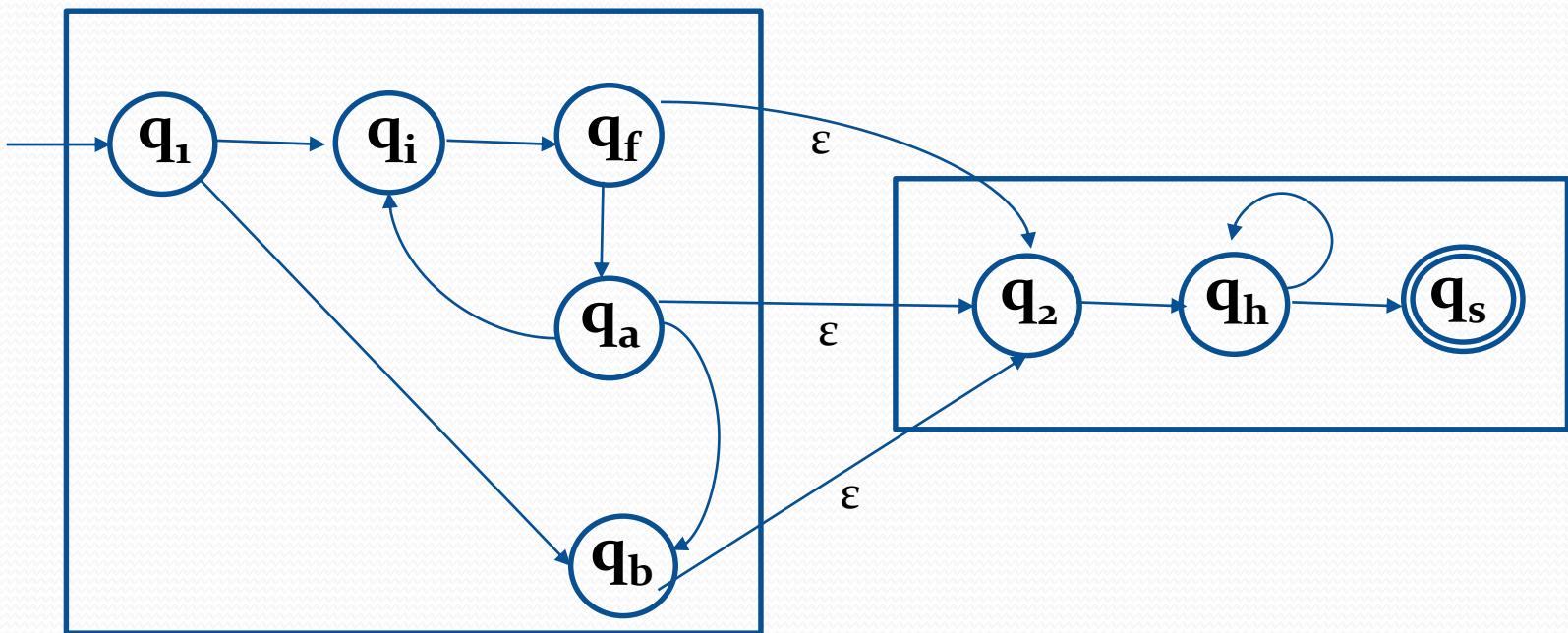


$M_1$



$M_2$

# Closure Properties of Regular Language (Concatenation)



$M$

# Closure Properties of Regular Language (Concatenation)

- Simply newly constructed automata M starts from  $M_1$ , after consuming all the string generated by  $R_1$  it reaches to the one of the final states of  $M_1$ .
- Then using non-determinism the automata reaches to the starting state of  $M_2$ .
- The automata M then processes the string exactly as the corresponding automata of  $M_2$  making  $L(M) = L(M_1).L(M_2)$ .
- The formal proof can be given as:

From these two NFAs, we will construct an NFA

$$M = (Q, \Sigma, \delta, q_0, F), \text{ such that } L(M) = L(M_1).L(M_2)$$

# Closure Properties of Regular Language (Concatenation)

- Different tuple of M can be defined as:

$$Q: Q_1 \cup Q_2$$

$$\Sigma : \Sigma_1 \cup \Sigma_2 \cup \{\epsilon\}$$

$$q_o: q_i$$

F: Set of Final States ( $F \subseteq Q_2$ )

$$\delta : \delta_1 \cup \delta_2 \cup \delta(q_f, \epsilon) \rightarrow q_i \text{ where } q_f \in F_1$$

- That is M begins from starting state of  $M_1$  and transits according to transition function of  $M_1$  until it reaches the final state of  $M_1$ .

# Closure Properties of Regular Language (Concatenation)

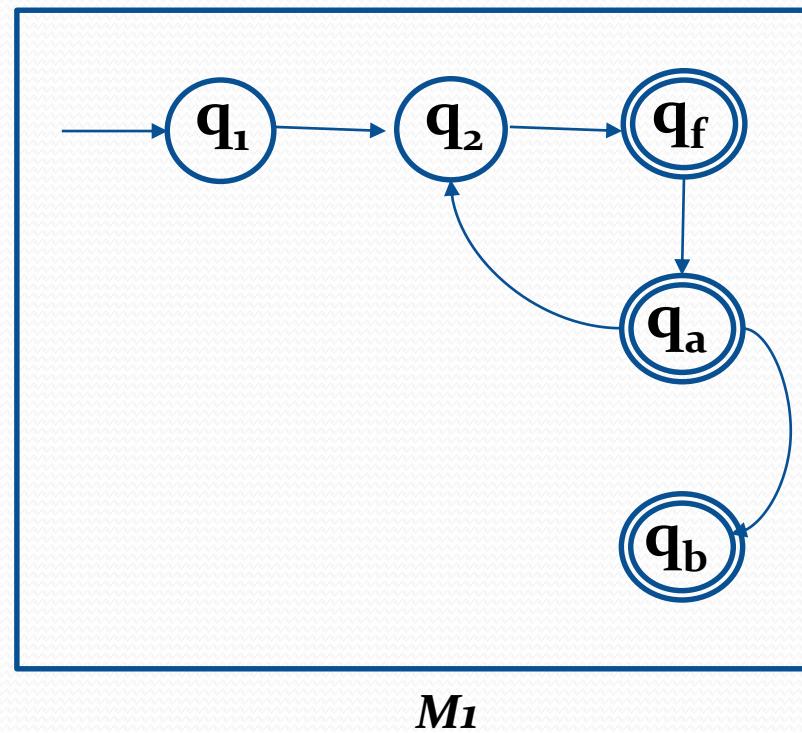
- Then from any of the final state of  $M_1$  the automata by non determinism enters to the initial state of  $M_2$ .
- $M$  then reproduces  $M_2$ , thereafter.
- Hence  $M$  accepts the string  $w$  if and only if  $M_2$  accepts  $w$  and  $L(M)=L(M_1).L(M_2)$ .
- Hence, regular properties are closed under concatenation.
- **Steps in Concatenation of  $R_1$  and  $R_2$** 
  - Put a  $\epsilon$ -transition from each final state of  $M_1$  to the initial state of  $M_2$ .
  - Make the original final states of  $M_1$  as non final.

# Closure Properties of Regular Language (Kleen Closure)

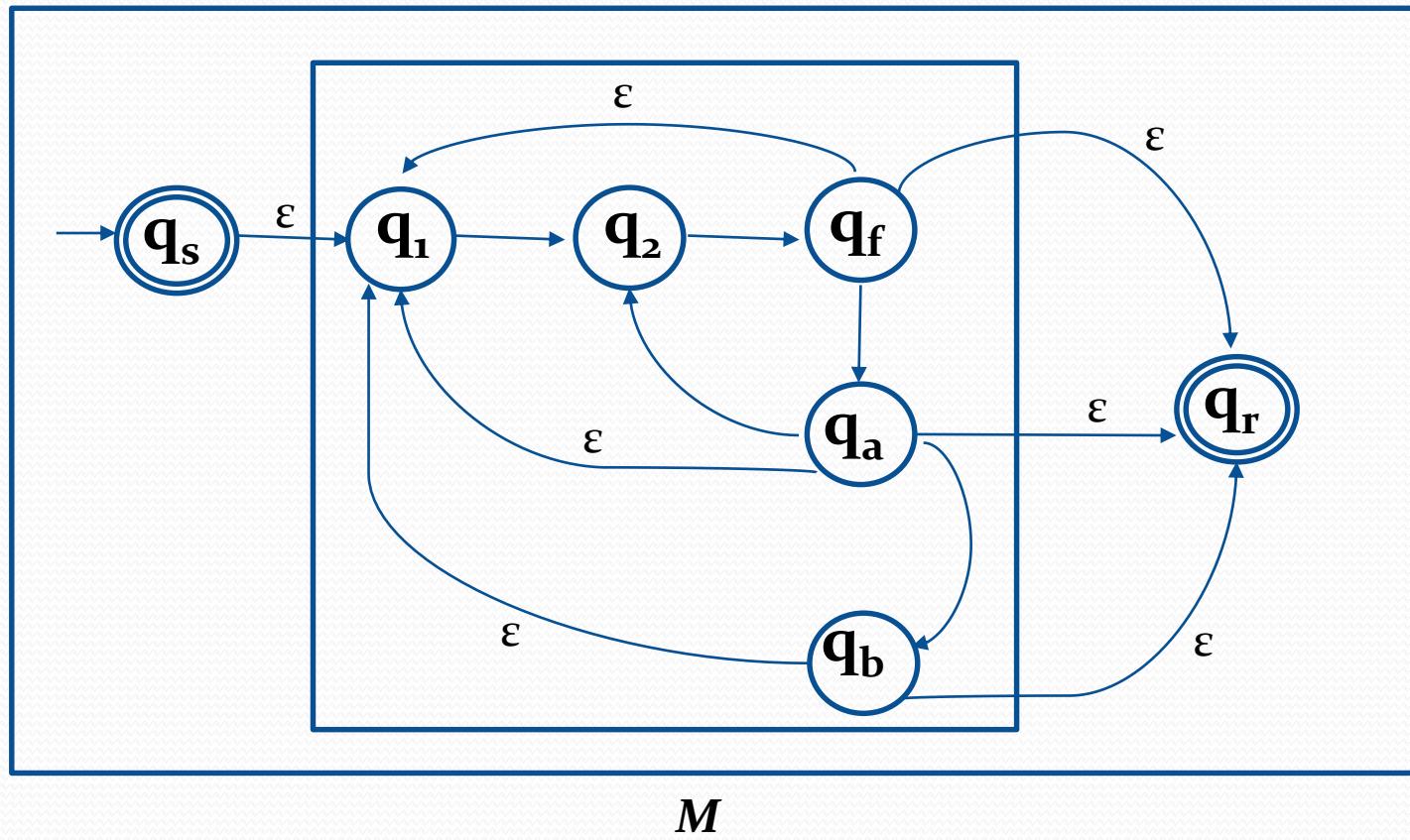
- Let  $R_1$  be the regular language then the kleen closure of  $R_1$  denoted by  $R_1^*$  also regular.
- To show that  $R_1^*$  is regular language we need to design a non deterministic automata which can recognize the language  $R_1^*$ .
- For this let us consider the non deterministic automata  $M_1$  which can recognize  $R_1$  i.e. string generated by  $R_1$  will be accepted by  $M_1$ .
- $M_1$  can be defined as  $M_1= (Q_1, \Sigma_1, \delta_1, q_i, F_1)$  such that  $R_1=L(M_1)$

# Closure Properties of Regular Language (Kleen Closure)

- We now construct a non deterministic automata such that  $L(M) = R_1^*$ .



# Closure Properties of Regular Language (Kleen Closure)



# Closure Properties of Regular Language (Kleen Closure)

- Simply newly constructed automata M begins from new starting state (which is also the final state as it may accept  $\epsilon$  string) and uses non-determinism to reach the starting state of  $M_1$ .
- The automata M then processes the string exactly as the corresponding automata of  $M_1$ .
- For the repetition of the string the automata uses the non-determinism from any of the final state of  $M_1$  to the initial state of  $M_1$  making  $L(M)=R_1^*$ .
- The automata finally uses non-determinism to reach any of the final state of M from the original final state of  $M_1$ .

# Closure Properties of Regular Language (Kleen Closure)

- The formal proof can be given as:

From the original NFA, we will construct new NFA

$$M = (Q, \Sigma, \delta, q_0, F), \text{ such that } L(M) = R_1^*$$

- Different tuple of M can be defined as:

$$Q: Q_1 \cup \{q_s\} \cup \{q_r\}$$

$$\Sigma: \Sigma_1 \cup \{\epsilon\}$$

$$q_o: q_s$$

$$F: \{q_s, q_r\}$$

$$\delta: \delta_1 \cup \delta(q_i, \epsilon) \rightarrow q_1 \cup \delta(q_s, \epsilon) \rightarrow q_1 \text{ where } q_i \in F_1$$

# Closure Properties of Regular Language (Kleen Closure)

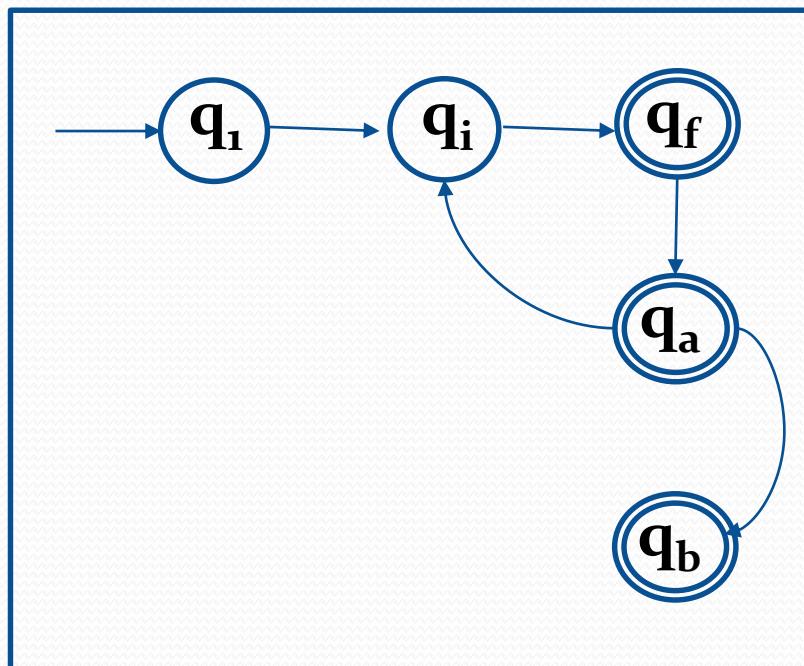
- **Steps in Kleene Closure of  $R_1$** 
  - Make a new start state (which is also the final state as it may accept  $\epsilon$  string); connect it to the original start state with a  $\epsilon$ -transition.
  - Make a new final state; connect the original final state (which becomes non final) to it with  $\epsilon$  -transitions.
  - For the repetition of the string use the  $\epsilon$  -transitions from any of the final state of  $M_1$  to the original initial state i.e. of  $M_1$ .

# Closure Properties of Regular Language (Complement)

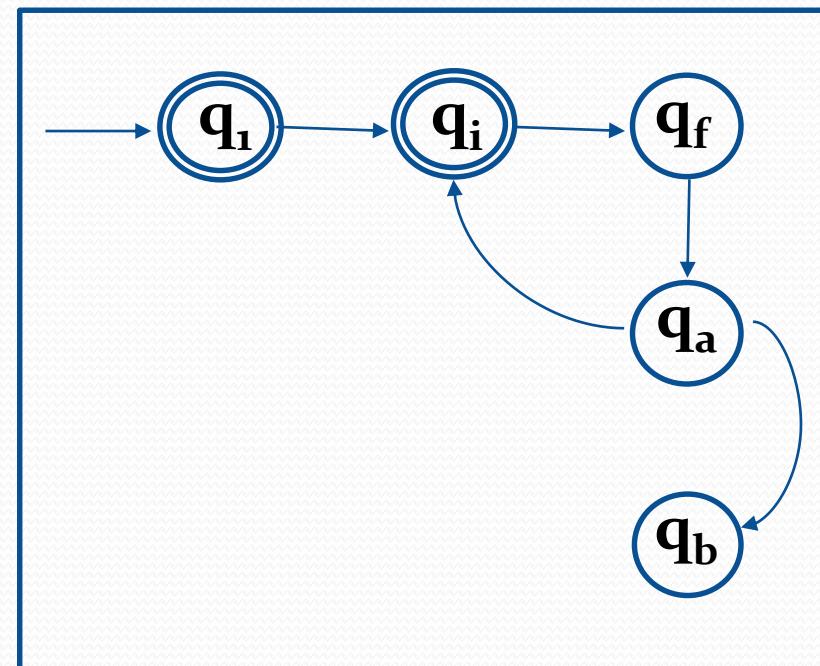
- Let  $R_1$  be the regular language then the complement of  $R_1$  denoted by  $\overline{R_1}$  also regular.
- To show that  $\overline{R_1}$  is regular language we need to design a non deterministic automata which can recognize the language  $\overline{R_1}$ .
- For this let us consider the non deterministic automata  $M_1$  which can recognize  $\overline{R_1}$  i.e. string generated by  $\overline{R_1}$  will be accepted by  $M_1$ .
- $M_1$  can be defined as  $M_1= (Q_1, \Sigma_1, \delta_1, q_i, F_1)$  such that  $\overline{R_1} = L(M_1)$

# Closure Properties of Regular Language (Complement)

- We now construct a non deterministic automata such that  $L(M) = \overline{R1}$ .



$M_1$



$M$

# Closure Properties of Regular Language (Complement)

- The formal proof can be given as:

From the original NFA, we will construct new NFA

$M = (Q, \Sigma, \delta, q_0, F)$ , such that  $L(M) = \overline{R1}$

- Different tuple of M can be defined as:

$Q: Q_1$

$\Sigma: \Sigma_1$

$q_0: q_1$

$F: \{Q - F_1\}$

$\delta: \delta_1$

# Closure Properties of Regular Language (Complement)

- **Steps in Complement of  $R_1$** 
  - Make the non final states of original automata as a final state and vice versa.
- ***Intersection Properties of Regular Language***
$$R_1 \cap R_2 = \overline{\overline{R_1} + \overline{R_2}}$$
 using De-Morgan's Law
  - If  $R_1$  and  $R_2$  is regular then  $\overline{R_1}$  and  $\overline{R_2}$  are also regular using complement properties.
  - If  $\overline{R_1}$  and  $\overline{R_2}$  are regular then  $\overline{R_1} + \overline{R_2}$  is also regular by union properties.
  - $\overline{R_1} + \overline{R_2}$  is regular then its complement  $\overline{\overline{R_1} + \overline{R_2}}$  is also regular from complement properties.
  - $\overline{\overline{R_1} + \overline{R_2}}$  is equivalent to  $R_1 \cap R_2$  so,  $R_1 \cap R_2$  is also regular.

# Pumping Lemma

- We have concluded that the class of regular languages is closed under various operations, and these languages can be described by (deterministic or nondeterministic) finite automata and regular expressions.
- These properties helped in developing techniques for showing that a language is regular.
- Now, we will discuss about the tool that can be used to prove that certain languages are not regular.

# Pumping Lemma

- For the regular language
  - The amount of memory that is needed to determine whether or not a given string is in the language is finite and independent of the length of the string.
  - If the language consists of an infinite number of strings, then this language should contain infinite subsets having a fairly repetitive structure.
- Automatically, languages that do not follow above criteria should be non-regular.

# Pumping Lemma

- ***Consider the language  $L: \{0^n 1^{2n} : n \geq 0\}$ .***
  - We can prove this language to be non regular, since DFA has the limited memory and it seems unlikely that a DFA can remember how many 0's it has seen when it has reached the border between the 0's and the 1's.
  - Thus we cannot generate the number of 1 exactly two times the number of 0.
- ***Consider another language  $L: \{0^n : n \text{ is a prime}\}$*** 
  - The given language is also not regular because the prime numbers do not seem to have any repetitive structure that can be used by a DFA

# Pumping Lemma

- These ideas are accurate but not sufficiently precise to be used in formal proofs.
- We will establish a property that all regular languages must possess called the ***Pumping Lemma***.
- If a language does not have this property, then it must be non regular.
- The pumping lemma states that any sufficiently long string in a regular language can be *pumped*, i.e., there is a section in that string that can be repeated any number of times, so that the resulting strings are all in the language.

# Pumping Lemma for Regular Language

- **Theorem:** Let  $L$  be a regular language. Then there exists an integer  $p \geq 1$  and  $p \geq m$ (no. of state of FA), called the pumping length, such that any string  $w$  in  $L$ , with  $|w| \geq n$ , can be decomposed into three parts as  $w = xyz$ , such that:
  - $|y| \geq 1, y \notin \epsilon$
  - $|xy| \leq p$
  - *for all*  $i \geq 0, xy^i z \in L$
- In words, the pumping lemma states that by replacing the portion  $y$  in  $w$  by zero or more copies of it, the resulting string is still in the language  $L$ .

# Pumping Lemma for Regular Language

- **Note**
  - *If any language pass the Pumping Lemma Test then it is undecidable that the language is regular or not.*
  - *But, if any language fails the Pumping Lemma Test then the language is obvious not regular.*

# Pumping Lemma for Regular Language

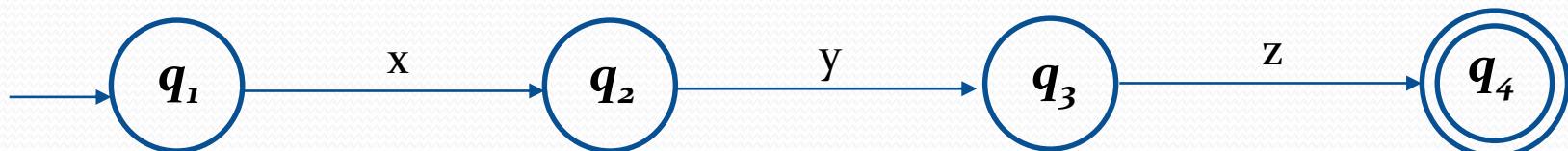
**Proof:**

Let  $L$  be a regular language and  $w \in L$ .

Let  $w = a_1a_2a_3\ldots\ldots\ldots a_n$  and the set of states are  $q_1, q_2, q_3, \ldots, q_m$ .

From the theorem of pumping lemma  $w$  can be decomposed into three parts as  $w=xyz$

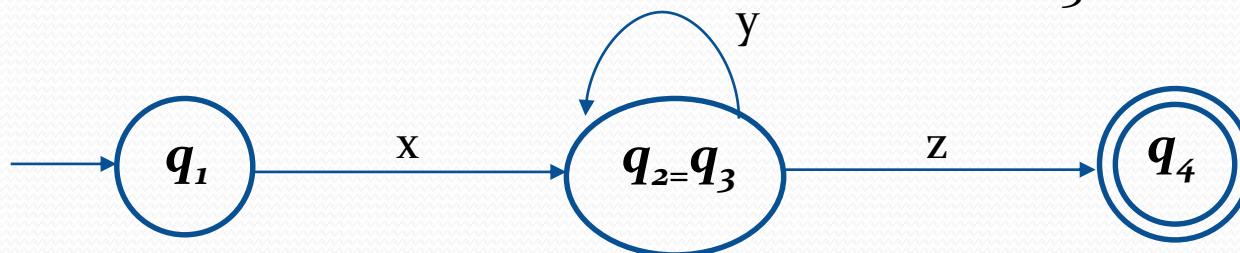
**Now its finite automata will be as follows:**



# Pumping Lemma for Regular Language

Here,  $|w| = 3$ , but total states are 4. By pigeon hole principle, any of the two states of FA must coincide.

According to our requirement state  $q_2$  &  $q_3$  gets coincide.



Now in general form,

$w = a_1 a_2 a_3 \dots \dots \dots a_n$  can be decomposed as:

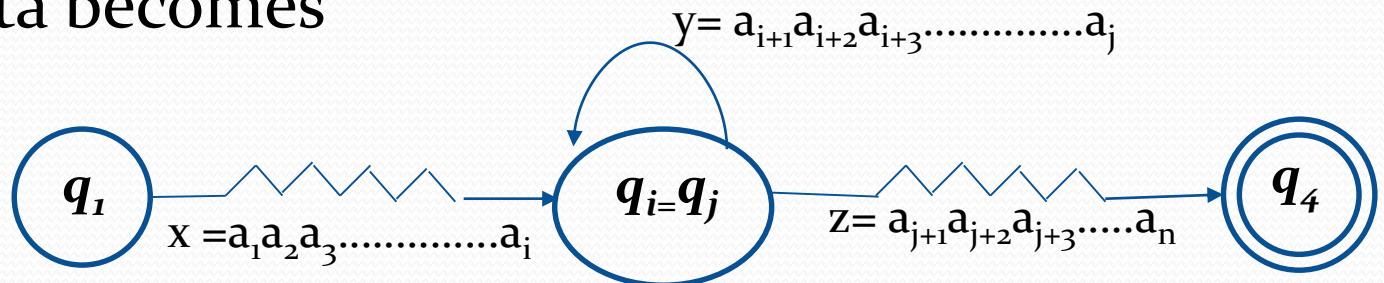
$x = a_1 a_2 a_3 \dots \dots \dots a_i$

$y = a_{i+1} a_{i+2} a_{i+3} \dots \dots \dots a_j$

$z = a_{j+1} a_{j+2} a_{j+3} \dots \dots \dots a_n$

# Pumping Lemma for Regular Language

So automata becomes



Now we have to show that  $xy^i z \in L$  for  $i \geq 0$

When  $i=0$ , we get  $w=xz$  which is processed by FA.

When  $i=1$ , we get  $w=xyz$ , which is also accepted by FA. Similarly, FA processes the strings for all the values of  $i$ . So, we say that  $xy^i z \in L$  all  $i \geq 0$ .

**Hence proved.**

# Pumping Lemma for Regular Language

- To prove the language  $L$  is not Regular using Pumping Lemma make following steps (*prove by contradiction*):
  - Assume that  $L$  is regular.
  - It has to have a pumping length (say  $p$ )
  - All strings longer than  $p$  can be pumped  $|w| \geq p$
  - Now find a string ‘ $w$ ’ in  $L$  such that  $|w| \geq p$  and divide  $w$  into three parts  $xyz$  considering all possible ways such that:

# Pumping Lemma for Regular Language

- $|y| \geq 1, y \notin \epsilon$
- $|xy| \leq p$
- Show that  $xy^i z \in L$  for some  $i$
- Try to conclude that none can satisfy all the 3 pumping conditions at the same time.
- $w$  cannot be Pumped  $\rightarrow$  CONTRADICTION.

# Pumping Lemma for Regular Language\_Example\_1

Show that the language  $L: \{0^n 1^n : \text{for } n \geq 0\}$  is not regular.

Solution,

We use the method of proof by contradiction to make the proof. So consider  $L: \{0^n 1^n : \text{for } n \geq 0\}$  is regular.

Also, it has a pumping length of  $p$ .

So,  $w = 0^p 1^p$

**We now** divide  $w$  into three parts  $xyz$  as:

$$x = 0^q$$

$$y = 0^r \quad r > 0$$

$$z = 0^{p-(q+r)} 1^p$$

# Pumping Lemma for Regular Language\_Example\_1

for pumping factor i=2,

$$\begin{aligned} xy^2 z &= o^q (o^r)^2 o^{p-(q+r)} 1^p \\ &= o^q o^{2r} o^{p-(q+r)} 1^p \\ &= o^{q+2r+p-q-r} 1^p \\ &= o^{p+r} 1^p \end{aligned}$$

Since  $r > 0$ ,  $p+r > p$

Therefore  $a^{p+r} b^p$  is not of the form  $a^p b^p$  i.e.  $xy^2z \notin L$

Hence the given language is not regular by pumping lemma.

# Pumping Lemma for Regular Language\_Example\_2

Show that the language  $L: \{a^nba^n : \text{for } n \geq 0\}$  is not regular.

Solution,

We use the method of proof by contradiction to make the proof. So consider  $L: \{a^nba^n : \text{for } n \geq 0\}$  is regular.

Also, it has a pumping length of  $p$ .

So,  $w = a^pba^p$

*Let  $p=5$*

$w = a^5ba^5$

$w = aaaaabaaaaaa$

# Pumping Lemma for Regular Language\_Example\_2

Dividing  $w$  into  $x$ ,  $y$  and  $z$  we get,

**Case-I:** When 'y' contains only 'aa'

$$w = aa \text{ aa abaaaaa } [x=aa, y=aa, z=abaaaaa]$$

Checking for case-I

Let,  $i=2$

$$w = xy^2z$$

$$w = aa \text{ aaaa abaaaaa}$$

$$w = a^7ba^5 \notin L$$

So, case-I fails as the power of a's at the start and end of the string are not equal.

# Pumping Lemma for Regular Language\_Example\_2

**Case-II:** When 'y' contains 'aaa'

$$w = aa\ aaa\ baaaaaa \quad [x=aa, y=aaa, z=baaaaa]$$

Let,  $i=2$

$$w = xy^2z$$

$$w = aa\ aaaaaaa\ baaaaaa$$

$$w = aaaaaaaaaabaaaaaaaa \notin L$$

So, case-II fails as it doesn't follow the pattern  $a^nba^n$ .

Hence, on pumping i.e.  $xy^i z$  none of the cases follow all the three conditions of regular language. So, the given language  $L: \{a^nba^n : n \geq 0\}$  is not regular.

# Pumping Lemma for Regular Language\_Example\_3

Show that the language  $L: \{a^n b^{2n} : n \geq 0\}$  is not regular.