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# Using deep bayesian neural networks for optimal treatment assignment in precision oncology. A contextual bandit problem.

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## 1 Introduction

2 The goal of precision medicine is providing the right treatment to the right patient at the right time.  
3 Oncology has been one of the central fields in modern precision medicine [1], with an increasing  
4 number of targeted compounds available, that are hypothesized to show activity in a very specific  
5 subset of patients (among the most popular examples being Imatinib for BCR-ABL positive CML).

6 Despite these early successes, assigning patients to adequate treatments remains a challenge until  
7 today. The current best practice in precision oncology is to base the treatment decision on published  
8 and frequently used therapeutic protocols that consider the patient's clinical characteristics and cancer  
9 genetics. For example, based on the status of a single biomarker, such as a BRAF V600E mutation, a  
10 treatment decision can be made [2].

11 These decision rules, or therapeutic protocols, are constantly evolving and used to link patients  
12 to optimal potential outcomes. Most therapeutic protocols in precision medicine are the result of  
13 biological reasoning that was validated in prospective [2] or retrospective [3] analysis of clinical  
14 trials. However, most clinical trials evaluate one biomarker and one targeted therapeutic at a time.  
15 This limits the ability to make high-confidence clinical decisions in a real world scenario with a large  
16 number of biomarkers to measure and potential treatments to choose from.

17 The consequences of strict therapeutic "if..when" protocols in precision medicine can be (I) high  
18 selectiveness - where only a small number of patients are assigned to a treatment with robust clinical  
19 evidence, (II) high compassionate use - where a majority of patients are left with limited treatment  
20 options that, if treated outside of a therapeutic protocol, do not contribute systematically to the  
21 development of new clinical evidence and (III) limited predictive ability - where due to a reduced  
22 number of new observations, therapeutic protocols can not improve beyond their initial version. For  
23 example, in recent precision medicine trials less than 50% of all screened patients were assigned to a  
24 treatment [6] and only a third of treated patients showed signs of increased progression-free-survival  
25 relative to their prior treatment [7].

26 Contextual bandits are a class of problems in the field of policy learning in which every action of the  
27 agent leads to a direct reward, depending on the state the agent is in. However, in contrast to more  
28 complex reinforcement learning problems, the agent does not have to develop a strategy that spans  
29 multiple action-state pairs. What differentiates contextual bandit problems from simple classification  
30 tasks, is that the agent learns a policy over time and thus has to balance exploration and exploitation  
31 to maximize the reward function. A popular analogy is the visit of a casino. The agent is given 10  
32 minutes to play and has a set of multiple slot machines (bandits) to chose from. Every slot machine  
33 comes with a different probability to return a reward, however, this information is hidden. The goal  
34 of the agent is to maximize the reward. Thus, in the next 10 minutes the agent has to find a balance  
35 between the costs of estimating the winning probability for every slot machine (exploration) and  
36 focusing on the most rewarding slot machine (exploitation).

37 In this class project we aim to formalize the administration of targeted therapeutics in oncology as  
38 a contextual bandit problem [10]. We have curated a public dataset of 1000 cancer cell lines and  
39 their response to 8 different targeted therapeutics. For every cell line we have aggregated mutation,  
40 CNV and gene expression data. We will use Bayesian neural networks to subsequently chose the best  
41 treatment for a randomly selected cell line by observing the genomic data. Based on the treatment  
42 decision, the agent will be rewarded with the in-vitro drug response the cancer cell line showed to the  
43 agent. Thus, the agent will try to learn the genomic predictors of drug response in the most efficient  
44 way possible. In parallel, we have collected a set of therapeutic protocols for each compound that  
45 reflect current clinical evidence. During this project, we will try to integrate this prior knowledge into  
46 the available state information to facilitate the agent’s learning.

47 The presented problem has potential real-world implications for precision oncology. While in-vitro  
48 drug sensitivity screening of cancer cells can test every possible treatment response and link it to  
49 genomic predictors, this is not the case in precision oncology. Here, an individual patient is treated  
50 with a single drug and thus, only one potential outcome is realized and can be observed. Identifying  
51 strategies in which patients can be allocated to treatment options so that the overall patient benefit is  
52 maximized is a fundamental goal of precision oncology.

## 53 2 Related Work

54 Recently the number of targeted therapeutics tested in clinical trials has grown rapidly, prompting  
55 the introduction of modern master trial designs, such as baskets, umbrellas and platforms, thereby  
56 improving the throughput of efficacy testing [8]. Of note, first master protocols such as the I-SPY2  
57 trial for neoadjuvant breast cancer therapy have employed an adaptive design based on bayesian  
58 hierarchical models to guide treatment assignment and treatment arm discontinuation [4, 9]. Despite  
59 these changes in clinical trial design, most studies still stratify patients by a limited number of  
60 biomarkers and strictly adhere to pre-defined treatment arms.

61 Deep learning based methods have been used for the optimization of contextual bandit problems in  
62 the past and reach competitive performance to linear methods in most benchmarks in a Thompson  
63 sampling framework. As state information becomes more complex, the expressivity of linear methods  
64 shows clear limitations. Here we are going to use the author’s public code base to use bayesian neural  
65 networks for policy learning. We will compare the algorithms performance against other benchmarks,  
66 such as random allocation, Gaussian processes and, tailored to our scenario, current clinical evidence.

## 67 3 Dataset

68 We synthesized a public dataset of in-vitro cancer cell-line drug sensitivity for the purpose of causal  
69 inference evaluation [5]. In this dataset a complete matrix of treatment effects for >1000 cell-lines  
70 and >50 drugs has been measured and the  $IC_{50}$  values were recorded. Moreover, the dataset contains  
71 gene expression data as well as mutation status for most cell-lines.

72 In a first pre-processing step we focused on a set of 10 drugs that are currently used in clinical practice.  
73 We then log transformed the  $IC_{50}$  values and normalized them relative to the median  $\ln(IC_{50})$   
74 across cell-lines for each drug.

75 As we are comparing the effectiveness of potential outcomes on a cell-line level, the latter step  
76 might seem counter-intuitive. However, the pharmacokinetics and pharmacodynamics of therapeutic  
77 substances ex-vivo does, in general, not match in-vivo conditions. Thus, it is safer to estimate a  
78 treatment’s in-vitro effect by comparing the transformed  $IC_{50}$  of a drug in a given cell-line relative  
79 to the median transformed  $IC_{50}$  of the same drug across the whole population of cell-lines.

80 Next we manually curated therapeutic protocols based on current clinical evidence and trial protocols  
81 with selected simplifications: (I) we excluded any protocols involving combination treatments, (II)  
82 we excluded any protocols that are based on the presence of oncogenic gene-fusions, (III) we did not  
83 include tissue type restrictions into any protocols.

84 For the start, we propose to reduce the complexity of the cell-line features  $X$  into larger subgroups  
85 defined by (I) tissue type and (II) mutation status. Depending on the time, we will try to incorporate  
86 gene expression data as well.

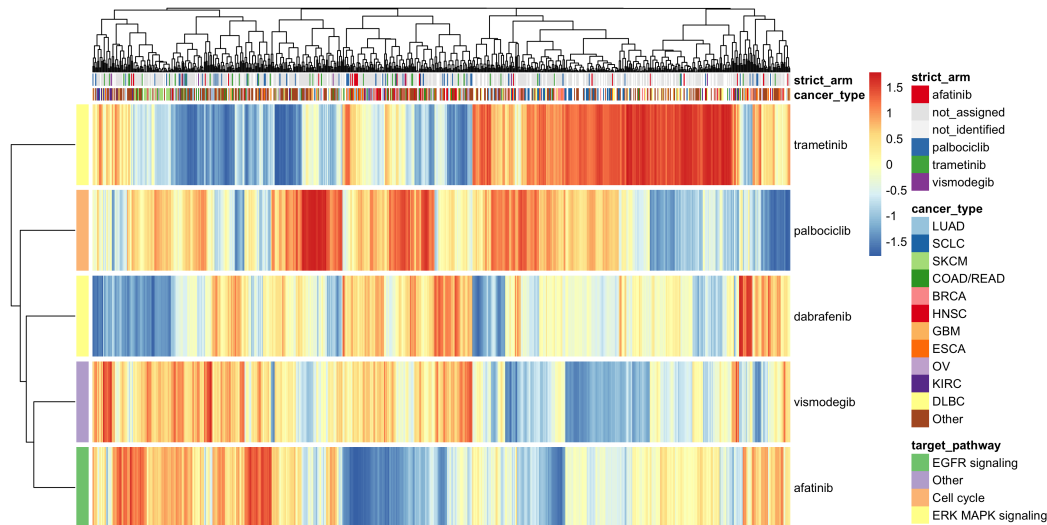


Figure 1: Drug vulnerability data for 1000 cell lines and 5 drugs. Blue color to drug sensitivity. The "strict arm" represents a cell line's treatment allocation based on current clinical evidence if it was a patient in a precision oncology program.

## 87 4 Disclosure

88 Niklas Rindtorff is working with the same curated dataset on a class project in CS282R, a Harvard  
 89 run course in collaboration with Google Brain. Niklas Rindtorff has manually pre-processed the data  
 90 and prepared it for use in causal inference of conditional treatment effects. This proposal includes the  
 91 following new elements of work, which are unrelated to any other projects:

- 92 • Formatting and filtering the dataset to be amenable for a contextual bandit learning
- 93 • Potential dimensionality reduction of feature data
- 94 • Creating a contextual bandit environment
- 95 • Adding prior knowledge, in the form of therapeutic protocols to the state information
- 96 • Train a Bayesian neural network and other models in a bandit environment
- 97 • Evaluate the performance of the final model in the context of current clinical evidence. We  
 98 will assess the number of cell lines treated with treatments that cause a viability response  
 99 as well as the average treatment effect accross cell lines that have been treated by both the  
 100 agent and the current clinical evidence.
- 101 • Aggregate and present findings

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