

# **MH4311 Cryptography**

## **Lecture 14**

### **Public Key Encryption**

#### **Part 2. ElGamal**

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# Lecture Outline

- Classical ciphers
- Symmetric key encryption
- Hash function and Message Authentication Code
- Public key encryption
  - RSA
  - **ElGamal**
    - **Specification**
    - **Implementation**
    - **Security**
- Digital signature
- Key establishment and management
- Introduction to other cryptographic topics

# Recommended Reading

- CTP Chapter 6
- HAC Section 8.4
- Wikipedia
  - ElGamal Encryption  
[http://en.wikipedia.org/wiki/ElGamal\\_encryption](http://en.wikipedia.org/wiki/ElGamal_encryption)
  - Discrete Logarithm  
[http://en.wikipedia.org/wiki/Discrete\\_logarithm](http://en.wikipedia.org/wiki/Discrete_logarithm)

# ElGamal Public Key Cryptosystem

- Based on the difficulty of discrete logarithm
- Invented by Taher ElGamal, 1985



# ElGamal Cryptosystem

- **ElGamal encryption**
- ElGamal digital signature (learn it later)

# **Cyclic Group and Discrete Logarithm**

# Multiplicative group modulo prime $p$

- Multiplicative group modulo prime  $p$ 
  - Denoted as  $Z_p^*$
  - Elements:  $1, 2, 3, 4, \dots, p-1$
  - Operation: multiplication modulo  $p$

# Cyclic group

- A group  $G$  is called cyclic if there exists an element  $g$  in  $G$  such that

$$G = \{ g^i / i \text{ is an integer} \},$$

where  $g$  is a generator of  $G$ .



# Cyclic group

- A multiplicative group  $Z_p^*$  is cyclic ( $p$  is prime)
- There are many types of cyclic groups:
  - The set of integers modulo  $n$ , with the operation of addition modulo  $n$ , forms a cyclic group. Every element which is coprime to  $n$  is a generator of this group.
    - The above additive group is denoted as  $Z_n^+$
    - Example: In the group  $Z_6^+$ , there are 6 elements: 0, 1, 2, 3, 4, 5. 1 and 5 are the generators of this group. In this group,  $1 = 1$ ,  $1+1 = 2$ ,  $1+1+1 = 3$ ,  $1+1+1+1 = 4$ ,  $1+1+1+1+1 = 5$ ,  $1+1+1+1+1+1 = 0$ .  
 $5 = 5$ ,  $5+5 = 4$ ,  $5+5+5 = 3$ ,  $5+5+5+5 = 2$ ,  $5+5+5+5+5 = 1$ ,  $5+5+5+5+5+5 = 0$ .

# Example of generator

- Example of generator of cyclic group

- 2 is a generator of  $Z_5^*$  since in this group,

$$2^1 = 2, 2^2 = 4, 2^3 = 3, 2^4 = 1.$$

3 is a generator of  $Z_5^*$  since in this group,

$$3^1 = 3, 3^2 = 4, 3^3 = 2, 3^4 = 1.$$

4 is NOT a generator of  $Z_5^*$  since in this group,

$$4^1 = 4, 4^2 = 1, 4^3 = 4, 4^4 = 1.$$

# Example of generator

- Example of generator of cyclic group

- 2 is NOT a generator of  $Z_7^*$  since in this group,

$$2^1 = 2, 2^2 = 4, 2^3 = 1, 2^4 = 2, 2^5 = 4, 2^6 = 1.$$

- 3 is a generator of  $Z_7^*$  since in this group,

$$3^1 = 3, 3^2 = 2, 3^3 = 6, 3^4 = 4, 3^5 = 5, 3^6 = 1.$$

- 4 is NOT a generator of  $Z_7^*$  since in this group,

$$4^1 = 4, 4^2 = 2, 4^3 = 1, 4^4 = 4, 4^5 = 2, 4^6 = 1.$$

- 5 is a generator of  $Z_7^*$  since in this group,

$$5^1 = 5, 5^2 = 4, 5^3 = 6, 5^4 = 2, 5^5 = 3, 5^6 = 1.$$

- 6 is NOT a generator of  $Z_7^*$  since in this group,

$$6^1 = 6, 6^2 = 1, 6^3 = 6, 6^4 = 1, 6^5 = 6, 6^6 = 1.$$

# Discrete logarithm

- Discrete logarithm (here for  $Z_p^*$ )
  1.  $p$  is a prime
  2. Let  $g$  be a generator of the multiplicative cyclic group  $Z_p^*$
  3. Given  $y \in Z_p^*$ , to find  $x$  satisfying  $g^x \bmod p = y$
- The above discrete logarithm problem is hard to solve for large primes (for example, 2048-bit primes)

# **ElGamal Encryption**

# ElGamal Encryption: Specification

- Key generation

1. Generate a large random prime  $p$
2. Find a generator  $g$  of the multiplicative group  $Z_p^*$ .
3. Select a random secret integer  $x$  ( $0 < x < p$ ), and compute  $y = g^x \bmod p$

Public key:  $(p, g, y)$

Private key:  $x$

# ElGamal Encryption : Specification

- Encryption (Plaintext  $m$ :  $0 < m < p$ )

1. Select a random per-message (one-time) secret integer  $k$
2. Compute

$$c_1 = g^k \bmod p$$

$$c_2 = m \cdot y^k \bmod p$$

Ciphertext:  $C = (c_1, c_2)$

- Decryption

$$m = c_1^{-x} \cdot c_2 \bmod p$$

# ElGamal Encryption: Specification

- Decryption process recovers the message:

$$\begin{aligned} & c_1^{-x} \cdot c_2 \bmod p \\ &= (g^k)^{-x} \cdot (m \cdot y^k) \bmod p \\ &= (g^x)^{-k} \cdot (m \cdot y^k) \bmod p \\ &= y^{-k} \cdot (m \cdot y^k) \bmod p \\ &= m \end{aligned}$$



# ElGamal Encryption: Example

- Example (Toy ElGamal Encryption)

Key generation :

1. Select  $p = 2357$ , and a generator  $g = 2$  of  $Z_{2357}^*$
2. Private key  $x = 1751$
3.  $y = g^x \bmod p = 2^{1751} \bmod 2357 = 1185$

Public Key:  $(p, g, y) = (2357, 2, 1185)$

Private Key:  $x = 1751$

Encryption :  $m = 2035$

1. Select a random integer  $k = 1520$
2.  $c_1 = g^k \bmod p = 2^{1520} \bmod 2357 = 1430$   
 $c_2 = m \cdot y^k \bmod p = 2035 \times 1185^{1520} \bmod 2357 = 697$

Decryption :

$$m = c_1^{-x} \cdot c_2 = 1430^{-1751} \times 697 \bmod 2357 = 2035$$

# ElGamal Encryption: Implementation

- To find a generator of the cyclic group  $Z_p^*$ 
  1. Factorize  $p-1 = p_1^{e_1} p_2^{e_2} p_3^{e_3} \cdots p_t^{e_t}$
  2. Choose a random integer  $\beta$ , if  $\beta^{\frac{p-1}{p_i}} \bmod p \neq 1$  for  $1 \leq i \leq t$ , then  $\beta$  is a generator

Remarks: if  $\beta^{\frac{p-1}{p_i}} \bmod p = 1$ , then  $\beta^j \bmod p$  generates

only  $\frac{p-1}{p_i}$  elements since  $\beta^j \equiv \beta^{j+\frac{p-1}{p_i}} \pmod{p}$

# ElGamal Encryption: Implementation


- In order to find a generator of the cyclic group  $Z_p^*$ ,  $p-1$  should be factorized.
- It is computationally impossible to generate a random 2048-bit prime  $p$ , then factorize  $p-1$ 
  - Solution: In practice, we generate a 2047-bit prime number  $p'$ , let  $p = 2p' + 1$ , then test whether  $p$  is prime. Repeat this process until a 2048-bit prime  $p$  is found. The factors of  $p-1$  are known.
  - A prime number  $p$  is called **safe prime** if  $p = 2p' + 1$ , where  $p'$  is a prime. Safe primes are widely used in cryptographic algorithms, such as RSA and ElGamal.

# ElGamal Encryption: Security

- In ElGamal encryption, the prime  $p$  and the generator  $g$  can be shared by many users
  - In RSA, the modulus  $n$  should not be shared
- The one-time secret  $k$  used in ElGamal encryption should be a secret random value
- The security of ElGamal highly depends on the difficulty of solving the discrete log problem
  - Many discrete logarithm algorithms were developed to solve the discrete log problem.

# **Discrete Logarithm Algorithms**

# Discrete Logarithm Algorithms

- Shank's baby-step giant-step algorithm
  - Pollard's rho algorithm for discrete logarithm
  - Pohlig-Hellman algorithm
  - Index calculus algorithm (only for  $Z_p^*$ )
  - .....
- 
- generic

# Shank's baby-step giant-step algorithm

$$g^x \equiv b \pmod{p}$$

$$n=p-1$$

- Basic idea:

- Let  $t = \lceil \sqrt{n} \rceil$ , then  $x$  can be written as :  $x = i \times t + j$ , where  $i < t, j < t$ .

- It means that  $g^x \bmod p = g^{i \times t + j} \bmod p = b$

- $\Rightarrow g^{i \times t} \bmod p = b \times g^{-j} \bmod p$

- Algorithm

- Compute a table T1 with elements  $(i, g^{i \times t} \bmod p)$  for all the  $i < t$ ;
  - Compute a table T2 with elements  $(j, b \times g^{-j} \bmod p)$  for all the  $j < t$ ;
  - Compare T1 and T2, if  $g^{i \times t} \bmod p = b \times g^{-j} \bmod p$ ,  
we know that  $x = i \times t + j$

# Shank's baby-step giant-step algorithm

- Complexity:

$O(n^{0.5})$  computations,  $O(n^{0.5})$  memory



# Shank's baby-step giant-step algorithm

- Example: To find  $x$  satisfying  $2^x \bmod 19 = 15$   
( $x$  is a positive integer less than 19)

$$\begin{aligned} G &= \mathbb{Z}_{19}^* = \{1, 2, \dots, 18\} \\ g &= 2, g^{-1} = 10, n = p-1 = 18, \\ t &= 5, g^t \bmod 19 = 13, b = 15 \end{aligned}$$

$$\text{T1: } (i, g^{i \times t} \bmod 19) \quad \text{T2: } (j, b \times g^{-j} \bmod 19)$$

$$\begin{aligned} (0, 1) \\ (1, 13) \\ (\textcolor{red}{2}, \textcolor{blue}{17}) \\ (3, 12) \\ (4, 4) \end{aligned}$$

$$\begin{aligned} (0, 15) \\ (\textcolor{red}{1}, \textcolor{blue}{17}) \\ (2, 18) \\ (3, 9) \\ (4, 14) \end{aligned}$$

$$\begin{aligned} j &= 1 \\ i &= 2 \\ x &= i \times t + j = 11 \end{aligned}$$

# Pollard's rho algorithm for discrete logarithm

$$g^x \equiv b \pmod{p}$$

$$n=p-1$$

- Basic Idea: Birthday attack
  - Compute  $(g^u \bmod p)$  for  $n^{0.5}$  random values of  $u$
  - Compute  $(b^v \bmod p)$  for  $n^{0.5}$  random values of  $v$
  - Due to birthday paradox, we may find one pair  $(u,v)$  satisfying  $(g^u \bmod p) = (b^v \bmod p)$ 
    - It means that  $g^u \equiv g^{xv} \pmod{p}$  , i.e.,  $u \equiv xv \pmod{p-1}$   
 $\Rightarrow$  find  $x$  successfully
  - Complexity
    - $O(n^{0.5})$  computation,  $O(n^{0.5})$  memory

# Pollard's rho algorithm for discrete logarithm

$$g^x \equiv b \pmod{p}$$

$$n=p-1$$

- Pollard's rho algorithm\*

- Try to reduce the memory in birthday attack

- Idea:

- Define  $x_{i+1} = f(x_i) = g^{f_1(x_i)} b^{f_2(x_i)}$

- ("random" function  $f$  is chosen so that it is non-injective)

- Use tortoise and hare algorithm to find  $x_{i+1} = x_{2(i+1)}$ ,

- then find the period  $u$ , and the starting point of the cycle (denoted as  $x_a$ ).

- Then we know that  $x_a = x_{u+a}$ , i.e.,  $g^{f_1(x_{a-1})} b^{f_2(x_{a-1})} \equiv g^{f_1(x_{u+a-1})} b^{f_2(x_{u+a-1})} \pmod{p}$

- $\Rightarrow f_1(x_{a-1}) - f_1(x_{u+a-1}) \equiv \underline{x}(-f_2(x_{a-1}) + f_2(x_{u+a-1})) \pmod{p-1}$

# Pollard's rho algorithm for discrete logarithm\*

$$g^x \equiv b \pmod{p}$$
$$n=p-1$$

- Pollard's rho algorithm\*

- The function  $f$  is defined as follows:

Let  $G$  be a cyclic group of order  $n$ ,

partition  $G = G_0 \cup G_1 \cup G_2$ , where  $G_i$  are almost the same size

$$f(x_i) = \begin{cases} bx_i & x_i \in G_0 \\ x_i^2 & x_i \in G_1 \\ gx_i & x_i \in G_2 \end{cases}$$

# Pohlig-Hellman Algorithm

$$g^x \equiv b \pmod{p}$$
$$n=p-1$$

Basic idea:

Suppose that  $n = p - 1 = p_1 p_2 p_3 \cdots p_t$ ,

try to find  $x \bmod p_i$  first,

then find  $x$  using the Chinese Remainder Theorem

# Pohlig-Hellman Algorithm

$$g^x \equiv b \pmod{p}$$
$$n=p-1$$

Let  $x = u_i \cdot p_i + v_i$ , where  $v_i = x \bmod p_i$

$$g^x \equiv b \pmod{p}$$

$$(g^x)^{n/p_i} \equiv b^{n/p_i} \pmod{p}$$

$$(g^{u_i \cdot p_i + v_i})^{n/p_i} \equiv b^{n/p_i} \pmod{p}$$

$$(g^{v_i})^{n/p_i} \equiv b^{n/p_i} \pmod{p}$$

$$(g^{n/p_i})^{v_i} \equiv b^{n/p_i} \pmod{p} \quad (1)$$

Let  $g' = g^{n/p_i}$ ,  $b' = b^{n/p_i}$ , (1) becomes

$$(g')^{v_i} \equiv b' \pmod{p} \quad (2)$$

In (2),  $v_i$  can be found using baby-step giant-step algorithm (complexity  $O(\sqrt{p_i})$ )

**=> For high security,  $p-1$  should have a large factor**

# Pohlig-Hellman Algorithm

- In the previous slide, we assumed that each factor of  $p-1$  appears only once
- If  $p_i^{k+1}$  ( $k$  is a positive integer) is a factor of  $p-1$ , and if  $p_i^{k+1}$  is too large, a minor modification is needed.
  - 1)  $x = u_i \times p_i + v_i \bmod p_i$   
 $\Rightarrow$  find  $v_i$  using the method in the previous slide
  - 2)  $x = w_i \times p_i^2 + u_i \times p_i + v_i \bmod p_i$   
 $\Rightarrow$  find  $u_i$  from  $(g^x)^{n/p_i^2} \equiv b^{n/p_i^2} \pmod{p}$
  - 3) ....
  - 4) Eventually, we find the value of  $(x \bmod p_i^{k+1})$

# Pohlig-Hellman Algorithm

- Example: To find  $x$  satisfying  $2^x \bmod 29 = 18$   
( $x$  is a positive integer less than 29)

Solution:  $n = p - 1 = 28 = 4 \times 7$

1) Let  $x = u_1 \times 4 + v_1$ ;

$$(2^{u_1 \times 4 + v_1})^{28/4} \equiv 18^{28/4} \pmod{29}$$

$$2^{7 \times v_1} \equiv 18^7 \pmod{29}$$

$$12^{v_1} \equiv 17 \pmod{29}$$

$$v_1 = 3$$

(the value of  $v_1$  is small here, we try 1, 2, 3)



# Pohlig-Hellman Algorithm

2) Let  $x = u_2 \times 7 + v_2$ ;

$$(2^{u_2 \times 7 + v_2})^{28/7} \equiv 18^{28/7} \pmod{29}$$

$$2^{4 \times v_2} \equiv 18^4 \pmod{29}$$

$$16^{v_2} \equiv 25 \pmod{29}$$

$$v_2 = 4$$

(the value of  $v_2$  is small here, we try 1, 2, ..., 6;

you may also try the baby-step giant-step algorithm)

# Pohlig-Hellman Algorithm

$$\begin{aligned} 3) \quad & x \equiv 3 \pmod{4} ; \\ & x \equiv 4 \pmod{7} ; \end{aligned}$$

Apply the Chinese Remainder Theorem,

$$x \equiv 11 \pmod{28},$$

so we have  $x = 11$  .

# Index calculus algorithm $\begin{matrix} g^x \equiv b \pmod{p} \\ n = p-1 \end{matrix}$

- A powerful algorithm for solving **integer** discrete logarithm
  - Exploit the property of “smooth integers”

Precomputation :

Step 1. Determine a value  $B$  (details not given here)

Denote those prime numbers less than  $B$  as  $\{p_1, p_2, p_3, \dots, p_t\}$

Step 2. Find  $t$  different  $x_i$  so that each  $g^{x_i} \pmod{p}$  is  $B$ -smooth :

$$g^{x_i} \pmod{p} = p_1^{e_{i,1}} p_2^{e_{i,2}} p_3^{e_{i,3}} \cdots p_t^{e_{i,t}}$$

$$\text{i.e., } x_i \equiv e_{i,1} \log_g p_1 + e_{i,2} \log_g p_2 + \cdots + e_{i,t} \log_g p_t \pmod{p-1}$$

Step 3. Solve those  $t$  linear equations to determine the values of  $\log_g p_i$

To find the value of  $x = \log_g b$  :

Try different values of  $s$ , find an  $s$  so that  $g^s \cdot b \pmod{p}$  is  $B$ -smooth :

$$g^s \cdot b \pmod{p} = p_1^{f_1} p_2^{f_2} p_3^{f_3} \cdots p_t^{f_t},$$

$$\text{then } s + x \equiv f_1 \log_g p_1 + f_2 \log_g p_2 + \cdots + f_t \log_g p_t \pmod{p-1}$$

# Index calculus algorithm

- Example:

$$p = 10007, \quad g = 5, \quad 5^x \bmod p = 9451$$

Precomputation:

Choose  $B=7$ , then the prime numbers not larger than 7 are  $\{2, 3, 5, 7\}$ .  
We know that  $\log_5 5 = 1$ .

$$5^{4063} \bmod 10007 = 42 = 2 \times 3 \times 7$$

$$5^{5136} \bmod 10007 = 54 = 2 \times 3^3$$

$$5^{9865} \bmod 10007 = 189 = 3^3 \times 7$$

We obtain three equations:

$$\log_5 2 + \log_5 3 + \log_5 7 \equiv 4063 \pmod{10006}$$

$$\log_5 2 + 3 \log_5 3 \equiv 5136 \pmod{10006}$$

$$3 \log_5 3 + \log_5 7 \equiv 9865 \pmod{10006}$$

From these three equations, we obtain:

$$\log_5 2 = 6578, \quad \log_5 3 = 6190, \quad \log_5 7 = 1301$$

# Index calculus algorithm

- Example (cont.)

To find the value of  $x = \log_5 9451$

For an  $s = 7736$ ,

$$5^{7736} \times 9451 \bmod 10007 = 8400 = 2^4 \times 3^1 \times 5^2 \times 7^1$$

Thus

$$7736 + x \equiv (4\log_5 2 + \log_5 3 + 2\log_5 5 + \log_5 7) \pmod{10006}$$

$$x = 6057$$

# Discrete Logarithm Algorithms

- Complexity:

Shank's baby-step giant-step alg.:  $O(e^{0.5 \ln p})$

Pollard's Rho discrete logarithm alg.:  $O(e^{0.5 \ln p})$

Pohlig-Hellman alg.:  $< O(e^{0.5 \ln p})$

(depending on the factors of  $p-1$ )

Index calculus method:  $O(e^{(1+O(1))\sqrt{\ln p \ln \ln p}})$

- Because of the Index calculus method, the size of  $p$  is at least 2048-bit when ElGamal is used in applications

# Other Cyclic Groups

- Integer addition modulo  $p$  ( $Z_p^+$ )
  - Discrete log problem is easy:  $g \cdot x \equiv b \pmod{p}$
  - Cannot be used for ElGamal encryption
- Elliptic curve over finite field with the operation of addition
  - Discrete log problem is hard
  - The index calculus method cannot be applied
    - Small public key size is possible
  - Can be used to replace  $Z_p^*$  in ElGamal encryption

# Application of ElGamal Encryption

- Not as widely used as RSA encryption
  - The size of ciphertext of ElGamal encryption is large
    - twice that of  $p$
  - ElGamal encryption is used in the latest version of PGP
    - PGP (Pretty Good Privacy) is a software typically used for encrypting and signing emails
    - RSA is also recommended in PGP



# Summary

- ElGamal Encryption
  - Specification
  - Implementation
  - Security
    - Discrete logarithm algorithms
      - Shank's baby-step giant-step algorithm
      - Pollard's Rho algorithm
      - Pohlig-Hellig algorithm
        - »  $p-1$  should have a large prime factor
      - Index calculus algorithm
        - » Large  $p$ : 3072-bit  $p$  for 128-bit security
    - Do not re-use the per-message secret  $k$