

Windows Endpoint Security Audit & Hardening Assessment

Project Type: Endpoint Hardening / SOC Visibility Enhancement

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Objective

The objective of this assessment was to evaluate and strengthen the security posture and logging visibility of a Windows endpoint. The engagement focused on validating audit policy configuration, improving authentication and process monitoring, reviewing antivirus protection status, and confirming firewall protection to support SOC triage, incident detection, and forensic readiness.

Tools & Technologies

- Windows Command Prompt (Administrator)
 - auditpol (Windows Audit Policy Tool)
 - Windows Security Center
 - Windows Defender Firewall
 - Webroot SecureAnywhere Antivirus
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Technical Steps Performed

1. Audit Policy Baseline Review

- Executed auditpol /get /category:* to review the current audit configuration.
- Identified key audit categories relevant to SOC monitoring and incident detection.

2. Audit Policy Hardening

- Enabled critical audit subcategories for both Success and Failure:
 - Logon events
 - Account lockout events
 - Process creation events
- Executed targeted auditpol /set commands to ensure logging coverage for authentication and process activity.

3. Audit Policy Verification

- Re-ran auditpol /get /subcategory commands to confirm:
 - Audit policies were successfully applied
 - Required subcategories were actively logging events
- Verified that process creation auditing was enabled to support Event ID 4688 visibility.

4. Antivirus Protection Review

- Reviewed Windows Security Center to confirm endpoint protection status.
- Verified that Webroot SecureAnywhere was installed and actively managing antivirus protection.
- Confirmed no active threats were detected.

5. Firewall Protection Verification

- Reviewed firewall status to ensure inbound and outbound filtering were enabled.
- Confirmed firewall protection was active and functioning as expected.

Findings

Audit & Logging Findings

- Logon, account lockout, and process creation auditing are fully enabled for both success and failure events.
- Endpoint now generates critical security events required for SOC monitoring and forensic analysis.
- Privilege Use auditing is unavailable due to Windows Home Edition limitations.

Endpoint Protection Findings

- Webroot SecureAnywhere is actively protecting the system.
- Windows Defender real-time protection is disabled as expected when a third-party antivirus is active.
- No malware or suspicious activity was detected during review.

Firewall Findings

- Firewall protection is enabled and operational.
 - No misconfigurations or disabled protections were identified.
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Outcome

- Significantly improved endpoint security logging and audit visibility.
- Hardened authentication and process monitoring to support SOC triage and detection use cases.
- Confirmed active antivirus and firewall protections meeting baseline security requirements.
- Produced a documented endpoint hardening assessment aligned with SOC and incident response best practices.

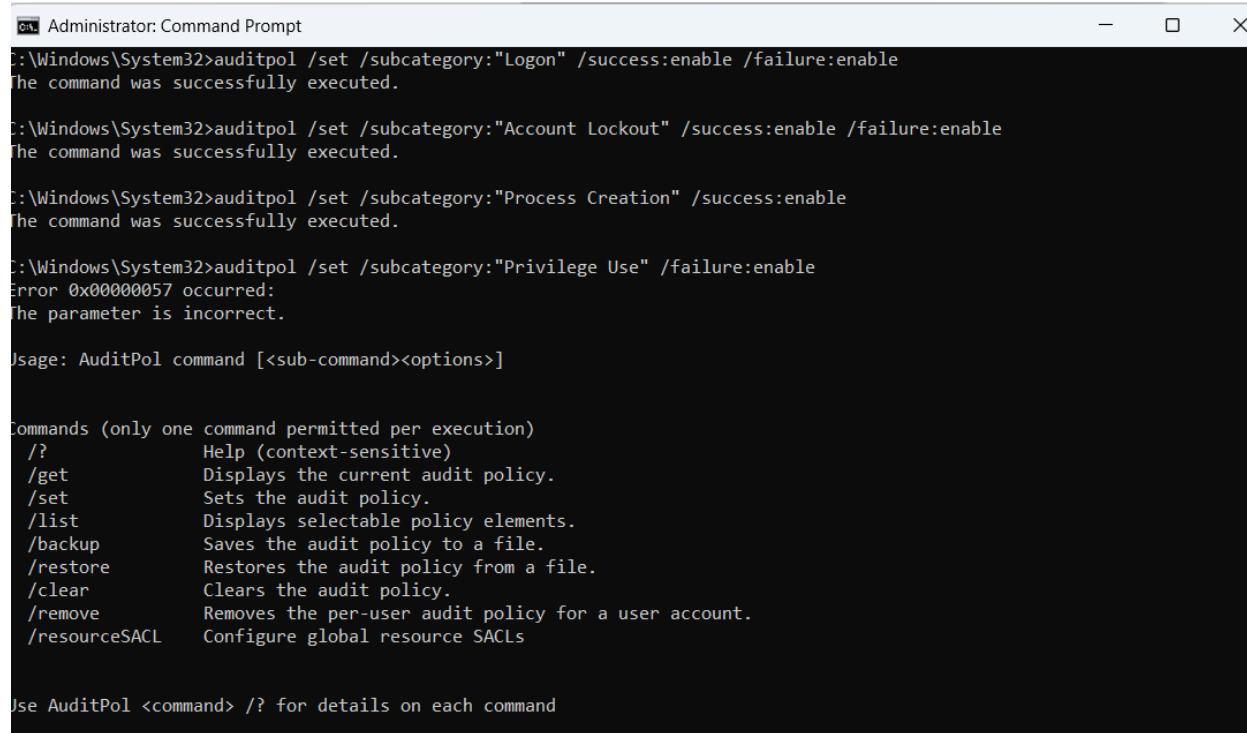
This project demonstrates hands-on experience with Windows endpoint hardening, audit policy configuration, and security control validation using enterprise-relevant tooling.

Portfolio Status

Project Status: Completed

Deliverable: Nikki_IT_Security_Consulting_Windows_Endpoint_Security_Audit.pdf

Evidence: auditpol configuration output, antivirus status, firewall protection confirmation



```
Administrator: Command Prompt
C:\Windows\System32>auditpol /set /subcategory:"Logon" /success:enable /failure:enable
The command was successfully executed.

C:\Windows\System32>auditpol /set /subcategory:"Account Lockout" /success:enable /failure:enable
The command was successfully executed.

C:\Windows\System32>auditpol /set /subcategory:"Process Creation" /success:enable
The command was successfully executed.

C:\Windows\System32>auditpol /set /subcategory:"Privilege Use" /failure:enable
Error 0x00000057 occurred:
The parameter is incorrect.

Usage: AuditPol command [<sub-command><options>]

Commands (only one command permitted per execution)
/?           Help (context-sensitive)
/get         Displays the current audit policy.
/set         Sets the audit policy.
/list         Displays selectable policy elements.
/backup       Saves the audit policy to a file.
/restore     Restores the audit policy from a file.
/clear        Clears the audit policy.
/remove      Removes the per-user audit policy for a user account.
/resourceSACL Configure global resource SACLs

Use AuditPol <command> /? for details on each command
```

```
C:\> Administrator: Command Prompt
Microsoft Windows [Version 10.0.26200.7309]
(c) Microsoft Corporation. All rights reserved.

C:\Windows\System32>auditpol /get /subcategory:"Logon"
System audit policy
Category/Subcategory      Setting
Logon/Logoff
    Logon                 Success and Failure

C:\Windows\System32>auditpol /get /subcategory:"Account Lockout"
System audit policy
Category/Subcategory      Setting
Logon/Logoff
    Account Lockout       Success and Failure

C:\Windows\System32>auditpol /get /subcategory:"Process Creation"
System audit policy
Category/Subcategory      Setting
Detailed Tracking
    Process Creation       Success and Failure

C:\Windows\System32>
```



Home

🛡️ Virus & threat protection

👤 Account protection

🌐 Firewall & network protection

🚫 App & browser control

🔒 Device security

heartbeat Device performance & health

🔗 Family options

⌚ Protection history

⚙️ Settings

🛡️ Virus & threat protection

Protection for your device against threats.

Have a question?

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Who's protecting me?

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Change your privacy settings

View and change privacy settings
for your Windows 11 Home device.

[Privacy settings](#)

[Privacy dashboard](#)

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Webroot SecureAnywhere

Webroot SecureAnywhere is turned on.

Current threats

✓ No actions needed.

Protection settings

✓ No actions needed.

Protection updates

✓ No actions needed.

[Open app](#)

🕒 Current threats

No current threats.

Last scan: 12/10/2025 5:03 PM (quick scan)

0 threats found.

Scan lasted 17 minutes 19 seconds

88805 files scanned.

[Quick scan](#)