

In-class exam*(solutions)*

This exam consists of five parts that can be solved in any order.

1 First-order data types

For the first two questions, consider the following data type of bit strings:

```
data Bits = BNil | B0 Bits | B1 Bits
```

We interpret bit strings as representing natural numbers in binary like so:

```
toInt :: Bits → Int
toInt BNil = 0
toInt (B0 x) = 2 * toInt x
toInt (B1 x) = 2 * toInt x + 1
```

Question 1.1. Write a function $inc :: Bits \rightarrow Bits$ that increments the bit string representing a number. It should satisfy the property that $toInt (inc bs) = 1 + toInt bs$.

Solution :

```
inc :: Bits → Bits
inc BNil = B1 BNil
inc (B0 x) = B1 x
inc (B1 x) = B0 (inc x)
```

We can verify by induction that $toInt (inc bs) = 1 + toInt bs$. Indeed the cases $bs = BNil$ and $bs = B0 x$ are immediate, while for the case $bs = B1 x$, we get

$$\begin{aligned} toInt (inc (B1 x)) &= toInt (B0 (inc x)) \\ &= 2 * toInt (inc x) \\ &= 2 * (1 + toInt x) \\ &= 2 + 2 * toInt x \\ &= 1 + toInt (B1 x) \end{aligned}$$

by unrolling the definitions of inc and $toInt$ and applying the IH and a bit of algebra. (Although the question did not ask us to prove this property of inc , proving it helps us ensure that our function is correct!) \square

Question 1.2. This representation contains redundancies, since many different values of type $Bits$ can represent the value 0. Write a function $nf :: Bits \rightarrow Bits$ that eliminates such redundancies by converting a bit string to *normal form*. It should satisfy the property that $toInt (nf x) = toInt x$, and that $toInt (nf x) = toInt (nf y)$ iff $nf x = nf y$.

Solution : Redundancies arise because $BNil$, $B0 BNil$, $B0 (B0 BNil)$, etc., all represent the number 0. To bring the bit string to normal form, we can recursively inspect and reconstruct it, making sure never to introduce a value of the form $B0 BNil$.

```

 $nf :: Bits \rightarrow Bits$ 
 $nf BNil = BNil$ 
 $nf (B0 n) = \text{case } nf\ n \text{ of}$ 
 $\quad BNil \rightarrow BNil$ 
 $\quad x \rightarrow B0\ x$ 
 $nf (B1\ n) = B1\ (nf\ n)$ 

```

Again we can easily verify by induction that $toInt\ (nf\ x) = toInt\ x$, and that $toInt\ (nf\ x) = 0$ just in case $nf\ x = BNil$, from which we can derive that $toInt \circ nf$ is injective. \square

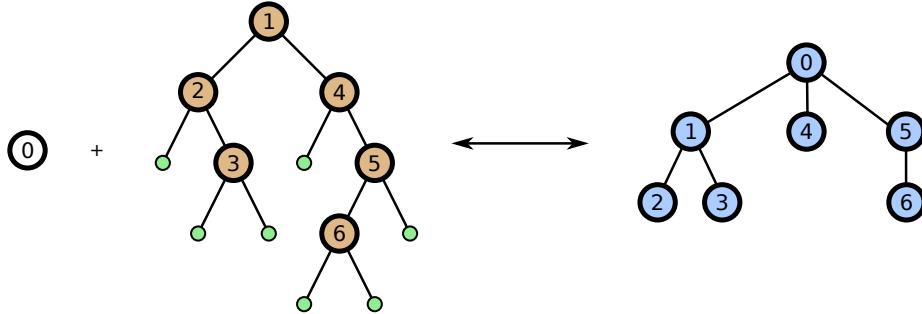
For the next question, consider the following data types of binary trees and of general (arbitrary branching) trees with labelled nodes:

```

data BinTree a = L | B a (BinTree a) (BinTree a)
data Tree a = Node a [Tree a]

```

The “left-child, right-sibling” encoding defines a correspondence between binary trees and general trees, by interpreting the left child of a binary node as a child and the right child as a sibling in the corresponding general tree. Formally, this interprets a binary tree as a *forest* of general trees, but one can turn a forest into a tree by adding a distinguished root label. We thus have a 1-1 correspondence between pairs (label, binary tree) and general trees:



Question 1.3. Write a pair of functions

```

toTree :: (a, BinTree a) → Tree a
fromTree :: Tree a → (a, BinTree a)

```

implementing the left-child, right-sibling correspondence, and prove that they define a type isomorphism $(a, BinTree a) \cong Tree a$.

Solution : We find it convenient to write *toTree* and *fromTree* in mutual recursion with a pair of functions

```

toForest :: BinTree a → [Tree a]
fromForest :: [Tree a] → BinTree a

```

converting between binary trees and forests:

```

toTree (x, t) = Node x (toForest t)
toForest L = []
toForest (B x t u) = toTree (x, t) : toForest u
fromTree (Node x ts) = (x, fromForest ts)
fromForest [] = L
fromForest (t : ts) = let (x, t') = fromTree t in B x t' (fromForest ts)

```

Likewise, to prove that $toTree$ and $fromTree$ define an isomorphism $(a, BinTree\ a) \cong Tree\ a$, we simultaneously prove by structural induction that $toForest$ and $fromForest$ define an isomorphism $BinTree\ a \cong [Tree\ a]$. The proof is a long but mechanical calculation:

$$\begin{aligned}
fromTree (toTree (x, t)) &= fromTree (Node x (toForest t)) \\
&= (x, fromTree (toForest t)) \\
&= (x, t) \\
fromForest (toForest L) &= fromForest [] \\
&= L \\
fromForest (toForest (B x t u)) &= fromForest (toTree (x, t) : toForest u) \\
&= \text{let } (x', t') = fromTree (toTree (x, t)) \text{ in } B\ x'\ t' (fromForest (toForest u)) \\
&= \text{let } (x', t') = (x, t) \text{ in } B\ x'\ t' u \\
&= B\ x\ t\ u \\
toTree (fromTree (Node x ts)) &= toTree (x, fromForest ts) \\
&= Node x (toForest (fromForest ts)) \\
&= Node x ts \\
toForest (fromForest []) &= toForest L \\
&= [] \\
toForest (fromForest (t : ts)) &= toForest (\text{let } (x, t') = fromTree t \text{ in } B\ x\ t' (fromForest ts)) \\
&= \text{let } (x, t') = fromTree t \text{ in } toForest (B\ x\ t' (fromForest ts)) \\
&= \text{let } (x, t') = fromTree t \text{ in } toTree (x, t') : toForest (fromForest ts) \\
&= toTree (fromTree t) : toForest (fromForest ts) \\
&= t : ts
\end{aligned}$$

□

2 Higher-order functions

Question 2.1. Implement the standard library function $maybe :: b \rightarrow (a \rightarrow b) \rightarrow Maybe\ a \rightarrow b$, which internalizes the principle of case-analysis on a value of $Maybe$ type.

Solution :

$$\begin{aligned}
maybe x f Nothing &= x \\
maybe x f (Just y) &= f y
\end{aligned}$$

□

Question 2.2. The standard library function $lookup :: Eq\ a \Rightarrow a \rightarrow [(a, b)] \rightarrow Maybe\ b$ finds the first value matching a given key in a list of (key, value) pairs. Express $lookup$ in terms of the function $find :: (c \rightarrow Bool) \rightarrow [c] \rightarrow Maybe\ c$, which finds the first value satisfying a predicate in a list, and $maybe$ from the previous question.

Solution :

$$lookup x = maybe Nothing (Just \circ snd) \circ find ((\equiv x) \circ fst)$$

□

Question 2.3. Express *find* in terms of *foldr*.

Solution :

$$\text{find } p = \text{foldr} (\lambda x mx \rightarrow \text{if } p x \text{ then } \text{Just } x \text{ else } mx) \text{ Nothing}$$

□

Question 2.4. Prove the *fusion law* for *foldr*, which states that if h is a function such that $h e = e'$ and $h (f x y) = f' x (h y)$, then $h \circ \text{foldr } f e = \text{foldr } f' e'$.

Solution : Recall the definition of *foldr*:

$$\begin{aligned} \text{foldr } f e [] &= e \\ \text{foldr } f e (x : xs) &= f x (\text{foldr } f e xs) \end{aligned}$$

We prove that $h (\text{foldr } f e xs) = \text{foldr } f' e' xs$ for all xs , by induction on xs :

- (Case $xs = []$): then $h (\text{foldr } f e []) = h e = e' = \text{foldr } f' e' []$.
- (Case $xs = x:ys$): then $h (\text{foldr } f e (x:ys)) = h (f x (\text{foldr } f e ys)) = f' x (h (\text{foldr } f e ys)) = f' x (\text{foldr } f' e' ys) = \text{foldr } f' e' (x : ys)$.

□

Question 2.5. Using your answers to the previous questions, *derive* an expression for *lookup* using only *foldr*. (For full credit, you must justify the expression using the fusion law.)

Solution : By Q2.2 and Q2.3, we have that $\text{lookup } x = h \circ \text{foldr } f e$ where

$$\begin{aligned} f &= \lambda kv mx \rightarrow \text{if } \text{fst } kv \equiv x \text{ then } \text{Just } kv \text{ else } mx \\ e &= \text{Nothing} \\ h &= \text{maybe Nothing } (\text{Just } \circ \text{snd}) \end{aligned}$$

We can calculate that

$$\begin{aligned} h (f kv mx) &= \text{if } \text{fst } kv \equiv x \text{ then } \text{Just } (\text{snd } kv) \text{ else } h mx \\ h e &= \text{Nothing} \end{aligned}$$

and hence we can conclude that

$$\text{lookup } x = \text{foldr} (\lambda kv mx \rightarrow \text{if } \text{fst } kv \equiv x \text{ then } \text{Just } (\text{snd } kv) \text{ else } mx) \text{ Nothing}$$

by the fusion law. □

3 λ -calculus and propositions-as-types

Question 3.1. For each of the Haskell functions below, state whether the function is typable, and if so give its most general type.

$$\begin{aligned} f1 &= \lambda x \rightarrow [x, x \circ x, x \circ x \circ x] \\ f2 &= \lambda x y \rightarrow (y, x + y) \\ f3 &= \lambda x y \rightarrow x + y \ x + y \end{aligned}$$

Solution :

$$f1 :: (a \rightarrow a) \rightarrow [a \rightarrow a]$$

We infer that the argument x of $f1$ must have type $a \rightarrow a$ since it is composed with itself, and we can verify that $[x, x \circ x, x \circ x \circ x]$ is a valid list of type $[a \rightarrow a]$.

$$f2 :: \text{Num } a \Rightarrow a \rightarrow a \rightarrow (a, a)$$

The arguments of $f2$ are added together so both must be numbers, and the function returns a pair of numbers.

$$f3 \text{ untypable}$$

The argument y of $f3$ must be both a number and a function from numbers to numbers, which is impossible. \square

Peirce's law is a propositional tautology stating that $((P \supset Q) \supset P) \supset P$. Although true classically, Peirce's law is not constructively valid, and there is no simply-typed lambda term whose principal type is $((p \rightarrow q) \rightarrow p) \rightarrow p$.

Question 3.2. Assume that you are given a polymorphic function $peirce :: ((p \rightarrow q) \rightarrow p) \rightarrow p$ in Haskell. Show how you could use it to define a polymorphic expression $lem :: \text{Either } p (p \rightarrow \text{Void})$ realizing the law of excluded middle. (Here Void is the data type with no constructors.)

Solution : Let's begin by describing a constructive proof in natural language. Assuming Peirce's law, we have in particular (by letting P be $P \vee \neg P$ and Q be \perp) that

$$(((P \vee \neg P) \supset \perp) \supset (P \vee \neg P)) \supset (P \vee \neg P) \quad (1)$$

and so to derive the law of excluded middle $P \vee \neg P$ it suffices to prove that

$$(P \vee \neg P) \supset \perp \supset (P \vee \neg P) \quad (2)$$

and apply modus ponens to (1) and (2). To show (2), we assume

$$(P \vee \neg P) \supset \perp \quad (3)$$

and derive $P \vee \neg P$, for which it suffices to show $\neg P$. So assume by way of contradiction that

$$P \quad (4)$$

is true. Then $P \vee \neg P$ is true. But that contradicts the hypothesis (3). QED.

The argument above translates directly to the following Haskell code, where hypothesis (3) corresponds to the variable f , and (4) to the variable x :

$$lem = peirce (\lambda f \rightarrow Right (\lambda x \rightarrow f (Left x)))$$

\square

4 Side-effects and monads

For the next few questions, consider the type

```
data Logged a = Logged a [String]
```

whose values consist of values of type a paired with a list of strings. We can think of values of type $\text{Logged } a$ as computations producing a sequence of string outputs on the way to a value.

Question 4.1. Show Logged is a functor, by defining $fmap :: (a \rightarrow b) \rightarrow \text{Logged } a \rightarrow \text{Logged } b$ and verifying that $fmap id = id$ and $fmap (f \circ g) = fmap f \circ fmap g$ for all f and g .

Solution :

$$fmap f (L x l) = L (f x) l$$

The functor laws are verified immediately. \square

Question 4.2. Turn Logged into a monad by defining

```
return :: a \rightarrow \text{Logged } a
(\gg) :: \text{Logged } a \rightarrow (a \rightarrow \text{Logged } b) \rightarrow \text{Logged } b
```

so that return creates a pure computation with an empty log, while (\gg) runs a logged computation and feeds the result to a log-producing continuation, concatenating the two logs. Then, prove that these definitions satisfy the three monad laws

$$(\text{return } x \gg f) = f x \quad (lx \gg \text{return}) = lx \quad (lx \gg f) \gg g = lx \gg (\lambda x \rightarrow f x \gg g)$$

for all $x :: a$, $lx :: \text{Logged } a$, $f :: a \rightarrow \text{Logged } b$, and $g :: b \rightarrow \text{Logged } c$.

Solution :

```
return x = \text{Logged } x []
Logged x l1 \gg f = \text{let } Logged y l2 = f x \text{ in } Logged y (l1 ++ l2)
```

We verify the monad laws:

$$\begin{aligned} \text{return } x \gg f &= L x [] \gg f \\ &= \text{let } Logged y l = f x \text{ in } Logged y ([] ++ l) \\ &= f x \\ (\text{Logged } x l1 \gg \text{return}) &= \text{let } Logged y l2 = \text{Logged } x [] \text{ in } Logged y (l1 ++ l2) \\ &= \text{Logged } x (l1 ++ []) \\ &= \text{Logged } x l1 \\ (\text{Logged } x l1 \gg f) \gg g &= (\text{let } Logged y l2 = f x \text{ in } Logged y (l1 ++ l2)) \gg g \\ &= \text{let } Logged y l2 = f x \text{ in } (Logged y (l1 ++ l2) \gg g) \\ &= \text{let } Logged y l2 = f x \text{ in let } Logged z l3 = g y \text{ in } Logged z ((l1 ++ l2) ++ l3) \\ &= \text{let } Logged y l2 = f x \text{ in let } Logged z l3 = g y \text{ in } Logged z (l1 ++ (l2 ++ l3)) \\ &= \text{Logged } x l1 \gg (\lambda x \rightarrow f x \gg g) \end{aligned}$$

\square

Question 4.3. Define a function $\log :: String \rightarrow Logged ()$ representing a computation that writes a single string to the log and returns a trivial value.

Solution :

$$\log s = L () [s]$$

□

Question 4.4. Consider the following data type of arithmetic expressions:

```
data Exp = Con Double | Add Exp Exp | Mul Exp Exp
```

Define a function $evalLogged :: Exp \rightarrow Logged Double$ that evaluates an expression to a number together with a log recording the order in which the subexpressions are evaluated.

Solution :

```
evalLogged e = do
    log ("evaluating: " ++ show e)
    case e of
        Con x → return x
        Add e1 e2 → do
            x1 ← evalLogged e1
            x2 ← evalLogged e2
            return (x1 + x2)
        Mul e1 e2 → do
            x1 ← evalLogged e1
            x2 ← evalLogged e2
            return (x1 * x2)
```

□

5 Laziness and infinite objects

The goal of this last section is to write some *seemingly impossible functional programs*.¹ The *Cantor space* 2^ω is a topological space whose elements are infinite sequences of binary digits. To formalize the Cantor space in Haskell, let's begin by recalling the (co)datatype *Stream a* of infinite sequences of *a*s:

```
data Stream a = Stream { hd :: a, tl :: Stream a }
```

We then define the Cantor space as the type of streams of bits:

```
data Bit = Zero | One deriving (Eq)
type Cantor = Stream Bit
```

Question 5.1. Define an operation

$$(\#) :: Bit \rightarrow Cantor \rightarrow Cantor$$

that prepends a bit to the beginning of an infinite sequence of bits.

¹ Adapted from Martín Escardó's guest article of the same name on the *Mathematics and Computation* blog (Escardó, September 2007).

Solution :

$$b \# bs = Stream \{ hd = b, tl = bs \}$$

□

To gain some more intuition, let's show that values of type *Cantor* may be interpreted as functions from natural numbers to bits, and vice versa. (For convenience, we'll write *Nat* as a type synonym for *Int*, but with the understanding that its values are restricted to non-negative integers.)

Question 5.2. Implement a pair of coercions $fromCantor :: Cantor \rightarrow (Nat \rightarrow Bit)$ and $toCantor :: (Nat \rightarrow Bit) \rightarrow Cantor$. Your functions should realize a type isomorphism $Cantor \cong Nat \rightarrow Bit$, although you do not need to prove this fact.

Solution :

$$\begin{aligned} & fromCantor s n \\ & | n \equiv 0 = hd s \\ & | n > 0 = fromCantor (tl s) (n - 1) \\ & toCantor f = Stream \{ hd = f 0, tl = toCantor (\lambda n \rightarrow f (n + 1)) \} \end{aligned}$$

□

Now, our goal will be to write a higher-order function

$$existsC :: (Cantor \rightarrow Bool) \rightarrow Bool$$

which takes a total predicate over the Cantor space, and decides if there is some infinite sequence of bits making the predicate true. By *total* predicate, we mean a function $Cantor \rightarrow Bool$ that terminates for all input values. For example,

$$good s = fromCantor s 3 \neq fromCantor s 4 \&\& fromCantor s 7 \equiv fromCantor s 15$$

is a total predicate (testing that bits 3 and 4 are distinct, and bits 7 and 15 are equal), but

$$bad s = hd s \equiv One \&\& bad (tl s)$$

is not total, since it will not terminate on an infinite sequence of *Ones*.

Question 5.3. Write *existsC* in mutual recursion with a function

$$findC :: (Cantor \rightarrow Bool) \rightarrow Cantor$$

so that they satisfy the following specifications:

- For any total predicate p , $existsC p$ should terminate and return *True* just in case there exists a sequence $bs :: Cantor$ such that $p\ bs = True$, or else return *False*.
- For any total predicate p , $findC p$ should terminate and return a sequence $bs :: Cantor$ such that $p\ bs = True$ if there exists such a sequence, or else return an arbitrary sequence.

*Hint: Put your faith in the specifications to define these functions by mutual recursion. Keep in mind that *findC* needs to return an infinite sequence of bits, so in particular it needs to determine the initial bit.*

Solution :

```
existsC p = p (findC p)
findC p = if existsC ( $\lambda bs \rightarrow p (Zero \# bs)$ ) then Zero # findC ( $\lambda bs \rightarrow p (Zero \# bs)$ )
          else One # findC ( $\lambda bs \rightarrow p (One \# bs)$ )
```

(For a deeper discussion of these definitions, see Escardó's article mentioned above.) \square

Question 5.4. Define an operation

$$equalC :: Eq a \Rightarrow (Cantor \rightarrow a) \rightarrow (Cantor \rightarrow a) \rightarrow Bool$$

that takes two total functions over the Cantor space into some type admitting decidable equality, and decides whether the functions are equal on all inputs. You can assume as given *existsC* and *findC* satisfying the specifications from the previous question.

Solution :

$$equalC f g = \text{not} (\exists c. f c \neq g c)$$

To check that two functions are equal, we test that they do not disagree on any input sequence. (Remarkably, *equalC f g* is well-defined and always terminates, even though it looks like there are infinitely many, infinitely long sequences to test!) \square