

[CSE301 / Lecture 2]

Higher-order functions and type classes

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What are is a higher-order function?

A function that takes one or more functions as input.

Main motivation: expressing the **common denominator** between a collection of first-order functions, thus promoting code reuse!

Learning tip: HO functions may be hard to grasp at first, but will eventually help in “seeing the forest for the trees”.

First example: abstracting case-analysis

Recall that given $f :: a \rightarrow c$ and $g :: b \rightarrow c$, we can define

$$h :: Either\ a\ b \rightarrow c$$

$$h(Left\ x) = f\ x$$

$$h(Right\ y) = g\ y$$

In other words, we can define h by case-analysis.

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In other words, we can define h by case-analysis. For example...

$asInt :: Either\ Bool\ Int \rightarrow Int$

$asInt(Left\ b) = \text{if } b \text{ then } 1 \text{ else } 0$

$asInt(Right\ n) = n$

$isBool :: Either\ Bool\ Int \rightarrow Bool$

$isBool(Left\ b) = True$

$isBool(Right\ n) = False$

First example: abstracting case-analysis

The Prelude defines a higher-order function that “internalizes” the principle of case-analysis over sum types:

either :: $(a \rightarrow c) \rightarrow (b \rightarrow c) \rightarrow \text{Either } a b \rightarrow c$

either f g (Left x) = *f x*

either f g (Right y) = *g y*

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$$\begin{aligned} \text{either} &:: (a \rightarrow c) \rightarrow (b \rightarrow c) \rightarrow \text{Either } a \ b \rightarrow c \\ \text{either } f \ g \ (\text{Left } x) &= f \ x \\ \text{either } f \ g \ (\text{Right } y) &= g \ y \end{aligned}$$

Now we can redefine *asInt* and *isBool* using *either* (and λ):

$$\begin{aligned} \text{asInt} &= \text{either } (\lambda b \rightarrow \text{if } b \text{ then } 1 \text{ else } 0) \ (\lambda n \rightarrow n) \\ \text{isBool} &= \text{either } (\lambda b \rightarrow \text{True}) \ (\lambda n \rightarrow \text{False}) \end{aligned}$$

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Whereas before we could spot that the two functions were instances of a simple common “design pattern”, now they are literally two applications of the same higher-order function.

First example: abstracting case-analysis

Here again:

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Observe we only partially applied *either*.

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Observe we only partially applied *either*.

Alternatively:

$$\begin{aligned} \text{asInt } v &= \text{either } (\lambda b \rightarrow \text{if } b \text{ then } 1 \text{ else } 0) (\lambda n \rightarrow n) \ v \\ \text{isBool } v &= \text{either } (\lambda b \rightarrow \text{True}) (\lambda n \rightarrow \text{False}) \ v \end{aligned}$$

but these two versions are completely equivalent.

(They are said to be “ η -equivalent”.)

First example: abstracting case-analysis

Recall arrow associates to the right by default:

$$\text{either} :: (a \rightarrow c) \rightarrow ((b \rightarrow c) \rightarrow (\text{Either } a b \rightarrow c))$$

The type of *either* looks a lot like

$$(A \supset C) \supset ([B \supset C] \supset [(A \vee B) \supset C])$$

which you can verify is a tautology. (This is a recurring theme!)

Second example: mapping over a list

Consider the following first-order functions on lists...

Second example: mapping over a list

(Add one to every element in a list of integers.)

$mapAddOne :: [Integer] \rightarrow [Integer]$

$mapAddOne [] = []$

$mapAddOne (x : xs) = (1 + x) : mapAddOne xs$

Example: $mapAddOne [1 .. 5] = [2, 3, 4, 5, 6]$

Second example: mapping over a list

(Square every element in a list of integers.)

$\text{mapSquare} :: [\text{Integer}] \rightarrow [\text{Integer}]$

$\text{mapSquare} [] = []$

$\text{mapSquare} (x : xs) = (x * x) : \text{mapSquare} xs$

Example: $\text{mapSquare} [1..5] = [1, 4, 9, 16, 25]$

Second example: mapping over a list

(Compute the length of each list in a list of lists.)

$\text{mapLength} :: [[a]] \rightarrow [\text{Int}]$

$\text{mapLength} [] = []$

$\text{mapLength} (x : xs) = \text{length } x : \text{mapLength } xs$

Example: $\text{mapLength} ["\text{hello}", "world!"] = [5, 6]$

Second example: mapping over a list

GCD = “apply some transformation to every element of a list”

We can internalize this as a higher-order function:

$map :: (a \rightarrow b) \rightarrow [a] \rightarrow [b]$

$map f [] = []$

$map f (x : xs) = (f x) : map f xs$

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We can internalize this as a higher-order function:

$$\begin{aligned} \text{map} &:: (a \rightarrow b) \rightarrow [a] \rightarrow [b] \\ \text{map } f \text{ } [] &= [] \\ \text{map } f \text{ } (x : xs) &= (f x) : \text{map } f \text{ } xs \end{aligned}$$

For example:

$$\begin{aligned} \text{mapAddOne} &= \text{map } (1+) \\ \text{mapSquare} &= \text{map } (\lambda n \rightarrow n * n) \\ \text{mapLength} &= \text{map } \text{length} \end{aligned}$$

Some useful functions on functions

The “currying” and “uncurrying” principles:

$$\text{curry} :: ((a, b) \rightarrow c) \rightarrow (a \rightarrow b \rightarrow c)$$

$$\text{curry } f \ x \ y = f(x, y)$$

$$\text{uncurry} :: (a \rightarrow b \rightarrow c) \rightarrow ((a, b) \rightarrow c)$$

$$\text{uncurry } g (x, y) = g \ x \ y$$

Or equivalently:

$$\text{curry } f = \lambda x \rightarrow \lambda y \rightarrow f(x, y)$$

$$\text{uncurry } g = \lambda (x, y) \rightarrow g \ x \ y$$

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Example: $\text{map} (\text{uncurry} (+)) [(0, 1), (2, 3), (4, 5)] = [1, 5, 9]$

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Logically: $(A \wedge B) \supset C \iff A \supset (B \supset C)$.

Some useful functions on functions

The principle of sequential composition:

$$\begin{aligned}(\circ) :: (b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow (a \rightarrow c) \\(g \circ f) x = g(f x)\end{aligned}$$

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Example: $\text{map } ((+1) \circ (*2)) [0..4] = [1, 3, 5, 7, 9]$

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Example: $map ((+1) \circ (*2)) [0..4] = [1, 3, 5, 7, 9]$

Logically: transitivity of implication.

Some useful functions on functions

The principle of exchange:

$$\begin{aligned} \textit{flip} &:: (a \rightarrow b \rightarrow c) \rightarrow (b \rightarrow a \rightarrow c) \\ \textit{flip } f \ x \ y &= f \ y \ x \end{aligned}$$

The principle of weakening:

$$\begin{aligned} \textit{const} &:: b \rightarrow (a \rightarrow b) \\ \textit{const } x \ y &= x \end{aligned}$$

The principle of contraction:

$$\begin{aligned} \textit{dupl} &:: (a \rightarrow a \rightarrow b) \rightarrow (a \rightarrow b) \\ \textit{dupl } f \ x &= f \ x \ x \end{aligned}$$

More higher-order functions on lists

The Haskell Prelude and Standard Library define a number of HO functions that capture common ways of manipulating lists...

More higher-order functions on lists

```
filter :: (a → Bool) → [a] → [a]
filter p [] = []
filter p (x : xs)
| p x = x : filter p xs
| otherwise = filter p xs
```

Examples:

```
> filter (>3) [1..5]
[4,5]
> filter Data.Char.isUpper "Glasgow Haskell Compiler"
" GHC"
```

More higher-order functions on lists

$all, any :: (a \rightarrow Bool) \rightarrow [a] \rightarrow Bool$

$all\ p\ [] = True$

$all\ p\ (x : xs) = p\ x \ \&\& \ all\ p\ xs$

$any\ p\ [] = False$

$any\ p\ (x : xs) = p\ x \ || \ any\ p\ xs$

Examples: $all\ (>3)\ [1..5] = False$, $any\ (>3)\ [1..5] = True$.

More higher-order functions on lists

takeWhile, dropWhile :: (a → Bool) → [a] → [a]

takeWhile p [] = []

takeWhile p (x : xs)

 | *p x* = *x : takeWhile p xs*

 | *otherwise* = *[]*

dropWhile p [] = []

dropWhile p (x : xs)

 | *p x* = *dropWhile p xs*

 | *otherwise* = *x : xs*

Examples: *takeWhile (>3) [1..5] = []*,

takeWhile (<3) [1..5] = [1, 2],

dropWhile (<3) [1..5] = [3, 4, 5].

More higher-order functions on lists

$\text{concatMap} :: (a \rightarrow [b]) \rightarrow [a] \rightarrow [b]$

$\text{concatMap } f [] = []$

$\text{concatMap } f (x : xs) = f x ++ \text{concatMap } f xs$

Examples:

> $\text{concatMap } (\lambda x \rightarrow [x]) [1..5]$

[1, 2, 3, 4, 5]

> $\text{concatMap } (\lambda x \rightarrow \text{if } x \text{ 'mod' } 2 == 1 \text{ then } [x] \text{ else } []) [1..5]$

[1, 3, 5]

> $\text{concatMap } (\lambda x \rightarrow \text{concatMap } (\lambda y \rightarrow [x, y]) [1..3]) [1..3]$

[1, 1, 1, 2, 1, 3, 2, 1, 2, 2, 2, 3, 3, 1, 3, 2, 3, 3]

Note $\text{concatMap } f = \text{concat} \circ \text{map } f$.

foldr: the Swiss army knife of list functions

Remarkably, all of the preceding higher-order list functions, and many other functions besides, can be defined as instances of a single higher-order function!

foldr: the Swiss army knife of list functions

Suppose want to write a function $[a] \rightarrow b$ *inductively* over lists.

We provide a “base case” $v :: b$.

We provide an “inductive step” $f :: a \rightarrow b \rightarrow b$.

Putting these together, we get a recursive definition:

$$h :: [a] \rightarrow b$$

$$h [] = v$$

$$h (x :: xs) = f x (h xs)$$

foldr: the Swiss army knife of list functions

Since this schema is completely generic in the “base case” and the “inductive step”, we can internalize it as a higher-order function:

$$\text{foldr} :: (a \rightarrow b \rightarrow b) \rightarrow b \rightarrow [a] \rightarrow b$$
$$\text{foldr } f \ v [] = v$$
$$\text{foldr } f \ v (x : xs) = f \ x \ (\text{foldr } f \ v xs)$$

foldr: the Swiss army knife of list functions

Since this schema is completely generic in the “base case” and the “inductive step”, we can internalize it as a higher-order function:

foldr :: $(a \rightarrow b \rightarrow b) \rightarrow b \rightarrow [a] \rightarrow b$

foldr f v [] = v

foldr f v (x : xs) = f x (foldr f v xs)

Here are some examples:

filter p = foldr (\x xs → if p x then x : xs else xs) []

all p = foldr (\x b → p x && b) True

takeWhile p = foldr (\x xs → if p x then x : xs else []) []

concatMap f = foldr (\x ys → f x ++ ys) []

And let's look at some more...

foldr: the Swiss army knife of list functions

sum :: Num a ⇒ [a] → a

sum [] = 0

sum (x : xs) = x + sum xs

may be summarized as:

sum = foldr (+) 0

foldr: the Swiss army knife of list functions

product :: Num a ⇒ [a] → a

product [] = 1

*product (x : xs) = x * product xs*

may be summarized as:

product = foldr () 1*

foldr: the Swiss army knife of list functions

length :: [a] → Int

length [] = 0

length (x : xs) = 1 + length xs

may be summarized as:

$\text{length} = \text{foldr} (\lambda x n \rightarrow 1 + n) 0 = \text{foldr} (\text{const} (1+)) 0$

foldr: the Swiss army knife of list functions

concat :: [[a]] → [a]

concat [] = []

concat (xs : xss) = xs ++ concat xss

may be summarized as:

concat = foldr (++) []

foldr: the Swiss army knife of list functions

copy :: [a] → [a]

copy [] = []

copy (x : xs) = x : *copy* xs

may be summarized as:

copy = *foldr* (:) []

foldr: the Swiss army knife of list functions

(a somewhat more subtle example:)

$$(++) :: [a] \rightarrow [a] \rightarrow [a]$$

$$[] ++ ys = ys$$

$$(x : xs) ++ ys = x : (xs ++ ys)$$

may be summarized as:

$$(++) = foldr (\ x\ g \rightarrow (x:) \circ g) id$$

Aside: folding from the left

```
foldr (+) 0 [1,2,3,4,5]
= 1 + foldr (+) 0 [2,3,4,5]
= 1 + (2 + foldr (+) 0 [3,4,5])
= 1 + (2 + (3 + foldr (+) 0 [4,5])
= 1 + (2 + (3 + (4 + foldr (+) 0 [5])
= 1 + (2 + (3 + (4 + (5 + foldr (+) 0 [])))))
= 1 + (2 + (3 + (4 + (5 + 0))))))
= 1 + (2 + (3 + (4 + 5)))
= 1 + (2 + (3 + 9))
= 1 + (2 + 12)
= 1 + 14
= 15
```

Observe that additions are performed **right-to-left**.

Aside: folding from the left

Sometimes we want to go left-to-right:

$$\text{foldl} :: (b \rightarrow a \rightarrow b) \rightarrow b \rightarrow [a] \rightarrow b$$

$$\text{foldl } f \ v [] = v$$

$$\text{foldl } f \ v (x : xs) = \text{foldl } f (f \ v x) xs$$

Example:

$$\begin{aligned} & \text{foldl (+) 0 [1, 2, 3, 4, 5]} \\ &= \text{foldl (+) 1 [2, 3, 4, 5]} \\ &= \text{foldl (+) 3 [3, 4, 5]} \\ &= \text{foldl (+) 6 [4, 5]} \\ &= \text{foldl (+) 10 [5]} \\ &= \text{foldl (+) 15 []} \\ &= 15 \end{aligned}$$

(Q: does this remind you of something from Lecture 1?)

Higher-order functions over trees

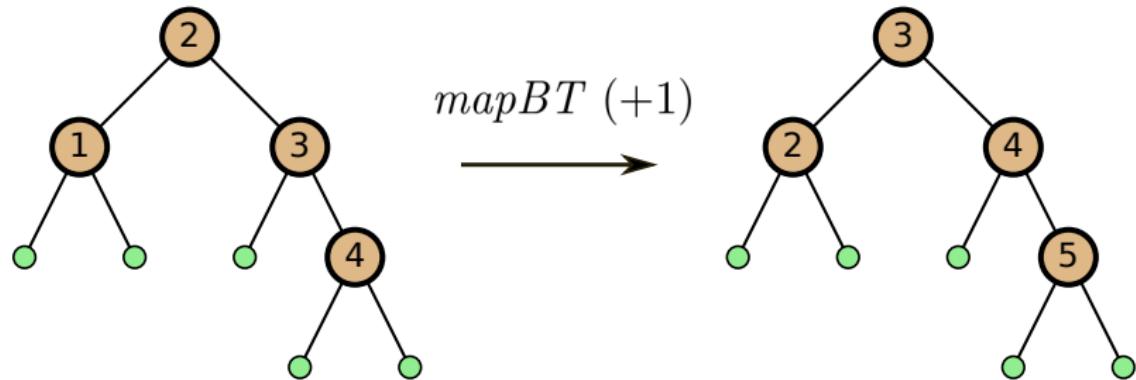
Recall our data type of binary trees with labelled nodes:

```
data BinTree a = Leaf | Node a (BinTree a) (BinTree a)
    deriving (Show, Eq)
```

It supports a natural analogue of the *map* function on lists:

$$\begin{aligned} mapBT :: (a \rightarrow b) \rightarrow BinTree\ a \rightarrow BinTree\ b \\ mapBT\ f\ Leaf = Leaf \\ mapBT\ f\ (Node\ x\ tL\ tR) = Node\ (f\ x) \\ \quad (mapBT\ f\ tL)\ (mapBT\ f\ tR) \end{aligned}$$

Higher-order functions over trees



Higher-order functions over trees

It also supports a natural analogue of *foldr*:

$$\begin{aligned} foldBT :: b \rightarrow (a \rightarrow b \rightarrow b \rightarrow b) \rightarrow BinTree\ a \rightarrow b \\ foldBT\ v\ f\ Leaf = v \\ foldBT\ v\ f\ (Node\ x\ tL\ tR) = \\ f\ x\ (foldBT\ v\ f\ tL)\ (foldBT\ v\ f\ tR) \end{aligned}$$

For example:

$$\begin{aligned} nodes &= foldBT\ 0\ (\lambda x\ m\ n \rightarrow 1 + m + n) \\ leaves &= foldBT\ 1\ (\lambda x\ m\ n \rightarrow m + n) \\ height &= foldBT\ 0\ (\lambda x\ m\ n \rightarrow 1 + max\ m\ n) \\ mirror &= foldBT\ Leaf\ (\lambda x\ tL'\ tR' \rightarrow Node\ x\ tR'\ tL') \end{aligned}$$

Type classes: what are they?

By now we've seen several examples of polymorphic functions with type class constraints, e.g.:

sort :: Ord a ⇒ [a] → [a]

lookup :: Eq a ⇒ a → [(a, b)] → Maybe b

sum, product :: Num a ⇒ [a] → a

Intuitively, these constraints express minimal requirements on the otherwise generic type *a* needed to define these functions.

Type classes: what are they?

Formally, a type class is defined by specifying the type signatures of operations, possibly together with default implementations of some operations in terms of others. For example:

```
class Eq a where
  (==), (/=) :: a → a → Bool
  x /= y = not (x == y)
  x == y = not (x /= y)
```

Type class instances

We show the constraint is satisfied by providing an *instance*:

```
instance Eq Bool where  
  x == y = if x then y else not y
```

Sometimes need hereditary constraints to define instances:

```
instance Eq a => Eq [a] where  
  [] == [] = True  
  (x : xs) == (y : ys) = x == y && xs == ys  
  _ == _ = False
```

Class hierarchy

Possible for one type class to inherit from another, e.g.:¹

```
class Eq a ⇒ Ord a where
    compare :: a → a → Ordering
    (<), (≤), (>) , (≥) :: a → a → Bool
    max, min :: a → a → a

    compare x y = if x == y then EQ
        else if x <= y then LT
        else GT

    x < y = case compare x y of { LT → True; _ → False }
    x ≤ y = case compare x y of { GT → False; _ → True }
    x > y = case compare x y of { GT → True; _ → False }
    x ≥ y = case compare x y of { LT → False; _ → True }

    max x y = if x <= y then y else x
    min x y = if x <= y then x else y
```

¹This looks complicated, but basically you only need to implement (\leq) to define an *Ord* instance, assuming you already have *Eq*.

Laws

It is often implicit that operations should obey certain laws.

For example, `(==)` should be reflexive, symmetric, and transitive.

Similarly, `(<=)` should be a total ordering.

These expectations may be described in the documentation of a type class, but are not enforced by the Haskell language.²

²Although they can be enforced in dependently typed languages!

Type classes from higher-order functions

Type classes are a cool feature of Haskell, but in a certain sense they may be seen as “just” a convenient mechanism for defining higher-order functions, since a constraint may always be replaced by the types of the operations in (a minimal definition of) the corresponding type class...

Type classes from higher-order functions

Replace $\text{sort} :: \text{Ord } a \Rightarrow [a] \rightarrow [a]$ by

$$\text{sortHO} :: (a \rightarrow a \rightarrow \text{Bool}) \rightarrow [a] \rightarrow [a]$$

Replace $\text{lookup} :: \text{Eq } a \Rightarrow a \rightarrow [(a, b)] \rightarrow \text{Maybe } b$ by

$$\text{lookupHO} :: (a \rightarrow a \rightarrow \text{Bool}) \rightarrow a \rightarrow [(a, b)] \rightarrow \text{Maybe } b$$

and so on.

Whenever we would call a function with constraints, we instead call a HO function while providing one or more extra arguments...

Type classes from higher-order functions

Example:

```
lookupHO :: (a → a → Bool) → a → [(a, b)] → Maybe b
lookupHO eq k [] = Nothing
lookupHO eq k ((k', v) : kvs)
| eq k k'    = Just v
| otherwise = lookupHO eq k kvs
```

Automatic type class resolution

Drawback of this translation: every call to a function with constraints has to pass potentially many extra arguments!

Type classes are useful because these “semantically implicit” arguments are automatically inferred by the type checker.

```
> import Data.List  
> sort [3,1,4,1,5,9]  
[1,1,3,4,5,9]  
> sort ["my", "dog", "has", "fleas"]  
["dog", "fleas", "has", "my"]
```

Unfortunately, it is only possible to define a single instance of a type class for a given type, although we can get around this with the **newtype** mechanism...

newtype

Behaves similarly to a **data** definition but only allowed to have a single constructor with a single argument. The purpose is to introduce an *isomorphic copy* of another type.

newtype *Sum a* = *Sum a*

newtype *Product a* = *Product a*

instance *Num a* \Rightarrow *Monoid (Sum a)* **where**

mempty = *Sum 0*

mappend (Sum x) (Sum y) = *Sum (x + y)*

instance *Num a* \Rightarrow *Monoid (Product a)* **where**

mempty = *Product 1*

mappend (Product x) (Product y) = *Product (x * y)*