

[CSE301 / Lecture 2]
Higher-order functions and type classes

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10 September 2025

What are is a higher-order function?

A function that takes one or more functions as input.

Main motivation: expressing the **common denominator** between a collection of first-order functions, thus promoting code reuse!

Learning tip: HO functions may be hard to grasp at first, but will eventually help in “seeing the forest for the trees”.

First example: abstracting case-analysis

Recall that given $f :: a \rightarrow c$ and $g :: b \rightarrow c$, we can define

$$h :: \text{Either } a \ b \rightarrow c$$
$$h \ (\text{Left } x) = f \ x$$
$$h \ (\text{Right } y) = g \ y$$

In other words, we can define h by case-analysis.

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In other words, we can define h by case-analysis. For example...

$asInt :: \text{Either } \text{Bool } \text{Int} \rightarrow \text{Int}$

$asInt (\text{Left } b) = \text{if } b \text{ then } 1 \text{ else } 0$

$asInt (\text{Right } n) = n$

$isBool :: \text{Either } \text{Bool } \text{Int} \rightarrow \text{Bool}$

$isBool (\text{Left } b) = \text{True}$

$isBool (\text{Right } n) = \text{False}$

First example: abstracting case-analysis

The Prelude defines a higher-order function that “internalizes” the principle of case-analysis over sum types:

```
either :: (a → c) → (b → c) → Either a b → c  
either f g (Left x) = f x  
either f g (Right y) = g y
```

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```
asInt = either (\b → if b then 1 else 0) (\n → n)  
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Whereas before we could spot that the two functions were instances of a simple common “design pattern”, now they are literally two applications of the same higher-order function.

First example: abstracting case-analysis

Here again:

$$\begin{aligned} asInt &= either (\backslash b \rightarrow \mathbf{if\ } b \mathbf{\ then\ } 1 \mathbf{\ else\ } 0) (\backslash n \rightarrow n) \\ isBool &= either (\backslash b \rightarrow True) (\backslash n \rightarrow False) \end{aligned}$$

Observe we only partially applied *either*.

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Observe we only partially applied *either*.

Alternatively:

$$\begin{aligned} asInt\ v &= either (\backslash b \rightarrow \mathbf{if\ } b \mathbf{\ then\ } 1 \mathbf{\ else\ } 0) (\backslash n \rightarrow n)\ v \\ isBool\ v &= either (\backslash b \rightarrow True) (\backslash n \rightarrow False)\ v \end{aligned}$$

but these two versions are completely equivalent.

(They are said to be “ η -equivalent”.)

First example: abstracting case-analysis

Recall arrow associates to the right by default:

$$\text{either} :: (a \rightarrow c) \rightarrow ((b \rightarrow c) \rightarrow (\text{Either } a \ b \rightarrow c))$$

The type of *either* looks a lot like

$$(A \supset C) \supset ([B \supset C] \supset [(A \vee B) \supset C])$$

which you can verify is a tautology. (This is a recurring theme!)

Second example: mapping over a list

Consider the following first-order functions on lists...

Second example: mapping over a list

(Add one to every element in a list of integers.)

$mapAddOne :: [Integer] \rightarrow [Integer]$

$mapAddOne [] = []$

$mapAddOne (x : xs) = (1 + x) : mapAddOne xs$

Example: $mapAddOne [1..5] = [2, 3, 4, 5, 6]$

Second example: mapping over a list

(Square every element in a list of integers.)

$$\text{mapSquare} :: [\text{Integer}] \rightarrow [\text{Integer}]$$
$$\text{mapSquare } [] = []$$
$$\text{mapSquare } (x : xs) = (x * x) : \text{mapSquare } xs$$

Example: $\text{mapSquare } [1..5] = [1, 4, 9, 16, 25]$

Second example: mapping over a list

(Compute the length of each list in a list of lists.)

$$\text{mapLength} :: [[a]] \rightarrow [Int]$$
$$\text{mapLength} [] = []$$
$$\text{mapLength} (x : xs) = \text{length } x : \text{mapLength } xs$$

Example: $\text{mapLength} ["hello", "world!"] = [5, 6]$

Second example: mapping over a list

GCD = “apply some transformation to every element of a list”

We can internalize this as a higher-order function:

$$\begin{aligned} \text{map} &:: (a \rightarrow b) \rightarrow [a] \rightarrow [b] \\ \text{map } f \ [] &= [] \\ \text{map } f \ (x : xs) &= (f \ x) : \text{map } f \ xs \end{aligned}$$

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For example:

$$\begin{aligned} \text{mapAddOne} &= \text{map } (1+) \\ \text{mapSquare} &= \text{map } (\backslash n \rightarrow n * n) \\ \text{mapLength} &= \text{map } \text{length} \end{aligned}$$

Some useful functions on functions

The “currying” and “uncurrying” principles:

$$\text{curry} :: ((a, b) \rightarrow c) \rightarrow (a \rightarrow b \rightarrow c)$$

$$\text{curry } f \ x \ y = f \ (x, y)$$

$$\text{uncurry} :: (a \rightarrow b \rightarrow c) \rightarrow ((a, b) \rightarrow c)$$

$$\text{uncurry } g \ (x, y) = g \ x \ y$$

Or equivalently:

$$\text{curry } f = \backslash x \rightarrow \backslash y \rightarrow f \ (x, y)$$

$$\text{uncurry } g = \backslash (x, y) \rightarrow g \ x \ y$$

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Example: $\text{map } (\text{uncurry } (+)) \ [(0, 1), (2, 3), (4, 5)] = [1, 5, 9]$

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Example: $\text{map } (\text{uncurry } (+)) \ [(0, 1), (2, 3), (4, 5)] = [1, 5, 9]$

Logically: $(A \wedge B) \supset C \iff A \supset (B \supset C)$.

Some useful functions on functions

The principle of sequential composition:

$$\begin{aligned}(\circ) &:: (b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow (a \rightarrow c) \\ (g \circ f) \ x &= g \ (f \ x)\end{aligned}$$

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Example: $\text{map } ((+1) \circ (*2)) [0..4] = [1, 3, 5, 7, 9]$

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Example: $\text{map } ((+1) \circ (*2)) [0..4] = [1, 3, 5, 7, 9]$

Logically: transitivity of implication.

Some useful functions on functions

The principle of exchange:

$$\begin{aligned} flip &:: (a \rightarrow b \rightarrow c) \rightarrow (b \rightarrow a \rightarrow c) \\ flip\ f\ x\ y &= f\ y\ x \end{aligned}$$

The principle of weakening:

$$\begin{aligned} const &:: b \rightarrow (a \rightarrow b) \\ const\ x\ y &= x \end{aligned}$$

The principle of contraction:

$$\begin{aligned} dupl &:: (a \rightarrow a \rightarrow b) \rightarrow (a \rightarrow b) \\ dupl\ f\ x &= f\ x\ x \end{aligned}$$

More higher-order functions on lists

The Haskell Prelude and Standard Library define a number of HO functions that capture common ways of manipulating lists...

More higher-order functions on lists

filter :: (a → Bool) → [a] → [a]

filter p [] = []

filter p (x : xs)

 | p x = x : *filter* p xs

 | otherwise = *filter* p xs

Examples:

> *filter* (>3) [1..5]

[4,5]

> *filter* Data.Char.isUpper "Glasgow Haskell Compiler"
"GHC"

More higher-order functions on lists

$all, any :: (a \rightarrow Bool) \rightarrow [a] \rightarrow Bool$

$all\ p\ [] = True$

$all\ p\ (x : xs) = p\ x \ \&\&\ all\ p\ xs$

$any\ p\ [] = False$

$any\ p\ (x : xs) = p\ x \ ||\ any\ p\ xs$

Examples: $all\ (>3)\ [1..5] = False$, $any\ (>3)\ [1..5] = True$.

More higher-order functions on lists

$takeWhile, dropWhile :: (a \rightarrow Bool) \rightarrow [a] \rightarrow [a]$

$takeWhile\ p\ [] = []$

$takeWhile\ p\ (x : xs)$

| $p\ x \quad \quad = x : takeWhile\ p\ xs$

| $otherwise = []$

$dropWhile\ p\ [] = []$

$dropWhile\ p\ (x : xs)$

| $p\ x \quad \quad = dropWhile\ p\ xs$

| $otherwise = x : xs$

Examples: $takeWhile\ (>3)\ [1..5] = []$,

$takeWhile\ (<3)\ [1..5] = [1, 2]$,

$dropWhile\ (<3)\ [1..5] = [3, 4, 5]$.

More higher-order functions on lists

```
concatMap :: (a → [b]) → [a] → [b]
concatMap f [] = []
concatMap f (x : xs) = f x ++ concatMap f xs
```

Examples:

```
> concatMap (\x → [x]) [1..5]
[1,2,3,4,5]
> concatMap (\x → if x `mod` 2 == 1 then [x] else []) [1..5]
[1,3,5]
> concatMap (\x → concatMap (\y → [x,y]) [1..3]) [1..3]
[1,1,1,2,1,3,2,1,2,2,2,3,3,1,3,2,3,3]
```

Note $\text{concatMap } f = \text{concat} \circ \text{map } f$.

foldr: the **Swiss army knife** of list functions

Remarkably, all of the preceding higher-order list functions, and many other functions besides, can be defined as instances of a single higher-order function!

foldr: the **Swiss army knife** of list functions

Suppose want to write a function $[a] \rightarrow b$ *inductively* over lists.

We provide a “base case” $v :: b$.

We provide an “inductive step” $f :: a \rightarrow b \rightarrow b$.

Putting these together, we get a recursive definition:

$$h :: [a] \rightarrow b$$

$$h [] = v$$

$$h (x :: xs) = f x (h xs)$$

foldr: the **Swiss army knife** of list functions

Since this schema is completely generic in the “base case” and the “inductive step”, we can internalize it as a higher-order function:

$$\textit{foldr} :: (a \rightarrow b \rightarrow b) \rightarrow b \rightarrow [a] \rightarrow b$$

$$\textit{foldr} \ f \ v \ [] = v$$

$$\textit{foldr} \ f \ v \ (x : xs) = f \ x \ (\textit{foldr} \ f \ v \ xs)$$

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$$\textit{foldr} \ f \ v \ (x : xs) = f \ x \ (\textit{foldr} \ f \ v \ xs)$$

Here are some examples:

$$\textit{filter} \ p = \textit{foldr} \ (\backslash x \ xs \rightarrow \textbf{if } p \ x \textbf{ then } x : xs \textbf{ else } xs) \ []$$
$$\textit{all} \ p = \textit{foldr} \ (\backslash x \ b \rightarrow p \ x \ \&\& \ b) \ True$$
$$\textit{takeWhile} \ p = \textit{foldr} \ (\backslash x \ xs \rightarrow \textbf{if } p \ x \textbf{ then } x : xs \textbf{ else } []) \ []$$
$$\textit{concatMap} \ f = \textit{foldr} \ (\backslash x \ ys \rightarrow f \ x \ ++ \ ys) \ []$$

And let's look at some more...

foldr: the **Swiss army knife** of list functions

$sum :: Num\ a \Rightarrow [a] \rightarrow a$

$sum\ [] = 0$

$sum\ (x : xs) = x + sum\ xs$

may be summarized as:

$sum = foldr\ (+)\ 0$

foldr: the **Swiss army knife** of list functions

$product :: Num\ a \Rightarrow [a] \rightarrow a$

$product\ [] = 1$

$product\ (x : xs) = x * product\ xs$

may be summarized as:

$product = foldr\ (*)\ 1$

foldr: the **Swiss army knife** of list functions

$length :: [a] \rightarrow Int$

$length [] = 0$

$length (x : xs) = 1 + length\ xs$

may be summarized as:

$length = foldr (\backslash x\ n \rightarrow 1 + n)\ 0 = foldr (const\ (1+))\ 0$

foldr: the **Swiss army knife** of list functions

concat :: $[[a]] \rightarrow [a]$

concat [] = []

concat (xs : xss) = xs ++ *concat* xss

may be summarized as:

concat = *foldr* (++) []

foldr: the **Swiss army knife** of list functions

$copy :: [a] \rightarrow [a]$

$copy [] = []$

$copy (x : xs) = x : copy\ xs$

may be summarized as:

$copy = foldr\ (:) []$

foldr: the **Swiss army knife** of list functions

(a somewhat more subtle example:)

$$\begin{aligned} (++) &:: [a] \rightarrow [a] \rightarrow [a] \\ [] ++ ys &= ys \\ (x : xs) ++ ys &= x : (xs ++ ys) \end{aligned}$$

may be summarized as:

$$(++) = \textit{foldr} (\backslash x \ g \rightarrow (x:) \circ g) \textit{id}$$

Aside: folding from the left

$$\begin{aligned} & \text{foldr } (+) \ 0 \ [1, 2, 3, 4, 5] \\ &= 1 + \text{foldr } (+) \ 0 \ [2, 3, 4, 5] \\ &= 1 + (2 + \text{foldr } (+) \ 0 \ [3, 4, 5]) \\ &= 1 + (2 + (3 + \text{foldr } (+) \ 0 \ [4, 5])) \\ &= 1 + (2 + (3 + (4 + \text{foldr } (+) \ 0 \ [5]))) \\ &= 1 + (2 + (3 + (4 + (5 + \text{foldr } (+) \ 0 \ [])))) \\ &= 1 + (2 + (3 + (4 + (5 + 0)))) \\ &= 1 + (2 + (3 + (4 + 5))) \\ &= 1 + (2 + (3 + 9)) \\ &= 1 + (2 + 12) \\ &= 1 + 14 \\ &= 15 \end{aligned}$$

Observe that additions are performed **right-to-left**.

Aside: folding from the left

Sometimes we want to go left-to-right:

$$\text{foldl} :: (b \rightarrow a \rightarrow b) \rightarrow b \rightarrow [a] \rightarrow b$$

$$\text{foldl } f \ v \ [] = v$$

$$\text{foldl } f \ v \ (x : xs) = \text{foldl } f \ (f \ v \ x) \ xs$$

Example:

$$\text{foldl } (+) \ 0 \ [1, 2, 3, 4, 5]$$

$$= \text{foldl } (+) \ 1 \ [2, 3, 4, 5]$$

$$= \text{foldl } (+) \ 3 \ [3, 4, 5]$$

$$= \text{foldl } (+) \ 6 \ [4, 5]$$

$$= \text{foldl } (+) \ 10 \ [5]$$

$$= \text{foldl } (+) \ 15 \ []$$

$$= 15$$

(Q: does this remind you of something from Lecture 1?)

Higher-order functions over trees

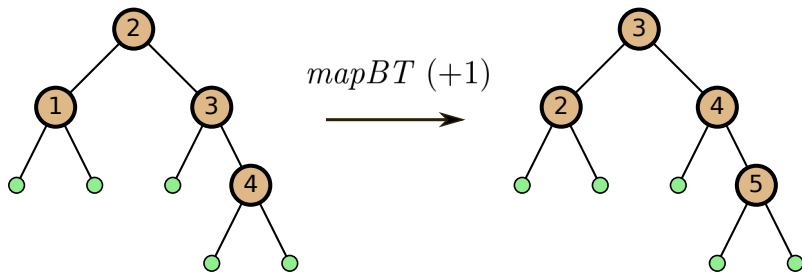
Recall our data type of binary trees with labelled nodes:

```
data BinTree a = Leaf | Node a (BinTree a) (BinTree a)
deriving (Show, Eq)
```

It supports a natural analogue of the *map* function on lists:

```
mapBT :: (a → b) → BinTree a → BinTree b
mapBT f Leaf = Leaf
mapBT f (Node x tL tR) = Node (f x)
  (mapBT f tL) (mapBT f tR)
```

Higher-order functions over trees



Higher-order functions over trees

It also supports a natural analogue of *foldr*:

$$\begin{aligned} \text{foldBT} &:: b \rightarrow (a \rightarrow b \rightarrow b \rightarrow b) \rightarrow \text{BinTree } a \rightarrow b \\ \text{foldBT } v \ f \ \text{Leaf} &= v \\ \text{foldBT } v \ f \ (\text{Node } x \ tL \ tR) &= \\ &\quad f \ x \ (\text{foldBT } v \ f \ tL) \ (\text{foldBT } v \ f \ tR) \end{aligned}$$

For example:

$$\begin{aligned} \text{nodes} &= \text{foldBT } 0 \ (\backslash x \ m \ n \rightarrow 1 + m + n) \\ \text{leaves} &= \text{foldBT } 1 \ (\backslash x \ m \ n \rightarrow m + n) \\ \text{height} &= \text{foldBT } 0 \ (\backslash x \ m \ n \rightarrow 1 + \max m \ n) \\ \text{mirror} &= \text{foldBT } \text{Leaf} \ (\backslash x \ tL' \ tR' \rightarrow \text{Node } x \ tR' \ tL') \end{aligned}$$

Type classes: what are they?

By now we've seen several examples of polymorphic functions with type class constraints, e.g.:

sort :: *Ord* *a* \Rightarrow [*a*] \rightarrow [*a*]

lookup :: *Eq* *a* \Rightarrow *a* \rightarrow [(*a*, *b*)] \rightarrow *Maybe* *b*

sum, *product* :: *Num* *a* \Rightarrow [*a*] \rightarrow *a*

Intuitively, these constraints express minimal requirements on the otherwise generic type *a* needed to define these functions.

Type classes: what are they?

Formally, a type class is defined by specifying the type signatures of operations, possibly together with default implementations of some operations in terms of others. For example:

class *Eq* *a* **where**

$(==), (/=) :: a \rightarrow a \rightarrow \text{Bool}$

$x /= y = \text{not } (x == y)$

$x == y = \text{not } (x /= y)$

Type class instances

We show the constraint is satisfied by providing an *instance*:

```
instance Eq Bool where  
  x == y = if x then y else not y
```

Sometimes need hereditary constraints to define instances:

```
instance Eq a  $\Rightarrow$  Eq [a] where  
  [] == [] = True  
  (x : xs) == (y : ys) = x == y && xs == ys  
  _ == _ = False
```

Class hierarchy

Possible for one type class to inherit from another, e.g.:¹

```
class Eq a  $\Rightarrow$  Ord a where  
  compare :: a  $\rightarrow$  a  $\rightarrow$  Ordering  
  (<), (<=), (>), (>=) :: a  $\rightarrow$  a  $\rightarrow$  Bool  
  max, min :: a  $\rightarrow$  a  $\rightarrow$  a  
  
  compare x y = if x == y then EQ  
    else if x <= y then LT  
    else GT  
  
  x < y = case compare x y of { LT  $\rightarrow$  True; _  $\rightarrow$  False }  
  x <= y = case compare x y of { GT  $\rightarrow$  False; _  $\rightarrow$  True }  
  x > y = case compare x y of { GT  $\rightarrow$  True; _  $\rightarrow$  False }  
  x >= y = case compare x y of { LT  $\rightarrow$  False; _  $\rightarrow$  True }  
  
  max x y = if x <= y then y else x  
  min x y = if x <= y then x else y
```

¹This looks complicated, but basically you only need to implement (*<=*) to define an *Ord* instance, assuming you already have *Eq*.

Laws

It is often implicit that operations should obey certain laws.

For example, $(==)$ should be reflexive, symmetric, and transitive.

Similarly, $(<=)$ should be a total ordering.

These expectations may be described in the documentation of a type class, but are not enforced by the Haskell language.²

²Although they can be enforced in dependently typed languages!

Type classes from higher-order functions

Type classes are a cool feature of Haskell, but in a certain sense they may be seen as “just” a convenient mechanism for defining higher-order functions, since a constraint may always be replaced by the types of the operations in (a minimal definition of) the corresponding type class...

Type classes from higher-order functions

Replace $\text{sort} :: \text{Ord } a \Rightarrow [a] \rightarrow [a]$ by

$$\text{sortHO} :: (a \rightarrow a \rightarrow \text{Bool}) \rightarrow [a] \rightarrow [a]$$

Replace $\text{lookup} :: \text{Eq } a \Rightarrow a \rightarrow [(a, b)] \rightarrow \text{Maybe } b$ by

$$\text{lookupHO} :: (a \rightarrow a \rightarrow \text{Bool}) \rightarrow a \rightarrow [(a, b)] \rightarrow \text{Maybe } b$$

and so on.

Whenever we would call a function with constraints, we instead call a HO function while providing one or more extra arguments...

Type classes from higher-order functions

Example:

```
lookupHO :: (a → a → Bool) → a → [(a, b)] → Maybe b
lookupHO eq k [] = Nothing
lookupHO eq k ((k', v) : kvs)
  | eq k k'    = Just v
  | otherwise = lookupHO eq k kvs
```

Automatic type class resolution

Drawback of this translation: every call to a function with constraints has to pass potentially many extra arguments!

Type classes are useful because these “semantically implicit” arguments are automatically inferred by the type checker.

```
> import Data.List  
> sort [3, 1, 4, 1, 5, 9]  
[1, 1, 3, 4, 5, 9]  
> sort ["my", "dog", "has", "fleas"]  
["dog", "fleas", "has", "my"]
```

Unfortunately, it is only possible to define a single instance of a type class for a given type, although we can get around this with the **newtype** mechanism...

newtype

Behaves similarly to a **data** definition but only allowed to have a single constructor with a single argument. The purpose is to introduce an *isomorphic copy* of another type.

```
newtype Sum a = Sum a
```

```
newtype Product a = Product a
```

```
instance Num a  $\Rightarrow$  Monoid (Sum a) where
```

```
    mempty = Sum 0
```

```
    mappend (Sum x) (Sum y) = Sum (x + y)
```

```
instance Num a  $\Rightarrow$  Monoid (Product a) where
```

```
    mempty = Product 1
```

```
    mappend (Product x) (Product y) = Product (x * y)
```