Reactive strategies with longer memory

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1 Formal Model

We consider infinitely repeated games among two players, player p and player q. Each round, they engage in the donation game with payoff matrix

$$\left(\begin{array}{cc}
b-c & -c \\
b & 0
\end{array}\right).$$
(1)

Here b and c denote the benefit and the cost of cooperation, respectively. We assume b > c > 0 throughout. Therefore, the payoff matrix (1) is a special case of the prisoner's dilemma with payoff matrix,

$$\left(\begin{array}{cc} R & S \\ T & P \end{array}\right), \tag{2}$$

with T > R > S > P and 2R > T + S. Here, R is the reward payoff of mutual cooperation, T is the temptation to defect payoff, S is the sucker's payoff, and P is the punishment payoff for mutual defection.

We assume in the following, that the players' decisions only depend on the outcome of the previous n rounds. To this end, an n-history for player p is a string $h^p = (a_{-1}^p, \ldots, a_{-n}^p) \in \{C, D\}^n$. An entry a_{-k}^p corresponds to player p's action k rounds ago. Let H^p denote the space of all n-histories of player p. Analogously, let H^q as the set of n-histories h^q of player q. Sets H^p and H^q contain $|H^p| = |H^q| = 2^n$ elements each.

A pair $h = (h^p, h^q)$ is called an *n*-history of the game. We use $H = H^p \times H^q$ to denote the space of all such histories. This set contains $|H| = 2^{2n}$ elements.

Memory-n strategies. A memory-n strategy is a vector $\mathbf{m} = (m_h)_{h \in H} \in [0,1]^{2n}$. Each entry m_h corresponds to the player's cooperation probability in the next round, depending on the outcome of the previous n rounds. If the two players use memory-n strategies \mathbf{m} and \mathbf{m}' , one can represent the interaction as a Markov chain with a $2^{2n} \times 2^{2n}$ transition matrix M. Let $\mathbf{v} = (v_h)_{h \in H}$ be an invariant distribution of this Markov chain. Based on the invariant distribution \mathbf{v} , we can also compute the players' payoffs. To this end, let $\mathbf{S}^k = (S_h^k)_{h \in H}$ denote the vector that returns for each h the one-shot payoff that player p obtained k rounds ago,

$$S_h^k = \begin{cases} b - c & \text{if } a_{-k}^p = C \text{ and } a_{-k}^q = C \\ -c & \text{if } a_{-k}^p = C \text{ and } a_{-k}^q = D \\ b & \text{if } a_{-k}^p = D \text{ and } a_{-k}^q = C \\ 0 & \text{if } a_{-k}^p = D \text{ and } a_{-k}^q = D \end{cases}$$

$$(3)$$

Then we can define player p's repeated-game payoff $s_{\mathbf{m},\mathbf{m}'}$ as

$$s_{\mathbf{m},\mathbf{m}'} = \mathbf{v} \cdot \mathbf{S}^1 = \mathbf{v} \cdot \mathbf{S}^2 = \dots = \mathbf{v} \cdot \mathbf{S}^n.$$
 (4)

The equalities $\mathbf{v} \cdot \mathbf{S}^1 = \ldots = \mathbf{v} \cdot \mathbf{S}^n$ correspond to the intuition that it does not matter which of the past n rounds we use to define average payoffs. The payoff $s_{\mathbf{m}',\mathbf{m}}$ of player q can be defined analogously.

Let's provide definitions for some additional terms that will be used in this manuscript.

Nash Strategies. A strategy **m** for player p, is a Nash strategy, if player q never receives a payoff higher than that of the mutual cooperation payoff. Irrespective of q's strategy. Namely if,

$$s_{\mathbf{m}',\mathbf{m}} \le (b-c) \ \forall \ m' \in [0,1]^{2n}.$$
 (5)

Nice Strategies. A player's strategy is *nice*, if the player is never the first to defect.

Partner Strategies. For player p, a partner strategy is a nice strategy such that,

$$s_{\mathbf{m}',\mathbf{m}} < (b-c) \Rightarrow s_{\mathbf{m},\mathbf{m}'} < (b-c), and$$
 (6)

$$s_{\mathbf{m}',\mathbf{m}} \ge (b-c) \implies s_{\mathbf{m}',\mathbf{m}} = s_{\mathbf{m},\mathbf{m}'} = (b-c) \quad \forall \ m' \in [0,1]^{2n}.$$
 (7)

%ToDo Do we need both?

In other words, partners strive to achieve the mutual cooperation payoff of (b-c) with their co-player. However, if the co-player doesn't cooperate, they are prepared to penalize them with lower payoffs. Partner strategies, by definition, are best responses to themselves, making them Nash strategies [Hilbe et al., 2015]. All partner strategies are Nash strategies, but not all Nash strategies are partner strategies.

%ToDo Why are partner strategies interesting to study?

Previously, the work of [Akin, 2016] characterized all partner strategies in the case of memory-one strategies. For higher memory values (n > 1), a few works ([Hilbe et al., 2017]) have managed to characterize subsets of memory-n partner strategies. This difficulty arises from the fact that as memory increases, obtaining analytical results becomes more challenging. In this work, we focus on reactive strategies instead of memory-n strategies. Reactive strategies, a subset of memory-n strategies, are formally introduced in Section 3. We characterize all reactive partner strategies for n = 2 and n = 3, and present a series of results starting from Section 3.1. In the following section, we will discuss a series of results for the case of memory-n.

2 An Extension of Akin's Lemma

Akin's Lemma. The work of [Akin, 2016] focuses on the case of memory-one strategies, thus for n = 1. A memory-one strategy of player p is represented by the vector $\mathbf{m} = (m_1, m_2, m_3, m_4)$, and and when played against a co-player with strategy \mathbf{m}' , the resulting stationary distribution is denoted as $\mathbf{v} = (v_1, v_2, v_3, v_4)$. Akin's lemma states the following,

Lemma 2.1 (Akin's Lemma). Assume that player p uses the memory-one strategy $\mathbf{m} = (m_1, m_2, m_3, m_4)$, and q uses a strategy that leads to a sequence of distributions $\{\mathbf{v}^k, k = 1, 2, ...\}$ with \mathbf{v}^k representing the

distribution over the states in the k^{th} round of the game. Let \mathbf{v} be the associated stationary distribution, then,

$$\lim_{n \to \infty} \frac{1}{n} \sum_{k=1}^{n} \mathbf{v}^{k} \cdot (\mathbf{m} - (1, 1, 0, 0)) = 0, \text{ and therefore } \mathbf{v} \cdot (\mathbf{m} - (1, 1, 0, 0)) = 0.$$
 (8)

Akin's Lemma for $1 \le k \le n$.

One special case of memory—n strategies are the round—k—repeat strategies for some $1 \le k \le n$. Player p uses a round-k-repeat strategy $\mathbf{m}^{k-\text{Rep}}$ if in any given round, the player chooses the same action as k rounds ago. That is, if the game's n-history is such that $a_{-k}^p = C$, then $m_h^{k-\text{Rep}} = 1$; otherwise $m_h^{k-\text{Rep}} = 0$.

With the same method as in [Akin, 2016], one can show Akin's Lemma: For each k with $1 \le k \le n$, the invariant distribution **v** satisfies the following relationship,

$$\mathbf{v} \cdot (\mathbf{m} - \mathbf{m}^{k-\text{Rep}}) = \sum_{h \in H} v_h(m_h - m_h^{k-\text{Rep}}) = 0.$$
(9)

The intuition for this result is that $\mathbf{v} \cdot \mathbf{m}$ and all $\mathbf{v} \cdot \mathbf{m}^{k-\text{Rep}}$ are just different (but equivalent) expressions for player p's average cooperation rate. For example, $\mathbf{v} \cdot \mathbf{m}$ corresponds to a setup in which one first draws a history h according to the invariant distribution \mathbf{v} ; then one takes player p's probability m_h to cooperate in the next round; the expectation of this procedure is $\sum_{h \in H} v_h m_h$.

%ToDo Do we need a proof here? The intuition is summarising the proof.

%ToDo Do we use italics when referring to keywords of the manuscript?

Zero-determinant strategies. Based on Akin's Lemma, we can derive a theory of zero-determinant strategies analogous to the case of memory-one strategies. In the following, we say a memory-n strategy \mathbf{m} is a zero-determinant strategy if there are k_1 , k_2 , k_3 and α , β , γ such that \mathbf{m} can be written as

$$\mathbf{m} = \alpha \mathbf{S}^{k_1} + \beta \tilde{\mathbf{S}}^{k_2} + \gamma \mathbf{1} + \mathbf{m}^{k-\text{Rep}}, \tag{10}$$

where 1 is the vector for which every entry is 1. By Akin's Lemma and the definition of payoffs,

$$0 = \mathbf{v} \cdot (\mathbf{m} - \mathbf{m}^{k-\text{Rep}}) = \mathbf{v} \cdot (\alpha \mathbf{S}^{k_1} + \beta \tilde{\mathbf{S}}^{k_2} + \gamma \mathbf{1}) = \alpha s_{\mathbf{m}, \mathbf{m}'} + \beta s_{\mathbf{m}', \mathbf{m}} + \gamma.$$
(11)

That is, payoffs satisfy a linear relationship.

One interesting special case arises if $k_1 = k_2 = k_3 =: k$ and $\alpha = -\beta = 1/(b+c)$ and $\gamma = 0$. In that case, the formula (10) yields the strategy

$$m_h = \begin{cases} 1 & \text{if } a_{-k}^q = C \\ 0 & \text{if } a_{-k}^q = D \end{cases}$$
 (12)

That is, this strategy implements Tit-for-Tat (for k=1) or delayed versions thereof (for k>1). By Eq. (11), the enforced payoff relationship is $s_{\mathbf{m},\mathbf{m}'} = s_{\mathbf{m}',\mathbf{m}}$ (in particular, these strategies are partners).

Another interesting special case arises if $k_1 = k_2 = k_3 =: k$ and $\alpha = 0$, $\beta = -1/b$, $\gamma = 1 - c/b$. In that case Eq. (10) yields the strategy

$$m_h = \begin{cases} 1 & \text{if } a_{-k}^q = C\\ 1 - c/b & \text{if } a_{-k}^q = D \end{cases}$$
 (13)

That is, the generated strategy is GTFT (if k=1), or delayed versions thereof (for k>1). By Eq. (11), the enforced payoff relationship is $s_{\mathbf{m}',\mathbf{m}} = b - c$. In particular, these strategies are not partner strategies, but they satisfy the notion of being Nash strategies.

The two aforementioned results can be summarized as follows:

- Any Tit-for-Tat strategy for any n, including delayed versions for k > 1, is considered a partner strategy.
- Any GTFT strategy for any n, including delayed versions for k > 1, is considered a Nash strategy.

%ToDo Should these results be propositions?

3 Reactive Partner Strategies

A reactive—n strategy is denoted by a vector $\mathbf{p} = (p_h)_{h \in H^q} \in [0,1]^{2n}$. Each entry p_h corresponds to the player's cooperation probability in the next round, based on the co-player's action(s) in the previous n rounds. Therefore, n-bit reactive strategies exclusively rely on the co-player's n-history, remaining unaffected by the focal player's own actions during the past n rounds. From this point onward, we distinguish between memory-n strategies and reactive-n strategies, using notations \mathbf{m} and \mathbf{p} respectively for each set of strategies.

To begin, let's introduce some additional notation. Suppose player p adopts are reactive—n strategy \mathbf{p} , and suppose player q adopts an arbitrary memory-n strategy. Let $\mathbf{v} = (v_h)_{h \in H}$ be an invariant of the game between the two players. We define the following marginal distributions with respect to the possible n-histories of player q,

$$v_h^q = \sum_{h^p \in H^p} v_{(h^p, h^q)} \ \forall \ h^q \in H^q. \tag{14}$$

These entries describe how often we observe player q to choose actions h^q , in n consecutive rounds (irrespective of the actions of player p). Note that,

$$\sum_{h \in H^q} v_h^q = 1. \tag{15}$$

Similarly, the cooperation rate of player q can also be defined irrespective of the actions of player p. Let $H_{k=C}^q$ be the subset of H^q , for which,

$$H_{k-C}^{q} = \{ h \in H^{q} : h_{-k} = C \}. \tag{16}$$

Let $\rho_{\mathbf{m}}$ be the cooperation rate of player q playing an arbitrary memory-n strategy \mathbf{m} when playing against player p with a reactive strategy,

$$\rho_{\mathbf{m}} = \sum_{h \in H_{1=C}^q} v_h^q = \sum_{h \in H_{2=C}^q} v_h^q = \dots = \sum_{h \in H_{n=C}^q} v_h^q. \tag{17}$$

The equalities $\sum_{h \in H_{1=C}^q} v_h^q = \sum_{h \in H_{2=C}^q} v_h^q = \dots = \sum_{h \in H_{n=C}^q} v_h^q$ correspond to the intuition that it does not matter which of the past n rounds player q cooperated to define the cooperation rate.

We can also express player p's average cooperation rate $\rho_{\mathbf{p}}$ in terms of v_h^q by noting that,

$$\rho_{\mathbf{p}} = \sum_{h \in H^q} v_h^q \cdot p_h. \tag{18}$$

Because we consider simple donation games, we note that these two quantities, $\rho_{\mathbf{m}}$ and $\rho_{\mathbf{p}}$, are sufficient to define the payoffs of the two players,

$$s_{\mathbf{p},\mathbf{m}} = b \,\rho_{\mathbf{m}} - c \,\rho_{\mathbf{p}}$$

$$s_{\mathbf{m},\mathbf{q}} = b \,\rho_{\mathbf{p}} - c \,\rho_{\mathbf{m}}.$$
(19)

3.1 Sufficiency of Self reactive strategies

To characterize all partner reactive-n strategies, one would usually need to check against all pure memory-n strategies McAvoy and Nowak [2019]. However, we demonstrate that when player p uses a reactive-n strategy, it is sufficient to check only against self-reactive-n strategies. This is a direct outcome of Lemma 3.1.

Self-reactive-n strategies are also a subset of memory-n strategies. They only consider the focal player's own n-history, and ignore the co-player's. Formally, a self-reactive-n strategy is a vector $\tilde{\mathbf{p}} = (\tilde{p}_h)_{h \in H^p} \in [0, 1]^{2n}$. Each entry \tilde{p}_h corresponds to the player's cooperation probability in the next, depending on the player's own action(s) in the previous n rounds.

Lemma 3.1. Let **p** be an reactive—n strategy for player p. Then, for any memory—n strategy **m** used by player q, player p's score is exactly the same as if q had played a specific self-reactive memory-n strategy $\tilde{\mathbf{p}}$.

Note that Lemma 3.1 aligns with the previous result by Press and Dyson [2012]. They discussed the case where one player uses a memory-one strategy and the other player employs a longer memory strategy. They demonstrated that the payoff of the player with the longer memory is exactly the same as if the player had employed a specific shorter-memory strategy, disregarding any history beyond what is shared with the short-memory player. The result here follows a similar intuition: if there is a part of history that one player does not observe, then the co-player gains nothing by considering the history not shared with the short-memory player.

More specifically, the play of a self-reactive player solely relies on their own previous actions. Hence, describing the self-reactive player's play can be achieved through a Markov process with a $2^n \times 2^n$ transition matrix \tilde{M} instead. The stationary distribution $\tilde{\mathbf{v}}$ of \tilde{M} has the following property:

$$\tilde{u}_h = u_h^q \ \forall \ h \in H^q. \tag{20}$$

From hereupon we will use the notation \mathbf{m}, \mathbf{p} , and $\tilde{\mathbf{p}}$ to denote memory-n, reactive-n, and self-reactive-n strategies.

3.2 Reactive-Two Partner Strategies

In this section, we focus on the case of n=2. Reactive-two strategies are denoted as a vector $\mathbf{p}=(p_{CC},p_{CD},p_{DC},p_{DD})$ where p_{CC} is the probability of cooperating in this turn when the co-player cooperated in the last 2 turns, p_{CD} is the probability of cooperating given that the co-player cooperated in the second to last turn and defected in the last, and so forth. A nice reactive-two strategy is represented by the vector $\mathbf{p}=(1,p_{CD},p_{DC},p_{DD})$.

Theorem 3.2 ("Reactive-Two Partner Strategies"). A reactive-two strategy \mathbf{p} , is a partner strategy if and only if, it's nice ($p_{CC} = 1$) and the remaining entries satisfy the conditions:

$$p_{DD} < 1 - \frac{c}{b} \quad and \quad \frac{p_{CD} + p_{DC}}{2} < 1 - \frac{1}{2} \cdot \frac{c}{b}.$$
 (21)

There are two independent proves of Theorem 3.2. The first prove is in line with the work of [Akin, 2016], and the second one relies on Lemma 3.1. Here, we discuss both.

Proof One. Suppose player p adopts a reactive-two strategy $\mathbf{p} = (p_{CC}, p_{CD}, p_{DC}, p_{DD})$. Moreover, suppose player q adopts an arbitrary memory-2 strategy \mathbf{m} . Let $\mathbf{v} = (v_h)_{h \in H}$ be an invariant distribution of the game between the two players.

We define the following four marginal distributions with respect to the possible two-histories of player q,

$$v_{CC}^{q} = \sum_{h^{p} \in H^{p}} v_{(h^{p},CC)}$$

$$v_{CD}^{q} = \sum_{h^{p} \in H^{p}} v_{(h^{p},CD)}$$

$$v_{DC}^{q} = \sum_{h^{p} \in H^{p}} v_{(h^{p},DC)}$$

$$v_{DD}^{q} = \sum_{h^{p} \in H^{p}} v_{(h^{p},DD)}.$$
(22)

These four entries describe how often we observe player q to choose actions CC, CD, DC, DD in two consecutive rounds (irrespective of the actions of player p). We can define player q's average cooperation rate $\rho_{\mathbf{m}}$ as

$$\rho_{\mathbf{m}} := v_{CC}^q + v_{CD}^q = v_{CC}^q + v_{DC}^q. \tag{23}$$

Here, the second equality holds because it does not matter whether we define player q's cooperation rate based on the first or the second round of each 2-history. In particular, we can use this equality to conclude

$$v_{CD}^q = v_{DC}^q. (24)$$

Similarly, we can express player p's average cooperation rate $\rho_{\mathbf{p}}$ in terms of v_{CC}^q , v_{CD}^q , v_{DC}^q , v_{DD}^q by noting that

$$\rho_{\mathbf{p}} = v_{CC}^{q} p_{CC} + v_{CD}^{q} p_{CD} + v_{DC}^{q} p_{DC} + v_{DD}^{q} p_{DD}
= v_{CC}^{q} p_{CC} + v_{CD}^{q} (p_{CD} + p_{DC}) + v_{DD}^{q} p_{DD}.$$
(25)

Here, the second equality is due to Eq. (24).

After these preparations, we can prove our theorem based on the same method as in Akin [2016].

Proof. Suppose player q has some strategy **m** and player p has a reactive-two strategy such that $s_{\mathbf{m},\mathbf{p}} \geq b-c$. It follows that

$$0 \leq s_{\mathbf{m},\mathbf{p}} - (b-c)$$

$$\stackrel{Eq. (19)}{=} b\rho_{\mathbf{p}} - c\rho_{\mathbf{m}} - (b-c)$$

$$\stackrel{Eqs. (23),(25),(15)}{=} b\left(v_{CC}^{q}p_{CC} + v_{CD}^{q}(p_{CD} + p_{DC}) + v_{DD}^{q}p_{DD}\right) - c\left(v_{CC}^{q} + v_{CD}^{q}\right) - (b-c)\left(v_{CC}^{q} + 2v_{CD}^{q} + v_{DD}^{q}\right)$$

$$= v_{CC}^{q} b\left(p_{CC} - 1\right) + v_{CD}^{q}\left(b\left(p_{CD} + p_{DC}\right) + c - 2b\right) + v_{DD}^{q}\left(bp_{DD} - (b-c)\right). \tag{26}$$

By assumption (21),

$$p_{CC} = 1, \quad b(p_{CD} + p_{DC}) + c - 2b < 0, \quad bp_{DD} - (b - c) < 0.$$
 (27)

Because any $v_{XY}^q \ge 0$, inequality (26) can only hold if $v_{CD}^q = v_{DD}^q = 0$, which implies $v_{DC}^q = 0$ because of Eq. (24). But then it follows that $v_{CC}^q = 1$. By Eqs. (23) and (25) it follows that $\rho_{\mathbf{m}} = \rho_{\mathbf{p}} = 1$, and hence $s_{\mathbf{m},\mathbf{p}} = s_{\mathbf{p},\mathbf{m}} = b - c$.

Proof Two. Suppose player p adopts a nice reactive-two strategy $\mathbf{p} = (1, p_{CD}, p_{DC}, p_{DD})$. For \mathbf{p} to be a Nash strategy,

$$s_{\mathbf{m},\mathbf{p}} \le (b-c),\tag{28}$$

must hold against all pure memory-2 strategies ($\mathbf{m} \in \{0,1\}^{4^2}$). Due to Lemma 3.1, it is sufficient to check only against pure self-reactive strategies, and in the case of n=2 there can be only 16 such strategies. We refer to them as $\tilde{\mathbf{q}}^i$ for $i \in 1, \ldots, 16$. The strategies are as follow,

Proof. Suppose player p plays a nice reactive-two strategy $\mathbf{p} = (1, p_{CD}, p_{DC}, p_{DD})$, and suppose the co-player q plays a pure self-reactive-two strategy $\tilde{\mathbf{q}}$. The possible payoffs for $\tilde{\mathbf{q}} \in \{\tilde{\mathbf{q}}^0, \dots, \tilde{\mathbf{q}}^{16}\}$ are:

$$s_{\tilde{\mathbf{q}}^{i},\mathbf{p}} = b \cdot p_{DD} \qquad and \qquad s_{\mathbf{p},\tilde{\mathbf{q}}^{i}} = -c \cdot p_{DD} \qquad for \ i \in \{0, 2, 4, 6, 8, 10, 12, 14\}$$

$$s_{\tilde{\mathbf{q}}^{i},\mathbf{p}} = \frac{b \cdot (p_{CD} + p_{DC} + p_{DD})}{3} - \frac{1}{3} \cdot c \qquad and \qquad s_{\mathbf{p},\tilde{\mathbf{q}}^{i}} = \frac{1}{3} \cdot b - \frac{c \cdot (p_{CD} + p_{DC} + p_{DD})}{3} \qquad for \ i \in \{1, 9\}$$

$$s_{\tilde{\mathbf{q}}^{i},\mathbf{p}} = \frac{b \cdot (p_{CD} + p_{DC} + p_{DD} + 1)}{4} - \frac{1}{2} \cdot c \qquad and \qquad s_{\mathbf{p},\tilde{\mathbf{q}}^{i}} = \frac{1}{2} \cdot b - \frac{c \cdot (p_{CD} + p_{DC} + p_{DD} + 1)}{4} \qquad for \ i \in \{3\}$$

$$s_{\tilde{\mathbf{q}}^{i},\mathbf{p}} = \frac{b \cdot (p_{CD} + p_{DC})}{2} - \frac{1}{2} \cdot c \qquad and \qquad s_{\mathbf{p},\tilde{\mathbf{q}}^{i}} = \frac{1}{2} \cdot b - \frac{c \cdot (p_{CD} + p_{DC} + p_{DC} + 1)}{2} \qquad for \ i \in \{4, 5, 12, 13\}$$

$$s_{\tilde{\mathbf{q}}^{i},\mathbf{p}} = \frac{b \cdot (p_{CD} + p_{DC} + 1)}{3} - \frac{2}{3} \cdot c \qquad and \qquad s_{\mathbf{p},\tilde{\mathbf{q}}^{i}} = \frac{2}{3} \cdot b - \frac{c \cdot (p_{CD} + p_{DC} + 1)}{3} \qquad for \ i \in \{6, 7\}$$

$$s_{\tilde{\mathbf{q}}^{i},\mathbf{p}} = b - c \qquad and \qquad s_{\mathbf{p},\tilde{\mathbf{q}}^{i}} = (b - c) \qquad for \ i \in \{8, 9, 10, 11, 12, 13, 14, 15\}$$

Setting the payoff expressions of $s_{\tilde{\mathbf{q}}^i,\mathbf{p}}$ to smaller or equal to (b-c) we get the following unique conditions,

$$p_{DD} \le 1 - \frac{c}{b} \tag{29}$$

$$\frac{p_{CD} + p_{DC} + p_{DD}}{3} \le 1 - \frac{2c}{3b} \tag{30}$$

$$\frac{p_{CD} + p_{DC}}{2} \le 1 - \frac{c}{2b} \tag{31}$$

(32)

Note that condition (31) is the sum of conditions (30) and (32). Thus, only conditions (30) and (32) are necessary.

By setting, $p_{DD}=1-\frac{c}{b}$ and $\frac{p_{CD}+p_{DC}}{2}=1-\frac{c}{2b}$ to any of the above expressions of $s_{\mathbf{p},\tilde{\mathbf{q}}^i}$ we can see that $s_{\mathbf{p},\tilde{\mathbf{q}}^i} < (b-c)$. Thus, for **p** to be a partner strategy, the inequalities must be strict.

Reactive-Three Partner Strategies 3.3

In this section, we focus on the case of n=3. Reactive-three strategies are denoted as a vector $\mathbf{p}=$ $(p_{CCC}, p_{CCD}, p_{CDC}, p_{CDD}, p_{DCC}, p_{DCD}, p_{DDC}, p_{DDD})$ where p_{CCC} is the probability of cooperating in round t when the co-player cooperates in the last 3 rounds, p_{CCD} is the probability of cooperating given that the

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co-player cooperated in the third and second to last rounds and defected in the last, and so forth. A nice reactive-three strategy is represented by the vector $\mathbf{p} = (1, p_{CCD}, p_{CDC}, p_{CDD}, p_{DCC}, p_{DCD}, p_{DDC}, p_{DDD})$.

Theorem 3.3 ("Reactive-Three Partner Strategies"). A reactive-three strategy **p**, is a partner strategy if and only if, it's nice ($p_{CCC} = 1$) and the remaining entries satisfy the conditions:

$$\frac{p_{CCD} + p_{CDC} + p_{DCC}}{3} < 1 - \frac{1}{3} \cdot \frac{c}{b} \qquad \frac{p_{CDD} + p_{DCD} + p_{DDC}}{3} < 1 - \frac{2}{3} \cdot \frac{c}{b} \qquad p_{DDD} < 1 - \frac{c}{b} \qquad (33)$$

$$\frac{p_{CCD} + p_{CDD} + p_{DCC} + p_{DDC}}{4} < 1 - \frac{1}{2} \cdot \frac{c}{b} \qquad \frac{p_{CDC} + p_{DCD}}{2} < 1 - \frac{1}{2} \cdot \frac{c}{b} \qquad (34)$$

$$\frac{p_{CCD} + p_{CDD} + p_{DCC} + p_{DDC}}{4} < 1 - \frac{1}{2} \cdot \frac{c}{b} \qquad \frac{p_{CDC} + p_{DCD}}{2} < 1 - \frac{1}{2} \cdot \frac{c}{b} \tag{34}$$

Once again, there are two independent proves of Theorem 3.3, and present both.

Proof One. Suppose player p adopts a reactive-three strategy \mathbf{p} , and suppose player q adopts an arbitrary memory-three strategy **m**. Let $\mathbf{v} = (v_h)_{h \in H}$ be an invariant distribution of the game between the two players.

We define the following eight marginal distributions with respect to the possible three-histories of player q,

$$v_{CCC}^{q} = \sum_{h^{p} \in H^{p}} v_{(h^{p}, CCC)}$$

$$v_{CCD}^{q} = \sum_{h^{p} \in H^{p}} v_{(h^{p}, CCD)}$$

$$v_{CDC}^{q} = \sum_{h^{p} \in H^{p}} v_{(h^{p}, CDC)}$$

$$v_{CDD}^{q} = \sum_{h^{p} \in H^{p}} v_{(h^{p}, CDD)}$$

$$v_{DCC}^{q} = \sum_{h^{p} \in H^{p}} v_{(h^{p}, DCC)}$$

$$v_{DCD}^{q} = \sum_{h^{p} \in H^{p}} v_{(h^{p}, DCD)}$$

$$v_{DDC}^{q} = \sum_{h^{p} \in H^{p}} v_{(h^{p}, DDC)}$$

$$v_{DDD}^{q} = \sum_{h^{p} \in H^{p}} v_{(h^{p}, DDD)}$$

$$v_{DDD}^{q} = \sum_{h^{p} \in H^{p}} v_{(h^{p}, DDD)}$$

These eight entries describe how often we observe player q to choose actions CCC, CCD, CDC, CDD, DCC, DCD, DDC, DDD in three consecutive rounds (irrespective of the actions of player p). We can define player q's average cooperation rate $\rho_{\mathbf{m}}$ as

$$\rho_{\mathbf{m}} := v_{CCC}^q + v_{CCD}^q + v_{DCC}^q + v_{DCD}^q. \tag{36}$$

In the case of n=3 the following equalities hold,

$$v_{CCD}^q = v_{DCC}^q \tag{37}$$

$$v_{DDC}^q = v_{CDD}^q \tag{38}$$

$$v_{CCD}^{q} + v_{DCD}^{q} = v_{CDC}^{q} + v_{DDC}^{q} \Rightarrow$$

$$v_{CCD}^{q} = v_{CDC}^{q} + v_{CDD}^{q} - v_{DCD}^{q}$$

$$(39)$$

The average cooperation rate of p's is given by

$$\rho_{\mathbf{p}} = v_{CCC}^{q} p_{CCC} + v_{CCD}^{q} p_{CCD} + v_{CDC}^{q} p_{CDC} + v_{CDD}^{q} p_{CDD} + v_{DCD}^{q} p_{DCD} + v_{DCD}^{q} p_{DCD} + v_{DDD}^{q} p_{DDD} + v_{DDD}^{q} p_{DDD} + v_{DDD}^{q} p_{DDD} + v_{DDD}^{q} p_{DDD} + v_{DDD}^{q} p_{DCD} + v_{DDD}^{q} p_{DDD} + v_{DDD}^{q} p_{DDD}$$
(40)

Proof. Suppose player q has some strategy **m** and player p has a reactive-two strategy such that $s_{\mathbf{m},\mathbf{p}} \geq b-c$. It follows that

By assumption,

$$p_{CCC} = 1, \quad b\left(p_{DDD} - 1\right) + c < 0, \quad b\left(p_{CCD} + p_{DCC} + p_{CDC} - 3\right) + c$$

$$b\left(p_{CDD} + p_{DDC} + p_{CCD} + p_{DCC} - 4\right) + 2c < 0 \Rightarrow -b\left(p_{CCD} + p_{DCC} - 2\right) > b\left(p_{CDD} + p_{DDC} - 2\right) + 2c$$

$$b\left(p_{DCD} - 1\right) - b\left(p_{CCD} + p_{DCC}\right) - 2 < 0 \Rightarrow b\left(p_{DCD} + p_{DDC} + p_{DDC} - 3\right) + 2c < 0.$$

Because any $v_{XY}^q \geq 0$, inequality (41) can only hold if $v_{DDD}^q = v_{CDC}^q = v_{CDD}^q = v_{DCD}^q = 0$, which implies $v_{DDC}^q = 0$ because of Eq. (38) and $v_{CCD}^q = 0$ because of Eq. (39). But then it follows that $v_{CCC}^q = 1$. By Eqs. (36) and (40) it follows that $\rho_{\mathbf{m}} = \rho_{\mathbf{p}} = 1$, and hence $s_{\mathbf{m},\mathbf{p}} = s_{\mathbf{p},\mathbf{m}} = b - c$.

Proof Two. Consider all the pure self-reactive-three strategies, there are a total of 256 of them. These are given in the appendix. regardless, the payoff expressions for each of these strategies against a nice reactive-three strategies can be calculated explicitly. We will use these expressions to obtain the conditions for partner strategies similar to the previous subsection.

Proof. The payoff expressions for a nice reactive-three strategy p against all pure self-reactive-three strategies

are as follows,

Setting these to smaller than the mutual cooperation payoff (b-c) give the following ten conditions,

$$p_{DDD} \leq 1 - \frac{c}{b}, \quad \frac{p_{CDC} + p_{DCD}}{2} \leq 1 - \frac{1}{2} \cdot \frac{c}{b}, \quad \frac{p_{CDD} + p_{DCD} + p_{DDC}}{3} \leq 1 - \frac{2}{3} \cdot \frac{c}{b},$$

$$\frac{p_{CCD} + p_{CDC} + p_{DCC}}{3} \leq 1 - \frac{1}{3} \cdot \frac{c}{b}, \quad \frac{p_{CCD} + p_{CDD} + p_{DCC} + p_{DDC}}{4} \leq 1 - \frac{1}{2} \cdot \frac{c}{b}$$

$$\frac{p_{CDD} + p_{DCD} + p_{DDC} + p_{DDC}}{4} \leq 1 - \frac{3}{4} \cdot \frac{c}{b}, \quad \frac{p_{CCD} + p_{CDC} + p_{CDC} + p_{DCC} + p_{DCC} + p_{DDC} + p_{DCC} + p_{$$

Note that only conditions are unique. The following can be derived from the sums of two or more of these conditions.

3.4 Reactive Counting Partner Strategies

A special case of reactive strategies is reactive-counting strategies. These are strategies that respond to the co-player's actions, but they do not distinguish between when cooperations/defections occurred; they solely consider the count of cooperations in the last n turns. A reactive-counting-n strategy is represented by a vector $\mathbf{r} = (r_i)_{i \in [0,n]}$, where the entry r_i indicates the probability of cooperating given that the co-player cooperated i times in the last n turns.

Reactive-Counting-Two Partner Strategies. These are denoted by the vector $\mathbf{r} = (r_2, r_1, r_0)$ where r_i is the probability of cooperating in after i cooperations in the last 2 turns. We can characterise reactive-counting-two partner strategies by setting $r_2 = 1$, and $p_{CD} = p_{DC} = r_1$ and $p_{DD} = r_0$ in conditions (21). This gives us the following result.

Lemma 3.4. A nice reactive-counting-two strategy $\mathbf{r} = (1, r_1, r_0)$ is a partner strategy if and only if,

$$r_1 < 1 - \frac{1}{2} \cdot \frac{c}{b} \quad and \quad r_0 < 1 - \frac{c}{b}.$$
 (43)

Reactive-Counting-Three Partner Strategies. These are denoted by the vector $\mathbf{r}=(r_3,r_2,r_1,r_0)$ where r_i is the probability of cooperating in after i cooperations in the last 3 turns. We can characterise reactive-counting-three partner strategies by setting $r_3=1$, and $p_{CCD}=p_{CDC}=r_2, p_{DCD}=p_{DDC}=r_1$ and $p_{DDD}=r_0$ in conditions (33). This gives us the following result.

Lemma 3.5. A nice reactive-counting-three strategy $\mathbf{r} = (1, r_2, r_1, r_0)$ is a partner strategy if and only if,

$$r_2 < 1 - \frac{1}{3} \cdot \frac{c}{b}, \quad r_1 < 1 - \frac{2}{3} \cdot \frac{c}{b} \quad and \quad r_0 < 1 - \frac{c}{b}.$$
 (44)

In the case of counting reactive strategies, we generalise to the case of n.

Given a reactive-counting-n strategy $\mathbf{r} = (r_n, r_{n-1}, \dots, r_0)$, in the strategy's eyes the game can end up in n states. Each u_i state represents the state that the co-player cooperated i times in the last n turns with,

$$\sum_{i=0}^{n} u_i = 1 \Rightarrow \sum_{k=0}^{n} u_{n-k} = 1. \tag{45}$$

Thus the cooperation ratio of the strategy is,

$$\rho_{\mathbf{p}} = \sum_{k=0}^{n} r_{n-k} \cdot u_{n-k}. \tag{46}$$

the probability of cooperating given that the co-player cooperated i times. The co-player can use any self-reactive-n strategy, and thus the co-player differentiates between when the last cooperation/defection occurred. However, we can still express the co-player's cooperation rate as a function of u_i . More specifically, the co-player's cooperation rate is,

$$\rho_{\tilde{\mathbf{p}}} = \sum_{k=0}^{n} \frac{n-k}{n} \cdot u_{n-k}.\tag{47}$$

With this we have all the required tools to prove the following theorem.

Theorem 3.6 ("Reactive-Counting Partner Strategies"). A reactive-counting -n strategy $\mathbf{r} = (r_i)_{i \in [0,n]}$, is a partner strategy if and only if, the r_i entries satisfy the conditions:

$$r_n = 1$$
, and $r_{n-k} < 1 - \frac{k}{n} \cdot \frac{c}{b}$, for $k \in [1, n]$. (48)

Proof. Suppose player q has some strategy **m** and player p has a reactive-counting strategy such that $s_{\mathbf{m},\mathbf{p}} \geq b-c$. It follows that

$$\begin{array}{lll}
0 & \leq & s_{\mathbf{m},\mathbf{p}} - (b - c) \\
& \stackrel{Eq. (19)}{=} & b\rho_{\mathbf{p}} - c\rho_{\mathbf{m}} - (b - c) \\
& \stackrel{Eqs. (45), (46), (47)}{=} & b \sum_{k=0}^{n} r_{n-k} \cdot u_{n-k} - c \sum_{k=0}^{n} \frac{n-k}{n} \cdot u_{n-k} - (b-c) \sum_{k=0}^{n} u_{n-k} \\
& u_{n} \Big(b \left(r_{n} - 1 \right) \Big) + \sum_{k=1}^{n} u_{n-k} \Big(b \sum_{k=1}^{n} r_{n-k} - c \sum_{k=0}^{n-1} \frac{n-k}{n} - (b-c) \sum_{k=0}^{n-1} 1 \Big)
\end{array} \tag{49}$$

For $(n-k) \in R$, if,

$$\left(b \, r_{n-k} - c \, \frac{n-k}{n} - (b-c)\right) < 0 \Rightarrow \tag{50}$$

$$b(r_{n-k}-1) + (1 - \frac{n-k}{n})c < 0 \Rightarrow$$
 (51)

$$r_{n-k} < 1 - \frac{n}{k} \cdot \frac{c}{b} \tag{52}$$

then $u_{n-k}for \in R=0$, which implies that $u_n=1$.

4 Prisoner's Dilemma

To characterise partner strategies for the general prisoner's dilemma, we can use the method based on Lemma 3.1. Here we discuss this result in the case of n = 2.

There are 16 pure-self reactive strategies in n=2. To calculate the explicit payoff expressions for each pure strategy against a nice reactive-two strategy $\mathbf{p}=(1,p_{CD},p_{DC},p_{CD})$ we use the method discussed in Section 3.1. More specifically, for a self-reactive strategy \mathbf{q} , we calculate where the strategy is in the long term using the transition matrix,

$$\tilde{M} = \begin{bmatrix} \tilde{p}_1 & 1 - \tilde{p}_1 & 0 & 0\\ 0 & 0 & \tilde{p}_2 & 1 - \tilde{p}_2\\ \tilde{p}_3 & 1 - \tilde{p}_3 & 0 & 0\\ 0 & 0 & \tilde{p}_4 & 1 - \tilde{p}_4 \end{bmatrix}$$

$$(53)$$

Using the stationary vector $\tilde{\mathbf{v}}$ we can define the payoffs in the general prisoner's dilemma as follows:

$$\mathbf{s}_{\mathbf{q},\mathbf{p}} = a_R \cdot R + a_S \cdot S + a_T \cdot T + a_P \cdot P$$
, where

$$\begin{split} a_{R} = & \tilde{v}_{CC} \, p_{CC} \, \tilde{q}_{CC} + \tilde{v}_{CD} \, p_{CD} \, \tilde{q}_{CD} + \tilde{v}_{DC} \, p_{DC} \, \tilde{q}_{DC} + \tilde{v}_{DD} \, p_{DD} \, \tilde{q}_{DD}, \\ a_{S} = & \tilde{v}_{CC} \, p_{CC} \, (1 - \tilde{q}_{CC}) + \tilde{v}_{CD} \, p_{CD} \, (1 - \tilde{q}_{CD}) + \tilde{v}_{DC} \, p_{DC} \, (1 - \tilde{q}_{DC}) + \tilde{v}_{DD} \, p_{DD} \, (1 - \tilde{q}_{DD}), \\ a_{T} = & \tilde{v}_{CC} \, (1 - p_{CC}) \, \tilde{q}_{CC} + \tilde{v}_{CD} \, (1 - p_{CD}) \, \tilde{q}_{CD} + \tilde{v}_{DC} \, (1 - p_{DC}) \, \tilde{q}_{DC} + \tilde{v}_{DD} \, (1 - p_{DD}) \, \tilde{q}_{DD}, \\ a_{P} = & \tilde{v}_{CC} \, (1 - p_{CC}) \, (1 - \tilde{q}_{CC}) + \tilde{v}_{CD} \, (1 - p_{CD}) \, (1 - \tilde{q}_{CD}) + \tilde{v}_{DC} \, (1 - p_{DD}) \, (1 - \tilde{q}_{DD}). \end{split}$$

This gives the following payoff expressions:

$$s_{\tilde{\mathbf{q}}^{i},\mathbf{p}} = P(1 - p_{DD}) + Tp_{DD} \qquad for \quad i \in \{0, 2, 4, 6, 8, 10, 12, 14\}$$

$$s_{\tilde{\mathbf{q}}^{i},\mathbf{p}} = \frac{-P(p_{CD} + p_{DC} - 2) + Rp_{DD} - S(p_{DD} - 1) + T(p_{CD} + p_{DC})}{3} \qquad for \quad i \in \{1, 9\}$$

$$s_{\tilde{\mathbf{q}}^{i},\mathbf{p}} = \frac{P(1 - p_{CD}) + R(p_{DC} + p_{DD}) - S(p_{DC} + p_{DD} - 2) + T(p_{CD} + 1)}{4} \qquad for \quad i \in \{3\}$$

$$s_{\tilde{\mathbf{q}}^{i},\mathbf{p}} = \frac{P(1 - p_{DC}) + Rp_{CD} - S(p_{CD} - 1) + Tp_{DC}}{2} \qquad for \quad i \in \{4, 5, 12, 13\}$$

$$s_{\tilde{\mathbf{q}}^{i},\mathbf{p}} = \frac{R(p_{CD} + p_{DC}) - S(p_{CD} + p_{DC} - 2) + T}{3} \qquad for \quad i \in \{6, 7\}$$

$$s_{\tilde{\mathbf{q}}^{i},\mathbf{p}} = R \qquad for \quad i \in \{8, 9, 10, 11, 12, 13, 14, 15\}$$

Setting the above expressions to smaller than R gives the following conditions,

$$\begin{split} p_{DD} < \frac{P-R}{P-T}, & p_{CD} + p_{DC} < \frac{2P + R(p_{DD} - 3) - S(p_{DD} + 1)}{P-T}, & p_{CD} + p_{DC} < \frac{3R - 2S - T}{R-S} \\ \\ p_{DC} + p_{DD} < \frac{P(p_{CD} - 1) - 2S - T(p_{CD} + 1)}{R-S}, & p_{CD} \left(R - S\right) + p_{DC} \left(T - P\right) < 2R - S - P \end{split}$$

Consider the case where T=1 and S=0,

$$\begin{split} p_{DD} &< \frac{P-R}{P-1}, \qquad p_{CD} + p_{DC} < \frac{2P + R(p_{DD} - 3)}{P-1}, \qquad p_{CD} + p_{DC} < \frac{3R-1}{R} \\ \\ p_{DC} + p_{DD} &< \frac{P(p_{CD} - 1) - 1(p_{CD} + 1)}{R}, \qquad p_{CD} \, R + p_{DC} \, (1-P) < 2R - P. \end{split}$$

There are five conditions, however note that

$$p_{CD} < \frac{2R - P - p_{DC} (1 - P)}{R}$$
 (54)
 $p_{CD} < \frac{3R - 1}{R} - p_{DC}$ (55)

$$p_{CD} < \frac{3R - 1}{R} - p_{DC} \tag{55}$$

by setting (66) greater ti 65 we get,

$$(1 - P) < R$$

which is always true and thus we can only consider condition 66, as condition 65 will also be satisfied. Similarly by setting (64) greater ti 61 we get,

$$\frac{2P + Rp_{DD} - 3R}{P - 1} < \frac{3R - 1}{R} \tag{56}$$

Figures 5

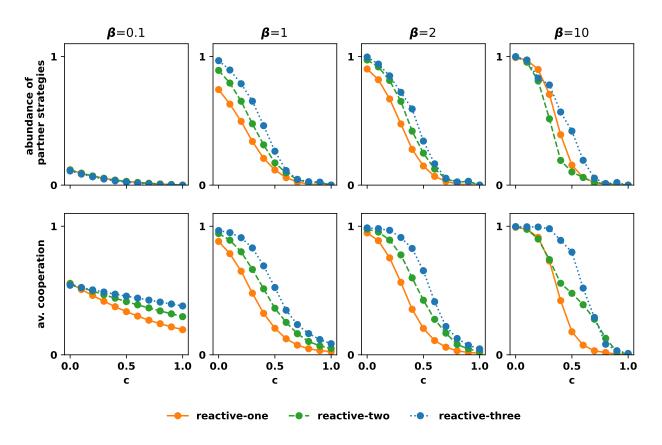


Figure 1: The abundance of partner strategies for n = 1, 2, 3 and b = 1, c = 0.5.

Pure Self-Reactive-Three Strategies

- $\tilde{\mathbf{q}}^0 = (0, 0, 0, 0, 0, 0, 0, 0)$
- $\tilde{\mathbf{q}}^3 = (0, 0, 0, 0, 0, 0, 1, 1)$ $\tilde{\mathbf{q}}^6 = (0, 0, 0, 0, 0, 1, 1, 0)$

- $\begin{array}{lll} \bullet \ \ \tilde{\mathbf{q}}^1 = (0,\,0,\,0,\,0,\,0,\,0,\,0,\,1) & \bullet \ \ \tilde{\mathbf{q}}^4 = (0,\,0,\,0,\,0,\,0,\,1,\,0,\,0) & \bullet \ \ \tilde{\mathbf{q}}^7 = (0,\,0,\,0,\,0,\,0,\,1,\,1,\,1) \\ \bullet \ \ \tilde{\mathbf{q}}^2 = (0,\,0,\,0,\,0,\,0,\,0,\,1,\,0,\,1) & \bullet \ \ \tilde{\mathbf{q}}^8 = (0,\,0,\,0,\,0,\,0,\,1,\,0,\,0) \\ \end{array}$

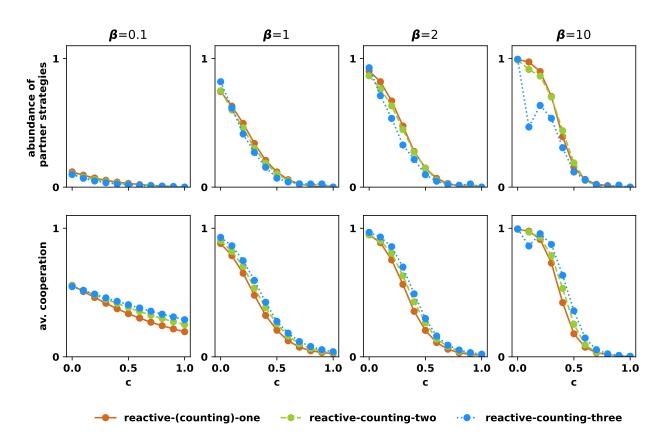


Figure 2: The abundance of partner counting strategies for n=1,2,3 and b=1,c=0.5.

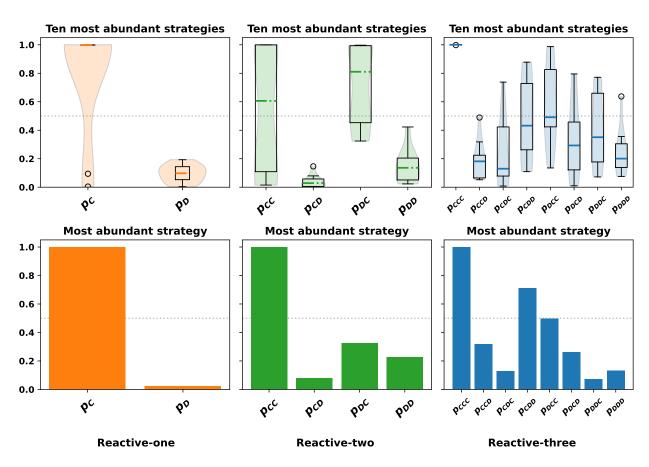


Figure 3: The most abundant reactive-n strategies for n=1,2,3 and $b=1,c=0.5,\beta=1$.

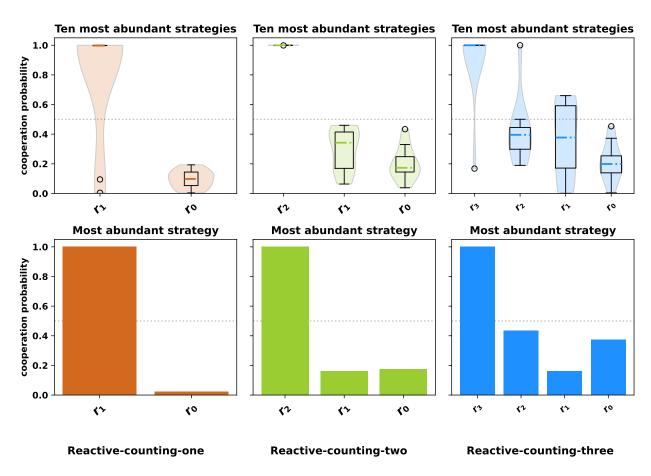


Figure 4: The most abundant reactive-counting-n strategies for n=1,2,3 and $b=1,c=0.5,\beta=1$.

- $\tilde{\mathbf{q}}^9 = (0, 0, 0, 0, 1, 0, 0, 1)$
- $\tilde{\mathbf{q}}^{10} = (0, 0, 0, 0, 1, 0, 1, 0)$
- $\tilde{\mathbf{q}}^{11} = (0, 0, 0, 0, 1, 0, 1, 1)$
- $\tilde{\mathbf{q}}^{12} = (0, 0, 0, 0, 1, 1, 0, 0)$
- $\tilde{\mathbf{q}}^{13} = (0, 0, 0, 0, 1, 1, 0, 1)$
- $\tilde{\mathbf{q}}^{14} = (0, 0, 0, 0, 1, 1, 1, 0)$
- $\tilde{\mathbf{q}}^{15} = (0, 0, 0, 0, 1, 1, 1, 1)$
- $\tilde{\mathbf{q}}^{16} = (0, 0, 0, 1, 0, 0, 0, 0)$
- $\tilde{\mathbf{q}}^{17} = (0, 0, 0, 1, 0, 0, 0, 1)$
- $\tilde{\mathbf{q}}^{18} = (0, 0, 0, 1, 0, 0, 1, 0)$
- $\tilde{\mathbf{q}}^{19} = (0, 0, 0, 1, 0, 0, 1, 1)$
- $\tilde{\mathbf{q}}^{20} = (0, 0, 0, 1, 0, 1, 0, 0)$
- $\tilde{\mathbf{q}}^{21} = (0, 0, 0, 1, 0, 1, 0, 1)$
- $\tilde{\mathbf{q}}^{22} = (0, 0, 0, 1, 0, 1, 1, 0)$
- $\tilde{\mathbf{q}}^{23} = (0, 0, 0, 1, 0, 1, 1, 1)$
- $\tilde{\mathbf{q}}^{24} = (0, 0, 0, 1, 1, 0, 0, 0)$
- $\tilde{\mathbf{q}}^{25} = (0, 0, 0, 1, 1, 0, 0, 1)$
- $\tilde{\mathbf{q}}^{26} = (0, 0, 0, 1, 1, 0, 1, 0)$
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- $\tilde{\mathbf{q}}^{39} = (0, 0, 1, 0, 0, 1, 1, 1)$
- $\tilde{\mathbf{q}}^{40} = (0, 0, 1, 0, 1, 0, 0, 0)$

- $\tilde{\mathbf{q}}^{41} = (0, 0, 1, 0, 1, 0, 0, 1)$
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