

# A meta analysis of tournaments and an evaluation of performance in the Iterated Prisoner’s Dilemma.

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## Abstract

The Iterated Prisoner’s Dilemma has been used for decades as a model of behavioural interactions. From the celebrated performance of Tit for Tat, to the introduction of the zero-determinant strategies, to the use of sophisticated structures such as neural networks, the literature has been exploring the performance of strategies in the game for years. Most of these strategies are now accessible due to an open source package; Axelrod-Python. This manuscript make use of Axelrod-Python to conduct a meta analysis of Iterated Prisoner’s Dilemma tournaments. The aim is to evaluate the performance of 194 strategies over 45686 Iterated Prisoner’s Dilemma tournaments and to explore the factors of their success.

## 1 Background

The Iterated Prisoner’s Dilemma (IPD) is a repeated two player game that models behavioural interactions, and more specifically, interactions where self-interest clashes with collective interest. At each turn of the game both players, simultaneously and independently, decide between cooperation (C) and defection (D) whilst having memory of their prior interactions. The payoffs for each player, at each turn, is influenced by their own choice and the choice of the other player. The payoffs of the game are generally defined by:

$$\begin{pmatrix} R & S \\ T & P \end{pmatrix}$$

where  $T > R > P > S$  and  $2R > T + S$ . The most common values used in the literature [17] are  $R = 3, P = 1, T = 5, S = 0$ . These values are also used in this work.

Conceptualising strategies and understanding the best way of playing the game has been of interest to the scientific community since the formulation of the game in 1950 [29]. Following the computer tournaments of Axelrod in 1980’s [15, 16], a strategy’s performance in a round robin computer tournament became a common evaluation technique for newly designed strategies. Today more than 200 strategies exist in the literature and several tournaments, excluding Axelrod’s, have been undertaken [20, 33, 37, 57, 58].

In the 80’s, Axelrod performed two computer tournaments [15, 16]. The contestants were strategies submitted in the form of computer code. They competed against all other entries, a copy of themselves and a random strategy. The winner was decided on the average score a strategy achieved. The winner of both tournaments was the simple strategy Tit For Tat which cooperated on the first turn and then simply copied the previous action of it’s opponent. Due to the strategy’s strong performance in both tournaments, and moreover in a series of evolutionary experiments [17], Tit For Tat was thought to be the most robust basic strategy in the IPD.

However, further research proved that the strategy had weakness, and more specifically, it was shown that the strategy suffered in environments with noise [20, 28, 47, 56]. This was mainly due to the strategy’s lack of generosity and contrition. The strategy was quick to punish a defection, and in a noisy environment it could lead to a repeated cycle of defections and cooperations. Some new strategies, more robust in tournaments with noise, were soon introduced and became the new protagonists of the game. These include Nice and Forgiving [20], Pavlov [49] and Generous Tit For Tat [50].

In 2004, a 20<sup>th</sup> Anniversary Iterated Prisoner Dilemma Tournament took place with 233 entries. This time the winning strategy was not designed on a reciprocity based approach but on a mechanism of teams [26, 27, 54]. A team from Southampton University took advantage of the fact that a participant was allowed to submit multiple strategies. They submitted a total of 60 strategies that could recognise each other and colluded to increase one members score. This resulted with three of the strategies to be ranked in the top spots. The performance of the Southampton University team received mixed attention, though they had won the tournament as stated in [25] ”technically this strategy violates the spirit of the Prisoner’s Dilemma, which assumes that the two prisoners cannot communicate with one another”.

Another set of IPD strategies that have received a lot of attention, the American Mathematical Society’s news section stated that “the world of game theory is currently on fire”, are the zero-determinants [52]. By forcing a linear relationship between the payoffs the zero-determinant strategies can ensure that they will never receive less than their opponents. Zero-determinant strategies are indeed a set of mathematically unique strategies and robust in pairwise interactions, however, their simplicity and extortionate behaviour have been tested. In [33] a tournament containing over 200 strategies, including zero-determinants, was ran. None of the zero-determinant strategies ranked in top spots. Instead, the top ranked strategies were a set of trained strategies based lookup tables [14], hidden markov models [33] and finite state automata [45].

Though only a few selected pieces of work have been discussed, the IPD is rich, and new strategies and competitions are being published every year. The question, however, still remains the same: what is the best way to play the game?

This manuscript uses an open source package called Axelrod-Python [4] to simulate a large number of computer tournaments, using as many strategies as possible from the literature. The aim is to evaluate the performance of 194 strategies in IPD tournaments, and furthermore, explore the factors of their success. This is done not only for standard round robin tournaments, but also for tournaments with noisy and tournaments with a probabilistic ending. The different tournament types as well as the data collection are covered in Section 2. Section 3, focuses on the best performed strategies for each type of tournament and overall. Section 4, explores the traits which contribute to good performance, and finally the results are summarised in Section 5.

This manuscript uses several parameters. These are introduced in the following sections, however, the full set of parameters and their definitions are given in Appendix A.

## 2 Data collection

For the purposes of this manuscript a data set containing results of IPD tournaments has been generated and is available at [32]. This was done using the open source package Axelrod-Python [4], and more specifically, version 3.0.0. Axelrod-Python allows for different types of IPD computer tournaments to be simulated whilst containing a list of over 180 strategies. Most of these are strategies described in the literature with a few exceptions being strategies that have been contributed specifically to the package. This paper make use of 194 strategies implemented in version 3.0.0. A list of the strategies is given in the Appendix B. Though Axelrod-Python features several tournament types, this work considers only standard, noisy, probabilistic

ending and noisy probabilistic ending tournaments.

**Standard tournaments**, are tournaments similar to that of Axelrod's in [15]. There are  $N$  strategies which all play an iterated game of  $n$  number of turns against each other. Note that self interactions and a match against a random strategy are not included. Similarly, **noisy tournaments** have  $N$  strategies and  $n$  number of turns, but at each turn there is a probability  $p$  that a player's action will be flipped. **Probabilistic ending tournaments**, are of size  $N$  and after each turn a match between strategies ends with a given probability  $e$ . Finally, **noisy probabilistic ending** tournaments have both a noise probability  $p$  and an ending probability  $e$ . For smoothing the simulated results a tournament is repeated for  $k$  number of times and the winner of each tournament is based on the average score a strategy achieved and not by number of wins.

The process of collecting tournament results implemented in this manuscript is described by Algorithm 1. For each trial a random size  $N$  is selected, and from the 194 strategies a random list of  $N$  strategies is chosen. For the given list of strategies a standard, a noisy, a probabilistic ending and a noisy probabilistic ending tournament are performed and repeated  $k$  times. The parameters for the tournaments as well as the number of repetitions are selected once for each trial. The parameters and their respective minimum and maximum values are given by Table 1.

parameter	parameter explanation	min value	max value
$N$	number of strategies	3	195
$k$	number of repetitions	10	100
$n$	number of turns	1	200
$p$	probability of flipping action at each turn	0	1
$e$	probability of match ending in the next turn	0	1

Table 1: Data collection; parameters' values

The source code for the data collection as well as the source code for the analysis, which will be discussed in the following sections, have been written following best practices [5, 21]. It has been packaged and is available here.

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**Algorithm 1:** Data collection Algorithm

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**foreach**  $seed \in [0, 11420]$  **do**

$N \leftarrow$  randomly select integer  $\in [N_{min}, N_{max}]$ ;  
 players  $\leftarrow$  randomly select  $N$  players;  
 $k \leftarrow$  randomly select integer  $\in [k_{min}, k_{max}]$ ;  
 $n \leftarrow$  randomly select integer  $\in [n_{min}, n_{max}]$ ;  
 $p \leftarrow$  randomly select float  $\in [p_{min}, p_{max}]$ ;  
 $e \leftarrow$  randomly select float  $\in [e_{min}, e_{max}]$ ;  
  
 result standard  $\leftarrow$  Axelrod.tournament(players,  $n$ ,  $k$ );  
 result noisy  $\leftarrow$  Axelrod.tournament(players,  $n$ ,  $p$ ,  $k$ );  
 result probabilistic ending  $\leftarrow$  Axelrod.tournament(players,  $e$ ,  $k$ );  
 result noisy probabilistic ending  $\leftarrow$  Axelrod.tournament(players,  $p$ ,  $e$ ,  $k$ );

**return** *result standard, result noisy, result probabilistic ending, result noisy probabilistic ending*;

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A total of 11420 trials of Algorithm 1 have been run. For each trial the results for 4 different tournaments

were collected, thus a total of 45686 ( $11420 \times 4$ ) tournament results have been retrieved. Each tournament outputs a result summary in the form of Table 2.

The result summary, Table 2, has  $N$  number of rows because each row contains information for each strategy that participated in the tournament. The information include the strategy’s rank, median score, the rate with which the strategy cooperated ( $C_r$ ), it’s wins and the probability that the strategy cooperated in the opening move. Moreover, the rates of a strategy being in any of the four states ( $CC, CD, DC, DD$ ), and the rate of which the strategy cooperated after each state.

Rank	Name	Median score	Cooperation rating ( $C_r$ )	Win	Initial C	Rates							
						CC	CD	DC	DD	CC to C	CD to C	DC to C	DD to C
0	EvolvedLookerUp2 2 2	2.97	0.705	28.0	1.0	0.639	0.066	0.189	0.106	0.836	0.481	0.568	0.8
1	Evolved FSM 16 Noise 05	2.875	0.697	21.0	1.0	0.676	0.020	0.135	0.168	0.985	0.571	0.392	0.07
2	PSO Gambler 1 1 1	2.874	0.684	23.0	1.0	0.651	0.034	0.152	0.164	1.000	0.283	0.000	0.136
3	PSO Gambler Mem1	2.861	0.706	23.0	1.0	0.663	0.042	0.145	0.150	1.000	0.510	0.000	0.122
4	Winner12	2.835	0.682	20.0	1.0	0.651	0.031	0.141	0.177	1.000	0.441	0.000	0.462
...	...	...	...	...	...	...	...	...	...	...	...	...	...

Table 2: Output result of a signle tournament.

A measure that has been manually included is the **normalised rank**. The normalised rank, denoted as  $r$ , is calculated as a strategy’s rank divided by the tournament’s size ( $N$ ). The normalised rank will be used in the next section to evaluate the performance of strategies.

### 3 Top ranked strategies

This section evaluates the performance of 194 IPD strategies. The performance of each strategy is evaluated in four tournament types, which were presented in Section 2, followed by an evaluation of their performance over all the 45686 simulated tournaments of this work.

Each strategy participated in multiple tournaments of the same type (on average 5180). For example Tit For Tat has participated in a total of 5114 tournaments of each type. The strategy’s normalised rank distribution in these is given in Figure 1. Because of the strategies’ multiple entries their performance is evaluated based on the **median normalised rank** denoted as  $\bar{r}$ . A value of  $\bar{r} = 0$  corresponds to a strategy winning the tournament where a a value of  $\bar{r} = 1$  corresponds to the strategy coming last.

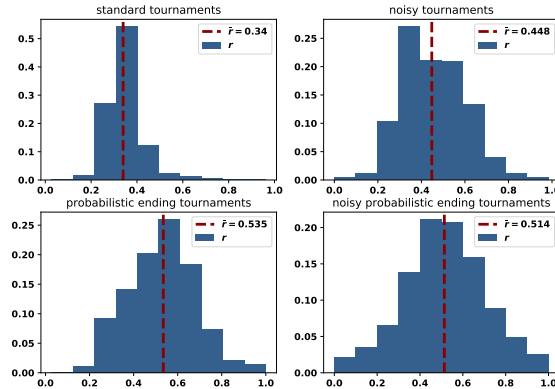


Figure 1: Tit For Tat’s  $r$  distribution in tournaments. The best performance of the strategy has been in standard tournaments where it achieved a  $\bar{r}$  of 0.339.

The top 15 strategies for each tournament type based on  $\bar{r}$  are given in Table 3.

Standard			Noisy		Probabilistic ending		Noisy probabilistic ending	
Name	$\bar{r}$		Name	$\bar{r}$	Name	$\bar{r}$	Name	$\bar{r}$
1 Evolved HMM 5	0.00667		Grumpy	0.1402	Fortress4	0.01266	Alternator	0.3037
2 Evolved FSM 16	0.00995		$e$	0.19388	Defector	0.01429	$\phi$	0.30978
3 EvolvedLookerUp2 2 2	0.01064		Tit For 2 Tats	0.2069	Better and Better	0.01587	$e$	0.3125
4 Evolved FSM 16 Noise 05	0.01667		Cycle Hunter	0.21538	Tricky Defector	0.01875	$\pi$	0.31686
5 PSO Gambler 2 2 2	0.02143		Risky QLearner	0.22222	Fortress3	0.02174	Limited Retaliate	0.35263
6 Evolved ANN	0.02878		Retaliate 3	0.22887	Gradual Killer	0.02532	Anti Tit For Tat	0.35431
7 Evolved ANN 5	0.0339		Cycler CCCCCD	0.23507	Aggravater	0.02778	Retaliate 3	0.35563
8 PSO Gambler 1 1 1	0.03704		Retaliate 2	0.23913	Raider	0.03077	Limited Retaliate 3	0.35563
9 Evolved FSM 4	0.04891		Defector Hunter	0.24038	Cycler DDC	0.04545	Retaliate	0.35714
10 PSO Gambler Mem1	0.05036		Retaliate	0.24177	Hard Prober	0.05128	Retaliate 2	0.35767
11 Winner12	0.06011		Hard Tit For 2 Tats	0.25	SolutionB1	0.06024	Limited Retaliate 2	0.36134
12 Fool Me Once	0.0614		ShortMem	0.25286	Meta Minority	0.06077	Hopeless	0.36842
13 DBS	0.07143		Limited Retaliate 3	0.25316	Bully	0.06081	Arrogant QLearner	0.40651
14 DoubleCrosser	0.072		Limited Retaliate	0.25706	Fool Me Forever	0.0708	Cautious QLearner	0.40909
15 BackStabber	0.07519		$\pi$	0.25801	EasyGo	0.07101	Fool Me Forever	0.41764

Table 3: Top performances for each tournament type based on  $\bar{r}$ .

In standard tournaments 10 out of the 15 top strategies are introduced in [33]. These are strategies based on finite state automata (FSM), hidden markov models (HMM), artificial neural networks (ANN), lookup tables (LookerUp) and stochastic lookup tables (Gambler) that have been trained using reinforcement learning algorithms (evolutionary and particle swarm algorithms). They have been trained to perform well against the strategies in [4] in a standard setting, thus their performance in the specific setting was anticipated. DoubleCrosser, and Fool Me Once, are strategies not from the literature but from [4]. DoubleCrosser is a strategy that makes use of the number of turns because is set to defect on the last two rounds. The strategy was expected to not perform as well in tournaments where the number of turns is not specified, but the strategy did not perform well in tournaments with noise either. Finally, Winner 12 [44] and DBS [13] are both from the literature. DBS is strategy specifically designed for noisy environments, however, it ranks highly only in standard ones.

Figure 2 gives the distributions of  $r$  for the top ranked strategies. The distributions are skewed towards zero and the highest median is at 0.075. This indicates that the top ranked strategies are very likely to perform well in a standard tournament despite the tournament size, the opponents, the turns etc.

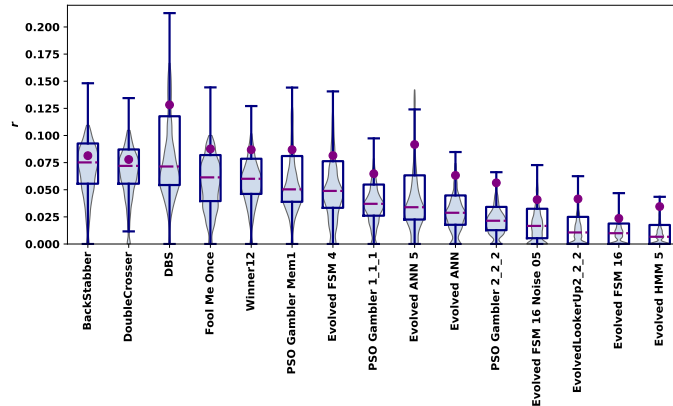


Figure 2:  $r$  distributions of top 15 strategies in standard tournaments.

The top strategies in noisy tournaments include deterministic strategies, such as Tit For 2 Tats [16], Hard

Tit For 2 Tats [58] and Cycler CCCCCD, and strategies which decide their actions based on the cooperations to defections ratio, such as ShortMem [23], Grumpy,  $e$ ,  $\pi$  and  $\phi$  (all from [4]). The Retaliate and Limited Retaliate strategies are implemented in [4] by the same contributor. The strategies are designed to defect if the opponent has tricked the strategy more often than  $x\%$  of the times that the strategy has done the same. Finally, in 4<sup>th</sup> and 9<sup>th</sup> are Hunter strategies which trying to extort, equivalently, strategies that play cyclically and defectors.

From Figure 3, it is evident that the normalised rank distributions in noisy environments are more variant with higher medians compared to standard tournaments. The distributions are skewed both towards 0 and 1. This indicates that although the top ranked strategies mainly performed well, there are several tournaments that they ranked in the bottom half.

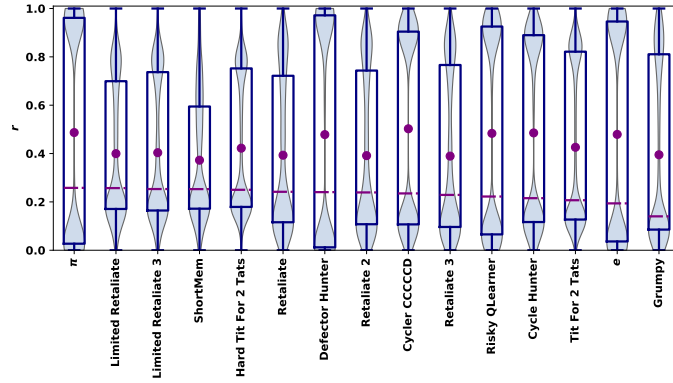


Figure 3:  $r$  distributions for best performed strategies in noisy tournaments.

The 15 top ranked strategies in probabilistic ending tournaments include Fortress 3, Fortress 4 (both introduced in [11]), Raider [12] and Solution B1 [12], which are strategies based on finite state automata introduced by Daniel and Wendy Ashlock. These strategies have been evolved using reinforced learning, however, there were trained to maximise their payoffs in tournaments with fixed turns (150 specifically) and not in probabilistic ending ones. In probabilistic ending tournaments it appears that the top ranks are mostly occupied by defecting strategies. These include Better and Better, Gradual Killer, Hard Prober (all from [1]), Bully (Reverse Tit For Tat) [48] and Defector. Thus, it's surprisingly that EasyGo and Fool Me Forever are ranked 14<sup>th</sup> and 15<sup>th</sup>. These strategies are actually the same; they will defect until their opponent defect, then they will cooperate until the end. Both strategies have repeatedly ranked highly as shown in Figure 4, and there are cases for which they were the winners of the tournament.

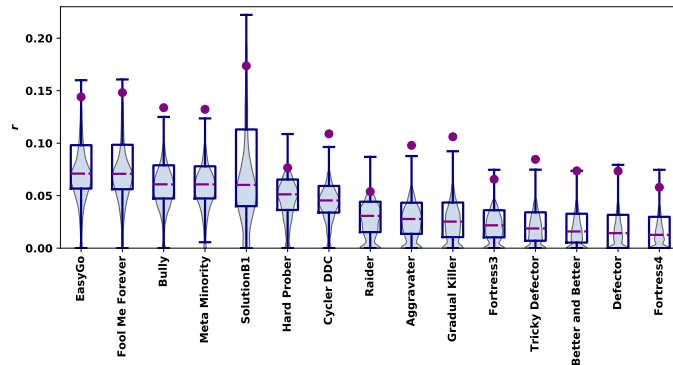


Figure 4:  $r$  distributions for best performed strategies in probabilistic ending tournaments.

The distributions of the normalised rank in probabilistic ending tournaments, Figure 4, are less variant than those of noisy tournaments. The medians are lower than 0.1 and the distributions are skewed towards 0. Though the large difference between the means and the medians indicates some outliers, the strategies have overall performed well in the probabilistic ending tournaments that they participated.

In tournaments with both noise and an unspecified number of turns several of the top ranked strategies are strategies that were highly ranked in noisy tournaments. This demonstrates that,  $e$ ,  $\pi$ ,  $\phi$  and the Retaliate family are robust in noisy environments regardless the number of turns. However, strategies from the top ranks in probabilistic ending tournaments did not rank highly here. The distributions of the top scored strategies are shown in Figure 5. There is no statistical difference between the distributions (this has been tested using an ANOVA test). Thus, on average these strategies had the same performances.

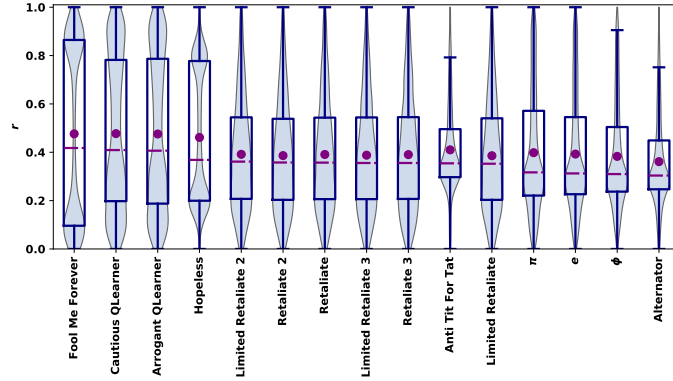


Figure 5:  $r$  distributions for best performed strategies in noisy probabilistic ending tournaments.

Up till now, the performances of the 194 strategies have been evaluated for individual tournament types. The data set considered in this work, described in Section 2, contains a total of 45686 tournament results. For this part of the manuscript the strategies are ranked based on the median normalised rank they achieved over the entire data set. The top 15 strategies are given in Table 4 and their normalised rank distributions are given in Figure 6.

Name	Normalized_Rank
Limited Retaliate 3	0.28609
Retaliate 3	0.29630
Retaliate 2	0.30250
Limited Retaliate 2	0.30328
Limited Retaliate	0.31000
Retaliate	0.31707
BackStabber	0.32381
DoubleCROSSer	0.33136
Nice Meta Winner	0.34921
PSO Gambler 2.2.2 Noise 05	0.35146
Grudger	0.35156
Evolved HMM 5	0.35714
NMWE Memory One	0.35714
Nice Meta Winner Ensemble	0.35870
Forgetful Fool Me Once	0.35884

Table 4: Top performances over all the tournaments

The top ranks include strategies that have previously mentioned. The top ranks are overtaken by the set of Retaliate strategies followed by BackStabber and DoubleCROSSer. DoubleCROSSer performed well in standard tournaments and the strategy is just an extension of BackStabber. PSO Gambler and Evolved HMM 5 are

trained strategies introduced in [33] and Nice Meta Winner and NMWE Memory One are strategies based on teams. Grudger is a strategy from Axelrod’s original tournament and Forgetful Fool Me Once is based on the same approach as Grudger.

Figure 6 gives the normalised rank distributions of these strategies. The distributions of the Retaliate strategies have no statistical difference. Thus, in an IPD tournament where the type is not specified, playing as any of the Retaliate strategies will have the result.

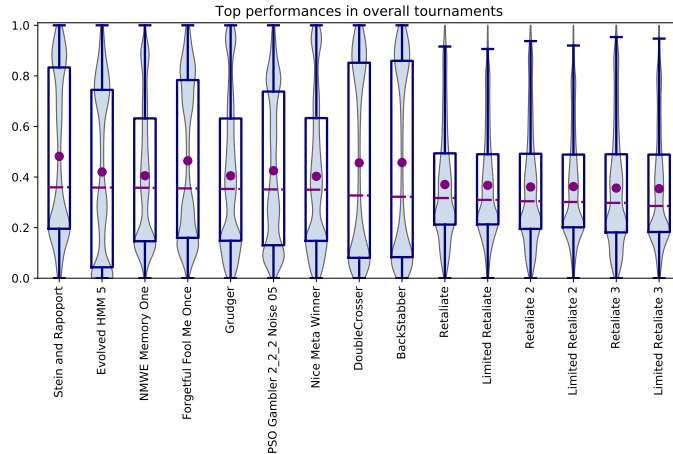


Figure 6:  $r$  distributions for best performed strategies in the data set [32].

This section presented the winning strategies in a series of IPD tournaments. In standard tournaments the top spots were dominated by complex strategies that had been trained using reinforcement learning techniques. In noisy environments, whether the number of turns was fixed or not, the winning strategies were deterministic strategies designed to defect if the opponent tricked them more than a current amount of the times that they had tricked their opponent. In probabilistic ending tournaments most of the winning strategies were defecting strategies. A few trained finite state automata, designed by the same authors, also performed well in this setting. Finally the performance of all 194 strategies over the 45686 tournaments in this manuscript was assessed on  $\bar{r}$ . The top ranked strategies were a mixture of behaviours that did well in standard tournaments and tournaments with noise, as well as a few strategies based on teams.

The results of this section imply that successful strategies for specific settings exist for an IPD tournament. The top ranked strategies in both standard tournaments and tournaments with probabilistic ending, managed to rank in the top 10% of the tournament most of the times. Strategies successful in noisy environments demonstrated that they were not affected by the number of turns. However, there has been not a single strategy that has shown to perform well in more than one setting. The aim of the next section is to understand which are the factors that made these strategies successful. In each setting separately but also overall.

## 4 Evaluation of performance

The aim of this section is to explore the factors that contribute to a strategy’s successful performance. The factors explored are measures regarding a strategy’s behaviour, along with measures regarding the tournaments the strategies competed in. These are given in Table 5.

Axelrod-Python makes use of classifiers to classify strategies according to various dimensions. The classifiers



measure	measure explanation	source	value type	min value	max value
stochastic	If a strategy is stochastic	strategy classifier from [4]	boolean	False	True
makes use of game	If a strategy makes used of the game information	strategy classifier from [4]	boolean	False	True
makes use of length	If a strategy makes used of the number of turns	strategy classifier from [4]	boolean	False	True
memory usage	The memory size of a strategy divided by the number of turns	memory size from [4]	float	0	1
SSE	A measure of how far a strategy is from extortionate behaviour	method described in [39]	float	0	1
max cooperating rate ( $C_{\max}$ )	The biggest cooperating rate in the tournament	result summary	float	0	1
min cooperating rate ( $C_{\min}$ )	The smallest cooperating rate in the tournament	result summary	float	0	1
median cooperating rate ( $C_{\text{median}}$ )	The median cooperating rate in the tournament	result summary	float	0	1
mean cooperating rate ( $C_{\text{mean}}$ )	The mean cooperating rate in the tournament	result summary	float	0	1
$C_r / C_{\max}$	A strategy's cooperating rate divided by the maximum	result summary	float	0	1
$C_r / C_{\min}$	A strategy's cooperating rate divided by the minimum	result summary	float	0	1
$C_r / C_{\text{median}}$	A strategy's cooperating rate divided by the median	result summary	float	0	1
$C_r / C_{\text{mean}}$	A strategy's cooperating rate divided by the mean	result summary	float	0	1
$C_r$	The cooperating ratio of a strategy	result summary	float	0	1
$CC$ to $C$ rate	The probability a strategy will cooperate after a mutual cooperation	result summary	float	0	1
$CD$ to $C$ rate	The probability a strategy will cooperate after being betrayed by the opponent	result summary	float	0	1
$DC$ to $C$ rate	The probability a strategy will cooperate after betraying the opponent	result summary	float	0	1
$DD$ to $C$ rate	The probability a strategy will cooperate after a mutual defection	result summary	float	0	1
$p$	The probability of a player's action being flip at each interaction	trial summary	float	0	1
$n$	The number of turns	trial summary	integer	1	200
$e$	The probability of a match ending in the next turn	trial summary	float	0	1
$N$	The number of strategies in the tournament	trial summary	integer	3	195

Table 5: The measures which are included in the performance evaluation analysis.

considered in this work are the stochastic classifier, the make use of length and game classifiers. These determine whether a strategy is stochastic or deterministic, whether it makes use of the number of turns or the game's payoffs. The memory usage measure is calculated as the memory size of strategy (which is specified in the strategies implementation in [4]) divide by the number of turns. For tournaments with a probabilistic ending the number of turns has been not collected, so the memory usage measure is not used for probabilistic ending tournaments. The SSE is a measure of extortionate behaviour introduced in [39]. A value of 1 indicates no extortionate behaviour at all whereas a value of 0 indicates that a strategy is always trying to extortion it's opponent. The rest of the factors considered are the  $CC$  to  $C$ ,  $CD$  to  $C$ ,  $DC$  to  $C$ , and  $DD$  to  $C$  rates as well as cooperating ratio of a strategy. The minimum, maximum, medium and median cooperating ratios of each tournament are also included, and finally the number of turns, the number of strategies and the probabilities of noise and the game ending are also included.

Initially, the effect of these measures has on a strategy's success is evaluated based on the correlation coefficient. The correlation coefficient between them, the normalised rank and the median score are given by Table 6. Note that the correlation for the classifiers is not included because they are binary variables and they will be evaluated by a different method. The correlation coefficients for all the measures in Table 5 against each have also been calculated and apd graphical representation can be found in the Appendix C.

In standard tournaments the measures  $CC$  to  $C$ ,  $C_r$ ,  $C_r/C_{\max}$  and the cooperating ratio compared to  $C_{\text{median}}$  and  $C_{\text{mean}}$  have a moderate negative effect on the normalised rank, and a moderate positive on the median score. The SSE error and the  $DD$  to  $C$  have the opposite effects. Thus, in standard tournaments behaving cooperative corresponds to a more successful performance. Even though being nice pays off, that's not true against defective strategies. Cooperating after a mutual defection lowers a strategy's success. Figure 7 confirms that the winners of standard tournaments always cooperate after a mutual cooperation and almost always defected after a mutual defection.

Compared to standard tournaments, in both noisy and in probabilistic ending tournaments the higher the rates of cooperation the lower a strategy's success and median score. A strategy would want to cooperate less than both the mean and median cooperator in such settings. In probabilistic ending tournaments the correlations coefficients have a larger values, indicating a stronger effect. Thus a strategy will be punished more by it's cooperative behaviour in probabilistic ending environments. The distributions of the  $C_r$  of the winners in both tournaments is given by Figure 8. It confirms that the winners in noisy tournaments cooperated less than 35% of the times and in probabilistic ending tournaments less than 10%.

	Standard		Noisy		Probabilistic ending		Noisy probabilistic ending		Overall	
	$r$	median score	$r$	median score	$r$	median score	$r$	median score	$r$	median score
$CC$ to $C$ rate	-0.501	0.501	0.414	-0.504	0.408	-0.323	0.260	0.022	0.108	0.081
$CD$ to $C$ rate	0.226	-0.199	0.456	-0.330	0.320	-0.017	0.205	-0.220	0.281	-0.177
$C_r$	-0.323	0.384	0.711	-0.678	0.714	-0.832	0.579	-0.135	0.360	-0.124
$C_r / C_{max}$	-0.323	0.381	0.616	-0.551	0.714	-0.833	0.536	-0.116	0.395	-0.265
$C_r / C_{mean}$	-0.331	0.358	0.731	-0.740	0.721	-0.861	0.649	-0.621	-0.161	-0.190
$C_r / C_{median}$	-0.331	0.353	0.652	-0.669	0.712	-0.852	0.330	-0.466	0.294	-0.405
$C_r / C_{min}$	0.109	-0.080	-0.358	0.250	-0.134	0.150	-0.368	0.113	0.428	-0.439
$C_{max}$	-0.000	0.049	0.000	0.023	-0.000	0.046	0.000	-0.004	0.000	0.280
$C_{mean}$	-0.000	0.229	-0.000	0.271	0.000	0.200	0.000	0.690	0.000	-0.250
$C_{median}$	0.000	0.209	-0.000	0.240	-0.000	0.187	-0.000	0.673	-0.000	0.544
$C_{min}$	0.000	0.084	0.000	-0.017	-0.000	0.007	-0.000	0.041	-0.000	0.553
$DC$ to $C$ rate	0.127	-0.100	0.509	-0.504	-0.018	0.033	0.341	-0.016	0.173	-0.088
$DD$ to $C$ rate	0.412	-0.396	0.533	-0.436	-0.103	0.176	0.378	-0.263	0.237	-0.239
$N$	0.000	-0.009	-0.000	0.002	-0.000	0.003	-0.000	0.001	-0.000	-0.001
$e$	-	-	-	-	0.000	0.165	0.000	-0.058	0.000	0.055
$n$	0.000	-0.125	-0.000	-0.024	-	-	-	-	0.000	-0.074
$p$	-	-	-0.000	0.207	-	-	-0.000	-0.650	-0.000	-0.256
Make use of game	-0.003	-0.022	0.025	-0.082	-0.053	-0.108	0.013	-0.016	-0.004	-0.053
Make use of length	-0.154	0.117	0.008	-0.134	-0.046	-0.081	0.015	-0.018	-0.044	-0.028
SSE	0.473	-0.452	0.463	-0.337	-0.156	0.223	0.305	-0.259	0.233	-0.167
memory usage	-0.084	0.095	-0.007	-0.016	-	-	-	-	-0.046	0.040
stochastic	0.006	-0.024	0.022	-0.026	0.002	-0.130	0.021	-0.013	0.013	-0.048

Table 6: Correlations table between the measures of Table 5 the normalised rank and the median score.

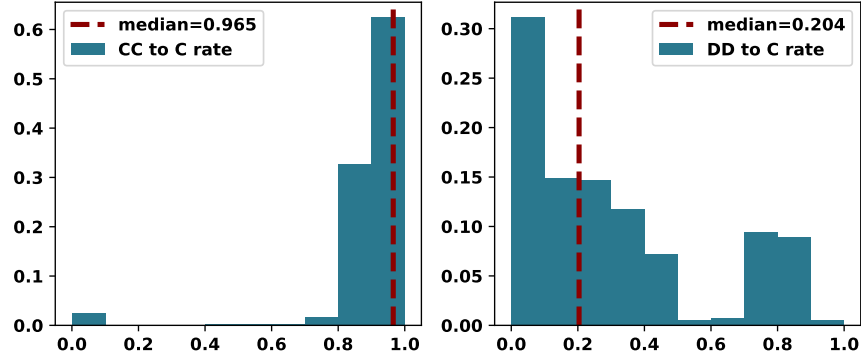


Figure 7: Distributions of  $CC$  to  $C$  and  $DD$  to  $C$  for the winners in standard tournaments.

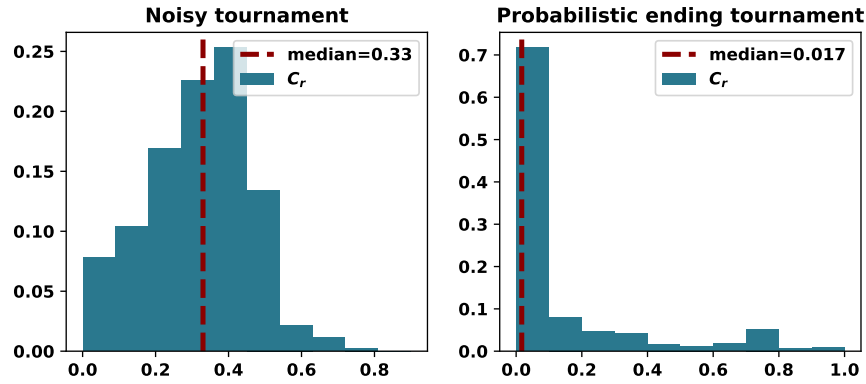


Figure 8:  $C_r$  distributions of the winners in noisy and in probabilistic ending tournaments.

In noisy probabilistic ending tournaments and in over all the tournaments' results, the pdonly measures that had a moderate affect are  $C_r/C_{\text{mean}}$ ,  $C_r/C_{\text{max}}$  and  $C_r$ . In such environments cooperative behaviour appears to be punished by not as much as in noisy and probabilistic ending tournaments.

The effect of the measures on performances is further tested using a random forest classification [22] approach. Initially, the performances are clustered based on their normalised rank and the median score by a  $k$ -means algorithm [8]. The number of clusters is not deterministically chosen but it is based on the silhouette coefficients [55]. Consider the case of standard tournaments, the chosen number of clusters is 2 and Figure 9 illustrates the trials of clustering the performances in 2, 3 and 4 clusters respectively. The number of chosen clusters for each type and overall are given in Table 7.

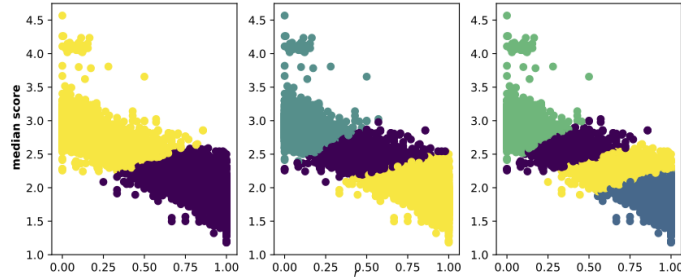


Figure 9: Clustering trials for standard tournaments. A number of 2 clusters has been chosen with a silhouette score of 0.66 against 0.511 and 0.50 respectively.

Tournament type	Number of clusters	Silhouette coefficient
standard	2	0.648
noisy	3	0.493
probabilistic ending	2	0.680
noisy probabilistic ending	4	0.415
over 45686 tournaments	3	0.443

Table 7: Number of clusters for each type and over all the tournament results and the respective silhouette coefficients.

A random forest approach is applied to the data to predict the cluster to which a strategy's performance has been assigned to. The random forest method constructs many individual decision trees and the predictions from all trees are pooled to make the final prediction. The random forest models are trained on a training set of 70% of the tournaments results. The accuracy of each model based on  $R^2$  are given by Table 8. The out of the bag error [34] has also been calculated. The models fit well, and a high value of both the accuracy measure on the test data and the OOB error indicate that the model is not over fitting.

Tournament type	$R^2$ training data	$R^2$ test data	$R^2$ OOB score
standard	0.998545	0.989890	0.982331
noisy	0.996677	0.950572	0.935383
probabilistic ending	0.999592	0.995128	0.992819
noisy probabilistic ending	0.990490	0.813905	0.791418
over 45686 tournaments	0.993396	0.913409	0.898059

Table 8: Accuracy metrics for random forest models.

The importance that the measures of Table 5 had on the classification task; to which cluster a performance was assigned to, have been calculated and are given by Figure 15. The classifiers which were not included in

the previous analysis appear to have no effect, and several of the measures that are highted by the importance are inline with the correlation results. The most important measures based on the random forest analysis were  $C_r/C_{median}$  and  $C_r/C_{mean}$ .

The effect of both these measures can be further explored. Their distributions for the winners of the tournaments are given by Figures 16, 17, 18, 19 and 20. The results suggest that if a strategy with a theory of mind competed in a standard tournament, then the strategy would want to be the mean/median cooperator of that tournament. In comparison, if the same strategy competed in a tournament with noisy or the strategy had no information regarding the setting of the tournament, then it would want to cooperate 67% of the times the mean or median cooperator did. In probabilistic ending tournament it has already been mentioned that defecting strategies prevail. This result is once again confirmed in this section.

In this section the effect of several measures, regarding a strategy’s behaviour and the tournament, on it’s performance were presented. This was done using two approaches. pCorrelation coefficients and a random forest analysis. The results of these are discussed in the following section.

## 5 Conclusion

This manuscript has explored the performance of 194 strategies of the Iterated Prisoner’s Dilemma in a large number of computer tournaments. The results of the analysis demonstrated that, although for specific tournament types, such as standard, noisy and probabilistic ending tournaments, dominant strategies exist there is not a single dominant strategy that is set to do if the environments vary. Moreover, a strategy with a theory of mind should aim to adapt its behaviour based on the mean and median cooperators.

The 194 strategies used in this manuscript have been mainly for the literature, and they have been accessible due to an open source software called Axelrod-Python. The software was used to generate a total of 45686 computer tournaments results with different number of strategies and different participants each time. The data collection was described in Section 2. In Section 3, the tournaments results were used to present the top performances. This was not done only over the entire data set. The data set contained results from four different settings, and these were also studied individually. In standard tournaments complex strategies trained using reinforcement learning ranked in the top places. In probabilistic ending tournaments, several trained strategies based on finite state automata also performed well. The rest top strategies, in this setting, were defecting strategies. Finally, in tournaments with noisy, the Retaliate set of strategies demonstrated their robustness in tournaments with probabilistic ending and not.

Section 4, covered an analysis of performance based on several measures associated with a strategy and with the environments it was competing. The results of this analysis showed that a strategy’s characteristics such as it’s stochasticity, and the information it used regarding the game had no effect on the strategy’s success. The most important factors have been those that compared the strategy’s behaviour to it’s environment. The cooperating ratio of the strategy compared to the mean and median cooperator was highlighted as the most important feature in the analysis. More specifically, if a strategy were to enter a tournament with a theory of mind of it’s environment it would choose to be the median cooperator in standard tournaments, the defector in probabilistic ending tournaments and to cooperate 60% of the times the median cooperator did in noisy and noisy probabilistic tournaments. Lastly, if a strategy was aware of the opponents but not of the setting on the tournament, a strategy would be more likely to be successful if it were to identify the median cooperator and cooperated 67% of the times that they did.

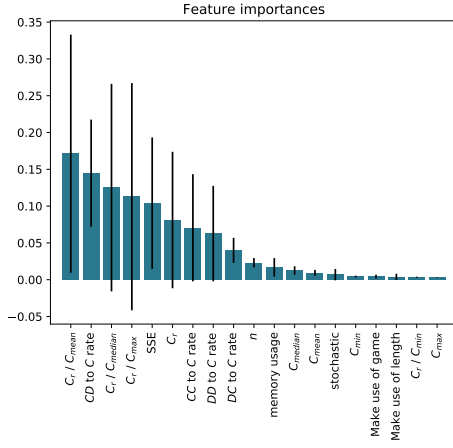


Figure 10: Standard tournaments

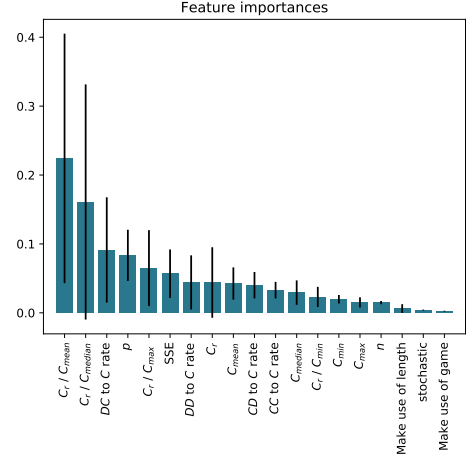


Figure 11: Noisy tournaments

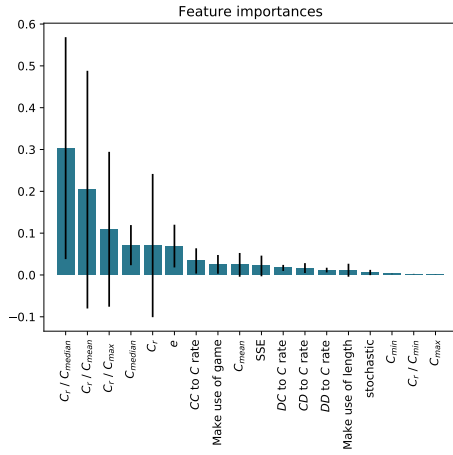


Figure 12: Probabilistic ending tournaments

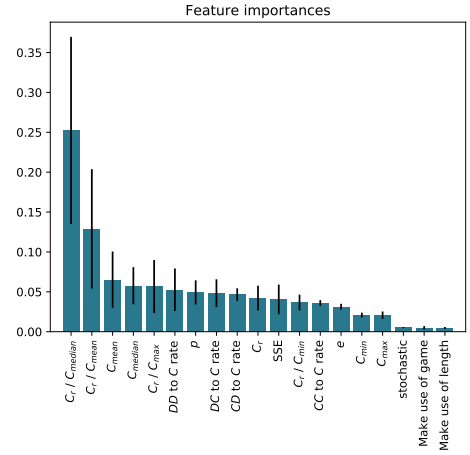


Figure 13: Noisy probabilistic ending tournaments

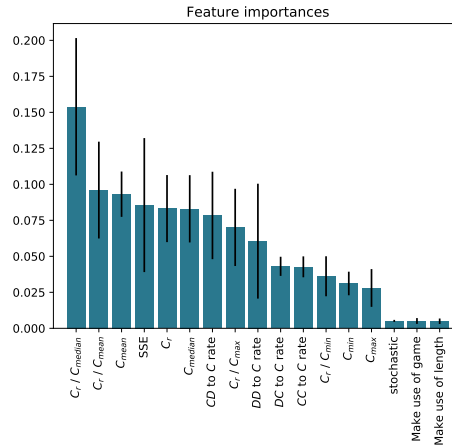


Figure 14: overall ending tournaments

Figure 15: Importance of features in IPD tournaments.

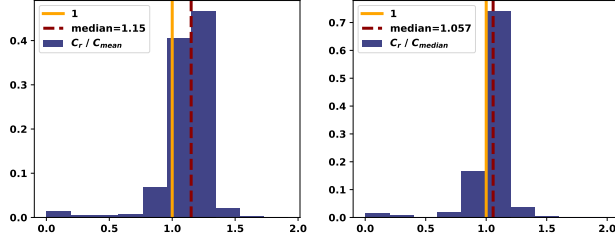


Figure 16: Distributions of  $C_r/C_{\text{median}}$  and  $C_r/C_{\text{median}}$  for standard tournaments.

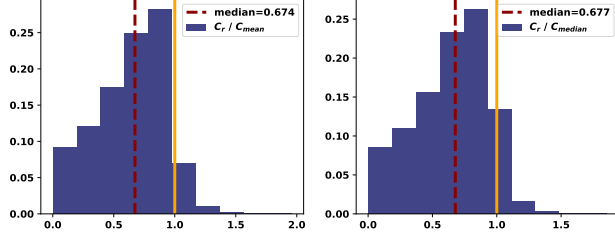


Figure 17: Distributions of  $C_r/C_{\text{median}}$  and  $C_r/C_{\text{median}}$  for noisy tournaments.

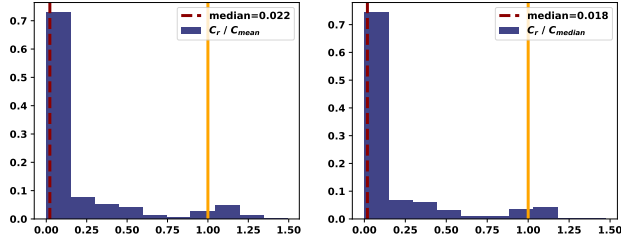


Figure 18: Distributions of  $C_r/C_{\text{median}}$  and  $C_r/C_{\text{median}}$  for probabilistic ending tournaments.

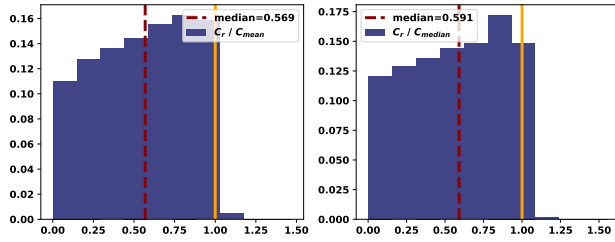


Figure 19: Distributions of  $C_r/C_{\text{median}}$  and  $C_r/C_{\text{median}}$  for noisy probabilistic ending tournaments.

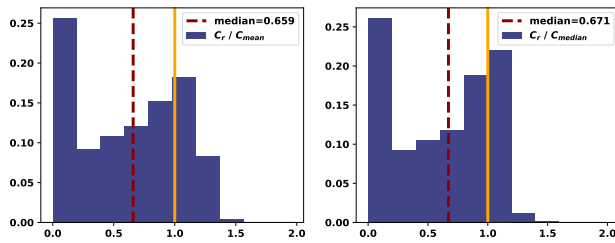


Figure 20: Distributions of  $C_r/C_{\text{median}}$  and  $C_r/C_{\text{median}}$  for over all the tournaments.

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## 6 Acknowledgements

A variety of software have been used in this work:

- The Axelrod library for IPD simulations [4].
- The Matplotlib library for visualisation [36].
- The Numpy library for data manipulation [62].
- The scikit-learn library for data analysis [51].

## A A summary of parameters

## B List of strategies

The strategies used in this study which are from Axelrod version 3.0.0 [4].

- |                                      |                           |                            |
|--------------------------------------|---------------------------|----------------------------|
| 1. $\phi$ [4]                        | 9. Aggravater [4]         | 17. Average Copier [4]     |
| 2. $\pi$ [4]                         | 10. Alexei [3]            | 18. Backstabber [4]        |
| 3. $e$ [4]                           | 11. Alternator [17, 46]   | 19. Better and Better [1]  |
| 4. ALLCorALLD [4]                    | 12. Alternator Hunter [4] | 20. Bully [48]             |
| 5. Adaptive [42]                     | 13. Anti Tit For Tat [35] | 21. Calculator [1]         |
| 6. Adaptive Pavlov 2006 [38]         | 14. AntiCycler [4]        | 22. Cautious QLearner [4]  |
| 7. Adaptive Pavlov 2011 [42]         | 15. Appeaser [4]          | 23. Champion [16]          |
| 8. Adaptive Tit For Tat:<br>0.5 [59] | 16. Arrogant QLearner [4] | 24. CollectiveStrategy [2] |

measure	measure explanation
stochastic	If a strategy is stochastic
makes use of game	If a strategy makes used of the game information
makes use of length	If a strategy makes used of the number of turns
memory usage	The memory size of a strategy divided by the number of turns
SSE	A measure of how far a strategy is from extortionate behaviour
$(C_{\max})$	The biggest cooperating rate in the tournament
$(C_{\min})$	The smallest cooperating rate in the tournament
$(C_{\text{median}})$	The median cooperating rate in the tournament
$(C_{\text{mean}})$	The mean cooperating rate in the tournament
$C_r / C_{\max}$	A strategy's cooperating rate divided by the maximum
$C_r / C_{\min}$	A strategy's cooperating rate divided by the minimum
$C_r / C_{\text{median}}$	A strategy's cooperating rate divided by the median
$C_r / C_{\text{mean}}$	A strategy's cooperating rate divided by the mean
$C_r$	The cooperating ratio of a strategy
$CD$ to $C$ rate	The probability a strategy will cooperate after a mutual cooperation
$CD$ to $C$ rate	The probability a strategy will cooperate after being betrayed by the opponent
$DC$ to $C$ rate	The probability a strategy will cooperate after betraying the opponent
$DD$ to $C$ rate	The probability a strategy will cooperate after a mutual defection
$p$	The probability of a player's action being flip at each interaction
$n$	The number of turns
$e$	The probability of a match ending in the next turn
$N$	The number of strategies in the tournament

Table 9: The measures which are included in the performance evaluation analysis.

25. Contrite Tit For Tat [63]	43. Dynamic Two Tits For Tat [4]	61. Forgetful Fool Me Once [4]
26. Cooperator [17, 46, 52]	44. EasyGo [42, 1]	62. Forgetful Grudger [4]
27. Cooperator Hunter [4]	45. Eatherley [16]	63. Forgiver [4]
28. Cycle Hunter [4]	46. Eventual Cycle Hunter [4]	64. Forgiving Tit For Tat [4]
29. Cyclor CCCCCD [4]	47. Evolved ANN [4]	65. Fortress3 [11]
30. Cyclor CCCD [4]	48. Evolved ANN 5 [4]	66. Fortress4 [11]
31. Cyclor CCCDCD [4]	49. Evolved ANN 5 Noise 05 [4]	67. GTFT [31, 49]
32. Cyclor CCD [46]	50. Evolved FSM 16 [4]	68. General Soft Grudger [4]
33. Cyclor DC [4]	51. Evolved FSM 16 Noise 05 [4]	69. Gradual [19]
34. Cyclor DDC [46]	52. Evolved FSM 4 [4]	70. Gradual Killer [1]
35. DBS [13]	53. Evolved HMM 5 [4]	71. Grofman[15]
36. Davis [15]	54. EvolvedLookerUp1 1 1 [4]	72. Grudger [15, 18, 19, 61, 42]
37. Defector [17, 46, 52]	55. EvolvedLookerUp2 2 2 [4]	73. GrudgerAlternator [1]
38. Defector Hunter [4]	56. Eugene Nier [3]	74. Grumpy [4]
39. Double Crosser [4]	57. Feld [15]	75. Handshake [53]
40. Desperate [61]	58. Firm But Fair [30]	76. Hard Go By Majority [46]
41. DoubleResurrection [7]	59. Fool Me Forever [4]	77. Hard Go By Majority: 10 [4]
42. Doubler [1]	60. Fool Me Once [4]	78. Hard Go By Majority: 20 [4]
		79. Hard Go By Majority: 40 [4]
		80. Hard Go By Majority: 5 [4]

81. Hard Prober [1]
82. Hard Tit For 2 Tats [58]
83. Hard Tit For Tat [60]
84. Hesitant QLearner[4]
85. Hopeless [61]
86. Inverse [4]
87. Inverse Punisher [4]
88. Joss [15, 58]
89. Knowledgeable Worse and Worse [4]
90. Level Punisher [7]
91. Limited Retaliate 2 [4]
92. Limited Retaliate 3 [4]
93. Limited Retaliate [4]
94. MEM2 [43]
95. Math Constant Hunter [4]
96. Meta Hunter Aggressive [4]
97. Meta Hunter [4]
98. Meta Majority [4]
99. Meta Majority Finite Memory [4]
100. Meta Majority Long Memory [4]
101. Meta Majority Memory One [4]
102. Meta Minority [4]
103. Meta Mixer [4]
104. Meta Winner [4]
105. Meta Winner Deterministic [4]
106. Meta Winner Ensemble [4]
107. Meta Winner Finite Memory [4]
108. Meta Winner Long Memory [4]
109. Meta Winner Memory One [4]
110. Meta Winner Stochastic [4]
111. NMWE Deterministic [4]
112. NMWE Finite Memory [4]
113. NMWE Long Memory [4]
114. NMWE Memory One [4]
115. NMWE Stochastic [4]
116. Naive Prober [42]
117. Negation [60]
118. Nice Average Copier [4]
119. Nice Meta Winner [4]
120. Nice Meta Winner Ensemble [4]
121. Nydegger [15]
122. Omega TFT [38]
123. Once Bitten [4]
124. Opposite Grudger [4]
125. PSO Gambler 1 1 1 [4]
126. PSO Gambler 2 2 2 [4]
127. PSO Gambler 2 2 2 Noise 05 [4]
128. PSO Gambler Mem1 [4]
129. Predator [11]
130. Prober [42]
131. Prober 2 [1]
132. Prober 3 [1]
133. Prober 4 [1]
134. Pun1 [11]
135. Punisher [4]
136. Raider [12]
137. Random Hunter [4]
138. Random: 0.5 [15, 59]
139. Remorseful Prober [42]
140. Resurrection [7]
141. Retaliate 2 [4]
142. Retaliate 3 [4]
143. Retaliate [4]
144. Revised Downing [15]
145. Ripoff [10]
146. Risky QLearner [4]
147. SelfSteem [24]
148. ShortMem [24]
149. Shubik [15]
150. Slow Tit For Two Tats 2 [1]
151. Sneaky Tit For Tat [4]
152. Soft Go By Majority [17, 46]
153. Soft Go By Majority 10 [4]
154. Soft Go By Majority 20 [4]
155. Soft Go By Majority 40 [4]
156. Soft Go By Majority 5 [4]
157. Soft Grudger [42]
158. Soft Joss [1]
159. SolutionB1 [9]
160. SolutionB5 [9]
161. Spiteful Tit For Tat [1]
162. Stalker [23]
163. Stein and Rapoport [15]
164. Stochastic Cooperator [6]
165. Stochastic WSLs [4]
166. Suspicious Tit For Tat [19, 35]
167. TF1 [4]
168. TF2 [4]
169. TF3 [4]
170. Tester [16]

171. ThueMorse [4]	179. Two Tits For Tat (2TfT) [17]	186. Worse and Worse[1]
172. ThueMorseInverse [4]	180. VeryBad [24]	187. Worse and Worse 2[1]
173. Thumper [10]	181. Willing [61]	188. Worse and Worse 3[1]
174. Tit For 2 Tats (Tf2T) [17]	182. Win-Shift Lose-Stay (WshLst) [42]	189. ZD-Extort-2 v2 [41]
175. Tit For Tat (TfT) [15]	183. Win-Stay Lose-Shift (WSLS) [40, 49, 58]	190. ZD-Extort-2 [58]
176. Tricky Cooperator [4]	184. Winner12 [44]	191. ZD-Extort-4 [4]
177. Tricky Defector [4]	185. Winner21 [44]	192. ZD-GEN-2 [41]
178. Tullock [15]		193. ZD-GTFT-2 [58]
		194. ZD-SET-2 [41]

## C Correlation coefficients

A graphical representation of the correlation coefficients for the measures in Table 5.

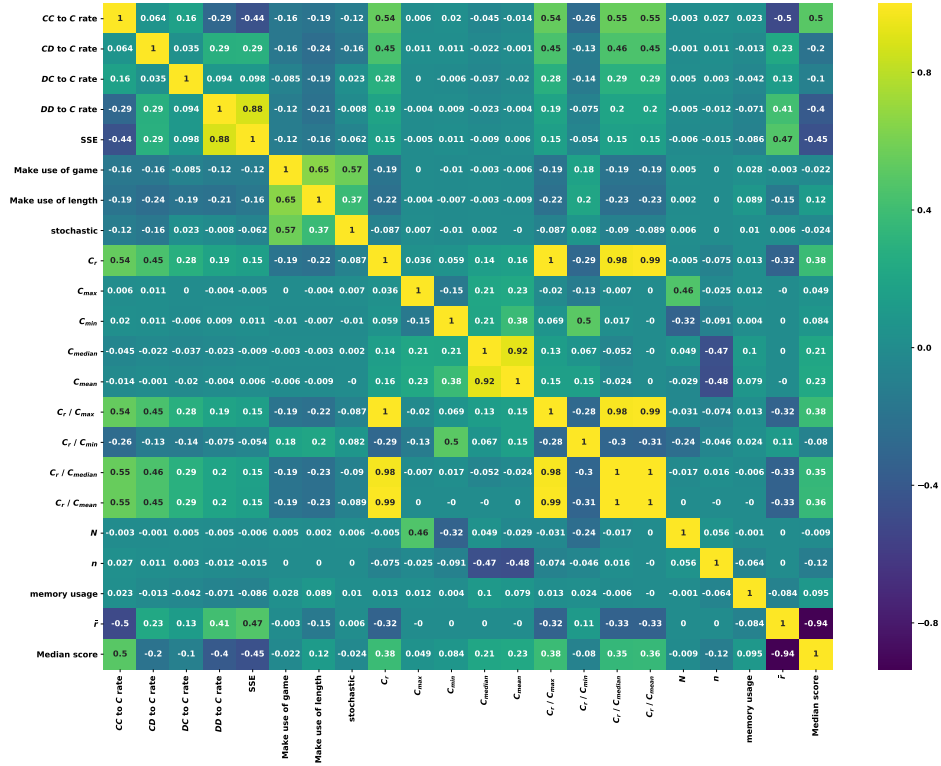


Figure 21: Correlation coefficients of measures in Table 5 for standard tournaments

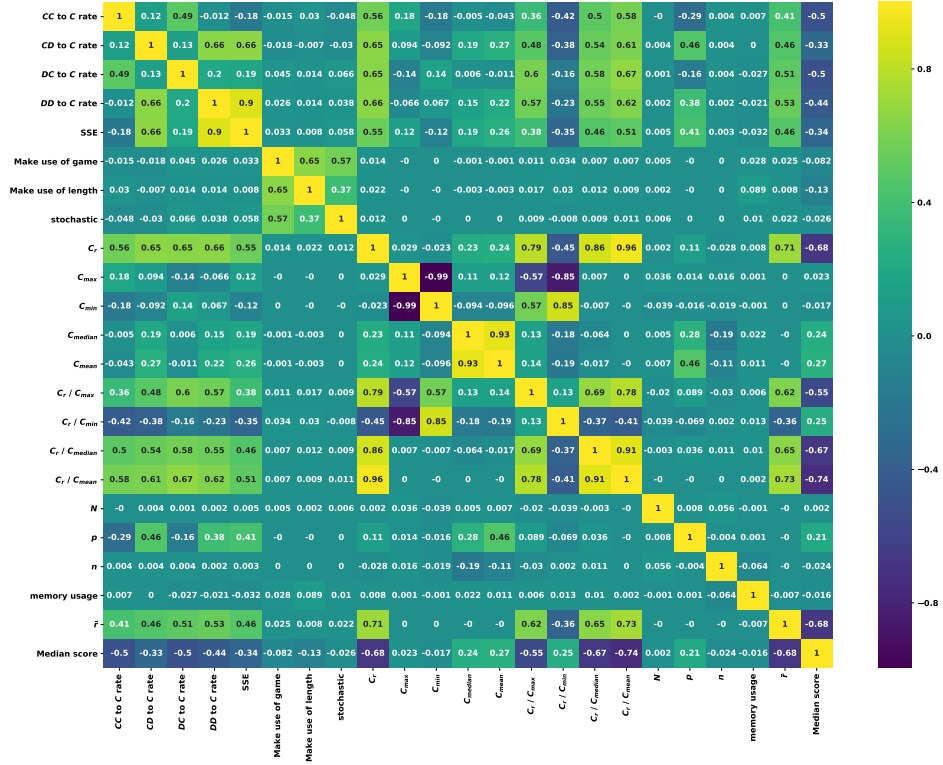


Figure 22: Correlation coefficients of measures in Table 5 for noisy tournaments

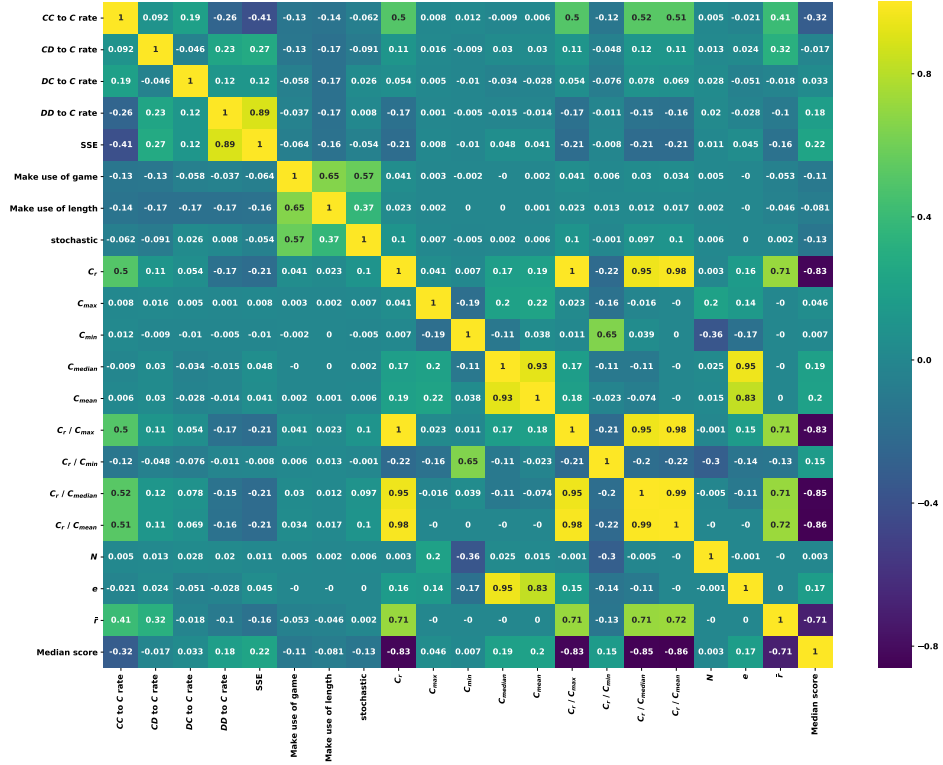


Figure 23: Correlation coefficients of measures in Table 5 for probabilistic ending tournaments

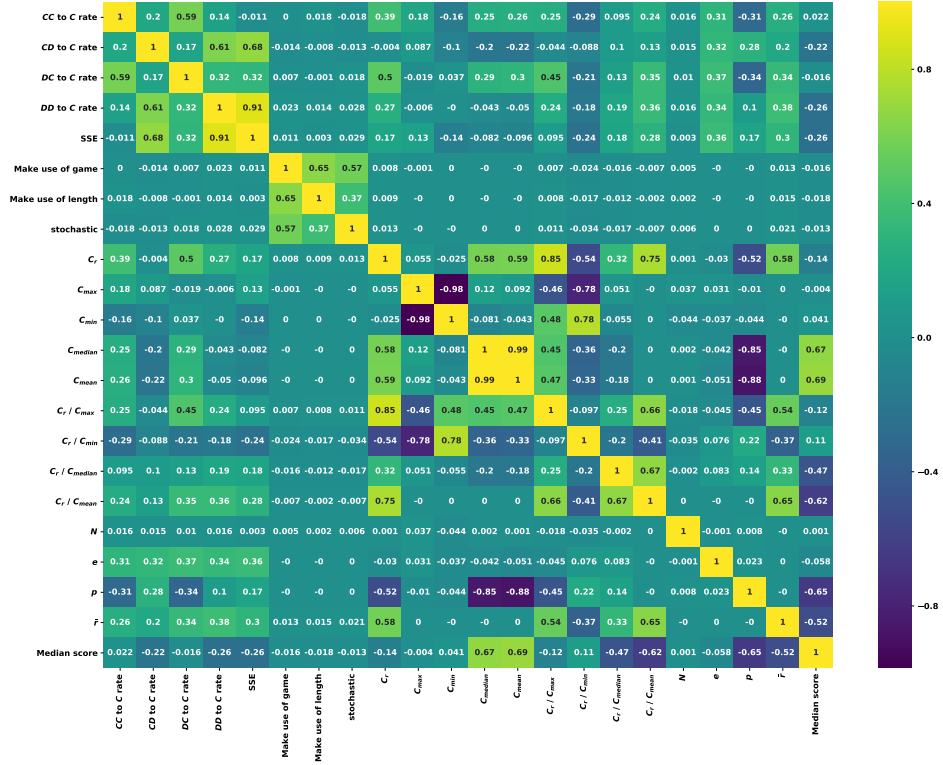


Figure 24: Correlation coefficients of measures in Table 5 for noisy probabilistic ending tournaments