Smooth Manifolds & Symplectic Geometry

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Manifolds

Definition (Manifold)

A manifold is a topological space M which locally looks like Euclidean space, meaning every point has a neighborhood homeomorphic to \mathbb{R}^n . This n is the dimension of the manifold.

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Examples:

- \mathbb{R}^n itself is an *n*-manifold.
- Spheres S^n are n-manifolds.

Manifold Terminology

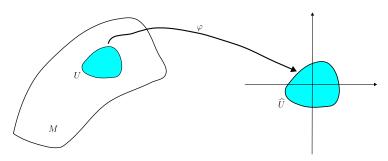
More precisely, the locally Euclidean condition means:

For every point $p \in M$, there exists a homeomorphism $\varphi: U \to \widehat{U}$ where $U \subseteq M$ and $\widehat{U} \subseteq \mathbb{R}^n$ are open sets in their respective domains.

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- The pair (U, φ) is a coordinate chart.
- φ can be expressed as $p \mapsto (\varphi^1(p), ..., \varphi^n(p))$. The φ^i are the local coordinates.
- A collection of charts $\{(U_{\alpha}, \varphi_{\alpha})\}$ where the U_{α} cover M is an atlas on M.

Roughly: a manifold where calculus can be appropriately extended.

Definition (Transition Maps)

Suppose (U, φ) and (V, ψ) are charts on M where $U \cap V \neq \emptyset$. The transition maps are defined by

$$\psi \circ \varphi^{-1} : \varphi(U \cap V) \to \psi(U \cap V)$$
$$\varphi \circ \psi^{-1} : \psi(U \cap V) \to \varphi(U \cap V)$$

These are maps of Euclidean spaces. If they are smooth, then the charts are smoothly compatible.

Definition (Smooth Atlas)

An atlas $\{(U_{\alpha}, \varphi_{\alpha})\}$ on M is a smooth atlas if all possible pairs of transition maps are smooth maps of Euclidean spaces.

Transition Maps

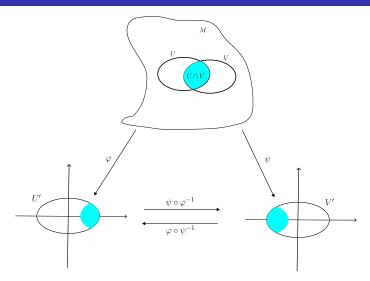


Figure: Transition maps between coordinate charts in a manifold.

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Theorem

Given a smooth atlas A, there is a unique maximal smooth atlas \overline{A} containing A.

So we can specify a smooth manifold by talking about *any* smooth atlas (not necessarily maximal).

Smooth Map

Definition (Smooth Map)

A map $f:M\to N$ between smooth manifolds is smooth at a point $p\in M$ if there exist charts (U,φ) of p in M and (V,ψ) of f(p) in N such that the map

$$f' := \psi \circ f \circ \varphi^{-1} : \varphi(U) \to \psi(V)$$

is a smooth map of Euclidean spaces.

Smooth Map

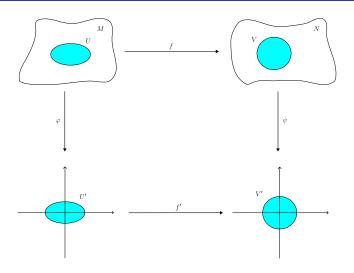


Figure: Smooth map between manifolds M and N.

The purpose of a tangent vector is to generalize directional derivatives in \mathbb{R}^n .

• Suppose $\mathbf{v} \in \mathbb{R}^n$ and $f : \mathbb{R}^n \to \mathbb{R}$ is smooth at a point p. The directional derivative in the direction of \mathbf{v} is

$$D_{\mathbf{v}}f = \mathbf{v} \cdot \nabla f|_{p}$$

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 So one can view v as an operator: it takes in a smooth function and outputs the change in that smooth function in the direction of v at the point p.

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Definition (Smooth Curve)

If M is a smooth manifold, a curve $\lambda:I\to M$ is a smooth curve if λ is a smooth function.

Definition (Tangent Vector)

If $p \in M$, a smooth manifold, a tangent vector to M at p is the function $v : C^{\infty}(M, \mathbb{R}) \to \mathbb{R}$ defined by

$$v(f) := \left. \frac{d}{dt} (f \circ \lambda)(t) \right|_{t=0}$$

where $\lambda: I \to M$ is a smooth curve with $\lambda(0) = p$.

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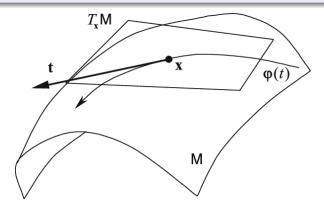


Figure: Tangent space of a manifold

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- All tangent spaces T_pM are vector spaces.
- ullet The tangent bundle TM has a smooth structure determined by M.

Dual Spaces, Cotangent Space

Definition (Dual Space)

Given a vector space V, its dual space V^* is the set of linear forms $\alpha: V \to \mathbb{F}$.

For a manifold M and point $p \in M$, the dual space of its tangent space T_pM is its cotangent space T_p^*M .

- Elements of T_pM are vectors on M.
- Elements of T_p^*M are covectors on M.

Tensors

Definition (Tensors)

A k-tensor is a k-times linear map $\alpha: V^k \to \mathbb{F}$. The space of k-tensors is a vector space denoted by $\mathcal{T}^k(V)$.

Examples:

- The dual space is the space of 1-tensors $\mathcal{T}^1(V) = V^*$.
- An inner product $\langle \cdot, \cdot \rangle$ is a 2-tensor.
- The determinant is an *n*-tensor on \mathbb{R}^n .

In manifolds, the inputs are vectors (elements of TM; for covariant tensors) or covectors (elements of T^*M ; for contravariant tensors).

Tensors

Definition (Alternating Tensor)

A *k*-tensor is alternating if its sign changes when two of its arguments are switched.

$$\alpha(v_1,...,v_i,...,v_j,...,v_k) = -\alpha(v_1,...,v_j,...,v_i,...,v_k)$$

The space of alternating tensors is $\Lambda^k(V)$ and is a vector subsapce of $\mathcal{T}^k(V)$.

Examples:

- Every 1-tensor (covector) is vacuously alternating.
- The determinant is an *n*-tensor that is alternating.



Tensor Product, Wedge Product

Definition (Tensor Product)

If $\alpha \in \mathcal{T}^k(V)$ and $\beta \in \mathcal{T}^\ell(V)$, their tensor product is $\alpha \otimes \beta \in \mathcal{T}^{k+\ell}(V)$ given by

$$(\alpha \otimes \beta)(v_1, ..., v_k, v_{k+1}, ..., v_{k+\ell}) = \alpha(v_1, ..., v_k) \cdot \beta(v_{k+1}, ..., v_{k+\ell})$$

Alternating tensors aren't closed under the tensor product.

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Alternating tensors aren't closed under the tensor product. This means the tensor product of alternating tensors isn't necessarily alternating.

Tensor Product, Wedge Product

Definition (Wedge Product)

If $\alpha \in \Lambda^k(V)$ and $\beta \in \Lambda^\ell(V)$, their wedge product $\alpha \wedge \beta \in \Lambda^{k+\ell}(V)$.

Rather than give an explicit formula, we define it as the operation satisfying these properties.

$$\alpha \wedge \alpha = 0$$

$$\alpha \wedge (\beta \wedge \gamma) = (\alpha \wedge \beta) \wedge \gamma$$

$$\alpha \wedge (\beta + \gamma) = \alpha \wedge \beta + \alpha \wedge \gamma$$

$$\alpha \wedge \beta = (-1)^{k\ell} \beta \wedge \alpha$$

Definition (Differential Form)

A differential k-form is a map $\omega: M \to \Lambda^k(T_pM)$ i.e. it assigns an alternating k-tensor $\omega_p \in \Lambda^k(T_pM)$ to every point in M.

The set of k-forms on M is a vector space denoted by $\Omega^k(V)$.

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- The set $\{dx^i: 1 \le i \le n\}$ is a basis for the set of 1-forms. This means that any $\omega \in \Omega^1(M)$ may be represented as

$$\omega = f_1 dx^1 + f_2 dx^2 + \dots + f_n dx^n$$

where the f_i 's are smooth functions from $M \to \mathbb{R}$.



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• Generalizing that, let $I = (i_1, ..., i_k)$ be an increasing sequence of the numbers $\{1, ..., n\}$. We define

$$dx^{I} := dx^{i_1} \wedge dx^{i_2} \wedge \cdots \wedge dx^{i_1}$$

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• The set $\{dx^I : I \text{ is an increasing sequence}\}$ is a basis for the set of all k forms $\Omega^k(M)$.

Differential Form Examples

Consider \mathbb{R}^3 for a concrete examples:

• Any one form can be written as

$$\omega = f_1(x, y, z) dx + f_2(x, y, z) dy + f_3(x, y, z) dz$$

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A three form is expressed as

$$\omega^3 = f(x, y, z) \ dx \wedge dy \wedge dz$$

Exterior Derivative

Definition (Exterior Derivative)

Given a differential k-form ω , the exterior derivative gives a (k+1)-form, denoted $d\omega$, given by

$$d\omega = \sum_{I} d\omega_{I} \wedge dx^{I}$$

Examples: if
$$\omega = x^2y \ dx - y \ dy$$
, then
$$d\omega = \left(2xy \ dx + x^2 \ dy\right) \wedge dx - dy \wedge dy$$
$$= 2xy \ dx \wedge dx + x^2 \ dy \wedge dx$$
$$= -x^2 \ dx \wedge dy$$

Doing it again:

$$d(d\omega) = d^2\omega = -d(x^2) \wedge dx \wedge dy$$
$$= -2x \ dx \wedge dx \wedge dy$$
$$= 0$$

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$$= -x^2 \ dx \wedge dy$$

Theorem

For any differential form ω , we have $d^2\omega = 0$.

Vector Fields

Definition (Vector Field)

A vector field on M is a map $X: M \to TM$ where $p \mapsto X_p \in T_pM$. It is a smooth vector field if the mapping X is smooth.

• If $x = (x^1, ..., x^n)$ is a chart containing $p \in M$, we define the tangent vector

$$\left(\frac{\partial}{\partial x^{i}}\right)_{p}: C^{\infty}(M) \to \mathbb{R}$$

$$f \mapsto \left.\frac{\partial (f \circ x^{-1})}{\partial x^{i}}\right|_{x(p)}$$

Then the vectors $(\partial/\partial x^i)_p$ form a basis for the set of smooth vector fields. I.e. any vector field X can be written as

$$X = X^{1} \left(\frac{\partial}{\partial x^{1}} \right)_{p} + \dots + X^{n} \left(\frac{\partial}{\partial x^{n}} \right)_{p}$$

Interior Product on Forms

Definition (Interior Product)

Suppose X is a vector field on a manifold M. The interior product with respect to X is the map

$$\iota_X:\Omega^k(M)\to\Omega^{k-1}$$

sending a k-form ω to the (k-1)-form defined by

$$(\iota_X\omega)(X_1,...,X_{k-1})=\omega(X,X_1,...,X_{k-1})$$

The interior product obeys this graded version of the Leibniz rule:

$$\iota_{X}(\alpha \wedge \beta) = \iota_{X}\alpha \wedge \beta + (-1)^{k}\alpha \wedge \iota_{X}\beta$$

Symplectic Manifold

We may consider a special two form on certain manifolds.

Definition (Symplectic Manifold)

A symplectic manifold is a pair (M, ω) where M is a topological manifold and ω is a differential 2-form satisfying

- \bullet is closed i.e. $d\omega = 0$.
- ② ω is non-degenerate i.e. if for all v, we have $\omega(v,w)=0$, then it must be that w=0.

The differential form ω is called the symplectic form.

Basic Properties

A symplectic manifold must have even dimension.

• On a local chart, the map ω is alternating and so $A=-A^{\top}$. This must satisfy

$$\det A = \det(-A^\top) = (-1)^n \det(A^\top) = (-1)^n \det A$$

But non-degeneracy implies the map A must be invertible, which means det $A \neq 0$. This only happens if n is even.

A symplectic manifold has a non-vanishing volume form (a differential k-form where k equals the dimension of the manifold).

- Let dim M=2k, for some k. Then, $\omega^k = \underbrace{\omega \wedge \cdots \wedge \omega}_{k \text{ times}}$ is a volume form that is nonzero.
- This also implies that every symplectic manifold is orientable.



Examples

Examples of symplectic manifolds:

• \mathbb{R}^{2n} . For a basis given by $\{v_1, ..., v_n, w_1, ..., w_n\}$, define a 2-form as follows

$$\omega = \sum_{i=1}^n dv_i \wedge dw_i$$

- Cotangent bundles of smooth manifolds.
- Certain even-dimensional spheres (S^2 is a symplectic manifold, but S^4 is not).

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• Suppose $f: M \to \mathbb{R}$ is a smooth function.

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• The vector field X_f is the symplectic gradient of f.

We can also use a different convention and say $\iota_{X_f}\omega=-df$. This will be relevant later on.

Lie Derivative

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We can compute the Lie derivative using Cartan's magic formula:

$$\mathcal{L}_X\omega = \iota_X(d\omega) + d(\iota_X\omega)$$

Lie Derivative Along Symplectic Gradient

Theorem

The flow along the symplectic gradient preserves ω .

Using Cartan's formula, we compute the Lie derivative as

$$\mathcal{L}_{X_f}\omega = \iota_{X_f}(d\omega) + d(\iota_{X_f}\omega)$$

$$= 0 + d(\iota_{X_f}\omega)$$

$$= d(-df)$$

$$= 0$$

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In general, for a particle moving in \mathbb{R}^n , its phase space will be \mathbb{R}^{2n} ; an even-dimensional manifold, so it admits a symplectic structure.

Given a particle and its phase space in \mathbb{R}^{2n} , the Hamiltonian function is often the sum of the kinetic and potential energy

$$H = K + U$$

The motion is then governed by Hamilton's equations

$$\frac{dq}{dt} = \frac{\partial H}{\partial p}$$

$$\frac{dp}{dt} = -\frac{\partial H}{\partial q}$$

We can derive these equations using the tools from symplectic geometry.

For simplicity, suppose our particle has mass 1. Then, the Hamiltonian is

$$H = K + U$$

Taking the exterior derivative, we get

$$dH = \frac{\partial H}{\partial p} dp + \frac{\partial H}{\partial q} dq = p dp + U_q dq$$

We can use this to explicitly solve for the symplectic gradient. It will take the form

$$X_H = a \frac{\partial}{\partial p} + b \frac{\partial}{\partial q}$$

Then, we see

$$\omega(X_H, -) = (dp \wedge dq) \left(a \frac{\partial}{\partial p} + b \frac{\partial}{\partial q}, - \right)$$
$$= a dq - b dp$$

This should equal -dH, which gives us

$$-dH = -\frac{\partial H}{\partial p} dp - \frac{\partial H}{\partial q} dq = a dq - b dp$$

This implies

$$X_{H} = -\frac{\partial H}{\partial q} \frac{\partial}{\partial p} + \frac{\partial H}{\partial p} \frac{\partial}{\partial q}$$

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The other equation is recovered similarly to get

$$\frac{dp}{dt} = -\frac{\partial H}{\partial q}$$



Sources

- "Quantum Field Theory for Mathematicians: Hamiltonian Mechanics and Symplectic Geometry", Link.
- "An Introduction to Riemannian Geometry with Applications to Mechanics and Relativity", Link.