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**CME 4418**  
**INTRODUCTION TO ARTIFICIAL**  
**INTELLIGENCE**

**PREDICTING DEPRESSION**  
**WITH MACHINE LEARNING**

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## **CHAPTER ONE**

### **INTRODUCTION**

#### **1.1. Problem Definition**

The main characteristics of depression, also called major depression or clinical depression, are unpleasant mood, lack of interest and pleasure, hopelessness and pessimism. In general, the risk of depression is between 3-5.8%. The lifetime risk is 3-12% in men and 10-26% in women.

It has been observed that depression can cause physical changes in the brain of patients. The exact cause of depression is not yet known. Although it is known that hereditary factors play a role in its development, studies are continuing about which genes cause it. Among the biological causes, besides genetic predisposition, there are events that affect the mood of the individual such as some drugs, diseases, hormones, birth, menopause.

An individual's social life can also trigger depression. According to studies, negative life events, loss of parents in the early period, insufficient social support, spouse, family, work problems, previous depression and being a woman are among the important triggers of this situation.

A physical examination is the first step in diagnosing depression. The doctor asks questions about the person's health status. In some cases, the cause of depression may be physical health problems. Laboratory tests are needed to determine this. At this stage, the working level of the thyroid glands is checked by applying a test called "complete blood count". In the next stage, an interview is conducted to obtain information about the individual's feelings, thoughts and behaviors through a psychiatric evaluation.

There are many different types of major depression. Since the treatment methods differ according to the type of depression, it is extremely important to determine the causes of depression and to make the correct diagnosis. Usually, drug therapy and psychotherapy show effective results on patients. In some cases, these treatments may not be enough. In this case, the person may need to be treated under surveillance by staying in the hospital for treatment.

## **1.2. Project Description**

The project being worked on aims to develop a machine learning model that will predict whether people are depressed by examining their data. As a result of the researches, it has been observed that many factors are effective on depression. There are some scales developed for diagnosis. These scales contain too many items and questions. The correct interpretation and evaluation of this content is a long and tiring process.

With the machine learning model to be developed, necessary evaluations will be made on the available data. As a result of these evaluations, the ratio of the effect of the answers to the questions asked on the result will be determined and the most accurate estimates will be made easier and faster. In addition, it is aimed to determine the evaluation criteria that do not affect the results and to shorten the evaluation processes of the patients.

The development process of the model started with a detailed investigation of the subject. In order to get to know the data set, information about the data to be processed was collected. Pre-processes to be made were determined by interpreting in more detail with visualizations. Preprocessing steps were carried out and the data set was made ready for machine learning algorithms. Machine learning algorithms to be used for the data set will be determined as a result of literature review and necessary research.

## CHAPTER TWO

### DATASET INFORMATION

The data set on which the studies will be conducted contains information that will affect the emotional state of the person, especially the personal, social and physiological information of individuals aged 18 and over. In the column determined as the target column, a result is returned regarding whether the person is depressed or not based on the data.

The data set, consisting of 36259 lines and 492 attribute in total, includes general information such as the person's age, gender, education level, place of residence, family, as well as the results of blood tests, diseases and drugs used. Since it is difficult to explain and understand such a large data set, it was decided to reduce the data set with detailed examinations and research. For this reason, studies in the diagnosis of depression were investigated and it was decided to keep data of great importance at the diagnosis stage.

```
[3]: raw_df.shape  
[3]: (36259, 492)
```

**Figure 1.2.1 Dataset Shape**

In this section, information will be given about the data set that has been dimensionally narrowed. It will be explained what is done during the dimensionality reduction phase in preprocessing processes.

```
104 Depression object  
[5]: df.shape  
[5]: (36259, 105)  
dtypes: float64(52), int64(2), object(51)  
memory usage: 29.0+ MB
```

**Figure 1.2.2 Dataset Description**

Consisting of 36259 rows and 105 columns, the data set contains 52 float64, 2 int64, and 51 object data. The column named 'Depression' from the Object type data is the target column of our dataset. This column contains information about whether the person is depressed.

Float64 consists of blood, weight and height values, object consists of answers to disease questions (yes/no) that will create categorical data and other personal information.

Gender	object	Sodium	float64
Age	int64	Potassium	float64
Race	object	Chloride	float64
Education Level	object	Osmolality	float64
Marital Status	object	Globulin	float64
Pregnant	object	White BCC	float64
Household Income	object	Lymphocyte Percent	float64
Asthma	object	Monocyte Percent	float64
Anemia	object	Neutrophils Percent	float64

**Figure 1.2.3 Data Types**

## CHAPTER THREE

### DATA PREPROCESSING

#### 3.1. Dimentionality Reduction

It was determined that 324 of the columns were reserved for the drugs used. Most patients got bored with such a long question-and-answer process that more than half of these columns were left blank. In addition, since detailed information about diseases and blood values was already obtained, it was decided that these columns were not very necessary.

At the same time, it was noted that additional questions were asked in other columns for information that contained the same information or could be extracted from one column. It was decided to remove such redundant columns.

As a result of these operations, 105 attributes remained in the data set.

---	-----	65	HDL	Rx Lisinopril	Health Problem Other Impairment
0	SEQN	66	Triglycerides		Health Problem Bone Or Joint
1	Depression	67	LDL	Rx Days Lisinopril	Health Problem Weight
2	Gender	68	Albumin	Rx Metformin	Health Problem Back Or Neck
3	Age	69	ALT		Health Problem Arthritis
4	Race	70	AST	Rx Days Metformin	Health Problem Cancer
5	Citizenship	71	ALP	Rx Albuterol	Health Problem Other Injury
6	Education Level	72	BUN		Health Problem Breathing
7	Marital Status	73	Calcium	Rx Days Albuterol	Health Problem Stroke
8	Household Size	74	CO2		Health Problem Blood Pressure
9	Pregnant	75	Creatinine	Rx Levothyroxine	Health Problem Mental Retardation
10	Birth Place	76	GGT		Health Problem Hearing
11	Veteran	77	Glucose	Rx Days Levothyroxine	Health Problem Heart
12	Household Income	78	Iron		Health Problem Vision
		79	LHD		

Figure 3.1.1 Dataset Attributes

#### 3.2. Filling the Missing Values

When the fullness of the data set is examined, it is said that there are no null values. However, when examined in detail, it is observed that the values that should



remain empty are filled with values such as “Missing”, “0”. These values should be determined and filled in the most accurate way.

```
[9]: df.isnull().values.any()
[9]: False

[36]: df.Pregnant[df.Gender=='Male'].value_counts()
[36]: Missing    17812
      No         0
      Yes         0
      Name: Pregnant, dtype: int64

[37]: df.Pregnant[df.Gender=='Female'].value_counts()
[37]: Missing    10554
      No       7237
      Yes       656
      Name: Pregnant, dtype: int64

[12]: df.Pregnant.value_counts()
[12]: Missing    28366
      No       7237
      Yes       656
      Name: Pregnant, dtype: int64

df.Age[df.Age<18].value_counts().count()
0

df.Age[df.Age>18].value_counts().count()
67

df.Weight.value_counts()
0.0      332
73.8      95
78.6      94
79.3      93
77.3      91
...
177.9      1
137.4      1
168.1      1
159.8      1
143.8      1
```

**Figure 3.2.1 Missing Values**

### 3.3. Outlier Detection

Outliers data were determined for the numerical values in the data set. This process was done using the interquartile range. After determining the lower limit and upper limit for all numerical attributes, outliers values were determined and cleared. It was found that too many inconsistent entries were made while collecting the data because the dataset contains too many attributes. For this reason, 22.853 of the 36.259 records in total were determined as outliers, as can be seen in *Figure 3.3.1*. Since there is enough data and it is desired to work in the most accurate range, the rows with all outlier values are removed from the data set.

```
[15]: outliers=pd.DataFrame()
      for column in df:
          if(df[column].dtype == np.int64 or df[column].dtype == np.float64):
              Q1 = df[column].quantile(0.25)
              Q3 = df[column].quantile(0.75)
              IQR = Q3-Q1
              lower_limit = Q1 - 1.5 * IQR
              upper_limit = Q3 + 1.5 * IQR
              local_outliers=((df[column] < lower_limit) | (df[column] > upper_limit))
              outliers=pd.concat([outliers,df[local_outliers]]).drop_duplicates().reset_index(drop=True)

      outliers.shape

[15]: (22853, 105)
```

**Figure 3.3.1 Outliers**

### 3.4. Normalization

The normalization shown in *Figure 3.4.1* was made for the numerical values after removing the inconsistencies in the data set, filling the missing values and clearing the outliers. 0-1 normalization was used as a method. In this way, the data has been brought into a regular format.

Gender	Age	Race	Education Level	Marital Status	Pregnant	Household Income	Asthma	Anemia	Ever Overweight	Health Problem Senility	Marijuana Use	Cocaine Use	Heroin Use	Meth Use	Inject Drugs	Rehab Program	Current Smoker	Household Smokers
Female	0.388060	Black	Some College or AA Degree	Married	No	\$75K+	No	No	No	...	No	No	No	No	No	No	No	1.0
Male	0.820896	White	High School	Married	No	Below \$25K	No	No	Yes	...	No	No	No	No	No	No	No	1.0
Male	0.014925	White	Some College or AA Degree	Never Married	No	\$75K+	No	No	No	...	No	No	No	No	No	No	No	1.0
Male	0.910448	White	High School	Divorced	No	Below \$15K	No	No	No	...	No	No	No	No	No	No	Never	1.0
Female	0.313433	White	9-11th Grade	Married	No	Below \$45K	No	No	No	...	No	Yes	No	No	No	No	No	1.0
...	...	...	...	...	...	...	...	...	...	...	...	...	...	...	...	...	...	...
Female	0.850746	White	College Graduate or Above	Divorced	No	\$75K+	No	No	Yes	...	No	No	No	No	No	No	No	0.0
Male	0.223881	White	High School	Married	No	Below \$65K	No	No	No	...	No	Yes	Yes	No	Yes	No	Every Day	0.5
Female	0.850746	White	Some College or AA Degree	Divorced	No	Below \$25K	No	No	Yes	...	No	No	No	No	No	No	Never	0.0
Male	0.626866	Black	9-11th Grade	Partner	No	Below \$25K	No	No	Yes	...	No	No	No	No	No	No	No	0.0
Male	0.358209	Mexican	High School	Separated	No	Below \$25K	Yes	No	Yes	...	No	No	No	No	No	No	Never	0.0

Figure 3.4.1 Normalization

### 3.5. Transformation

The categorical values in the data set were converted with one-hot encoding before running the models on the data set. *Figure 3.5.1* shows the final state of the data set.

...	Meth Use_Yes	Inject Drugs_No	Inject Drugs_Yes	Rehab Program_No	Rehab Program_Yes	Current Smoker_Every Day	Current Smoker_Never	Current Smoker_No	Current Smoker_Some Days	Depression
...	0	1	0	1	0	0	0	1	0	Not Depressed
...	0	1	0	1	0	0	0	1	0	Not Depressed
...	0	1	0	1	0	0	0	1	0	Not Depressed
...	0	1	0	1	0	0	1	0	0	Not Depressed
...	0	1	0	1	0	0	0	1	0	Not Depressed

Figure 3.5.1 Transformation

### 3.6. Over Sampling

As a result of the target column scatterplot examinations, it was observed that the 'not depressed' cases were 13 times more than the 'depressed' ones. In this case, it was decided to apply an over sampling or under sampling process so that the weight does not shift to a single target.

As an oversampling technique, SMOTE process was applied and synthetic data was produced so that the target value distribution was equal (*Figure 3.6.1*).

```
Before SMOTE : Counter({'Not Depressed': 12464, 'Depressed': 942})  
After SMOTE : Counter({'Not Depressed': 12464, 'Depressed': 12464})
```

**Figure 3.6.1 Smote**

## CHAPTER FOUR

### MODELLING

#### 4.1. Algorithms for Modelling

Machine learning models are used to draw meaningful conclusions from the data collected while data mining. After the preprocessing is done, the data is brought into a format that the models can understand and machine learning models can be developed on it. Within the scope of this project, a total of 7 different algorithms have been used, including k-Nearest Neighbors, Support Vector Machine, Naive Bayes, Random Forest, Gradient Boosting Machine, XGBoost and Neural Network algorithms.

##### 4.1.1. *k*-Nearest Neighbor

It is often used to solve Classification problems. In the basic logic, as shown in the *Figure 4.1.1*, the  $k$  value (tuple) closest to the value to be estimated is checked. Estimation is made according to the classes to which the  $k$  closest values belong. To find the closest value, distance metrics such as Euclidean and Manhattan Jacard are used.

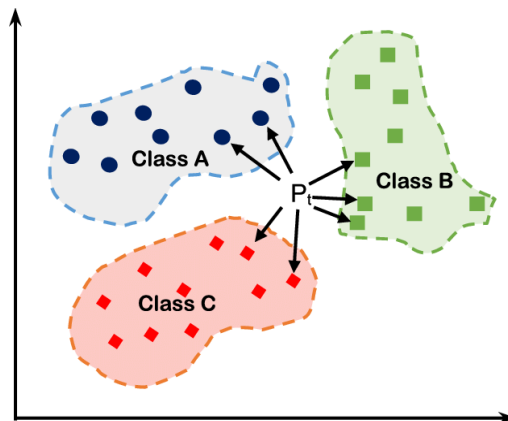
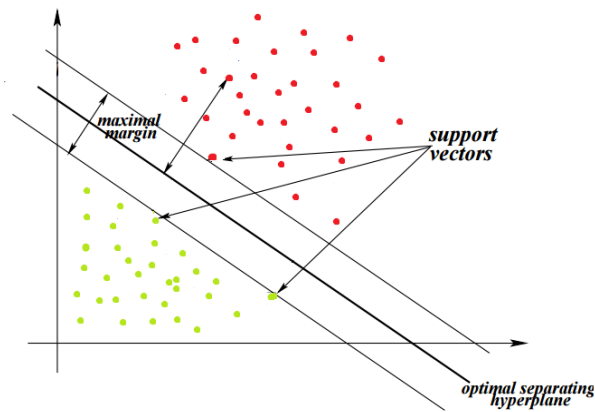


Figure 4.1.1 k-Nearest Neighbor

### 4.1.2. Support Vector Classifier

It is often used to solve Classification problems. In its basic logic, the data set is divided into classes with the help of a hyperplane as shown in the *Figure 4.1.2*. In addition to this hyperplane, support vectors defined at a certain distance are also used. Whichever of the dividing regions the value to be estimated enters, the class label of the value becomes the label of that region.



**Figure 4.1.2 Support Vector Machine**

### 4.1.3. Naïve Bayes

It is used to solve classification problems. In the basic logic, the probability of the variables to be estimated and the classes to which they belong is calculated separately. Then, the probabilities of the classes to which the value to be estimated may belong are found by the formula shown in the *Figure 4.1.3*, and the class with the highest probability value is defined as the class of the value to be estimated.

$$P(A|B) = \frac{P(B|A) \cdot P(A)}{P(B)}$$

Diagram illustrating the Naïve Bayes formula with labels for the components:

- $P(A|B)$ : Probability of A occurring given evidence B has already occurred
- $P(B|A)$ : Probability of B occurring given evidence A has already occurred
- $P(A)$ : Probability of A occurring
- $P(B)$ : Probability of B occurring

**Figure 4.1.3 Naïve Bayes**

#### 4.1.4. Random Forest

It is used to solve both classification problems and regression problems. In its basic logic, there is a tree structure. As shown in the *Figure 4.1.4*, different subsets are created within the data set and a separate tree is created for each separated subset. At the same time, it has created a solution to the overfitting problem that occurs in tree-based progressive models. The class that emerges the most among the results is determined as the class of the value to be estimated.

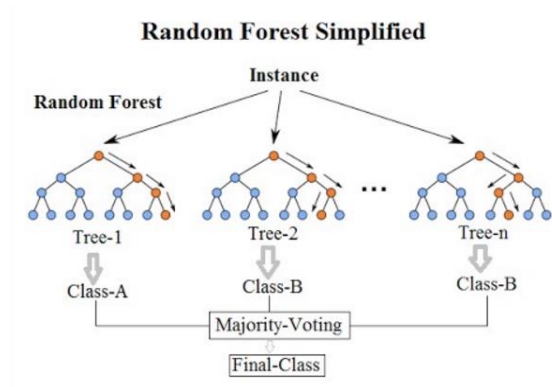


Figure 4.1.4 Random Forest

#### 4.1.5. Gradient Boosting Machine

It is a type of boosting algorithm. As shown in the *Figure 4.1.5*, the general working principle is based on trying to correctly estimate the incorrectly predicted values each time with different iterations.

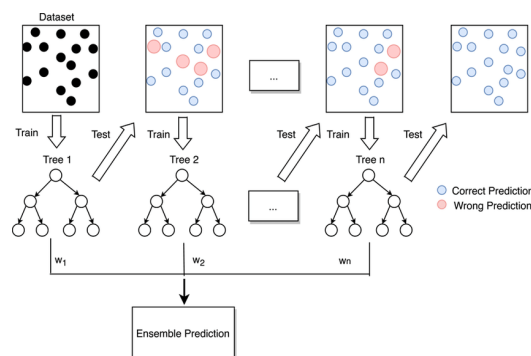
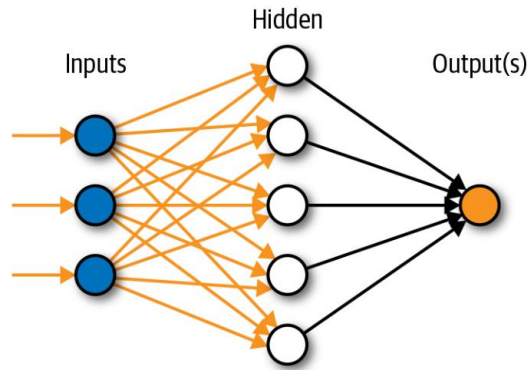


Figure 4.1.5 GBM

#### **4.1.6. Neural Network**

A neural network works similarly to the human brain's neural network. A “neuron” in a neural network is a mathematical function that collects and classifies information according to a specific architecture. The network bears a strong resemblance to statistical methods such as curve fitting and regression analysis.



**Figure 4.1.6 Neural Network**

#### **4.1.7. XGBoost**

XGBoost is a decision-tree-based ensemble Machine Learning algorithm that uses a gradient boosting framework.

### **4.2. Test/Experiments**

Modeling algorithms mentioned in Part 4.1 were run and models were obtained. While modeling, the reliability of the accuracy was also observed by using grid search, cross validation and some measurement matrices.

#### **4.2.1. Grid Search**

The algorithms mentioned in the above section 4.1 work with more than one parameter. These parameters can have different values. According to the data set used, the most suitable ones from these parameters should be selected and the model is trained. In this way, the best accuracy can be achieved.

However, it is impossible to predict from the beginning which values of the parameters will give the best results for which data set. For this reason, grid search is used. Grid search looks at which parameters give better results for that data set and shows us the best parameter values to use. Table 4.2-1 shows the best parameter values obtained as a result of grid search.

**Table 4.2-1 Best Parameters**

<b>Algorithms</b>	<b>Best Parameters</b>	
<b>KNN</b>	n_neighbors: 1	
<b>SVC</b>	C: 10 gamma: 0.01	degree: 3 kernel: poly
<b>Naive Bayes</b>	var_smoothing: 0.081	
<b>Random Forest</b>	bootstrap: False max_depth: 10 min_samples_leaf: 2 min_samples_split: 5	criterion: entropy max_features: auto n_estimators: 452
<b>Neural Network</b>	activation:logistic solver: adam	alpha: 0.1 hidden_layer_sizes: (100, 100, 100)
<b>GBM</b>	learning_rate: 0.01 n_estimators: 500	max_depth: 50 subsample: 0.5
<b>XGBoost</b>	learning_rate: 0.1 min_samples_split: 2 subsample: 1.0	max_depth: 7 n_estimators: 1000

#### ***4.2.2. Comparision***

As a result of the researches and studies, classifiers were made with 7 machine learning algorithms. As seen in *Table 4.2-2*, accuracy and measurement values were recorded before and after Grid Search.

When the modeling results were examined, it was observed that the Naive Bayes algorithm gave a very low accuracy value compared to the others. For this reason, it was determined that it was not suitable for this study.



Besides, the results of GBM and XGBoost algorithms are quite high. Precision, Recall and F1 score values also support these results. In other words, for this data set, it has been determined that these two are the most suitable algorithms among the applied models.

**Table 4.2-2 Result Comparision**

Algorithm	Before Grid Search				After Grid Search			
	Accuracy	Precision	Recall	F1	Accuracy	Precision	Recall	F1
GBM	96%	96%	96%	96%	97%	97%	97%	97%
XGBoost	96%	96%	96%	96%	97%	97%	97%	97%
SVC	96%	97%	97%	97%	96%	97%	97%	97%
Neural Network	94%	95%	95%	95%	96%	97%	97%	97%
KNN	90%	92%	91%	90%	96%	97%	97%	97%
Random Forest	97%	98%	98%	98%	94%	94%	94%	94%
Naïve Bayes	77%	79%	78%	77%	77%	78%	77%	77%