

# **CS 348: Intro to Database Management**

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# 1 Introduction

## 1.1 DBMS

### 1.1.1 Definitions

**Database:** a large and persistent collection of data

**DBMS:** a program that manages details for storage and access to a db  
to abstract common functions and create a uniform interface we need:

- **data model:** all data stored uniformly
- **access control:** authorization to modify/view
- **concurrency control:** multiple applications can access at same time
- **database recovery:** nothing is lost
- **database maintenance**

### 1.1.2 Three-Level Schema

**schema:** a description of the data interface to the database

**external schema:** what the app and user see

**conceptual schema:** description of the logical structure of the data

**physical schema:** description of physical aspects (storage algorithms ...)

DBMS allows the data to be stored via the physical schema, reasoned via the conceptual schema, and accessed via the external schema.

### 1.1.3 Interfacing

Interfacing to DBMS, we can interact with it through:

**Data Definition Language:** specifies schemas

- may be different for each schema
- the **data dictionary** (or **catalog**) stores the information

**Data Manipulation Language:** specifies queries and updates (*e.g SQL*)

- navigational (procedural)
- non-navigational (declarative)

## 1.2 Big Ideas

There are three big ideas which have influenced the creation and development of databases

### 1.2.1 Data Independence

**data independence** allows each schema to be independant of the others

- **physical independence:** application immune to changes in storage structure
- **logical independence:** application immune to changes in data organization

### 1.2.2 Transaction

**Transaction:** an application-specified atomic and durable unit of work

**ACID:** transaction properties ensured by the DBMS

- **atomic:** a transaction cannot be split up
- **consistency:** each transaction preserves consistency
- **isolated:** concurrent transaction don't interfere with each other
- **durable:** once completed, changes are permanent

## 2 Relational Model

### 2.1 Definitions

**Relational model:** all information is organized in (flat) relations

- powerful and declarative query language
- semantic integrity constraints (using first order logic)
- data independence

### 2.2 Properties

- based on finite set theory
  - attribute ordering *not strictly necessary*
  - tuples identified by attribute values
  - instance has set semantics *no ordering, no duplicates*
- all attribute values are atomic
- **degree:** number of attributes in schema
- **cardinality:** number of tuples in instance

We can algebraically define databases as a finite set of relation schemas

### 2.3 Relations vs SQL Tables

SQL has extensions on top of the relational model:

1. semantics of instances:
  - relations are **sets** of tuples
  - tables are **multisets** (bags) of tuples
2. unknown values: SQL includes 'null'

## 3 Relation Algebra

### 3.1 Primary Operators

- **Relation Name:**  $R$
- **Selection:**  $\sigma_{condition}(E)$  satisfies some condition
- **Projection:**  $\pi_{attributes}(E)$  only includes these attributes
- **Rename:**  $\rho(R(\bar{F}), E)$ 
  - $\bar{F}$  is a list of  $oldname \mapsto newname$
- **Product:**  $E_1 \bowtie E_2$

### 3.2 Joins

- **Conditional Join:**  $E_1 \bowtie_{condition} E_2$
- **Natural Join:**  $E_1 \bowtie E_2$  common attributes

### 3.3 Set Operators

Schemas  $R$  and  $S$  must be **union compatible**: have same number (and type) of fields

- **Union:**  $R \cup S$
- **Difference:**  $R - S$
- **Intersection:**  $R \cap S$

## 4 SQL

### 4.1 SQL Standard

**Data Manipulation Language** : query and modify tables

**Data Definition Language** : create tables and enforce access/security

**Example 4.1.** Basic query block

```
select attribute-list
from relation-list
[where condition]
```

## 4.2 DML

### 4.2.1 Null

A necessary evil that indicates unknown or missing data

- test using `is (not) NULL`
- expressions with NULL e.g. `x + NULL = NULL`
- `where` treats NULL like `False`

### 4.2.2 Subquery

`where` supports predicates as part of its clause

**Example 4.2.** select all employees with the highest salary

```
select empno, lastname
from employee
where salary >= all
    ( select salary
      from employee )
```

### 4.2.3 Ordering

No ordering can be assumed unless you use `order by`

### 4.2.4 Grouping

`group by` allows you to aggregate results

**Example 4.3.** for each dept, list number of employees and combined salary

```
select deptno, deptname, sum(salary) as totalsalary,
       count(*) as employees
from department d, employee e
where e.workdept = d.deptno
group by deptno, deptname
```

`having` is like `where` for groups

**Example 4.4.** list average salary for each dept  $\geq 4$  people

```
select deptno, deptname, avg(salary) as MeanSalary,
       count(*) as employees
from department d, employee e
where e.workdept = d.deptno
group by deptno, deptname
having count(*) >= 4
```

## 4.3 DDL

### 4.3.1 Table

**create** : creates a table

**alter** : change the table

**drop** : delete the table

**Example 4.5.** create table

```
create table Employee (  
  EmpNo char(6),  
  FirstName varchar(12),  
  HireDate date  
)
```

### 4.3.2 Data Types

- integer
- decimal(p,q)
- float(p)
- char(n)
- varchar(n): variable length
- date
- time
- timestamp: date + time
- year/month interval
- day/time interval

### 4.3.3 Constraints

- not NULL
- primary key
- unique
- foreign key
- column or tuple check

**Example 4.6.** add a start date that must come before hire date

```
alter table Employee  
add column StartDate date  
add constraint hire_before_start  
  check (HireDate <= StartDate);
```

#### 4.3.4 Triggers

**trigger:** procedure execute by the db in response to table change

- event
- condition
- action

```
create trigger log_addr
after update of addr, phone on person
referencing OLD as o NEW as n
for each row
mode DB2SQL
when (o.status = 'VIP' or n.status = 'VIP')
    insert into VIPAddrhist(pid, oldaddr, oldphone,
        newaddr, newphone, user, modtime)
    values (o.pid, o.addr, o.phone,
        n.addr, n.phone, user, current timestamp)
```

## 5 Views

### 5.1 Definition

**View:** a relation whose instance is determined by other relations

- **Virtual:** views not stored, used only for querying
- **Materialized:** query for view is executed and view is stored

```
create [materialized] view <name>
as query
```

**Example 5.1.** Manufacturing projects view

```
create view ManufacturingProjects as
( select projno, projname, firstname, lastname
  from project, employee
  where respemp = empno and deptno = 'D21' )
```

### 5.2 Updating

Changes to a view schema propagate back to instances of relations in conceptual schema, so to avoid ambiguity a view is updateable if:

- the query references exactly one table
- the query only outputs simple attributes
- there is **no** grouping/aggregation/distinct



- there are no nested queries
- there are no set operations

Materialized views also have to be update with periodically to account for base table changes

## 6 Application Development

### 6.1 Embedded SQL

#### 6.1.1 Static Embedded SQL

Embed SQL into C with EXEC SQL and suffixing with ;, using host variables to send and recieve values from DB

**Example 6.1.** Host variables in C

```
EXEC SQL BEGIN DECLARE SECTION;
char deptno[4];
char deptname[30];
char mgrno[7];
char admrdept[4];
char location[17];
EXEC SQL END DECLARE SECTION;

/ * program assigns values to variables * /

EXEC SQL INSERT INTO
    Department(deptno,deptname,mgrno,admrddept,location)
VALUES
    (:deptno,:deptname,:mgrno,:admrddept,:location);
```

**indicator variables** are flags used to handle host variables that might recieve NULL

**Example 6.2.** Indicator variables

```
int PrintEmployeePhone( char employeenum[] ) {
    EXEC SQL BEGIN DECLARE SECTION;
        char empno[7];
        char phonenum[5];
        short int phoneind;
    EXEC SQL END DECLARE SECTION;
    strcpy(empno,employeenum);
    EXEC SQL
        SELECT phoneno INTO :phonenum :phoneind
        FROM employee WHERE empno = :empno;
    if( SQLCODE < 0 ) { return( -1 ); } / * error * /
    else if(SQLCODE==100){printf("no such employee\n");}
    else if (phoneind<0){printf("phone unknown\n");}
    else { printf("%s\n",phonenum); }
    return( 0 );
}
```