Statistical Consulting Report

Restricted Entry: Is bias limiting Sikhs' access to mental health services?

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Abstract

Studies have shown that Racial/Ethnic (RE) disparities to minority groups exist among social service providers in North America [2, 3]. Researchers from this present study aims to investigate on RE bias within the population of psychology counsellor in BC province towards Punjabi Sikh individuals in terms of how receptive the service provider is to provide social services. In this report, logistic regression and ordinal regression are proposed for the two response variables related to responsiveness and reception rate respectively. Potential confounding factors for one of the predictors are also discussed. Furthermore, a power analysis on the logistic regression is included so that the researchers may decide a proper sample size for the upcoming experiment.

1   Introduction

Research has identified RE disparities in the utilization and delivery of social services such as psychology counselling in North America. In general, review literatures of RE biases has found that providers appear to have an implicit bias in terms of a positive attitude towards Caucasians and negative attitudes towards people of color [2, 3]. This present study aims to investigate on RE bias at the entry point of social services. Specifically, the study seeks to examine the possibility of RE disparities to a particular South Asian (SA) group of individuals (i.e., Punjabi Sikh individuals) in accessing mental health service in Canada. The main interest of the study lies on the service providers' possible bias towards Sikh religious individuals in terms of how receptive the service provider is to provide social services. The secondary objective involves testing for the impact of other factors such as gender, accent and/or intergroup contact on the accessiblity of the service.

2   Data Description

Study Description

The participants for this study will be randomly selected social service providers (i.e. psychological counsellors) from professional associations or licensure bodies common to BC as found on their

public directories. Participants will receive pre-recorded voicemails. Each voicemail represents one of all possible conditions regarding the status of religion, gender and/or accent. A pilot study has identified that certain Sikh names and Christian names are highly likely to conjure up an image of a Sikh or Christian person, respectively. Thus in this study the assumption is made that the participants are able to determine the religion of the caller by his/her name. In terms of intergroup contact, the experiment will be performed on psychological counsellors from two cities with distinct percentage of Punjabi Sikh individuals. To reduce the possibility that the phone call will be answered, all calls will be placed after conventional working hours (e.g., 8:30 pm). A pilot experiment with 20 participants will be conducted to determine the sample size required to obtain a power of 0.8 due to the scarcity of research in this area. Whether the service provider returns the phone message and offers appointments will be recorded as response variables. An example of all possible variables is shown in Table 1. In the real dataset, columns will be variables in Table 1 and rows will phone messages. One thing in particular to note is that, variable *city* is included in the analysis as one of the predictors instead of the level of intergroup contact. The reason behind this lies in the potential confounding factors, such as demographic characteristics of the participants in different cities. In other words, participants in one city are less prejudiced towards Sikh patients not necessarily because of a higher intergroup contact, but also possibly because of a higher proportion of Sikh councellors in that city. Consequently, we could only make inference on the *city* factor through the anaylsis rather than intergroup contact. To infer the causal relationships between RE disparities and level of intergroup contact, further investigation beyond the scope of this study is needed.

3 Proposed Statistical Analysis

Since the project is only at its early stage with no data available, simulated data are generated for a clear presentation of the proposed analysis under the assumption that the data structure and possible values in Table 1 is correct. A logistic regression model is proposed for the response coded

Variable Type	Variable Name	Possible Values
Predictors / independent variables	*religion*	'Sikh' or 'Non-Sikh'
	gender	'Male' or 'Female'
	accent	'Present' or 'Absent'
	city	'Richmond' or 'Surrey'
Responses / dependent variables	*callback*	'True' (coded as '1') or 'False' (coded as '0')
	appoint_offer	'Yes', 'Not sure' or 'No' (coded as '2', '1', '0' respectively)

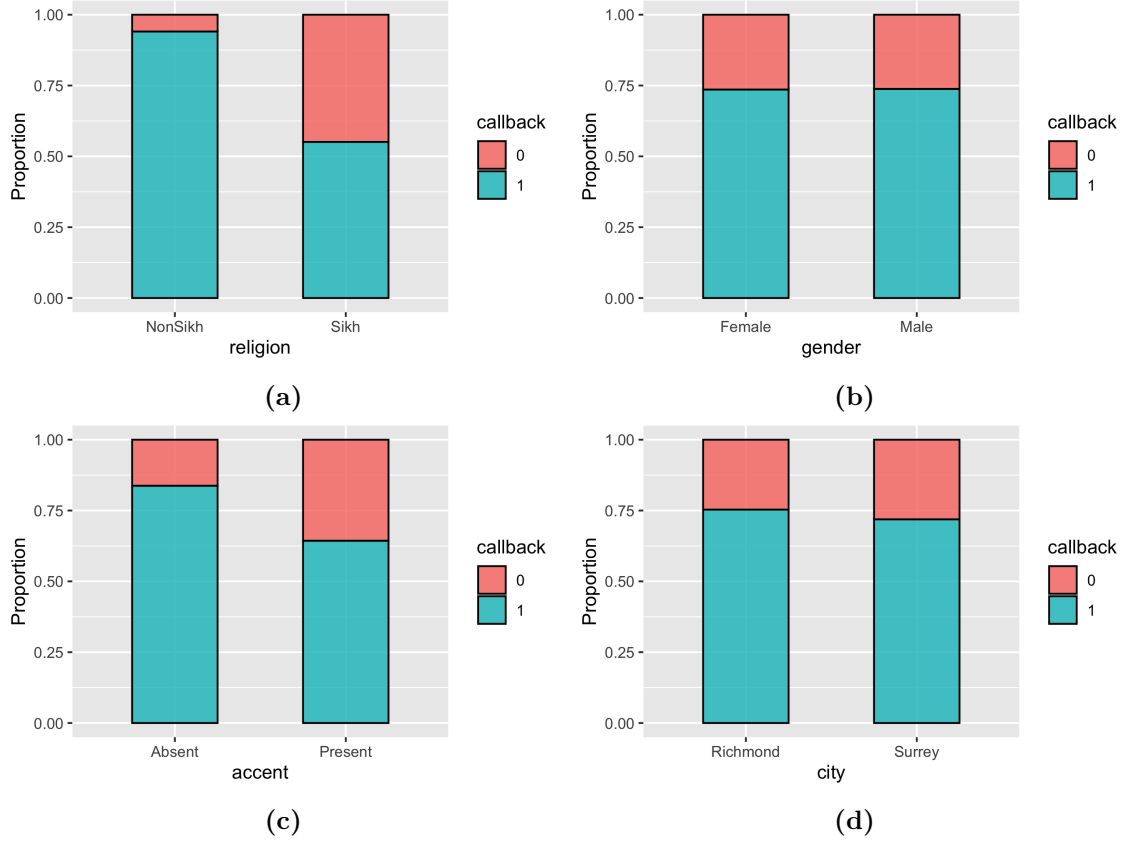Table 1: Example table of variables included in the analysis.

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Figure 1: Distribution of *callback* in predictors a) *religion*, b) *gender*, c) *accent* and d) *city*.

as a binary variable (i.e. *callback*). An ordinal regression model, which is an extension of the logistic regression model, is recommended for the case where the response is coded as an ordinal variable with more than two levels. Specifically, an ordinal variable is a variable whose value exists on an arbitrary scale where only the relative ordering between different values is important, such as *appoint_offer*. A sample analysis though statistical programme language `R` is illustrated below as well as interpretation for the results. In the following analysis we include all predictors from Table 1, but choice of the predictors is flexible owing to nature of the models. Researchers on the study may choose desired set of predictors depending on the circumstances. All programming codes can be found in my Github repository.

3.1 Logistic regression on *callback*

As an exploratory analysis, Figure 1 depicts the distribution of *callback* within possible values of the predictors respectively. *Religion* and *accent* are the two factors that has distinctive proportions of 'no callback' in different categories, while *gender* and *city* seem to have little influence on the

callback rate. Taking all four factors into account as predictors, we build the logistic regression model on response variable *callback*:   this is a 2^4 design, interactions might be seen for simple plots of the 16 proportions

$$\log \frac{\mu_i}{1 - \mu_i} = \beta_0 + x_{i1}\beta_1 + x_{i2}\beta_2 + x_{i3}\beta_3 + x_{i4}\beta_4, \quad i = 1, 2, ..., n, \tag{1}$$

condition on x_{i1},...,x_{i4}

where i represents the ith phone message, $\mu_i = \mathrm{E}(y_i) = \mathrm{P}(y_i = 1)$ is the probability of ith phone message receives callback, $x_{i1}, ..., x_{i4}$ are the values of each predictors for the ith phone message, β_0 is the intercept, and $\beta_1, ..., \beta_4$ are the regression coefficients for four predictors respectively. A main advantage of the logistic regression model is its attractive interpretation: $\frac{\mu_i}{1-\mu_i} = \frac{P(y_i=1)}{P(y_i\neq 1)} = \frac{P(y_i=1)}{P(y_i=0)}$ can be interpreted as the **odds** of event "$y_i = 1$", so the parameter (say) β_j may be interpreted as the change of odds in log-scale when the jth predictor is changed from baseline value to alternate value. We can fit the regression coefficients in Equation 1 through R. The following presents a sample code output from applying the `glm` function on simulated data with the arguments set to perform logistic regression: use plots to check for interactions before deciding whether an additive model is reasonable.

```
> lr.fit <- glm(callback ~ religion + gender + accent + city,
+               data = dat, family=binomial("logit"))  ## Fit the parameters
> summary(lr.fit)  ## Results summary


Call:
glm(formula = callback ~ ., family = binomial("logit"), data = dat)


Deviance Residuals:
    Min      1Q   Median      3Q      Max
-2.2947  -0.9460   0.2638   0.7694   1.4280


Coefficients:
                Estimate Std. Error z value Pr(>|z|)
(Intercept)      3.76833    0.35272  10.684  < 2e-16 ***
religionSikh    -2.70256    0.28465  -9.494  < 2e-16 ***
genderMale      -0.02224    0.21612  -0.103   0.9180
accentPresent   -1.21003    0.22274  -5.432 5.56e-08 ***
citySurrey      -0.40560    0.21796  -1.861   0.0628 .
```

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From the output, the estimated intercept is 3.77 and the estimated regression slope for the four predictors are -2.70, -0.02, -1.21, -0.41 respectively. The output gives us the fitted model:

condition on religion, gender, accent, city

$$\log \frac{P(callback = 1)}{P(callback = 0)} = 3.77 - 2.70 * I(religion=\text{`Sikh'}) - 0.02 * I(gender=\text{`male'}) \\ - 1.21 * I(accent=\text{`present'}) - 0.41 * I(city=\text{`Surrey'}), \tag{2}$$

where $I(\cdot)$ is the indicator function.

Taking *religion* for an example of interpretation, here the baseline value is set as 'non-Sikh' thus the alternate value is 'Sikh' accordingly. The exponentiated estimate of coefficient for 'religionSikh' in the R output, $e^{-2.70} = 0.067$, is the factor by which the odds of receiving callback is multiplied when *religion* changes from non-Sikh to Sikh while other variables remain fixed. In other words, the odds of a voicemail from a Sikh person receiving callback is expected to reduce $1 - 0.067 = 93.3\%$ compared with a non-Sikh person. Correspondingly, we could observe from Figure 1a that the callback rate in the 'Sikh' group is notably lower than the 'non-Sikh' group. The other three predictor coefficients can be interpreted similarly. Meanwhile, the exponentiated intercept, $e^{3.77} = 43.4$, represents the odds that a phone message receives callback when it's from a non-Sikh female with no accent in city Richmond, i.e., the baseline.

3.2 Ordinal regression on *appoint_offer*

alternative model based on proportions?

Instead of the odds of being one category in logistic regression, the ordinal regression model focuses on the odds of being less than or equal to a particular category. In our case, this odd is definded as $\frac{P(Y \le k)}{P(Y > k)}$ for $k = 0, 1$ where Y is the response variable *appoint_offer*, and k is the category in the response. Further, the ordinal logistic regression model is parameterized as

condition on x1,..x4

plots to check on possible interactions or non-additivity?

upper case Y_i

$$\log \frac{P(y_i \le k)}{P(y_i > k)} = \beta_{k0} + x_{i1}\beta_1 + x_{i2}\beta_2 + x_{i3}\beta_3 + x_{i4}\beta_4, \quad i = 1, 2, ..., n, \ k = 0, 1, \tag{3}$$

condition on x_{i1},...,x_{i4}

where notations are the same as logistic regresion except that the intercept β_{k0} varies with category k. We use the `polr` function in `MASS` package from `R` to estimate the parameters in the regression model. The sample code runs as follows:

```
> or.fit <- polr(appoint_offer ~ religion + gender + accent + city, data = dat, Hess=TRUE)
> summary(or.fit)
Call:
polr(formula = appoint_offer ~ religion + gender + accent + city,
    data = dat, Hess = TRUE)
```

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```
Coefficients:
                Value Std. Error  t value
religionSikh   -3.6144      0.2379 -15.1946
genderMale     -0.3360      0.1838  -1.8281
accentPresent  -2.3898      0.2131 -11.2122
citySurrey     -0.1545      0.1844  -0.8377


Intercepts:
      Value    Std. Error t value
0|1  -5.5780   0.3543    -15.7447
1|2  -2.9841   0.2705    -11.0338
```

According to the output, the estimated model can be written as:

$$\log \frac{P(appoint\_offer \leq 0)}{P(appoint\_offer > 0)} = -5.58 - 3.61 * I(religion=\text{`Sikh'}) - 0.34 * I(gender=\text{`male'})$$
$$- 2.39 * I(accent=\text{`present'}) - 0.15 * I(city=\text{`Surrey'}) \tag{4}$$

and

$$\log \frac{P(appoint\_offer \leq 1)}{P(appoint\_offer > 1)} = -2.98 - 3.61 * I(religion=\text{`Sikh'}) - 0.34 * I(gender=\text{`male'})$$
$$- 2.39 * I(accent=\text{`present'}) - 0.15 * I(city=\text{`Surrey'}) \tag{5}$$

The estimated regression slope for the four predictors are the same for the two odds. Interpretations for the coefficients are similar to the logistic regression model, except for the intercepts. The exponent of first intercept $e^{-5.58} = 0.004$ represents the odds that a baseline voicemail receives "Yes" as the answer for requesting appointment offer. The exponent of second intercept $e^{-2.98} = 0.051$ represents the odds that a baseline voicemail receives "Yes" or "Not sure" as the answer for requesting appointment offer. In this model output, we could observe that *religion* and *accent* have larger influence on the possibility of receiving appointment offer, which is in accordance with Figure 2.

4   Sample Size Calculation

As mentioned above, a pilot experiment with 20 participants will be conducted for estimation of an appropriate sample size. A power analysis, which allows us to determine the sample size required to detect an effect of a given size with a given significance level, is included in this section. The statistical power of a hypothesis test is the probability of detecting an effect, given that there is a
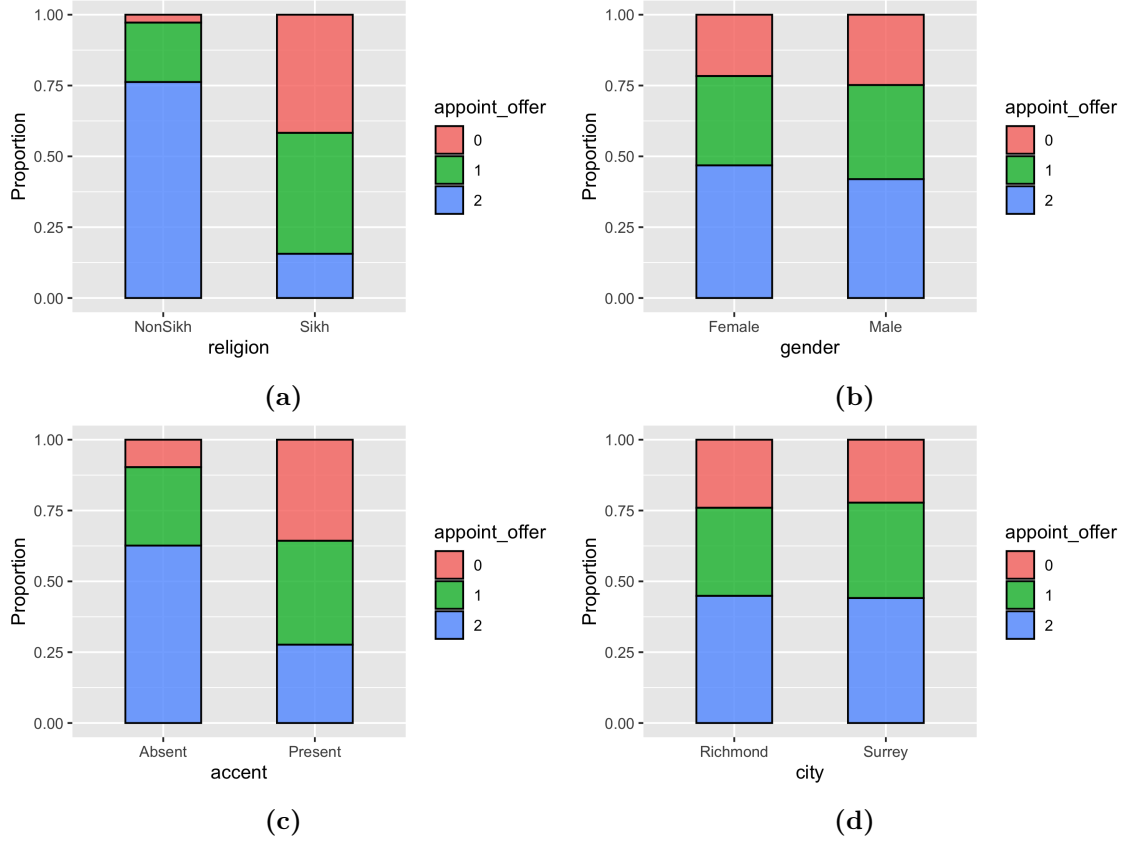
Because each explanatory variable is discrete, can sample size calculation be based on 2-sample comparison of proportions?

(a)

(b)

(c)

(d)

Figure 2: Distribution of *appoint_offer* in predictors a) *religion*, b) *gender*, c) *accent* and d) *city*.

true effect present. On the countrary, the significance level is the probability of finding an effect that is not there. Since the main objective of the study is associated with religious disparities, we focus on predictor *religion* for the calculation. There are two response varibles included in the study, but for simplicity we demonstrate the analysis with *callback*. The approach for power analysis on logistic regression introduced by Demidenko [1] is adopted in this analysis, as well as the function `wp.logistic` from R package `WebPower` [4] that encodes this approach. For programming details, please refer to my Github repository.

The information that need to be gathered from the pilot experiment is the proportion of 1's in *callback* given that *religion* is 'non-Sikh', $P(Y = 1|X = $ 'Sikh'$)$, and the proportion of 1's in *callback* given that *religion* is 'Sikh', $P(Y = 1|X = $ 'non-Sikh'$)$, where *callback* is denoted as Y and *religion* is denoted as X. Then, the estimated $P(Y = 1|X = $ 'non-Sikh'$)$ and $P(Y = 1|X = $ 'Sikh'$)$ from the pilot experiment is inputted to the R function `wp.logistic` as arguments `p0` and `p1`. A sample code assuming $P(Y = 1|X = $ 'non-Sikh'$) = 0.60$, $P(Y = 1|X = $ 'Sikh'$) = 0.50$ runs as follows:
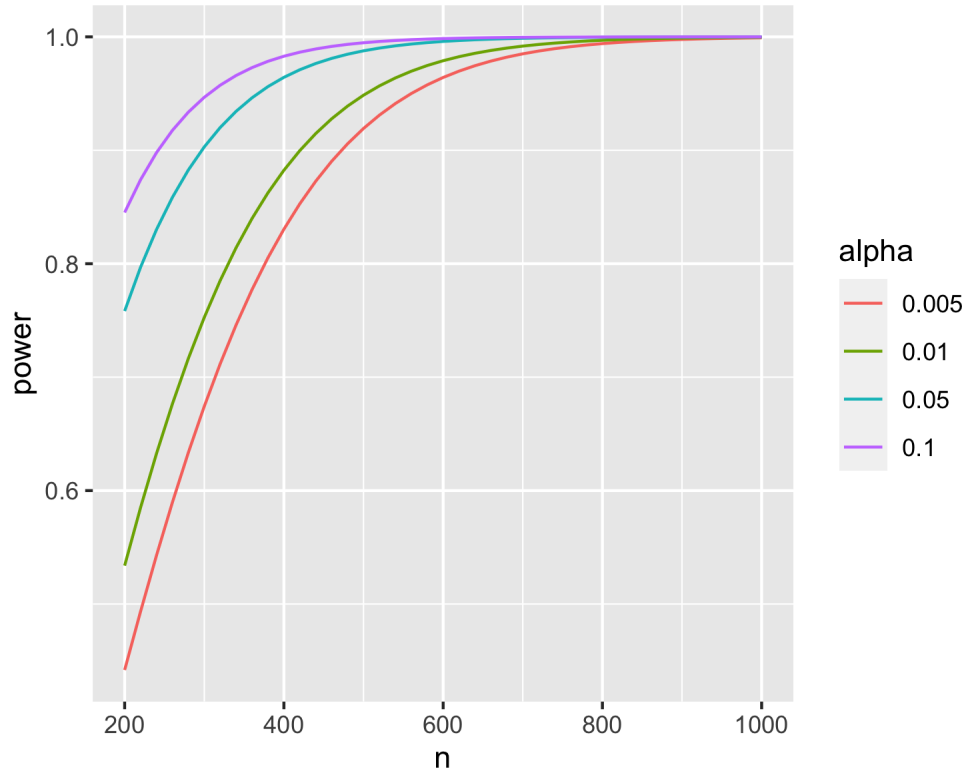
Figure 3: Power analysis plot for logistic regression with significance level of 0.005, 0.01, 0.05 and 0.1.

This looks lke a two-sample comparison of binomial proportions, Can do you deduce the result without using the R function?

```
> wp.logistic(n = 600, p0 = 0.60, p1 = 0.50, alpha = 0.05,
+               power = NULL, alternative = "two.sided", family = "normal")


Power for logistic regression


    p0  p1      beta0      beta1   n alpha      power
   0.6 0.5 0.4054651 -0.4054651 600  0.05 0.9959592
```

From the R output, we could tell that the statistical power has exceeded 0.99 when, significance level α is set to 0.05 and sample size is 600. Is this the sample size over 2 groups? 300 per group? What does this become for 2^4 factor combinations?

Figure 3 is a power analysis plot with power calculated by the abovementioned function. It shows the statistical power of a logistic regression with a significance level of 0.005, 0.01, 0.05 and 0.1 under sample size constraints given $P(Y = 1|X = \text{'non-Sikh'}) = 0.60$, $P(Y = 1|X = \text{'Sikh'}) = 0.50$. Diagrams corresponding to different estimations of `p0` and `p1` can be obtained through applying the R codes by researchers themselves.

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5 Conclusion

To explore the potential bias from psychological counsellors towards Sikh individuals at the entry point of the service, we recommend a logistic regression and an ordinal regression model to estimate the effect of factors like religion and gender on the counsellors' responsiveness and reception rate. Sample analyses for the regression models are demonstrated in the report and researchers may perform the analysis as depicted on a flexible choice of interested factors. However, the researchers should remain cautious with the factor of intergroup contact as it might be confounded with other factors such as such as demographic characteristics of the counsellor. An example power analysis for the sample size determination is also presented.

References

[1] Eugene Demidenko. Sample size determination for logistic regression revisited. *Statistics in Medicine*, 26(18):3385–3397, 2007. doi: https://doi.org/10.1002/sim.2771. URL `https://onlinelibrary.wiley.com/doi/abs/10.1002/sim.2771`.

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[3] Richard Q. Shin, Lance C. Smith, Jamie C. Welch, and Ijeoma Ezeofor. Is allison more likely than lakisha to receive a callback from counseling professionals? a racism audit study. *The Counseling Psychologist*, 44(8):1187–1211, 2016. doi: 10.1177/0011000016668814. URL `https://doi.org/10.1177/0011000016668814`.

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