

# Chapter10. Empirical vector quantization

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## 10.1. A brief introduction to source coding

### Motivation

Given **continuous-valued sources**  $Z \in \mathbb{R}^d$  (such as images), we want to map any source realization to a compact **binary string**  $b$  that would, upon **reconstruction**  $\hat{Z}$ , differ from the original source as little as possible.

$$z_1 \in \mathbb{R}^d \quad z_2 \in \mathbb{R}^d \quad \dots \quad z_n \in \mathbb{R}^d$$

The overall mapping  $Z \mapsto b \mapsto \hat{Z}$  is called **vector quantizer**.

1. **compactness** measured by expected **rate**:  $\mathbf{E}[\text{len}(b)]$
2. **accuracy** measured by expected **distortion**:  $\mathbf{E}[d(z, \hat{z})]$ , where  $d(Z, \hat{Z}) : \mathbb{R}^d \times \mathbb{R}^d \rightarrow [0, \infty)$

$$d(z, \hat{z}) = \|z - \hat{z}\|^2 = \sum_{j=1}^d |z(j) - \hat{z}(j)|^2$$

## 10.2. Fixed-rate vector quantization

### Definition 10.1.

Let  $Z = \mathbb{R}^d, k \in \mathbb{N}$ . A (d-dimensional) **k-point vector quantizer** is a (measurable) mapping  $q : Z \rightarrow \mathcal{C} = \{y_1, \dots, y_k\} \subset Z$ , where the set  $\mathcal{C}$  is called the **codebook** and its elements are called the **codevectors**.

- **source**: a random vector  $Z \in \mathbb{R}^d$  with some probability distribution  $P_Z$
- k-point quantizer  $q$  represents  $Z$  by the quantized **output**  $\hat{Z} = q(Z)$
- **rate** of  $q$  (in bits):

$$R(q) := \lceil \log_2 k \rceil = \log_2 k$$

- **expected distortion**:

$$D(P_Z, q) := \mathbf{E} \|Z - q(Z)\|^2 = \int_{\mathbb{R}^d} \|z - q(z)\|^2 P_Z(dz)$$

**Goal**: minimize the expected distortion  $D(P_Z, q)$  subject to a fixed rate  $R(q)$  *k is fixed*

### Definition 10.2. $|C|=k$

Let  $\mathcal{Q}_k$  be the set of all  $k$ -point vector quantizers. Then the optimal performance on a given source distribution  $P_Z$  is defined by

$$D_k^*(P_Z) := \inf_{q \in \mathcal{Q}_k} D(P_Z, q) \equiv \inf_{q \in \mathcal{Q}_k} \mathbf{E} \|Z - q(Z)\|^2 \quad P_Z \in \mathcal{P}(\mathcal{Z})$$

We say that a quantizer  $q^* \in \mathcal{Q}_k$  is **optimal** for  $P_Z$  if

$$D(P_Z, q^*) = D_k^*(P_Z)$$

### Definition 10.3.

A quantizer  $q \in \mathcal{Q}_k$  with codebook  $\mathcal{C} = \{y_1, \dots, y_k\}$  is called **nearestneighbor** if, for all  $z \in \mathcal{Z}$ ,

$$\|z - q(z)\|^2 = \min_{1 \leq j \leq k} \|z - y_j\|^2. \quad q(z) := \arg \min_{y_j \in \mathcal{C}} \|z - y_j\|^2$$

Let  $\mathcal{Q}_k^{\text{NN}}$  denote the **set of all  $k$ -point nearest-neighbor quantizers**.

### Lemma 10.1.

For any  $q \in \mathcal{Q}_k$  we can always find some  $q' \in \mathcal{Q}_k^{\text{NN}}$ , such that  $D(P_Z, q') \leq D(P_Z, q)$

### Proof.

Given a quantizer  $q \in \mathcal{Q}_k$  with codebook  $\mathcal{C} = \{y_1, \dots, y_k\}$ , define  $q'$  by

$$q'(z) := \arg \min_{y_j \in \mathcal{C}} \|z - y_j\|^2$$

where ties are broken by going with the lowest index. Then  $q'$  is clearly a nearest-neighbor quantizer, and

$$\begin{aligned} D(P_Z, q') &= \mathbf{E} \|Z - q'(Z)\|^2 \\ &= \mathbf{E} \left[ \min_{1 \leq j \leq k} \|Z - y_j\|^2 \right] \\ &\leq \mathbf{E} \|Z - q(Z)\|^2 \\ &\equiv D(P_Z, q) \end{aligned}$$



According to Lemma 10.1, we have

$$D_k^*(P_Z) = \inf_{q \in \mathcal{Q}_k^{\text{NN}}} \mathbf{E} \|Z - q(Z)\|^2 = \inf_{\mathcal{C} = \{y_1, \dots, y_k\} \subset Z} \mathbf{E} \left[ \min_{1 \leq j \leq k} \|Z - y_j\|^2 \right]$$

min

### Theorem 10.1.

If  $Z$  has a finite second moment,  $\mathbf{E} \|Z\|^2 < \infty$ , then there exists a nearest-neighbor quantizer  $q^* \in \mathcal{Q}_k^{\text{NN}}$  such that  $D(P_Z, q^*) = D_k^*(P_Z)$ .

## 10.3. Learning an (approximately) optimal quantizer

Finding an optimal  $q^*$  is a very difficult problem

- combinatorial search component: optimize over all  $k$ -point sets  $\mathcal{C}$  in  $\mathbb{R}^d$
- source distribution  $P_Z$  is often not known

Empirical method:

learn an (approximately) optimal quantizer for  $P_Z$  based on a sufficiently large training samples

- sample:  $Z^n = (Z_1, \dots, Z_n)$  be an i.i.d. from  $P_Z$
- learning algorithm: take  $Z^n$  and output a  $\hat{q}_n \in \mathcal{Q}_k$

## DEFINITION 10.4.

We say that a quantizer  $\hat{q}_n \in Q_k^{\text{NN}}$  is **empirically optimal** for  $Z^n$  if

$$D(P_n, \hat{q}_n) = D_k^*(P_n) = \min_{q \in Q_k^{\text{NN}}} D(P_n, q) = \min_{q \in Q_k^{\text{NN}}} \frac{1}{n} \sum_{i=1}^n \|Z_i - q(Z_i)\|^2$$

true risk :  $D_k^*(P_Z) = \min_{q \in Q_k^{\text{NN}}} D(P_Z, q)$

By the nearest-neighbor property,

$$D_k^*(P_n) = \min_{C = \{y_1, \dots, y_k\} \subset Z} \frac{1}{n} \sum_{i=1}^n \min_{1 \leq j \leq k} \|Z_i - y_j\|^2$$

Expected distortion of  $\hat{q}_n$ , given by

$$D(P_Z, \hat{q}_n) = \mathbf{E} \left[ \|Z - \hat{q}_n(Z)\|^2 \mid Z^n \right] = \int_Z \|z - \hat{q}_n(z)\|^2 P_Z(dz)$$

r.v.



## An abstract framework for ERM ( $Z, \mathcal{P}, \mathcal{F}$ )

- Set  $Z = \mathbb{R}^d$
- A class  $\mathcal{P}$  of probability distributions on  $Z$   
 $\mathcal{P}_2 \in \mathcal{P}(r)$
- A class  $\mathcal{F}$  of functions  $f : Z \rightarrow [0, 1]$   
(induced losses)

$$\mathcal{F} = \{d_q : q \in Q_k^{\text{un}}\}$$

$$d_q(\vec{z}) = \|\vec{z} - q(\vec{z})\|^2$$

- The **expected risk** of any  $f \in \mathcal{F}$ :

$$P(f) := \mathbf{E}_P f(Z)$$

- The **minimum risk** :

$$L_P^*(\mathcal{F}) := \inf_{f \in \mathcal{F}} P(f)$$

- **ERM algorithm:**

$$\hat{f}_n = \arg \min_{f \in \mathcal{F}} P_n(f) = \arg \min_{f \in \mathcal{F}} \frac{1}{n} \sum_{i=1}^n f(Z_i)$$

$= \mathcal{P}_k^*(\mathcal{P}_n)$

- **Uniform deviation**

$$\Delta_n(Z^n) := \|P_n - P\|_{\mathcal{F}} \equiv \sup_{f \in \mathcal{F}} |P_n(f) - P(f)|$$

## Remarks about empirically optimal quantizers

### 1. Nearly optimal

$$\Rightarrow \underline{\mathbf{E}[D(P_Z, \hat{q}_n) - D_k^*(P_Z)]} \leq \frac{C}{\sqrt{n}}$$

$$P(178) \leq \exp\left\{-\frac{\delta^2}{r^2 n}\right\}$$

### 2. Strongly consistent

$$D(P_Z, \hat{q}_n) - D_k^*(P_Z) \xrightarrow{n \rightarrow \infty} 0 \quad \text{almost surely}$$

### 3. Exact solution is NP-complete, but there are various approximation techniques, e.g. K-means algorithm...

## 10.4. Finite sample bound for empirically optimal quantizers

### Assumption

For a given  $r > 0$  and  $z \in \mathbb{R}^d$ , let  $B_r(z)$  denote the  $\ell_2$  ball of radius  $r$  centered at  $z$  :

$$B_r(z) := \{y \in \mathbb{R}^d : \|y - z\| \leq r\}$$

Let  $\mathcal{P}(r)$  denote the set of all probability distributions  $P_Z$  on  $Z = \mathbb{R}^d$ , such that

$$P_Z(B_r(0)) = 1$$

### Theorem 10.2 .

There exists some absolute constant  $C > 0$ , such that

$$\sup_{P_Z \in \mathcal{P}(r)} \mathbf{E} [D(P_Z, \hat{q}_n) - D_k^*(P_Z)] \leq Cr^2 \sqrt{\frac{k(d+1) \log(k(d+1))}{n}}$$

Here  $\hat{q}_n$  denotes an empirically optimal quantizer based on an i.i.d. sample  $Z^n$ .

## Lemma 10.2.

Let  $\mathcal{Q}_k^{\text{NN}}(r)$  denote the set of all nearest-neighbor  $k$ -point quantizers whose codewords lie in  $B_r(0)$ . Then for any  $P_Z \in \mathcal{P}(r)$ ,

$$D(P_Z, \hat{q}_n) - D_k^*(P_Z) \leq 2 \sup_{q \in \mathcal{Q}_k^{\text{NN}}(r)} |D(P_n, q) - D(P_Z, q)|$$

$$\begin{aligned} 6.1: \quad \mathbb{E}_{P_2}(\underline{f_n}) - \mathbb{E}_{P_2}(f^*) &\leq 2 \Delta_n(\mathcal{Z}^n) \\ &= \sup_{\substack{f \in \mathcal{F} \\ [0,1]}} |\mathbb{E}_{P_2}(f) - \mathbb{E}_{P_n}(f)| \end{aligned}$$

## Proof.

Fix  $P_Z$  and let  $q^* \in \mathcal{Q}_k^{\text{NN}}$  denote an optimal quantizer, i.e.,  $D(P_Z, q^*) = D_k^*(P_Z)$ .  
Then, we can write

$$\begin{aligned}
 &\Rightarrow D(P_Z, \hat{q}_n) - D_k^*(P_Z) \\
 &= D(P_Z, \hat{q}_n) - D(P_n, \hat{q}_n) + D(P_n, \hat{q}_n) - D(P_n, q^*) + D(P_n, q^*) - D(P_Z, q^*) \\
 &\leq D(P_Z, \hat{q}_n) - D(P_n, \hat{q}_n) + D(P_n, q^*) - D(P_Z, q^*) \\
 &\leq 2 \sup_{q \in \mathcal{Q}_k^{\text{NN}}(r)} |D(P_Z, q) - D(P_n, q)|
 \end{aligned}$$

Then we only need to show that both  $\hat{q}_n$  and  $q^*$  have all their codevectors in  $B_r(0)$ .

Since  $B_r(0)$  is a convex set, for any point  $y \notin B_r(0)$  we get  $y' = ry/\|y\| \in B_r(0)$ . Then

$$\|z - y'\| < \|z - y\|, \quad \forall z \in B_r(0).$$

Thus, if we take an arbitrary quantizer  $q \in \mathcal{Q}_k$  and replace all of its codevectors outside  $B_r(0)$  by their projections, we will obtain another quantizer  $q'$ , such that  $\|z - q'(z)\| \leq \|z - q(z)\|$  for all  $z \in B_r(0)$ .



$$\theta' > \theta \Leftrightarrow \langle \vec{a}, \vec{b} \rangle < 0 \quad \square$$

## Proof for Theorem 10.2

### Part.1:

Upper bound  $\mathbf{E}|D(P_Z, \hat{q}_n) - D_k^*(P_Z)|$  by  $\mathbf{E}[\text{uniform deviation}]$  (with 0-1 loss)

**Fact.1.1** Let  $f_q(z) := \|z - q(z)\|^2$  Then, for any  $z \in B_r(0)$  we have

$$0 \leq f_q(z) \leq 2\|z\|^2 + 2\|q(z)\|^2 \leq 4r^2$$

**Fact.1.2** Using the [integral identity](#), we have

r.v  $X \geq 0$ ,  $\mathbf{E}X = \int_0^\infty \mathbb{P}(X > t) dt$

$$D(P_Z, q) = \mathbf{E}_{P_Z}(f_q) = \int_0^{4r^2} \mathbb{P}_Z(f_q(Z) > u) du$$

$$D(P_n, q) = \mathbf{E}_{P_n}(f_q) = \int_0^{4r^2} \mathbb{P}_n(f_q(Z) > u) du \text{ a.s.}$$

$$\int_a^b f(x) dx \leq (b-a) \sup_{x \in [a,b]} f(x)$$

$$\begin{aligned} & \sup_{q \in \mathcal{Q}_k^{\text{NN}}(r)} |D(P_n, q) - D(P_Z, q)| \\ &= \sup_{q \in \mathcal{Q}_k^{\text{NN}}(r)} \left| \int_0^{4r^2} (\mathbb{P}_n(f_q(Z) > u) - \mathbb{P}_Z(f_q(Z) > u)) du \right| \\ &\leq 4r^2 \sup_{q \in \mathcal{Q}_k^{\text{NN}}(r)} \sup_{0 \leq u \leq 4r^2} |\mathbb{P}_n(f_q(Z) > u) - \mathbb{P}_Z(f_q(Z) > u)| \\ &= 4r^2 \sup_{q \in \mathcal{Q}_k^{\text{NN}}(r)} \sup_{0 \leq u \leq 4r^2} \underbrace{|\mathbf{E}_{P_n}[\mathbf{1}_{f_q(Z) > u}] - \mathbf{E}_{P_Z}[\mathbf{1}_{f_q(Z) > u}]|} \end{aligned}$$

For a given  $q \in \mathcal{Q}_k^{\text{NN}}(r)$  and a given  $u > 0$  let us define the set

$$A_{u,q} := \{z \in \mathbb{R}^d : f_q(z) > u\}$$

and let  $\mathcal{A}$  denote the class of all such sets:  $\mathcal{A} := \{A_{u,q} : u > 0, q \in \mathcal{Q}_k^{\text{NN}}(r)\}$ .

Then we can write  $\mathbf{1}_{\{f_q(z) > u\}} = \mathbf{1}_{\{z \in A_{u,q}\}}$

$$\sup_{q \in \mathcal{Q}_k^{\text{NN}}(r)} |D(P_n, q) - D(P_Z, q)| \leq 4r^2 \sup_{A \in \mathcal{A}} |\mathbf{E}_{P_n}[\mathbf{1}_{z \in A}] - \mathbf{E}_{P_Z}[\mathbf{1}_{z \in A}]|$$

Therefore,

$$\begin{aligned} \mathbf{E}_{\mathcal{Z}^n} [D(P_Z, \hat{q}_n) - D_k^*(P_Z)] &\leq 2\mathbf{E}_{\mathcal{Z}^n} \left[ \sup_{q \in \mathcal{Q}_k^{\text{NN}}(r)} |D(P_n, q) - D(P_Z, q)| \right] \\ &\leq 8r^2 \mathbf{E}_{\mathcal{Z}^n} \left[ \sup_{A \in \mathcal{A}} |\mathbf{E}_{P_n}[\mathbf{1}_{z \in A}] - \mathbf{E}_{P_Z}[\mathbf{1}_{z \in A}]| \right] \end{aligned}$$

$$f(\vec{z}) = \mathbf{1}_{\{\vec{z} \in A\}}$$

$$\mathcal{F} = \{ \mathbf{1}_{\vec{z} \in A} : A_{\gamma u} \in \mathcal{A} \}$$



## Part.2:

Upper bound the uniform deviation using Rademacher average and VC theory

$$\mathbf{E} \left[ \sup_{A \in \mathcal{A}} |\mathbf{E}_{P_n} [\mathbf{1}_{z \in A}] - \mathbf{E}_{P_Z} [\mathbf{1}_{z \in A}]| \right] \leq C \sqrt{\frac{V(\mathcal{A})}{n}} \leq 2C \sqrt{\frac{k(d+1) \log(k(d+1))}{n}}$$

$\underbrace{\hspace{10em}}_{\leq 2 \mathbf{E} R_n(\mathcal{F}(Z^n))} \quad \uparrow \quad \leq C \sqrt{\frac{VC(\mathcal{F})}{n}} = C \sqrt{\frac{VC(\mathcal{A})}{n}}$

THEOREM 6.1. Fix a space  $Z$  and let  $\mathcal{F}$  be a class of functions  $f : Z \rightarrow [0, 1]$ . Then for any  $P \in \mathcal{P}(Z)$

$$(6.22) \quad \mathbf{E} \Delta_n(Z^n) \leq 2 \mathbf{E} R_n(\mathcal{F}(Z^n)).$$

$$\Delta(Z^n) = \sup_{f \in \mathcal{F}} |\mathbf{E}_{P_n}(f) - \mathbf{E}_{P_Z}(f)|$$

THEOREM 7.1. Let  $Z$  be an arbitrary set and let  $\mathcal{F}$  be a class of binary-valued functions  $f : Z \rightarrow \{0, 1\}$ , or a class of functions  $f : Z \rightarrow \{-1, 1\}$ . Let  $Z^n$  be an i.i.d. sample of size  $n$  drawn according to an arbitrary probability distribution  $P \in \mathcal{P}(Z)$ . Then, with probability one,

THEOREM 7.2. *There exists an absolute constant  $C > 0$ , such that under the conditions of the preceding theorem, with probability one,*

$$R_n(\mathcal{F}(Z^n)) \leq C \sqrt[n]{\frac{V(\mathcal{F})}{n}}.$$

DEFINITION 7.3. *Let  $\mathcal{F}$  be a class of functions  $f : Z \rightarrow \{0, 1\}$ , or let  $\mathcal{F}$  be a class of functions  $f : Z \rightarrow \{-1, 1\}$ . We say that a finite set  $S = \{z_1, \dots, z_n\} \subset Z$  is shattered by  $\mathcal{F}$  if it is shattered by the class*

$$\mathcal{C}_{\mathcal{F}} := \{C_f : f \in \mathcal{F}\},$$

*where  $C_f := \{z \in Z : f(z) = 1\}$ . The  $n$ th shatter coefficient of  $\mathcal{F}$  is  $\mathbb{S}_n(\mathcal{F}) = \mathbb{S}_n(\mathcal{C}_{\mathcal{F}})$ , and the VC dimension of  $\mathcal{F}$  is defined as  $V(\mathcal{F}) = V(\mathcal{C}_{\mathcal{F}})$ .*

$$A_{u,q} \in \mathcal{A} : \quad \vec{z} \in A_{u,q} \Leftrightarrow f_q(\vec{z}) = \min_{\vec{y}_j \in C} \|\vec{z} - \vec{y}_j\|^2 > u$$

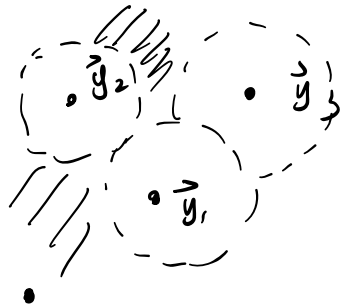
$\infty$   
•

$$= \min_{\vec{y}_j \in C} \|\vec{z} - \vec{y}_j\| > \sqrt{u}$$

$$B_r(\vec{z}) = \{ \vec{y} \in \mathbb{R}^d : \|\vec{y} - \vec{z}\| \leq r \}$$

$$\|\vec{z} - \vec{y}_i\| > \sqrt{u} = B_{\sqrt{u}}(\vec{y}_i)^c$$

$$A_{u,q} = \left( \bigcap_{j=1}^k B_{\sqrt{u}}(\vec{y}_j)^c \right) \cap B_r(0)$$



$$\mathcal{A} = \{ A_{u,q} : q \in Q_k^{NN}(r), u \in [0, 4r^2] \}$$

$$\mathcal{A} \subset \mathcal{X} := \left\{ \bigcap_{j=1}^k B_j^c : B_j \in \mathcal{B}, \forall j \right\}$$

$$V(\mathcal{A}) \leq V(\mathcal{X}) \in$$


**Fact.2.1** For any class of sets  $\mathcal{M}$ , let  $\overline{\mathcal{M}}$  denote the class  $\{M^c : M \in \mathcal{M}\}$  formed by taking the complements of all sets in  $\mathcal{M}$ . Then for any  $n$

$$\boxed{\mathbb{S}_n(\overline{\mathcal{M}}) = \mathbb{S}_n(\mathcal{M})}$$

$$\mathbb{S}_n(\mathcal{M}) = \sup_{S \subset Z} |\{S \cap M : M \in \mathcal{M}\}|$$

$$\mathbb{S}_n(\overline{\mathcal{M}}) = \sup_{|S|=n} |\{S \cap M^c : M \in \mathcal{M}\}|$$

①  $\mathbb{S}_n(\mathcal{M}) \leq \mathbb{S}_n(\overline{\mathcal{M}})$   
 ②  $\mathbb{S}_n(\overline{\mathcal{M}}) \geq \mathbb{S}_n(\mathcal{M})$



For  $S \subset Z, |S|=n$  :  $S \cap M = S \setminus T \quad S \cap M_1 \neq S \cap M_2 \Leftrightarrow T_1 \neq T_2$

$$S \cap M^c = T \quad S \cap M_1^c \neq S \cap M_2^c \Leftrightarrow T_1 \neq T_2$$

**Fact.2.2** For any class of sets  $\mathcal{N}$ , let  $\mathcal{N}_k$  denote the class  $\{N_1 \cap N_2 \cap \dots \cap N_k : N_j \in \mathcal{N}, 1 \leq j \leq k\}$ , formed by taking intersections of all possible choices of  $k$  sets from  $\mathcal{N}$ . Then

$$\mathbb{S}_n(\mathcal{N}_k) \leq \mathbb{S}_n^k(\mathcal{N})$$

Lemma:  $\mathcal{N}_1, \mathcal{N}_2, \mathcal{N} = \{N_1 \cap N_2 : N_1 \in \mathcal{N}_1, N_2 \in \mathcal{N}_2\}$

$$S_n(\mathcal{N}) \leq S_n(\mathcal{N}_1) \cdot S_n(\mathcal{N}_2)$$

$$S_n(\mathcal{N}) = \sup_{\substack{S \subseteq \mathcal{Z} \\ |S|=n}} \left| \{S \cap N_1 \cap N_2 : N_1 \in \mathcal{N}_1, N_2 \in \mathcal{N}_2\} \right|$$

$\uparrow$   
 $|S|=n$

• For  $S$  :  $\left| \{S \cap N_1 : N_1 \in \mathcal{N}_1\} \right| = \left| \{B_1, B_2, \dots, B_l\} \right| \leq \underline{S_n(\mathcal{N}_1)}$

• For  $i=1, \dots, l$ :  $\underline{S \cap N_1 \cap N_2} = \underline{B_i \cap N_2}$

$$\{B_i \cap N_2 : N_2 \in \mathcal{N}_2\} \leq S_{|B_i|}(\mathcal{N}_2) \leq S_n(\mathcal{N}_2)$$

# of distinct subsets  $\{S \cap N_1\}$   $|B_i| \leq n$

$$\left| \{S \cap N_1 \cap N_2\} \right| \leq \sum_{i=1}^l \# \text{ of distinct subsets } \{B_i \cap N_2\}$$

$$\leq l \cdot \max \left\{ \# \text{ of distinct subsets } \{B_i \cap N_2\} \right\}$$

$$\leq S_n(\mathcal{N}_1) \cdot S_n(\mathcal{N}_2)$$

$$\tilde{\mathcal{A}} = \{ B_1^c \cap \dots \cap B_r^c : B_j^c \in \overline{\mathcal{B}} \}$$

$$S_n(\tilde{\mathcal{A}}) \leq S_n^k(\overline{\mathcal{B}}) = S_n^k(\mathcal{B})$$

$$V(\mathcal{B}) = d+1$$

LEMMA 7.2 (Sauer–Shelah lemma). *Let  $\mathcal{C}$  be a class of subsets of some space  $Z$  with  $V(\mathcal{C}) = d < \infty$ . Then for all  $n$ ,*

$$(7.5) \quad S_n(\mathcal{C}) \leq \binom{n}{\leq d}.$$

*Also,  $\binom{n}{\leq d} \leq (n+1)^d$  and, for  $n \geq d$ ,  $\binom{n}{\leq d} \leq \left(\frac{ne}{d}\right)^d$ .*

$$S_n^k(\mathcal{B}) \leq \left( \frac{ne}{d+1} \right)^{(d+1)k}$$

$$S_n(\mathcal{A}) \leq \left( \frac{ne}{d+1} \right)^{(d+1)k}$$

$$V(\mathcal{A}) := \inf_n \{ n \in \mathbb{N} : 2^n > S_n(\mathcal{A}) \}$$

$$\Leftrightarrow \text{for } n > V(\mathcal{A}) \quad 2^n > S_n(\mathcal{A})$$

$$2^n > \left( \frac{ne}{d+1} \right)^{(d+1)k}$$

$$\hookrightarrow n = 4(k+1)d \log((d+1)k) \geq V(\mathcal{A})$$