

# Introduction to the Yoneda's Lemma

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# Category

## Definition (Category)

A category  $\mathcal{C}$  consist of the following datas:

- (1) a collection of objects  $:X, Y, Z \in \text{obj}(\mathcal{C})$ ;
- (2) a collection of morphisms  $:f, g, h$  such that
  - (a) For any morphism  $f$ , there exists specific domain and codomain;
  - (b) For any  $X \in \text{obj}(\mathcal{C})$ , there exists identity morphism  $1_X : X \rightarrow X$ ;
  - (c) Morphisms have composition.

which satisfies the following conditions:

- $\forall f: X \rightarrow Y, f 1_X = 1_Y f = f$ ,
- $f(gh) = f(gh)$ .

We denote all morphisms  $f: X \rightarrow Y$  by  $\text{Hom}_{\mathcal{C}}(X, Y)$ , and it should be a set here(locally small).

We define a subcategory  $\mathcal{D} \subset \mathcal{C}$  whose objects contained in  $obj(\mathcal{C})$  and  $Hom_{\mathcal{D}}(X, Y) \subset Hom_{\mathcal{C}}(X, Y)$  for all  $X \in obj(\mathcal{D})$ . If in this case,  $Hom_{\mathcal{D}}(X, Y) = Hom_{\mathcal{C}}(X, Y)$ , we call it full subcategory.

We will omit the subscript of  $Hom_{\mathcal{C}}(X, Y)$ , if it is clear from the context.

### Example

- (1) **Sets, Group.** They belong to the concrete category in which every object has a underlying set structure.
- (2) a group  $G$  induces a category  $BG$ , which has only one object denoted by  $*$ , and the elements in  $G$  are morphisms in  $BG$ .
- (3)

$$\omega : obj : 0, 1, \dots$$

$$Mor : Hom(i, j) = \begin{cases} \xi_j^i & i \leq j \\ \emptyset & \text{others} \end{cases}$$

# Functor

We can regard a functor as a morphism between categories.

## Definition (Functor)

A functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  consists of the following datas:

- (1) An object  $Fc \in \mathcal{D}$  for each  $c \in \mathcal{C}$ ;
- (2) A morphism  $Ff: Fc \rightarrow Fc' \in \mathcal{D}$  for each  $f: c \rightarrow c' \in \mathcal{C}$

and satisfies the following conditions:

- (a) For each composable pair  $f, g \in \text{Mor}(\mathcal{C})$ ,  $Fg \cdot Ff = F(g \cdot f)$ ;
- (b) For any  $c \in \mathcal{C}$ ,  $F(1_c) = 1_{F(c)}$ .

## Example

- (1) Forgetful functor.
- (2)  $X: BG \rightarrow \mathbf{Sets}$ . It has a unique image object in  $\mathcal{C}$  denoted by  $X$ , and morphisms  $g_*: X \rightarrow X$ . It defines a left action of the group  $G$  on  $X$ .
- (3)  $\mathcal{C}$  is a locally small category, and for any  $c \in \mathcal{C}$ . We have a functor represented by  $c$ :

$$\mathcal{C} \xrightarrow{\text{Hom}_{\mathcal{C}}(c, -)} \mathbf{Sets}$$

$$\begin{array}{ccc}
 x & \longmapsto & \text{Hom}_{\mathcal{C}}(c, x) \\
 \downarrow f & & \downarrow f_* \\
 y & \longmapsto & \text{Hom}_{\mathcal{C}}(c, y)
 \end{array}$$

# Natural Transformation

## Definition (Natural Transformation)

Let  $F, G: \mathcal{C} \Rightarrow \mathcal{D}$  be two parallel functor, a natural transformation  $\alpha: F \Rightarrow G$  consist of :

- a morphism  $\alpha_c: Fc \rightarrow Gc$  in  $\mathcal{D}$  for each  $c \in \mathcal{C}$  which calls the component of  $\alpha$ .

and for any  $f: c \rightarrow c'$  in  $\mathcal{C}$ , the following diagram commute:

$$\begin{array}{ccc} Fc & \xrightarrow{\alpha_c} & Gc \\ Ff \downarrow & & \downarrow Gf \\ Fc' & \xrightarrow{\alpha_{c'}} & Gc' \end{array}$$

Now we know all the concepts in Yoneda's Lemma, so we present it here first.

### Theorem (Yoneda's Lemma)

*For any functor  $F: \mathcal{C} \rightarrow \mathbf{Sets}$ ,  $\mathcal{C}$  is locally small, then for each  $c \in \mathcal{C}$ , there exists a bijection:*

$$\begin{aligned}\Phi_{F,c}: \text{Nat}(\text{Hom}_{\mathcal{C}}(c, -), F) &\longrightarrow Fc \\ \alpha &\mapsto \alpha_c(1_c)\end{aligned}$$

*and  $\Phi_{F,c}$  natural in  $F$  and  $c$ .*

We probe into some examples that will be used to explain the Yoneda's Lemma.

### Example (sequence functor)

Define a functor:

$$F : \omega \rightarrow \mathbf{Sets}$$

$$obj : n \mapsto F_n$$

$$Mor : \xi_{n+1}^n \mapsto f_{n,n+1} (: F_n \rightarrow F_{n+1})$$

for some  $k \in \mathbb{N}$ ,

$$Hom_{\omega}(k, -) = \begin{cases} \emptyset & n < k \\ \xi_n^k & n \geq k \end{cases}$$

Then let us check out what conditions  $\alpha : Hom_{\omega}(k, -) \Rightarrow F$  a natural transformation should satisfied:



## Example (sequence functor)

First of all, its naturality:

$$\begin{array}{ccccccccccc}
 \emptyset & \longrightarrow & \cdots & \longrightarrow & \emptyset & \longrightarrow & * & \longrightarrow & * & \longrightarrow & \cdots & \longrightarrow & * & \longrightarrow & \cdots \\
 \downarrow \alpha_0 & & & & \downarrow \alpha_{k-1} & & \downarrow \alpha_k & & \downarrow \alpha_{k+1} & & & & \downarrow \alpha_n & & \\
 F_0 & \longrightarrow & \cdots & \longrightarrow & F_{k-1} & \xrightarrow{f_{k-1,k}} & F_k & \xrightarrow{f_{k,k+1}} & F_{k+1} & \longrightarrow & \cdots & \longrightarrow & F_n & \longrightarrow & \cdots
 \end{array}$$

$*$  denotes a singleton  $\text{Hom}(k, n)$ . If  $n < k$ ,  $\alpha_n$  is trivial.

If  $n \geq k$ , since  $*$  is a singleton, so  $\alpha_n$  uniquely determines a element in  $F_n$  denoted by  $\bar{\alpha}_n$ . By the commutativity of the diagram, we have:

$$\bar{\alpha}_{k+1} = f_{k,k+1}(\bar{\alpha}_k)$$

So  $\bar{\alpha}_{k+1}$  is determined by  $\bar{\alpha}_k$ . Continue recursion as above we get:

$$\bar{\alpha}_{n+1} = f_{k,n+1}(\bar{\alpha}_k) = f_{k,n+1}(\alpha_k(1_k))$$

## Example (sequence functor)

so this natural transformation is uniquely determined by the representing object  $k$ .

## Example (functor on category $BG$ )

Assume that  $G, X : BG \rightarrow \mathbf{Sets}$  be two functors, and  $G = \text{Hom}_{BG}(*, -)$ , so  $G(*)$  is exactly the group  $G$ , and  $X$  define a left action on  $X(*)$ .

Consider the natural transformation  $\eta : G \Rightarrow X$ , it has only one component  $\eta(*) = \eta$ , for any  $h, g \in G$ :

$$\begin{array}{ccccc}
 G & \xrightarrow{h} & G & \xrightarrow{g} & G \\
 \eta \downarrow & & \eta \downarrow & & \eta \downarrow \\
 X & \xrightarrow{h_*} & X & \xrightarrow{g_*} & X
 \end{array}$$

## Example (functor on category $BG$ )

Namely, we have  $\eta(gh) = g_* \cdot \eta(h)$ . If we choose  $h = e$  (the identity element in  $G$ ):

$$\eta(g) = g_* \cdot \eta(e)$$

so the natural transformation  $\eta$  is uniquely determined by the identity morphism of the component at representing object  $*$  (there is only one object), and  $\eta(e)$  can be any element in  $X(*)$ .

Through above examples, it is obvious that for any natural transformation  $\alpha : Hom_{\mathcal{C}}(c, -) \Rightarrow F$ , it corresponds to an unique element in the image of  $F$  on the representing object  $c$ . Conversely, for any element in  $Fc$ , we can construct a nat from  $Hom_{\mathcal{C}}(c, -)$  to  $F$ .

# Proof

## Proof of Yoneda's Lemma.

We directly prove that :

$$\begin{aligned}\Phi_{F,c} : \text{Nat}(\text{Hom}_C(c, -), F) &\longrightarrow Fc \\ \alpha &\mapsto \alpha_c(1_c)\end{aligned}$$

is a bijection.

1. Injection: Let  $\alpha_c(1_c) = \eta_c(1_c)$ , by the naturality of  $\alpha$ , we have:

$$\begin{array}{ccc}\text{Hom}(c, c) & \xrightarrow{\alpha_c} & Fc \\ \downarrow f_* & & \downarrow Ff \\ \text{Hom}(c, d) & \xrightarrow{\alpha_d} & Fd\end{array}$$

The same for  $\eta$ . Chasing  $1_c$  we obtain:

## Proof of Yoneda's Lemma.

$$\alpha_d(f) = \eta_d(f)$$

for any  $f \in \text{Hom}(c, d)$ ,  $d \in \mathcal{C}$ . So  $\alpha = \eta$ .

2.Surjectivity: Let  $x \in Fc$ , we construct a natural formation:

$$\beta : \text{Hom}(c, -) \rightarrow F$$

and  $\beta_d : f \mapsto Ff(x)$ . So  $\beta_c(1_c) = x$ . Let verify that  $\beta$  is natural. For any morphism  $g : b \rightarrow b' \in \mathcal{C}$ , we gonna prove that the following diagram commutes:

$$\begin{array}{ccc} \text{Hom}(c, b) & \xrightarrow{\beta_b} & Fb \\ \downarrow g_* & & \downarrow Fg \\ \text{Hom}(c, b') & \xrightarrow{\beta_{b'}} & Fb' \end{array}$$

## Proof of Yoneda's Lemma.

For any  $h \in \text{Hom}(c, b)$ , by the definition of  $\beta$ :

$$Fg(\beta_b(h)) = Fg(Fh(x)) = F(gh)(x) = \beta_{b'}(g_*(h))$$

So  $\beta$  is a natural transformation.

3. Naturality: We prove that  $\Phi_{F,c}$  is natural in  $c$ , namely for any morphism  $f: c \rightarrow d \in \mathcal{C}$ :

$$\begin{array}{ccc} \text{Nat}(\text{Hom}(c, -), F) & \xrightarrow{\Phi_{F,c}} & Fc \\ \downarrow (f^*)^* & & \downarrow Ff \\ \text{Nat}(\text{Hom}(d, -), F) & \xrightarrow{\Phi_{F,d}} & Fd \end{array}$$

## Proof of Yoneda's Lemma.

We should evaluate on  $\alpha : \text{Hom}(c, -) \Rightarrow F$ . Going clockwise we get  $Ff(\alpha_c(1_c))$ . Going counter clockwise:  $(\alpha f^*)_d(1_d) = \alpha_d(f)$ . So they are equal by the naturality of  $\alpha$ .

Next we prove that  $\Phi_{F,c}$  is natural in  $F$ . Given any natural transformation  $\beta : F \Rightarrow G$ , consider the following diagram:

$$\begin{array}{ccc} \text{Nat}(\text{Hom}(c, -), F) & \xrightarrow{\Phi_{F,c}} & Fc \\ \downarrow \beta_* & & \downarrow \beta_c \\ \text{Nat}(\text{Hom}(c, -), G) & \xrightarrow{\Phi_{G,c}} & Gc \end{array}$$

Also we evaluate on  $\alpha : \text{Hom}(c, -) \Rightarrow F$ :

$$\Phi_{G,c}(\beta \cdot \alpha) = (\beta \cdot \alpha)_c(1_c) = \beta_c(\alpha_c(1_c)) = \beta_c(\Phi_{F,c}(\alpha))$$

So we complete the proof. □

# Application

Now we are going to use Yoneda's Lemma to prove a theorem which is of great importance in homological algebra.

## Definition

Let  $\mathcal{C}$  be a category. For  $c, d \in \mathcal{C}$ , and if there exists morphisms  $f: c \rightarrow d$  and  $g: d \rightarrow c$  such that  $fg = 1_d$ ,  $gf = 1_c$ , then we say  $c$  is isomorphic to  $d$ , denoted by  $c \cong d$ , and  $f, g$  is isomorphisms.

## Definition

Let  $\alpha: F \Rightarrow G$  be a nat, and  $F, G: \mathcal{C} \rightarrow \mathcal{D}$ . If for any  $c \in \mathcal{C}$ ,  $\alpha_c$  is a isomorphism, then we say that  $F$  is natural isomorphic to  $G$ , and  $\alpha$  is a naturally isomorphism.



In the following parts, we assume  $\mathcal{C}$  be a category, and  $c, c' \in \text{obj}(\mathcal{C})$

### Lemma

Let  $\alpha : \text{Hom}_{\mathcal{C}}(c, -) \rightarrow \text{Hom}_{\mathcal{C}}(c', -)$  be a natural transformation, then for all  $d \in \text{obj}(\mathcal{C})$ , we have  $\alpha_d = f^*$ .  $f = \alpha_c(1_c) : c' \rightarrow c$ . Moreover,  $f$  is unique.

*Proof:* For any  $d \in \mathcal{C}$ , and  $g \in \text{Hom}(c, d)$ . We use the following commutable diagram:

$$\begin{array}{ccc} \text{Hom}(c, c) & \xrightarrow{\alpha_c} & \text{Hom}(c', c) \\ \downarrow g_* & & \downarrow g_* \\ \text{Hom}(c, d) & \xrightarrow{\alpha_d} & \text{Hom}(c', d) \end{array}$$

## Lemma

Chasing  $1_c$  we obtain:

$$\alpha_d(g) = g_*(f) = gf = f^*(g)$$

So  $\alpha = f^*$ . The uniqueness assertion follows from the injectivity of the  $\Phi_{\text{Hom}(c', -), c'}$   $\square$

## Theorem

If  $\text{Hom}_C(c, -)$  and  $\text{Hom}_C(c', -)$  are naturally isomorphic functors, then  $c \cong c'$ .

## Proof.

Let

$$\alpha : \text{Hom}(c, -) \rightarrow \text{Hom}(c', -) \quad , \beta : \text{Hom}(c', -) \rightarrow \text{Hom}(c, -)$$

be two natural isomorphisms.

### Proof.

And  $(\alpha\beta)_d = 1_{\text{Hom}(c',d)}$ ,  $(\beta\alpha)_d = 1_{\text{Hom}(c,d)}$ . Let  $\alpha_d = f^*$  and  $\beta_d = g^*$  by Lemma. Observe that

$$(\beta\alpha)_c = \beta_c \alpha_c = g^* f^* = (fg)^* = 1_c^*$$

By the uniqueness in Lemma, we get  $fg = 1_c$ . The same reason we have  $gf = 1_{c'}$ , so  $c \cong c'$ . □

## Reference:

1. Emily Riehl, Category theory in context.
2. Rotman, An Introduction to homological Algebra.