Fall 2021

Assignment 4: Sorting in Linear Time

Instructor: Orhan Özgüner Due: October 10 before 11:59 PM

Problem 1

Draw the decision tree for the MERGESORT on an array with n=3 elements.

Problem 2

Given an array A of n integers in the range [0, k), we would like to build an index, which we would like to use to answer any query of type "what is the number of integers in A that are in the range [a, b]?" For this purpose, write the following two procedures:

- Procedure PREPROCESS(A) should process A to build an index in O(n+k) time. The size of your index should be O(k).
- Procedure QUERY(A, a, b) should return the number of integers in A that are in the range [a, b] in O(1) time.

Problem 3

Let A be an $m \times n$ matrix. The *transpose* of A is an $n \times m$ matrix A' such that for all $0 \le i < n$ and $0 \le j < m$, A'(i,j) = A(j,i). For example, if

$$A = \begin{bmatrix} 0 & 9 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 3 & 8 & 0 & 0 \end{bmatrix} \tag{1}$$

then

$$A' = \begin{bmatrix} 0 & 0 & 3 \\ 9 & 0 & 8 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix} \tag{2}$$

Let k denote the number of non-zero entries in $m \times n$ matrix A (in the above example, k = 5). We say that a matrix A is sparse if k = o(mn). Clearly, it is more efficient to store a sparse matrix using a special data structure, instead of storing it as a 2-dimensional array. One common data structure is known as the compressed sparse-row (CSR) representation.

In CSR representation, matrix A is stored using three arrays: R, C, and V. These arrays are respectively of length m+1, k, and k. The array C stores the column indices of the non-zeros, such that for each $0 \le i \le m-1$, the subarray C[R[i]..R[i+1]-1] stores the column indices of the ith row of A, in increasing order (for convenience, the indexing of the arrays starts from 0). For

each C[j], V[j] stores the value of the corresponding non-zero entry, i.e., if $R[i] \le j < R[i+1]$, then V[j] = A(i, C[j]). For example, the CSR representation of matrix A in Equation (1) is as follows:

$$R = \langle 0, 2, 3, 5 \rangle$$

$$C = \langle 1, 3, 2, 0, 1 \rangle$$

$$V = \langle 9, 1, 1, 3, 8 \rangle$$
(3)

For this problem, you are asked to write the algorithm for transposing a matrix in CSR representation. In other words, write the pseudo-code of procedure Sparse-Transpose (R, C, V, m, n, k) that will return arrays R', C', and V', representing the transpose of the matrix represented by R, C, and V in row major format. For example, if your procedure is called with the R, C, and V in Equation (3), it should return:

$$R' = \langle 0, 1, 3, 4, 5 \rangle$$

$$C' = \langle 2, 0, 2, 1, 0 \rangle$$

$$V' = \langle 3, 9, 8, 1, 1 \rangle$$
(4)

which is the row-major representation of A' in Equation (2). Your algorithm should work in O(m+n+k) time. (*Hint:* The algorithm can be thought of as a generalization of COUNTING-SORT).