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Chapter 1

Introduction

A patient's orthopedic health condition can be detected from his biomechanical features. Biomechanics is a branch of biophysics (1). It is the study of the structure, function and motion of the mechanical aspects of biological systems using the methods of mechanics (1). The condition when an injury occurs to the cushioning and connective tissue between vertebrae is termed disc herniation (2). Spondylolisthesis is a medical condition in which one of the vertebrae slips out of place onto the bone below it(3). Spondylolisthesis is different than a herniated disc, though the two can coexist. With a herniated disc, the soft interior of the spinal disc bulges through a tear in the outer layer of the disc, wheras with spondylolisthesis, the slippage is of the bony vertebra (4). Depending on the changes they make in the patient's biomechanical features, the disease can be predicted.

Machine learning algorithms in medical fields have widely been used in disease prediction as such approaches may be considered of great assistance in the decision making process of medical practitioners.

1.1 Objective

The aim of this project is to train machine learning models to predict whether a patient is normal, or has spondylolisthesis or disc herniation based on the biomechanical features provided. The goal is to find an appropriate algorithm with high accuracy combined with a high sensitivity and specificity.

1.2 Dataset

In this project, I will be using a dataset provided by UCI Machine Learning Repository(https://www.kaggle.com/uciml/biomechanical-features-of-orthopedic-patients). The original dataset was downloaded from UCI ML repository: Lichman, M. (2013). UCI Machine Learning Repository [http://archive.ics.uci.edu/ml]. Irvine, CA: University of California, School of Information and Computer Science.

The dataset comprises 310 patients, of which each patient is represented by six biomechanical attributes derived from the shape and orientation of the pelvis and lumbar spine: pelvic incidence, pelvic tilt, lumbar lordosis angle, sacral slope, pelvic radius and grade of spondylolisthesis. The patients are classified into three classes: normal, disc, and spondylolisthesis.

dat\$class <- as.factor(dat\$class)</pre>

Chapter 2

Methods and Analysis

2.1 Data Analysis

I will start by examining the structure of our dataset:

```
str(dat)
```

```
'data.frame':
                    310 obs. of 7 variables:
$ pelvic_incidence
                               : num 63 39.1 68.8 69.3 49.7 ...
                               : num 22.55 10.06 22.22 24.65 9.65 ...
 $ pelvic tilt
 $ lumbar lordosis angle
                               : num
                                       39.6 25 50.1 44.3 28.3 ...
 $ sacral slope
                               : num 40.5 29 46.6 44.6 40.1 ...
 $ pelvic_radius
                               : num
                                       98.7 114.4 106 101.9 108.2 ...
 $ degree_spondylolisthesis: num
                                       -0.254 4.564 -3.53 11.212 7.919 ...
 $ class
                               : Factor w/ 3 levels "Hernia", "Normal", ...:
                                                                                              11111111111...
```

head(dat)

5

```
pelvic_incidence pelvic_tilt lumbar_lordosis_angle sacral_slope pelvic_radius
                                                39.60912
                                                               40.47523
1
          63.02782
                       22.552586
                                                                              98.67292
2
          39.05695
                       10.060991
                                                25.01538
                                                               28.99596
                                                                             114.40543
3
                       22.218482
                                                50.09219
                                                                             105.98514
          68.83202
                                                               46.61354
4
          69.29701
                       24.652878
                                                               44.64413
                                                                             101.86850
                                                44.31124
5
                                                               40.06078
          49.71286
                         9.652075
                                                28.31741
                                                                             108.16872
                                                25.12495
          40.25020
                       13.921907
                                                               26.32829
                                                                             130.32787
  degree spondylolisthesis
                              class
                    -0.254400Hernia
2
                    4.564259Hernia
3
                    -3.530317Hernia
4
                   11.211523Hernia
```

The dataset contains 7 variables and 310 observations.

We have to check if the dataset contains any missing values

7.918501Hernia 2.230652Hernia 2.1. DATA ANALYSIS 5

\$pelvic_incidence
[1] 0

\$pelvic_tilt
[1] 0

\$lumbar_lordosis_angle
[1] 0

\$sacral_slope
[1] 0

\$pelvic_radius
[1] 0

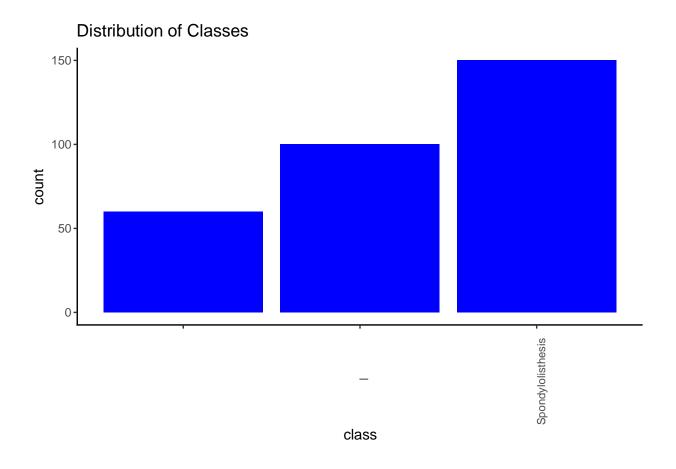
\$degree_spondylolisthesis
[1] 0

\$class [1] 0

It appears that there are no NA values.

Now let's examine the distribution of the three classes

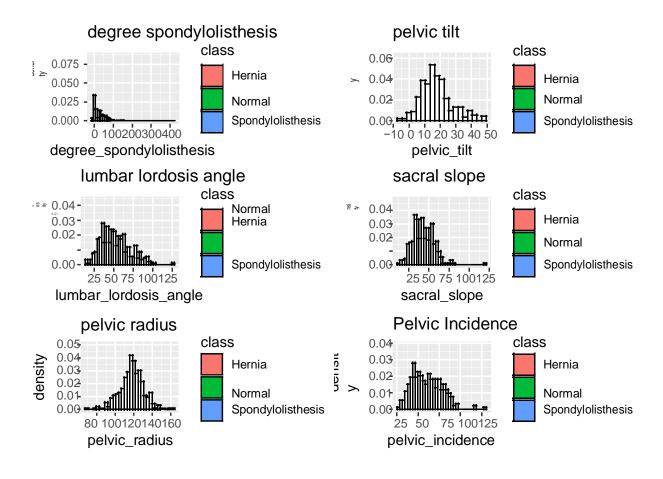
```
dat %>% ggplot(aes(class)) +
geom_bar(stat="count", fill = "blue", alpha = 0.5) +
theme_classic() + theme(axis.text.x = element_text(angle = 90)) + labs(title = "Distribution of Class")
```



The variables in the dataset show a nearly normal distribution as presented in the plots below

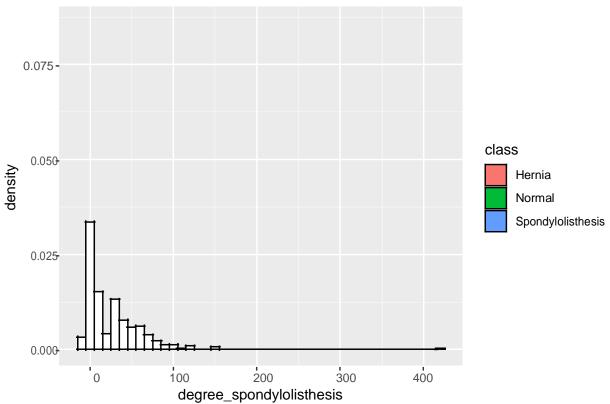
fig1 <- dat %>% ggplot(aes(degree_spondylolisthesis, fill=class)) + geom_histogram(aes(y=..density..), fig2 <- dat %>% ggplot(aes(pelvic_tilt, fill=class)) + geom_histogram(aes(y=..density..), binwidth = 3, fig3 <- dat %>% ggplot(aes(x=lumbar_lordosis_angle, fill=class)) + geom_histogram(aes(y=..density..), b fig4 <- dat %>% ggplot(aes(sacral_slope,fill=class)) + geom_histogram(aes(y=..density..), binwidth = 3, fig5 <- dat %>% ggplot(aes(pelvic_radius, fill=class)) + geom_histogram(aes(y=..density..), binwidth = fig6 <- dat %>% ggplot(aes(x=pelvic_incidence,fill=class)) + geom_histogram(aes(y=..density..), binwidth = fig6 <- dat %>% ggplot(aes(x=pelvic_incidence,fill=class)) + geom_histogram(aes(y=..density..), binwidt grid.arrange(fig1, fig2, fig3, fig4, fig5, fig6)

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By examining the plots, the density plot of the degree spondylolisthesis variable caught my attention in that it shows that it may provide a good separation between patients with spondylolisthesis and ones that have hernia and normal patients. Let's keep this variant in mind for further analysis.

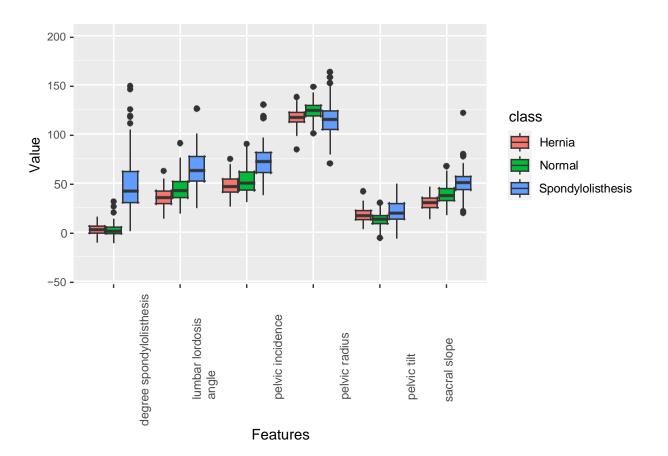




Here's a boxplot for all the variables

```
b1 <- dat %>% gather(Features, Value, -class) %>%
ggplot(aes(Features, Value, fill = class)) +
geom_boxplot() + theme(axis.text.x = element_text(angle = 90)) + xlab("Features") + ylim(-40, 200)
b1
```

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Let's check the correlation between the variables by performing a correlation matrix since machine learning algorithms assume that the predictor variables are independent from one another. Before that, we need to convert our dataset into a matrix followed by scaling.

```
#Converting dat into a matrix so we can perform scaling

dat.matrix <- dat %>% select(-class) %>% as.matrix()

#Scaling of dat.matrix by substracting the columns' means and deviding by the column's starnard deviati dat_centered <-
sweep(dat.matrix, 2, colMeans(dat.matrix))

dat_scaled <- sweep(dat_centered, 2, colSds(dat.matrix), FUN = "/")

#Creating the correlation matrix

cor.matrix <- round(cor(dat.matrix), 2)
```

```
Var1 Var2 value
1 pelvic_incidence pelvic_incidence 1.00
2 pelvic_tilt pelvic_incidence 0.63
3 lumbar_lordosis_angle pelvic_incidence 0.72
4 sacral_slope pelvic_incidence 0.81
5 pelvic_radius pelvic_incidence -0.25 6
degree_spondylolisthesis pelvic_incidence 0.64
```

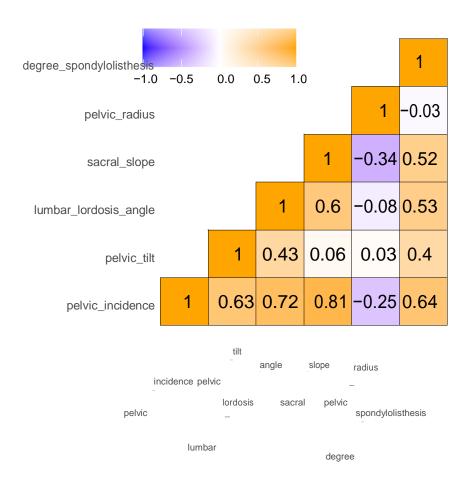
cor.matrix_metled <- melt(cor.matrix)</pre>

head(cor.matrix_metled)

```
# Get the lower triangle of the correlation matrix
get_lower_tri <- function(cor.matrix){</pre>
  cor.matrix[upper.tri(cormat.matrix)] <- NA
  return(cor.matrix)
}
# Get the upper triangle of the correlation matrix
get_upper_tri <- function(cor.matrix){</pre>
  cor.matrix[lower.tri(cor.matrix)]<- NA
  return(cor.matrix)
upper_tri <- get_upper_tri(cor.matrix)
melted_cormat <- melt(upper_tri, na.rm = TRUE)
# Heatmap
# Use correlation between variables as distance
reorder_cormat <- function(cor.matrix){</pre>
  dd <- as.dist((1-cor.matrix)/2) hc <-
  hclust(dd)
  cor.matrix <-cor.matrix[hc$order, hc$order]
}
# Reorder the correlation matrix cormat <-
reorder cormat(cor.matrix) upper tri <-
get upper tri(cor.matrix)
# Melt the correlation matrix
melted_cormat <- melt(upper_tri, na.rm = TRUE)
# Create a ggheatmap
ggheatmap <- ggplot(melted_cormat, aes(Var2, Var1, fill = value))+
  geom tile(color = "black")+
  scale_fill_gradient2(high = "orange", low = "blue", mid = "white", midpoint = 0,
                                    =
                                            c(-1,1),
                                                          space
                           name="Pearson\nCorrelation") +
  theme(axis.text.x = element_text(angle = 45, vjust = 1, size = 10,
                                          hjust = 1)+
  coord_fixed() +
  geom_text(aes(Var2, Var1, label = value), color = "black", size = 5) +
     axis.title.x = element_blank(),
     axis.title.y = element blank(),
     panel.grid.major = element_blank(),
     panel.background = element_blank(),
     axis.ticks = element_blank(),
     legend.justification = c(1, 0),
     legend.position = \mathbf{c}(0.5, 0.8),
     legend.direction = "horizontal")+
  guides(fill = guide_colorbar(barwidth = 8, barheight = 2, title.position = "top",
                                     title.hjust = 0.5)
```

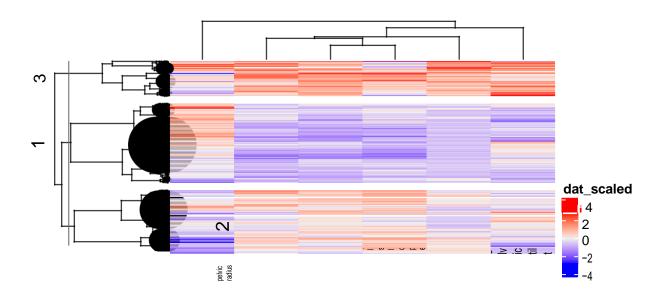
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ggheatmap



As we can see from the correlation matrix, lumbar_lardosis_angle and sacral_slope are moderately correlated with degree_spondylolisthesis and, interestingly, pelvic_radius and degree_spondylolisthesis are not correlated.

We can see that the data can be clustered into three groups corresponding to the three classes from the following heatmap



2.2 Modelling Approach

2.2.1 Modelling

Let's perform principle component analysis to examine the clustering of the data.

```
pca <- prcomp(dat_scaled)
summary(pca)
```

Importance of components:

 PC1
 PC2
 PC3
 PC4
 PC5
 PC6

 Standard deviation
 1.802
 1.0930
 0.8724
 0.68741
 0.57098
 1.935e-10

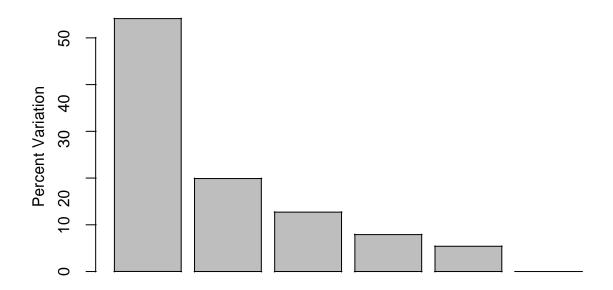
 Proportion of Variance
 0.541
 0.1991
 0.1268
 0.07875
 0.05434
 0.000e+00

 Cumulative Proportion
 0.541
 0.7401
 0.8669
 0.94566
 1.00000
 1.000e+00

```
#Scree plot

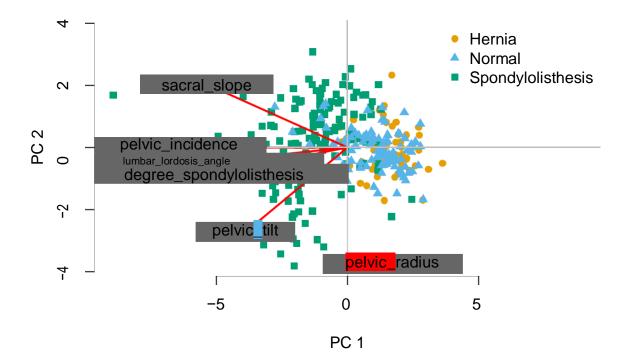
pca.var <- pca$sdev^2
pca.var.per <- round(pca.var/sum(pca.var)*100,1)
barplot(pca.var.per, main = "Scree Plot", xlab = "Principle Component", ylab = "Percent Variation")
```





Principle Component

We can see from the summary function and the scree plot that around 95% of the variance can be explained from the first four principle components. Let's plot the first two/three principle components with color representing disease class and plotting the variables.



```
#Plotting the first three PCs
pca3d(pca, group = gr, biplot=TRUE, biplot.vars=4, legend="topright")
```

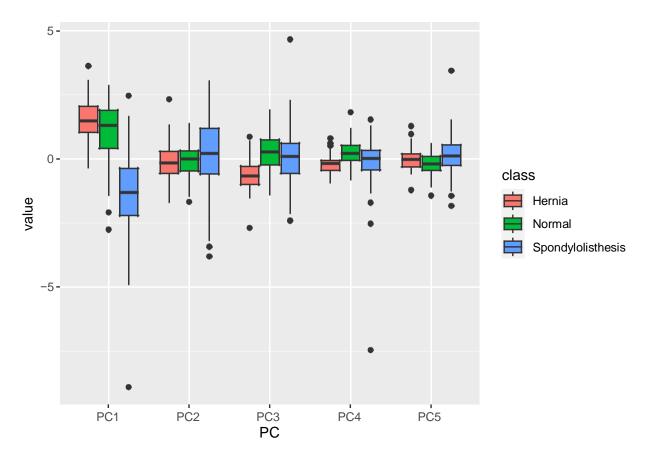
[1] 0.17829291 0.07626548 0.09330379 Creating new device

```
snapshotPCA3d(file="pca3d-plot.png")
```

We can assume from the above 2D and 3D plots that the variables degree_spondylolisthesis, pelvic incidence and lumbar_lardosis_angle which are correlated separate spondylolisthesis patients from normal and hernia patients, while sacral_sclope and pelvic_radius may separate normal from hernia patients.

Here's a boxplot of the first 5 PCs grouped by disease class

```
data.frame(class = dat$class, pca$x[,1:5]) %>%
  gather(key = "PC", value = "value", -class) %>%
  ggplot(aes(PC, value, fill = class)) +
  geom_boxplot()
```



In the first principle component, we observe a significant difference that there is no overlap in the interquartile ranges between spondylolisthesis class and Hernia and Normal classes. In the third principle component we see that between hernia and normal classes.

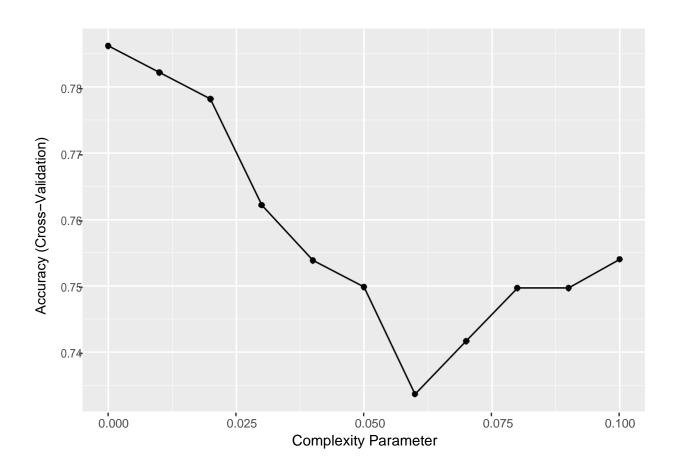
2.2.2 Model creation

We are going to split the data into a training and a testing set to use when building some models. I split the modified dataset into Train (80%) and Test (20%), in order to predict disease class by building machine learning classification models.

```
set.seed(1815)
test_index <- createDataPartition(dat$class, times = 1, p = 0.2, list = FALSE)
test_x <- dat_scaled[test_index,]
test_y <- dat$class[test_index]
train_x <- dat_scaled[-test_index,]
train_y <- dat$class[-test_index]</pre>
control <- trainControl(method = "cv", number = 10, p = .9)
```

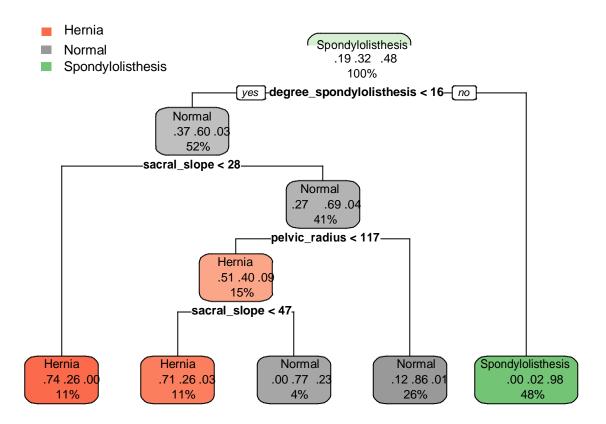
2.2.3 Recursive Partitioning and Regression Trees Model (rpart):

#Training the model



```
#Plotting rpart decision tree:

class.tree <- rpart( dat$class~., dat, control = rpart.control(cp = 0.03))
rpart.plot(class.tree)
```



The above tree supports our previous observation that degree_spondylolisthesis separates spondylolisthesis class from hernia and normal classes while sacral_slope and pelvic_radius separate the normal from the hernia class. According to the tree, patients with degree_spondylolisthesis of more that 16 belong to the spondylolisthesis class while those with inferior spondylolisthesis at 16 are normal when sacral_slope and pelvic radius are superior than 28 and 117 resepectively. Let's move on to determine the accuracy of our model.

```
#Model prediction

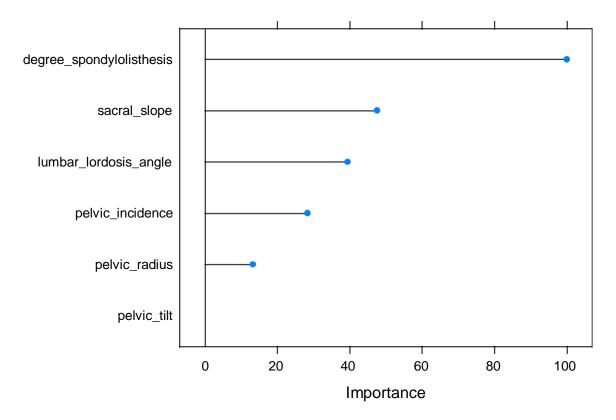
rpart_preds <- predict(train_rpart, test_x)

#Overall accuracy
mean(rpart_preds == test_y)</pre>
```

[1] 0.8709677

```
#Ranking the varaibles according to importance

plot(varImp(train_rpart))
```



```
#Confusion Matrix

cm_rpart <- confusionMatrix(rpart_preds, as.factor(test_y))
```

2.2.4 Random Forest Model (RF):

[1] 0.8548387

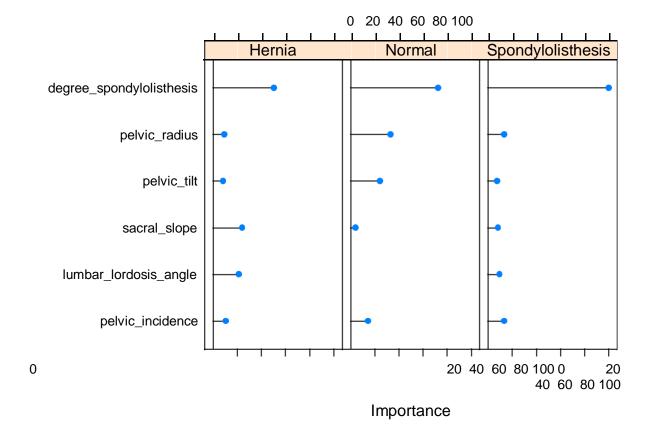
#Ranking the varaibles according to importance

varImp(train_rf)

rf variable importance

variables are sorted by maximum importance across the classes Hernia Normal Spondylolisthesis degree_spondylolisthesis 50.514 100.000 72.588 pelvic_radius 9.231 33.252 13.475 8.347 24.342 7.473 pelvic_tilt sacral_slope 24.153 4.235 8.205 lumbar_lordosis_angle 21.349 0.000 9.466 pelvic_incidence 10.611 14.543 13.523

plot(varImp(train_rf))



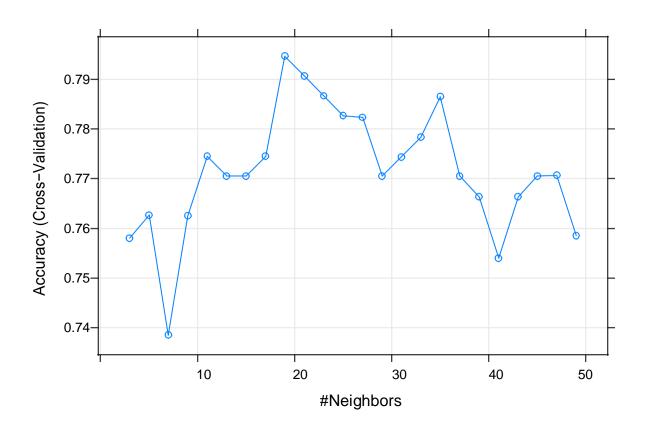
#Confusion Matrix

cm_rf <- confusionMatrix(rf_preds, as.factor(test_y))</pre>

2.2.5 K-Nearest Neighbors Model (KNN) Model:

k 9 19

```
#Finding the best k
plot(train_knn)
```



```
knn_preds <- predict(train_knn, test_x)
mean(knn_preds == test_y)</pre>
```

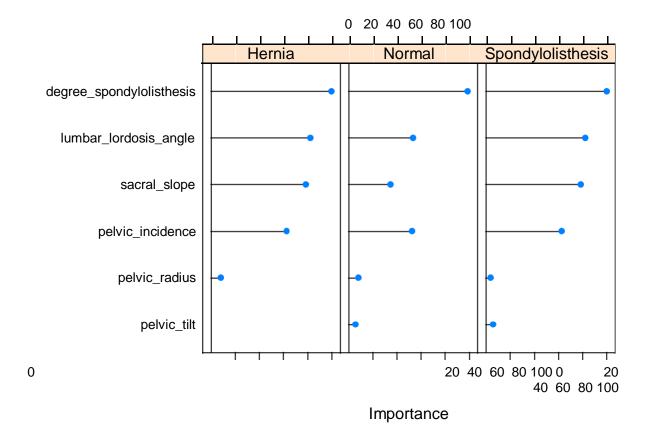
#Confusion Matrix

cm_knn <- confusionMatrix(knn_preds, as.factor(test_y))</pre>

2.2.6 Linear Discriminant Analysis (LDA):

[1] 0.8225806

```
#Ranking the varaibles according to importance plot(varImp(train_Ida))
```



#Confusion Matrix

cm_lda <- confusionMatrix(lda_preds, factor(test_y))</pre>

2.2.7 Ensemble

Let's create an ensemble using the predictions from the four used models.

```
models <- c("lda", "knn", "rf", "rpart")
fits <- lapply(models, function(model){
  print(model)
  train(train_x, train_y, method = model)
})
   "lda"
[1]
[1] "knn"
[1] "rf"
[1] "rpart"
names(fits) <- models</pre>
ensemble_preds <- sapply(fits, function(object)</pre>
  predict(object, newdata = test_x))
accuracy <- colMeans(ensemble_preds == test_y)</pre>
accuracy
       lda
                   knn
                                         rpart
0.8225806\ 0.8548387\ 0.9032258\ 0.7419355
acc_ensemble <- mean(accuracy)</pre>
```

Chapter 3

Results

let's compare and evaluate the results obtained from the trained models. We will start by investigating the confusion matrices obtained from the different algorithms

```
acc_table <- data.frame(KNN = cm_knn$overall['Accuracy'], RF = cm_knn$overall['Accuracy'], LDA = cm_lda
    gather(key= model, value = overall_accuracy)
acc_table</pre>
```

```
model overall_accuracy
KNN 0.8225806
RF 0.8225806
LDA 0.8225806
rpart 0.8709677
Ensemble 0.8306452
```

```
confusionmatrix.list <- list(
    LDA=cm_lda,
    rpart=cm_rpart,
    Random_forest=cm_rf,
    KNN=cm_rf
)
lapply(confusionmatrix.list, function(x) x$byClass)
```

\$LDA

	Sensiti	vity Specificity P	os Pred Value Ne	g Pred Value
Class: Hernia	0.6666667	0.9400000	0.7272727	0.9215686
Class: Normal	0.7500000	0.9047619	0.7894737	0.8837209
Class: Spondylolisthesis	0.9333333	0.8750000	0.8750000	0.9333333
	Precision	Recall	F1 Prevalence	
Class: Hernia	0.7272727 0.6	666667 0.69565	522 0.1935484	
Class: Normal	0.7894737 0.7	500000 0.76923	0.3225806	
Class: Spondylolisthesis	0.8750000 0.9	333333 0.90322	258 0.4838710	
	Detection	Rate Detection F	Prevalence Balan	ced Accuracy
Class: Hernia	0.12903	23	0.1774194	0.8033333
Class: Normal	0.24193	55	0.3064516	0.8273810
Class: Spondylolisthesis	0.45161	29	0.5161290	0.9041667

\$rpart

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		city Pos Pred Value N				
Class: Hernia	0.6666667 0.9600000		0.9230769			
Class: Normal	0.9000000 0.8571429		0.9473684			
Class: Spondylolisthesis	0.9333333 1.0000000		0.9411765			
Class: Hernia	Precision Recall 0.80 0.6666667 0.72	F1 Prevalence				
Class: Normal	0.75 0.9000000 0.8					
Class: Spondylolisthesis	1.00 0.9333333 0.90					
Detection Rate Detection Prevalence Balanced Acc						
Class: Hernia	0.1290323	0.1612903	0.8133333			
Class: Normal	0.2903226	0.3870968	0.8785714			
Class: Spondylolisthesis	0.4516129	0.4516129	0.9666667			
\$Random_forest						
Class Hamis		ity Pos Pred Value Ne	•			
Class: Hernia Class: Normal	0.5833333		0.9056604 0.9459459			
Class: Spondylolisthesis	0.9333333 1.0000000		0.9459459			
Class. Sporidylolistriesis	Precision Recall	F1 Prevalence	0.9411703			
Class: Hernia	0.7777778 0.5833333 0.66					
Class: Normal	0.7200000 0.9000000 0.80					
Class: Spondylolisthesis	1.0000000 0.9333333 0.96	655172 0.4838710				
, ,	1.0000000 0.9333333 0.96 Detection Rate Detect					
Class: Hernia	Detection Rate Detect 0.1129032	ion Prevalence Baland 0.1451613	0.7716667			
Class: Hernia Class: Normal	Detection Rate Detect 0.1129032 0.2903226	ion Prevalence Baland 0.1451613 0.4032258	0.7716667 0.8666667			
Class: Hernia	Detection Rate Detect 0.1129032	ion Prevalence Baland 0.1451613	0.7716667			
Class: Hernia Class: Normal Class: Spondylolisthesis	Detection Rate Detect 0.1129032 0.2903226	ion Prevalence Baland 0.1451613 0.4032258	0.7716667 0.8666667			
Class: Hernia Class: Normal	Detection Rate Detect 0.1129032 0.2903226 0.4516129	ion Prevalence Baland 0.1451613 0.4032258	0.7716667 0.8666667 0.9666667			
Class: Hernia Class: Normal Class: Spondylolisthesis \$KNN Class: Hernia	Detection Rate Detect 0.1129032 0.2903226 0.4516129	ion Prevalence Baland 0.1451613 0.4032258 0.4516129 ity Pos Pred Value Ne	0.7716667 0.8666667 0.9666667			
Class: Hernia Class: Normal Class: Spondylolisthesis \$KNN Class: Hernia Class: Normal	Detection Rate Detect 0.1129032 0.2903226 0.4516129 Sensitivity Specifica 0.5833333 0.9600000 0.9000000 0.8333333	ion Prevalence Baland 0.1451613 0.4032258 0.4516129 ity Pos Pred Value Ne 0.7777778 0.7200000	0.7716667 0.8666667 0.9666667 g Pred Value 0.9056604 0.9459459			
Class: Hernia Class: Normal Class: Spondylolisthesis \$KNN Class: Hernia	Detection Rate Detect 0.1129032 0.2903226 0.4516129 Sensitivity Specific 0.5833333 0.9600000 0.9000000 0.8333333 0.9333333 1.00000000	ion Prevalence Baland 0.1451613 0.4032258 0.4516129 ity Pos Pred Value Ne 0.7777778 0.7200000 1.0000000	0.7716667 0.8666667 0.9666667 g Pred Value 0.9056604			
Class: Hernia Class: Normal Class: Spondylolisthesis \$KNN Class: Hernia Class: Normal Class: Spondylolisthesis	Detection Rate Detect 0.1129032 0.2903226 0.4516129 Sensitivity Specific 0.5833333 0.9600000 0.9000000 0.8333333 0.9333333 1.00000000 Precision Recall	ion Prevalence Baland 0.1451613 0.4032258 0.4516129 ity Pos Pred Value Ne 0.7777778 0.7200000 1.0000000 F1 Prevalence	0.7716667 0.8666667 0.9666667 g Pred Value 0.9056604 0.9459459			
Class: Hernia Class: Normal Class: Spondylolisthesis \$KNN Class: Hernia Class: Normal Class: Spondylolisthesis Class: Hernia	Detection Rate Detect 0.1129032 0.2903226 0.4516129 Sensitivity Specifica 0.5833333 0.9600000 0.9000000 0.8333333 0.9333333 1.00000000 Precision Recall 0.7777778 0.5833333 0.66	ion Prevalence Baland 0.1451613 0.4032258 0.4516129 ity Pos Pred Value Ne 0.7777778 0.7200000 1.0000000 F1 Prevalence 666667 0.1935484	0.7716667 0.8666667 0.9666667 g Pred Value 0.9056604 0.9459459			
Class: Hernia Class: Normal Class: Spondylolisthesis \$KNN Class: Hernia Class: Normal Class: Spondylolisthesis Class: Hernia Class: Hernia Class: Normal	Detection Rate Detect 0.1129032 0.2903226 0.4516129 Sensitivity Specifica 0.5833333 0.9600000 0.9000000 0.8333333 1.00000000 Precision Recall 0.7777778 0.5833333 0.66 0.7200000 0.90000000 0.86	ion Prevalence Baland 0.1451613 0.4032258 0.4516129 ity Pos Pred Value Ne 0.7777778 0.7200000 1.0000000 F1 Prevalence 666667 0.1935484 000000 0.3225806	0.7716667 0.8666667 0.9666667 g Pred Value 0.9056604 0.9459459			
Class: Hernia Class: Normal Class: Spondylolisthesis \$KNN Class: Hernia Class: Normal Class: Spondylolisthesis Class: Hernia Class: Hernia Class: Normal	Detection Rate Detect 0.1129032 0.2903226 0.4516129 Sensitivity Specifica 0.5833333	ion Prevalence Baland 0.1451613 0.4032258 0.4516129 ity Pos Pred Value Ne 0.7777778 3.0.7200000 1.0000000 F1 Prevalence 666667 0.1935484 000000 0.3225806 655172 0.4838710	0.7716667 0.8666667 0.9666667 g Pred Value 0.9056604 0.9459459 0.9411765			
Class: Hernia Class: Normal Class: Spondylolisthesis \$KNN Class: Hernia Class: Normal Class: Spondylolisthesis Class: Hernia Class: Normal Class: Normal Class: Spondylolisthesis	Detection Rate Detect 0.1129032 0.2903226 0.4516129 Sensitivity Specific 0.5833333 0.9600000 0.9000000 0.8333333 0.9333333 1.00000000 Precision Recall 0.7777778 0.5833333 0.60 0.7200000 0.90000000 0.80 1.00000000 0.9333333 0.96 Detection Rate Detect	ion Prevalence Baland 0.1451613 0.4032258 0.4516129 ity Pos Pred Value Ne 0.7777778 3.0.7200000 1.0000000 F1 Prevalence 666667 0.1935484 000000 0.3225806 655172 0.4838710	0.7716667 0.8666667 0.9666667 o.9666667 g Pred Value 0.9056604 0.9459459 0.9411765			
Class: Hernia Class: Normal Class: Spondylolisthesis \$KNN Class: Hernia Class: Normal Class: Spondylolisthesis Class: Hernia Class: Hernia Class: Normal	Detection Rate Detect 0.1129032 0.2903226 0.4516129 Sensitivity Specifica 0.5833333	ion Prevalence Baland 0.1451613 0.4032258 0.4516129 ity Pos Pred Value Ne 0.7777778 0.7200000 1.0000000 F1 Prevalence 666667 0.1935484 000000 0.3225806 655172 0.4838710 ion Prevalence Baland	0.7716667 0.8666667 0.9666667 g Pred Value 0.9056604 0.9459459 0.9411765			
Class: Hernia Class: Normal Class: Spondylolisthesis \$KNN Class: Hernia Class: Normal Class: Spondylolisthesis Class: Hernia Class: Normal Class: Spondylolisthesis Class: Hernia Class: Spondylolisthesis	Detection Rate Detect 0.1129032 0.2903226 0.4516129 Sensitivity Specific 0.5833333 0.9600000 0.9000000 0.8333333 0.9333333 1.00000000 Precision Recall 0.7777778 0.5833333 0.60 0.7200000 0.90000000 0.80 1.00000000 0.9333333 0.90 Detection Rate Detect 0.1129032	ion Prevalence Baland 0.1451613 0.4032258 0.4516129 ity Pos Pred Value Ne 0.7777778 0.7200000 F1 Prevalence 666667 0.1935484 000000 0.3225806 655172 0.4838710 ion Prevalence Baland 0.1451613	0.7716667 0.8666667 0.9666667 o.9056604 0.9056604 0.9459459 0.9411765 ced Accuracy 0.7716667			

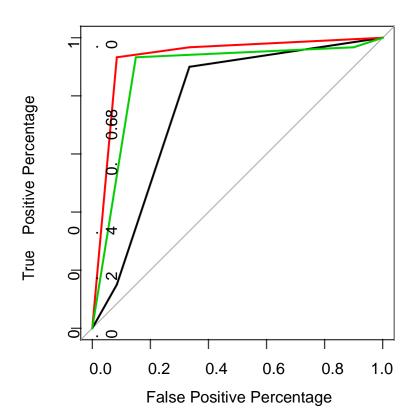
As we can see from the obtained results, the rpart model achieved the highest overall accuracy and the highest sensitivity (true positive rate) and specificity (true negative rate) for all three classes.

Now let's plot a multiclass ROC curve (Receiver Operating characteristic Curve) and compute the area under the ROC curve for the models

#Converting the y_hats to numeric values so the multiclass.roc function accepts the arguments

```
test_y.n <- as.numeric(test_y)
Ida_preds.n <- as.numeric(Ida_preds)
knn_preds.n <- as.numeric(knn_preds)
rf_preds.n <- as.numeric(rf_preds)
rpart_preds.n <- as.numeric(rpart_preds)
```

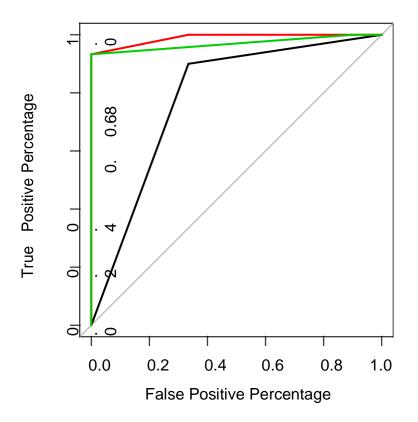
```
#ROC for LDA model:
par(pty = "s")
roc.multi.lda <- multiclass.roc(test_y.n, lda_preds.n)
rs1 <- roc.multi.lda[['rocs']]
plot.roc(rs1[[1]], legacy.axes = TRUE, percent = TRUE, xlab = "False Positive Percentage", ylab = "True
sapply(2:length(rs1),function(i) lines.roc(rs1[[i]],col=i))</pre>
```



```
#ROC for rpart model:
```

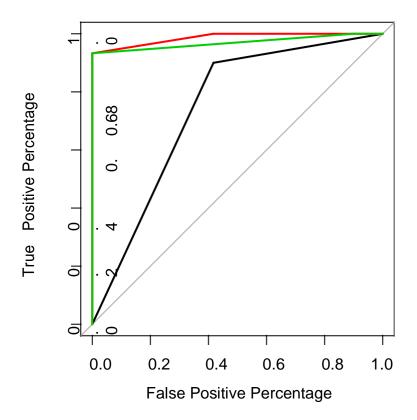
```
roc.multi.rpart <- multiclass.roc(test_y.n, rpart_preds.n)
rs2 <- roc.multi.rpart[['rocs']]
plot.roc(rs2[[1]], legacy.axes = TRUE, percent = TRUE, xlab = "False Positive Percentage", ylab = "True sapply(2:length(rs2),function(i) lines.roc(rs2[[i]],col=i))</pre>
```

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#ROC for rf model:

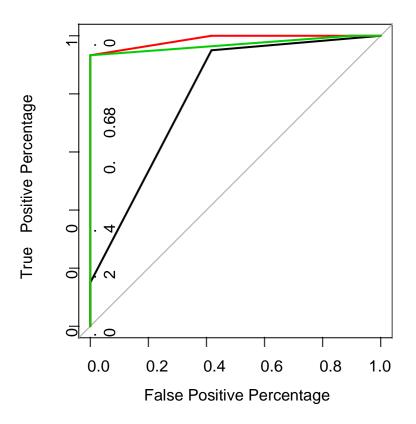
roc.multi.rf <- multiclass.roc(test_y.n, rf_preds.n)
rs3 <- roc.multi.rf[['rocs']]
plot.roc(rs3[[1]], legacy.axes = TRUE, percent = TRUE, xlab = "False Positive Percentage", ylab = "True sapply(2:length(rs3),function(i) lines.roc(rs3[[i]],col=i))



#ROC for knn model:

```
roc.multi.knn <- multiclass.roc(test_y.n, knn_preds.n)
rs4 <- roc.multi.knn[['rocs']]
plot.roc(rs4[[1]], legacy.axes = TRUE, percent = TRUE, xlab = "False Positive Percentage", ylab = "True
sapply(2:length(rs4),function(i) lines.roc(rs3[[i]],col=i))
```

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#AUC for LDA model roc.multi.lda\$auc

Multi-class area under the curve: 0.8612

#AUC for rpart model roc.multi.rpart\$auc

Multi-class area under the curve: 0.9141

#AUC for RF model roc.multi.rf\$auc

Multi-class area under the curve: 0.8993

#AUC for KNN model roc.multi.knn\$auc

Multi-class area under the curve: 0.8822

The rpart model achieved the highest AUC value of 0.9141

Chapter 4

Conclusion

In our dataset, six biomechanical features have been used as predictors for three classes of patients: spondy-lolisthesis, hernia and normal patients. Through a hierarchical clustering heatmap, principle component analysis and data visualization of our dataset, we observed clustering of the data based on the biomechanical features.

We assumed that degree_spondylolisthesis may play a major role in separating_spondylolisthesis patients from normal and hernia patients, along with pelvic_incidence and lumbar_lardosis_angle variables which were moderately correlated with degree_spondylolisthesis, while pelvic redius and sacral slope played a role in separating normal from hernia patients.

Four machine learning models have been implemented in order to categorize patients based on their ortho-pedic condition. All four models agreed that the variable of most importance was degree_spondylolisthesis. This and the rpart decision tree investigation agree with our previous assumption. Among all the four algo-rithms, the Recursive Partitioning and Regression Trees Model (rpart) has provided the highest accuracy of 0.871, sensitivity, specificity and F1 scores across all classes for our dataset, also achieving the highest AUC value of 0.9141.

One drawback of our modeling results is the relatively low sensitivity of the hernia class (0.667) which has dropped the overall accuracy of our models. Perhaps this work can be extended to other machine learning algorithms such as deep learning and neural networks.

Chapter 5

References

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