# Math 6510 Homework 1

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## February 3, 2011

### 1

Let X be a topological space and suppose that  $x_0, x_1$  are in the same path component. Since they are in the same component, there exists a (continuous) path  $h: I \to X$  such that  $h(0) = x_1, h(1) = x_0$ . Let  $\mathcal{P}$  be the set of homotopy classes of paths from  $x_0$  to  $x_1$  and define  $F: \mathcal{P} \to \pi_1(X, x_0)$  by  $F([f]) = [f \cdot h]$ . This is a loop based at  $x_0$  and as such  $[f \cdot h] \in \pi_1(X, x_0)$ . We need to show that this map is well-defined and bijective. Well-Defined:

Suppose  $f \simeq g$  or in other words  $[f] = [g] \in \mathcal{P}$ . If  $h_t$  is the homotopy between f, g and  $1_t$  is the identity homotopy (i.e.  $1_t(x) = x, \forall t$ ) then  $h_t \cdot 1_t$  gives a homotopy between  $f \cdot h$  and  $g \cdot h$ .

Injectivity:

Suppose that  $[f \cdot h] = [g \cdot h]$  in  $\pi_1(X, x_0)$ . Then  $[f \cdot h] \cdot [\bar{h}] = [f]$  and  $[g \cdot h] \cdot [\bar{h}]$  so that [f] = [g]. Surjectivity:

Let  $[f] \in \pi_1(X, x_0)$ . Note that  $[f \cdot \bar{h}]$  is a path between  $x_0$  and  $x_1$  so that it makes sense to consider  $F([f \cdot \bar{h}])$ . It is clear that  $F([f \cdot \bar{h}]) = [f]$ .

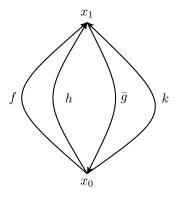
# $\mathbf{2}$

Let X be path connected and suppose that h is the path between  $x_0$  and  $x_1$ . Let  $\beta_h: \pi_1(X,x_0) \to \pi_1(X,x_1)$  be the change of basepoint homomorphism,  $\beta_h([f]) = [h \cdot f \cdot \bar{h}]$ . Suppose that  $g \simeq h$ . Then we want to show that  $\beta_h([f]) = \beta_g([f]), \forall f \in \pi_1(X,x_0)$ . Let  $H_t$  be the homotopy between g,h. Then  $H_t \cdot 1_t \cdot \bar{H}_t$  is a homotopy between  $[h \cdot f \cdot \bar{h}]$  and  $[g \cdot f \cdot \bar{g}]$ . Hence  $\beta_h = \beta_g, \forall h \simeq g$ .

#### 3

Let X be a path connected space.

( $\Rightarrow$ ) Suppose that  $\pi_1(X, x_0)$  is Abelian for all  $x_0 \in X$ . From problem 1 we know that  $\mathcal{P}(x_0, x_1) = \{[h] : h : I \to X, h(0) = x_0, h(1) = x_1\}$  is in bijective correspondance with  $\pi_1(X, x_0)$  for any  $x_1 \in X$ . Since we already know that the change of basepoint homomorphism is a well-defined map, we can without the loss of generality choose a representative from each class in  $\pi_1(X, x_0)$  that corresponds to a class in  $\mathcal{P}(x_0, x_1)$ . Now suppose that  $[g], [h] \in \mathcal{P}$ , so that  $[h \cdot \bar{g}] \in \pi_1(X, x_0)$ . To reiterate, the result of problem 1 states that every element of  $\pi_1(X, x_0)$  is represented by such a loop, so this is equivalent to picking an arbitary loop in  $\pi_1(X, x_0)$ . We want to show that for arbitrary  $[f], [k] \in \mathcal{P}(x_0, x_1)$  that  $\beta_{\bar{f}}([h \cdot \bar{g}]) = \beta_{\bar{k}}([h \cdot \bar{g}])$ . The proof is more easily explained upon considering the following drawing:



<sup>&</sup>lt;sup>1</sup>The result of problem two justifies looking at the homotopy classes in  $\mathcal P$  as opposed to themselves

Now note the following:

$$\beta_{\bar{f}}([h \cdot \bar{g}]) = [\bar{f} \cdot h \cdot \bar{g} \cdot f] = [(\bar{f} \cdot h) \cdot (\bar{g} \cdot f)] = [(\bar{g} \cdot f) \cdot (\bar{f} \cdot h)] = [\bar{g} \cdot h] \tag{1}$$

$$= [\bar{g} \cdot k \cdot \bar{k} \cdot h] = [(\bar{g} \cdot k) \cdot (\bar{k} \cdot h)] = [\bar{k} \cdot h \cdot \bar{g} \cdot k]$$
(2)

$$= \beta_{\bar{k}}([h \cdot \bar{g}]) \tag{3}$$

The 3rd equalities of (1),(2) follow from the fact that  $\pi_1(X)$  is Abelian. This shows that the change of basepoint homomorphism is independent of path chosen.

( $\Leftarrow$ ) Suppose that the basepoint-change homomorphisms  $\beta_h$  only depend on the endpoints of the path h. This means that if h, g are paths between  $x_0, x_1 \in X$ , then  $\beta_h([f]) = \beta_g([f]), \forall f \in \pi_1(X, x_0)$ . Now suppose that  $x_1 = x_0$ , for then h, g are simply loops in  $\pi_1(X, x_0)$ . Now let  $f, g \in \pi_1(X, x_0)$  and note the following:

$$[g] = [g \cdot g \cdot \overline{g}] = \beta_g([g]) = \beta_f([g]) = [f \cdot g \cdot \overline{f}]$$

If we multiply on the left by [f] we have  $[g] \cdot [f] = [g \cdot f] = [f \cdot g \cdot \bar{f}] \cdot [f] = [f \cdot g \cdot \bar{f} \cdot f] = [f \cdot g]$  which shows that  $\pi_1(X, x_0)$  is Abelian. Since X is path connected, this means that  $\pi_1(X, x_0) \cong \pi_1(X, x_1), \forall x_1 \in X$ .

Alternatively, note that we can show this rather quickly by using problem 1. Since the basepoint-change homomorphism only depends on endpoints, note that if h is a path from  $x_0$  to  $x_1$  and g is a path from  $x_1$  to  $x_0$ , then  $[h \cdot g] \in \pi_1(X, x_0)$ . Since the change of basepoint homorphism is endpoint independent,  $\beta_h = \beta_{\bar{g}}$ . This gives an explicit homotopy between  $h \cdot g$  and  $g \cdot h$ , so that from the bijection found in problem 1,  $\pi_1(X)$  is necessarily Abelian.

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We will prove the following implications in order to arrive at  $(a) \iff (b) \iff (c)$ .  $(a) \implies (b)$ :

Suppose that every map  $S^1 \to X$  is homotopic to a constant map, with image a point. Suppose  $f: S^1 \to X$  and let the homotopy be  $H: S^1xI \to X$  so that  $H|_{S^1 \times \{0\}} = f, H|_{S^1 \times \{1\}} = x_0$  for some  $x_0 \in X$ . Now parametrize  $D^2$  by a radial coordinate  $r \in [0,1]$  and an angular coordinate  $\phi \in [0,2\pi)$  (which will serve as a well-defined angle form on  $S^1$ ) and define a map  $F: D^2 \to X, F(r,\phi) = H(\phi,1-r)$ . This map is continuous since H is continuous and defined on all of  $D^2$ . Finally, note that  $F(1,\phi) = f(\phi)$ , so that F restricts to f on  $\partial D^2$ .

 $(b) \implies (a)$ :

Suppose that every map  $S^1 \to X$  extends to a map  $D^2 \to X$ . Let  $f: S^1 \to X$  be a continuous map and  $\tilde{f}: D^2 \to X$  it's extension. Since  $D^2$  is a contractible, there exists map  $\varphi: D^2 \to \{x_0\}$  and  $\psi: \{x_0\} \to D^2$  such that  $\varphi\psi \simeq \mathbb{1}_{x_0}$  and  $\psi\varphi \simeq \mathbb{1}_{D^2}$ . As such, composing  $\tilde{f}$  with  $\psi$  gives a homotopy H between  $\tilde{f}$  and a constant map  $g: D^2 \to X, g(D^2) = \tilde{f}(x_0)$ . For instance, if  $D^2 \subset \mathbb{R}^2$  and we choose  $x_0 = 0$ , then this homotopy is simply  $H(x,t) = \tilde{f}(tx)$ . Since  $\tilde{f}|_{\partial D^2} = f$ , this gives a homotopy between f and a constant map. In the case of  $D^2 \subset \mathbb{R}^2$ , this homotopy is explicitly given by simply restricting  $H: D^2 \times I \to X$  to  $\partial D^2 \times I$  or in other words  $H(x,t) = \tilde{f}(tx), |x| = 1$ .

 $(b) \implies (c)$ :

Note that every map  $S^1 \to X$  is a loop and that if a map  $f: S^1 \to X$  extends to a map  $\tilde{f}: D^2 \to X$ , then there is a homotopy between  $f, \tilde{f}$ . Using the argument of the previous implication,  $(b) \Longrightarrow (a)$ , there is a homotopy between  $\tilde{f}$  and a constant map. Since the binary relation "is homotopic to" is transitive, this implies that f is homotopic to a constant map. Since all of the above homotopies will preserve basepoint, this is a loop homotopy. Hence [f] is trivial and  $\pi_1(X,x_0)=0, \forall x_0$  since the base of the loop and the choice of loop were arbitrary.  $(c) \Longrightarrow (a)$ :

Suppose that  $\pi_1(X, x_0) = 0, \forall x_0 \in X$ . The definition of a loop in a space X based at  $x_0$  is a continuous map  $\varphi: I \to X$  such that  $\varphi(0) = \varphi(1) = x_0$ . This is equivalent to a map  $\tilde{\varphi}: S^1 \to X$  since we can compose  $\varphi$  with the quotient map  $p: I \to S^1$  that maps p(0) = p(1). Hence the loop space of X, denoted  $[S^1, X]$  is the set of homotopy classes of all maps  $S^1 \to X$ . Since  $\pi_1(X, x_0)$  is trivial for all  $x_0 \in X$  this implies that  $[S^1, X]$  is necessarily trivial. One could form this category theoretically by consider the category  $\mathcal{H}$  of homotopic loops in X. Within this category, we see that the above argument implies that  $[S^1, X] \cong \{[h] \in \pi_1(X, x_0), \text{ for some } x_0 \in X\}$  under the "loop morphism"  $[f] \in [S^1, X] \mapsto [f, f(\theta')]$ . Note that this isomorphism, given the hypotheses of this problem is only valid because of the triviality conditions in (a), (c). In the next problem, we will see that the same result is true, without such a triviality condition, if X is path-connected by using the inverse of the above loop morphism, a forgetful-like functor.

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Let  $(X, x_0)$  be a path-connected, pointed space, define  $[S^1, X] = \{[f]: f: S^1 \to X, f \text{ cts}\}$  and define the map  $\phi: \pi_1(X, x_0) \to [S^1, X]$  by  $[f, x_0] \mapsto [f]$  (i.e. forget the basepoint  $x_0$ ). The idea is that  $[S^1, X]$  is the set of equivalence classes of maps  $S^1 \to X$  under a homotopy of maps whereas  $\pi_1(X, x_0)$  is the set of equivalence classes of maps  $S^1 \to X$  under homotopies of loops (i.e. homotopic relative to a distinguished point). As the map  $\phi$  is the forgetful functor

(inclusion) from the category of pointed topological spaces, Top to the category of topological spaces Top, the result of this problem depicts that for path-connected spaces, the spaces of map homotopies and loop homotopies are in one-to-one correspondence. Now we have to show two things:

- $\phi$  is surjective
- $\phi$  is injective on conjugacy classes, i.e.  $\phi([f]) = \phi([g]) \iff \exists h \in \pi_1(X, x_0) \ni [hfh] = [g]$

Let  $[f] \in [S^1, X]$  and let  $\theta$  be the standard angle form on  $S^1$  and let  $\theta' \in [0, 2\pi)$  be an arbitrary angle. Since X is path-connected, there is a path h between  $f(\theta')$  and the basepoint  $x_0$ . Then the map  $hf\bar{h}$  is a loop based at  $x_0$ that corresponds to [f] under  $\phi$ . We still need to establish that the map is well-defined, since the class  $[f] \in [S^1, X]$ is only defined up to a homotopy of maps. Suppose that  $f,g\in[f]$ , homotopic via a map  $L:S^1\times I\to X$  such that  $L|_{S^1 \times \{0\}} = f, L|_{S^1 \times \{1\}} = g$ . Let  $h, \tilde{h}$  be paths between  $x_0$  and  $f(\theta'), g(\theta')$ . Then we need to show that  $hf\bar{h} \simeq h'g\bar{h}$ is a homotopy of loops. Since X is path-connected,  $\exists$  a path  $h_t$  between  $x_0$  and  $H(\theta',t)$ ,  $\forall t \in I$ , so define the map  $H: X \times I \to X$  by  $H|_{X \times \{t\}} = h_t$ . This is a continuous map (since the path  $h_t$  is a continuous map for all t) and as such defines a homotopy between h, h'. Then the map  $H_t \cdot L_t \cdot H_t$ , where  $\cdot$  is the group operation within  $\pi_1$  defines a homotopy between  $hf\bar{h}$  and  $h'g\bar{h}$ .

### $\therefore \phi$ is surjective

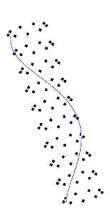
Injectivity on Conjugacy Classes:

- $(\Rightarrow)$  Suppose that  $\phi([f]) = \phi([g])$ . This means that as maps,  $f \simeq g$  via a homotopy H. Since f, g are loops based at  $x_0$ , we need to ensure that H is a homotopy of loops. This means that if  $f(\theta') = g(\hat{\theta}) = x_0$  then we need to construct a loop such that  $H_t(\hat{\theta}) = x_0, \forall t$ , where  $H_t = H|_{X \times \{t\}}$ . Since X is path-connected, let h be a path between  $x_0$  and  $f(\hat{\theta})$ . Then  $h \cdot f \cdot h$  is a loop based at  $x_0$  that is *loop* homotopic to g.
- $(\Leftarrow)$  Now suppose that there exists an  $[h] \in \pi_1(X, x_0)$  such that  $hf\bar{h} \simeq g$ . We want to show that  $\phi([f]) = \phi([g])$  or in other words,  $f \simeq g$  as a homotopy of maps. Now note that as a loop,  $h: [0,1] \to X, h(0) = h(1) = x_0$  is homotopic (as a map) to the constant map at  $x_0$  via the homotopy  $H: I \times I \to X, H(x,t) = h(tx)$ . Hence  $hf\bar{h}$  is homotopic as a map to f by using the aforementioned homotopy H and  $\bar{H}$ .

7

A picture of the map  $f: S^1 \times I \to S^1 \times I$ ,  $f(\theta, s) = (\theta + 2\pi s, s)$  for a fixed  $\theta$  is the following:

Cylinder for Problem 7



We can construct an explicit homotopy to one of the boundary circles, namely the map  $F:(S^1\times I)\times I\to S^1\times I$ defined by  $F(\theta, s, t) = (\theta + 2\pi t s, s)$ . This is certainly continuous and  $F(\theta, s, 0) = \mathbf{1}_{S^1 \times I}, F(\theta, s, 1) = f(\theta, s)$ . Note that this homotopy only restricts to the identity,  $\forall t \in I$  on  $S^1 \times \{0\}$ . Now we need to show that there doesn't exist a homotopy  $H:(S^1 \times I) \times I \to S^1 \times I$  that restricts to the identity on  $S^1 \times \{1\} \cup S^1 \times \{0\}$  for all  $t \in I$ . Suppose that such a homotopy existed. Now fix  $\theta_0 \in [0, 2\pi)$  and consider the set  $K_t := \{H_t(\theta_0, s) : s \in [0, 1]\}$ . Now note that  $K_0 = \{f(\theta_0, s) : s \in [0, 1]\} = \{(\theta_0 + 2\pi s, s) : s \in [0, 1]\}$  which is simply the image of f. Let  $p_1 : S^1 \times I \to S^1$  be the projection onto the first coordinate. Then the set  $\{(p_1(k), 0) : k \in K_0\}$  is homeomorphic to  $[\omega_1] \in \pi_1(S^1 \times \{0\}, x_0)$ , the loop that wraps around  $S^1$  once. This can be seen in the above image, if you simply project the helix down onto the base  $S^1$ . Now note that the set  $K_1 = \{(\theta_0, s) : s \in [0, 1]\}$  is simply a line on the cylinder. Projecting this down onto the base  $S^1$  yields a constant loop,  $[\omega_0] \in \pi_1(S^1 \times \{0\}, x_0)$ . This implies that H is a homotopy between  $[\omega_0]$  and  $[\omega_1]$  a contradiction.

#### 8

No, the Borsuk-Ulam Theorem does not hold for  $\mathbb{T}^2 = S^1 \times S^1$ . We can think of  $S^1 \times S^1 \subset \mathbb{C}^2$ , defined by  $S^1 \times S^1 = \{(z_1, z_2) \in \mathbb{C}^2 : |z_1| = |z_2| = 1\} = \{(e^{2\pi i \theta_1}, e^{2\pi i \theta_2}) \in \mathbb{C}^2 : \theta_1, \theta_2 \in [0, 1)\}$ . Now let  $n \in \mathbb{N}$  be odd and consider the map  $(e^{2\pi i \theta_1}, e^{2\pi i \theta_2}) \mapsto (\theta_1^n, \theta_2^n)$ . The inversions  $z_1 \mapsto -z_1, z_2 \mapsto -z_2$  send  $\theta_1 \mapsto \theta_1 + \frac{1}{2}, \theta_2 \mapsto \theta_2 + \frac{1}{2}$ . If this map were to satisfy f(x, y) = f(-x, -y), then we would have  $\theta_1^n = (\theta_1 + \frac{1}{2})^n, \theta_2^n = (\theta_2 + \frac{1}{2})^n$ . This means that we have to show that the polynomials,

$$(\theta_1 + \pi)^n - \theta_1^n = \sum_{i=0}^{n-1} \binom{n}{i} \theta_1^i \left(\frac{1}{2}\right)^{n-i} \tag{4}$$

$$(\theta_2 + \pi)^n - \theta_2^n = \sum_{i=0}^{n-1} \binom{n}{i} \theta_2^i \left(\frac{1}{2}\right)^{n-i}$$
 (5)

don't have any roots in [0,1). For n=3 this is true, since we get a quadratic with only imaginary roots. However, note that it is not possible for a root of either polynomial to be in [0,1), since we would have a finite sum of strictly positive numbers. Hence we have an infinite sequence of polynomials that violate the Borsuk-Ulam Theorem

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We want to prove the Ham-Sandwich Theorem for  $\mathbb{R}^3$ : If  $A_1, A_2, A_3$  are compact sets in  $\mathbb{R}^3$ , then  $\exists$  a plane that simultaneously divides the three sets into subsets of equal measure. Without the loss of generality, assume that there exists a neighborhood U of  $0 \in \mathbb{R}^3$  such that  $A_i \cap U = \emptyset$ . By transforming coordinates with an affine translation, we can always ensure that this happens. First, let's reduce the problem to considering points on the unit sphere,  $S^2 \hookrightarrow \mathbb{R}^3$ . For each point in  $a_i \in A_i$  (for any i), there exists a unique line  $\mathbf{x}_i$  connecting  $a_i$  and  $0 \in \mathbb{R}^3$ . A standard fact about 3dimensional geometry is that it takes 3 points (or vectors) to determine a plane up to origin (i.e. to specify an affine plane that is homeomorphic to the 2-dimensional Affine Space  $A^2$ ). Since we have three sets, a point  $(a_1, a_2, a_3) \in (A_1, A_2, A_3)$ specifies (up to location of origin) a unique plane passing through the points  $a_1, a_2, a_3$ . Since each point corresponds to a line, we can map  $a_i$  to  $\mathbf{x}_i \cap S^2$ . More precisely, we construct a map  $\Lambda : \mathbb{R}^3 \setminus \{0\} \to S^2$ ,  $\Lambda(x) = [x]$ , where the equivalence relation is  $x \equiv y \iff x = \lambda y, \lambda > 0$ . This is similar to the construction of  $\mathbb{RP}^2$  except that we are looking at equivalence classes of rays. Note that this map is continuous (it is the retraction of  $\mathbb{R}^3 \setminus \{0\}$  onto  $S^2$ ) and one of the maps of a homotopy equivalence, so that in particular,  $\Lambda(A_i) \subset S^2$  is compact. Thus we can specify a plane that intersects all three sets by specifying points  $(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3) \in \Lambda(A_1) \times \Lambda(A_2) \times \Lambda(A_3)$ , up to translation. Since we are measure "equal division" of the sets  $A_1, A_2, A_3$  by using the Lebesgue measure m on  $\mathbb{R}^3$  (which is the unique, translational-invariant, complete Radon measure on  $\mathbb{R}^3$ ), knowing a plane "up to translation" will not change our results. Another elementary fact from geometry<sup>2</sup> is that a plane in  $\mathbb{R}^3$  divides  $\mathbb{R}^3$  into two disjoint subsets  $R_+$  and  $R_-$ . Given a point  $(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3)$ , denote the partition due to the plane containing  $(a_1, a_2, a_3)$  by  $\{R_+(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3), R_-(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3)\}$ . Now suppose for a contradiction that there does not exist a plane that divides  $A_i, \forall i$ . Then this means that there is no point  $(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3) \in S^2$  such that  $m(A_i \cap R_+(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3)) = m(A_i \cap R_-(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3))$ . For brevity, let  $m_i^{\pm}(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3) := m(A_i \cap R_+(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3)) - m(A_i \cap R_-(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3)), m^{\mp} = -m^{\pm}$ . Now consider the function,  $f: S^2 \times S^2 \times S^2 \to \mathbb{R}^2 \times \mathbb{R}^2 \times \mathbb{R}^2$  defined by:

$$f(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3) = \left( \left( m_1^{\pm}(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3), m_1^{\mp}(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3) \right), \left( m_2^{\pm}(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3), m_2^{\mp}(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3) \right), \left( m_3^{\pm}(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3), m_3^{\mp}(\tilde{a}_1, \tilde{a}_2, \tilde{a}_3) \right) \right)$$
(6)

This map is continuous, since we are composing a restriction morphism with the Lebesgue measure yielding a measure that is absolutely continuous (and has compact support) with respect to m. The projection  $p_i f$  of f onto its ith coordinate can be considered a continuous map  $S^2 \to \mathbb{R}^2$  so that by the Borsuk-Ulam theorem, there exists  $x \in S^2$  such that f(x) = f(-x). This implies that  $m_i^{\pm}(x) = m_i^{\pm}(-x)$ ,  $m_i^{\mp} = m_i^{\mp}(-x)$ . The equations are redundant and we

<sup>&</sup>lt;sup>2</sup> Unlike the Jordan Curve Theorem!

only need to consider, without the loss of generality, the  $\pm$  case. Since each point  $x \in S^2$  maps to the intersection of two open sets this gives  $m_i^+(x) - m_i^-(x) = m_i^+(-x) - m_i^-(-x)$ . As  $x \neq -x \forall x \in S^2$ , the  $\sigma$ -additivity of the Lebesgue measure gives  $m^+(x \cup (-x)) = m^+(x) - m^+(-x) = m^-(x) - m^-(-x) = m^-(x \cup (-x))$ . This implies that  $\exists$  a point where  $m^+ = m^-$ , contradicting our assumption that no such point exists. Tracing back through the reductions and reformulation of this problem as a map on the sphere, this means that there must exist a plane that divides each  $A_i$ .