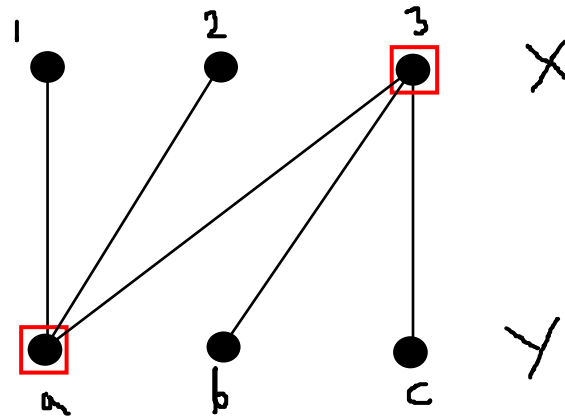


# Vertex Cover and Independent Set

# Vertex Cover

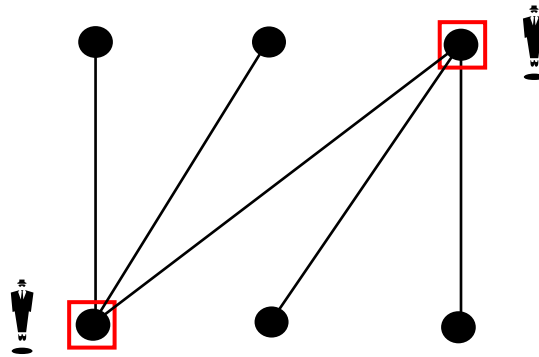
- A *vertex cover* of a graph  $G$  is a set  $Q \subseteq V(G)$  that contains at least one endpoint of every edge. The vertices in  $Q$  cover  $E(G)$ .



Minimum vertex cover  $Q = \{3, a\}$   
 $M = \{(1,a), (3,c)\}$

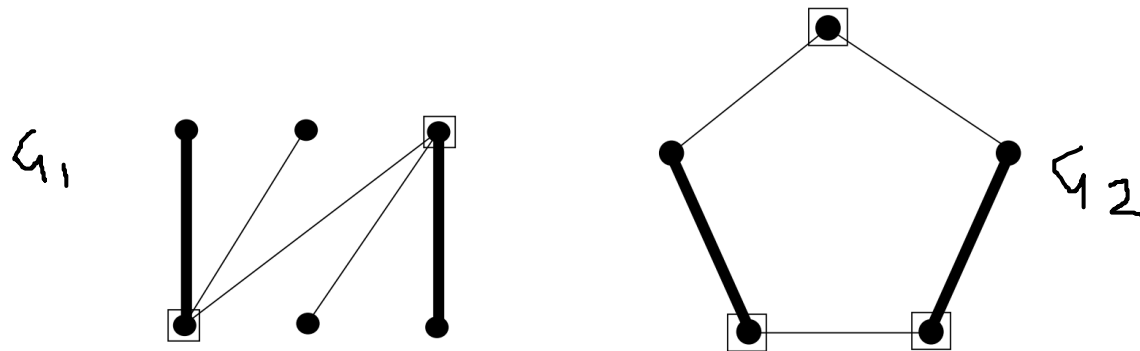
# Vertex Cover

- In a graph that represents a road network (with straight roads and no isolated vertices).
  - Finding a minimum vertex cover = Placing the minimum number of policemen to guard the entire road network.



# Matchings and Vertex covers

- In the graph  $G_1$ ,
  - We mark a vertex cover of size 2 and show a matching of size 2 in bold.
  - $|\text{vertex cover}| = |\text{matching}|$
- As illustrated on the  $G_2$ , the optimal values differ by 1 for an odd cycle. The difference can be arbitrarily large.
  - $|\text{vertex cover}| \geq |\text{matching}|$



**Konig's Theorem:** If  $G$  is a bipartite graph, then the maximum size of a matching in  $G$  equals the minimum size of a vertex cover of  $G$ .

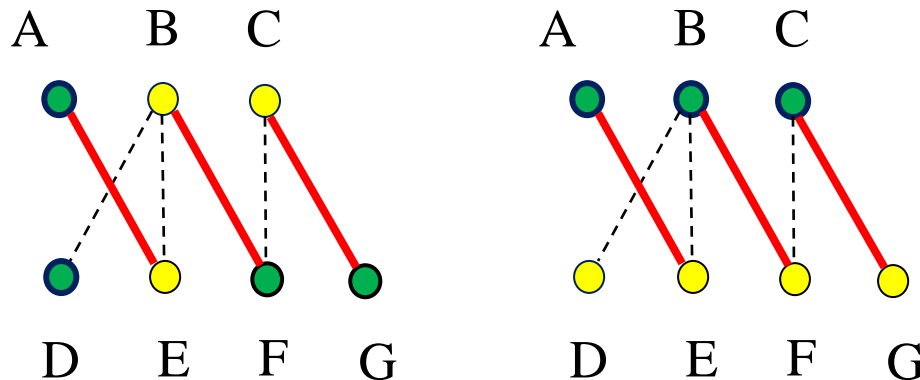
**Proof :** Let  $G$  be an  $X, Y$ -bigraph.

- Since distinct vertices must be used to cover the edges of a matching,  $|Q| \geq |M|$  whenever  $Q$  is a vertex cover and  $M$  is a matching in  $G$ .
- Given a smallest vertex cover  $Q$  of  $G$ , we construct a matching of size  $|Q|$  to prove that equality can always be achieved.

Green: Vertex cover

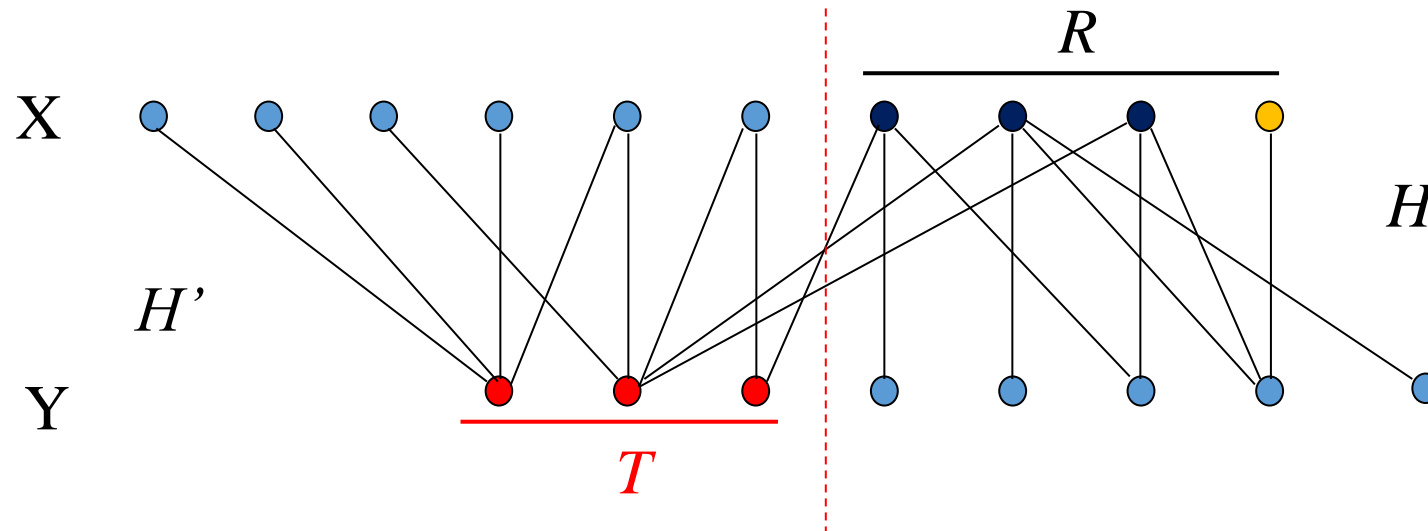
Red: Matching

$|Q| \geq |M|$



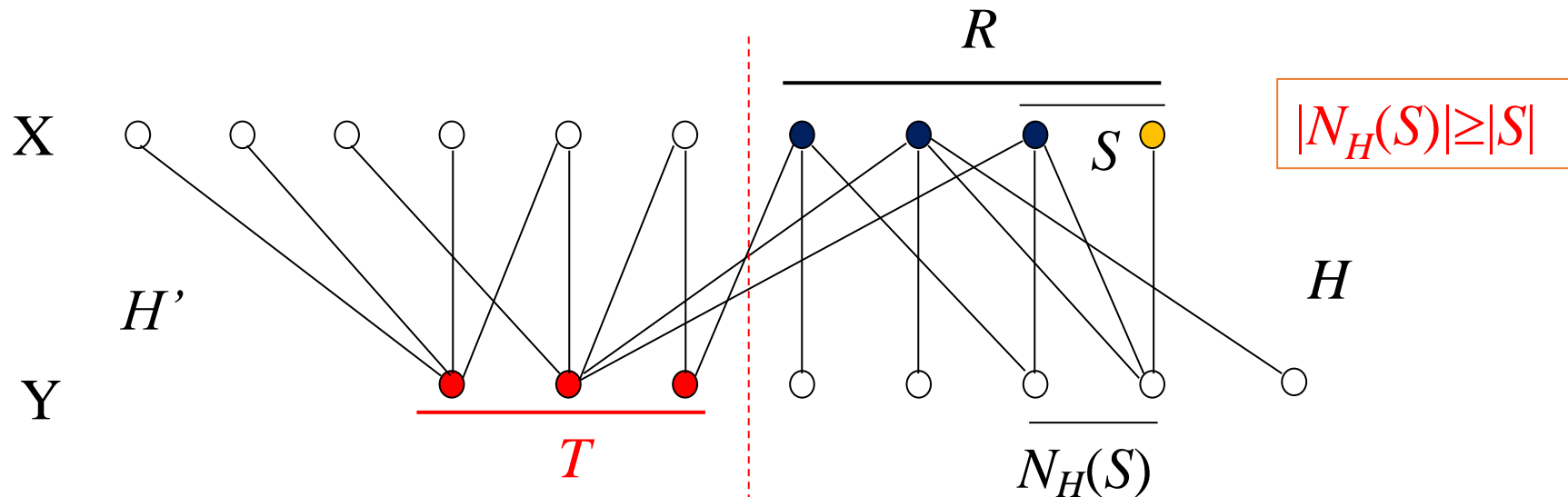
## Theorem Continue

- Partition  $Q$  by letting  $R=Q\cap X$  and  $T=Q\cap Y$ .
  - Let  $H$  and  $H'$  be the subgraphs of  $G$  induced by  $R\cup(Y-T)$  and  $T\cup(X-R)$ , respectively.
  - We use Hall's Theorem to show that  $H$  has a matching that saturates  $R$  into  $Y-T$  and  $H'$  has a matching that saturated  $T$ .
  - Since  $H$  and  $H'$  are disjoint, the two matchings together form a matching of size  $|Q|$  in  $G$ .



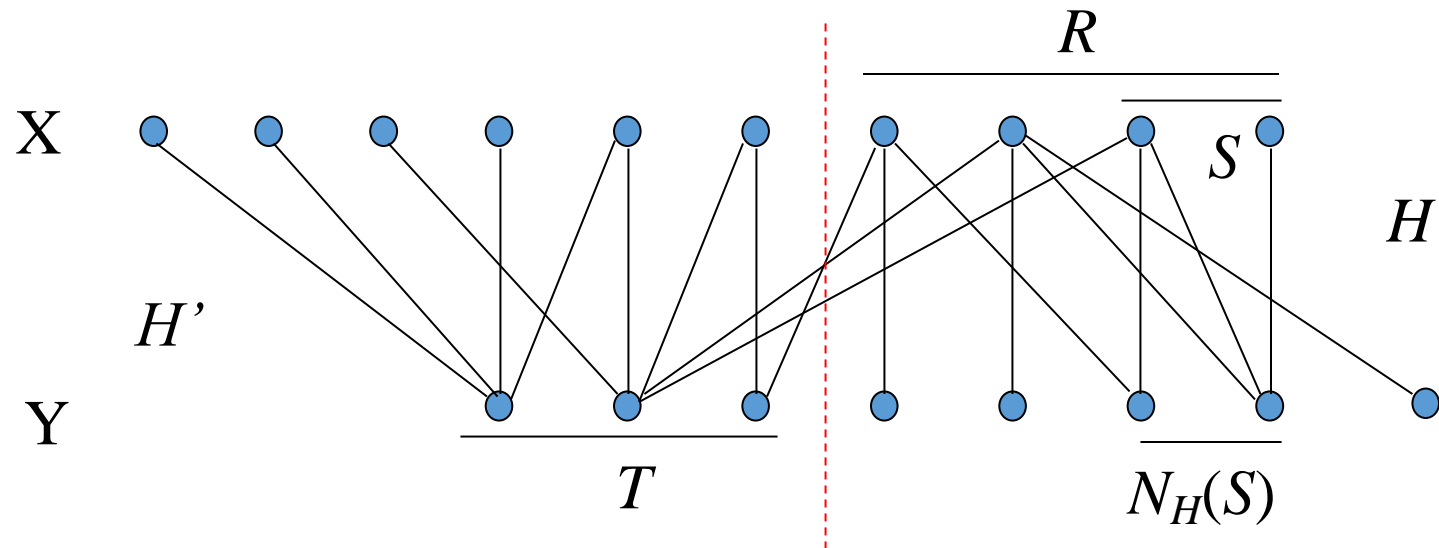
## Theorem Continue

- Since  $R \cup T$  is a vertex cover,  $G$  has no edge from  $Y-T$  to  $X-R$ .
  - Otherwise, an edge between  $Y-T$  to  $X-R$  is not covered
- For each  $S \subseteq R$ , we consider  $N_H(S)$ , which is contained in  $Y-T$ . If  $|N_H(S)| < |S|$ , then we can substitute  $N_H(S)$  for  $S$  in  $Q$  to obtain a smaller vertex cover, since  $N_H(S)$  cover all edges incident to  $S$  that are not covered by  $T$ .



## Theorem continue

- The minimality of  $Q$  thus yields Hall's Condition in  $H$ , and hence  $H$  has a matching that saturates  $R$ . Applying the same argument to  $H'$  yields the matching that saturates  $T$ .



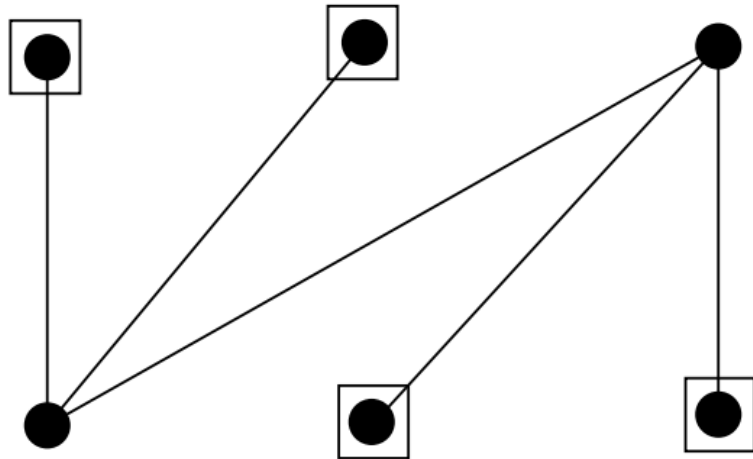
A matching exists in  $H'$  of size  $|T|$

A matching exists in  $H$  of size  $|R|$



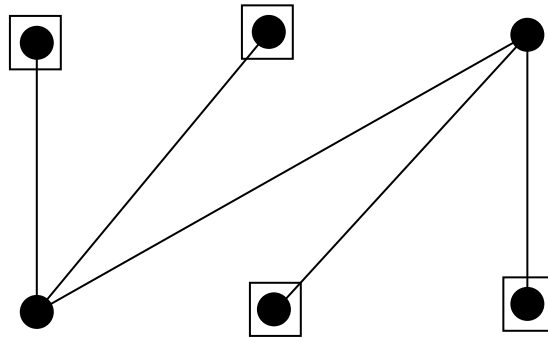
# Independent set

- An independent set  $S \subseteq V(G)$  such that no two vertices in  $S$  are adjacent( i.e. no two vertices in  $S$  are connected by an edge).



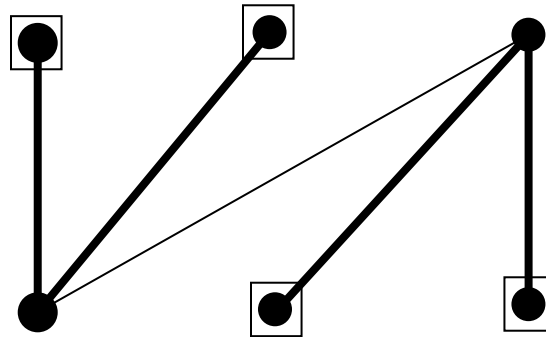
# Independent sets and covers

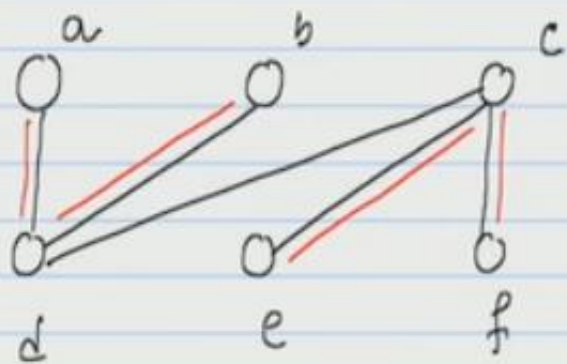
- The independence number of a graph is the maximum size of an independent set of vertices.
- The independence number of a bipartite graph does *not* always equal the size of a partite set.
  - In the graph bellow, both partite sets have size 3, but we have marked an independent set of size 4.



# Edge cover

- An **edge cover** of  $G$  is a set  $L$  of edges such that every vertex of  $G$  is incident to some edge of  $L$ .
  - The four bold edges in the following graph form an edge cover.
  - Only graphs without isolated vertices have edge cover

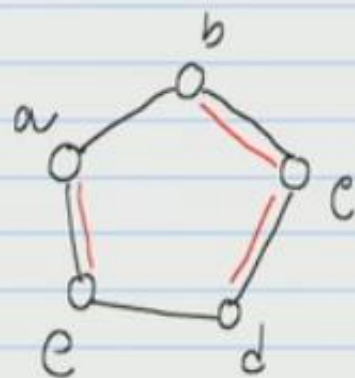




$$L = \{ad, bd, ec, fc\}$$

min edge cover

$$M = \{ad, cf\}$$



$$L = \{ae, bc, dc\}$$

$$M = \{ab, ed\}$$

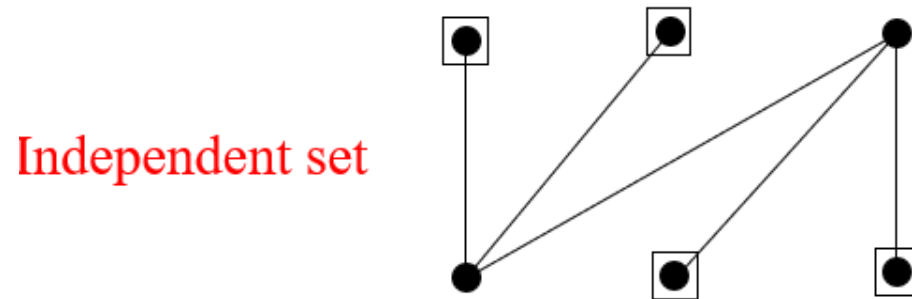
# Definitions

- For the optimal sizes of the sets of the independence and covering problems we have defined, we use the notation below.
  - Maximum size of independent set  $\alpha(G)$
  - Maximum size of matching  $\alpha'(G)$
  - Minimum size of vertex cover  $\beta(G)$
  - Minimum size of edge cover  $\beta'(G)$

**Theorem:** In a graph  $G$ ,  $S \subseteq V(G)$  is an independent set if and only if  $\bar{S}$  is a vertex cover, and hence  $\alpha(G) + \beta(G) = n(G)$ .

**Proof:**  $\implies$  If  $S$  is a maximum independent set, then every edge is incident to at most one vertex of  $S$ . This implies every edge is incident to at least one vertex of  $\bar{S}$ . So  $\bar{S}$  covers all edges and  $\bar{S}$  is a vertex cover.

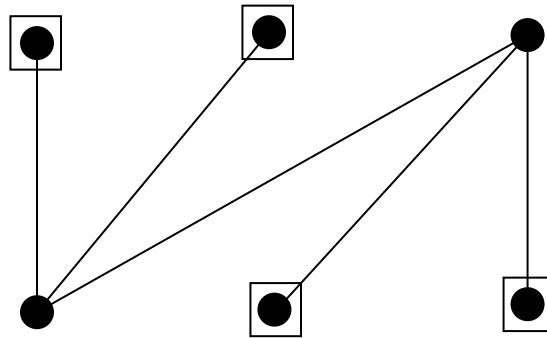
- $\impliedby$  if  $\bar{S}$  is a minimum vertex cover;  $\bar{S}$  covers all the edges, then there are no edges joining vertices of  $S$ . So  $S$  is an independent set.



**Theorem:** In a graph  $G$ ,  $S \subseteq V(G)$  is an independent set if and only if  $\bar{S}$  is a vertex cover, and hence  $\alpha(G) + \beta(G) = n(G)$ .

Proof : **continued**

- Hence every maximum independent set is the complement of a minimum vertex cover, and  $\alpha(G) + \beta(G) = n(G)$



$$\alpha(G) = 4$$

$$\beta(G) = 2$$

$$n(G) = 6$$

**Theorem:** If  $G$  is a graph without isolated vertices, then  $\alpha'(G) + \beta'(G) = n(G)$ .

$\alpha'(G)$ : Maximum size of matching     $\beta'(G)$ : Minimum size of edge cover

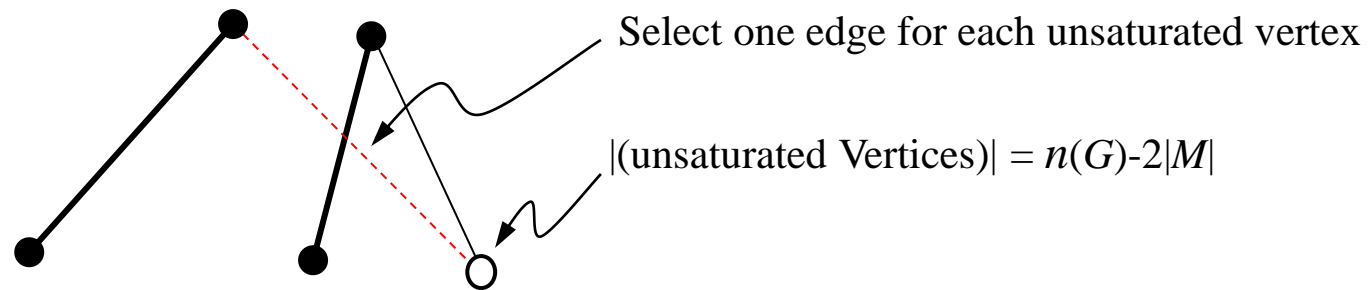
**Proof:**

- From a maximum matching  $M$ , we will construct an edge cover of size  $n(G) - |M|$ . (see next page)
  - Since a smallest edge cover is no bigger than this cover, this will imply that  $\beta'(G) \leq n(G) - \alpha'(G)$ .
- Also, from a minimum edge cover  $L$ , we will construct a matching of size  $n(G) - |L|$ . (see next page)
  - Since a largest matching is no smaller than this matching, this will imply that  $\alpha'(G) \geq n(G) - \beta'(G)$ .
- These two inequalities complete the proof. (detail) ➔



# Theorem Continue

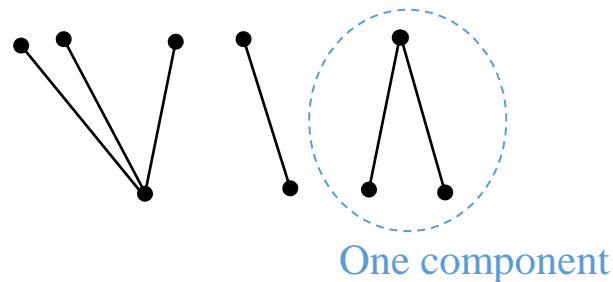
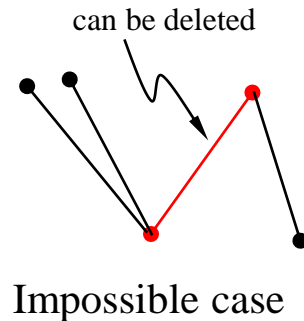
- Given  $M$ , we construct an edge cover of size  $n(G) - |M|$ .
  - Add one edge incident to each unsaturated vertex to  $M$ .
  - We have used one edge for each vertex, except that each edge of  $M$  takes care of two vertices,
  - So the total size of this edge cover is  $n(G) - |M|$ , as desired.  
 $\Rightarrow \beta'(G) \leq n(G) - \alpha'(G) \Rightarrow \beta'(G) + \alpha'(G) \leq n(G)$ .



# Theorem Continue

- Given a minimum edge cover  $L$ , we construct a matching of size  $n(G) - |L|$ .
  - If both ends of an edge  $e$  belong to edges in  $L$  other than  $e$ , then  $e \notin L$ , since  $L - \{e\}$  is also an edge cover.
  - Hence each component formed by edges of  $L$  has at most one vertex of degree exceeding 1 and is a star (a tree with at most one non-leaf).

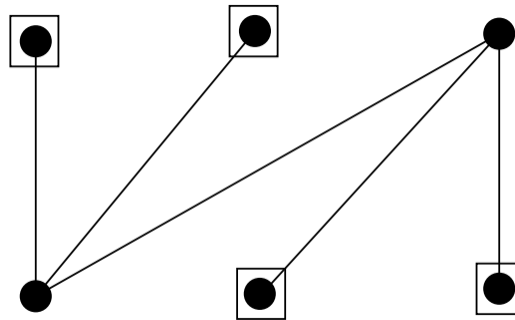
Note: Theorem: In a forest with  $v$  vertices and  $k$  components, the number of edges are  $v - k$



# Theorem Continue

- Let  $k$  be the number of these components.
- Since  $L$  has one edge for each non-central vertex in each star, we have  $|L| = n(G) - k$ .
- We form a matching  $M$  of size  $k = n(G) - |L|$  by choosing one edge from each star in  $L$ .  $\Rightarrow \alpha'(G) \geq n(G) - \beta'(G)$ .

Ex.



**(König [1916])** If  $G$  is a bipartite graph with no isolated vertices then  $\alpha(G) = \beta'(G)$ .

- Proof ?