

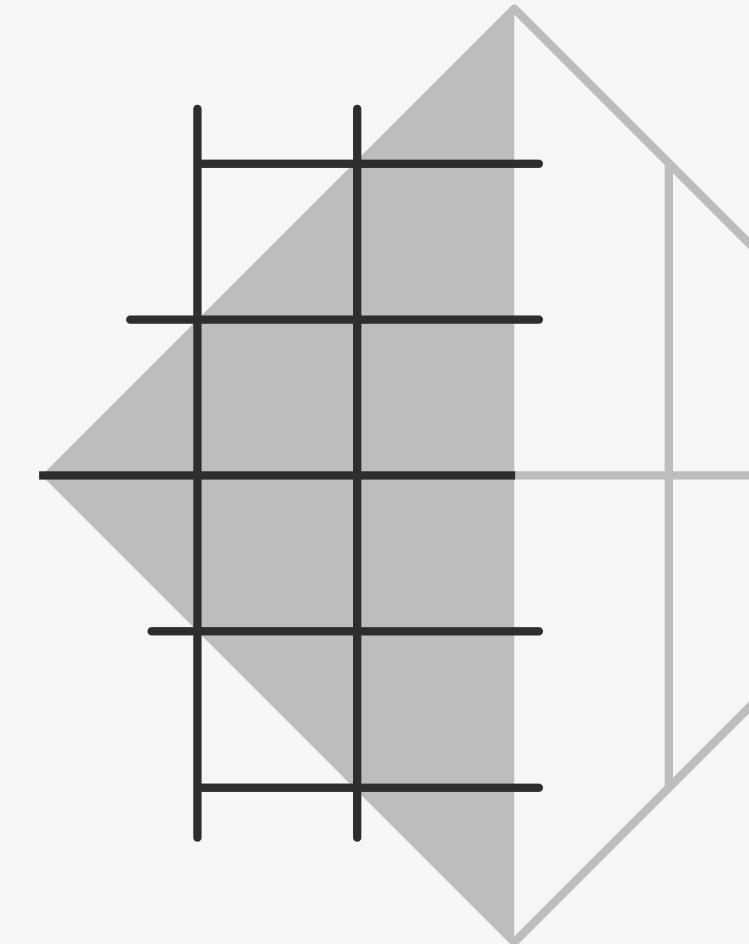
Learning with error

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Outline

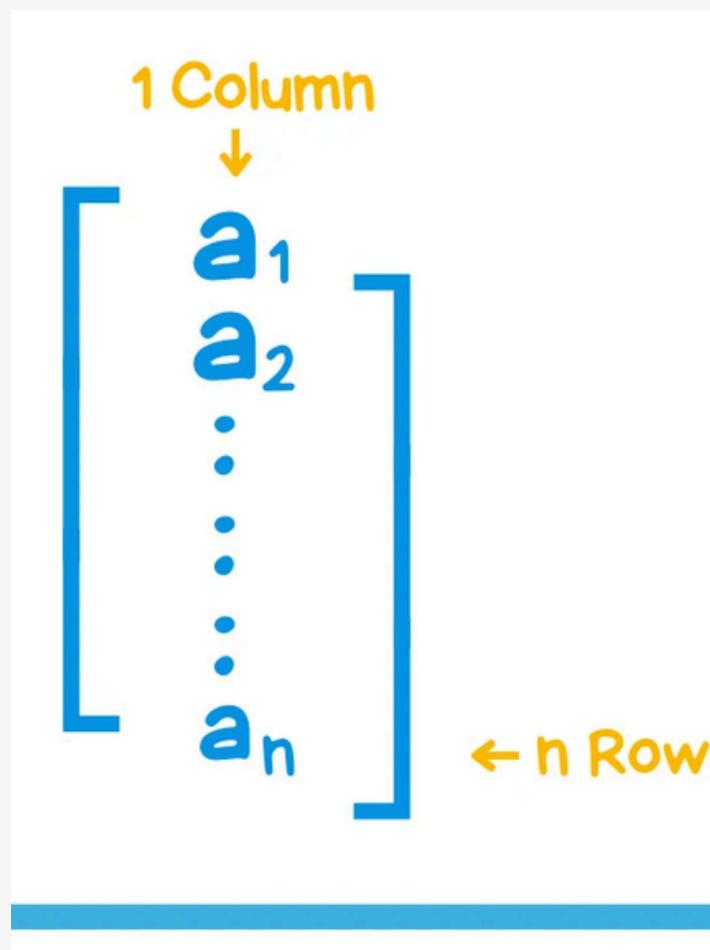
- What is learning with error?
- Learning with error and lattices
- Learning with error and Module-LWE



Notation

$$\mathbb{Z}_q = \{0, 1, 2, \dots, q - 1\}$$

$$x \in_R S$$



1. a set of integers from 0 to $q - 1$

2. x is chosen at random from the set S

- $R = \text{random}$
- $\text{random} = \text{selected uniformly and independently}$
- $\text{Uniformly} = \text{each item in the set has equal probability}$
- $\text{Independently} = \text{The outcome of selecting other items doesn't affect the other.}$

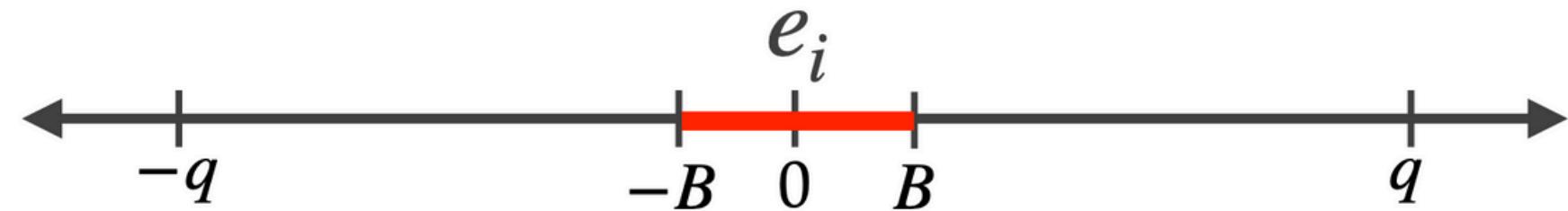
3. All vectors are column vectors, a matrix with 1 column

Learning with error

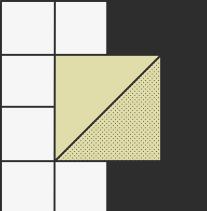
Definition. *Learning With Errors problem:* LWE(m, n, q, B)

Let $s \in_R \mathbb{Z}_q^n$ and $e \in_R [-B, B]^m$ where $B \ll q/2$.

Given $A \in_R \mathbb{Z}_q^{m \times n}$ and $b = As + e \pmod{q} \in \mathbb{Z}_q^m$, find s .



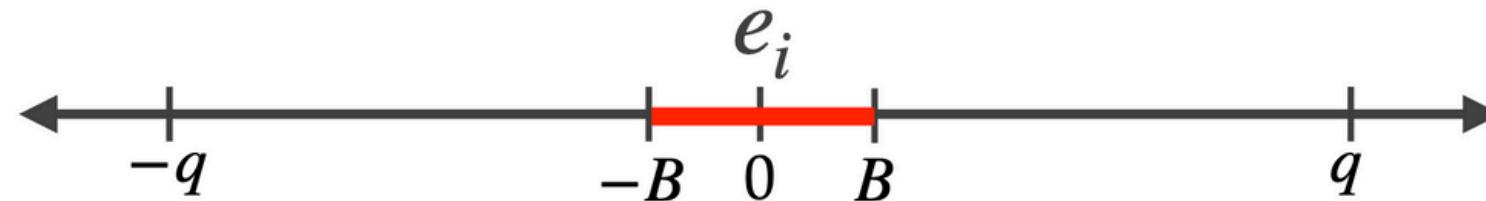
- 4 parameters
 - m
 - n
 - q is a prime number.
 - B is an error-bound that is much smaller than $q/2$

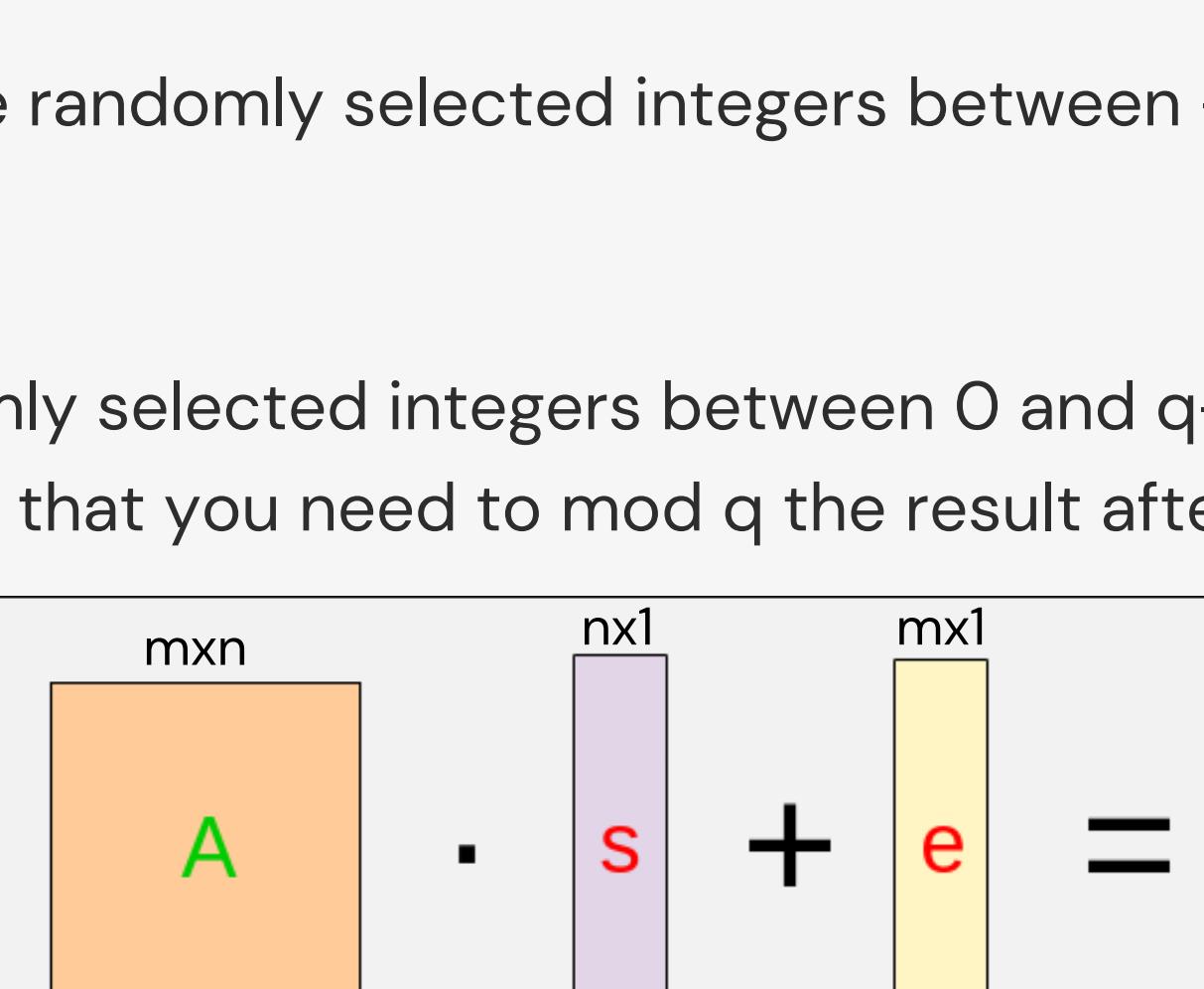


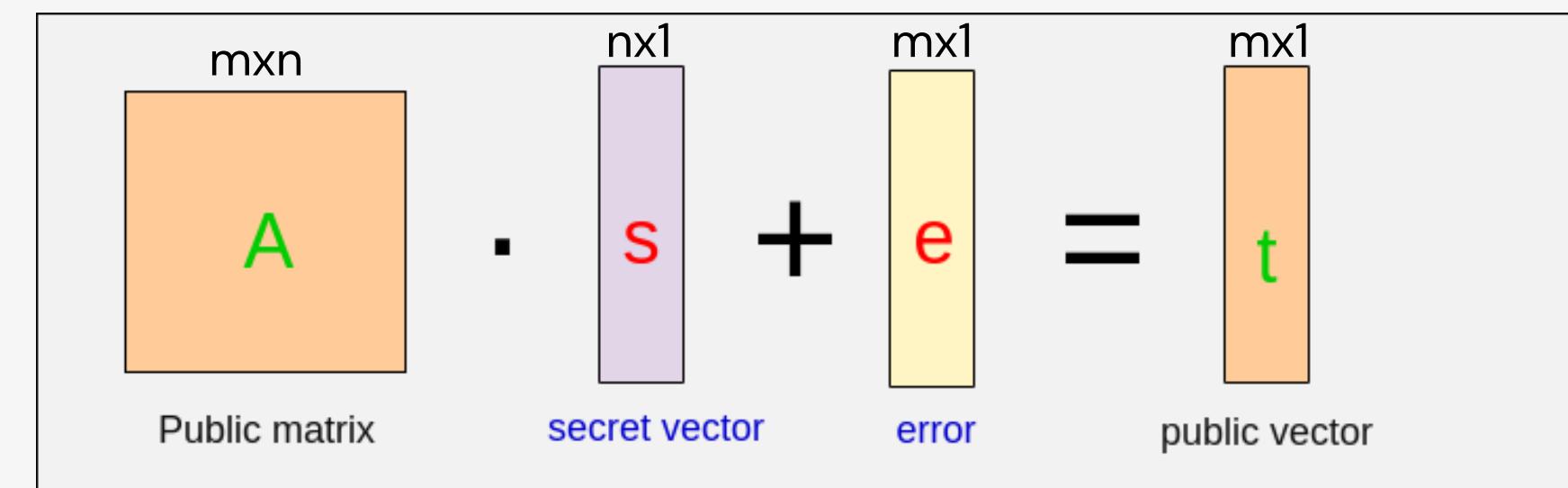
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- s is a length n vector whose components are randomly selected integers between 0 and $q-1$
 - e is a length m vector whose components are randomly selected integers between $-B$ and B
 - s and e are secret; A and b are public
 - A is an $m \times n$ matrix whose entries are randomly selected integers between 0 and $q-1$
 - b is the result vector from $As+e \pmod{q}$. note that you need to mod q the result after computing $As + e$.
 - b is a vector of length m .
 - entries will be between 0 and $q-1$
 - $B \ll q/2$
 - the noise can push past the mid point
 - might mistake 0 for 1 when decrypting



Example

- $m = 5, n = 3, q = 31$ and $B = 2$;
- $b = As + e \pmod{31}$
- $A = 5 \times 3$ matrix with entries between 0 – 30
- $s =$ a vector of length 3 with entries between 0 – 30
- $e =$ a vector of length 5 with entries between -2 and 2
- $b =$ a vector of length 5 with entries between 0 – 30

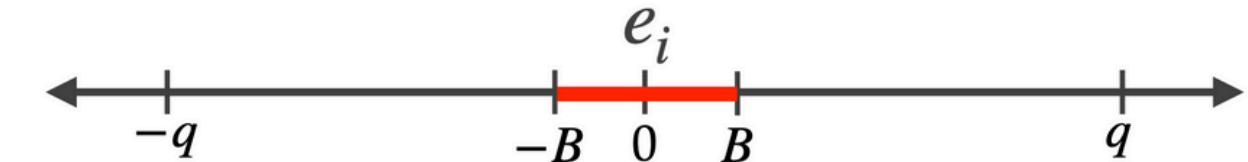
♦ **LWE instance:**

$$A = \begin{bmatrix} 11 & 3 & 27 \\ 12 & 21 & 7 \\ 6 & 23 & 30 \\ 5 & 6 & 2 \\ 21 & 0 & 14 \end{bmatrix} \quad \begin{matrix} s \\ 3 \times 1 \end{matrix} + \begin{matrix} e \\ 5 \times 1 \end{matrix} = \begin{bmatrix} 25 \\ 25 \\ 12 \\ 29 \\ 17 \end{bmatrix}.$$

Definition. Learning With Errors problem: LWE(m, n, q, B)

Let $s \in_R \mathbb{Z}_q^n$ and $e \in_R [-B, B]^m$ where $B \ll q/2$.

Given $A \in_R \mathbb{Z}_q^{m \times n}$ and $b = As + e \pmod{q} \in \mathbb{Z}_q^m$, find s .



B parameter

1.B should not be 0 because e would be 0. As $Ax = b$ can be solved efficiently using Gaussian elimination

a. Given a linear system expressed in matrix form, $Ax = b$, we reduce matrix into row echelon form using row operations

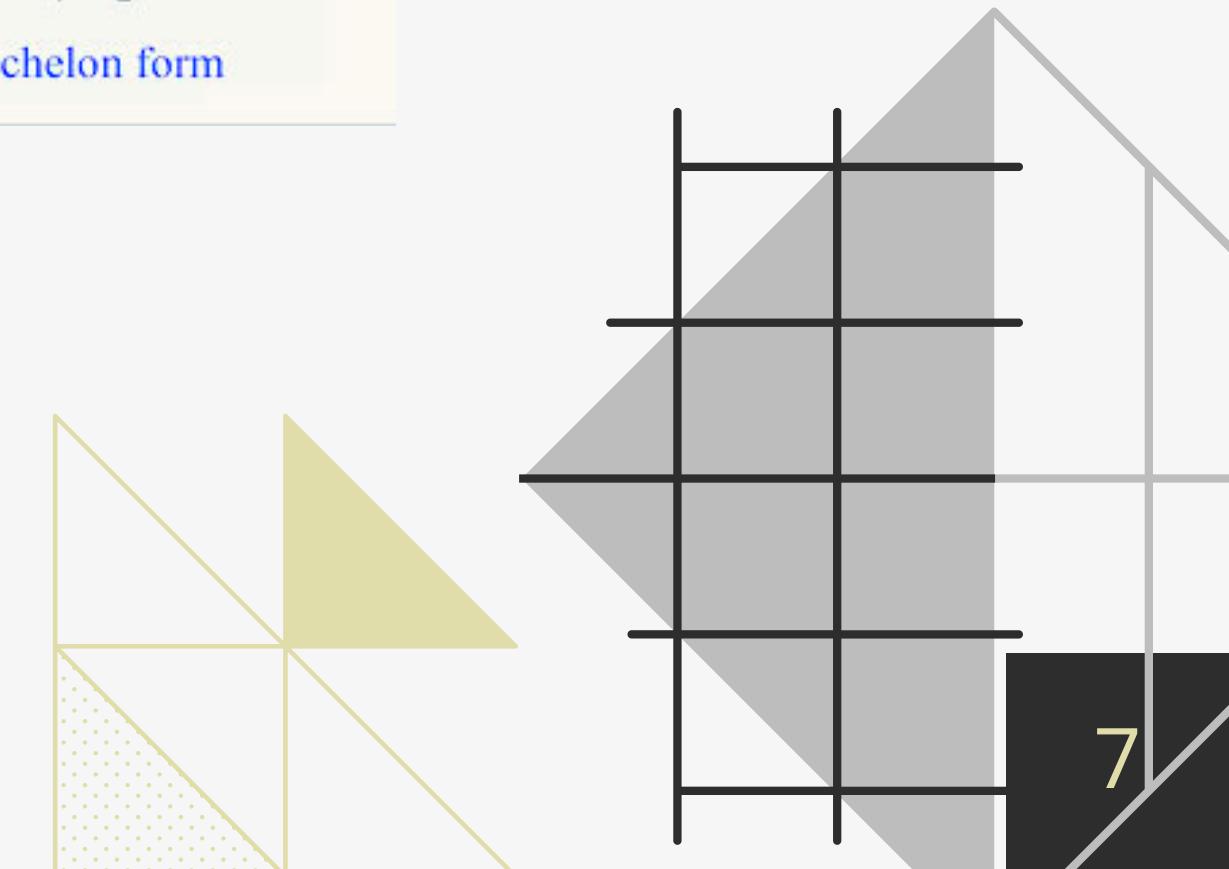
b. row operations \rightarrow interchange 2 rows, multiply a row by a constant, add a multiple of 1 row to another.

$$\begin{array}{l} a_1x + b_1y + c_1z = d_1 \\ a_2x + b_2y + c_2z = d_2 \\ a_3x + b_3y + c_3z = d_3 \end{array} \quad \begin{matrix} [A] & & [B] \\ \left[\begin{array}{ccc|c} a_1 & b_1 & c_1 & d_1 \\ a_2 & b_2 & c_2 & d_2 \\ a_3 & b_3 & c_3 & d_3 \end{array} \right] & \begin{bmatrix} x \\ y \\ z \end{bmatrix} & = & \begin{bmatrix} d_1 \\ d_2 \\ d_3 \end{bmatrix} \end{matrix}$$

Gauss-Jordan elimination

$$\left[\begin{array}{ccc|c} 1 & 0 & 0 & * \\ 0 & 1 & 0 & * \\ 0 & 0 & 1 & * \end{array} \right]$$

reduced row echelon form



B parameter

2. If $B = (q-1)/2$, then e will have the full range of integer q $(-(q-1)/2$ to $(q-1)/2$, therefore, the answer b vector will cover the full range of q , making finding s is theoretically impossible to find. Therefore, we are assuming $B < q/4$

For example, $m = 1, n = 1, B = 3, q = 7, b = As + e \pmod{q}$

- A is a vector of length 1 i.e. [2]
- $e = [-3, 3]$
- $s^1 = 3$
- $b = 2*3 + e \pmod{7} = 6 + e \pmod{7}$
- if $e = -3, b = 6 - 3 \pmod{7} = 3$
- if $e = -2, b = 6 - 2 \pmod{7} = 4$
- if $e = -1, b = 5$
- if $e = 0, b = 6; e = 1 \rightarrow b = 0.$
- if $e = 2 \rightarrow b = 1, e = 3 \rightarrow b = 2.$
- b is a member of $\{0, 1, 2, 3, 4, 5, 6\}$
- $s^2 = 5$
- $b = 2*5 + e \pmod{7} = 10 + e \pmod{7}$
- if $e = -3, b = 10 - 3 \pmod{7} = 0$
- if $e = -2, b = 10 - 2 \pmod{7} = 1$
- if $e = -1, b = 2$
- if $e = 0, b = 3; e = 1 \rightarrow b = 4$
- if $e = 2 \rightarrow b = 5, e = 3 \rightarrow b = 6.$
- b is a member of $\{0, 1, 2, 3, 4, 5, 6\}$

B parameter

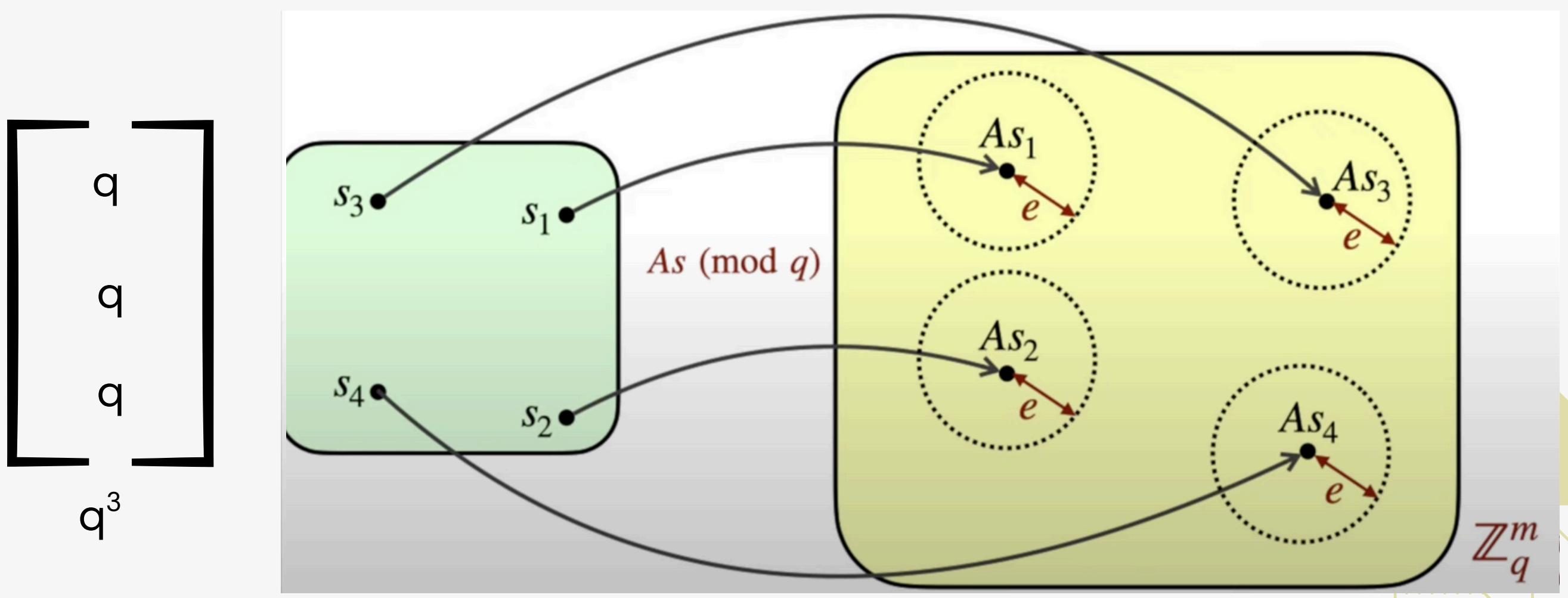
3. If B is asymptotically smaller than \sqrt{n} , then LWE can be solved within subexponential runtime for sufficiently large $m \gg n$

- Arora–Ge attack, if noise is small, treat equations as if it's noiseless, turn algebraic equations and solve them algebraically using algebraic tools for solving multivariate polynomials

Constraints	Comments
$B < q/2$	To ensure modular arithmetic doesn't wrap unpredictably
$B < q/4$	A safer margin – standard in crypto settings
$B \ll \sqrt{n}$	Makes LWE easier – attackers can exploit this
$B \gtrsim \sqrt{n}$ and $B < q/4$	Hard regime for LWE – used in cryptography

m and n parameter

- if m is much larger than n , then we can assume that there is a unique LWE solution (s, e)
- s is a vector of length n that is chosen from all possible sets of vectors whose entries are between 0 and $q-1$
- $As \pmod{q}$ is vector of length m that is chosen from all possible sets of vectors whose entries are between 0 and $q-1$
- Adding e will create a sphere of all possible answer in the set of all possible vectors of length m modulo q
- If all q^n spheres do not overlap then the LWE solution is unique



Lattice

A lattice L in \mathbb{R}^n is the set of all integer linear combination of m linearly independent vectors $B = \{v_1, v_2, \dots, v_m\}$ in \mathbb{R}^n where $m \leq n$. The dimension of the lattice and the rank is n and m respectively.

- \mathbb{R}^n is n -dimensional real space, which means each real number represents n axes.
- i.e. $n = 2 \rightarrow (1.0, 3.48)$
- B is m linearly independent vectors i.e. $\{v_1, v_2, \dots, v_m\}$
- integer linear combination is a combination of addition or subtraction of many vectors with coefficient in front as integers
- dimension is the number of axes
- rank is the number of vectors used to generate the lattice
- vectors are in \mathbb{Z}^m . Each vector is a $n \times 1$ matrix
- We can look at B as $n \times m$ matrix then $L(B) = \{Bx : x \in \mathbb{Z}^m\}$

$$B = \begin{bmatrix} | & | & \cdots & | \\ v_1 & v_2 & \cdots & v_m \\ | & | & \cdots & | \end{bmatrix}_{n \times m}.$$

$$\begin{array}{c|c|c} x & = & L(B) \\ \hline mx1 & & nx1 \end{array}$$

vectors:

$$v_1 = \begin{bmatrix} 1 \\ 2 \end{bmatrix}, \quad v_2 = \begin{bmatrix} 3 \\ 1 \end{bmatrix}$$

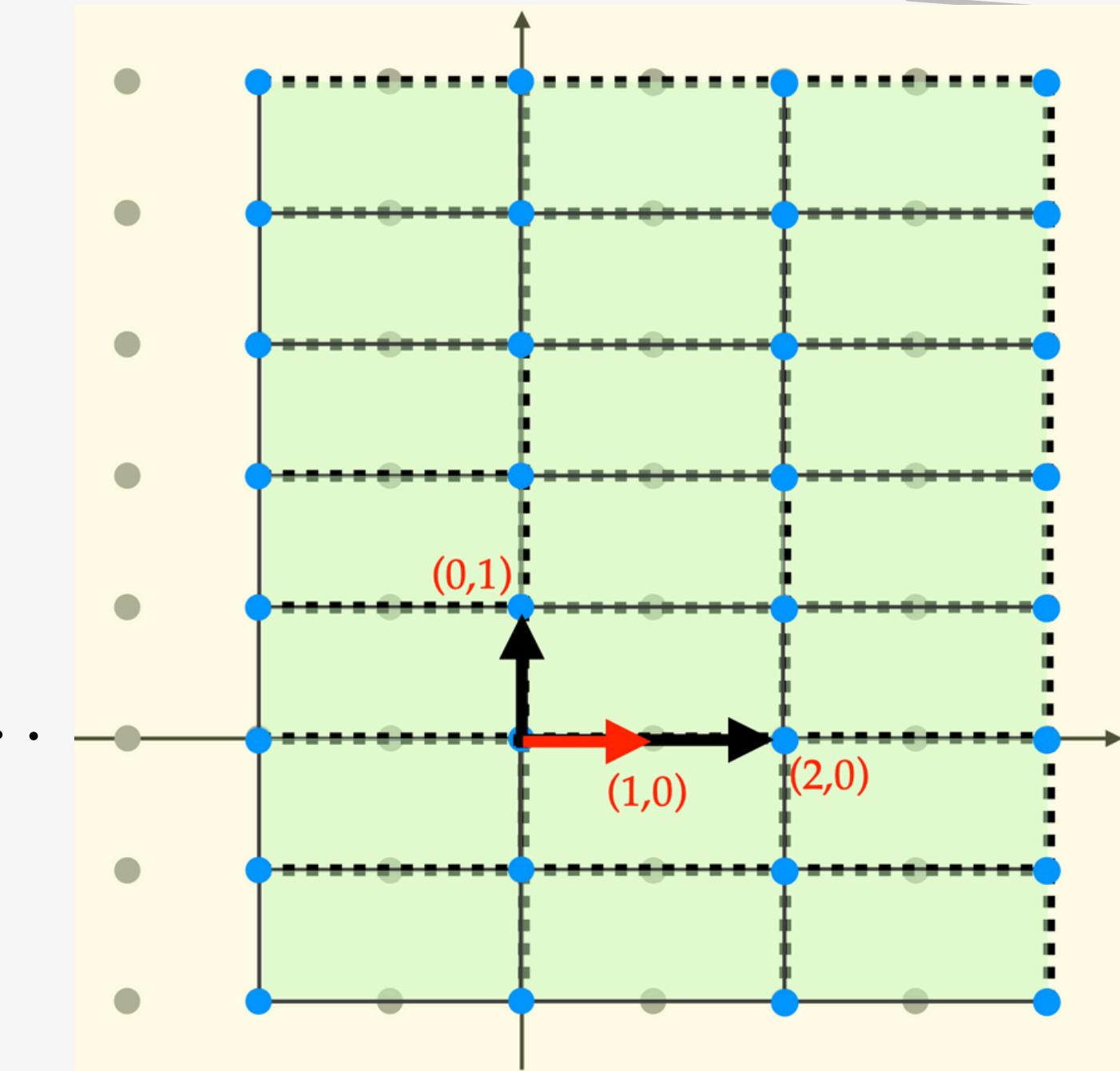
Combination might be:

$$2v_1 - 1v_2 = 2 \begin{bmatrix} 1 \\ 2 \end{bmatrix} - 1 \begin{bmatrix} 3 \\ 1 \end{bmatrix} = \begin{bmatrix} 2 \\ 4 \end{bmatrix} - \begin{bmatrix} 3 \\ 1 \end{bmatrix} = \begin{bmatrix} -1 \\ 3 \end{bmatrix}$$

Example

- $n=2$ and $B = \{(2,0), (0,1)\}$
- Then lattice $L(B) = \{Bx : x \in \mathbb{Z}^2\}$

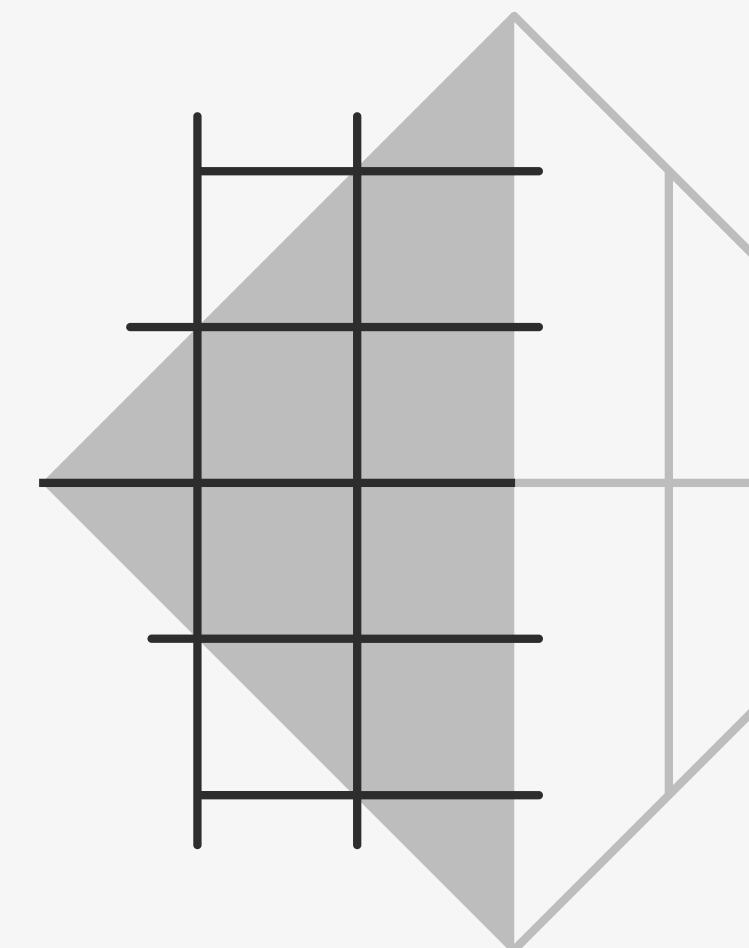
$$\begin{bmatrix} B \\ 2 & 0 \\ 0 & 1 \end{bmatrix}_{2 \times 2} \begin{bmatrix} x \\ 2 \\ 1 \end{bmatrix}_{2 \times 1} = \begin{bmatrix} L(B) \\ 2 \\ 1 \end{bmatrix}_{2 \times 1} \begin{bmatrix} L(B) \\ 2 \\ 1 \end{bmatrix}_{2 \times 1} \dots$$



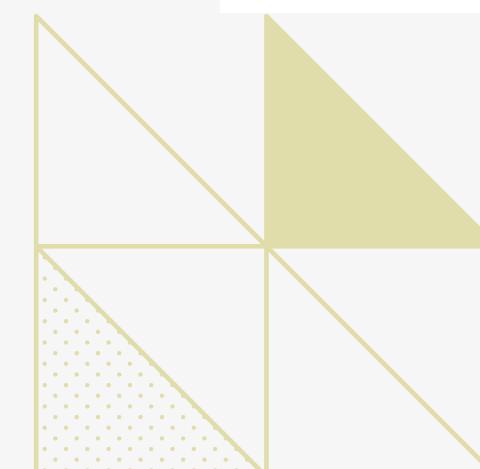
SVP (Shortest vector problem)

Determining the shortest non-zero vector of a lattice in $L = L(B)$ is a subset of \mathbb{Z}^n . shortest = has the smallest Euclidean norm

- $(3,4) = \sqrt{3^2 + 4^2}$
- SVP is NP-hard
- NP-hard means that Solving requires exponential time.
- Checking a solution to an NP-hard problem may or may not be polynomial time.
- **Ajtai, Miklós. "The shortest vector problem in L_2 is NP-hard for randomized reductions.**
- L_2 means l_2 -norm or euclidean norm ($\| \cdot \|$).
- randomized reductions is using random input from a hard problem to reduce another problem.



$$\|x\| = \sqrt{x_1^2 + x_2^2}$$



LWE is related to lattice

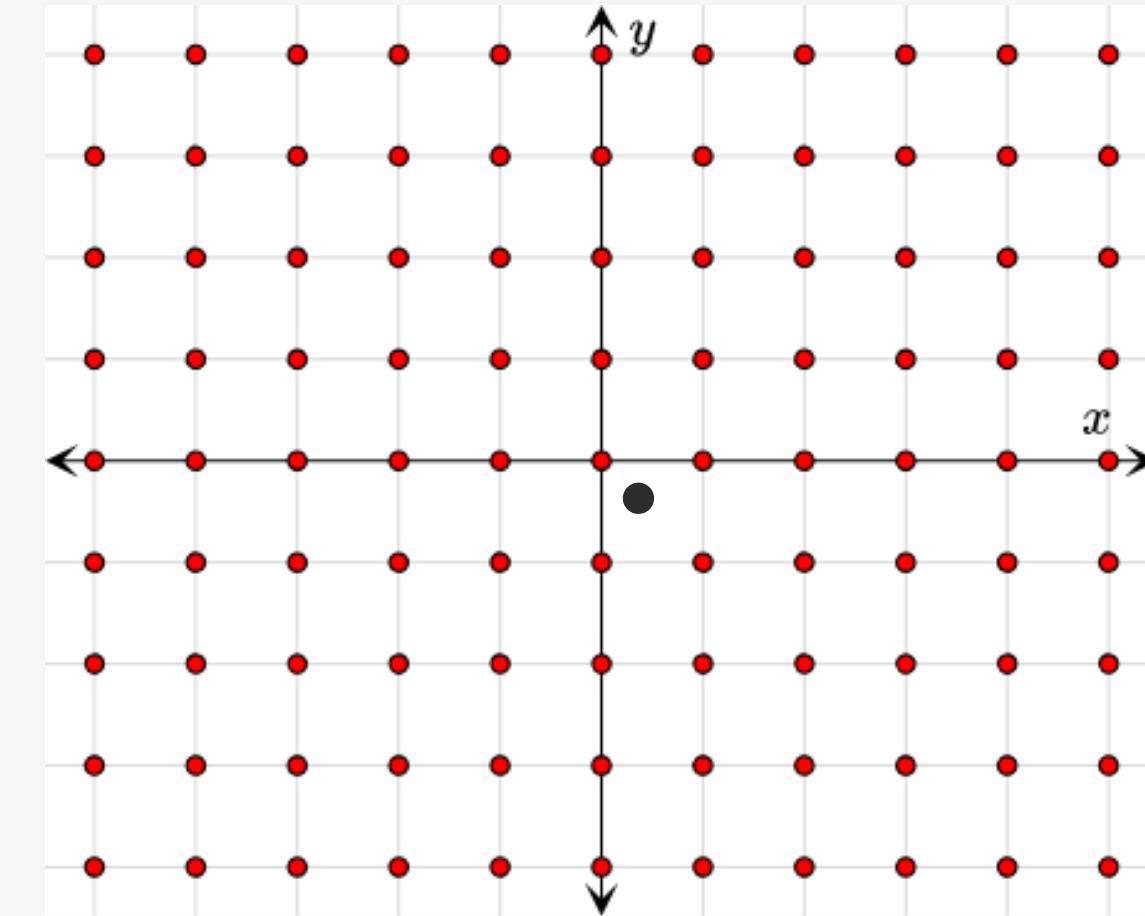
- because LWE is also considered a lattice problem because it maps a problem called Bounded distance decoding (BDD)

LWE(m, n, q, B). Let $s \in_R \mathbb{Z}_q^n$ and $e \in_R [-B, B]^m$. Given $A \in_R \mathbb{Z}_q^{m \times n}$ and $b = As + e \pmod{q}$, find s .

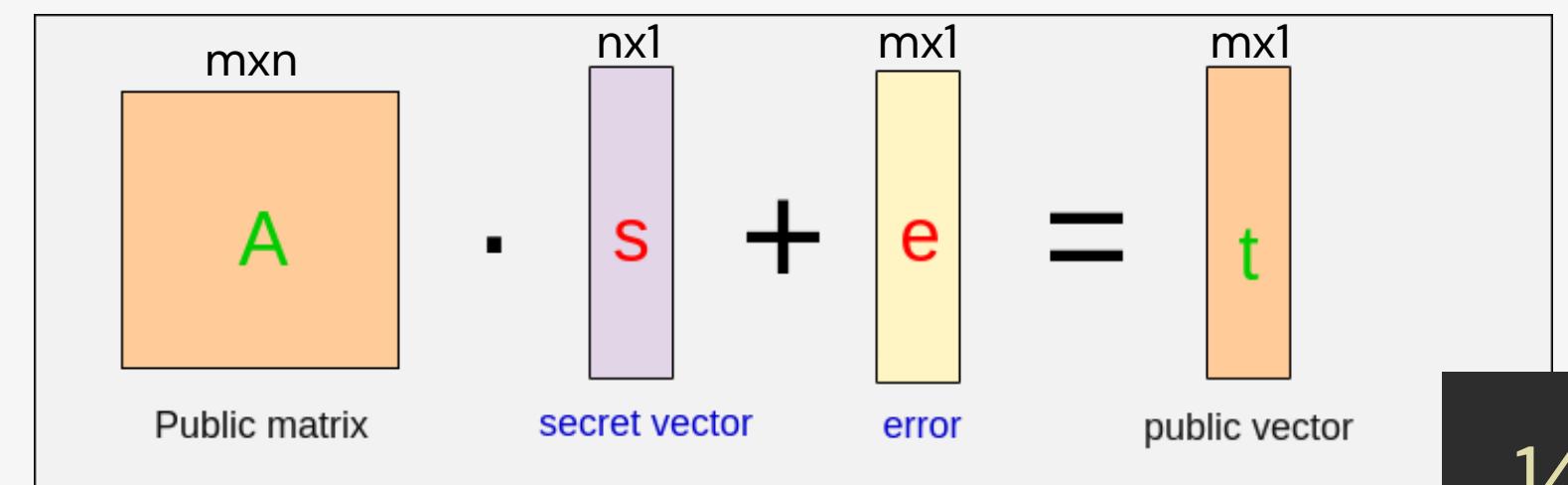
LWE lattice:

$$L_A = \{y \in \mathbb{Z}^m : As = y \pmod{q} \text{ for some } s \in \mathbb{Z}^n\} \subseteq \mathbb{R}^m.$$

- LWE lattice is all possible points derived from $As \pmod{q}$ for some s .
- s is not in modulo q anymore because we want to measure Euclidean distance to find the distance of noise or the upperbound $\alpha (\sqrt{m} * B)$



Note that for an LWE instance (A, b, s, e) , we have $y = As \pmod{q} \in L_A$, and $\|b - y\|_2 = \|e\|_2 \leq \sqrt{m} B$.



BDD

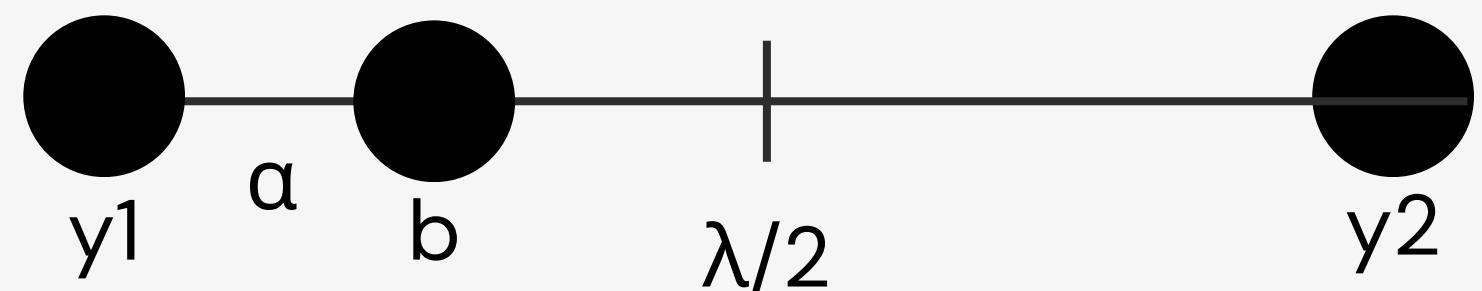
Bounded Distance Decoding (BDD_α):

Given a lattice $L = L(D) \subseteq \mathbb{R}^m$ and $b \in \mathbb{R}^m$ with the guarantee that there is a unique $y \in L$ within distance α of b , find y .

- \mathbb{R}^m is m -dimensional space, m is the amount of elements in public vectors that are the result of $As+e \pmod q = b$.
- Find the unique lattice point y within radius α of b

$$\begin{array}{ccccc} \text{mxn} & & \text{nx1} & & \text{mx1} \\ \boxed{A} & \cdot & \boxed{s} & + & \boxed{e} \\ \text{Public matrix} & & \text{secret vector} & & \text{error} \\ & & & & \\ & & & & \text{mx1} \\ & & & & \boxed{t} \\ & & & & \text{public vector} \end{array}$$

- We can guarantee that there is only 1 y because we assume $\alpha < \lambda/2$
- λ is the shortest distance between distinct lattice points



- Since there is only 1 lattice point with α and other points are further away, meaning that is only one y that is closest to b .
- If you can solve BDD on the right kind of lattice, you can solve LWE.

BDD to SVP

Summary: We can solve the BBD_α instance by solving SVP for $L(D')$

where $D' = \begin{bmatrix} D & -b \\ 0 & \alpha \end{bmatrix}$.

- This method is called “primal attack using Kannan embedding”
- Embed the BDD problem into a higher-dimensional lattice such that solving SVP in that larger lattice gives you the solution to the original BDD
- the security of LWE (and cryptographic schemes based on it) is tied to the hardness of solving SVP
- This is why LWE is considered a “hard problem” and a foundation for post-quantum cryptography.

How is LWE related to kyber?

- Kyber is based on Module-LWE
- Module-LWE is a variant of LWE but instead of using matrices with integer entires, it use matrices with Polynomial ring
- Polynomial ring : $R_q = \mathbb{Z}_q[x]/(x^n + 1)$
- $\mathbb{Z}_q[x]$ is a set of all polynomial in x with coefficient integer modulo q
- Degree = $n-1$ bound by $(x^n + 1)$
- making the scheme faster because ring elements can be computed faster using Number Theoretic Transform (NTT), mathematical tool using Fast-Fourier transform-style algorithm.

e.g. $q = 17, n = 4$

$$f(x) = 2 + 16x + 3x^2 + 5x^3$$

$$g(x) = 9 + x + 14x^3$$

$$f(x)g(x) = 18 + 146x + 43x^2 + 76x^3 + 229x^4 + 42x^5 + 70x^6$$

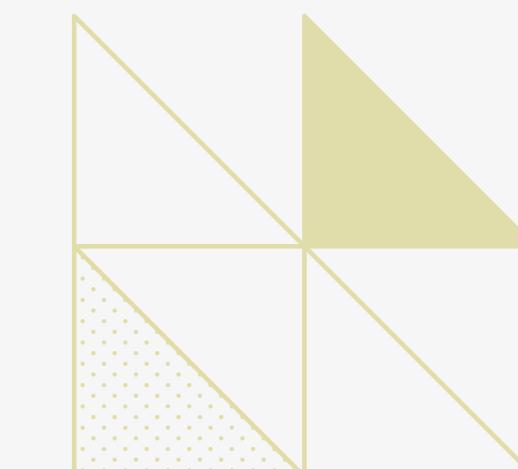
coef. mod q

$$= 1 + 10x + 9x^2 + 8x^3 + 8x^4 + 8x^5 + 2x^6$$

modular reduction $(x^n + 1)$

$$= 10 + 2x + 7x^2 + 8x^3$$

9



Module learning with error (MLWE)

MLWE(n, k, ℓ, q, B):

Let $s \in_R R_q^\ell$ and $e \in_R S_B^k$ where $k > \ell$ and $B \ll q/2$.

Let $a_1, a_2, \dots, a_k \in_R R_q^\ell$ and $b_i = a_i^T s + e_i \in R_q$ for $i = 1, \dots, k$.

Given the a_i and b_i , determine s .

$$\begin{bmatrix} R_q \\ R_q \\ R_q \end{bmatrix}_{l \times 1}$$

- Rq^ℓ is polynomials ring in matrix $l \times 1$ (s and a)
- SB^k is small polynomials coefficient in $[-B, B]$ in matrix $k \times 1$ (e)
- $k > l$ because we want to provide more noise, making it statistically hard to recover s .

equation:

$$\begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1\ell} \\ a_{21} & a_{22} & \cdots & a_{2\ell} \\ \vdots & \vdots & & \vdots \\ a_{k1} & a_{k2} & \cdots & a_{k\ell} \end{bmatrix}_{k \times \ell} \begin{bmatrix} s_1 \\ s_2 \\ \vdots \\ s_\ell \end{bmatrix}_{\ell \times 1} + \begin{bmatrix} e_1 \\ e_2 \\ \vdots \\ e_k \end{bmatrix}_{k \times 1} = \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_k \end{bmatrix}_{k \times 1}.$$

Kyber PKE - key generation

Key generation: Alice does:

1. Select $s \in_R S_{\eta_1}^k$.
2. Select $A \in_R R_q^{k \times k}$ and $e \in_R S_{\eta_2}^k$.
3. Compute $b = As + e$.
4. Alice's **public key** is (A, b) ; her **private key** is s .

- ◆ $q = 3329, n = 256$.
- ◆ $R_q = \mathbb{Z}_{3329}[x]/(x^{256} + 1)$.
- ◆ $k \in \{2,3,4\}$.
- ◆ $(\eta_1, \eta_2) \in \{(3,2), (2,2), (2,2)\}$.

- Computing s is an instance of single secret MLWE because s dimension is k the same as the number of noise
- note that coefficient of polynomials in s and e must be $[-\eta_1, \eta_1]$ and $[-\eta_2, \eta_2]$

$$s = \begin{bmatrix} R_q \\ R_q \\ R_q \end{bmatrix}_{k \times 1}$$

$$b = As + e = \left(\begin{bmatrix} R_q & R_q & R_q \\ R_q & R_q & R_q \\ R_q & R_q & R_q \end{bmatrix}_{k \times k} * \begin{bmatrix} R_q \\ R_q \\ R_q \end{bmatrix}_{k \times 1} \right) + \begin{bmatrix} R_q \\ R_q \\ R_q \end{bmatrix}_{k \times 1}$$

Kyber PKE - encryption

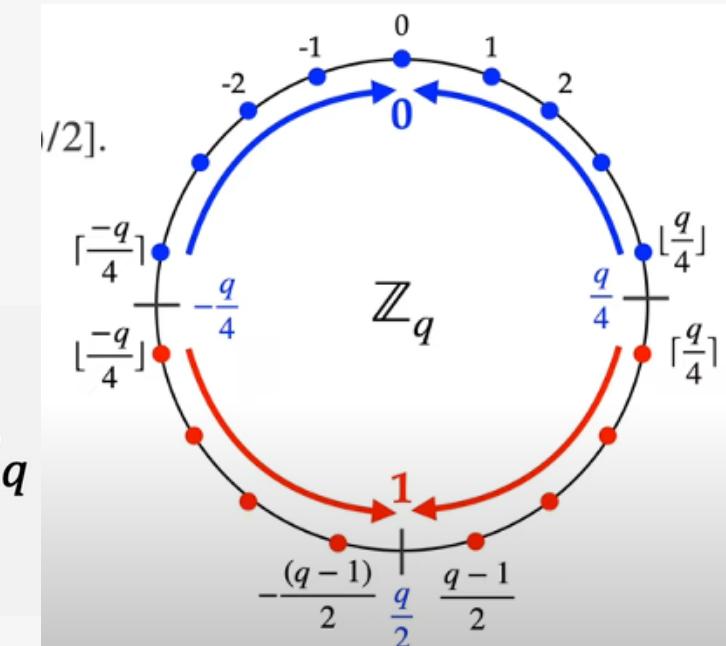
Encryption: To encrypt a message $m \in \{0,1\}^{256}$ for Alice, Bob does:

1. Obtain an authentic copy of Alice's encryption key (A, b) .
2. Select $r, z \in_R S_{\eta_1}^k$ and $z' \in_R S_{\eta_2}$.
3. Compute $c_1 = A^T r + z$ and $c_2 = b^T r + z' + \lceil q/2 \rceil m$.
4. Output $c = (c_1, c_2)$.

Note: $c \in R_q^k \times R_q$.

closest integer to $q/2$
but ties broken upward

$$r = \begin{bmatrix} R_q \\ R_q \\ R_q \end{bmatrix}_k \quad z = \begin{bmatrix} R_q \\ R_q \\ R_q \end{bmatrix}_k \quad z' = R_q$$



$$c_1 = A^T r + e_1 = \begin{bmatrix} R_q & R_q & R_q \\ R_q & R_q & R_q \\ R_q & R_q & R_q \end{bmatrix}_{k \times k} \times \begin{bmatrix} R_q \\ R_q \\ R_q \end{bmatrix}_{k \times 1} + \begin{bmatrix} R_q \\ R_q \\ R_q \end{bmatrix}_{k \times 1}$$

A^T r z

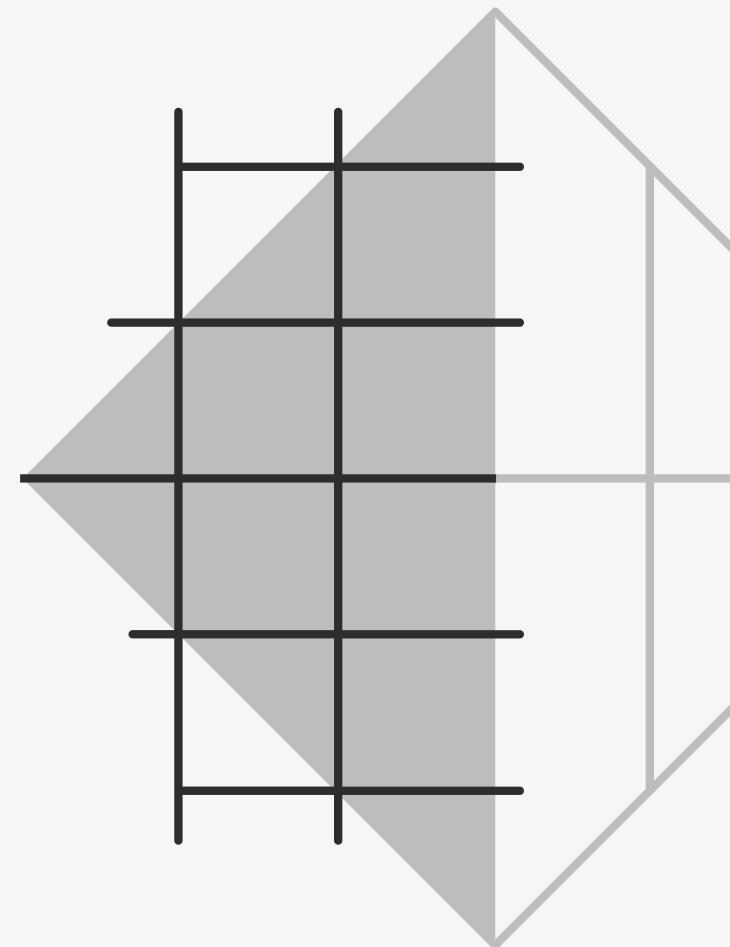
$$c_2 = [R_q \quad R_q \quad R_q]_{1 \times k} \times \begin{bmatrix} R_q \\ R_q \\ R_q \end{bmatrix}_{k \times 1} + R_q + R_q$$

t^T r z'

Future work

Literature review: implementation of Kyber KEM

- Verilog
- Start looking at other implementation's code



Reference

- <https://cryptography101.ca/lattice-based-cryptography/>
- <https://people.csail.mit.edu/vinodv/CS294/lecture2.pdf>
- <https://eccc.weizmann.ac.il/eccc-reports/1997/TR97-047/index.html>