

# Advanced Counting Techniques

## Applications of Recurrence Relations

we will show that recurrence relations can be used to study and to solve counting problems.

A **recursive definition/recurrence relation** of a sequence specifies one or more initial terms and a rule for determining subsequent terms from those that precede them.

A sequence is called a solution of a recurrence relation if its terms satisfy the recurrence relation.

# Advanced Counting Techniques - Applications of Recurrence Relations

## Problem 1












Suppose that the number of bacteria in a colony doubles every hour. If a colony begins with five bacteria, how many will be present in  $n$  hours?

- Let  $a_n$  be the number of bacteria at the end of  $n$  hours. Because the number of bacteria doubles every hour
- The relationship  $a_n = 2a_{n-1}$  holds whenever  $n$  is a positive integer.
- This recurrence relation, together with the initial condition  $a_0 = 5$ , uniquely determines  $a_n$  for all nonnegative integers  $n$ .
- Find a formula for sequence  $a_n$  using the iterative approach  $a_n = 5 \cdot 2^n$  for all nonnegative integers  $n$ .

## Modeling with Recurrence Relations

**Show how the population of rabbits on an island can be modeled using a recurrence relation**

**Rabbits and the Fibonacci Numbers** Consider this problem, which was originally posed by Leonardo Pisano, also known as Fibonacci, in the thirteenth century in his book *Liber abaci*. A young pair of rabbits (one of each sex) is placed on an island. A pair of rabbits does not breed until they are 2 months old. After they are 2 months old, each pair of rabbits produces another pair each month, as shown in Figure 1. Find a recurrence relation for the number of pairs of rabbits on the island after  $n$  months, assuming that no rabbits ever die.

Reproducing pairs (at least two months old)	Young pairs (less than two months old)	Month	Reproducing pairs	Young pairs	Total pairs
		1	0	1	1
		2	0	1	1
		3	1	1	2
		4	1	2	3
		5	2	3	5
	 	6	3	5	8

**Solution:** Denote by  $f_n$  the number of pairs of rabbits after  $n$  months. We will show that  $f_n$  ( $n = 1, 2, 3, \dots$ ), are the terms of the Fibonacci sequence.

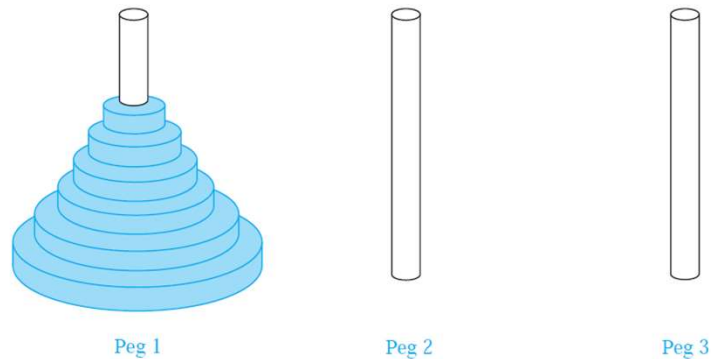
- The rabbit population can be modeled using a recurrence relation
- At the end of the first month, the number of pairs of rabbits on the island is  $f_1 = 1$ .
- Since this pair does not breed during the second month,  $f_2 = 1$  also.
- To find the number of pairs after  $n$  months, add the number on the island the previous month,  $f_{n-1}$ , and the number of newborn pairs, which equals  $f_{n-2}$
- The sequence  $\{f_n\}$  satisfies the recurrence relation

Each newborn pair comes from a pair at least 2 months old.

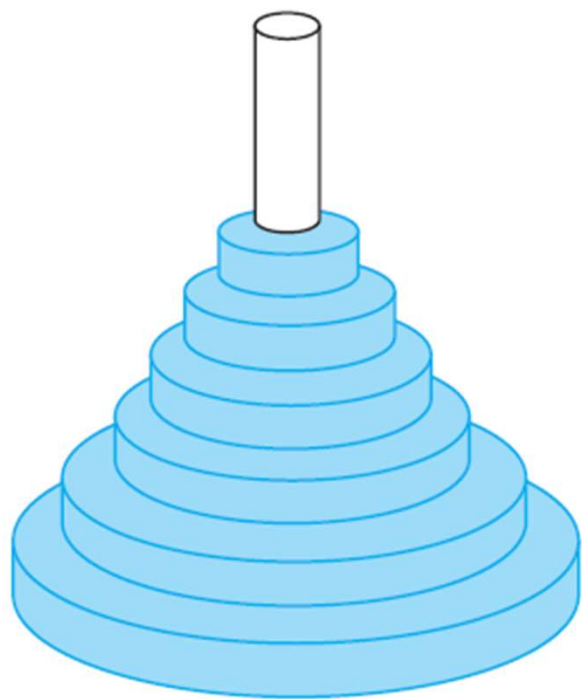
$$f_n = f_{n-1} + f_{n-2}$$

for  $n \geq 3$  together with the initial conditions  $f_1 = 1$  and  $f_2 = 1$ .

- The **Tower of Hanoi** : A popular puzzle of the late nineteenth century invented by the French mathematician Édouard Lucas, called the Tower of Hanoi.
- Consists of three pegs mounted on a board together with disks of different sizes. Initially these disks are placed on the first peg in order of size, with the largest on the bottom.



- The rules of the puzzle allow disks to be moved one at a time from one peg to another as long as a disk is never placed on top of a smaller disk.
- The goal of the puzzle is to have all the disks on the second peg in order of size, with the largest on the bottom.



Peg 1

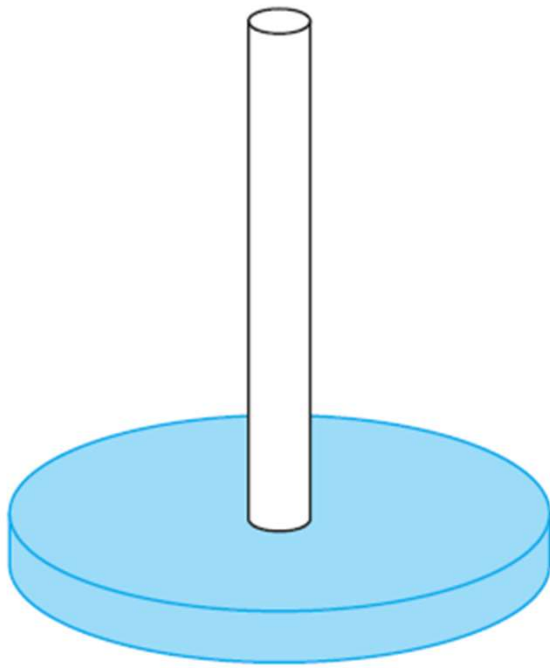


Peg 2



Peg 3

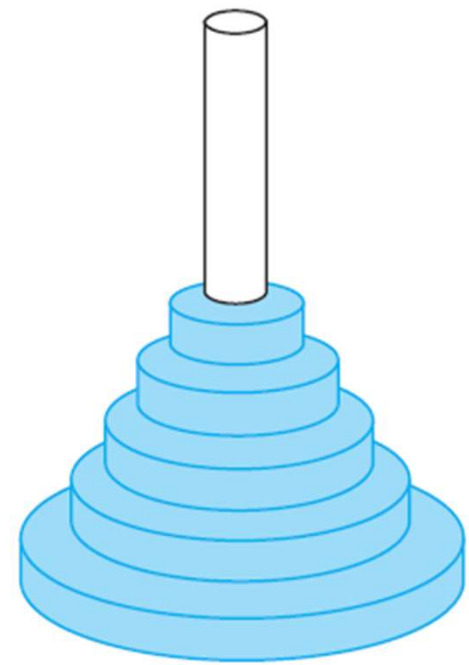
**The Initial Position in the Tower of Hanoi.**



Peg 1



Peg 2



Peg 3

**An Intermediate Position in the Tower of Hanoi.**



- ✓ Begin with  $n$  disks on peg 1.
- ✓ We can transfer the top  $n - 1$  disks, following the rules of the puzzle, to peg 3 using  $H_{n-1}$  moves.
- ✓ We keep the largest disk fixed during these moves.
- ✓ Then, we use one move to transfer the largest disk to the second peg. We can transfer the  $n - 1$  disks on peg 3 to peg 2 using  $H_{n-1}$  additional moves.
- ✓ This shows that  $H_n = 2H_{n-1} + 1$ , The initial condition is  $H_1 = 1$ .

### Example Problem:

Find a recurrence relation and give initial conditions for the number of bit strings of length  $n$  that do not have two consecutive 0s. How many such bit strings are there of length five?

Let  $a_n$  denote the number of bit strings of length  $n$  that do not have two consecutive 0s.

To obtain a recurrence relation for  $\{a_n\}$ , note that by the sum rule, the number of bit strings of length  $n$  that do not have two consecutive 0s equals the number of such bit strings ending with a 0 plus the number of such bit strings ending with a 1.

The bit strings of length  $n$  ending with 1 that do not have two consecutive 0s are precisely the bit strings of length  $n - 1$  with no two consecutive 0s with a 1 added at the end. Consequently, there are  $a_{n-1}$  such bit strings.

Bit strings of length  $n$  ending with a 0 that do not have two consecutive 0s must have 1 as their  $(n - 1)$ st bit; otherwise they would end with a pair of 0s.

It follows that the bit strings of length  $n$  ending with a **0** that have no two consecutive 0s are precisely the bit strings of length  **$n - 2$**  with no two consecutive 0s with 10 added at the end.

Hence,  **$a_n = a_{n-1} + a_{n-2}$**  for  **$n \geq 3$** .

$a_1 = 2$ , because both bit strings of length one, 0 and 1 do not have consecutive 0s, and  $a_2 = 3$

**Codeword Enumeration** A computer system considers a string of decimal digits a valid codeword if it contains an even number of 0 digits. For instance, 1230407869 is valid, whereas 120987045608 is not valid. Let  $a_n$  be the number of valid  $n$ -digit codewords. Find a recurrence relation for  $a_n$ .

Note that  $a_1 = 9$  because there are 10 one-digit strings, and only one, namely, the string 0, is not valid.

A valid string with  $n$  digits can be formed in this manner in  $9a_{n-1}$  ways.

**First**, a valid string of  $n$  digits can be obtained by appending a valid string of  $n - 1$  digits with a digit other than 0.

**Second**, a valid string of  $n$  digits can be obtained by appending a 0 to a string of length  $n - 1$  that is not valid.

$$\begin{aligned} a_n &= 9a_{n-1} + (10^{n-1} - a_{n-1}) \\ &= 8a_{n-1} + 10^{n-1} \end{aligned}$$

# Solving Linear Recurrence Relations

A wide variety of recurrence relations occur in models.

A *linear homogeneous recurrence relation of degree  $k$  with constant coefficients* is a recurrence relation of the form

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \cdots + c_k a_{n-k},$$

where  $c_1, c_2, \dots, c_k$  are real numbers, and  $c_k \neq 0$ .

## Examples

$$P_n = (1.11)P_{n-1}$$

$$f_n = f_{n-1} + f_{n-2}$$

$$a_n = a_{n-5}$$

## Non-Examples

$$a_n = a_{n-1} + a_{n-2}^2 \text{ is not linear.}$$

$$2H_{n-1} + 1 \text{ is not homogeneous}$$

$$B_n = nB_{n-1} \text{ does not have constant}$$