



1. Background

The Scheme for Integrated Cold Chain, Value Addition and Preservation Infrastructure (in short, "the Scheme") was launched during 2008 and the original guidelines were notified on 18.03.2010. Based on feedback and consultations with stakeholders, the scheme guidelines were modified on 20.11.2013, 08.08.2014, 29.08.2016, 08.03.2018, 18.01.2019, 17.12.2019 and 08.06.2022 for the purpose of smooth implementation and to achieve the objectives of the scheme. Based on further feedback and experience of implementation of the scheme, the revised guidelines are being issued herewith. The revised guidelines will be applicable to fresh applications received against Expression of Interest (EOI) to be issued by the Ministry for taking up new proposals under the Scheme.

2. Objective

The objective of the Scheme is to provide integrated cold chain, preservation and value addition infrastructure facilities without any break, from the farm gate to the consumer in order to reduce post-harvest losses of non-horticulture produce, dairy, meat, poultry, and marine/ fish (except shrimp). This will enable linking producers to food processors and market through a well-equipped supply chain and cold chain, thereby ensuring remunerative prices to farmers and year-round availability of food products to consumers.

3. Eligible Entities

Integrated cold chain and value addition infrastructure projects can be set up by any individual / Central & State PSU / Joint Venture / NGO / Cooperative / Self Help Group (SHG) / Farmer Producer Organizations (FPO) / Farmer Producer Company (FPC) / Public & Private Sector Companies / Limited Liability Partnership (LLP) / Partnership Firm / Proprietorship Firm with business interest in cold chain solutions and also by those who manage supply chain.

4. Components of the scheme

- 4.1 The scheme will have the following Project Components:
 - a. Farm Level Infrastructure (FLI), which may include processing centre, situated in the catchment area of the targeted produce. Location of FLI must mandatorily be indicated in the DPR as well as bank appraisal note.
 - b. Processing Centre (mandatory component) indicative list in para- 5.1 below.
 - c. **Distribution hub** This shall have a modern multi-product, multi-temperature cold storage. It may also include one or more of the facilities mentioned in paragraph 5 below depending upon the business plan of the project.
 - d. Refrigerated vans/ refrigerated trucks/ insulated vans/ mobile insulated tankers.



- 4.2 To avail financial assistance under the Scheme, FLI as mentioned at sub- paragraph 4.1(a) & 4.1(b) along with at least one component out of sub-paragraph 4.1(c) and sub-paragraph 4.1(d) needs to be set up.
- 4.3 Standalone facilities/ components will not be considered for assistance under this scheme.

5. Eligible Facilities for calculation of grant-in-aid

- 5.1 Assistance under the Scheme can be availed for creation of the following facilities:
 - a. Mechanized sorting & grading line/ packing line/ staging cold rooms.
 - b. Cold Storage Unit(s) /Controlled Atmosphere (CA) /Modified Atmosphere (MA) Storage unit(s) [Associated with value addition].
 - c. Frozen Storage/ Deep freezers [Associated with value addition].
 - d. IQF line, Tunnel/ Spiral/ Blast/ Plate Freezer
 - e. Milk Chilling/ Bulk Milk Cooling/ Automatic Milk Collection Unit/ Milk Processing Unit (including packing) for which temperature control is necessary during some part of the processing.

Note: Maximum 10 Milk Chilling or Bulk Milk Cooling or Automatic Milk Collection Unit can be assisted per project

- f. Poultry/ Meat/ Marine/ Fishery Processing Unit
- g. Packaging line for chilled/ frozen/ temperature-controlled products
- h. Refrigerated/insulated transport.
- i. Pre-Cooling Unit(s)/ Mobile pre-coolers.
- j. Vacuum Freeze Drying
- k. Retail refrigerated carts, temperature controlled solar powered retail carts

Note: maximum 5 such carts can be considered per project.

Reefer Boats

Note: maximum 5 such boats can be considered per project.

- m. Refrigerated Containers including multi-modal container units.
- n. Renewable/ alternate energy technologies (solar, biomass, wind etc.) for the project.

Note: maximum permissible cost is Rs.35 lakh per project.

- o. Accessories/ support infrastructure/ utilities such as fixed racking system in Cold/CA storage, forklifts, reach trucks, insulated fish boxes, dock levelers, mezzanine flooring, ETP, boiler, GIP unit, Slicer/ Dicer, sorting/ grading line, etc.
- p. In-house product testing laboratory
- q. Any other modern technology for temperature-controlled storage, processing, value addition and preservation infrastructure
- r. The integration of Artificial Intelligence (AI), Internet of Things (IoT), and Supervisory Control and Data Acquisition (SCADA) in the project for enhancing automation, efficiency, and quality control.
- s. Toilets, septic tank, drainage and such other facilities.



6. Ineligible items for calculation of grant-in-aid

- a. Compound wall
- b. Approach road/internal roads
- c. Cost of land and site development
- d. Administrative office building
- e. Canteen
- f. Labour rest room and quarters for workers
- g. Security/ guard room or enclosure
- h. Non-technical civil works not related to the components of the scheme
- i. Margin money, working capital and contingencies.
- j. Fuel, consumables, spares and stores
- k. Transport vehicles other than controlled temperature vehicles
- I. Pre-operative expenses
- m. Service charges, carriage & freight charges or other such charges/ fees
- n. Expenditure on painting of machinery
- o. AC ducting, furniture, computers and allied office items
- p. Closed Circuit TV Camera and security system related equipment
- q. Consultancy fee, taxes, etc. on plant and machinery
- r. Stationery items
- s. Plant & machinery not directly related to components of the scheme
- t. Fly catchers, hand washer, laundry
- Reconditioned/ refurbished/ second hand/ old plant & machinery
- v. Consumables (such as Plastic crates, bins, Pallets, etc.)
- w. Food Irradiation chamber.

Note: The list is only indicative and the Project Approval Committee constituted by the Ministry will be the final authority to decide on ineligibility or otherwise of items for purpose of grants-in-aid/Subsidy.

7. Eligibility criteria

a. The combined net worth of the applicant should be at least 1.5 times of the grants-in-aid/*Subsidy* sought for from the Ministry in respect of General Areas and at least equal to the grants-in-aid/*Subsidy* sought for in respect of Difficult Areas or proposals from SC/ST or Farmer Producer Organisations or Self-Help Groups.

Provided further that in case of proposal from SC/ST category, the sum of net worth of SC/ST member(s) shall not be less than 10% of the combined net worth of the entity.

Provided also that in case of proposal from Central Government (including entities/ organizations under the Central Government) or State Government (including entities/ organizations under the State Government), no net worth criteria will be applicable. **Note:** The net worth of applicant will be calculated as per **Appendix-1**.

b. Final term loan sanction letter (for a fresh proposal) from a Bank.

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Provided that in case of the proposal from Central Government (including entities/ organizations under the Central Government) or State Government (including entities/ organizations under the State Government), term loan from the bank is not mandatory.

Note:

- (i) The date of sanction letter of term loan should not be earlier than the date of issue of Expression of Interest inviting applications under scheme.
- (ii) Bank for the purpose of availing term loan means a Scheduled Commercial Bank or a Financial Institution recognized by Reserve Bank of India, or a non-banking financing company approved by the Reserve Bank of India.
- c. Term loan from the Bank for an amount not less than 20% of the total project cost in respect of proposals from General Areas. In case of proposals from Difficult Areas or proposals from SC/ST or Farmer Producer Organisations or Self-Help Groups, term loan amount shall not be less than 10% of the total project cost.

Note: Difficult Area for proposals under this Scheme means North-Eastern States (including Sikkim), State of Uttarakhand, State of Himachal/ Pradesh, Union Territories of Jammu & Kashmir and Ladakh, State Notified /TOP (Integrated Tribal Development Projects) areas and Islands (Union Territories of Andaman & Nicobar and Lakshadweep).

- d. A detailed Appraisal Note from a *Scheduled Commercial* Bank// *NABARD*/ *SIDBI*/ *NEDFi* specific to the project proposal.
 - Note 1: In case the proposal from Central Government (including entities/ organizations under the Central Government) or State Government (including entities/ organizations under the State Government), term loan from the bank is not mandatory, however, detailed appraisal note from a scheduled commercial bank/ SIDBI/ NABARD/NEDFi is required.
 - Note 2: Detailed Project Report/ Techno-Economic Viability Report merely stamped or endorsed by the Scheduled Commercial Bank /NABARD/ SIDBI/ NEDFi without detailed Appraisal Note shall not be considered as valid for the purpose of these Guidelines.
- e. Infusion of equity of at least 20% of the total project cost for proposals from General Areas and 10% of the total project cost for proposals from Difficult Areas or SC/ST or Farmer Producer Organization or Self-Help Groups.
 - Note: The value of land in registered sale/ lease deed shall not be considered as part of the total project cost. Unsecured loan will not be treated as a part of equity.
- f. Only one application will be accepted from an entity against an Expression of Interest under this scheme.
- g. Expansion/upgradation of existing facility(ies) is not eligible under this Scheme.
- h. No second proposal from an entity or promoter(s) of an entity, who have availed grants-in-aid/Subsidy earlier under this Scheme will be entertained.
- i. Entities or promoter(s) of entities who have availed financial assistance under any other sub-scheme of PMKSY will be eligible for grants-in-aid/Subsidy only after two years from the date of commercial operation of the previous project up till the date of closure of the EOI.

Provided that an entity or partner(s) of an entity shall not be eligible for financial assistance for more than two projects during a period of 10 years under any sub-components of PMKSY scheme of MoFPI.

8. Fee and Performance Security

- a. The entities applying for financial assistance are required to submit a non-refundable fee of 20,000/- (Rupees twenty thousand only) through Demand Draft in favour of "Pay and Accounts Officer, Ministry of Food Processing Industries, New Delhi". Provided that the non-refundable fee shall be Rs.15,000/- (Rupees fifteen thousand only) for applications from SC/ST.
- b. On being selected for financial assistance by the Ministry, the successful applicant shall submit, a refundable bank guarantee as Performance Security for 5% of eligible grants-in-aid/Subsidy valid up to 32 months from the date of approval or valid up to the date of acceptance of the evaluation report of the project by the Ministry (submitted by PMA), whichever is later, within 30 days of issue of approval letter by the Ministry.

Provided that in the event of falsification of information/non-compliance of Guidelines and/or terms and conditions of the approval letter by the PIA and in case the project is cancelled or withdrawn or the project is not completed by PIA, the Ministry shall forfeit/ encash such Bank Guarantee.

9. Application Filling and documents required

a. All applications shall be submitted online on the SAMPADA Portal of the Ministry. No physical application will be accepted, and such application shall be summarily ignored. Applicants are required to submit following documents:

Note:

- I. All pages of all documents submitted online must be self-attested by the applicant or authorised signatory (supporting documents for such authorization to be furnished) of the entity.
- II. The Ministry will not be responsible for delay in submission of any proposal within the prescribed time limit indicated in EOI, and such proposals (not received in time) shall not be considered. Incomplete information or deficient documents submitted/ uploaded by the applicant may lead to rejection of proposals.
- III. Mere submission of application (with required documents) against EOI does not confer any right on the applicant for claim of grants-in-aid/Subsidy under the Scheme. Grants-in-aid/Subsidy will be sanctioned based on merit, compliance of scheme guidelines and evaluation of the proposal as per the assessment criteria.
- IV. When original documents are in regional language, they should be accompanied by self-certified English/Hindi translation
- b. Detailed Project Report (DPR) (proforma at **Appendix-2**) indicating financial and technical profile of the entity, domain expertise available with the entity, raw material

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availability in the area where project is proposed to be set up, arrangements for procurement of raw material, marketing strategy of proposed products, process flow diagram of proposed products with production capacity per day, technology proposed for various activities in process line, financial estimates with key financial parameters, profit and loss statement, estimated employment generation from the project (direct as well as indirect), number of farmers estimated to be benefited etc.

- c. CA/ Statutory Auditor certificate (prepared in accordance with statutory provisions read with conditions indicated in **Appendix-1**) in support of net worth along with other documentary proofs as applicable or prescribed.
- d. CA/Statutory Auditor Certificate as per **Appendix-3**, Charter Engineer (Civil) Certificate as per **Appendix-4** and Charter Engineer (Mechanical) Certificate as per **Appendix-5**
- e. Final term loan sanction letter from a Bank as defined in para 7 of the guidelines.
- f. A detailed Appraisal Note, specific to the project proposal, from a Scheduled Commercial Bank// NABARD/ SIDBI/ NEDFi.
- g. Certificate of incorporation/ registration, Memorandum and Article of Association, bye laws, PAN, TAN, SC/ST certificate (whichever applicable).
- h. Biodata/ background/ experience of the entity relevant to the scheme.
- i. Annual reports and Audited Financial Statement of Accounts for last two years (if applicable).
- j. Details of land (on ownership basis or leasehold) along with relevant documents. In case of lease, the registered lease deed shall be for a period of a minimum15 years in the name of the applicant/ applicant firm from the date of submission of application for the relevant Eol. In case land for the project has been taken on lease from State Government/ its entities, the policy of respective State Government in this regard will be followed.

Note: Self-attested English/ Hindi version needs to be submitted in case such document is in regional language

- k. Proof of submission of requisite fee.
- I. Quotations from Original Equipment Manufacturer (or its authorised dealer/supplier) in respect of Plant & Machinery and equipment for the proposed project.
- m. Correspondences (emails/ letters) for possible forward or backward linkages.

Note: All pages of the proposal are to be properly numbered and the proposal should contain an Index as first page of the proposal indicating the various documents submitted along with page number, failing which the proposal is likely to be rejected.

10. Selection of Proposals for Financial Assistance

- a. Proposals will be invited by the Ministry through Expression of Interest (EOI). Applications can be filed only online on the Ministry's SAMPADA Portal (https://www.sampada-mofpi.gov.in) when the EOI is open.
- b. The Ministry will constitute a Technical Committee (TC) and a Project Approval Committee (PAC) for scrutiny/ consideration/ approval of proposals received against EOI. Technical Committee and Project Approval Committee may be assisted by Project Management Agency(ies) (PMA) to be appointed by the Ministry.

- c. The Technical Committee so constituted shall also function as Technical Committee (or by whatever name it was called) for the purpose of previous guidelines. The Project Approval Committee so constituted shall also function as Inter-Ministerial Approval Committee (or by whatever name it was called) for the purpose of previous guidelines.
- d. The Technical Committee will scrutinize applications with respect to prima facie eligibility based on the eligibility criteria for the schemes. The proposals found prima facie eligible will be evaluated inter-alia on the basis of technical parameters as well as assessment criteria as per Scheme Guidelines (given at **Appendix-6**).
- e. A proposal will have to obtain a minimum of **60%** marks as per Technical Committee (TC) evaluation in order to become considered /shortlisted for making presentation

Provided that the minimum marks will be **45%** for an applicant belonging to SC/ST.

f. The Ministry shall inform the applicants whose proposals are rejected (either based on eligibility criteria or technical parameters or assessment criteria) along with the reasons for such rejection by the Technical Committee after completion of the selection process.

Provided that before conveying rejection of a proposal, the approval of the Secretary of the Ministry shall be sought.

- g. An applicant aggrieved with rejection of its proposal may prefer an appeal before the Project Approval Committee within a period of 30 days from the date of communication by the Ministry detailing the grounds of such appeal.
- h. In respect of proposals that are found eligible for consideration of grants-in- aid, recommendation(s) of the Technical Committee will be placed before Project Approval Committee for consideration and approval or otherwise.
- i. Presence of applicant or its authorized representative (in-person or virtually) is expected (to explain its proposal, if required) during meetings of the Technical Committee and Project Approval Committee.
- j. The applicant/ authorized representative, when asked by Project Approval Committee (PAC), shall make a presentation regarding its proposal.
- k. The merit/ select list will be prepared based on the combined score.
- I. In case of eligible proposals having equal marks, preference will be given to the proposal envisaging higher eligible project cost.



- m. The decision of the Ministry shall be final regarding eligibility or otherwise of the proposals, selection of proposals for grants-in-aid/Subsidy and quantum of grants-in-aid/Subsidy approved.
- n. The Ministry will issue approval letter to the successful applicants giving, inter alia, details of project, total project cost, eligible project cost, approved grants- in-aid/ Subsidy, completion schedule of the approved project and other relevant details.
- o. The Ministry shall inform the applicants whose proposals are not approved by PAC along with the reasons.
- p. Once approval letter is issued by the Ministry, the applicant has to provide Acceptance Letter as per prescribed format (Annexure-1) and notatized Affidavit on Rs.100 non-judicial stamp paper as per prescribed format (Annexure-2) along with countersigned copy of Approval Letter and Bank Guarantee (BG) as applicable with in a period of 30 days from the date of communication of approval by the Ministry.
- q. Once Acceptance Letter and Affidavit is given, the applicant will be called as Project Implementing Agency (PIA).

11. Pattern of assistance

- a. Grants-in-aid/Subsidy will be @35% of eligible project cost for projects in General Areas and @50% of eligible project cost for projects in Difficult Areas as well as for projects of SC/ST, FPOs and SHGs, subject to the maximum of Rs. 10 crore per project.
- **b.** No upward revision, for any reason whatsoever, in approved grants-in-aid/ Subsidy will be considered.
- **c.** In case of proposals requesting for downward revision of eligible project cost on account of dropping of any of the approved components, the grants-in-aid/Subsidy will be reduced in proportion to the reduction in the eligible project cost. Such cases shall be placed before the PAC for consideration.
- d. No grants-in-aid/Subsidy shall be payable on any expenditure towards eligible components of the project, of any nature whatsoever, made before the date of issuance of approval letter by the Ministry. The same shall be verifiable from bank statement/ invoices to be submitted at the time of request for release of various instalments of grants-in-aid.
- **e.** Grants-in-aid/Subsidy will be considered in respect of eligible project components only.
- f. Cost Norms issued by the Ministry or the Mission for Integrated Development of Horticulture (MIDH) under Department of Agriculture, Co-operation and Farmers



Welfare of the Ministry of Agriculture & Famers Welfare will be followed, wherever available.

- g. Proposals received from entities where Scheduled Caste (SC)/ Scheduled Tribe (ST) hold at least 51% stake, will be treated as SC/ST proposals.
- h. If there is any reduction in stake of SC/ST below 51% during implementation of the project, such projects shall be cancelled and grants-in-aid/Subsidy released, if any, shall be ordered to be recovered with 10% annual interest.
- i. Grants-in-aid/Subsidy will be released subject to availability of funds.

12. Dovetailing of Assistance

Considering the complexities and challenges associated with agricultural infrastructure projects of this nature, PIA may dovetail assistance available under various other schemes of other Central/ State Governments, which would improve the viability of the project. While dovetailing such assistance, it will be ensured that there is no duplication of assistance for the same component/ activity of the project.

13. Implementation schedule and extension in timelines:

- a. Time for completion and operationalisation of projects will be 24 months from the date of issue of approval letter for projects in General Areas and 30 months for projects in Difficult Areas.
- b. Time schedule may be extended/delay condoned by Secretary of the Ministry beyond the period as indicated at clause-13(a) on account of events of force majeure. There shall be commensurate relief towards timelines without deduction in grants-in-aid/Subsidy if such an extension is granted.
 - Note: Force majeure for the purpose of this Guidelines means act of god including drought, fire and explosion, earthquake, landslide, flood, cyclone and such other events; any unlawful, unreasonable or discriminatory action on the part of an Indian Governmental Instrumentality which is directed against the project provided that a competent court of law declares the action to be unlawful, unreasonable and discriminatory and strikes the same down; war, invasion, armed conflict or act of foreign enemy, blockade, embargo, revolution, riot, insurrection, terrorist or military action; industry wide strikes and labour disturbances, having a nationwide impact in India; a pandemic; or an event declared as an event of force majeure by Government of India for whole of India or a State Government for whole of State where project is located.
- c. Extension/condoned up to six months beyond the period as indicated in clause-13(a) on account of delay (except for reasons of force majeure) in completion of the projects may be granted by concerned Additional Secretary/ Joint Secretary of the Ministry, while extension/condoned beyond six months may be granted by the Project Approval Committee. Irrespective of any such extension in timelines for completion of the projects, deduction in grants-in-aid will be on basis of implementation schedule as per sub-clause-13(a) above and clause 18 of these guidelines.



d. Extension in timeline may be granted for maximum period of six months at a time. Any extension under clauses (c) and (d) shall be in consultation with Financial Advisor of the Ministry.

14. Change in components and cost of project

- a. The following can be approved by the concerned Additional Secretary/ Joint Secretary:
 - I. Reduction (without change in equipment, civil work) in eligible project cost.
 - II. Change in Bank
 - III. Change in shareholding pattern/ Board of Directors.
 - IV. Change in location of the facilities other than main facility/ centre.
 - V. Change in means of finance.
 - b. Change in components or cost of the project, other than that at (a) above, shall require approval of the Project Approval Committee.

15. Release of grants-in-aid/Subsidy

- a. The grants-in-aid/Subsidy will be released in three (3) equal instalments.
- b. Schedule for submission of claims for release of instalments (from the date of issue of approval letter) will be as under:

SI. No.	Particulars	General Areas	Difficult Areas	
1.,	1 st instalment	Within 8 months	Within 10 months	
2.	2 nd instalment	Within 16 months	Within 20 months	
3.	3 rd instalment	Within 24 months	Within 30 months	

- c. Claim for instalments may be clubbed subject to compliance with requirements of the highest claimed instalment.
- d. Before release of every instalment of grants-in-aid claimed by PIA, the Ministry will get the project visited and verified by PMA.
- e. Before release of 3rd & final instalment, the Ministry will get the project visited by Joint inspection team comprising MoFPI officials, PMA and Bank verifying the actual physical progress of the project at the ground including commercial production.
- f. Apart from visit by PMA, the Ministry may also depute its officials or consultants/ experts or any other person(s) to inspect the project before release of instalments.
- g. Advance up to 10% to vendors of technical civil work and plant & machinery will be allowed at the time of release of all instalments except last instalment.
- h. All the transactions out of the grants-in-aid/Subsidy shall be uploaded on EAT (Expenditure, Advance, Transfer) module of PFMS (Public Financial Management System) and the same will be monitored by the Ministry.



- i. PIA needs to open three dedicated bank accounts with respect to (i) contribution by PIA, (ii) Term Laon form the bank and (iii) grants-in-aid/Subsidy from the ministry for implementation of the project. Expenditure on the implementation of the project shall be from these accounts only.
- j. Every page of documents uploaded on the SAMPADA Portal of the Ministry for claiming release of grants-in-aid shall be signed by PIA or authorized representative of PIA.
- k. Before release of the final instalment, it has to be prominently displayed on the front of the project/ sides of the vehicles, stating that the "Project is assisted by the Ministry of Food Processing Industries, Government of India".
- I. Before release of final instalment of grants-in-aid/Subsidy, eligible grants-in-aid/Subsidy for the project will be recalculated based on the appraised/ actual cost, whichever is less, for the already approved items and grants-in-aid/Subsidy will be released accordingly.

16. Requirement of document before release of instalments

A. First instalment (one-third of grants-in-aid/Subsidy) -

- (I) Details of the three bank accounts with respect to (i) contribution by PIA, (ii) term loan from the bank and (iii) grants-in-aid/Subsidy from the Ministry.
- (II) ECS mandate form in prescribed format (Annexure-3) for the bank account related to grants-in-aid/Subsidy.
- (III) Certificate from Chartered Accountant to the effect that the expenditure on eligible components of the project has been incurred after the date of issue of approval letter by the Ministry.
- (IV) Certificate from Chartered Accountant in prescribed format (Annexure-4) confirming expenditure of one-third of the eligible project cost on the eligible project components of the project.
- (V) Certificate from Chartered Engineer (Mechanical) and counter-signed by PIA or authorized representative of PIA in prescribed format (Annexure-5) in respect of plant and machinery indicating item-wise progress on approved components, cost, quantity, manufacturers/ suppliers and comment on quality and status of implementation. All P & M has to be new and with unique machine number and month /year of manufacture.
- (VI) Certificate from Chartered Engineer (Civil) and counter-signed by PIA or authorized representative of PIA in prescribed format (Annexure-6) in respect of civil work indicating item-wise progress on approved components, cost, quantity, manufacturers/ suppliers and comment on quality and status of implementation. The certificate must also indicate that all civil construction of project is new in nature, including material used.
- (VII) Geo-tagged photographs of the project from various angles and locations.
- (VIII) Notarised Surety Bond in prescribed format (Annexure-7) on non-judicial stamp paper of not less than Rs.100/-

Note:

1. Original copy of Surety Bond shall be submitted to Ministry.

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- II. Surety Bond is not required to be executed by the Central/ State Government Department's P/As.
- (IX) Bank Certificate regarding status for release of term loan to the project from the Bank. (Annexure-8)
- (X) Consent to Establish/ NOC from Pollution Control Board/ Agency.
- (XI) Approved building plan of the main facility from the relevant competent authority, if applicable.
- (XII) Self-attested English/ Hindi version of main facility land document in support of land title (ownership/ leasehold) in the name of PIA.
 - Note: In case of leasehold land, the registered lease deed should not be less than 15 years.
- (XIII) Change Land Use (CLU) from relevant State Authority/Agency.
- (XIV) Compliance of any other terms & conditions mentioned in the approval letter of the Ministry.

B. Second instalment (one-third of grants-in-aid/Subsidy) -

- I. Certificate from Chartered Accountant to the effect that the expenditure on eligible components of the project has been incurred after the date of issue of approval letter.
- II. Certificate from Chartered Accountant in prescribed format (Annexure-4) confirming expenditure of two-third of the eligible project cost on the eligible project components of the project.
- III. Certificate from Chartered Engineer (Mechanical) and counter- signed by PIA or authorized representative of PIA in prescribed format (Annexure-5) in respect of plant and machinery indicating item-wise progress on approved components, cost, quantity, manufacturers/ suppliers and comment on quality and status of implementation. All P & M has to be new and with unique machine number and month /year of manufacture.
- IV. Certificate from Chartered Engineer (Civil) and counter-signed by PIA or authorized representative of PIA in prescribed format (Annexure-6) in respect of civil work indicating item-wise progress on approved components, cost, quantity, manufacturers/ suppliers and comment on quality and status of implementation. The certificate must also indicate that all civil construction of project is new in nature, including material used.
- V. Bank Certificate regarding status for release of term loan to the project from the Bank.(Annexure-8)
- VI. Geo-tagged photographs of the project from various angles and locations.
- VII. Documents confirming that at least 50% of term loan has been released by the Bank.
- VIII. Self-attested English/ Hindi version of 65% of other facilities of the approved project, land document in support of land title (ownership/ leasehold) in the name of PIA.
 - Note: In case of leasehold land, duration of registered lease should not be less than 15 years.
- IX. Compliance of any other terms & conditions mentioned in the approval letter of the Ministry.

- C. Third instalment (one-third of grants-in-aid/Subsidy) will be released to the PIA as reimbursement after completion of the project (utilization certificate for 3rd instalment is not required), subject to fulfillment of following conditions:
 - Certificate from Chartered Accountant to the effect that the expenditure on each
 of the components of the project has been incurred after the date of issue of
 approval letter.
 - II. Certificates from chartered Accountant in prescribed format (Annexture-4) confirming expenditure of full amount of project cost.
 - III. Certificate from Chartered Engineer (Mechanical) and counter- signed by PIA or authorized representative of PIA in prescribed format (Annexure-5) in respect of plant and machinery indicating item-wise approved components, cost, quantity, manufacturers/ suppliers and comment on quality and status of implementation i.e., installed & commissioned. It should be mentioned in the certificate that all the approved P & M has been installed and commissioned and that the P & M are new and with unique machine number and month /year of manufacture.
 - IV. Certificate from Chartered Engineer (Civil) and counter-signed by PIA or authorized representative of PIA in prescribed format (Annexure-6) in respect of civil work indicating item-wise approved components, cost, quantity, manufacturers/ suppliers and comment on quality and status of implementation i.e., completed. The certificate must also indicate that all civil construction of project is new in nature, including material used.
 - V. Bank Certificate regarding status for release of term loan to the project from the Bank.(Annexure-8)
 - VI. Geo-tagged photographs of the project from various angles and locations.
 - VII. Documents confirming that full term loan has been released by the Bank.
 - VIII. Copy of registration certificates of vehicles, if applicable.
 - IX. Consent to operate from Pollution Control Board/Agency.
 - X. Copy of license (if applicable) issued under FSS Act, 2006.
 - XI. Self-attested English/ Hindi version of 100% of other facilities of the approved project, land document in support of land title (ownership/ leasehold) in the name of PIA.
 - Note: In case of leasehold land, duration of registered lease should not be less than 15 years.
 - XII. Compliance of any other terms & conditions mentioned in the approval letter of the Ministry.
 - XIII. Proof of prominent display on front of the project/sides of vehicles stating that the "Project is assisted by the Ministry of Food Processing Industries, Government of India", supported by photographs.

17. Monitoring and evaluation

- a. The Ministry may take assistance of Programme Management Agency (PMA) in scrutiny, examination, monitoring and evaluation of proposals received against EOI and for conduct of site inspections of approved projects.
- b. The Ministry may also constitute a panel of consultants/ experts for undertaking inspection of projects sanctioned under the schemes.



- c. PMAs and/or consultants/ experts shall be selected in a transparent manner in accordance with prevailing norms of the Government.
- d. Pre and post approval inspection may be undertaken by the Ministry as required (PMA may also be assigned inspection and monitoring works) to find out the physical, financial and operational progress. It will be binding on the entity to allow inspection/ physical verification of the unit at any time by representatives of Ministry or an authorised representative of the Ministry.

18. Non-Implementation or delay in implementation of project and adjustment deduction and recall of grants-in-aid.

- a. PIA shall make all possible efforts to complete the project as per the stipulated timelines (24 months in General Areas and 30 months in Difficult Areas).
- b. In case of non-adherence to the stipulated timelines, the approved grants- in-aid shall be reduced as below (irrespective of any extension in timelines or condonation of delay except for reasons of force majeure):

Delay	deduction in grants-in-aid
Up to three months	Nil
More than 3 months and up to 4 months	1.0%
More than 4 months and up to 5 months	2.0%
More than 5 months and up to 6 months	3.0%
More than 6 months and up to 7 months	4.0%
More than 7 months and up to 8 months	5.0%
More than 8 months and up to 9 months	6.0%
More than 9 months and up to 10 months	7.0%
More than 10 months and up to 11 months	8.0%
More than 11 months and up to 12 months	9.0%
More than 12 months	10.0%

- c. The decision of the Ministry shall be final and binding on PIA on period, quantum and deduction in grants-in-aid.
- d. The Ministry shall have the right to close a project at any stage, after recording reasons thereof, with or without directions as to refund of grants-in-aid released.
- e. If at any point of time, it comes to the notice of the Ministry that grants-in- aid has been availed by manipulation/ concealment of information/ facts, such grants-in-aid released by the Ministry shall be refunded along with interest @10% per annum. Manipulation/ concealment of information/ facts may also attract other civil/ criminal liabilities in terms of prevailing laws.
- f. In the event of PIA withdrawing from executing the project, the grants-in-aid amount released to it (along with penal interest @10% per annum) will be returned by PIA to the Ministry within 30 days of communication for refund of such grants-in-aid/subsidy. Bank Guarantee submitted (as performance security) shall be forfeited.
- g. In case of the failure on part of PIA to refund the grants-in-aid amount (or interest thereon) as directed by the Ministry, the due amount will be recovered as an arrear of land revenue as per the relevant laws in force.



19. Miscellaneous Provision

- a. Interpretation of the Ministry as regards provisions of the Guidelines and/or approval letter issued by the Ministry shall be final and binding on PIA.
- b. The Ministry may seek any clarification or direct to submit any document/ information from the applicant or the PIA at any stage of implementation of the project.
- c. The Ministry may inspect the premises of the project during implementation of the project. For this purpose, it may depute its officials, PMA or any other person(s) as deemed fit. Such inspection may be undertaken in-person or through virtual mode. PMA or its successor, if any, shall extend all support and cooperation in any such inspection.
- d. PIA will comply with requirements of cold chain infrastructure as per directions of Ministry of Environment, Forests & Climate Change, Government of India with respect to use of non-ODS (non-Ozone Depleting Substances) and low- GWP (Low Global Warming Potential) refrigerants-based energy-efficient cooling systems.
- e. The applicant organisation will follow/abide by GFR, which are revised from time to time by Govt. of India.

20. <u>Jurisdiction of court</u>

Any disputes, including selection of proposals or release of financial assistance, arising out of implementation of the guidelines will be subject to courts/tribunals having jurisdiction over Delhi.

CALCULATION OF NET-WORTH

(Only on the basis of the supporting documents)

- In respect of companies (Private/ Public Limited) registered under Companies Act, 1956 or Companies Act, 2013:
 - a. The net worth will be ascertained based on the paid-up share capital of the company and reserves created out of profits.
 - b. Revaluation reserves only in respect of land & building may be considered for ascertaining the net worth, which will be based on circle rates duly supported by circle rate notification and land ownership documents. Such revaluation reserves shall also be reflected in forward going balance sheet of the company.
 - c. Share application money would be considered towards calculation of net worth provided the same has been converted into Paid up Capital within stipulated time as per Companies Act but, in any case, it should be converted into paid up capital before submission of the proposal to the Ministry.
 - **d.** A latest audited balance sheet duly certified by the Statutory Auditor of the Company needs to be submitted for ascertaining the net worth.
 - e. Where a significant portion of its net worth stands invested in equity shares of unlisted companies or is shown as loans & advances to various parties with nil or negligible income/ revenue, then following additional documents would be required to be submitted by the applicant to ascertain the net worth:
 - I. Details of Investments made in unlisted companies by the applicant company,
 - II. Audited Financial Statements (AFS) for the previous year or latest audited Balance Sheet of the companies wherein the investment has been made,
 - III. Details of loans & advances along with reasons/justification for the same,
 - IV. In case it is observed that the equity contribution made by the promoter company has been utilised by the investee company in creating tangible assets/ Capital work in progress in projects which are under execution then such investments in shares would be considered at face value.
 - V. However, in case it is observed that the investment by the promoter company is further being reinvested by the investee company in equity shares of unlisted companies or given away as long term/short term advance to individuals/ related party/ other companies and the investor company has nil/ negligible income/ revenue, then no additional clarification shall be asked for and the net worth of such promoter company shall be considered after deducting such investments/loans & advances.
 - **f.** In case of newly formed companies, net-worth of individual promoter(s)/ director(s)/ shareholders(s) will be considered to assess the combined net-worth.
- II. Entities other than companies (viz. Proprietorship/ Partnership firms/ NGOs/ Cooperatives/ SHGs/ LLPs/FPOs)

The net worth will be ascertained on the basis of latest balance sheet of individual proprietor/partner(s) i.e. assets minus all liabilities, duly certified by the Chartered Accountant (CA). Assets include cash, current value of investments, stocks, debtors

Olm

(supported by documentary evidence), land and building (supported by valuation report at circle rate, circle rate notification and land ownership documents), Plant & Machinery, Bonds, cash value of life Insurance, current total balance of savings, current or fixed deposit accounts. The following methodology shall be adopted for determining the net worth:

The following methodology shall be adopted for determining the net worth:

- a. Net-worth would be sum of the net-worth of the Individual partners and the partnership firm (avoiding duplication of the investment in the partner's capital account of the firm) & the investments of each individual partner towards the partnership firm.
- b. If the applicant is ongoing partnership/ proprietorship firm and the applicant has submitted audited balance sheet of such partnership/ proprietorship firm, net worth based on the audited balance sheet will be taken into consideration. However, if the net worth as per balance sheet is insufficient, then the CA certified statement of Assets and Liabilities of the individual Partners/ Proprietors will be taken into consideration provided assets are supported by relevant documents as per the guidelines.
- **c.** However, since in a partnership/ proprietorship firm, liability of partners/ proprietors is unlimited, therefore the applicant should submit CA certified "Nil Liability Statement" or Statement of "Assets and Liabilities" of the individual partners/ proprietors and the net liabilities based on these statements will be deducted while ascertaining the net worth.

The aforesaid net worth components need to be supported by relevant documents and Income Tax Returns (ITRs).

- III. Shares of unlisted companies will be considered at face value for net worth and shares of listed companies will be considered based on the average market price of the share for a period of 6 months prior to date of submission of proposal.
- **IV.** Assets such as car, jewellery, antiques, gold,cash in hand etc. would not be considered for net worth.
- V. Loans and advances given to group companies/ related concerns/ individuals will also not be considered towards computation of net worth.
- VI. In the case of proposed shareholders, if there is cross holding of the net-worth amongst the Members (e.g. both a company as well as the shareholders that company are the proposed shareholders), the net-worth of the company would be considered in full, however, net-worth of the individual would be considered only to the tune after discounting its shareholding in the company which is a proposed shareholder.
- **VII.** The applicant(s) will have to give a self-certification regarding the details of the encumbrance of the property submitted by them towards the net worth.

Note: In case of newly formed companies (expect listed companies) for the purpose of setting up integrated cols chain projects, the net worth of the share-holders of the company would be considered in the same manner as in case of partnership firms. However, if, one of the constituent of the newly formed company is a corporate entity, the net worth of the corporate entity will be considered to assess combined net worth.

Note- A company will be treated as newly formed, if it has been formed with the objective of food processing business and has not commenced commercial

operations as on the date of submission of application to the Ministry, which should be supported by appraisal note from the Bank/Financial Institution and In such cases Balance Sheet/Financial Statement along with Memorandum of Association filed with the RoC for each year since incorporation will be required in support of proof of noncommencement of commercial operations.

Template of DPR

a. Name of the applicant/ company/ firm with details of registration no. of company/ firm along with names of the directors/promoters in the prescribed format

S No.	Particulars	Details
	Name of Applicant	
ii.	Legal Status of Applicant (Govt. Institution / organisation, NGO, Co-operative/ Company/ partnership firm/ proprietorship, Farmer Producer Company, Self Help Group, etc.)	=
iii,	Registration No. of Applicant/GIN	
iv.	PAN of Applicant	
٧.	Whether located in Northeast States, Himalayan States, Islands & ITDP Areas	
Vİ	Whether Women lead promoter belong to SC/ST/Women	

b. Contact details of the Promoter(s)/Partner(s) including addresses, telephone, mobile, fax, e-mail, website, PAN etc.

s No.	Name of Promoter(s)/Partner(s)	Address	Telephon e No.	Mobil e No.	E- mail Id	PAN No.	Any othe r details	
	Please	e add additi	onal rows, if	needed.				

c. Experience of the lead Promoter(s)/Partner(s)/ Applicant Entity in Food Processing, Cold Chain (Pl. enclose documentary evidence regarding experience and turnover)

s No.	Name of the lead Promoter(s)/Partner(s) Applicant entity	Details of Experience	Details of Turnover (Year Wise)	Supporting documents attached, if any (Yes/No)

- d. Project Details:
 - a. Components under the scheme as applicable to the project:

S No.	Components	Numbers Proposed
ac .	Distribution Hub	
iig	Farm Level Infrastructure	
iii.	Reefer/ Insulated Vehicles	
V.	Processing Facility, if any, proposed to be setup	

Dh

- **b.** Sector of the project in case of /Dairy/Marine/Poultry/Fishery/Ready to Eat/Ready to cook/Mixed etc.):
- c. Type of Operating Model (Rental, Rental+ Captive, Captive Use etc.)...

d.

- e. Land Details.
 - I. Proposed Locations of Land for all project facilities and status of their acquisition along with longitude & latitude coordinates

Location of Land	Facility Distribution Hub/Farm Level Infrastructure	Area Sqm	Status of possession (owned/leased) (document submitted yes/no)	In case of lease (period of lease in year) (documents submitted	Status of land conversion (CLU) (Document Submitted Yes/No)	Connectivity Details, Distance (in Kms) from: a. National Highway b. State Highway c. Freight Corridor d. Golden Quadrilateral e.Seaport f. Airport g. Market Place (Customer	Distance from catchment area (kms) (Please furnish details of both primary & secondary	Coordinates Details (Longitude & Latitude)	Ref Pg. No. in DPR
Land 2	Farm level infrastructure(FLI) [Land parcel for this facility must be identified and details	se ad	d additio	nal ro	ws in	case of multiple locat	FLI should be in catchment area		

DPR should have a detailed chapter on proposed land, raw material production and supply statics for the catchment area.

In case of leased land, period of lease should be not less than 15 years.

f. Proposed Facility

S. No.	Type of facilities	No. of	Total	Locati	on	No. of Days of
	proposed to be created	Units	Capacity [MT, Ltrs, MT/Hr., wherever applicable]	Distributio n Hub	FLI	operation of each facility in a year
i,	CA Store			12		
ii.	Normal cold store					
iii.	Frozen store					



iv.	Pre-cooling Chambers						
	Sorting, Grading,						
٧,							
	Waxing, Weighing,						
	Packing facility						
vi.	[Modify as per actual]						
	Ripening Chambers						
vii.							
VIII	Blast Freezing						
ix.	Milk						
	Processing						
	Facilities						
X.	Milk Chilling Centres						
xi.	Bulk Milk Coolers						
	(BMCs)						
xii.	Refrigerated						
	Vehicles/ Reefer						
	vans						
xiii.	Mobile Pre-coolers						_
7,111.	111051101110 0001010						
xiv.	Insulated Milk						_
XIV.	Tankers/ vans						
XV.	Insulated Distribution						
	Vehicle						
xvii.	Refrigerated Container						
xviii.	Refrigerated Carts						
xix.	Solar Powered Carts						-
۸۱۸۰	Colai i oweled Calts						
XX	Refrigerated Cabinets						
		Add Oth	ner compo	nents no	t listed above		\dashv

g. Mass flow /supply chain diagram

The mass flow diagram must encompass

Raw material Procurement Quantum

Diversion of the procured raw material to the storage or the processing units proposed in the project

Recovery of the processed product is also to be quantified

E.g., the inflow of the raw material through the pre-cooling unit at the farm level must equal the capacity (installed capacity x no of hours of operation of the unit x no of days of operation) of the IQF unit proposed at the Distribution hub. Then the output from the IQF unit (based on the recovery rate of every raw material) should be matched to the frozen chamber storage capacity proposed at the distribution hub,)

h. The Throughput Cycle



In case of multiple flow components such as Cold Storage, Bulk Frozen Chamber, Controlled Atmosphere, Precooling Chamber, BMCU units, etc.,) Throughput Cycle = Total Raw Material Input to the installed Component/ Proposed Installed Capacity.

- i. In case of dairy proposals, details of automatic facilities envisaged.
- j. Proposed Project Financials

a. Estimated Project cost details

Item	Amount (Rs. in lakh)
Site Development	
Technical Civil Work (TCW)*	
Other Civil Works	
Plant & Machinery (P&M)**	
Common Utilities like Water/ETP/ STP, etc.***	
Pre-operative Expenses	
Interest During Construction	
Margin Money for Working Capital	
Contingencies	
Add other items not listed above	
Total Project Cost	

^{*}Component wise cost breakup of all technical and other civil work should be provided in the Chartered Engineer (Civil) certificate in the prescribed format as enclosed as Appendix- 4 indicating component wise costing.

Appendix-5

***The cost of common utilities may be provided in Chartered Engineer (Civil) and Chartered Engineer (Mechanical) certificate wherever applicable.

b. Means of Finance*

Item	Amount (Rs.in lakh)
Promoter's Equity	
Term loan	
Eligible grant (the applicant may utilise the Grant Calculator Widget on the portal of MoFPI to calculate the eligible grant)	
Unsecured loan	
Total	

^{*}to be certified by CA as per proforma at Annexure-2

c. Basic Revenue Projections

Item	Year1	Year2	Year3	Year4	Year 5
Turnover	G				



^{**}The component wise cost breakup of P&M should be provided in the Chartered Engineer (Mechanical) certificate in the prescribed format as enclosed as

Cost of Operations			
Gross Profit			
Earnings Before Interest, Tax, Depreciation and Amortization (EBITDA)			
Profit before taxation			
Profit after taxation			

d. Financial Parameters (as per Bank Appraisal Note)

s No.	Particulars	Details (Ratio/%)	Ref Page No. in DPR*
i.	Internal Rate of Return (IRR) [(a) With and (b) without grant]		
ii.	Avg. Debt Service Coverage Ratio (DSCR)		
iii _e	Break Even Point (BEP)		
iv.	Debt-Equity Ratio		

^{*}To be provided for section under the bank appraisal note highlighting the aforementioned detail.

- **k.** Availability of Raw Material in the Catchment Area provide details such as Adequate Volume, Wider Mix of Raw Materials, Days of Operation in a Year along with supporting data.
- I. Details of the catchment area of the project.

S.No.	location of the Catchment (Primary/secondary)	Name Village/Dist./APMC	Commodities to be sourced	Quantities to be sourced [MT/KLD- in case of milk] (per annum)	Ref. Pg. no. in

^{*}DPR should comprise of detailed chapter on proposed catchment (production and supply statistics.

- m. Employment Generation projections
 - a. Direct Employment:
 - b. Contractual Employment with no. of days:
 - c. Indirect Employment (specify)



- n. Plan for tie-up with existing FPOs or Formation of New FPOs/ FPC/ Farmer Cooperatives in the Catchment Region (Proof of any MoU/ Letter of Intent for FPOs to integrate with the project)
- o. Tie up for forward linkage with consumers/ importers/ retailer/ Distributors (Proof in the form of written correspondences/ MoU etc.,)
- **p.** Plan to install a software or IT based system to capture the real time data, capacity utilization of the components proposed to be installed under the scheme.
- **q.** Details of renewable/ alternate energy sources including solar energy, if any, proposed to be usedfor operating the project including inter alia details of power generation.
- **r.** Details of adoption of modern technology for reducing the carbon footprints and increasing operational efficiency:

No. technology/ (excluding carbon footprint and/or increase in operational efficiency	ng
---	----

13. List of Manufacturers/ Suppliers of P&M (enclose quotations).

(Signature of the Applicant/lead Promoter)

Date				*		•	•	•		
Plac	e	:.								



(To be submitted with EoI) Chartered Accountant Certificate (Letter Head of the CA)

(With membership No. and firm registration No. of CA)

D -	4	
112	TO	-

I. Project Cost:

SI No.	Name of the Component/Item	Project Cost			Cost as appraised by the Bank		Actuincui	red	Cost as on	
		Basi c	Taxe s etc.	Tota	Basic	Tax es etc.	Total	Basi	Taxe s etc.	Total
1.	Land									
2.	Civil works: Technical civil works, Other civil works									10
3.	Plant & Machinery									
4.	Misc. Fixed Assets									
5.	Others									
	Total									

II. Means of Finance:

SI. No.	Item	Amount (Rs. in lakh)			
1	Promoter's Equity				
2	Term Loan				
3	Grant from MFPI				
4	Unsecured loan*				
5	Others				



Details of unsecured loans along with PAN No. of lenders, if any, duly certified by CA

- i. The information furnished is true and correct in all respects, no part of it is false or misleading and no relevant information has been concealed or withheld.
- ii. Neither I nor any of my partners is a partner, director or employee of the above-named entity or its associated concerns.
- iii. This certificate is issued without prejudice
- iv. Certified that we have no direct or indirect interest in the subject matter

Signature and Seal of C.A. (Statutory Auditor in case of company)

(Counter signature of promoter/ authorized signatory of company with Seal)

Date:/..../

Chartered Engineer (Civil) Certificate Format for Technical Civil Work (Letter Head of the CE)

(With membership/registration No. of CE)

Date of site Visit by Chartered Engineer: Project Progress: (If project has multiple locations, the location wise details should be submitted in below format for each location)								
SI. No.	Name of Component	Proposed Area (sq.m)	Proposed Cost (Rs. in lakh)	Rate/ Unit("Sqm)				
	Total							

It is certified that the material/ components proposed in the Technical Civil Work are new.

- i. The information furnished is true and correct in all respects, no part of it is false or misleading and no relevant information has been concealed or withheld.
- ii. Neither I nor any of my partners is a partner, director or employee of the above-named entity or its associated concerns.
- iii. This certificate is issued without prejudice

Name of Project:

Location with address:

iv. Certified that we have no direct or indirect interest in the subject matter

(Signature and Seal of C.E)

(Counter signature of promoter/ authorized signatory of company with Seal)

Sh

Chartered Engineer (Mechanical) Certificate Format for Plant & Machinery (Letter Head of the CE

(With membership/registration No. of CE)

	Date://
Name of project:	
Location with address:	
Date of Visit by Chartered Engineer:	
Project Progress (If project has multiple locations, the locat should be submitted in below format for each location)	ion wise details

SI. No.	Name of Component	Propo sed		osed Cost n lakh)	Supplier/ Manufact
		Quan tity	Cost	Taxes Freight, installation, insurance	urer (Support ed by quotatio ns)
1	Component -1				
2	Component -2				
3	Component -3				_
	TOTAL				

It is certified that all the proposed plant and machinery are new.

i. The information furnished is true and correct in all respects, no part of it is false or misleading and no relevant information has been concealed or withheld.

ii. Neither I nor any of my partners is a partner, director or employee of the above-named entity or its associated concerns.

iii. This certificate is issued without prejudice

iv. Certified that we have no direct or indirect interest in the subject matter

(Signature and Seal of C.E)

(Counter signature of promoter/ authorized signatory of company with Seal)

S. No	Criteria	a for Evaluation of Integrated Cold Chain Proposals	Max Marks	Requirement
1	Materia	ate Volume and Wider Mix of Raw als (Suitability of Location, Project Site atus of Possession of Land)	25	Supporting documents/Certificate by appropriate Government Authority
	i.	Availability of raw material (in days) in catchment area for utilisation of the facility proposed: a. More than 300 days - 10 marks b. More than 200 days & up to 300 days - 6 marks c. 100 days and up to 200 days - 3 mark *A catchment area shall be considered as the region where from the applicant shall be procuring raw material either for storage purpose or for processing/ value addition. The proposal will have to explain the catchment area duly supported by valid data from the Central/State Govt.	10	DPR / Bank Appraisal Report
		Note - Raw material availability backed by latest data (not before than 3 years old) published by Central/ State Government. Source of raw material availability should be clearly mentioned in the DPR.		
	II.	Suitability of project location(s) for proposed operations and their connectivity through road, railways etc. If land is in close proximity to state/national highway/Expressway/freight corridors/golden quadrilateral/ sea port marks may be awarded, as follows: a. Upto 2 Km: 5 marks b. More than 2 km and upto 5 Km: 3 marks c. More than 5 Km and upto 10 Km: 2 marks d. Above 10 Km: NIL	5	Latitude/Iongitude of the proposed unit as per DPR/Bank Appraisal Note
	III.	Status of project land a. Main facility land in possession of the Applicant with approval for industrial use: 10 marks b. Main facility land in possession of the Applicant without approval for industrial use: 5 marks	10	Registered deed and CLU order.
2	Econor	nic Viability of the proposed project	10	DPR and Bank Appraisal Report



	Economic Viability of Project Based on Bank Appraisal (Key financial parameters viz. IRR,		
	DSCR, Rol) IRR and DSCR will be taken from bank appraisal		
	note. In case, IRR and/ or DSCR are not given in		
	the detailed appraisal note then the same will not		
	be considered.)		
	Max marks shall be given for IRR# [without		
	grant) = 5 marks		
	a. 5 marks shall be given if IRR >20%		
	b. 3 marks shall be given if IRR >15% and ≤ 20%		
	15100 1400/ 1 4		
	c. 1 mark shall be given if IRR ≥10% and ≤ 15%		
	d. Nil marks shall be given if IRR < 10%		
	Max marks shall be given for DSCR = 5 marks		
	a. 5 marks shall be given if DSCR >2.5		
	b. 3 marks shall be given if DSCR > 2.0 and ≤ 2.5		
	c. 1 mark shall be given if DSCR ≥1.5 and ≤2.0		
	d. Nil marks shall be given if DSCR <1.5)		
	Note: 05 marks will be given to entities under		
	Central Government/ State Government/ UT		
	Government proposals not claiming bank loan	10	DPR and Bank
3	Proposed investment in Cold Chain	10	Appraisal Report
	Components	40	Appraisal Report
	a. Proposals creating farm level infrastructure,	10	
	distribution hub and processing at different		1
	locations along with reefer/ insulated vans.		
	b. Proposals creating farm level infrastructure	6	
	and distribution hub but have		1
	combined the processing facility either		
	at farm level infrastructure or distribution		
	hub along with reefer/ insulated vans		
	c. Proposals creating farm level infrastructure	3	
	with processing along with reefer/ insulated		
	vans and without distribution hub		
4	Project proposing value addition/processing	10	DPR and Bank
	facilities such as IQF, vacuum freeze drying,		Appraisal Report
	spiral/tunnel/blast freezers, etc. for		
	manufacturing of chilled/frozen value-added		
	products including value added Dairy / meat/		
	fisheries (Except Shrimp)/ RTE/ frozen		
	products, etc.		
5	Proposals with investment in reefer transport	5	DPR and Bank
	with capacity of at least 70 MT		Appraisal Report
6	Proposed Investment in Farm Level	5	DPR and Bank
	Infrastructure (Excluding Cost of Project Land)		Appraisal Report
	a. Up to 30% of project Cost: 2 marks		
	b. More than 30% to 50% : 3 marks		
	c. More than 50% : 5 marks		
7	Leveraging of Investment in the Project	10	DPR and Bank
ľ			Appraisal Report
	For General Areas		
	a. Proposed equity investment		



_				
		excluding land < 2 times of grant sought: 5 Marks		
	b.	Proposed equity investment excluding land >= 2 times of grant sought: 10 marks	1.0	
	For Difficu	It Area		
	a.	Proposed equity investment excluding land < grant sought: 5 marks		
	, p.	Proposed equity investment excluding land >= grant sought: 10 marks		
8	_	Entity from MSME sector	03	As per DPR/Bank Appraisal Note and other valid documents
9.	Dis	proposed to be set up in Aspirational stricts as notified by NITI Aayog	02	DPR and Bank Appraisal Report
10	energy techniques the project	vailing benefits of renewable/ alternate nnologies (solar, bio-mass, wind etc.) for mum Rs.35 lakhs are required to be		DPR and Bank Appraisal Report
		Sub Total	85	
	For the ge	IGIBILITY OF THE APPLICANT eneral area - Minimum 60% of the sub- total /ST- Minimum 45% of the sub-total		
	Technical	Presentation by the eligible before the Project Approval	15	
		Total	100	

Parameters for Technical Presentation by the eligible Promoters or authorized representative of the entity before PAC:-

SI. No.	Parameters	Marks (15)	
(i)	Presentation and explanation of Business Model	10	
(ii)	Implementation Schedule and Feasibility of project	05	



[Acceptance]

(To be submitted on the letter Head of PIA)

Го
Jnder Secretary (Cold Chain scheme)
Ministry of Food Processing Industries
Panchsheel Bhawan, August Kranti marg
New Delhi 110049
Subject: Proposal of M/sfor grant-in-aid for (name of project) under the (name of scheme) (at(address of main location) -reg
Sir,
With reference to the approval letter Nodateof the Ministry of Food Processing Industries, Government of India on the captioned subject I,(PIA or authorized signatory of PIA, have carefully gone through the provisions of Scheme Guidelines and undertake to abide by the same
2. I hereby also confirm that all terms and conditions mentioned in the aforesaid approval letter are unconditionally acceptable to the PIA (name of PIA). Duly signed "approval letter" is enclosed herewith.
(Name & Signature)
((Authorized signatory of PIA
Oba

Affidavit

(Notarized on Rs.100 non-judicial stamp paper)

	(Name of the PIA or authorized representative of PIA),
son	/daughter of Mr(father's name),resident
of	(residential address) declare/ undertake as under:
1.	That I am authorized representative of (name of PIA) having its Registered
	Office at (office address of PIA).
2.	I hereby confirm that I am duly authorized in my own right/ by management vide its
	Board Resolution nodatedto apply and
	sign this undertaking on behalf of (name of PIA); and am fully aware of the facts
	relating to the setting up of food processing unit at (location
	of the project) for (activities to be undertaken by project).
3.	I confirm that the term and conditions of the (name of the scheme) scheme of
	the MoFPI under which an application is made by (name of PIA) have been
	properly read and understood by (name of PIA) and I affirm that the proposal
	complies with all the terms and conditions of the approval letter and provisions
	enshrined in the scheme guidelines.
4.	The proposed activities to be undertaken under the proposal are covered under the
	above- mentioned scheme of MoFPI and no part of the scheme/ infrastructure of the
	project is designed or assigned to be used for any activity other than the activities
	specified in the application at present or in the near future.
5.	It is certified that(name of PIA) or it's partners /ownership holders have has
	not obtained or applied for grants for the same project, component, purpose or
	activity from any scheme of MoFPI/other Ministry or Department of the
	Government of India or State Government or their agencies.
6.	It is to certify that (name of PIA) has not incurred any expenditure on the project
	before the date of issue of approval letter by MOFPI.
7.	It is to certify that disbursement of term loan sanctioned by bank for the project and

8. In case of concealment of any facts in this regard, the MoFPI would have right to reject my application at any stage and recall grants-in-aid released, if any.

its utilisation will be taken up only after the date of issue of approval letter by the

9. ----- name of PIA) will meet, from its own resources, any shortfall due to less admissibility of grants-in-aid or any future reduction in grants-in-aid or any escalation



Ministry.

in cost of the project.

- 10. I shall not dispose-off or encumber or utilize the assets created wholly or substantially out of grants-in-aid for purpose other than those for which they have been sanctioned, without obtaining the prior approval of MOFPI.
- 11. Before completion of the project, any change in ownership of the project, name of the entity, promoters of the entity, loan sanctioning Bank will be brought to notice of MOFPI at the earliest and approval in this regard would be taken from the Ministry.
- 12. In case of non-implementation/ delayed implementation of the project, MOFPI will have absolute right in cancelling the approval granted and also recall the grants-in-aid released, if any, along with interest as per the scheme guidelines as well as forfeit BG given as applicable.
- 13. All the information furnished in the application and Detailed Project Report with respect to the eligibility conditions are true and correct to the best of my knowledge and belief and nothing material has been concealed therefrom.

Date:/	(Signature of the Authorized Signatory)
Place	



Mandate Form

ELECTRONIC CLEARING SERVICE (CREDIT CLEARING)/ REAL TIME GROSS SETTLEMENT (RTGS) FACILITY FOR RECEIVING PAYMENTS

DETAILS OF ACCOUNT HOLDER:

NAME OF ACCOUNT HOLDER	
COMPLETE CONTACT ADDRESS	
TELEPHONE NUMBER/FAX/EMAIL	

BANK ACCOUNT DETAILS:

BANK NAME	
BRANCH NAME WITH COMPLETE ADDRESS	
TELEPHONE NUMBER AND EMAIL	
BRANCH'S IFSC CODE	
BANK ACCOUNT	Grant-in-Aid
COMPLETEBANKACCOUNTNUMBER	
(LATEST)	
MICR CODE OF BANK	

DATE OF EFFECT: - ../../.....

I hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the Ministry of Food Processing Industries responsible.

Date ../../

(Signature of Customer)

Certified that the particulars furnished above are correct as per our records.

(Signature and Seal of Bank)

Chartered Accountant Certificate

(Letter Head of the Chartered Accountant along with membership/ registration number)

	Date:	,
The certification is based on the verification of books of accounts,	bills, invoices,	work
orders, bank statements, etc. related to the(name of the p	project).	

I. Project Cost: (Rs. in lakh)

SI. No.	Name of the Component/Item	Cost approved by	Actual exp	rred as	
		the Ministry	Basic	Taxes etc.	Total
1.	Land/development charges				
2.	Civil works				
	- Technical civil works				
	- Other civil works				
3.	Plant & Machinery				
4.	Misc. Fixed Assets				
5.	Others				
	TOTAL				

II. Means of Finance: (Rs. in lakh)

SI. No.	Item	Means of finance approved by the Ministry	Actual expenditure incurred as on-
1	Promoter's Equity		
2	Term Loan		
3	Grant from MFPI		
4	Unsecured loan*		
5	Others		

Details of unsecured loans along with PAN No. of lenders, if any, duly certified by CA.

Details of advance payment should be provided separately in Addendum.

Signature and Seal of CA (Statutory Auditor in case of company)

(Counter signature of authorized signatory of PIA)

Addendum to CA certificate certifying details of payments made for Plant & Machinery and Civil Work

SI. No.	Name of the party	Compo	Voucher /Bill No.	Date of Voucher	Basic Cost	Taxes Frieght, Insuran ces	Total Cost	Date of paymen t per bank stateme	Mode of Paymen t	Amount paid as per bank stateme nt



Chartered Engineer(Mechanical) Certificate for Plant & Machinery

(Letter Head of the Chartered Engineer along with membership/ registration number)

Date: //
Name of Project:/
Location with address:
I,

Project Progress: (If project has multiple locations, the location wise details should be submitted in below format for each location)

The total expenditure incurred on the project towards plant & machinery is economical and its summary is enclosed hereby.

	of component	praised	praised Cost	tity	Actual Cost	(Rs. In lakh)	nufacturer	Status of Implementation	on quality, ı, etc.
SI. No.	Name of con	Proposed/Appraised Quantity	Proposed/Appraised (Rs. lakh)	Actual Quantity	Basic Cost	Taxes, Frieght, Installation Insurance	Supplier/Manufacturer	Status of Im	Comments specification,
	Component -1							Such as: Ordered, Received at site, Installed, Commisioned	
	Componen t -2								
	⊢ o + a −			4 - 11 1 1					J 4 la

It is also certified that all installed plant & machinery and equipment are new and with unique machine number and month/year of manufacture.

(Signature and Seal of Chartered Engineer)

(Counter signature of authorized signatory of PIA with Seal)



Chartered Engineer (Civil) Certificate for Technical Civil work

(Letter Head of the Chartered Engineer along with membership/ registration number)

	Date://
Name of Project:	
Location with address:	

I, (name of CE), visited the site on _____ (Date of site Visit) and verified the cost of various plant & machinery available at the project site and invoices for respective components as well. Based on this I certify that the civil work cost of the project is in line with prevailing unit cost depending on various specification and requirement.

Project Progress: (If project has multiple locations, the location wise details should be submitted in below format for each location)

The total expenditure incurred on the project towards plant & machinery is economical and its summary is enclosed hereby.

	omponent	Appraised	antity		Actual Cost (Rs. In lakh)	Rs/Sqm)	ation	onstructi
SI. No.	Name of component	Proposed/Appraised Quantity	Actual Quantity	Basic Cost	Taxe s, Frieg ht, Instal lation lnsur	Rate/Unit (Rs/Sqm)	Remarks aboustatus	Comments quantity costandards, rates
	T o							
	t a l			ı				

It is also certified that all installed plant & machinery and equipment are new and with unique machine number and month/year of manufacture.

Signature and Seal of Chartered Engineer

Counter signature of authorized signatory of PIA with Seal



(Surety Bond)

know all Men By T incorporated / registered (hereinafter called th India (hereinafter call (Rupees/and without a demur for wassignees by these prese	under the (Name le "Obligers") are led the "Gove only) well and tru hich payment we	of the Act) and h held fully and firn ernment") for uly to be paid to	aving its registed in the sum of the sum of the Governmen	ered office at. e President of f Rs nt on demand
SIGNED on the	day of	in the year T	wo Thousand -	
WHEREAS on the Obliger Industries' Sanction Order "Letter of Sanction") which annexed hereto and margrants-in-aids of Rs (description of the project (Rupees which the obligers do he executing a bond in the teagreed to do.	er No Da h forms an integra ked as Annexure (Rupees/- t) at/- only) ereby admit and	ated (heal part of these properly agreed to material or theout of the paid to acknowledge)	ereinafter refer resents, and co ke in favour of purpose of of which the to the Obligers (on condition of	red to as the py whereof is the Obligers sum of, Rs. (the receipt of the Obligers

NOW the conditions of the above written obligation is such that if the Obligers duly fulfill and comply with all the conditions mentioned in the letter of sanction, the above written Bond or obligation shall be void and of no effect. But otherwise, it shall remain in full force and virtue. The Obligers will abide by the terms and conditions of the grants-in-aid by the target dates, if any specified therein.

THAT the Obligers shall not divert the grants-in-aid and entrust execution of the Scheme or work concerned to another institution(s) or organization(s).

THAT the Obligers shall abide by any other conditions specified in this agreement and in the event of their failing to comply with the conditions or committing breach of the bond, the Obligers individually and jointly will be liable to refund to the President of India, the entire amount of the grants-in-aid with interest of 10% per annum thereon, as well as would be liable for forfeiture of BG given as performance security if a part of the grants-in-aid in left unspent after the expiry of the period within which it is required to be spent, interest @10% per annum shall be charged up to the date of its refund to the Government, unless it is agreed to be carried over.

The obligers agree and undertake to surrender / pay the Government the monetary value of all such pecuniary or other benefits which it may receive or derive/ have received or derived through/upon unauthorized use of (such as letting out the premises on adequate or less than adequate consideration or use of the premises for any purpose other than that for which the grants-in-aid was intended of the property) buildings created/ acquired constructed largely from out of the grants-in-aid sanctioned by the Government of India, Ministry of Food Processing Industries or the administrative Head of the Department concerned. As regards the monetary value aforementioned to be surrendered / paid to the Government, the decision of the Government will be final and binding on the Obligers.



TO BE FILLED UP BY

THE MINISTRY OF FOOD PROCESSING INDUSTRIES (ACCEPTED)

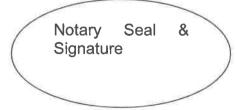
For and on behalf of the President of India

Name:
Designation:
Dated:

1. Signature of witness

Name & Address

Notary Seal and Signature





2. Signature of witness

Name & Address

(Certificate of Bank for release of 1st/2nd /3rd instalment of grant)

To The Under Secretary Ministry of Food Processing Industries Panchsheel Bhawan August Kranti Marg New Delhi- 110049
Subject: Release of 1 st /2 nd /3 rd installment of financial assistance in respect of project of M/s (Name & Address of project) –reg.
Sir,
With reference to Ministry's approval letter no dated, it is hereby requested that Ministry may consider release of 1st/2nd/3rd installment(as applicable) i.e. 33.33% of approved grant amount in respect of project of M/s (address of project location) VillageDistrictState Till now our bank has released % of term loan to this project. Following is certified for consideration of release of grant:-
It is certified that:-
 i.The promoter has utilized% of promoter's contribution and% of term loan of the approved project cost on the implementation of the project. ii.The term loan in the project is not sanctioned for one or few components but for the entire project as appraised. iii.Activity and component considered in the project for loan are new. No old plant & machinery or component is recommended for consideration of grant. iv.The grant so released by the Ministry to the bank shall be credited in dedicated Account Number.
(Signature of the Bank Officer with Seal)
Name: Name of the Bank: Address: Phone/ Fax/ Mobile No. Email: Place: Date:

