



- □ 编码 Encoding
- □ 变换 Conversions
- □ 运算 Operations



整数的表示 Integer Representations

方括号中的内容是可以省略的 Text in square brackets is optional

C语言数据类型	Mini	mum	Maximum				
C data type	32-bit machine	64-bit machine	32-bit machine	64-bit machine			
char	-1	28	127				
unsigned char		0	255				
short [int]	-32	,768	32,767				
unsigned short [int]	()	65,535				
int	-2,147,	483,648	2,147,483,647				
unsigned int	()	4,294,967,295				
long [int]	-2,147,483,648 9,223,372,036,854,775,808		2,147,483,647	9,223,372,036,854,775,807			
unsigned long [int]	()	4,294,967,295				
long long [int]	-9,223,372,03	6,854,775,808	9,223,372,036,854,775,807				
unsigned long long [int]		0	18,446,744,073,709,551,615				



二进制编码、无符号数和有符号数

 $B2U_w$: 函数,二进制转无符号数

假设一个整数数据类型有w位,其二进制编码可以用一个位向量 \vec{x} 来表示

Consider an integer data type of w bit. We write a bit vector as either \vec{x} .

$$\vec{x} = (x_{w-1}, x_{w-2}, x_{w-3}, \dots, x_0)$$

Unsigned

无符号数编码的定义

$$B2U_w(\vec{x}) = \sum_{i=0}^{w-1} x_i 2^i$$

Two's Complement

有符号数编码(补码)的定义

$$B2T_w(\vec{x}) = -x_{w-1}2^{w-1} + \sum_{i=0}^{w-2} x_i 2^i$$
 Sign Bit 符号位



无符号数的编码 Unsigned Encodings

$$B2U_w(\vec{x}) = \sum_{i=0}^{w-1} x_i 2^i$$

$$B2U_4([0001]) = 0 \cdot 2^3 + 0 \cdot 2^2 + 0 \cdot 2^1 + 1 \cdot 2^0 = 0 + 0 + 0 + 1 = 1$$

$$B2U_4([0101]) = 0 \cdot 2^3 + 1 \cdot 2^2 + 0 \cdot 2^1 + 1 \cdot 2^0 = 0 + 4 + 0 + 1 = 5$$

$$B2U_4([1011]) = 1 \cdot 2^3 + 0 \cdot 2^2 + 1 \cdot 2^1 + 1 \cdot 2^0 = 8 + 0 + 2 + 1 = 11$$

$$B2U_4([1111]) = 1 \cdot 2^3 + 1 \cdot 2^2 + 1 \cdot 2^1 + 1 \cdot 2^0 = 8 + 4 + 2 + 1 = 15$$



有符号数的编码(补码) Two's-Complement Encodings

$$B2T_w(\vec{x}) = -x_{w-1}2^{w-1} + \sum_{i=0}^{w-2} x_i 2^i$$

$$B2T_4([0001]) = -0 \cdot 2^3 + 0 \cdot 2^2 + 0 \cdot 2^1 + 1 \cdot 2^0 = 0 + 0 + 0 + 1 = 1$$

$$B2T_4([0101]) = -0 \cdot 2^3 + 1 \cdot 2^2 + 0 \cdot 2^1 + 1 \cdot 2^0 = 0 + 4 + 0 + 1 = 5$$

$$B2T_4([1011]) = -1 \cdot 2^3 + 0 \cdot 2^2 + 1 \cdot 2^1 + 1 \cdot 2^0 = -8 + 0 + 2 + 1 = -5$$

$$B2T_4([1111]) = -1 \cdot 2^3 + 1 \cdot 2^2 + 1 \cdot 2^1 + 1 \cdot 2^0 = -8 + 4 + 2 + 1 = -1$$



补码示例 Two's-Complement Encoding Examples

■ 12345 编码的二进制/十六进制形式
Binary/Hexadecimal Representation for 12345

Binary: 0011 0000 0011 1001

Hex: 3 0 3 9

-12345 编码的二进制/十六进制形式 Binary/Hexadecimal Representation for -12345

Binary: 1100 1111 1100 0111

Hex: C F C

Weight	12	2,345	_	-12,345	53,191		
	Bit	Value	Bit	Value	Bit	Value	
1	1	1	1	1	1	1	
2	0	0	1	2	1	2	
4	0	0	1	4	1	4	
8	1	8	0	0	0	0	
16	1	16	0	0	0	0	
32	1	32	0	0	0	0	
64	0	0	1	64	1	64	
128	0	0	1	128	1	128	
256	0	0	1	256	1	256	
512	0	0	1	512	1	512	
1,024	0	0	1	1,024	1	1,024	
2,048	0	0	1	2,048	1	2,048	
4,096	1	4096	0	0	0	0	
8,192	1	8192	0	0	0	0	
16,384	0	0	1	16,384	1	16,384	
$\pm 32,768$	0	0	1	-32,768	1	32,768	
Total	12,345			-12,345		53,191	
	•		•				



B2U(X) B2T(X) 0000 0001 0010 0011 0100 0101 0110 6 6 0111 1000 8 **-8** 1001 9 1010 10 **-6** 1011 1100 **12** _4 1101 13 **-3** 1110 **-2** 14 1111 15

常见的无符号数有符号数编码 Unsigned & Signed Numeric Values

- 等价性 Equivalence
 - 有符号数和无符号数的非负值编码相同 Same encodings for nonnegative values
- 唯一性 Uniqueness
 - 每个编码都表示唯一的整数值
 Every bit pattern represents unique integer value
 - 每整数有唯一的编码
 Each representable integer has unique bit encoding
- ⇒可以反向映射
 - ⇒ Can Invert Mappings
 - $U2B(x) = B2U^{-1}(x)$
 - T2B(x) = B2T⁻¹(x)



将整数转换为补码 Encode Integer to 2's Comp.

■ 如果该数字值非负 (If nonnegative),补码等于该值对应的二进制数(位长不足补0)

■ 否则 (Otherwise), 其绝对值的二进制数逐位取反, 并加1, 符号位置1

■ 举例: 假设某个整数类型位长为4

Example: consider an integer data type has 4-bit width

Integer: 5

Integer: -5

Raw binary: 101 (二进制数)

Absolute value raw binary: 101 (绝对值二进制)

2's complement: 0101 (补码)

After complement: 010 (逐位取反)

以上方法仅限应用于可在编码表示范围内的数字

2's complement: 1011 (补码)



可表示的整数范围 Numeric Range

■ 无符号数

Unsigned Values

$$\bigcup_{\min} = 0$$

$$U_{\text{max}} = 2^{\text{w}} - 1$$

有符号数(补码)

Two's Complement Values

$$T_{min} = -2^{w-1}$$

$$T_{\text{max}} = 2^{w-1}-1$$



一些重要的数字 Important numbers

			Word size u	v
Value	8	16	32	64
$\overline{UMax_w}$	0xFF	0xFFFF	0xFFFFFFF	OxFFFFFFFFFFFFF
	255	65,535	4,294,967,295	18,446,744,073,709,551,615
$TMin_w$	0x80	0x8000	0x80000000	0x800000000000000
	-128	-32,768	-2,147,483,648	-9,223,372,036,854,775,808
TMax _w	0x7F	0x7FFF	0x7FFFFFFF	0x7FFFFFFFFFFFFFF
	127	32,767	2,147,483,647	9,223,372,036,854,775,807
-1	0xFF	0xFFFF	OxFFFFFFF	OxFFFFFFFFFFFFFF
0	0x00	0x0000	0x00000000	0x000000000000000



可表示的整数范围 Numeric Range

■ 关系 Relationship

$$|T_{Min}| = T_{Max} + 1$$

$$U_{max} = 2 \times T_{Max} + 1$$

- 有符号数-1的补码和U_{max}的编码相同
 - -1 has the same bit representation as U_{max}
 - 所有的位都为 1 a string of all 1s
- 0 的编码方式都相同,编码所有的位都为0 Numeric value 0 is represented as a string of all 0s in both representations



其他的编码方式 Alternative Representations

- 反码 One's Complement
 - 和补码的定义类似,区别是符号位的权重为 -(2^{w-1}-1)

 The most significant bit has weight -(2^{w-1}-1)
- 原码 Sign-Magnitude
 - ■和补码的区别是,符号位的作用仅用于决定其他位的位权为正/负

The most significant bit is a sign bit that determines whether the remaining bits should be given negative or positive weight

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 - Signed vs. unsigned
- □扩展与截断

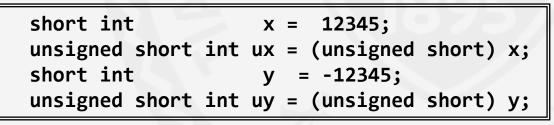
Extension and Truncation

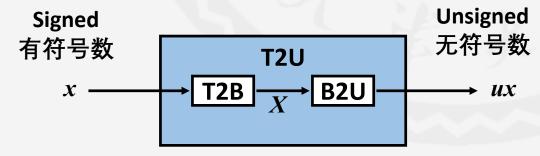
- □ 运算
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有符号数转无符号数 Casting Signed to Unsigned

- C语言允许将有符号数转换为无符号数 C Allows Conversions from Signed to Unsigned
- 转换结果 Resulting Value
 - 编码本身没有发生变化
 No change in bit representation
 - 非负数没有发生变化
 Nonnegative values unchanged
 - ux = 12345
- 负数转换为一个(大)整数 Negative values change into (large) positive values
 - uy = 53191



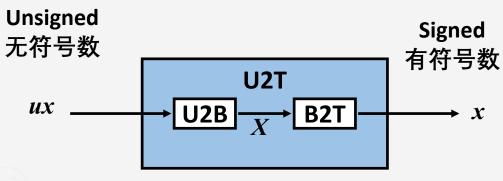


Maintain Same Bit Pattern 编码保持不变

$$ux = \begin{cases} x & x \ge 0 \\ x + 2^w & x < 0 \end{cases}$$



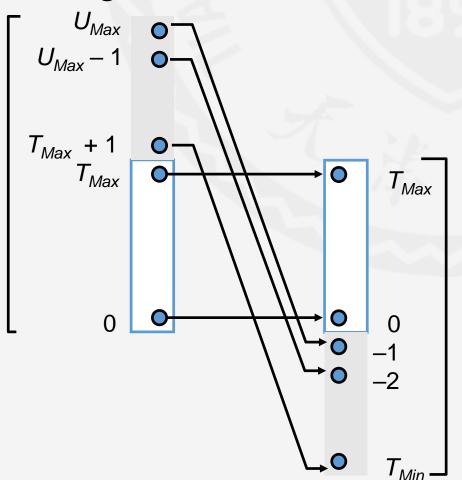
无符号数转有符号数 Casting Unsigned to Signed



Maintain Same Bit Pattern 编码保持不变

$$x = \begin{cases} ux & ux \leq T_{Max} \\ ux - 2^w & ux > T_{Max} \end{cases}$$

Unsigned Range 无符号数 范围



Two's Comp.
Range
有符号数
范围



C语言中的有符号数和无符号数 Signed vs. Unsigned in C

常量

Constant

- 缺省情况下,所有的整数常量都是有符号数 By default are considered to be signed integers
- 如果需要声明无符号数常量,需要增加一个后缀 "U" Unsigned if have "U" as suffix
 - U, 4294967259U



C语言中的有符号数和无符号数之间的转换 Casting Between Signed and Unsigned in C

显式转换

Explicit casting

```
int tx, ty;
unsigned ux, uy;
tx = (int) ux;
uy = (unsigned) ty;
```

隐式转换

Implicit casting

```
int tx, ty;
unsigned ux, uy;
tx = ux;  /* Cast to signed */
uy = ty;  /* Cast to unsigned */
```

隐式转换时,编译器会产生Warning (不推荐)



C语言中的表达式求值 Expression Evaluation in C

- 如果在一个表达式中混用无符号数和有符号数 If mix unsigned and signed in single expression
 - 有符号数会被隐式转换成无符号数
 Signed values implicitly cast to unsigned
- 比较运算也采用上述规则 <, >, ==, <=, >=

 Including comparison operations <, >, ==, <=, >=



举例:表达式求值 Example: Expression Evaluation

Constant1	Relation	Constant2	Evaluation
0	==	0U	unsigned
-1	<	0	signed
-1	>	0U	unsigned
2147483647	>	-2147483648	signed
2147483647U	<	-2147483648	unsigned
-1	>	-2	signed
(unsigned)-1	>	-2	unsigned

以32位字长为例 Examples for word size = 32

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位扩展 Expanding the Bit Representation

■ 无符号数:零扩展

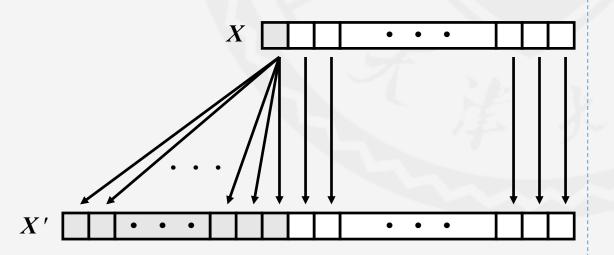
Unsigned: Zero extension

■ 扩展后最高位的空位补0 Add leading 0s to the representation

■ 有符号数:符号位扩展

Signed: Sign extension

扩展后最高位的空位原编码的符号位
Add copies of the most significant bit to the representation





示例:有符号数扩展 Sign Extension Example

```
short int x = 12345;
int        ix = (int) x;
short int y = -12345;
int        iy = (int) y;
```

	Decimal	Hex	Binary
x	12345	30 39	00110000 00111001
ix	12345	00 00 30 39	00000000 00000000 00110000 00111001
y	-12345	CF C7	11001111 11000111
iy	-12345	FF FF CF C7	1111111 1111111 11001111 11000111

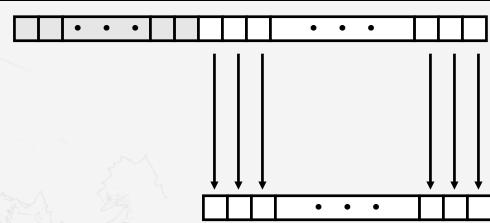


数字截断 Truncating Numbers

	Decimal	Decimal Hex Binary							b 4
x	53191	00	00	CF	C7	00000000	00000000	11001111	11000111
sx	-12345			CF			40	11001111	11000111
У	-12345	FF	FF	CF	C7	11111111	11111111	11001111	11000111

多余的位被直接丢弃 Discard the leading bits X'

 \boldsymbol{X}





小知识:什么情况下可以使用无符号数? Why Should I Use Unsigned?

■ 不要仅因为参数值非负就使用无符号数

Don't Use Just Because Number Nonnegative

■ 很容易导致逻辑错误

Easy to make mistakes

```
unsigned i;
for (i = cnt-2; i >= 0; i --)
a[i] += a[i-1]
```

■ 有些错误很难发现

Can be very subtle

```
#define DELTA sizeof(int)
int i;
for (i = cnt; i-DELTA >= 0; i -= DELTA)
...
```

可以使用的情况

Do Use When

- 位向量 Bit Vectors
- 掩码 Mask
- 地址(数据地址、网络地址) Address
- 高精度计算 Multiprecision Arithmetic



思考题

```
/* WARNING: This is buggy code */
float sum_elements(float a[], unsigned length) {
  int i;
  float result = 0;

  for (i = 0; i <= length-1; i++)
    result += a[i];
  return result;
}</pre>
```

```
/* stdio.h */
typedef unsigned int size_t;

/* Prototype library function strlen */
size_t strlen(const char *s);

int strlonger(char *s, char *t) {
   return strlen(s) - strlen(t) > 0;
}
```



思考题

```
/* Declaration of library function memcpy */
void *memcpy(void *dest, void *src, size_t n);
/* Kernel memory region holding user-accessible data */
#define KSIZE 1024
char kbuf [KSIZE];
/* Copy at most maxlen bytes from kernel region to user buffer */
int copy_from_kernel(void *user_dest, int maxlen) {
    /* Byte count len is minimum of buffer size and maxlen */
    int len = KSIZE < maxlen ? KSIZE : maxlen;</pre>
    memcpy(user_dest, kbuf, len);
    return len;
```

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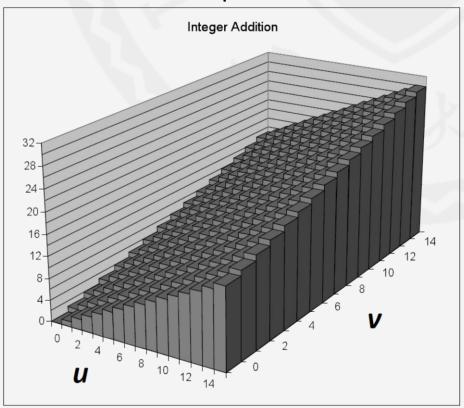
数学上的整数加法 Mathematical Integer Addition

整数加法

Integer Addition

- 4比特的两个整数 u、v 4-bit integers u,v
- Add₄(u, v)函数用来计算数学上的和 Compute true sum Add4(u, v)
- 计算结果随着u和v的增长呈现线性的变化 Values increase linearly with u and v
- 在图像上形成了一个平面 Form planar surface

$Add_4(u, v)$





无符号数加法规则 Unsigned Addition

Operands: 操作数:	<i>u</i> + <i>v</i>		•	•	•		>	ŕ	
True Sum: 真实和:	 u + v		•	•	•				
Discard Carry: 手	$\mathrm{dd}_{w}(u,v)$	П	•	•	•				



无符号数加法规则 Unsigned Addition

- 编码按照二进制加法规则相加,忽略进位位
 Standard Addition Function, and then ignores carry output
- 三 丢弃进位位等价于进行一次取模运算 Implements Modular Arithmetic $s = \mathsf{UAdd}_{\mathit{w}}(\mathit{u}\,,\,\mathit{v}) = \mathsf{ADD}_{\mathit{w}}(\mathit{u}\,,\,\mathit{v}) \mod 2^{\mathit{w}}$

$$UAdd_{w}(u,v) = \begin{cases} u+v & u+v < 2^{w} \\ u+v-2^{w} & u+v \ge 2^{w} \end{cases}$$



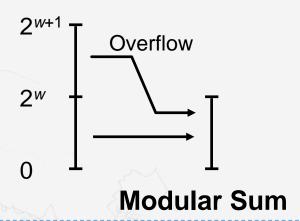
无符号数加法的图形表示 Unsigned AdditionVisualizing Unsigned Addition

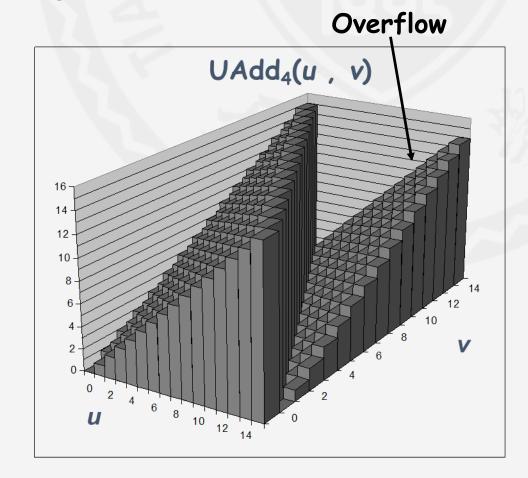
- 无符号数的和出现了截断 Wraps Around
 - 当真实和大于等于2w时

If true sum $\geq 2^w$

只会有一次截断 At most once

True Sum







阿贝尔群 (可交换群、加群) Abelian Group

- **1.** 具有封闭的加法运算 Closed under addition $0 \le \mathsf{UAdd}_{\mathsf{w}}(u, v) \le 2^{\mathsf{w}} 1$
- **2.** 交換律 Commutative UAdd_u(u, v) = UAdd_u(v, u)
- 3. 结合律 Associative $UAdd_{w}(t, UAdd_{w}(u, v)) = UAdd_{w}(UAdd_{w}(t, u), v)$
- **4.** 具有唯一的0元素 0 is additive identity $UAdd_{w}(u, 0) = u$
- **5.** 每个元素都有补元素 Every element has additive inverse Let $UComp_{w}(u) = 2^{w} u$ $UAdd_{w}(u, UComp_{w}(u)) = 0$



有符号数加法 Signed Addition

$$TAdd(u,v) = U2T (UAdd(T2U(u), T2U(v)))$$

规则

Functionality

- 真实和共w+1位 True sum requires w+1 bits
- 丢弃最高位 Drop off MSB
- 将计算结果视为补码编码
 Treat remaining bits as 2's comp. integer

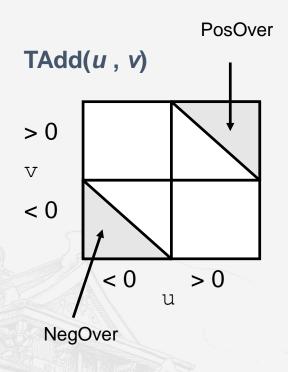
$$TAdd(u,v) = \begin{cases} u+v-2^w & u+v > TMax & (PosOver) \\ u+v & TMin \le u+v \le TMax \\ u+v+2^w & u+v < TMin & (NegOver) \end{cases}$$

PosOver: Positive Overflow 正溢出

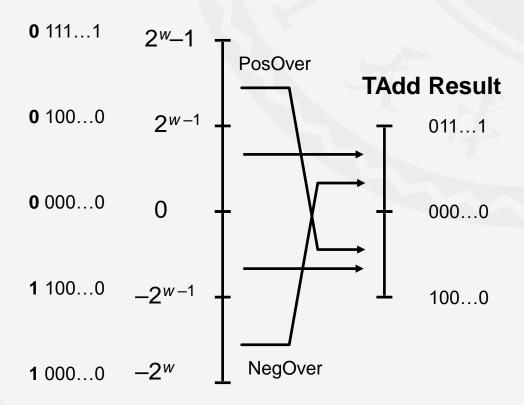
NegOver: Negative Overflow 负溢出



有符号数加法 Signed Addition



True Sum



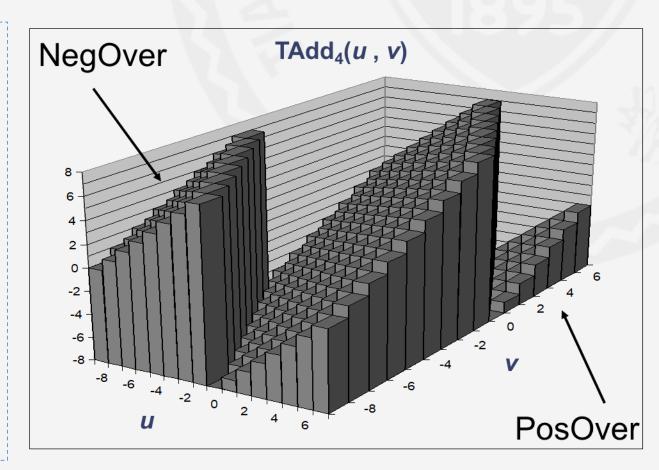


有符号数加法的图形表示 Visualizing Signed Addition

- 4比特补码运算 4-bit two's comp.
- 值的范围 -8 ~ +7 Range from -8 to +7
- 和截断

Wraps Around

- 如果大于等于 2^{w-1} ,变为负数 If sum $\geq 2^{w-1}$, becomes negative
- 如果小于 -2^{w-1} ,变为正数 If sum $< -2^{w-1}$, becomes positive

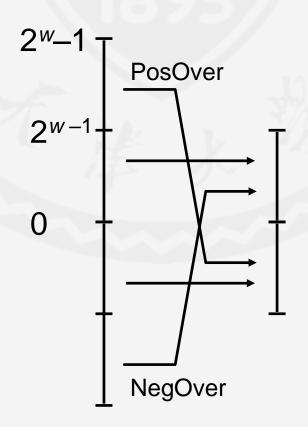




有符号数加法溢出问题讨论 Detecting TAdd Overflow

- \mathbf{U} 设 $s = \mathsf{TAdd}_{\mathcal{U}}(u, \nu)$
- 当且仅当以下情况均不出现时:
 - $U, V < 0, s \ge 0$
- (负溢出)
- U, v ≥ 0, s < 0 (正溢出)
- $= \operatorname{Add}_{w}(u, v)$

- Task
 - Given $s = TAdd_{\omega}(u, v)$
 - Determine if $s == Add_{\nu}(u, \nu)$
- Claim
 - Overflow iff either:
 - U, V < 0, $s \ge 0$ (NegOver)
 - U, $v \ge 0$, s < 0 (PosOver)



overflow = (u<0 == v<0) && (u<0 != s<0)

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使用取反和加法运算求相反数 Negating with Complement & Increment

- 在C语言中有 In C Language
 - \sim x + 1 == -x
- 显然: ~x + x == 1111···111 == -1 Observation: ~x + x == 1111···111 == -1

$$-x = \begin{cases} -2^{w-1} & x = -2^{w-1} \\ -x & x > -2^{w-1} \end{cases}$$

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乘法 Multiplication

- 计算两个w位宽整数数学上的的乘积 Computing Exact Product (积) of w-bit numbers x, y
 - 无论有符号数还是无符号数 Either signed or unsigned
- 范围是 Ranges
 - 无符号数: $0 \le x * y \le (2^w 1)^2 = 2^{2w} 2^{w+1} + 1$ Unsigned: $0 \le x * y \le (2^w - 1)^2 = 2^{2w} - 2^{w+1} + 1$
 - 最多2w位 Up to 2w bits
 - 有符号数最小值: $x*y \ge -2^{w-1}*(2^{w-1}-1) = -2^{2w-2} + 2^{w-1}$ Two's complement min: $x*y \ge -2^{w-1}*(2^{w-1}-1) = -2^{2w-2} + 2^{w-1}$
 - 最多2w-1 位 (包括符号位) Up to 2w–1 bits (including sign bit)
 - 有符号数最大值: $x*y \le (-2^{w-1})^2 = 2^{2w-2}$ Two's complement max: $x*y \le (-2^{w-1})^2 = 2^{2w-2}$
 - 最多2w位(包括符号位),仅当T_{Min}²
 Up to 2w bits (including sign bit) but only for TMin2



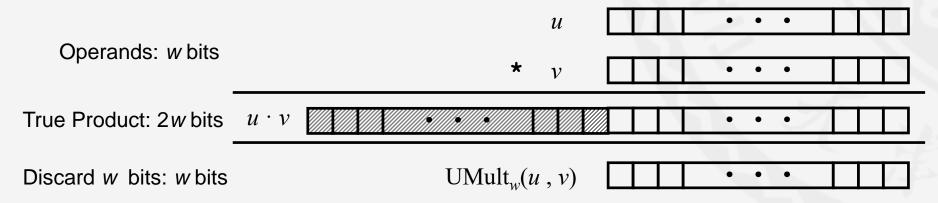
乘法 Multiplication

- 想要获得精确的乘法结果 Maintaining Exact Results
 - 需要使用额外的存储空间保存扩展出的位宽
 Would need to keep expanding word size with each product computed
 - 在一些高精度数学计算库中通常采用这种方法

 Done in software by "arbitrary precision" arithmetic packages



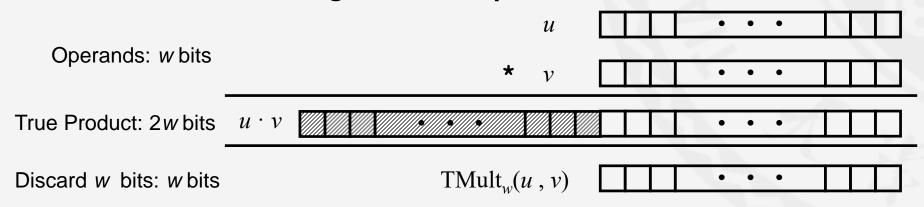
无符号数乘法 Unsigned Multiplication



- C语言中的乘法运算
 Multiplication Function in C
 - 忽略掉高w位 Ignores high order w bits
- 等价的模运算实现 Implements Modular Arithmetic



有符号数乘法 Signed Multiplication



- C语言中的乘法运算
 Multiplication Function in C
 - 忽略掉高w位 Ignores high order w bits
 - 有符号数和无符号数乘法在某些地方是有区别的
 Some of which are different for signed vs. unsigned multiplication
 - 但是低位部分总是相同的 Lower bits are the same

- 等价的模运算实现 Implements Modular Arithmetic
 - TMult_w(u, v) = $U2T_w((u \cdot v) \mod 2^w)$
- 有符号数和无符号数乘法使用相同的指令进行运算 The same instruction is used for signed & unsigned multiplication

本章内容

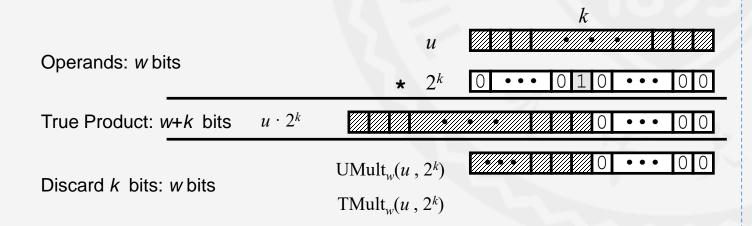
Topic

- □ 编码
 - Encoding
- □ 变换
 - Conversions
- □ 运算
 - Operations
 - □加法
 - Addition
 - □ 有符号数的相反数
 Two's-Complement Negation
 - □乘法
 - Multiplication
 - 使用移位运算实现2的幂的乘法和除法
 Using Shift to perform power-of-2 multiply/divide



使用移位实现乘以2的幂 Power-of-2 Multiply with Shift

- 运算
 - Operation
 - u << k 等价于 u * 2^k u << k gives u * 2^k
 - 同时适用于有符号数和无符号数 Both signed and unsigned
- M如 Examples
 - u << 3 == (u * 8)
 - u << 5 u << 3 == u * 24



- 在大多数计算机上移位运算和加法运算比乘法运算快得多 Most machines shift and add much faster than multiply
 - 编译器会自动生成这种模式的代码
 Compiler will generate this code automatically



使用移位实现无符号数除以2的幂 Unsigned Power-of-2 Divide with Shift

- 无符号数除以2的幂得到的商 Quotient of Unsigned by Power of 2
 - u >> k 等价于 [u / **2**^k] u >> k gives [u / **2**^k]
 - 使用逻辑右移 Uses logical shift

	k	
Operands:	u Binary Point	
	$/ 2^k 0 \cdots 0 1 0 \cdots 0 0$	
Division:	$u/2^k$ 0 ••• 0 0 ••• .	
Quotient:	$\lfloor u/2^k \rfloor$ 0 ••• 0 0 •••	

	Division	Computed	Hex	Binary
x	15213	15213	3B 6D	00111011 01101101
x >> 1	7606.5	7606	1D B6	00011101 10110110
x >> 4	950.8125	950	03 B6	00000011 10110110
x >> 8	59.4257813	59	00 3B	00000000 00111011



使用移位实现有符号数除以2的幂 Signed Power-of-2 Divide with Shift

有符号数除以2的幂得到的商 Quotient of Unsigned by Power of ² Operands:

■ u >> k 等价于 L u / **2**^k 」 $u >> k gives \lfloor u / 2^k \rfloor$

使用算术右移 Uses arithmetic shift

Result:

Division:

RoundDown($u / 2^k$)

 $u/2^k$

■ 当u<0时会出现取整方向的错误 (C语言整数除法舍入规则是向0取整)	,
Rounds wrong direction when $u < 0$ (Rounding to zero in C)	

	Division	Computed	Hex	Binary
Y	-15213	-15213	C4 93	11000100 10010011
y >> 1	-7606.5	-7607	E2 49	1 1100010 01001001
y >> 4	-950.8125	-951	FC 49	11111100 01001001
y >> 8	-59.4257813	-60	FF C4	1111111 11000100

Binary Point



修正除以2的幂的结果 Correct Power-of-2 Divide

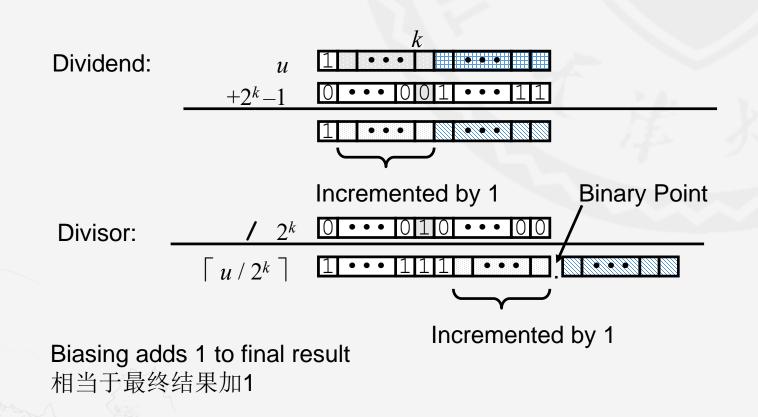
■ 当u<0时

when u < 0

为 u 加上2^k-1
Add 2^k-1 to u

思考:

当u恰好能被整除时会 出现问题吗?





小结 Summary

- 加法 Addition
 - 无/有符号数:编码的二进制加法,然后按位长截断 Unsigned/signed: Normal addition followed by truncate
 - 位运算规则是相同的
 Same operation on bit level
- 乘法 Multiplication
 - 无/有符号数:编码的二进制乘法,然后按位长截断 Unsigned/signed: Normal multiplication followed by truncate
 - 位运算规则是相同的
 Same operation on bit level

- 相反数 Negation
 - 按位取反然后加1 Complement and increment 1
- 乘以2的幂 power-of-2 multiply
 - u << k 等价于 u * 2^k u << k gives u * 2^k
- 除以2的幂 power-of-2 divide
 - u >> k 等价于 [u * 2^k] u >> k gives [u / 2^k]
 - 无符号数:逻辑右移 Unsigned: logical shift
 - 有符号数: 算术右移 Signed: arithmetic shift
 - 有符号数:小于0时,先加2^k-1 Signed: when less than 0, add 2^k-1 first