## A Survey on Private Set Intersection

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October 17, 2019

## Overview

- Introduction
  - PSI Literature
  - Notations
  - The Core of PSI
- Semi-Honest PSI
  - Cuckoo Hashing
  - The Paradigm of [PSZ14]
- Malicious PSI
  - Malicious PSI via Dual Execution
- Multiparty PSI
  - Multiparty PSI from OPPRF

### Content

- Introduction
  - PSI Literature
  - Notations
  - The Core of PSI
- 2 Semi-Honest PSI
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- 4 Multiparty PS
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## Private Set Intersection

### Research Background

Multiparty computation of set intersection

## **Functionality Classification**

- ► Security: Semi-Honest/Malicious
- ▶ Players: Two Party/Multi Party
- Output: Plain Intersection/Post-Processing

## Literature of Private Set Intersection

Paper	Parties	Security	Building Blocks
[PSZ14]	2	Semi-Honest	OT(OPRF)
[HEK12]	2	Semi-Honest	GC,GMW
[CHLR18]	2	Hybrid	(leveled-)FHE
[RR17]	2	Malicious	OT(OPRF)
[KMP <sup>+</sup> 17]	n	Semi-Honest	OT(OPPRF)

Table: Comparison of Different Private Set Intersection Protocols

### **Notations**

#### **PSI Notations:**

- ►  $X, Y \subset \{0,1\}^{\sigma}$ : Input sets
- $X^*, Y^* \subset \{0,1\}^{\lambda + \log(|X|) + \log(|Y|)}$ : Processed input sets
- $igcep ig( {m \atop 1} ig) OT_{v}^{k}$ : k instances of m-choose-1 oblivious transfer on v-bit strings
- $ightharpoonup \mathcal{F}_{PSM}$ : Private set membership protocol (i.e.  $y \in X$ )

6/27

## **Notations**

### **Cuckoo Hashing Notations:**

- ▶ 🌣: Hash table "bins"
- $ightharpoonup m \in \mathbb{N}$ : Hash table size
- ▶  $h_1, h_2, h_3 : \{0, 1\}^* \to [m]$ : Hash function

### A Naïve PSI Protocol

### Compute Intersection on Hashed Values

$$\begin{array}{c} \textit{Sender} & \textit{Receiver} \\ \xrightarrow{X^* := \{H(x) | x \in X\}} & \textit{Output } X \cap Y := \\ & \underbrace{X \cap Y \text{ (optionally)}}_{\textit{Output } X \cap Y} & \text{Output } X \cap Y \end{array}$$

8 / 27

## A Naïve PSI Protocol

### Why Naïve

- ▶ Hashed set X\* has the same entropy as X
- ► This entropy is usually low
- ► Feasible brute-force attack

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When the entropy is acceptable (e.g. 80 bits), this is secure.

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## Semi-Honest PSI

- ▶ 2-Party Semi-Honest PSI receives most attention
- ightharpoonup State-of-the-art only incurs 1-10 times overhead

### **Cuckoo Hashing**

- ► A special hashing function
- Using eviction to resolve collision

#### Insertion

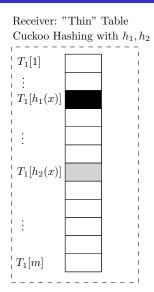
- ▶ Let i = 1, compute index  $l = h_i(x)$
- ▶ If  $\mathfrak{B}[I] = \bot$ , then insert  $\langle x, i \rangle$
- If not, insert anyway
- ▶ Let  $\langle y, j \rangle$  be the original content, let x := y  $i \stackrel{\$}{\leftarrow} [3] \setminus \{j\}$ , goto step 1 If the process iterates more than t times, put the item in a *stash* s.

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### Lookup

▶ For inserted item x, there are only 3 + |s| possible locations



Sender: "Thick" Table					
Regular Hashing with $h_1, h_2$					
1					
$T_{2}[1]$					
1 :					
$T_{1}^{1}T_{2}[h_{1}(x)]$					
I I					
:					
1					
$T_{1}^{1}T_{2}[h_{2}(x)]$					
I I					
1					
:					
1					
$T_{1}T_{2}[m]$					

## The Paradigm of [PSZ14]

$$\mathcal{F}_{\text{PSI}} \leq \mathcal{F}_{\text{PSM}}$$

- Receiver does cuckoo hashing, while the sender does regular hashing
- lacktriangle They then perform m instances of  $\mathcal{F}_{\mathsf{PSM}}$   $(m=|\mathfrak{B}|)$

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15 / 27

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#### Discussion

- Most works in the semi-honest model follow this paradigm
- $\blacktriangleright$  Various means to implement  $\mathcal{F}_{\mathsf{PSM}}$ , e.g. OT, FHE, GC/GMW
- Cuckoo Hashing may be inherently unsuitable for malicious world

## Set Membership from Oblivious Transfer

#### OT as OPRF

- ▶ F<sub>PSM</sub> from Oblivious PRF is quite easy
- (One-Time) Oblivious PRF can be considered some  $\binom{2^{\sigma}}{1} ROT$
- ▶ OT-Extension can efficiently implement this primitive

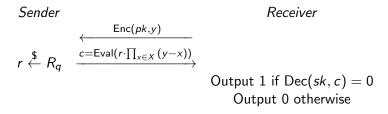
## A Brief Review on OT-Extension

The idea is to "bootstrap" a large number of OT instances from a small number of base OT's.

$$Sender \\ b \overset{\$}{\leftarrow} \{0,1\}^{v} \\ \xrightarrow{b_{j}} \\ \hline T_{b,j} \\ \hline Q^{i} = T_{b}^{i} \oplus s \cdot C^{i} \\ \hline Output (s,Q^{i}) \\ \hline \\ Receiver \\ T_{0},T_{1}\overset{\$}{\leftarrow} \{0,1\}^{m \times v} \\ \leftarrow (T_{0,j},T_{1,j}) \\ \leftarrow (T_{0,j},T_{1,j}) \\ \hline \\ Output H(i||T_{0}^{i}) \\ \hline \\ Output H(i||T_{0}^{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus ECC(w_{i}) \\ \hline \\ Output H(i||T_{0}^{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus ECC(w_{i}) \\ \hline \\ Output H(i||T_{0}^{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus ECC(w_{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus ECC(w_{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus ECC(w_{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus ECC(w_{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus ECC(w_{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus ECC(w_{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus ECC(w_{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus ECC(w_{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus ECC(w_{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus ECC(w_{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus ECC(w_{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus ECC(w_{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus ECC(w_{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus T_{1}^{i} \oplus ECC(w_{i}) \\ \hline \\ C = T_{0}^{i} \oplus T_{1}^{i} \oplus T$$

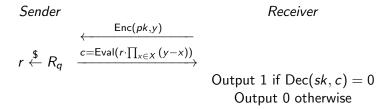
## Set Membership from Homomorphic Encryption

### Naive Approach



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### Naive Approach



### **Several Optimizations**

- ▶ Batching: reduce communication by n/d
- lacktriangle Partitioning: reduce polynomial degree by lpha
- Windowing: reduce circuit depth logarithmally
- Pre-Processing: reduce circuit depth by 1

## Set Membership from General Framework

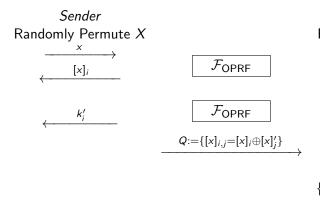
The main advantage is arbitrary post-processing can be applied (by concatenation of circuits), but shuffling the output may be needed.

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## Malicious PSI via Dual Execution

Ideas of [RR17]:



Receiver
Randomly Permute Y



Output 
$$X \cap Y = \{y | \exists i, [y]_i \oplus [y]_j' \in Q\}$$

## **Optimizations**

It is possible to use regular hashing to reduce the quadratic complexity:

- Assuming *n* bins,  $\log(n)$  items per bin, the complexity is  $n \log(n)^2$
- Cuckoo hashing cannot be used here

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The authors of [KMP<sup>+</sup>17] proposed a simple protocol for semi-honest, multiparty PSI:

- Zero-Sharing
- ► Reconstruction

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- Zero-Sharing
- ► Reconstruction

The protocol heavily uses the *Oblivious Programmable PRF* functionality, which can be implemented from  $\mathcal{F}_{OPRF}$  and polynomial interpolation.

For every pair of parties  $P_i, P_j$ :

$$P_{i}$$

$$\text{chooses } s_{k}^{i,1}, \dots, s_{k}^{i,n}$$

$$\text{such that} \bigoplus_{I} s_{k}^{i,I} = 0$$

$$\xrightarrow{\{(x_{k}^{i}, s_{k}^{i,j})\}_{k}} \longrightarrow F_{\text{OPPRF}}$$

$$\begin{array}{c} P_{j} \\ \text{chooses } s_{k}^{j,1}, \dots, s_{k}^{j,n} \\ \text{such that} \bigoplus_{l} s_{k}^{j,l} = 0 \\ \longleftrightarrow \frac{\{s_{k}^{i,j}\}}{s_{k}^{j}} \longleftrightarrow s_{k}^{i,j} \end{array}$$

#### Note that

- $\blacktriangleright$  if  $x \in \bigcap_i X^i$
- ▶ then  $\bigoplus_j s_k^j = 0$

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#### Reconsturction

- ▶ The n parties agree on a dealer, e.g.  $P_1$
- ▶ The party  $P_i$  uses  $(x_k^i, s_k^i)$  to program a PRF
- $\triangleright$   $P_1$  interacts with these parties and gets the sharings
- ▶ If  $x \in X^1$  is in the intersection, then the n-1 results from  $\mathcal{F}_{\mathsf{OPPRF}}$  with  $s^1_k$  (assuming  $x = s^1_k$ ) should form an additive sharing of 0

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26 / 27

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# Thank You