

# Table of Contents

|   |           |
|---|-----------|
| <b>1 Automata: The Methods and the Madness</b>              | <b>1</b>  |
| 1.1 Why Study Automata Theory? . . . . .                    | 2         |
| 1.1.1 Introduction to Finite Automata . . . . .             | 2         |
| 1.1.2 Structural Representations . . . . .                  | 4         |
| 1.1.3 Automata and Complexity . . . . .                     | 5         |
| 1.2 Introduction to Formal Proof . . . . .                  | 5         |
| 1.2.1 Deductive Proofs . . . . .                            | 6         |
| 1.2.2 Reduction to Definitions . . . . .                    | 8         |
| 1.2.3 Other Theorem Forms . . . . .                         | 10        |
| 1.2.4 Theorems That Appear Not to Be If-Then Statements . . | 13        |
| 1.3 Additional Forms of Proof . . . . .                     | 13        |
| 1.3.1 Proving Equivalences About Sets . . . . .             | 14        |
| 1.3.2 The Contrapositive . . . . .                          | 14        |
| 1.3.3 Proof by Contradiction . . . . .                      | 16        |
| 1.3.4 Counterexamples . . . . .                             | 17        |
| 1.4 Inductive Proofs . . . . .                              | 19        |
| 1.4.1 Inductions on Integers . . . . .                      | 19        |
| 1.4.2 More General Forms of Integer Inductions . . . . .    | 22        |
| 1.4.3 Structural Inductions . . . . .                       | 23        |
| 1.4.4 Mutual Inductions . . . . .                           | 26        |
| 1.5 The Central Concepts of Automata Theory . . . . .       | 28        |
| 1.5.1 Alphabets . . . . .                                   | 28        |
| 1.5.2 Strings . . . . .                                     | 29        |
| 1.5.3 Languages . . . . .                                   | 30        |
| 1.5.4 Problems . . . . .                                    | 31        |
| 1.6 Summary of Chapter 1 . . . . .                          | 34        |
| 1.7 References for Chapter 1 . . . . .                      | 35        |
| <b>2 Finite Automata</b>                                    | <b>37</b> |
| 2.1 An Informal Picture of Finite Automata . . . . .        | 38        |
| 2.1.1 The Ground Rules . . . . .                            | 38        |
| 2.1.2 The Protocol . . . . .                                | 39        |
| 2.1.3 Enabling the Automata to Ignore Actions . . . . .     | 41        |

|          |   |           |
|----------|---|-----------|
| 2.1.4    | The Entire System as an Automaton . . . . .                                 | 43        |
| 2.1.5    | Using the Product Automaton to Validate the Protocol . . . . .              | 45        |
| 2.2      | Deterministic Finite Automata . . . . .                                     | 45        |
| 2.2.1    | Definition of a Deterministic Finite Automaton . . . . .                    | 46        |
| 2.2.2    | How a DFA Processes Strings . . . . .                                       | 46        |
| 2.2.3    | Simpler Notations for DFA's . . . . .                                       | 48        |
| 2.2.4    | Extending the Transition Function to Strings . . . . .                      | 49        |
| 2.2.5    | The Language of a DFA . . . . .   | 52        |
| 2.2.6    | Exercises for Section 2.2 . . . . .   | 53        |
| 2.3      | Nondeterministic Finite Automata . . . . .                                  | 55        |
| 2.3.1    | An Informal View of Nondeterministic Finite Automata . . . . .              | 56        |
| 2.3.2    | Definition of Nondeterministic Finite Automata . . . . .                    | 57        |
| 2.3.3    | The Extended Transition Function . . . . .                                  | 58        |
| 2.3.4    | The Language of an NFA . . . . .  | 59        |
| 2.3.5    | Equivalence of Deterministic and Nondeterministic Finite Automata . . . . . | 60        |
| 2.3.6    | A Bad Case for the Subset Construction . . . . .                            | 65        |
| 2.3.7    | Exercises for Section 2.3 . . . . .   | 66        |
| 2.4      | An Application: Text Search . . . . .                                       | 68        |
| 2.4.1    | Finding Strings in Text . . . . .   | 68        |
| 2.4.2    | Nondeterministic Finite Automata for Text Search . . . . .                  | 69        |
| 2.4.3    | A DFA to Recognize a Set of Keywords . . . . .                              | 70        |
| 2.4.4    | Exercises for Section 2.4 . . . . .   | 72        |
| 2.5      | Finite Automata With Epsilon-Transitions . . . . .                          | 72        |
| 2.5.1    | Uses of $\epsilon$ -Transitions . . . . .                                   | 72        |
| 2.5.2    | The Formal Notation for an $\epsilon$ -NFA . . . . .                        | 74        |
| 2.5.3    | Epsilon-Closures . . . . .  | 75        |
| 2.5.4    | Extended Transitions and Languages for $\epsilon$ -NFA's . . . . .          | 76        |
| 2.5.5    | Eliminating $\epsilon$ -Transitions . . . . .                               | 77        |
| 2.5.6    | Exercises for Section 2.5 . . . . .   | 80        |
| 2.6      | Summary of Chapter 2 . . . . .  | 80        |
| 2.7      | References for Chapter 2 . . . . .  | 81        |
| <b>3</b> | <b>Regular Expressions and Languages</b> . . . . .                          | <b>83</b> |
| 3.1      | Regular Expressions . . . . .   | 83        |
| 3.1.1    | The Operators of Regular Expressions . . . . .                              | 84        |
| 3.1.2    | Building Regular Expressions . . . . .                                      | 85        |
| 3.1.3    | Precedence of Regular-Expression Operators . . . . .                        | 88        |
| 3.1.4    | Exercises for Section 3.1 . . . . .   | 89        |
| 3.2      | Finite Automata and Regular Expressions . . . . .                           | 90        |
| 3.2.1    | From DFA's to Regular Expressions . . . . .                                 | 91        |
| 3.2.2    | Converting DFA's to Regular Expressions by Eliminating States . . . . .     | 96        |
| 3.2.3    | Converting Regular Expressions to Automata . . . . .                        | 101       |
| 3.2.4    | Exercises for Section 3.2 . . . . .   | 106       |

|          |   |            |
|----------|---|------------|
| 3.3      | Applications of Regular Expressions . . . . .                   | 108        |
| 3.3.1    | Regular Expressions in UNIX . . . . .                           | 108        |
| 3.3.2    | Lexical Analysis . . . . .                                      | 109        |
| 3.3.3    | Finding Patterns in Text . . . . .                              | 111        |
| 3.3.4    | Exercises for Section 3.3 . . . . .                             | 113        |
| 3.4      | Algebraic Laws for Regular Expressions . . . . .                | 114        |
| 3.4.1    | Associativity and Commutativity . . . . .                       | 114        |
| 3.4.2    | Identities and Annihilators . . . . .                           | 115        |
| 3.4.3    | Distributive Laws . . . . .                                     | 115        |
| 3.4.4    | The Idempotent Law . . . . .                                    | 116        |
| 3.4.5    | Laws Involving Closures . . . . .                               | 117        |
| 3.4.6    | Discovering Laws for Regular Expressions . . . . .              | 117        |
| 3.4.7    | The Test for a Regular-Expression Algebraic Law . . . . .       | 119        |
| 3.4.8    | Exercises for Section 3.4 . . . . .                             | 120        |
| 3.5      | Summary of Chapter 3 . . . . .                                  | 122        |
| 3.6      | References for Chapter 3 . . . . .                              | 122        |
| <b>4</b> | <b>Properties of Regular Languages</b> . . . . .                | <b>125</b> |
| 4.1      | Proving Languages not to be Regular . . . . .                   | 126        |
| 4.1.1    | The Pumping Lemma for Regular Languages . . . . .               | 126        |
| 4.1.2    | Applications of the Pumping Lemma . . . . .                     | 127        |
| 4.1.3    | Exercises for Section 4.1 . . . . .                             | 129        |
| 4.2      | Closure Properties of Regular Languages . . . . .               | 131        |
| 4.2.1    | Closure of Regular Languages Under Boolean Operations . . . . . | 131        |
| 4.2.2    | Reversal . . . . .  | 137        |
| 4.2.3    | Homomorphisms . . . . .   | 139        |
| 4.2.4    | Inverse Homomorphisms . . . . .                                 | 140        |
| 4.2.5    | Exercises for Section 4.2 . . . . .                             | 145        |
| 4.3      | Decision Properties of Regular Languages . . . . .              | 149        |
| 4.3.1    | Converting Among Representations . . . . .                      | 149        |
| 4.3.2    | Testing Emptiness of Regular Languages . . . . .                | 151        |
| 4.3.3    | Testing Membership in a Regular Language . . . . .              | 153        |
| 4.3.4    | Exercises for Section 4.3 . . . . .                             | 153        |
| 4.4      | Equivalence and Minimization of Automata . . . . .              | 154        |
| 4.4.1    | Testing Equivalence of States . . . . .                         | 154        |
| 4.4.2    | Testing Equivalence of Regular Languages . . . . .              | 157        |
| 4.4.3    | Minimization of DFA's . . . . .                                 | 159        |
| 4.4.4    | Why the Minimized DFA Can't Be Beaten . . . . .                 | 162        |
| 4.4.5    | Exercises for Section 4.4 . . . . .                             | 164        |
| 4.5      | Summary of Chapter 4 . . . . .                                  | 165        |
| 4.6      | References for Chapter 4 . . . . .                              | 166        |

|          |  |            |
|----------|--|------------|
| <b>5</b> | <b>Context-Free Grammars and Languages</b>                   | <b>169</b> |
| 5.1      | Context-Free Grammars . . . . .                              | 169        |
| 5.1.1    | An Informal Example . . . . .                                | 170        |
| 5.1.2    | Definition of Context-Free Grammars . . . . .                | 171        |
| 5.1.3    | Derivations Using a Grammar . . . . .                        | 173        |
| 5.1.4    | Leftmost and Rightmost Derivations . . . . .                 | 175        |
| 5.1.5    | The Language of a Grammar . . . . .                          | 177        |
| 5.1.6    | Sentential Forms . . . . .                                   | 178        |
| 5.1.7    | Exercises for Section 5.1 . . . . .                          | 179        |
| 5.2      | Parse Trees . . . . .  | 181        |
| 5.2.1    | Constructing Parse Trees . . . . .                           | 181        |
| 5.2.2    | The Yield of a Parse Tree . . . . .                          | 183        |
| 5.2.3    | Inference, Derivations, and Parse Trees . . . . .            | 184        |
| 5.2.4    | From Inferences to Trees . . . . .                           | 185        |
| 5.2.5    | From Trees to Derivations . . . . .                          | 187        |
| 5.2.6    | From Derivations to Recursive Inferences . . . . .           | 190        |
| 5.2.7    | Exercises for Section 5.2 . . . . .                          | 191        |
| 5.3      | Applications of Context-Free Grammars . . . . .              | 191        |
| 5.3.1    | Parsers . . . . .  | 192        |
| 5.3.2    | The YACC Parser-Generator . . . . .                          | 194        |
| 5.3.3    | Markup Languages . . . . .                                   | 196        |
| 5.3.4    | XML and Document-Type Definitions . . . . .                  | 198        |
| 5.3.5    | Exercises for Section 5.3 . . . . .                          | 204        |
| 5.4      | Ambiguity in Grammars and Languages . . . . .                | 205        |
| 5.4.1    | Ambiguous Grammars . . . . .                                 | 205        |
| 5.4.2    | Removing Ambiguity From Grammars . . . . .                   | 207        |
| 5.4.3    | Leftmost Derivations as a Way to Express Ambiguity . . . . . | 211        |
| 5.4.4    | Inherent Ambiguity . . . . .                                 | 212        |
| 5.4.5    | Exercises for Section 5.4 . . . . .                          | 214        |
| 5.5      | Summary of Chapter 5 . . . . .                               | 215        |
| 5.6      | References for Chapter 5 . . . . .                           | 216        |
| <b>6</b> | <b>Pushdown Automata</b>                                     | <b>219</b> |
| 6.1      | Definition of the Pushdown Automaton . . . . .               | 219        |
| 6.1.1    | Informal Introduction . . . . .                              | 219        |
| 6.1.2    | The Formal Definition of Pushdown Automata . . . . .         | 221        |
| 6.1.3    | A Graphical Notation for PDA's . . . . .                     | 223        |
| 6.1.4    | Instantaneous Descriptions of a PDA . . . . .                | 224        |
| 6.1.5    | Exercises for Section 6.1 . . . . .                          | 228        |
| 6.2      | The Languages of a PDA . . . . .                             | 229        |
| 6.2.1    | Acceptance by Final State . . . . .                          | 229        |
| 6.2.2    | Acceptance by Empty Stack . . . . .                          | 230        |
| 6.2.3    | From Empty Stack to Final State . . . . .                    | 231        |
| 6.2.4    | From Final State to Empty Stack . . . . .                    | 234        |
| 6.2.5    | Exercises for Section 6.2 . . . . .                          | 236        |

|          |   |            |
|----------|---|------------|
| 6.3      | Equivalence of PDA's and CFG's . . . . .                    | 237        |
| 6.3.1    | From Grammars to Pushdown Automata . . . . .                | 237        |
| 6.3.2    | From PDA's to Grammars . . . . .                            | 241        |
| 6.3.3    | Exercises for Section 6.3 . . . . .                         | 245        |
| 6.4      | Deterministic Pushdown Automata . . . . .                   | 246        |
| 6.4.1    | Definition of a Deterministic PDA . . . . .                 | 247        |
| 6.4.2    | Regular Languages and Deterministic PDA's . . . . .         | 247        |
| 6.4.3    | DPDA's and Context-Free Languages . . . . .                 | 249        |
| 6.4.4    | DPDA's and Ambiguous Grammars . . . . .                     | 249        |
| 6.4.5    | Exercises for Section 6.4 . . . . .                         | 251        |
| 6.5      | Summary of Chapter 6 . . . . .                              | 252        |
| 6.6      | References for Chapter 6 . . . . .                          | 253        |
| <b>7</b> | <b>Properties of Context-Free Languages</b>                 | <b>255</b> |
| 7.1      | Normal Forms for Context-Free Grammars . . . . .            | 255        |
| 7.1.1    | Eliminating Useless Symbols . . . . .                       | 256        |
| 7.1.2    | Computing the Generating and Reachable Symbols . . . . .    | 258        |
| 7.1.3    | Eliminating $\epsilon$ -Productions . . . . .               | 259        |
| 7.1.4    | Eliminating Unit Productions . . . . .                      | 262        |
| 7.1.5    | Chomsky Normal Form . . . . .                               | 266        |
| 7.1.6    | Exercises for Section 7.1 . . . . .                         | 269        |
| 7.2      | The Pumping Lemma for Context-Free Languages . . . . .      | 274        |
| 7.2.1    | The Size of Parse Trees . . . . .                           | 274        |
| 7.2.2    | Statement of the Pumping Lemma . . . . .                    | 275        |
| 7.2.3    | Applications of the Pumping Lemma for CFL's . . . . .       | 276        |
| 7.2.4    | Exercises for Section 7.2 . . . . .                         | 280        |
| 7.3      | Closure Properties of Context-Free Languages . . . . .      | 281        |
| 7.3.1    | Substitutions . . . . .                                     | 282        |
| 7.3.2    | Applications of the Substitution Theorem . . . . .          | 284        |
| 7.3.3    | Reversal . . . . .  | 285        |
| 7.3.4    | Intersection With a Regular Language . . . . .              | 285        |
| 7.3.5    | Inverse Homomorphism . . . . .                              | 289        |
| 7.3.6    | Exercises for Section 7.3 . . . . .                         | 291        |
| 7.4      | Decision Properties of CFL's . . . . .                      | 293        |
| 7.4.1    | Complexity of Converting Among CFG's and PDA's . . . . .    | 294        |
| 7.4.2    | Running Time of Conversion to Chomsky Normal Form . . . . . | 295        |
| 7.4.3    | Testing Emptiness of CFL's . . . . .                        | 296        |
| 7.4.4    | Testing Membership in a CFL . . . . .                       | 298        |
| 7.4.5    | Preview of Undecidable CFL Problems . . . . .               | 302        |
| 7.4.6    | Exercises for Section 7.4 . . . . .                         | 302        |
| 7.5      | Summary of Chapter 7 . . . . .                              | 303        |
| 7.6      | References for Chapter 7 . . . . .                          | 304        |

|          |   |            |
|----------|---|------------|
| <b>8</b> | <b>Introduction to Turing Machines</b>                                    | <b>307</b> |
| 8.1      | Problems That Computers Cannot Solve . . . . .                            | 307        |
| 8.1.1    | Programs that Print “Hello, World” . . . . .                              | 308        |
| 8.1.2    | The Hypothetical “Hello, World” Tester . . . . .                          | 310        |
| 8.1.3    | Reducing One Problem to Another . . . . .                                 | 313        |
| 8.1.4    | Exercises for Section 8.1 . . . . .                                       | 316        |
| 8.2      | The Turing Machine . . . . .  | 316        |
| 8.2.1    | The Quest to Decide All Mathematical Questions . . . . .                  | 317        |
| 8.2.2    | Notation for the Turing Machine . . . . .                                 | 318        |
| 8.2.3    | Instantaneous Descriptions for Turing Machines . . . . .                  | 320        |
| 8.2.4    | Transition Diagrams for Turing Machines . . . . .                         | 323        |
| 8.2.5    | The Language of a Turing Machine . . . . .                                | 326        |
| 8.2.6    | Turing Machines and Halting . . . . .                                     | 327        |
| 8.2.7    | Exercises for Section 8.2 . . . . .                                       | 328        |
| 8.3      | Programming Techniques for Turing Machines . . . . .                      | 329        |
| 8.3.1    | Storage in the State . . . . .  | 330        |
| 8.3.2    | Multiple Tracks . . . . .   | 331        |
| 8.3.3    | Subroutines . . . . .   | 333        |
| 8.3.4    | Exercises for Section 8.3 . . . . .                                       | 334        |
| 8.4      | Extensions to the Basic Turing Machine . . . . .                          | 336        |
| 8.4.1    | Multitape Turing Machines . . . . .                                       | 336        |
| 8.4.2    | Equivalence of One-Tape and Multitape TM’s . . . . .                      | 337        |
| 8.4.3    | Running Time and the Many-Tapes-to-One Construction . . . . .             | 339        |
| 8.4.4    | Nondeterministic Turing Machines . . . . .                                | 340        |
| 8.4.5    | Exercises for Section 8.4 . . . . .                                       | 342        |
| 8.5      | Restricted Turing Machines . . . . .                                      | 345        |
| 8.5.1    | Turing Machines With Semi-infinite Tapes . . . . .                        | 345        |
| 8.5.2    | Multistack Machines . . . . .   | 348        |
| 8.5.3    | Counter Machines . . . . .  | 351        |
| 8.5.4    | The Power of Counter Machines . . . . .                                   | 352        |
| 8.5.5    | Exercises for Section 8.5 . . . . .                                       | 354        |
| 8.6      | Turing Machines and Computers . . . . .                                   | 355        |
| 8.6.1    | Simulating a Turing Machine by Computer . . . . .                         | 355        |
| 8.6.2    | Simulating a Computer by a Turing Machine . . . . .                       | 356        |
| 8.6.3    | Comparing the Running Times of Computers and Turing<br>Machines . . . . . | 361        |
| 8.7      | Summary of Chapter 8 . . . . .  | 363        |
| 8.8      | References for Chapter 8 . . . . .  | 365        |
| <b>9</b> | <b>Undecidability</b>   | <b>367</b> |
| 9.1      | A Language That Is Not Recursively Enumerable . . . . .                   | 368        |
| 9.1.1    | Enumerating the Binary Strings . . . . .                                  | 369        |
| 9.1.2    | Codes for Turing Machines . . . . .                                       | 369        |
| 9.1.3    | The Diagonalization Language . . . . .                                    | 370        |
| 9.1.4    | Proof that $L_d$ is not Recursively Enumerable . . . . .                  | 372        |

|           |   |            |
|-----------|---|------------|
| 9.1.5     | Exercises for Section 9.1 . . . . .                                 | 372        |
| 9.2       | An Undecidable Problem That is RE . . . . .                         | 373        |
| 9.2.1     | Recursive Languages . . . . .                                       | 373        |
| 9.2.2     | Complements of Recursive and RE languages . . . . .                 | 374        |
| 9.2.3     | The Universal Language . . . . .                                    | 377        |
| 9.2.4     | Undecidability of the Universal Language . . . . .                  | 379        |
| 9.2.5     | Exercises for Section 9.2 . . . . .                                 | 381        |
| 9.3       | Undecidable Problems About Turing Machines . . . . .                | 383        |
| 9.3.1     | Reductions . . . . .  | 383        |
| 9.3.2     | Turing Machines That Accept the Empty Language . . . . .            | 384        |
| 9.3.3     | Rice's Theorem and Properties of the RE Languages . . . . .         | 387        |
| 9.3.4     | Problems about Turing-Machine Specifications . . . . .              | 390        |
| 9.3.5     | Exercises for Section 9.3 . . . . .                                 | 390        |
| 9.4       | Post's Correspondence Problem . . . . .                             | 392        |
| 9.4.1     | Definition of Post's Correspondence Problem . . . . .               | 392        |
| 9.4.2     | The "Modified" PCP . . . . .  | 394        |
| 9.4.3     | Completion of the Proof of PCP Undecidability . . . . .             | 397        |
| 9.4.4     | Exercises for Section 9.4 . . . . .                                 | 403        |
| 9.5       | Other Undecidable Problems . . . . .                                | 403        |
| 9.5.1     | Problems About Programs . . . . .                                   | 403        |
| 9.5.2     | Undecidability of Ambiguity for CFG's . . . . .                     | 404        |
| 9.5.3     | The Complement of a List Language . . . . .                         | 406        |
| 9.5.4     | Exercises for Section 9.5 . . . . .                                 | 409        |
| 9.6       | Summary of Chapter 9 . . . . .                                      | 410        |
| 9.7       | References for Chapter 9 . . . . .                                  | 411        |
| <b>10</b> | <b>Intractable Problems</b> . . . . .                               | <b>413</b> |
| 10.1      | The Classes $\mathcal{P}$ and $\mathcal{NP}$ . . . . .              | 414        |
| 10.1.1    | Problems Solvable in Polynomial Time . . . . .                      | 414        |
| 10.1.2    | An Example: Kruskal's Algorithm . . . . .                           | 414        |
| 10.1.3    | Nondeterministic Polynomial Time . . . . .                          | 419        |
| 10.1.4    | An $\mathcal{NP}$ Example: The Traveling Salesman Problem . . . . . | 419        |
| 10.1.5    | Polynomial-Time Reductions . . . . .                                | 421        |
| 10.1.6    | NP-Complete Problems . . . . .                                      | 422        |
| 10.1.7    | Exercises for Section 10.1 . . . . .                                | 423        |
| 10.2      | An NP-Complete Problem . . . . .                                    | 426        |
| 10.2.1    | The Satisfiability Problem . . . . .                                | 426        |
| 10.2.2    | Representing SAT Instances . . . . .                                | 427        |
| 10.2.3    | NP-Completeness of the SAT Problem . . . . .                        | 428        |
| 10.2.4    | Exercises for Section 10.2 . . . . .                                | 434        |
| 10.3      | A Restricted Satisfiability Problem . . . . .                       | 435        |
| 10.3.1    | Normal Forms for Boolean Expressions . . . . .                      | 436        |
| 10.3.2    | Converting Expressions to CNF . . . . .                             | 437        |
| 10.3.3    | NP-Completeness of CSAT . . . . .                                   | 440        |
| 10.3.4    | NP-Completeness of 3SAT . . . . .                                   | 445        |
| 10.3.5    | Exercises for Section 10.3 . . . . .                                | 446        |

|   |            |
|---|------------|
| 10.4 Additional NP-Complete Problems . . . . .  | 447        |
| 10.4.1 Describing NP-complete Problems . . . . .  | 447        |
| 10.4.2 The Problem of Independent Sets . . . . .  | 448        |
| 10.4.3 The Node-Cover Problem . . . . .   | 452        |
| 10.4.4 The Directed Hamilton-Circuit Problem . . . . .                                  | 453        |
| 10.4.5 Undirected Hamilton Circuits and the TSP . . . . .                               | 460        |
| 10.4.6 Summary of NP-Complete Problems . . . . .  | 461        |
| 10.4.7 Exercises for Section 10.4 . . . . .   | 462        |
| 10.5 Summary of Chapter 10 . . . . .  | 466        |
| 10.6 References for Chapter 10 . . . . .  | 467        |
| <b>11 Additional Classes of Problems</b> . . . . .                                      | <b>469</b> |
| 11.1 Complements of Languages in $\mathcal{NP}$ . . . . .                               | 470        |
| 11.1.1 The Class of Languages $\text{Co-}\mathcal{NP}$ . . . . .                        | 470        |
| 11.1.2 NP-Complete Problems and $\text{Co-}\mathcal{NP}$ . . . . .                      | 471        |
| 11.1.3 Exercises for Section 11.1 . . . . .   | 472        |
| 11.2 Problems Solvable in Polynomial Space . . . . .                                    | 473        |
| 11.2.1 Polynomial-Space Turing Machines . . . . .                                       | 473        |
| 11.2.2 Relationship of $\mathcal{PS}$ and $\mathcal{NPS}$ to Previously Defined Classes | 474        |
| 11.2.3 Deterministic and Nondeterministic Polynomial Space . .                          | 476        |
| 11.3 A Problem That Is Complete for $\mathcal{PS}$ . . . . .                            | 478        |
| 11.3.1 $\mathcal{PS}$ -Completeness . . . . .   | 478        |
| 11.3.2 Quantified Boolean Formulas . . . . .  | 479        |
| 11.3.3 Evaluating Quantified Boolean Formulas . . . . .                                 | 480        |
| 11.3.4 $\mathcal{PS}$ -Completeness of the QBF Problem . . . . .                        | 482        |
| 11.3.5 Exercises for Section 11.3 . . . . .   | 487        |
| 11.4 Language Classes Based on Randomization . . . . .                                  | 487        |
| 11.4.1 Quicksort: an Example of a Randomized Algorithm . . .                            | 488        |
| 11.4.2 A Turing-Machine Model Using Randomization . . . . .                             | 489        |
| 11.4.3 The Language of a Randomized Turing Machine . . . . .                            | 490        |
| 11.4.4 The Class $\mathcal{RP}$ . . . . .   | 492        |
| 11.4.5 Recognizing Languages in $\mathcal{RP}$ . . . . .                                | 494        |
| 11.4.6 The Class $\mathcal{ZPP}$ . . . . .  | 495        |
| 11.4.7 Relationship Between $\mathcal{RP}$ and $\mathcal{ZPP}$ . . . . .                | 496        |
| 11.4.8 Relationships to the Classes $\mathcal{P}$ and $\mathcal{NP}$ . . . . .          | 497        |
| 11.5 The Complexity of Primality Testing . . . . .                                      | 498        |
| 11.5.1 The Importance of Testing Primality . . . . .                                    | 499        |
| 11.5.2 Introduction to Modular Arithmetic . . . . .                                     | 501        |
| 11.5.3 The Complexity of Modular-Arithmetic Computations . .                            | 503        |
| 11.5.4 Random-Polynomial Primality Testing . . . . .                                    | 504        |
| 11.5.5 Nondeterministic Primality Tests . . . . .                                       | 505        |
| 11.5.6 Exercises for Section 11.5 . . . . .   | 508        |
| 11.6 Summary of Chapter 11 . . . . .  | 508        |
| 11.7 References for Chapter 11 . . . . .  | 510        |
| <b>Index</b> . . . . .  | <b>513</b> |