## Algebra

- Based on operators and a domain of values
- Operators map arguments from domain into another domain value
- Hence, an expression involving operators and arguments produces a value in the domain.
- We refer to the expression as a query and the value produced as the result of that query

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## Relational Algebra

- Domain: set of relations
- Basic operators:
  - Select  $(\sigma)$
  - project  $(\Pi)$
  - union (U)
  - set difference (- )
  - Cartesian product (X)
- Derived operators: set intersection, division, join
- Procedural: Relational expression specifies query by describing an algorithm (the sequence in which operators are applied) for determining the result of an expression

# Select Operator

• Produce table containing subset of rows of argument table satisfying condition

$$\sigma_{< condition>}(< relation>)$$

• Example:  $\sigma_{Hobby='stamps'}$  (Person)

Id	Name	Address	Hobby
1123	John	123 Main	stamps
1123	John	123 Main	coins
5556	Mary	7 Lake Dr	hiking
9876	Bart	5 Pine St	stamps

Id		e Address	
1123	John	123 Main	stamps
9876	Bart	5 Pine St	stamps

Person

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### **Selection Condition**

- Operators:  $\langle, \leq, \geq, \rangle, =, \neq$
- Simple selection condition:
  - <attribute> operator <constant>
  - <attribute> operator <attribute>
- <condition> AND <condition>
- <condition> OR <condition>
- NOT <condition>

# Selection Condition - Examples

- $\sigma_{Id>3000\ Or\ Hobby=\ hiking}$ , (Person)
- $\sigma_{Id>3000\,AND\,Id<3999}$  (Person)
- $\sigma_{NOT(Hobby='hiking')}(Person)$
- $\sigma_{Hobby \neq 'hiking'}$  (Person)

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# **Project Operator**

• Produce table containing subset of columns of argument table

 $\Pi_{\langle attribute ext{-}list
angle}$  ( $\langle relation
angle$ )

• Example:  $\Pi_{Name, Hobby}(Person)$ 

Id	Name	Address	Hobby
1123	John	123 Main	stamps
1123	John	123 Main	coins
5556	Mary	7 Lake Dr	hiking
9876	Bart	5 Pine St	stamps

Name Hobby

John stamps
John coins
Mary hiking
Bart stamps

Person

# **Project Operator**

Example:  $\Pi_{Name, Address}$  (Person)

Id	Name	Address	Hobby
1123	John	123 Main	stamps
1123	John	123 Main	coins
5556	Mary	7 Lake Dr	hiking
9876	Bart	5 Pine St	stamps

Name	Address
John	123 Main
Mary	7 Lake Dr
Bart	5 Pine St

Person

Result is a table (no duplicates)

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# **Expressions**

 $\Pi_{\mathit{Id, Name}}\left(\sigma_{\mathit{Hobby='stamps'}}\right)$ 

Id	Name	Address	Hobby
1123	John	123 Main	stamps
1123	John	123 Main	coins
5556	Mary	7 Lake Dr	hiking
9876	Bart	5 Pine St	stamps

Id Name 1123 John 9876 Bart

Person

## **Set Operators**

- Relation is a set of tuples => set operations should apply
- Result of combining two relations with a set operator is a relation => all its elements must be tuples having same structure
- Hence, scope of set operations limited to union compatible relations

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# Union Compatible Relations

- Two relations are union compatible if
  - Both have same number of columns
  - Domains of the corresponding attributes in both relations must be compatible
- Union compatible relations can be combined using union, intersection, and set difference

## Example

#### Tables:

Person (SSN, Name, Address, Hobby) Professor (Id, Name, Office, Phone) are not union compatible. However

 $\Pi_{Name}$  (Person) and  $\Pi_{Name}$  (Professor) are union compatible and

 $\Pi_{\it Name} \, (Person)$  -  $\Pi_{\it Name} \, (Professor)$  makes sense.

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### **Cartesian Product**

- If R and S are two relations,  $R \times S$  is the set of all concatenated tuples  $\langle x, y \rangle$ , where x is a tuple in R and y is a tuple in S
  - (*R* and *S* need not be union compatible)
- $R \times S$  is expensive to compute:
  - Factor of two in the size of each row
  - Quadratic in the number of rows

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## Renaming

- Result of expression evaluation is a relation
- Attributes of relation must have distinct names. This is not guaranteed with Cartesian product
  - e.g., suppose in previous example a = c
- Renaming operator tidies this up. To assign the names  $A_1, A_2, ..., A_n$  to the attributes of the n column relation produced by *expression* use *expression*  $[A_1, A_2, ..., A_n]$

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# Example

Transcript (StudId, CrsCode, Semester, Grade)

Teaching (ProfId, CrsCode, Semester)

 $\Pi_{StudId, CrsCode}$  (Transcript)[StudId, SCrsCode]  $\times$   $\Pi_{ProfId, CrsCode}$ (Teaching) [ProfId, PCrscode]

This is a relation with 4 attributes: StudId, SCrsCode, ProfId, PCrsCode

# Derived Operation: Join

### The expression:

$$\sigma_{join\text{-}condition'}(R \times S)$$
 where  $join\text{-}condition'$  is a  $conjunction$  of terms:

 $A_i$  oper  $B_i$  in which  $A_i$  is an attribute of R,  $B_i$  is an attribute of S, and oper is one of =, <, >,  $\ge \ne$ ,  $\le$ , is referred to as the (theta) join of R and S and denote  $\triangleright$ .

R  $_{join\text{-}condition}$  S Where join-condition and join-condition are  $_{15}$  (roughly) the same ...

# Join and Renaming

• **Problem**: *R* and *S* might have attributes with the same name – in which case the Cartesian product is not defined

#### • Solution:

- Rename attributes prior to forming the product and use new names in *join-condition* '.
- Common attribute names are qualified with relation names in the result of the join

# Theta Join – Example

Output the names of all employees that earn more than their managers.

$$\Pi_{Employee.Name}(Employee) \longrightarrow_{MngrId=Id\ AND\ Salary>Salary}$$
 $Manager)$ 

The join yields a table with attributes:

Employee.Name, Employee.Id, Employee.Salary, MngrId Manager.Name, Manager.Id, Manager.Salary

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# Equijoin Join - Example

Equijoin: Join condition is a conjunction of equalities.

#### Student

Id	Name	Addr	Status
111	John		• • • • •
222	Mary	• • • • •	• • • • •
333	Bill	• • • • •	• • • • •
444	Mary Bill Joe	• • • • •	• • • • •

### Transcript

StudId	CrsCode	Sem	Grade
111	CSE305	<b>S</b> 00	В
222	CSE306	<b>S</b> 99	A
333	CSE304	F99	A

Mary CSE306 Bill CSE304 The equijoin is commonly used since it combines related data in different relations.

# Equijoin Join - Example

Equijoin: Join condition is a conjunction of equalities.

 $\Pi_{Name,CrsCode}(Student \bowtie_{Id=StudId\ and} \sigma_{Grade='A'}(Transcript))$ 

C	<b>f</b> 11	А	en	1
N	ιu	u	$\mathbf{C}\mathbf{I}$	ιι

Id	Name	Addr	Status
111	John	• • • • •	• • • • •
222	Mary		••••
333	Bill	• • • • •	• • • • •
444	Joe		• • • • •

### Transcript

StudId	CrsCode	Sem	Grade
111	CSE305	<b>S</b> 00	В
222	CSE306	<b>S</b> 99	A
333	CSE304	F99	A

Mary CSE306 Bill CSE304 The equijoin is commonly used since it combines related data in different relations.

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#### Climbers (C2):

CId	CName	Skill	Age
123	Edmund	EXP	80
214	Arnold	BEG	25
313	Bridget	EXP	33
212	James	MED	27

#### Climbs (C1):

		• •	
CId	RId	Date	Duration
123	1	10/10/88	5
123	3	11/08/87	1
313	1	12/08/89	5
214	2	08/07/92	2
313	1	06/07/94	<u> </u>

### $\sigma_{\mathit{CId}:1=\mathit{CId}:2}$ (Climbs×Climbers):

C1.CId	RIC	l Date	Duration	c2.CI	d CName	Skill	Age
123	1	10/10/88	3 5	123	Edmund	EXP	80
123	3	11/08/87	7 1	123	Edmund	EXP	80
313	1	12/08/89	9 5	313	Bridget	EXP	33
214	2	08/07/92	2 2	214	Arnold	BEG	25
313	1	06/07/94	1 3	313	Bridget	EXP	33

## Natural JOIN (\*)

- In an EQUIJOIN  $R \leftarrow R_1 \propto_c R_2$ , the join attribute of  $R_2$  appear *redundantly* in the result relation R.
- In a NATURAL JOIN, the *redundant join attributes* of R<sub>2</sub> are *eliminated* from R. The equality condition is *implied* and need not be specified.

#### Climbs∞Climbers:

CId	RId	Date	Dur	ation	CName	Skill	Age
123	1	10/10/88	3 5		Edmund	EXP	80
123	3	11/08/87	7 1		Edmund	EXP	80
313	1	12/08/89	5		Bridget	EXP	33
214	2	08/07/92	2 2		Arnold	BEG	25
313	1	06/07/94	1 3		Bridget	EXP	33

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### **Natural Join**

- Special case of equijoin:
  - join condition equates all and only those attributes with the same name (condition doesn't have to be explicitly stated)
  - duplicate columns eliminated from the result

Transcript (StudId, CrsCode, Sem, Grade)
Teaching (ProfId, CrsCode, Sem)

Transcript \* Teaching =  $\pi_{StudId, Transcript.CrsCode, Transcript.Sem, Grade, ProfId}($   $Transcript \searrow CrsCode = CrsCode \ AND \ Sem = Sem \ Teaching)$   $[StudId, CrsCode, Sem, Grade, ProfId]_{22}$ 

## Natural Join (con't)

• More generally:

$$R * S = \pi_{attr-list} (\sigma_{join-cond} (R \times S))$$

where

 $attr-list = attributes (R) \cup attributes (S)$  (duplicates are eliminated) and join-cond has the form:

$$A_1 = A_1 \text{AND} \dots \text{AND} A_n = A_n$$
 where

$$\{A_1 \dots A_n\} = attributes(R) \cap attributes(S)$$

# Natural Join Example

• List all Id's of students who took at least two different courses:

# Natural Join Example

• List all Id's of students who took at least two different courses:

$$\Pi_{StudId}$$
 (  $\sigma_{CrsCode \neq CrsCode2}$  (
 $Transcript *$ 
 $Transcript$  [StudId, CrsCode2, Sem2, Grade2]))

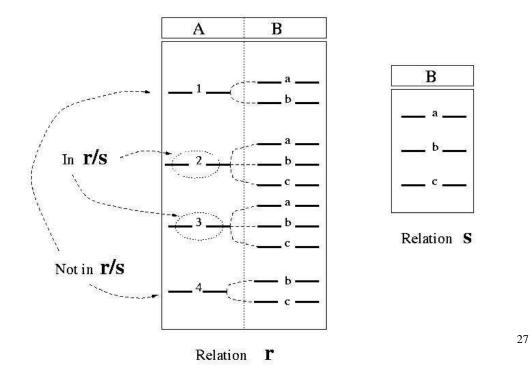
(don't join on CrsCode, Sem, and Grade attributes)

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### Division

- Goal: Produce the tuples in one relation, r, that match *all* tuples in another relation, s
  - $-r(A_1, ...A_n, B_1, ...B_m)$
  - $-s(B_1...B_m)$
  - -r/s, with attributes  $A_1$ , ... $A_n$ , is the set of all tuples < a > such that for every tuple < b > in s, < a,b > is in r
- Can be expressed in terms of projection, set difference, and cross-product

# Division (con't)



# Division - Example

• List the Ids of students who have passed *all* courses that were taught in spring 2000

# Division - Example

- List the Ids of students who have passed *all* courses that were taught in spring 2000
- *Numerator*: StudId and CrsCode for every course passed by every student
  - $-\pi_{StudId,\ CrsCode}(\sigma_{Grade \neq \ 'F'}\ (Transcript))$
- *Denominator*: CrsCode of all courses taught in spring 2000
  - $-\Pi_{CrsCode}(\sigma_{Semester=`S2000'}(Teaching))$
- Result is *numerator/denominator*

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### **Complete Set of Relational Algebra Operations**

- the set  $\{\delta, \Pi, U, -, X\}$  is called a *complete set* of relational algebra operations. Any query language *equivalent to* these operations is called **relationally complete**.
- All the basic operations discussed so far can be described as a sequence of *only* the above set
- Additional operations were not part of the *original* relational algebra
  - Aggregate functions (SUM, AVG), grouping
  - Outer Join, outer union



# **Additional Relational Operations**

- Aggregate Functions and Grouping
- Outer JOIN and Outer UNION

# Aggregate functions

- Functions are often applied to sets of values or sets of tuples in DB applications
  - SUM, COUNT, AVERAGE, MIN, MAX
  - grouping attributes> F function list> (R)
     Grouping attributes are optional
- Example:

List the average salary of all employees (no grouping needed):

For each department, retrieve the department number, the number of employees, and the average salary:

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# Aggregate functions

- Functions are often applied to sets of values or sets of tuples in DB applications
  - SUM, COUNT, AVERAGE, MIN, MAX
  - <grouping attributes>  $m{F}$  <function list> (R)
  - Grouping attributes are optional
- Example:

List the average salary of **all** employees (no grouping needed):

 $R(AVGSAL) \leftarrow F_{AVGSALARY} (EMPLOYEE)$ 

For each department, retrieve the department number, the number of employees, and the average salary:

 $R(DNO,NUMEMPS,AVGSAL) \leftarrow$ 

DNO F COUNT SSN, AVERAGE SALARY (EMPLOYEE)

- DNO is called the *grouping attribute* 

Figure 7.16 An illustration of the aggregate function operation. (a) R(dno, no\_of\_employees, average\_sal)  $\leftarrow_{\text{dno}} \widetilde{\mathfrak{F}}_{\text{count ssn,average salary}}$  (employee). (b)  $_{\text{dno}} \widetilde{\mathfrak{F}}_{\text{count ssn,average salary}}$  (employee). (c)  $\widetilde{\mathfrak{F}}_{\text{count ssn,average salary}}$  (employee).

(a)		DNO	NO_OF_EMPLOYEES	AVERAGE_SAL
		5	4	33250
		4	3	31000
		1	1	55000

(b)	DNO	COUNT_SSN	AVERAGE_SALARY	
5		4	33250	
4		3	31000	
1		1	55000	

(c)	COUNT_SSN	AVERAGE_SALARY		
	8	35125		

@ Addison Wesley Longman, Inc. 2000, Elmasri/Navathe, Fundamentals of Database Systems, Third Edition

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# Outer join

- In a regular EQUIJOIN or NATURAL JOIN operation, tuples in R1 or R2 that do not have matching tuples in the other relation *do not appear in the result*
- Tuples with null in the join attributes are also eliminated.
- Some queries require all tuples in R1 (or R2 or both) to appear in the result
- When no matching tuples are found, **null**s are placed for the missing attributes

# Outer join (Cont.)

#### • LEFT OUTER JOIN:

- R1 left∞ R2 lets every tuple in R1 appear in the result

### • RIGHT OUTER JOIN:

– R1 ∞right R2 lets every tuple in R2 appear in the result

### • FULL OUTER JOIN:

 R1 left∞right R2 lets every tuple in R1 or R2 appear in the result

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Figure 7.18 The LEFT OUTER JOIN operation.

RESULT	FNAME	MINIT	LNAME	DNAME
	John	В	Smith	null
	Franklin	Т	Wong	Research
	Alicia	J	Zelaya	null
	Jennifer	S	Wallace	Administration
	Ramesh	K	Narayan	null
	Joyce	Α	English	null
	Ahmad	٧	Jabbar	null
	James	Е	Borg	Headquarters

# **Outer UNION**

- If two relations are **not union compatible**
- Partial compatible
- example

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