

Circularity in Resource and Waste Engineering

ENVM 1100

Lecture 1 – Principles of Circularity (Theme 2)

22 Nov 2022

Topics: Introduction to circularity, Primary metal resources,
Limits on Greenhouse gases.

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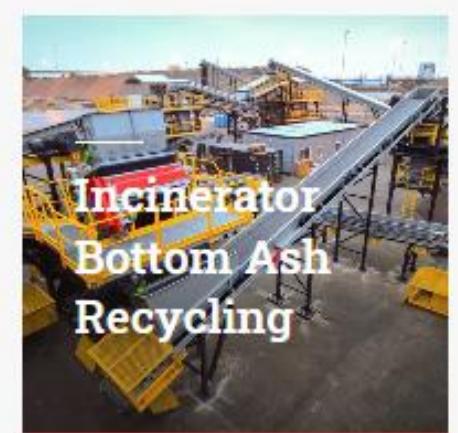
Research & Innovation



Circular Economy



Recycling Technologies



Course Organization

- See "Principles of Circularity Syllabus" on Brightspace and weekly updates

The screenshot shows the 'Module Information' page from the Brightspace Learning Management System. At the top right, there is a search bar labeled 'Search Topics' with a magnifying glass icon. Below it, the title 'Module Information' is displayed with a dropdown arrow. On the left, a sidebar lists several sections with their respective icons and counts:

- Bookmarks (0)
- Course Schedule (1)
- Table of Contents (6)
- Module Information (3) - This section is currently selected, indicated by a grey background.
- Module Description (✓)
- Learning Objectives (1)
- Module structure and dates (✓)

On the right, under 'Module Information', there is a 'Download' button with a cloud icon. Below it, a progress bar indicates '66,67 % 6 of 9 topics complete'. The main content area displays three items:

- Lecture scheme RWE SS Module ENV1100 Q2** (Word Document)
- Lecture scheme RWE SS Module ENV1100 Q2 excel** (PDF document)
- Principles of Circularity - Syllabus** (PDF document)

A large orange oval highlights the 'Principles of Circularity - Syllabus' item.

Lectures and learning activities

Week	Lecture	Date	Room	Type	Lecturers
2.2	L1	Tuesday 22 November 2022 from 13:45 to 15:45	(Hall G - S2 1.38)	Lecture and tutorial	FDM, SH
2.3	L2	Tuesday 29 November 2022 from 13:45 to 15:45	(Hall G - S2 1.38)	Lecture and tutorial	FDM, SH
2.4	L3	Tuesday 6 December 2022 from 15:45 to 17:45	(CEG 3.02)	Lecture and tutorial	FDM, SH
2.5	L4	Tuesday 13 December 2022 from 15:45 to 17:45	(CEG 3.02)	Lecture and tutorial	FDM, SH
2.6	L5	Tuesday 20 December 2022 from 15:45 to 17:45	(CEG 0.070) PC room	PC practicum and workshop	Petra Veen (KIDV)
2.7	L6	Tuesday 10 January 2023 from 15:45 to 17:45	(CEG 3.02)	Lecture	MB, SH

▲ Tuesday 10 January 2023 → Project I written report submission

2.8	L7	Tuesday 17 January 2023 from 15:45 to 17:45	(CEG 3.02)	Presentations workshop	Dr. Vincenzo Gente, FDM
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▲ Tuesday 17 January 2023 → Project II presentation

2.8	L8	Thursday 19 January 2023 from 08:45 to 10:45	(CEG 2.02)	Lecture	Dirk Grootendorst, MB
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▲ Tuesday 24 January 2023 → Project II written report submission

▲ Summative exam - week 3.10 (17-21 April 2023)

(!) timeslots and rooms change

Lecture Topics

Three atoms of learning for the first weeks

- **L1: Introduction to circularity, Primary metal resources, Limits on Greenhouse gases.**
- L2: Sustainability and circular economy, Circular Economy in depth, Losses from a Circular Economy.
- L3: Circular Economy Indicators, Transition to biomaterials and Renewable energy, Standardization
- L4: Sorting of complex wastes, Resource Stress, Resource Efficiency of Products
- L5: Project I-'Recycling of packaging in the Netherlands' by KIDV
- L6: Building materials, their environmental impacts and waste management options and regulations (MB)
- L7: Project II -'EU legislative initiatives on Circular Economy and Resource Efficiency' project presentation with invited guest Dr. V.Gente
- L8: Lecture by Dirk Grootendorst, HSE manager at Meuva B.V., Rotterdam. Company focused on deconstruction and risk assessment.

Assessments (for topic Principles of Circularity)

- Formative:
 - **1 Project presentation** not graded, but necessary
 - **Weekly Quizzes** not graded, but necessary
- Summative:
 - **2 Project Reports** (portfolio)
 - **Exam**

Projects:

- The two projects are formally assessed with the presentation
- The reports are assessed in groups and will be also verified for plagiarism via Turnitin.

Weekly Quizzes

- Weekly quiz problems and conceptual questions solved on BS, which contain already the answer.
- Students will be introduced to the questions during the lecture and the week after the solution will be provided as a formative assessment.

Exam

- **Date: week 3.10 (17-21 April 2023)**
- Mostly quantitative problems with some reflection questions about main concepts.
- Based on material from L1, L2, L3, L4 and L6, discussed in lectures, tutorials and the BS weekly quizzes (similar questions).

Why Circular?

An introduction

A photograph taken from a low angle looking up through a circular opening in a brick wall. The opening frames a large, mature tree with a dense canopy of bright green leaves against a clear blue sky. The brick wall surrounding the opening has a distinctive pattern of alternating light and dark grey or black bricks. In the bottom right corner, the text "Why Circular?" is written in a large, bold, orange-red font.

Why Circular?

Learning Objectives (LOs)

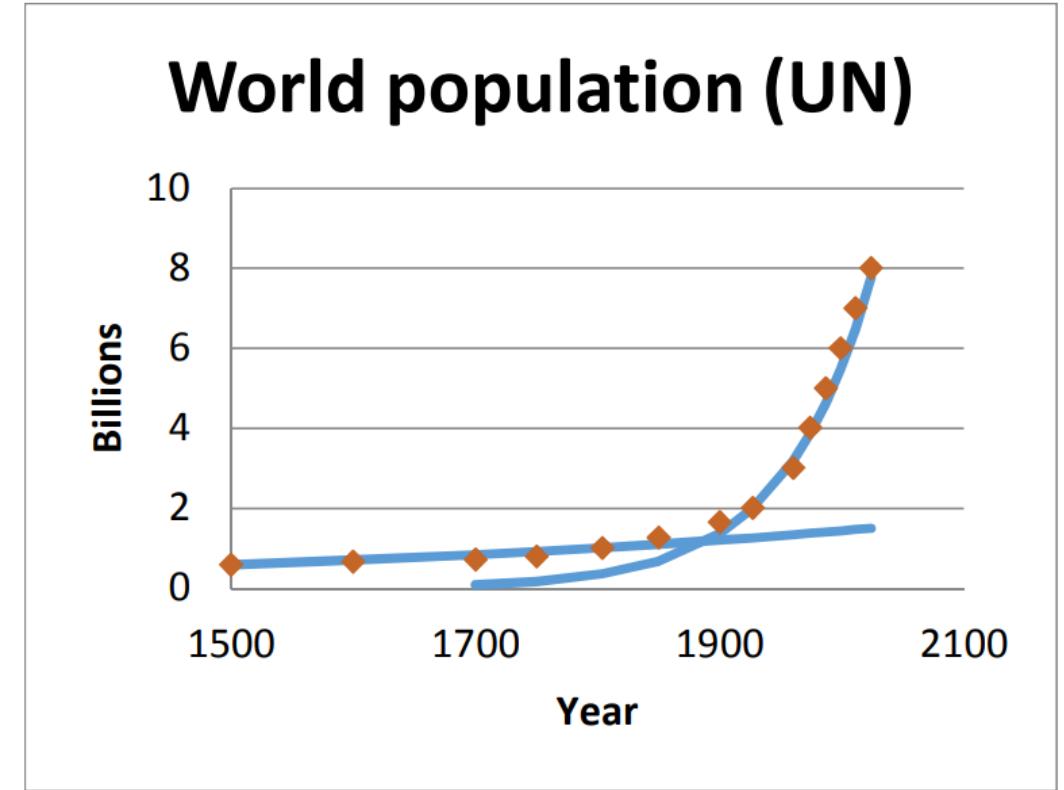
- Recognize population growth tendencies and causes for a population to keep exponentially growing, stabilize or collapse in animals and humans.
- Explain the two main different types of resources for humans and their regeneration times.
- Model growth, stabilization and collapse for animal populations.
- Recognize the self-controlled population growth in humans as an alternative growth mechanism.
- Identify the main challenges about resource extraction, use, disposal, and environmental impact.
- Identify the main benefits and challenges about going circular (how to measure it, technology role, etc.)

Population growth as starting point

- Humans have had control over their food supply and living conditions for the last 10 000 years
- For thousands years humans imagined themselves to be living on a “virtually (resource-unlimited) infinite plane”
- Human population → accelerated exponential increase during the last 120 years
- Is it possible to sustain this growth?



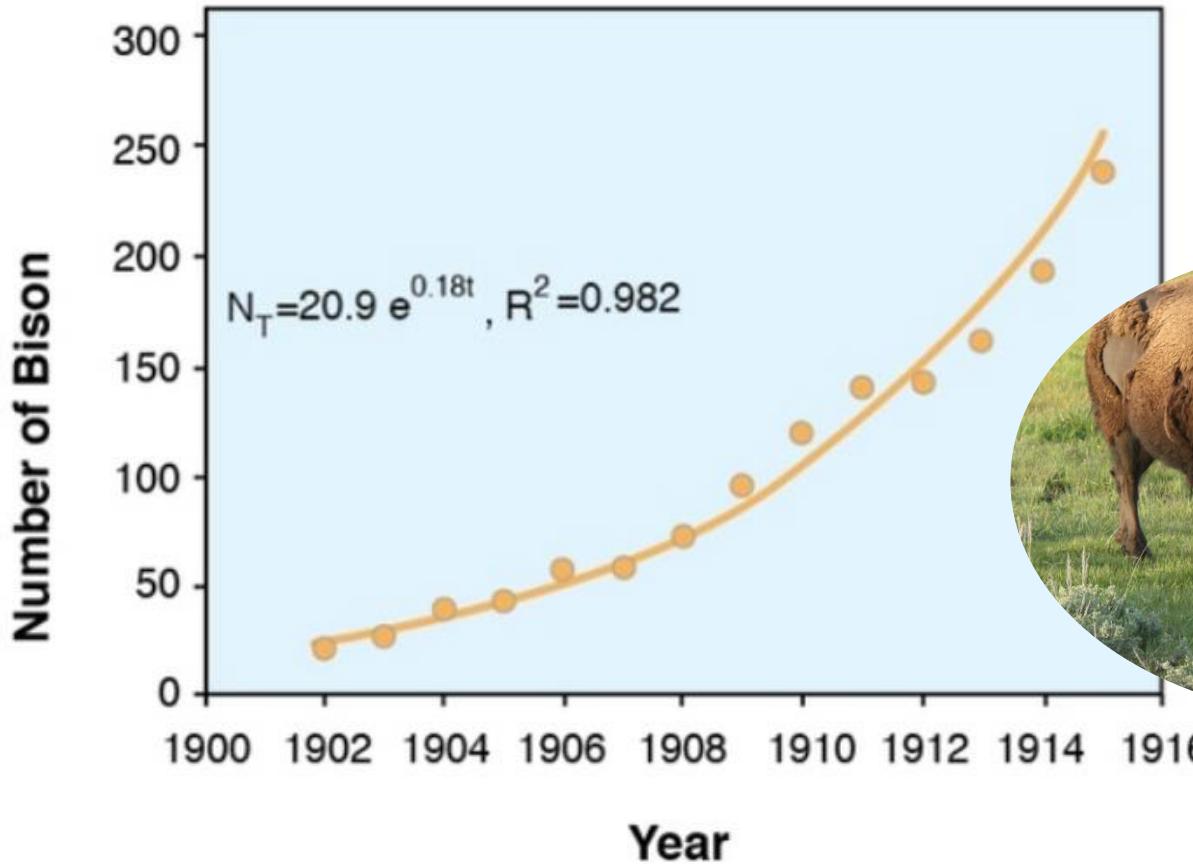
Age of Empires game



World population data according to the UN (orange) with exponential fits for early and recent increase (blue lines).

Exponential growth of *animal* populations

- Exponential growth is a feature of most living beings
- Uncontrolled expansion occurs until they reach limitations



Bison population in Yellowstone National park after nearly extinction in 1800s



<https://www.nature.com/scitable/knowledge/library/an-introduction-to-population-growth-84225544/>

Growth limitations: threats and scarce resources



- Main growth limitations (for animals):
 - Threats (diseases, predators, plagues, natural disasters)
 - Resource scarcity (water, food, oxygen, shelter)
- After encountering limitations → system either stabilize or collapse

Can these limitations also apply to humans?

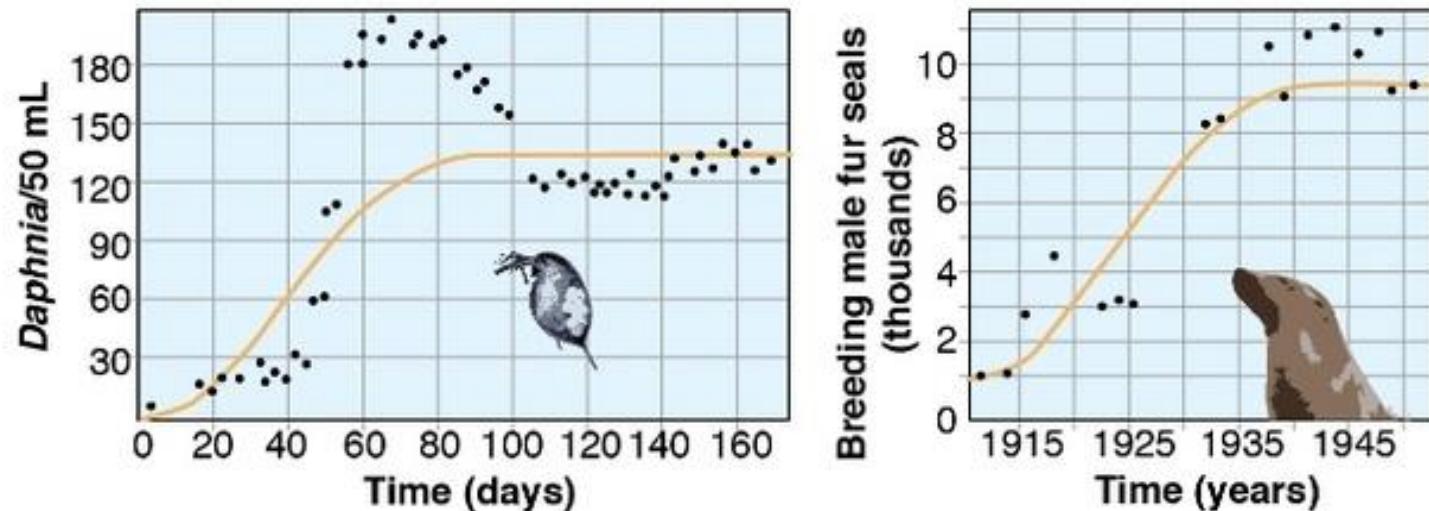
Population stabilization

Animals mostly depend on regenerative resources: plants, other animals, fungi, bacteria

For stabilization: all consumed resources are regenerated → population converges gradually to its *max. sustainable level*

Examples:

- a. Growing *Daphnia* in the lab
- b. Seals in island (Washington)



Daphnia (small planktonic crustaceans) vs Seals

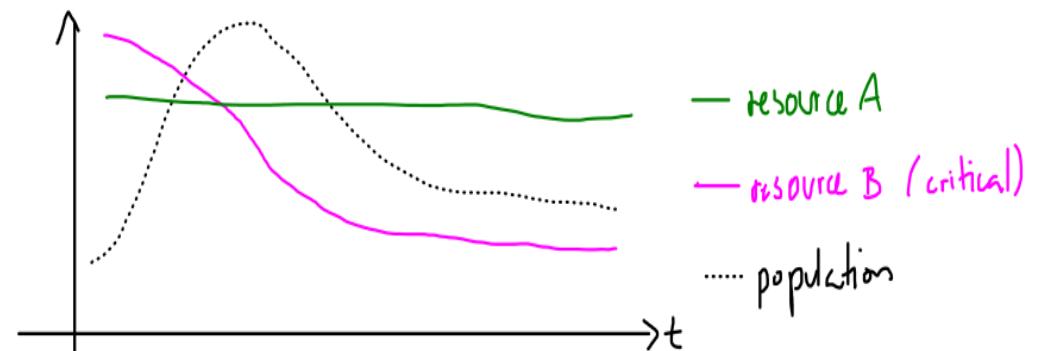
(Snider and Brimlow, 2013)

Population collapse

- consumption of critical resources is much faster than rate of (re)-generation/production, or
- critical resource from large reservoir depleted, or
- threat (e.g., predation) occurs



Population expands until limit encountered.



Then will shrink abruptly to its lower level driven by the low rate of re-generation of the critical resource
(See exercises about reindeer population and lichen)

Modeling population growth and collapse in reindeers and characteristic times

Reindeer consume about $C = 1.5$ ton of lichen per year per animal. Suppose that a herd of $P(0) = 250$ animals is placed on an island with a stock of $R_{\max} = 15000$ tons of lichen. Suppose also that lichen coverage regenerates in a timescale of $\tau = 15$ years. Then a very simple model for the lichen stock $R(y)$, i.e. the number of tons of lichen coverage of the island in year y , would be:

$$R(y+1) = R(y) + \frac{R_{\max} - R(y)}{\tau} - P(y+1)C$$

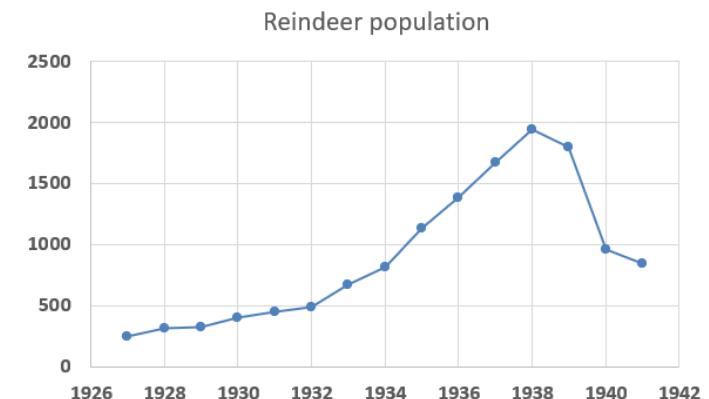
(amount of lichen at year $y+1$) = (amount of lichen at year y) + (amount of lichen generated in 1 year) – (amount of lichen consumed in 1 year).

This formula basically says that the stock of lichen at the end of year $y+1$ is equal to the stock for year y , plus some regeneration of the coverage at places where it was gone, minus the lichen eaten away by the surviving reindeer population of $P(y+1)$ animals. For the animals, we may assume that their number grows each year with a factor α , provided that there is enough lichen:

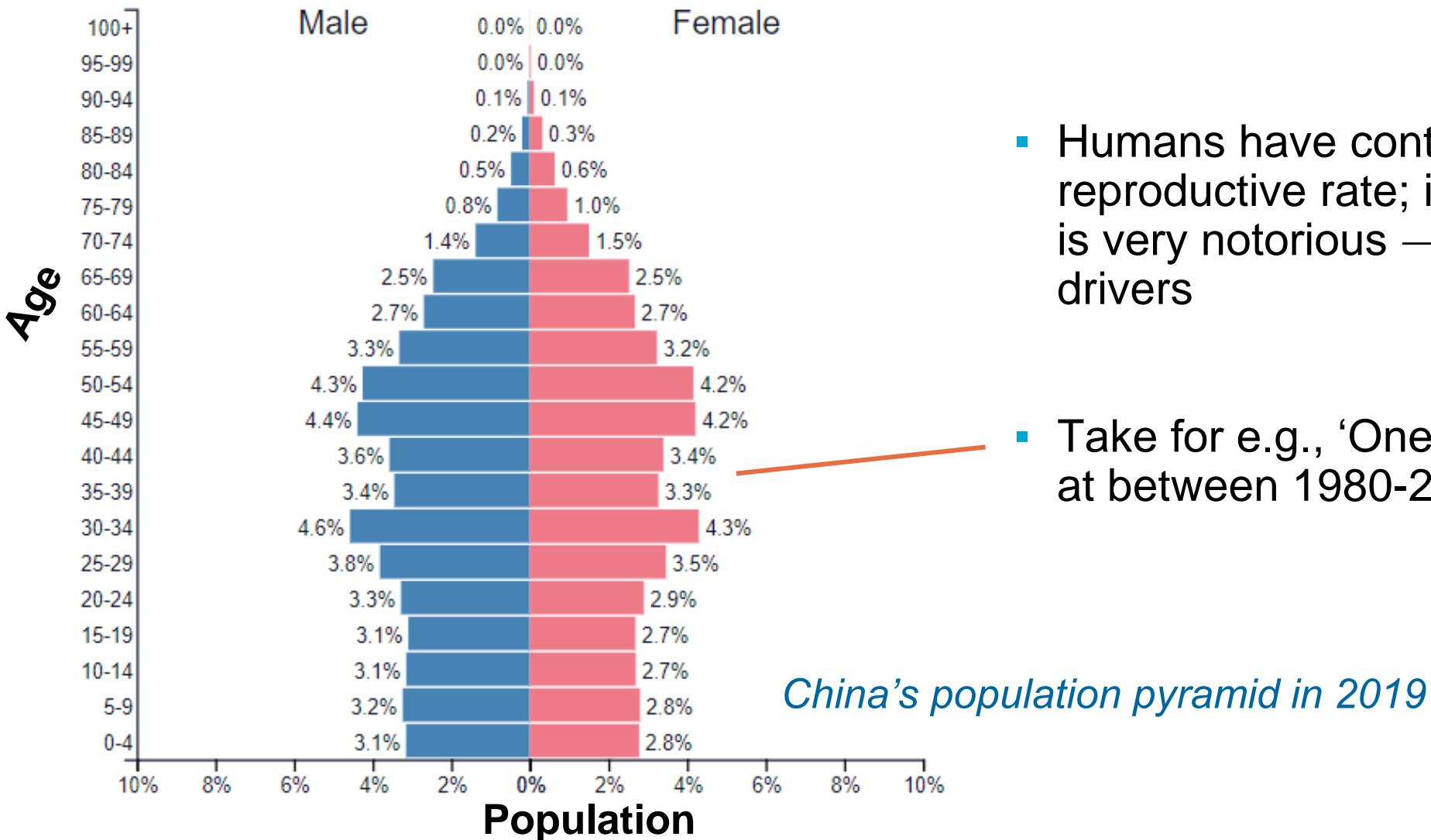
$$P(y+1) = \min\left(\frac{0.9R(y)}{C}; \alpha P(y)\right)$$

The number 0.9 is introduced to account for the fact that it is impossible for the animals to find all the lichen on the island: the exact number is not important for the analysis. If you use Excel to compute the population of reindeer over time, what is the value for the growth rate α of the herd that matches the data for the reindeer population of St. John island in the table on the right?

Year	Reindeer	Year	Reindeer
1927	250	1937	1673
1928	315	1938	1943
1929	329	1939	1800
1930	404	1940	962
1931	453	1941	850
1932	485		
1933	673		
1934	820		
1935	1152		
1936	1388		



The self-controlled growth mechanism in humans

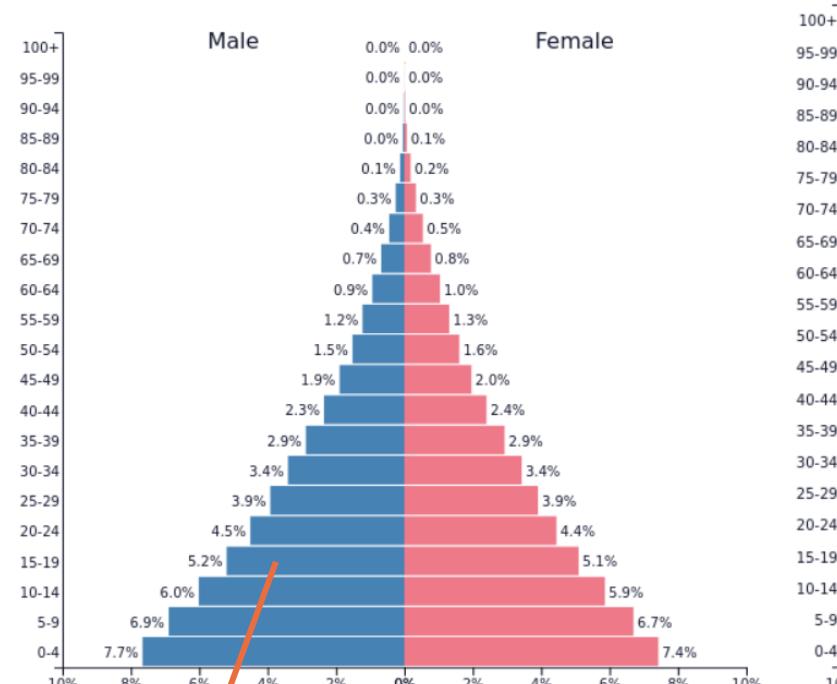


- Humans have controlled their reproductive rate; in the last decades it is very notorious → socioeconomic drivers
- Take for e.g., ‘One-child-policy’ in China at between 1980-2015

A continent comparison of population distribution

Introduction: Why Circular?

Africa



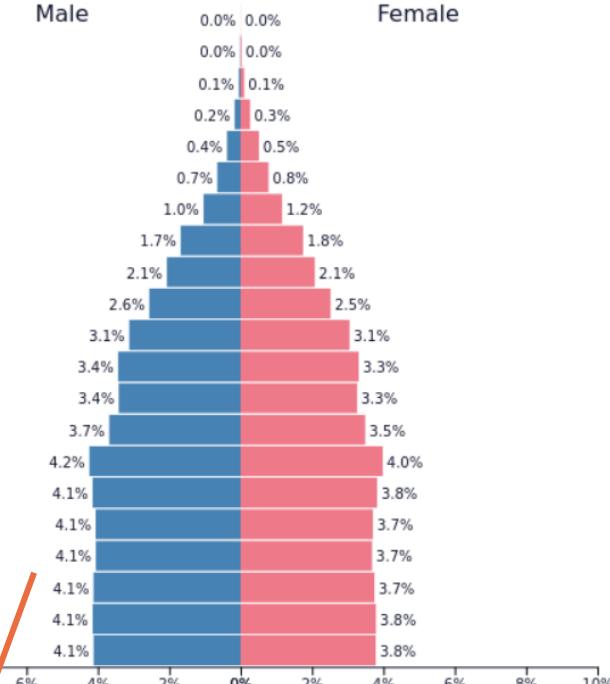
PopulationPyramid.net

AFRICA - 2019

Population: 1,308,064,176

Increase

Asia



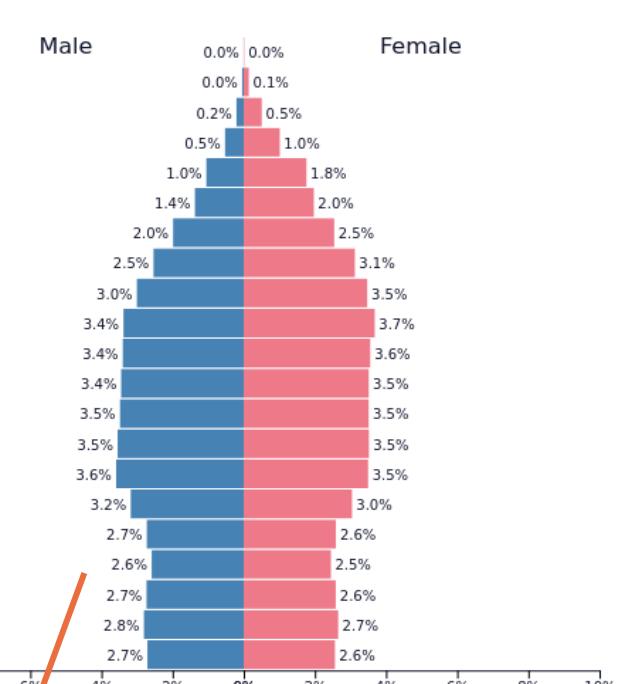
PopulationPyramid.net

ASIA - 2019

Population: 4,601,371,266

Slow increase

Europe



PopulationPyramid.net

EUROPE - 2019

Population: 747,182,815

Decline

People in developed countries normally choose to have less children → *self stabilization*

19

World population growth, 1700-2100

/ Circular?

↗ Annual growth rate of the world population
▲ World population

Besides self-controlled growth,
resource limitation plays a role

In 1970 we reached our world's
regenerative biocapacity

Earth overshoot Day

0.04% was the average
population growth rate
between 10,000 BCE
and 1700
600 million
in 1700

1 billion
in 1803

2 Billion
in 1928
2.5 Billion
in 1950

2.1%
in 1968

7.7 Billion
in 2019

1.08%
in 2019

9.7 Billion
in 2050

10.9 Billion
in 2100

0.1%

1700

1750

1800

1850

1900

1950

2000

2050

2100

2019

Projection
(UN Medium Fertility Variant)

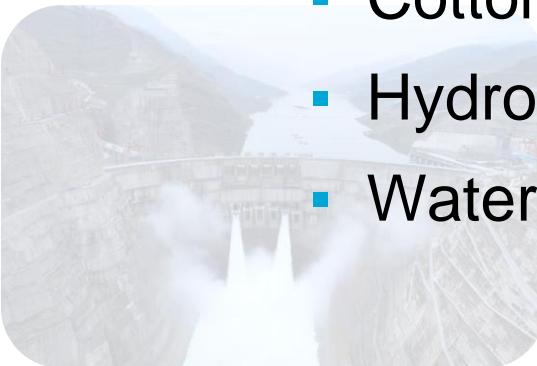
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Main resources for humans



Continuously re-generated (renewables):
(Biological & solar-based)

- Food
- Cotton
- Hydropower
- Water*



Abundance? → low

Regeneration? ~ months/year(s)



- Wood

Regeneration? ~ decades

Stocks (non-renewables):

- Fossil fuels
- metals
- minerals



Abundance? → high*

Regeneration? ~ millions of years

Discussion: Can CO₂ be also considered a resource?

Examples of renewable and non-renewable resources

Continuously regenerated (renewable)

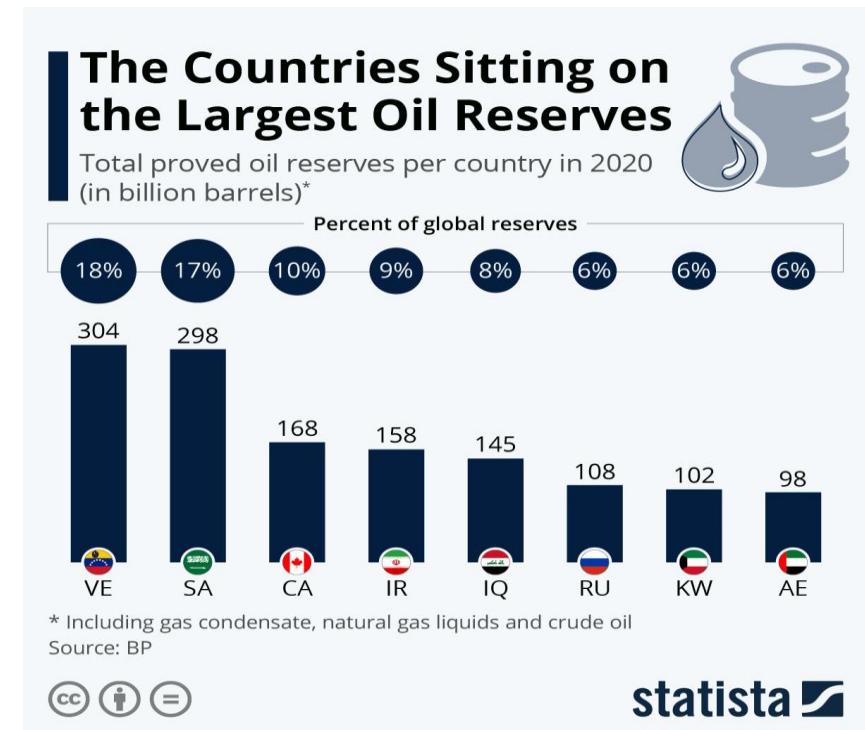
- Example: staple foods
- 2/3 of world's food energy intake comes from: rice, corn and wheat
- Rice → harvested **2-3 times per year**
- Global rice yield: **~500 million tons per year**

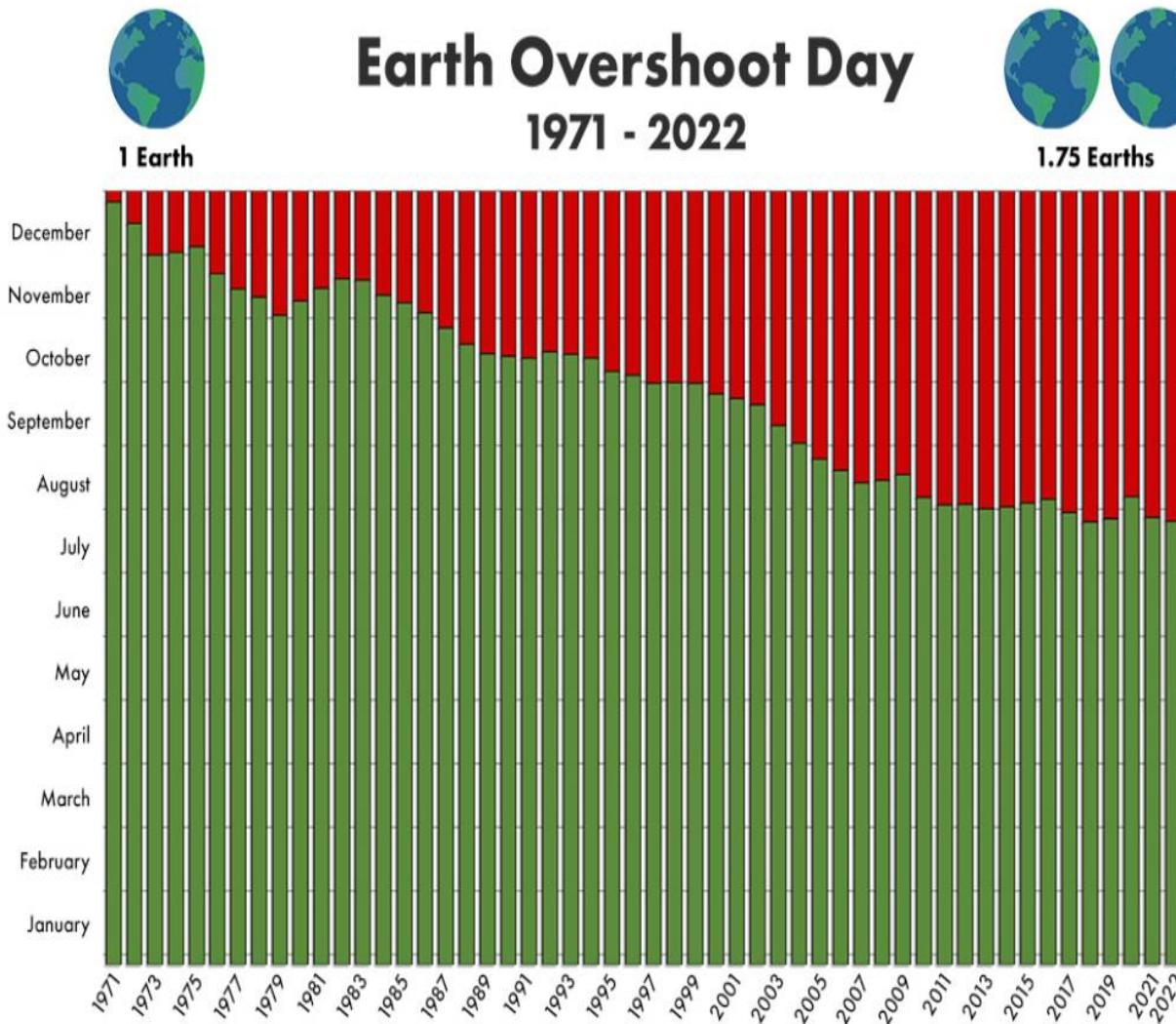


(USDA and FAOSTAT, 2022)

Stocks (non-renewable)

- Oil
- Global reserves: **1.65 trillion barrels** (equivalent to 224 billion tons)
- Consumption: **35 billion barrels per year**



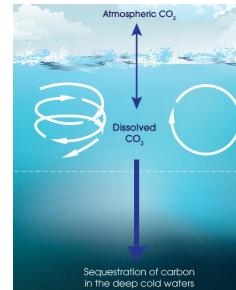


- Resource limitation, in 1970 we reached our world's regenerative biocapacity
- Currently needed 1.75 times the Earth's biocapacity.
See → *Earth overshoot day*

An additional challenge: Greenhouse gas emissions and its implications

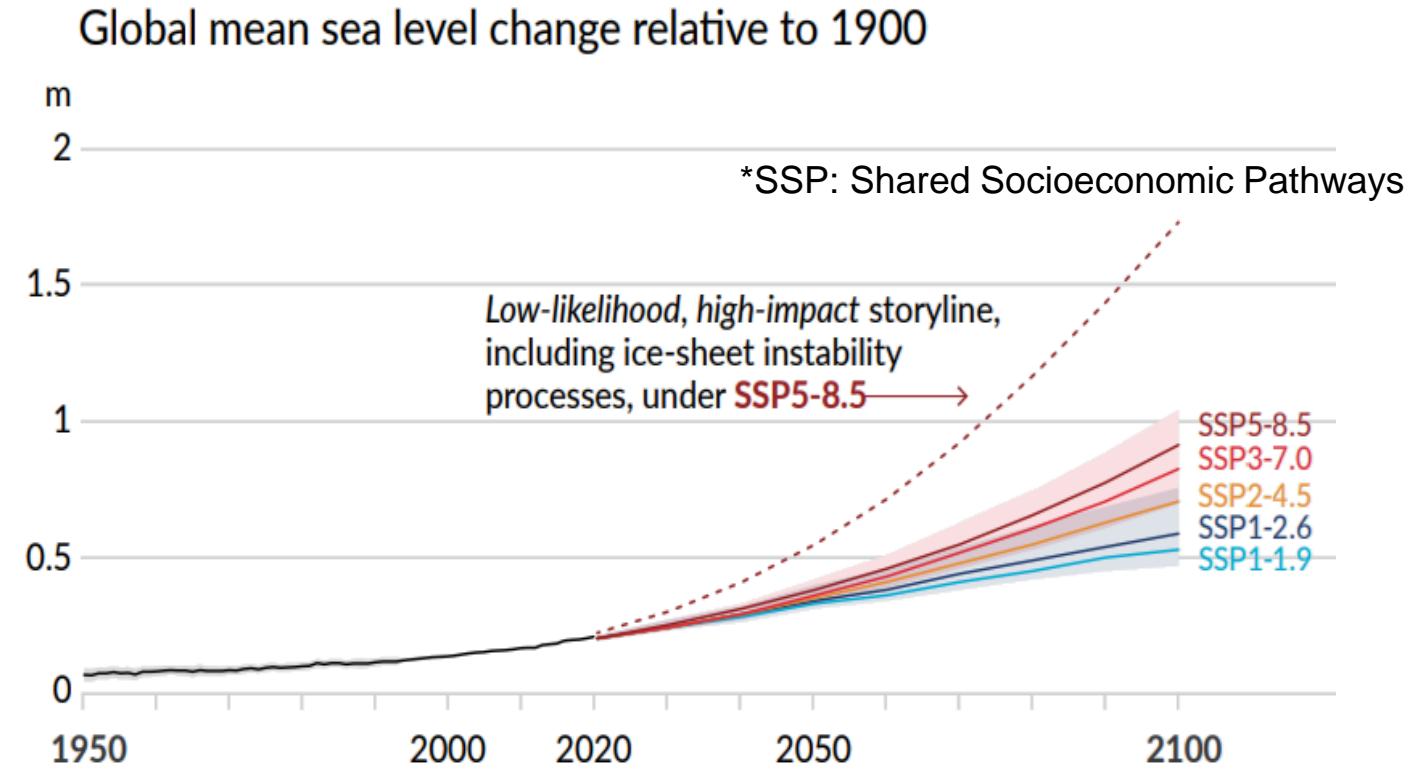


5-10 GtCO₂ - eq/yr



~59 GtCO₂ -eq/yr

Exceeding release of greenhouse gases



Does not look sustainable. What solutions are out there?

Two tools to solve these challenges: Circular Economy and Resource Efficiency



Initiatives towards Circular Economy and Resource Efficiency are starting to be implemented by several governmental organizations



What are the main goals?

Incorporating circular and resource efficiency concepts into society and technology.

If so, how does it work? What are the relevant resources, effective drivers and how to measure progress in circularity and resource efficiency?

That is the subject of study in this course.

References

- Earth Overshoot day <https://www.overshootday.org/about-earth-overshoot-day/>
- European Commission (2020) https://ec.europa.eu/commission/presscorner/detail/en/ip_20_420
- European Commission (2020) https://ec.europa.eu/environment/resource_efficiency/
- Government of the Netherlands (2022) <https://www.government.nl/topics/circular-economy/circular-dutch-economy-by-2050>
- Population Pyramid statistics (2019) www.Populationpyramid.net
- S. Snider and J. N. Brimlow (2013). An introduction to population growth, Nature Education Knowledge <https://www.nature.com/scitable/knowledge/library/an-introduction-to-population-growth-84225544/>
- USDA and FAOSTAT (2022) <https://www.weforum.org/agenda/2022/03/visualizing-the-world-s-biggest-rice-producers/>

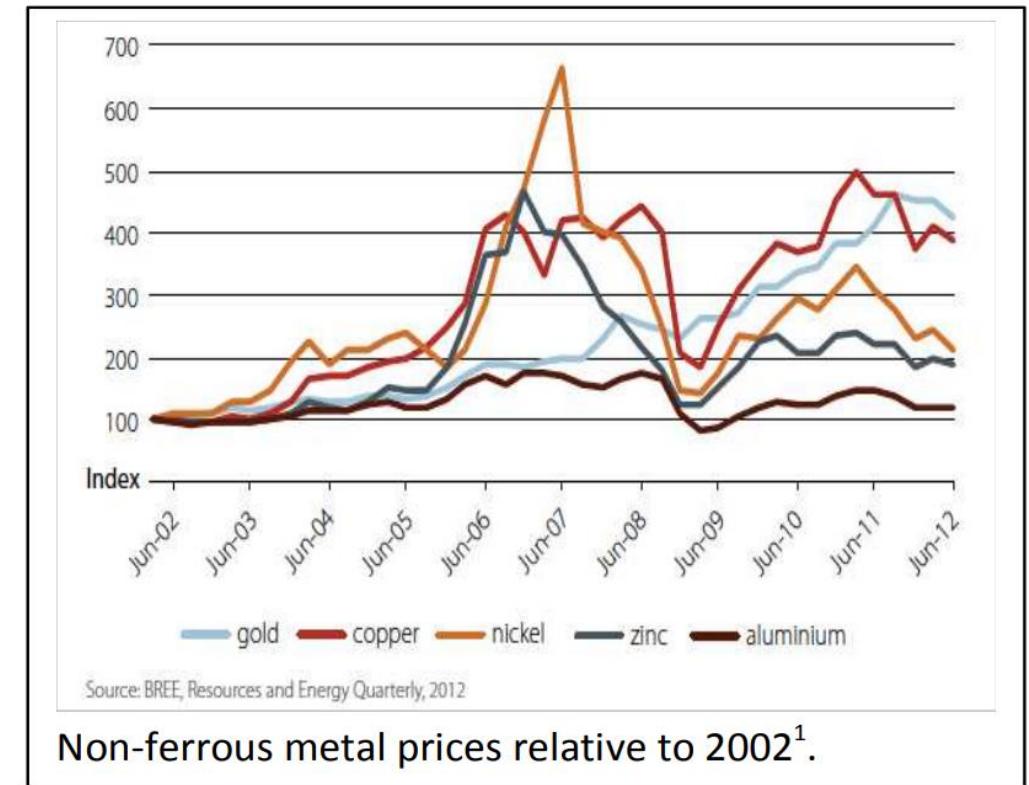
Primary Metal Resources

Learning Objectives (LOs)

- Recognize metal demands and their properties.
- Identify causes for minerals and metals price increase.
- Explain how are prices of minerals and metals determined in short and long term.
- Explain and model how ore grade can yield cost of metals and minerals in the long run

Background: stock prices of resources at the beginning of the 2000's

- Oil, phosphate and metal prices increased drastically during 2003-2007 → linked to China's industrialization (supercycle)
- Coupled with severe economic crisis in 2008 → prices didn't decrease again
- The EU had to start planning for **resource efficiency** in 2008 → new policies (EEA Report No 5/2011)



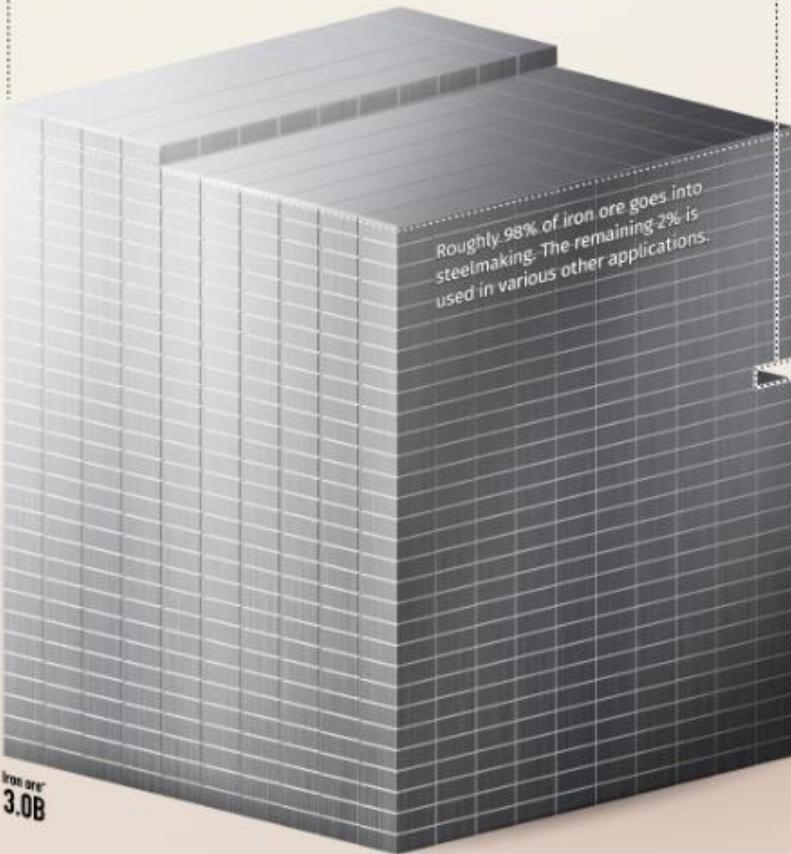
¹ A. Syed et al. 2013

Discussion: Which metals are critical for local/global industry? Why?



All the Metals We Mined IN ONE CHART

Iron ore*
3,040,000,000 tonnes



Iron ore made up roughly 94% of the 3.2 billion tonnes of metals mined in 2019.

= 1,000,000 tonnes

Industrial metals 207,478,486 tonnes

Aluminum is the world's second-most used metal after iron, found in everything from electronic devices to aircraft parts.

Copper production is one-third that of aluminum, though it has several uses ranging from wiring to construction.



Total Metals 3,248,814,334 tonnes

Metals are the building blocks of the global economy. From iron ore to rare earths, here are all the metals we mined in 2019.



Metals vs. Ores

Ores are naturally occurring rocks that contain metals or metal compounds.

Metals are the valuable parts of ores that can be extracted and sold.

Tech and precious metals 1,335,848 tonnes

Niobium is a rare metal used in superalloys for jet and rocket engines.

Lithium and **cobalt** are critical ingredients of lithium-ion batteries for electric vehicles.

Indium is used to make indium tin oxide, an important part of touch screens, TVs, and solar panels.



Iron ore (for steel industry)

MINING.COM

Iron ore price rises as China port inventory shrinks

Reuters | October 10, 2022 | 9:20 am [Markets](#) [China](#) [Coal](#) [Iron Ore](#)



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Shortage in global iron ore supply to stay: Rio Tinto

① February 22, 2021 □ News □ Nickolas Zakharia

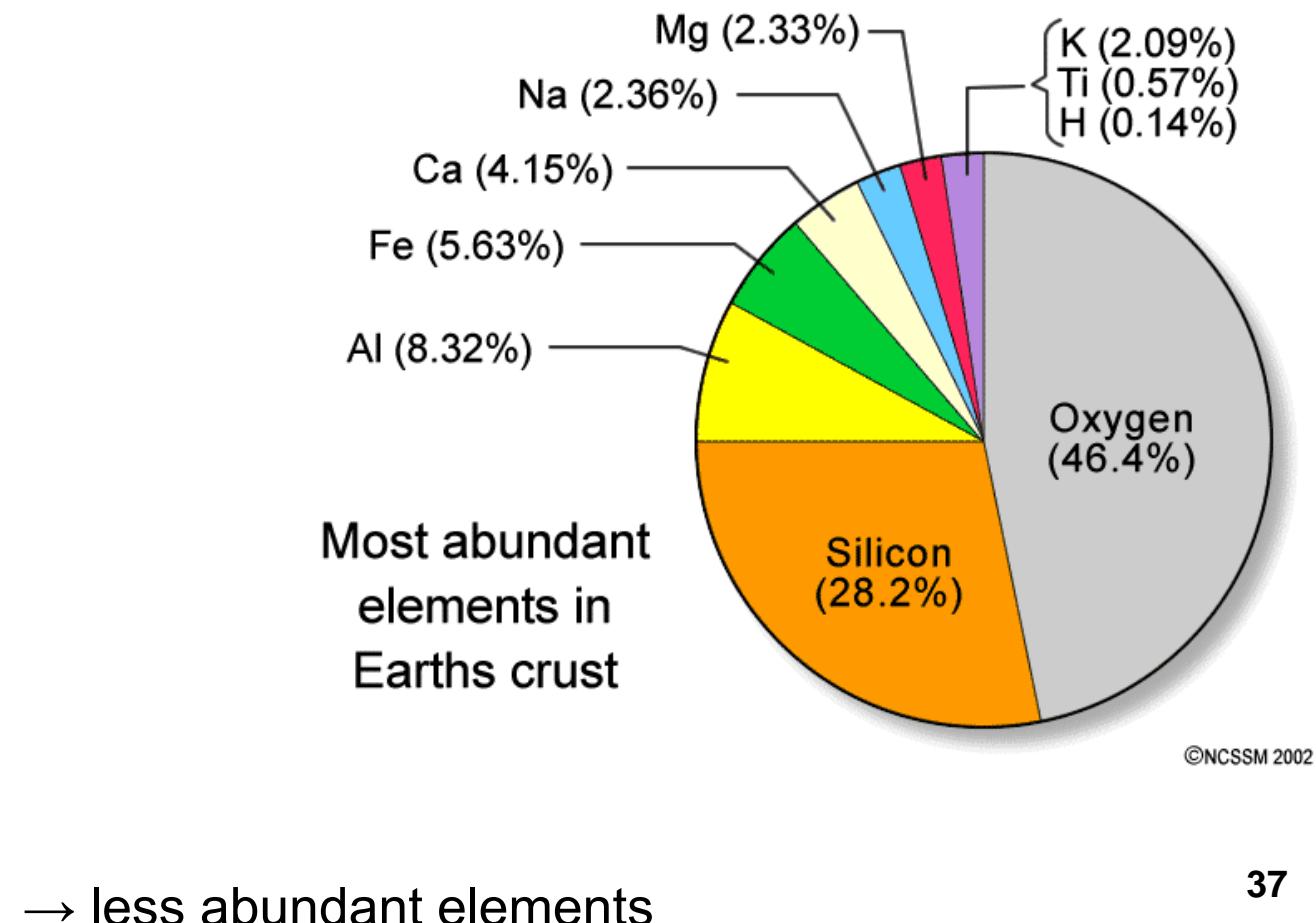


... but iron is not very scarce globally

Elements distribution on Earth's crust

- Fe and Al highly abundant compared to precious metals
- ~ 100 times more than Cu
- ~ 1000000 times more than Au

Copper: 0.006%
Zinc: 0.007%
Nickel: 0.0084%
Gold: 0.000004%
Silver: 0.0000075%
Platinum: 0.0000005%
Palladium: 0.0000015%



Highly abundant metals

1	H	2	He
	Hydrogen		Helium
3	Li	4	Be
Lithium	Beryllium		
11	Na	12	Mg
Sodium	Magnesi...		
19	K	20	Ca
Potassium	Calcium	21	Sc
	Scandium	22	Ti
		23	V
		24	Cr
		25	Mn
		26	Fe
		27	Co
		28	Ni
		29	Cu
		30	Zn
		31	Ga
		32	Ge
		33	As
		34	Se
		35	Br
		36	Kr
37	Rb	38	Sr
Rubidium	Strontium	39	Y
		Yttrium	
55	Cs	56	Ba
Caesium	Barium	57	La
		Lanthan...	
87	Fr	88	Ra
Francium	Radium	89	Ac
		Actinium	
		104	Rf
		105	Db
		106	Sg
		107	Bh
		108	Hs
		109	Mt
		110	Ds
		111	Rg
		112	Cn
		113	Nh
		114	Fl
		115	Mc
		116	Lv
		117	Ts
		118	Og

What about other less abundant, but largely demanded metals?

Cu, Ag, and Au – scarce/relevant metals with significant application in industry

Copper

Building construction, electric and electronics, transportation equipment (mostly for wires)

Silver

Electrics and electronics, jewelry, coinage and monetary reserves, silverware

Gold

Jewelry, industrial applications (computerized devices), monetary reserves

Palladium

Mainly catalytic converters, electronics

Platinum

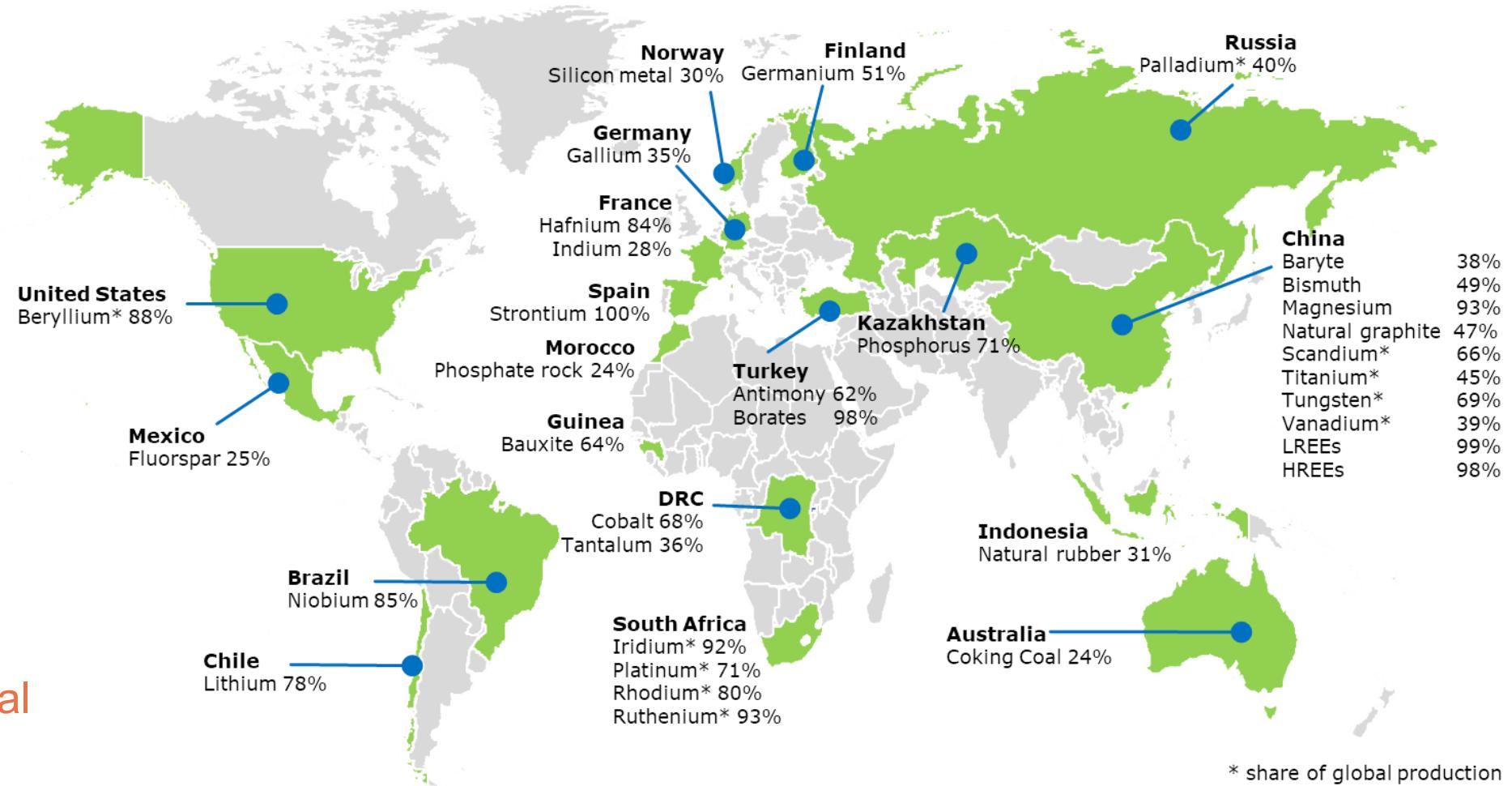
Electrics and electronics, catalysts, jewelry

Mn	26	Fe	27	Co	28	Ni	29	Cu	30	Zn	31	Ga	32	Ge	33	As	34	Se
ngan...		Iron		Cobalt		Nickel		Copper		Zinc		Gallium		Germani...		Arsenic		Seleni...
44		45		46		47		48		49		50		51		52		53
Tc	26	Ru	27	Rh	28	Pd	29	Ag	30	Cd	31	In	32	Sn	33	Sb	34	Te
chneti...		Ruthenium		Rhodium		Palladium		Silver		Cadmium		Indium		Tin		Antimony		Telluri...
76		77		78		79		80		81		82		83		84		85
Re	26	Os	27	Ir	28	Pt	29	Au	30	Hg	31	Tl	32	Pb	33	Bi	34	Po
henium		Osmium		Iridium		Platinum		Gold		Mercury		Thallium		Lead		Bismuth		Poloni...
77		108		109		110		111		112		113		114		115		116
Bh	26	Hs	27	Mt	28	Ds	29	Rg	30	Cn	31	Nh	32	Fl	33	Mc	34	Lv
ohrium		Hassium		Meitneri...		Darmsta...		Roentge...		Coperni...		Nihonium		Flerovium		Moscovi...		Livermo...

Are these materials critical for our economy?

Metals and Minerals as Critical Raw Materials (CRMs)

Materials that are economically and strategically important for the European economy but have a high-risk associated with their supply. (EU, 2020)



Critical Raw Materials according to the EU, 2020

Legend

- Critical Raw Materials 2020
- Non-Critical Raw Materials 2020

Supply Risk

6
5
4
3
2
1
0

0.0 0.5 1.0 1.5 2.0 2.5 3.0 3.5 4.0 4.5 5.0 5.5 6.0 6.5 7.0 7.5 8.0 8.5 9.0

Economic Importance

Sapele wood

Natural Teak wood

Helium

Arsenic

Diatomite

Gypsum

Bentonite

Rhenium

Perlite

Kaolin clay

Aggregates

Germanium

Borate

Strontium

Lithium

Indium

Baryte

Coking coal

Feldspar

Silica sand

Limestone

Fluorspar

Gallium

Hafnium

Zirconium

Magnesite

Tellurium

Tantalum

Vanadium

Antimony

Tin

Cadmium

Sulphur

Lead

Titanium

Bismuth

Silicon metal

Phosphate rock

Nickel

Selenium

Selenite

Cobalt

PGMs

Manganese

Potash

Aluminium

Zinc

Copper

Phosphorus

Magnesium

Chromium

Iron ore

Tungsten

Natural Rubber

LREEs

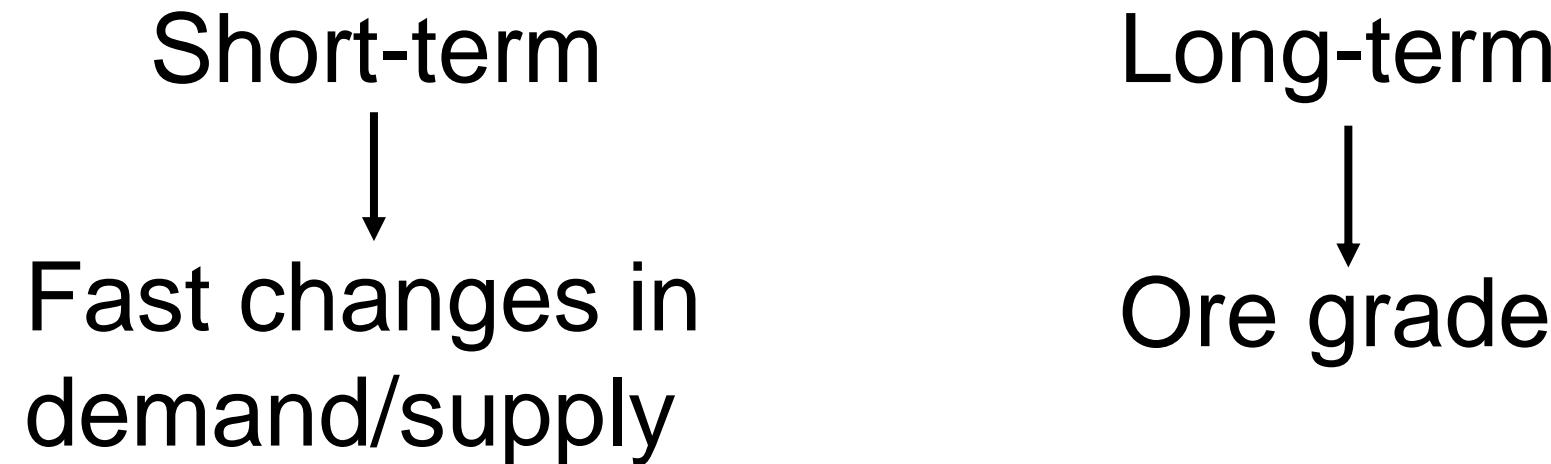
HREEs

Au → not CRM

Fe, Cu, Ag not in supply risk but high economic importance

What *mostly* determines the prices of metals?

Demand/supply

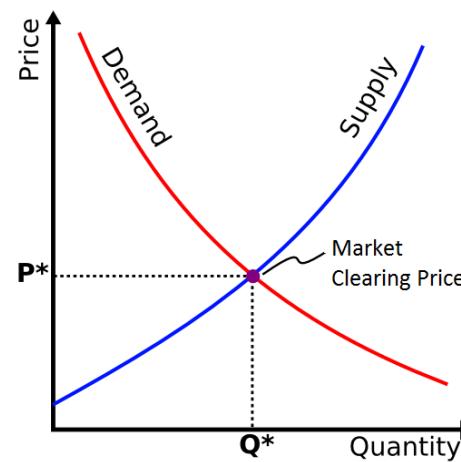


Short term: price fluctuation of metals, example: Cu

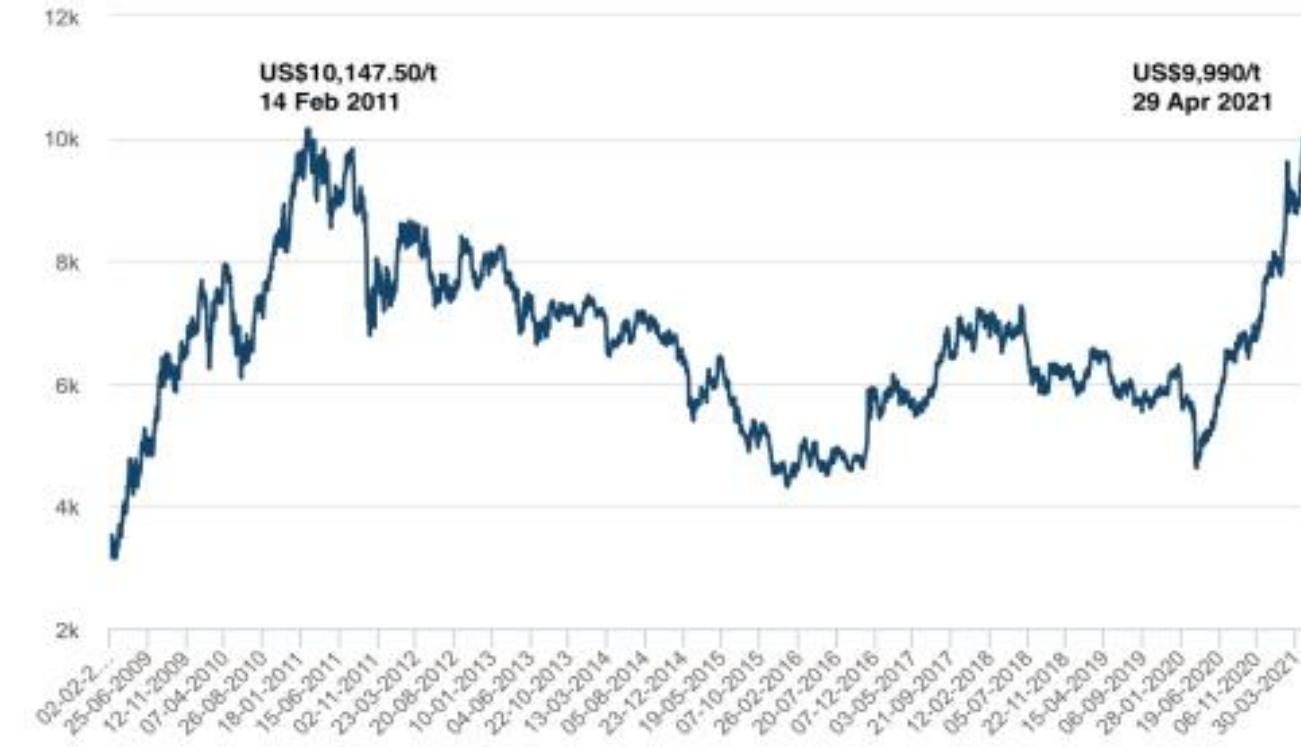
Short-term
↓

Fast changes
demand/supply

- Fluctuations since mining operations cannot respond quickly to changes in demand
- Reflected when metals traded in market



LME COPPER HISTORICAL PRICE GRAPH



<https://markets.businessinsider.com/commodities/copper-price>

Long-term costs: Facts for ore grade-dependent cost of mining

Long-term



Ore grade



La Escondida copper mine in Chile



Copper ore

- High price noticed when there is average downfall in mining efficiency or multifactor productivity (MFP)
- Australian Bureau of Resources and Energy Economics (BREE) reported **downfall of 33%** in mining operations during 2000-2010 (Syed et al., 2013)
- World copper ore grade **decreased by 25%** during 2003-2013. (Calvo et al., 2016)

$$\frac{\text{Metal production}}{\text{labor} \times \text{investment}}$$

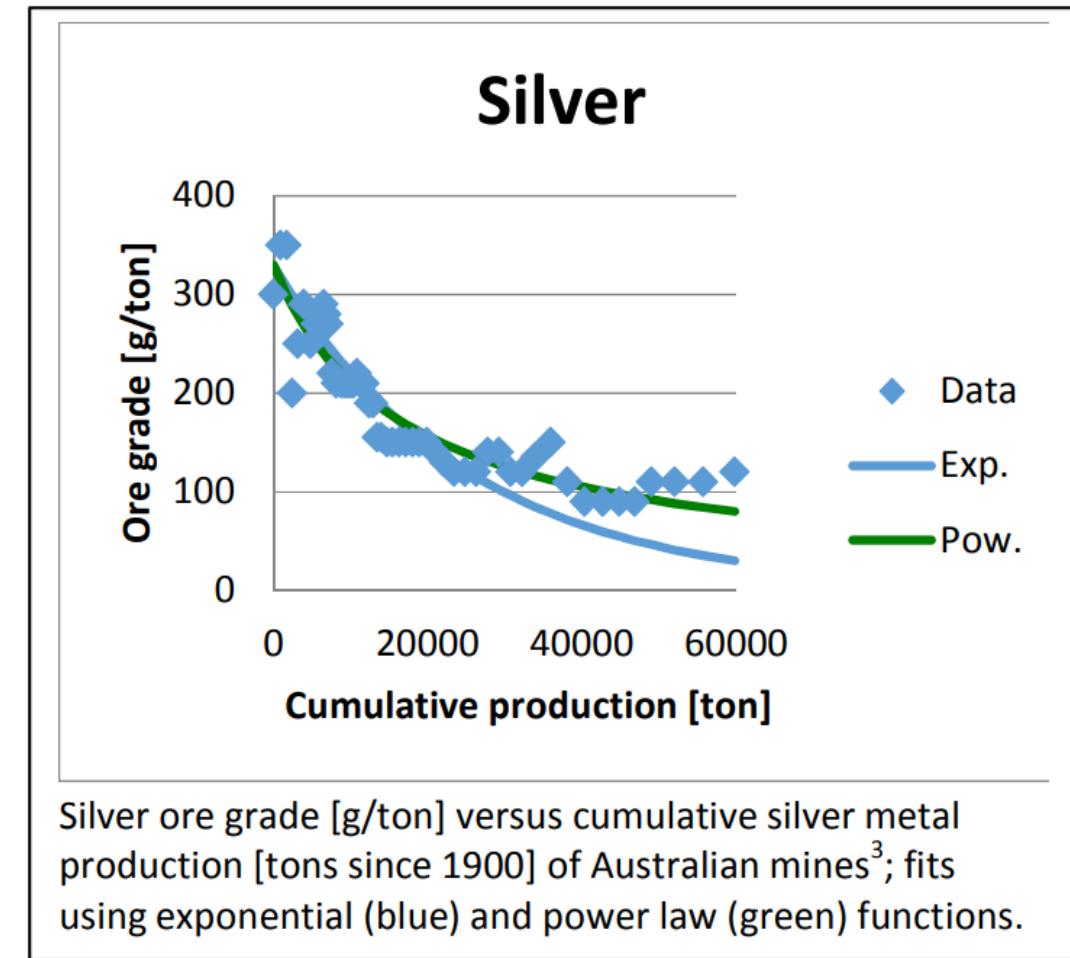
Lower ore grade → higher operation costs → metal price set by least attractive mines

Ore grade – a representative parameter for the market price in the long run

- Ore grade c (g/ton) presents correlation with the cumulative production amount: P (tons) of mined metals over a certain time
- Indicator → **cost** of metal/mineral production
- How to approximate them? Exponential and power law models for fitting c vs P .

$$c(P) = c_{1900} / (1 + \beta P)^\gamma$$

$$c(P) = c_{1900} e^{-\alpha P}$$



G. Mudd, 2009

Quiz 2 – Question 1

Mining companies tend to process ore bodies with higher ore grades first, so, over large periods of time, as more ore is processed and more metal is produced, the average metal grade c of the ore drops (See the figures below for Australian copper, silver and gold mining). In order to predict the long-term future development of the price of a metal, it is essential to know how the average ore grade c [kg metal/kg ore] will decrease with the cumulative amount P [tons] of metal that was produced. Suppose that M [tons] is the cumulative amount of ore processed at some moment in time. Then, processing the next amount of ore, dM , yields an amount of metal dP in proportion to the ore grade c :

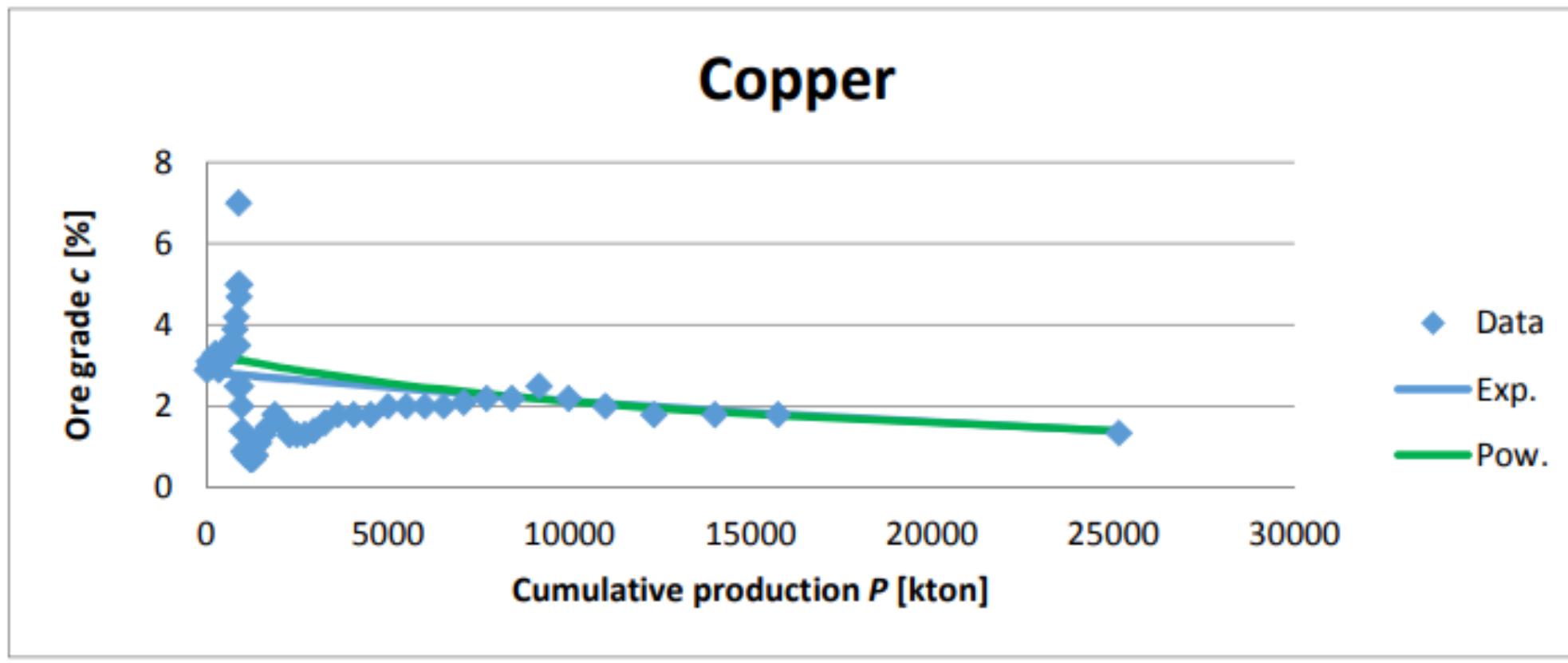
$$dP = c(P)dM$$

and so M , the cumulative amount of ore processed, relates to the cumulative production of metals as

$$M = \int_{P'=0}^P \frac{1}{c(P')} dP'$$

The table below shows the relations between M and P for two different model hypotheses for $c(P)$.

Hypothesis for $c(P)$	$c(P) = c_{1900}e^{-\alpha P}$ (exponential)	$c(P) = c_{1900}/(1 + \beta P)^\gamma$ (power law)
M as a function of P	$M(P) = \frac{e^{\alpha P}}{\alpha c_{1900}}$	$M(P) = \frac{(1 + \beta P)^{1+\gamma} - 1}{\beta(1 + \gamma)c_{1900}}$
P as a function of M	$P(M) = \frac{1}{\alpha} \ln(\alpha c_{1900} M)$	$P(M) \cong \frac{1}{\beta} ((1 + \gamma)\beta c_{1900} M)^{\frac{1}{1+\gamma}}$



It is difficult to tell from the noisy historical data in the figures below which hypothesis gives the better approximation of reality. However, it should be considered that we are interested in predicting relatively poor future ore grades and this gives one more data point. Suppose we estimate the maximum depth of Australian mines for each metal. Then we can calculate the mass of crust M_{crust} if all the land surface of Australia would be mined. A good model hypothesis should then correctly predict the $P(M_{\text{crust}})/M_{\text{crust}}$ i.e. the chemical abundance of the metals in the Earth crust. The table below shows the parameters for three metals based on Australian mining data. Compare the two hypotheses for predicting the abundance of metals in the Earth crust. What do you find?

- A. The power law approximation gives better predictions than the exponential approximation;
- B. The exponential approximation gives better predictions than the power law approximation;
- C. Which approximation gives better results depends on the type of metal;
- D. Both approximations give similarly good results;

Metal	c_{1900} [kg/kg]	α [1/ton]	β [1/ton]	γ	Mining depth [km]	Mineable crust [ton]	Abundance [kg/kg] ¹
Copper	0.033	$2.9 \cdot 10^{-8}$	$6.4 \cdot 10^{-8}$	0.9	0.5	$9 \cdot 10^{15}$	$2 \cdot 10^{-5}$
Silver	0.000330	0.00004	$6.7 \cdot 10^{-5}$	0.88	1.0	$1.8 \cdot 10^{16}$	$5 \cdot 10^{-8}$
Gold	0.000030	0.00054	$2.2 \cdot 10^{-3}$	0.92	3.0	$5.3 \cdot 10^{16}$	$2 \cdot 10^{-9}$

¹ Average chemical abundance of elements in the Earth crust, Gordon B. Haxel, Sara Boore, and Susan Mayfield, USGS.

Additional open questions

- Why do ore grade vs. cumulative production curves present "oscillations"?
- How do we estimate metal/mineral reserves?
- Can we estimate how much metals/minerals we will need in the following years?



References

- A. Syed et al. (2013) Productivity in the Australian Mining Sector, BREE March 2013
- EEA Report No 5/2011 ISSN 1725-9177 Resource efficiency in Europe (2011)
<https://www.eea.europa.eu/publications/resource-efficiency-in-europe/>
- G. Calvo et al. (2016). Decreasing Ore Grades in Global Metallic Mining: A Theoretical Issue or a Global Reality, Resources
- G. Mudd. (2009). Research Report 5: The Sustainability of Mining in Australia – Key Production Trends and Their Environmental Implications for the Future

Limits on Greenhouse Gases

Learning Objectives (LOs)

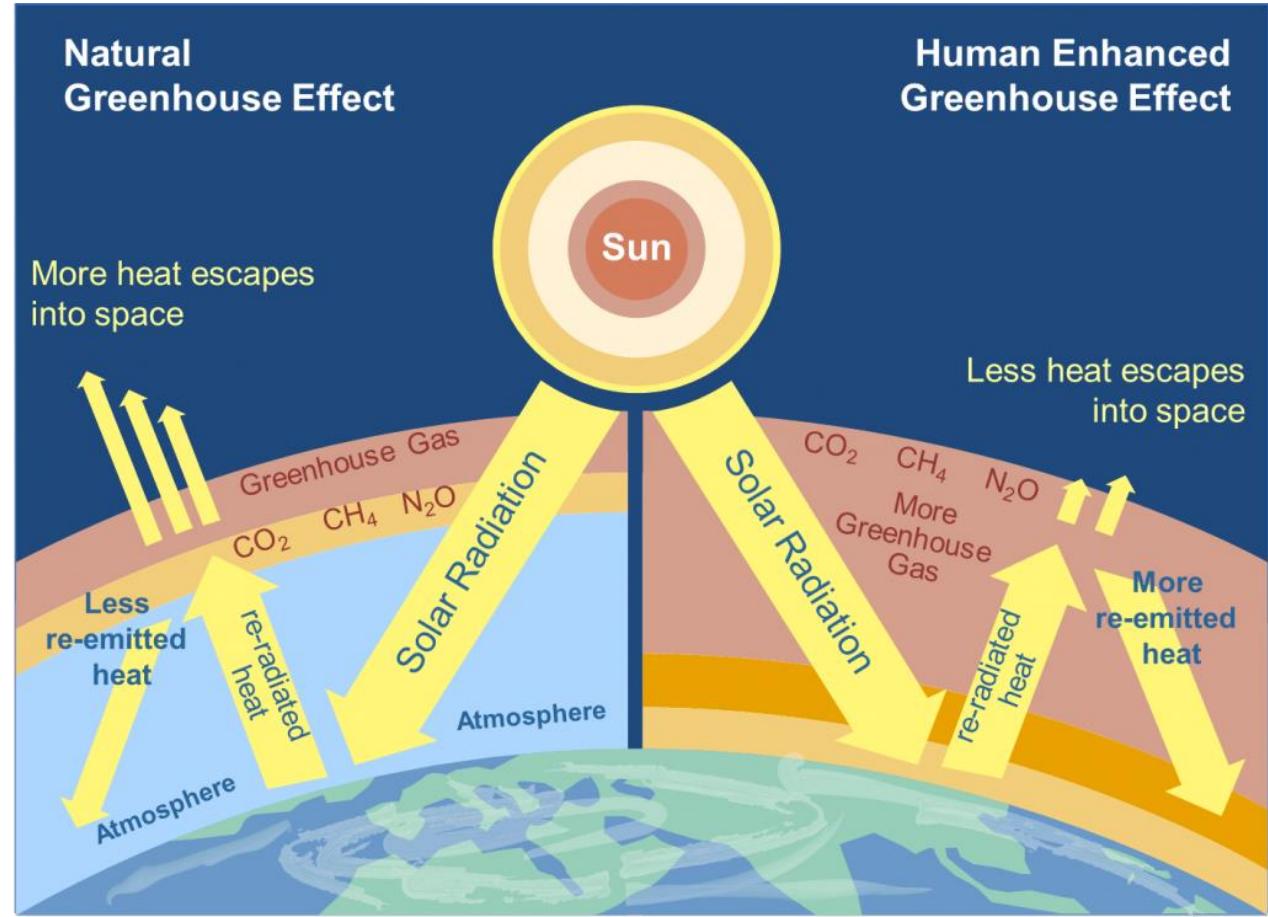
- Explain the greenhouse gas (GHG) effect, main substances and measure units.
- Recognize trends on GHG emissions by substances, industries and countries.
- Identify the direct and indirect consequences of GHG emissions.
- Recall the efforts and agreements to cut GHG emissions.

Initial discussion:

- Are greenhouse gases entirely *bad*?
- From all greenhouses gases you know, which one has the highest contribution to the effect?

Greenhouse gas effect

- Already predicted in 1896 by Svante Arrhenius using basic physicochemical principles
- Greenhouse gases mainly absorb and emit infrared radiation from Earth's surface
- **Radiative forcing:** balance of energy flux in the atmosphere = incoming – outgoing flux
- Net positive radiative forcing results in heat trapping

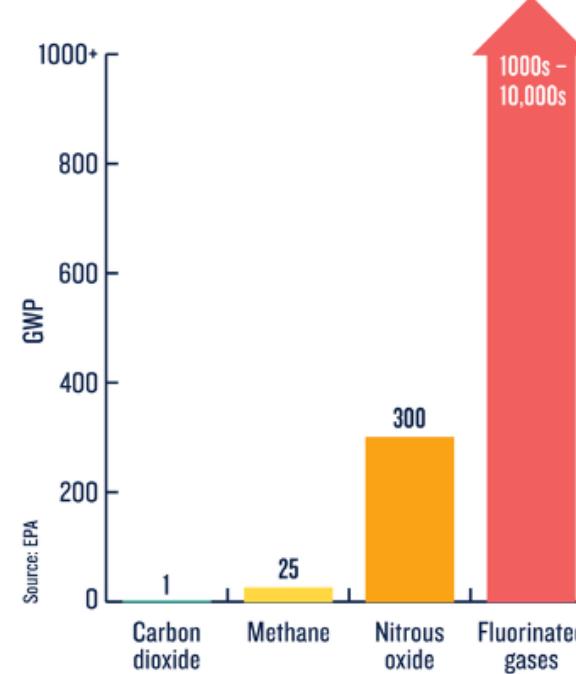


- The natural GHG effect keeps the Earth maintain its average temperature of 15°C

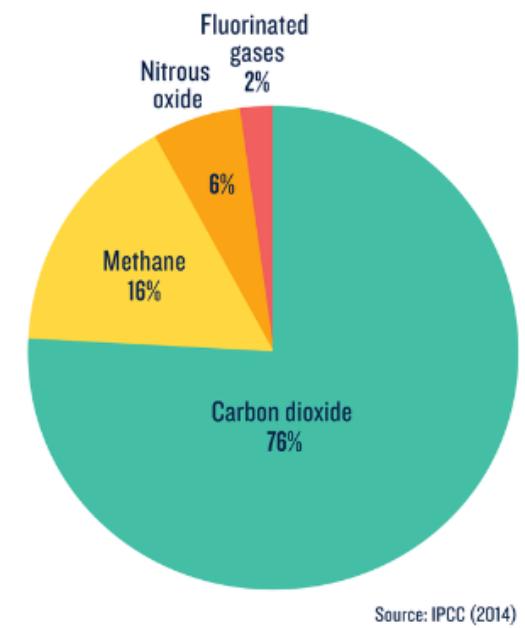
HOW GREENHOUSE GASES WARM OUR PLANET

Greenhouse gases & contribution to effect

- Water vapor is the main GHG, accounting for at least 50-95% of the GHG effect (NASA, 2022)
- The highest contributing anthropogenic GHG are:
 - Carbon dioxide (CO_2)
 - Methane (CH_4)
 - Nitrous oxide (N_2O)
 - Hydrofluorocarbons (HFCs)
 - Perfluorocarbons (PFCs)
 - Sulphur hexafluoride (SF_6)
 - Nitrogen trifluoride (NF_3)



The global warming potential (GWP) of human-generated greenhouse gases is a measure of how much heat each gas traps in the atmosphere, relative to carbon dioxide.

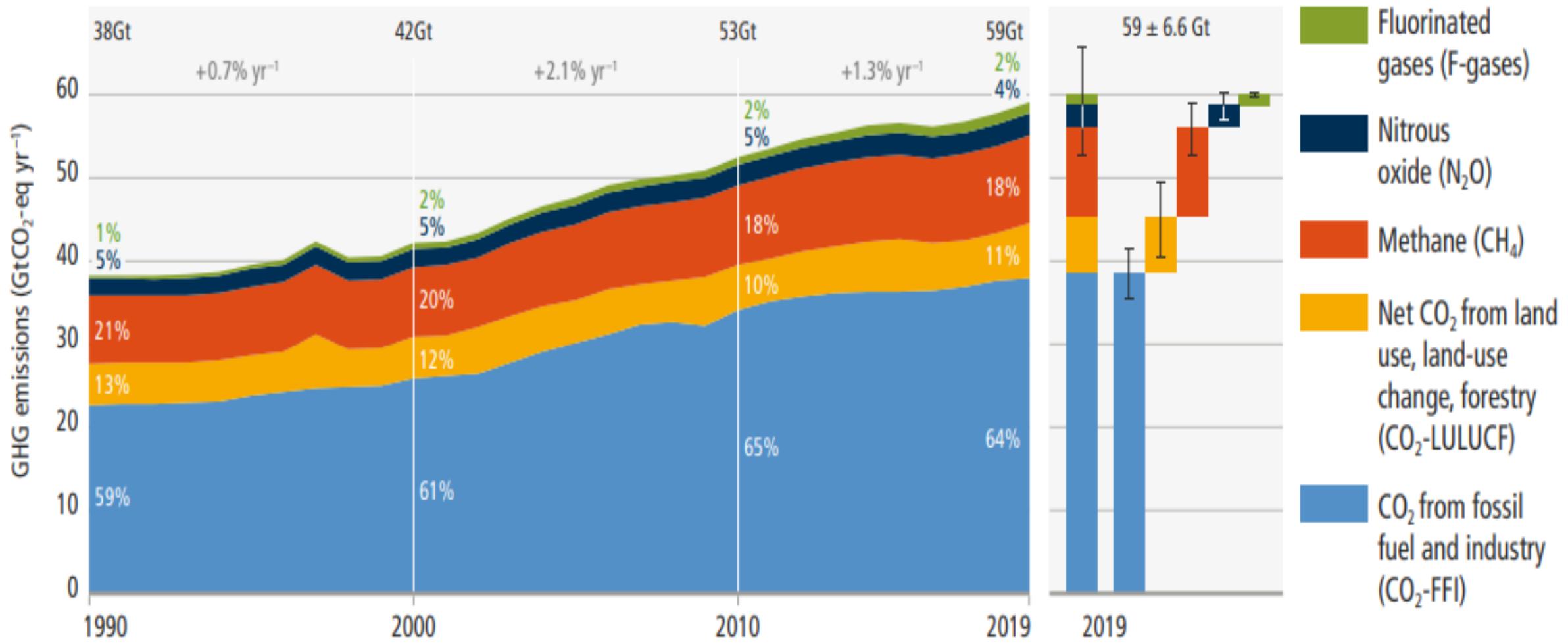


How much each human-caused greenhouse gas contributes to total emissions around the globe.

- Early models of CO₂ concentrations predicted to **rise around 50 years ago by 50%**, from 280 ppm to 421 ppm in 2022.

Source: J.S. Sawyer (1972)

Current trends in GHG emissions



Takeaway

Earth can absorb certain amount of **7.5 ± 2.5 Giga tons of equivalent CO₂ per year (Gt CO₂-eq/yr*)** without serious effects

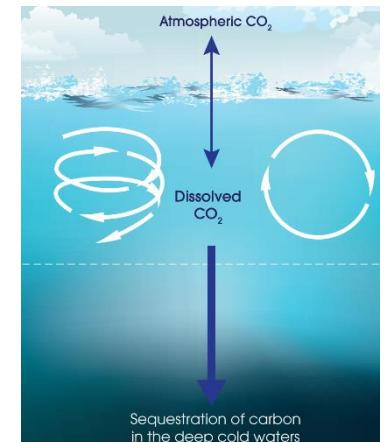
manmade (anthropogenic) effects produced
 59 ± 6.6 Gt CO₂-eq/yr in 2022 (IPCC, 2022)

Oceans plants & soils use it, acting as **carbon sinks**, but is far less enough to capture all additional anthropogenic CO₂



~59 Gt CO₂ -eq/yr

7.5 Gt CO₂ -eq/yr



*CO₂-eq indicates the **effect of other GHG emissions** comparing their GWP with respect to the GWP of CO₂

GWP = global warming potential

See also: IPCC, 2014: Climate Change 2014: Synthesis Report.

GHG emissions in perspective

All expressed in **tons of CO₂-eq/yr ***

one average
Dutch person



8 tons of CO₂/yr



The Netherlands
180M
=180 000 000



The entire world
59G
=59 000 000 000



One passenger in a transatlantic flight AMS-NYC-AMS = **1.8** tons CO₂-eq

Direct consequences of increase of GHG emissions

Rate of the world's GHG emissions per year:

$$GHG(y) \left[\frac{GtCO_2 - eq}{year} \right] = 42 + 0.9(y - 2000)$$

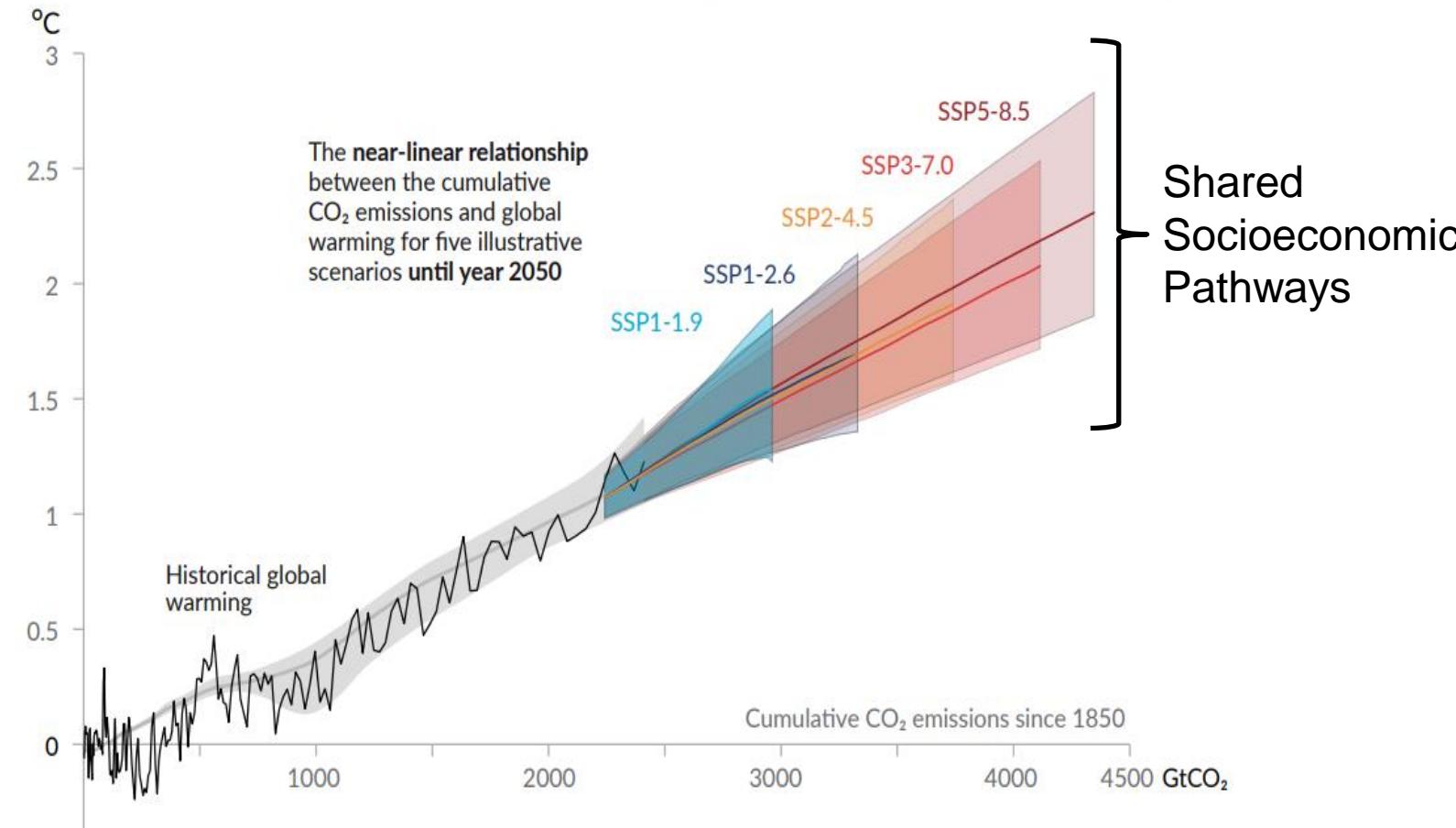
(Historical data 2000-2019)

Quick facts

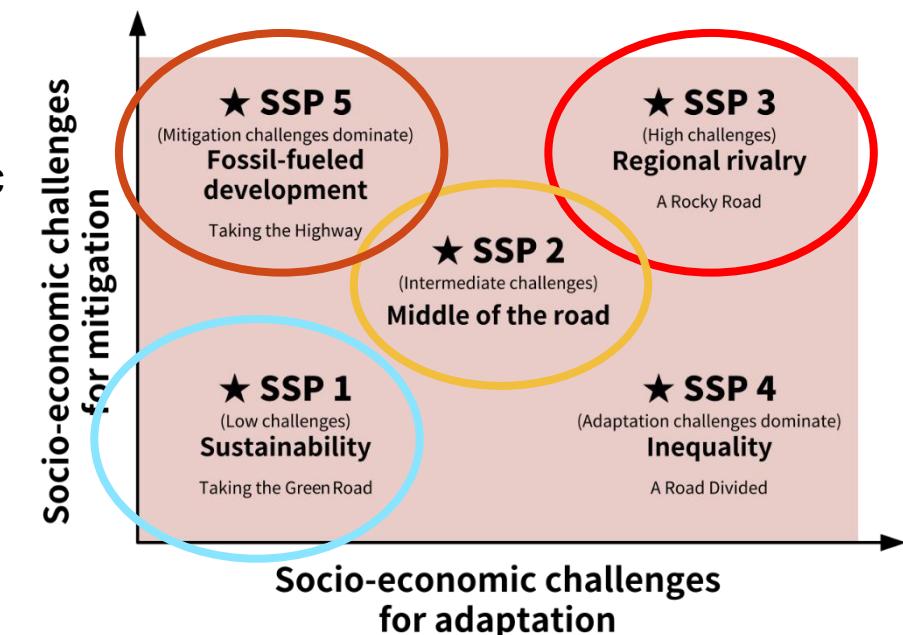
- Rising average surface temperature (ca 0.35 °C per 1000 Gt of cumulative CO₂-eq emissions).
- Increasing acidity of the oceans (a drop in pH of 0.1 for every 2000 Gt of cumulative CO₂-eq).

Temperature rise and the Shared Socioeconomic Pathways (SSP)

Global surface temperature increase since 1850–1900 ($^{\circ}\text{C}$) as a function of cumulative CO_2 emissions (Gt CO_2)

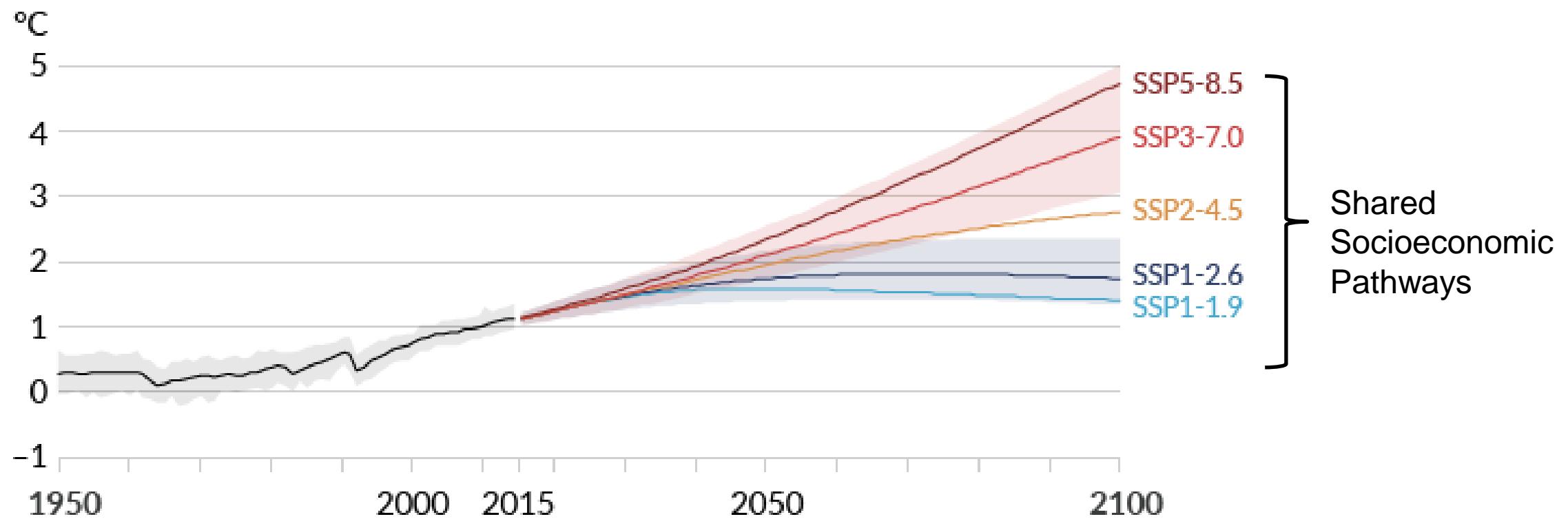


Source: IPCC 2021

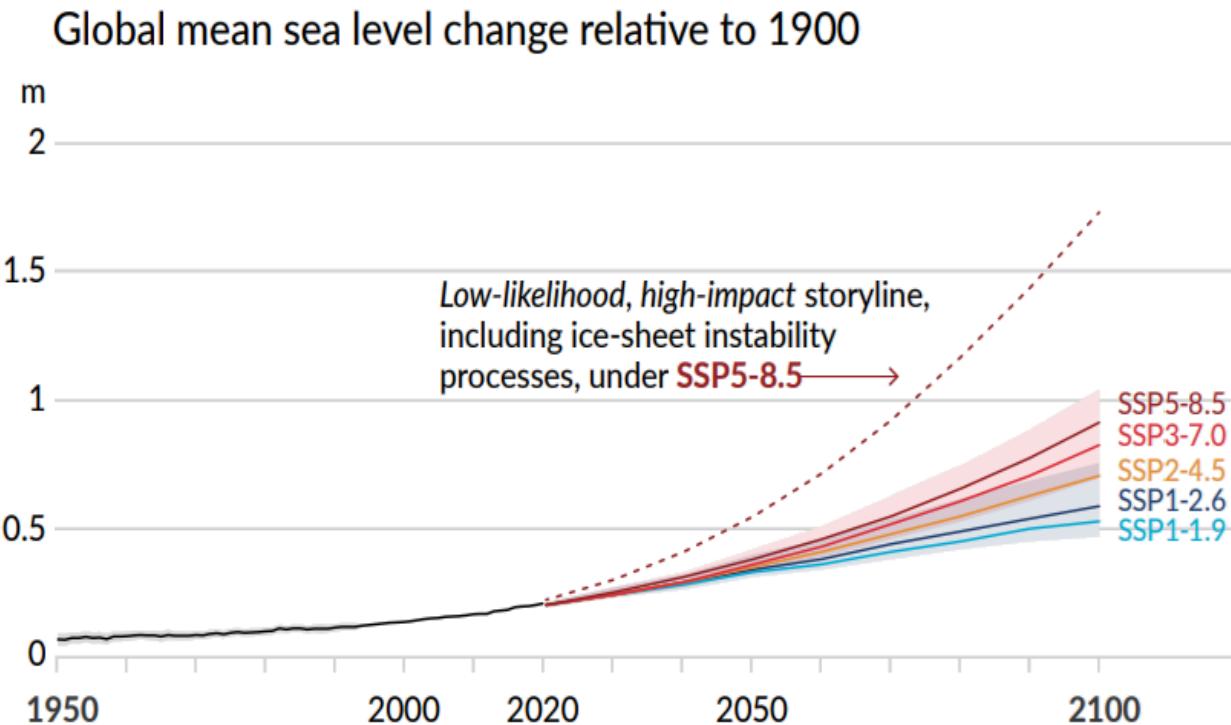


Temperature rise according to predicted GHG emission accumulated over time

(a) Global surface temperature change relative to 1850–1900



Non-linear indirect consequences of GHG emissions



- Sea level rise
- Changing precipitation patterns
- Geographical shifts of plant/animal species
- Changes in crop productivity and the spread of vector/water-borne diseases
- Migration of human populations

IPCC: indirect effects will become **critical** at a **2°C** rise of the average global surface temperature (at a cumulative GHG emission of ca 5500 Gt CO₂-eq).

Timescales of indirect consequences

- Spread of vector/water-borne diseases
- Changing in precipitation patterns
- Geographical shifts of plant/animal species



several decades



Sea level rise



hundreds of years

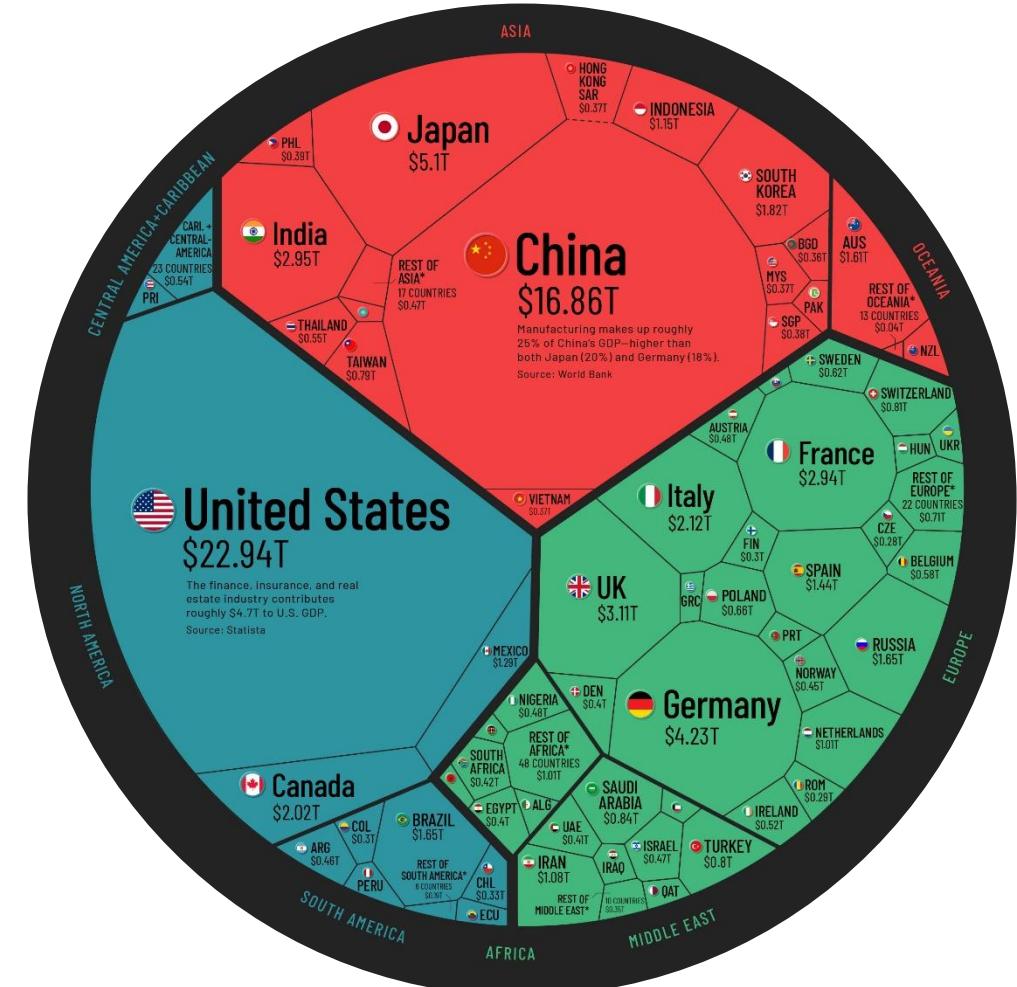
If we **stop emitting CO₂ now** (SSP1) into the atmosphere, temperatures will stop rising immediately but the **sea level** will continue to **rise for hundreds of years**

Who are the main actors involved with GHG emissions?

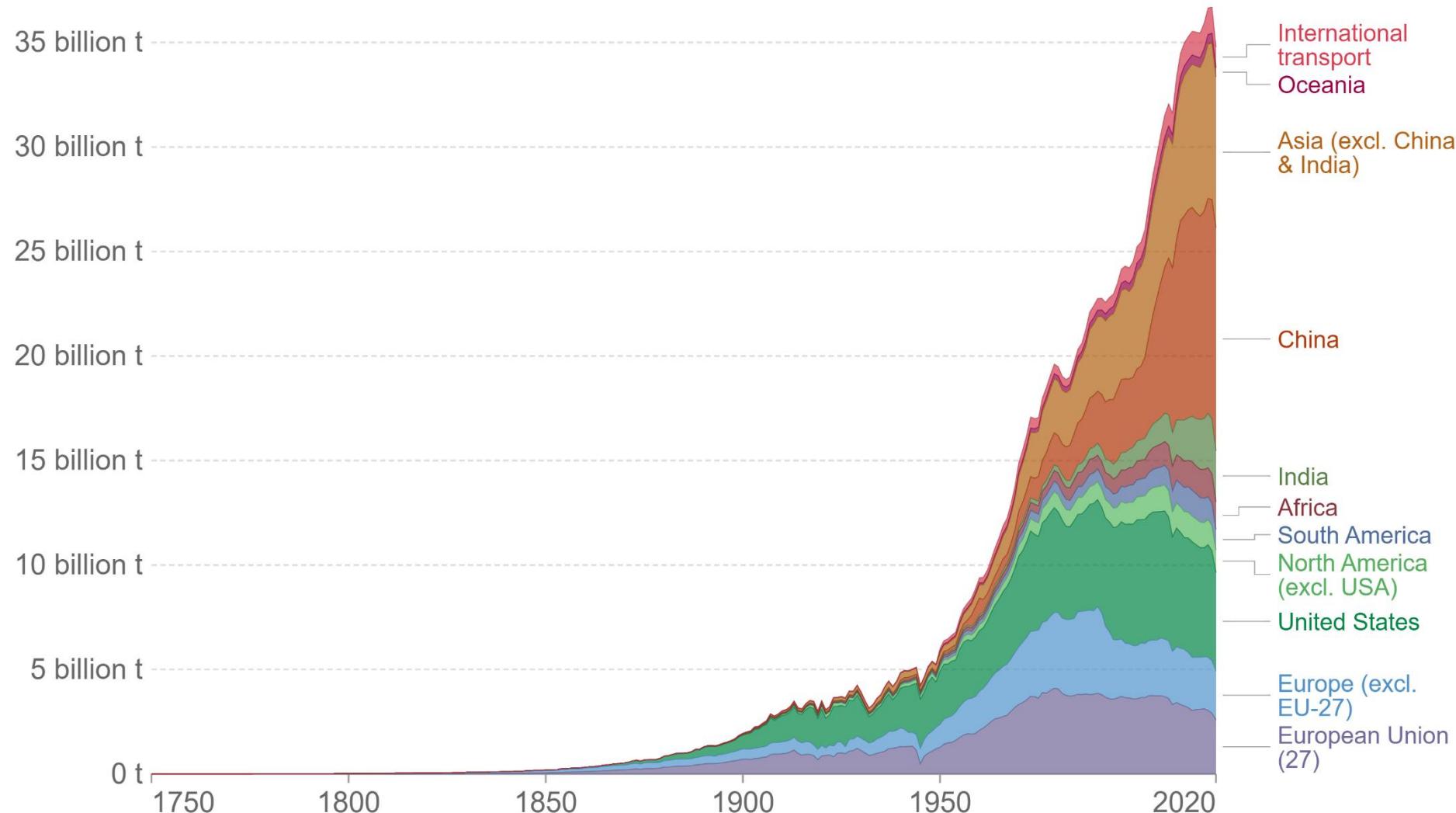
Users of fossil fuels and large industries:

- US, China, Europe (largest economies)

Consequence of GHG emissions, such as:
 food shortage, disease, floodings
 have a higher impact on
 poor and middle-class people (parts of
 Asia, Africa and South America)



Annual CO₂ emissions from fossil fuels, by world region



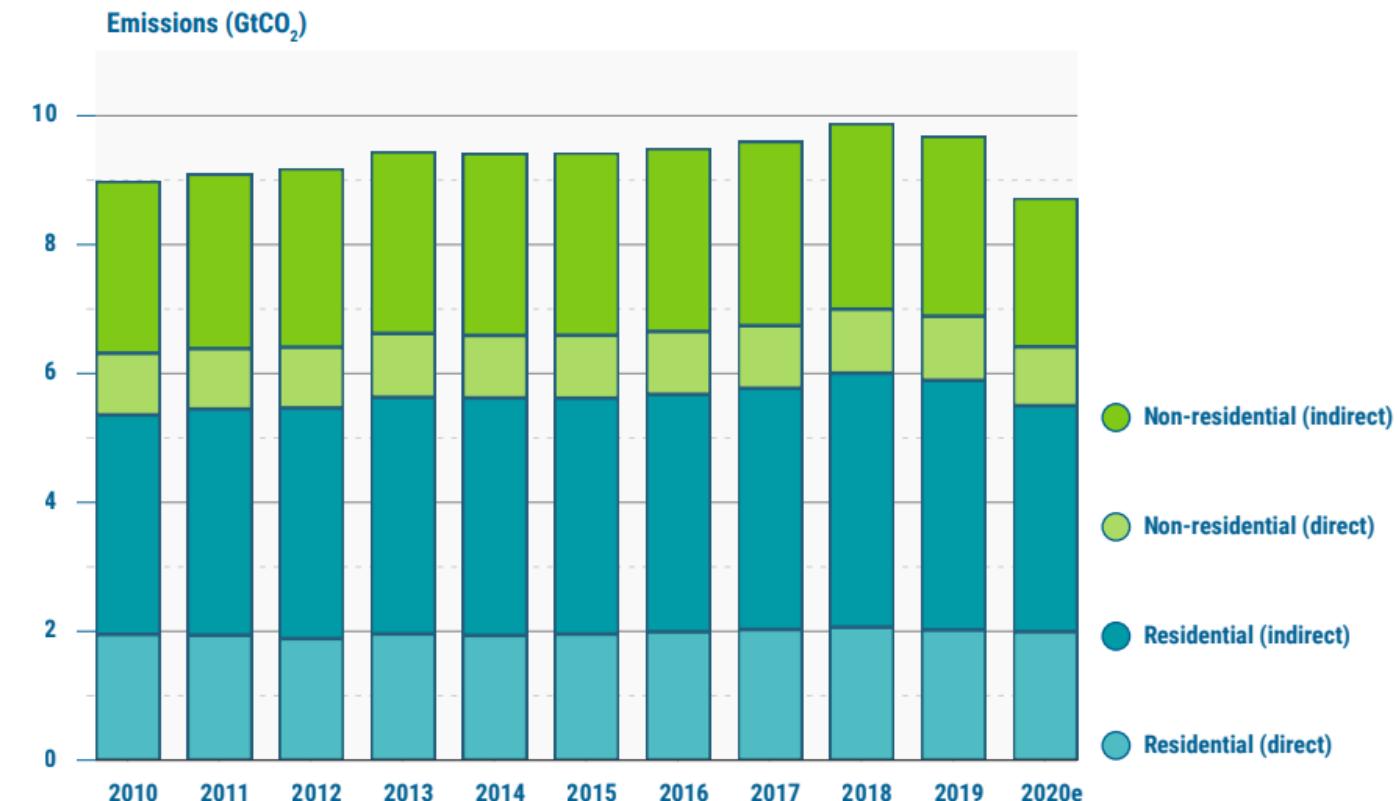
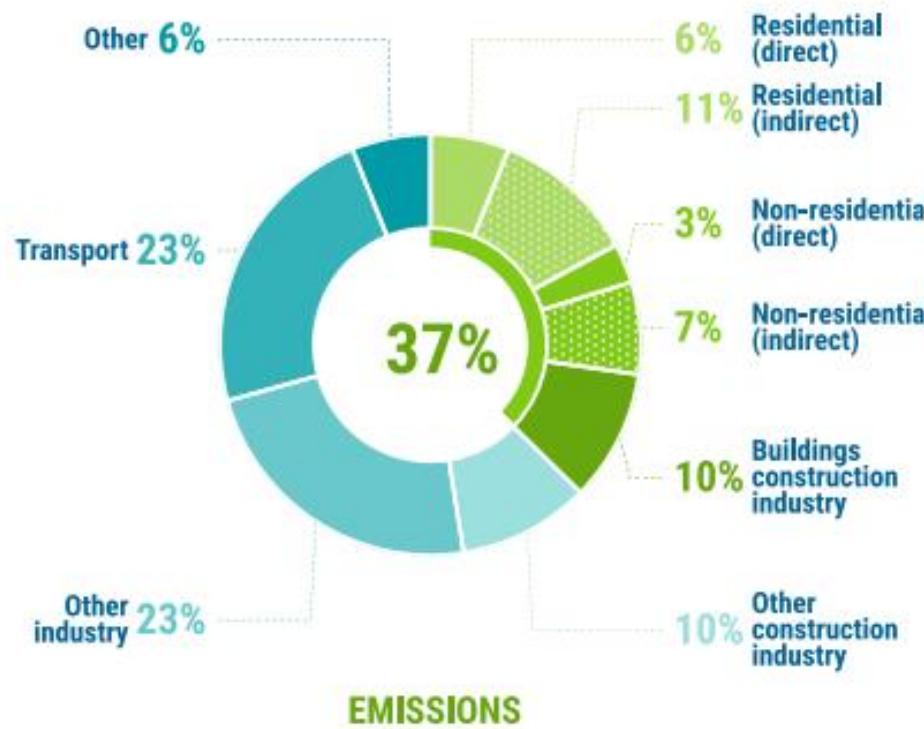
Source: Global Carbon Project

Note: This measures CO₂ emissions from fossil fuels and cement production only – land use change is not included. 'Statistical differences' (included in the GCP dataset) are not included here.

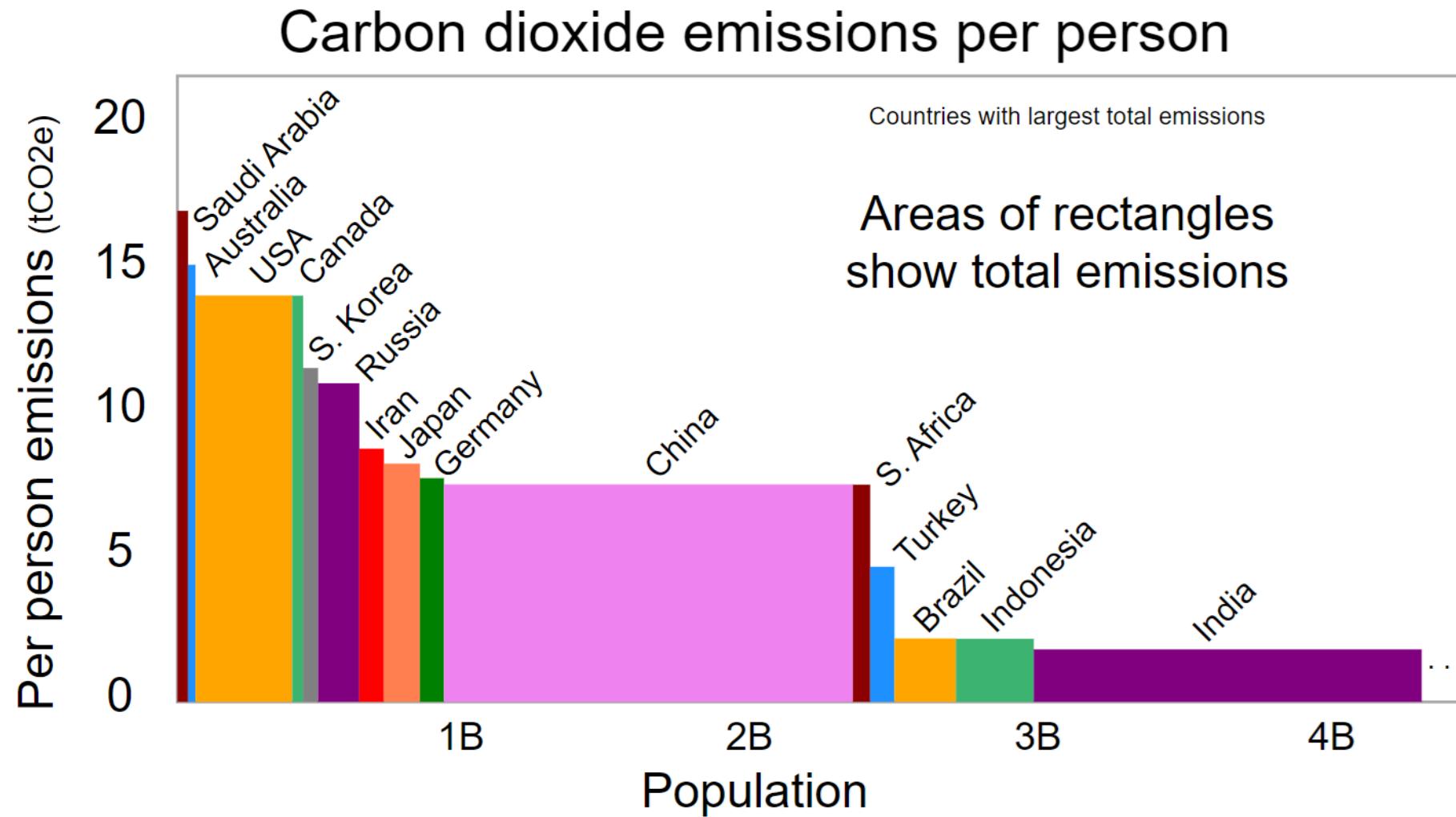
OurWorldInData.org/co2-and-other-greenhouse-gas-emissions • CC BY

CO₂ emissions from construction industry

- Only to produce materials and build infrastructure 20% of all CO₂ emissions



Source: Global ABC 2021



Europeans emit 6-7 tons of CO₂/yr on average

How much is 1 ton of CO₂?

Flight from AMS to NYC

Distance

A  B 11686 km

Your Emissions

 Roundtrip 1.77 tonnes of CO₂



1.77 tonnes of CO₂ equals about



2524 laundry
washes 



866 showers
of 10 minutes




920 days
watching TV 



18% of the
yearly energy
consumption
of a Dutch
household 

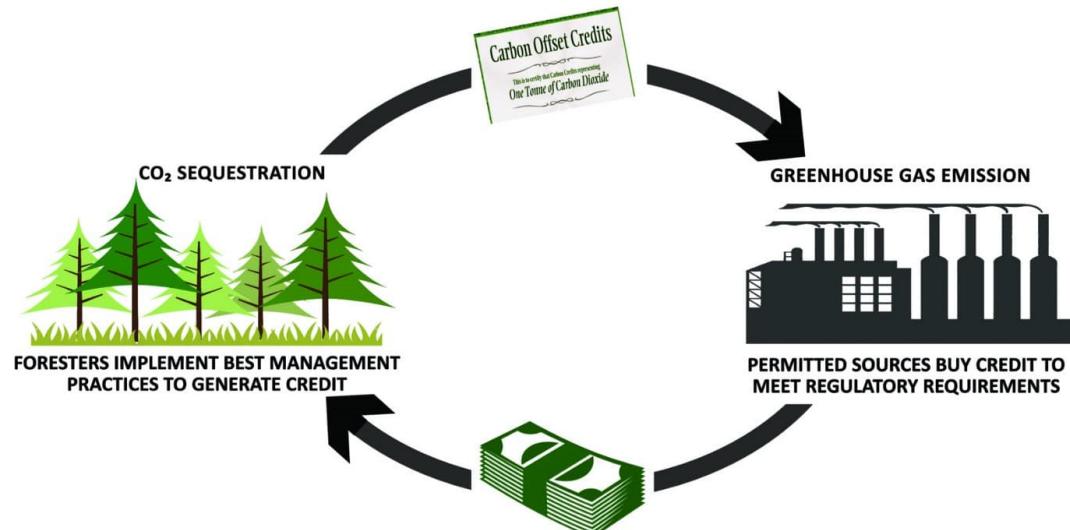


The Paris Agreement

- The mentioned increase by 2 °C is an upper limit established by the IPCC, above it unavoidable and dangerous consequences expected.
- Adopted in 2016 by 196 parties that have committed to:
 - Avoid increase of 2 °C preferentially below 1.5 °C compared to pre-industrial levels
 - Reach net zero* GHG emissions by 2050
 - Reduction target of 50% of emissions by 2030

* Net zero: broader than carbon neutral, considers all GHG gases. Means to balance emitted emissions with the ones removed from atmosphere → contrasted from zero emissions

Carbon credits as a measure to control emissions



- Tradable certificates allowing parties the right to emit GHG
- Proposed at the Kyoto protocol in 1992
- Compensated by carbon offsets: mechanisms to counterbalance emissions, e.g., reforestation or investments in renewable energies
- Carbon credits are traded by ton of CO₂-eq → can be profitable

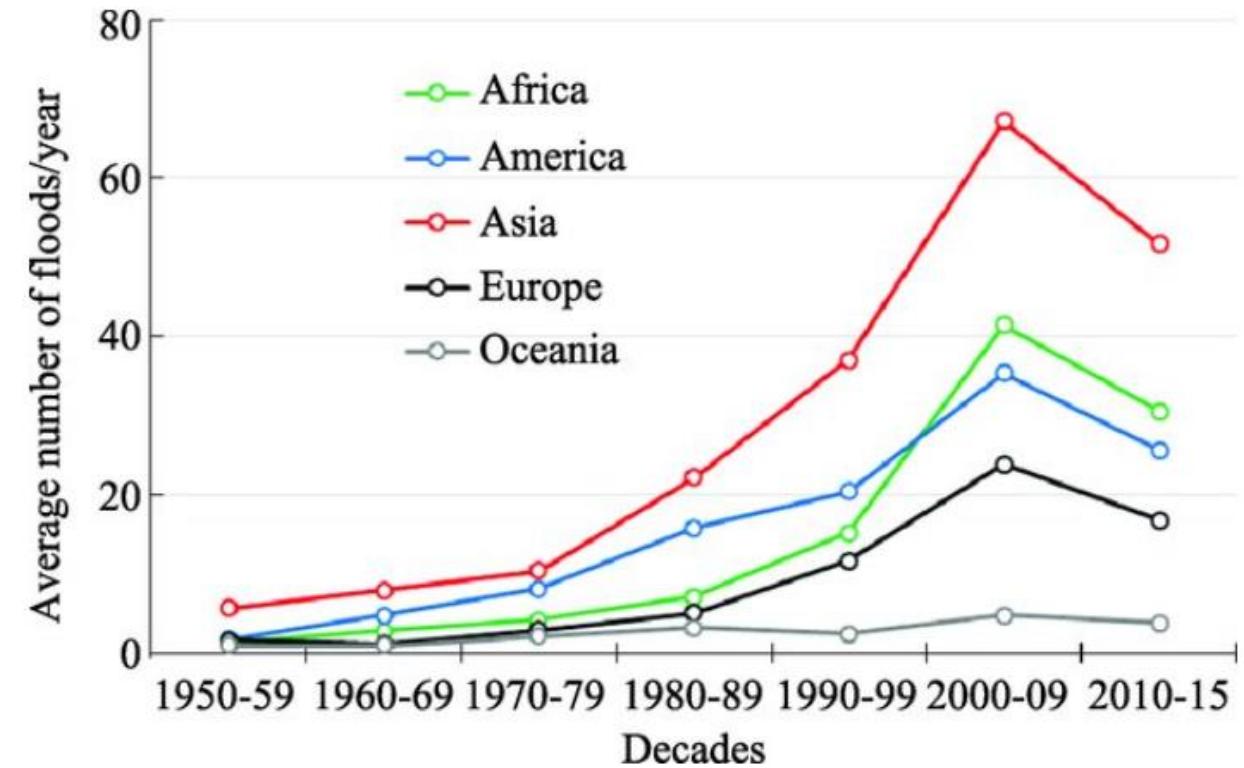
CarbonCredits.com	Last
Live Carbon Prices	
Compliance Markets	
European Union	€72.38
California	\$28.99
Australia (AUD)	\$32.00

Discussion: What are some pros and cons regarding carbon credits?

Consequences of GHG emissions, are they already visible?

Several effects due to climate change: food shortage, disease, floodings

E.g., recorded increase of annual floods globally



Source: Dagbegnon, et al. (2016)

Selected Significant Climate Anomalies and Events: September 2022



GLOBAL AVERAGE TEMPERATURE

September 2022 average global surface temperature tied as the fifth warmest for September since global records began in 1880.

ARCTIC SEA ICE EXTENT

This September tied September 2010 as the 11th-smallest Arctic sea ice extent on record, and reached an annual minimum extent on September 18.

NORTH AMERICA

North America had its warmest September on record.

CONTIGUOUS U.S.

The contiguous U.S. had its fifth-warmest September in the 128-year record.

CARIBBEAN ISLANDS

The Caribbean Islands region had its sixth-warmest September.

HURRICANE IAN

After knocking out Cuba's power grid, Hurricane Ian regained strength and hit southwestern Florida just shy of Category 5 strength, tying the record for the fifth-strongest hurricane on record to strike the U.S., before making a third landfall on the coast of South Carolina as a Category 1 hurricane.

HURRICANE FIONA

Hurricane Fiona caused widespread flooding and power outages in Puerto Rico and the eastern Caribbean before making its way up the Atlantic coast, where it made landfall in Nova Scotia as the strongest and costliest post-tropical cyclone on record for Canada.

EUROPE

After a summer of extreme heat, Europe had its coolest September since 2013.

ITALY AND PORTUGAL

After a summer of drought and wildfires, September brought heavy rainfall and damaging floods and landslides to central Italy and Portugal.

NIGERIA

A rain belt across central Africa caused devastating floods in Nigeria, including the destruction of homes and farmland, the displacement of over 100,000 people, and the death of 300 people this year.

AFRICA

Africa had its sixth-warmest September.

ASIA

Asia had its fifth-warmest September.

HONG KONG

The summer's trend of extreme heat in southeast Asia persisted in Hong Kong, which had its second-warmest September on record.

WESTERN PACIFIC TYPHOONS

Typhoon Hinnamnor, which hit South Korea, and Typhoon Noru, which moved across the northern Philippines and into Vietnam and Laos, brought heavy rainfall, damaging flooding, and strong gusts to the region.

GLOBAL CYCLONE ACTIVITY

With 20 named storms – 12 of which reached tropical cyclone strength (≥ 74 mph) – September 2022 had above-average cyclone activity for the month.

ANTARCTIC SEA ICE EXTENT

Antarctic sea ice extent for September was the fifth-lowest on record, and reached a preliminary seasonal maximum extent on September 16.

Source: NOAA (2022)

Why are negotiations towards mitigation of GHG emissions staggered?

Not enough studies of indirect impact on societal costs



Complicates negotiations among various stakeholders

CLIMATE

G-20 nations have gathered to talk carbon emissions. The negotiations won't be easy

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5 minute read · November 16, 2022 6:25 PM GMT+1 · Last Updated 5 hours ago

COP27 negotiators still far apart on strong climate deal

- Current state 2022: Energy crisis
- Russian war has unbalanced several energy sources, for example: forcing countries to opt for coal again
- COP27: developing countries such as Pakistan keep pledging to "richer countries" for damages caused by climate change (e.g., floods)

References

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- J.G.J. Oliviers, et al. (2016). PBL Netherlands EAA, Trends in Global CO₂ emissions: 2016 Report.
- J.S. Sawyer (1972). Manmade carbon dioxide and the greenhouse gas effect. Nature. 239
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Tutorial session – solving Quizzes for A1, A2, A3

Modeling population growth and collapse in reindeers and characteristic times

Reindeer consume about $C = 1.5$ ton of lichen per year per animal. Suppose that a herd of $P(0) = 250$ animals is placed on an island with a stock of $R_{\max} = 15000$ tons of lichen. Suppose also that lichen coverage regenerates in a timescale of $\tau = 15$ years. Then a very simple model for the lichen stock $R(y)$, i.e. the number of tons of lichen coverage of the island in year y , would be:

$$R(y+1) = R(y) + \frac{R_{\max} - R(y)}{\tau} - P(y+1)C$$

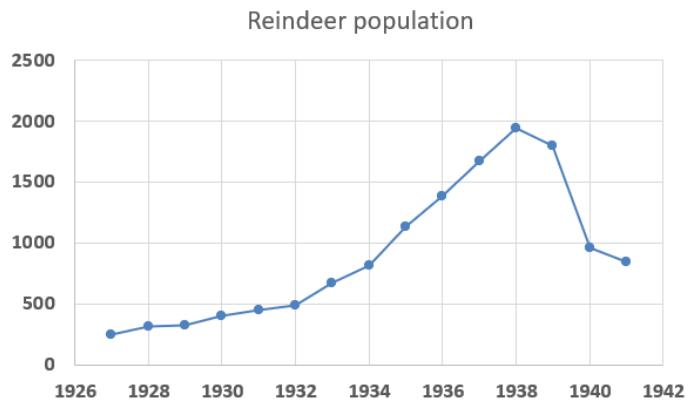
(amount of lichen at year $y+1$) = (amount of lichen at year y) + (amount of lichen generated in 1 year) – (amount of lichen consumed in 1 year).

This formula basically says that the stock of lichen at the end of year $y+1$ is equal to the stock for year y , plus some regeneration of the coverage at places where it was gone, minus the lichen eaten away by the surviving reindeer population of $P(y+1)$ animals. For the animals, we may assume that their number grows each year with a factor α , provided that there is enough lichen:

$$P(y+1) = \min\left(\frac{0.9R(y)}{C}; \alpha P(y)\right)$$

The number 0.9 is introduced to account for the fact that it is impossible for the animals to find all the lichen on the island: the exact number is not important for the analysis. If you use Excel to compute the population of reindeer over time, what is the value for the growth rate α of the herd that matches the data for the reindeer population of St. John island in the table on the right?

Year	Reindeer	Year	Reindeer
1927	250	1937	1673
1928	315	1938	1943
1929	329	1939	1800
1930	404	1940	962
1931	453	1941	850
1932	485		
1933	673		
1934	820		
1935	1152		
1936	1388		



Quiz 2 – Question 1

Mining companies tend to process ore bodies with higher ore grades first, so, over large periods of time, as more ore is processed and more metal is produced, the average metal grade c of the ore drops (See the figures below for Australian copper, silver and gold mining). In order to predict the long-term future development of the price of a metal, it is essential to know how the average ore grade c [kg metal/kg ore] will decrease with the cumulative amount P [tons] of metal that was produced. Suppose that M [tons] is the cumulative amount of ore processed at some moment in time. Then, processing the next amount of ore, dM , yields an amount of metal dP in proportion to the ore grade c :

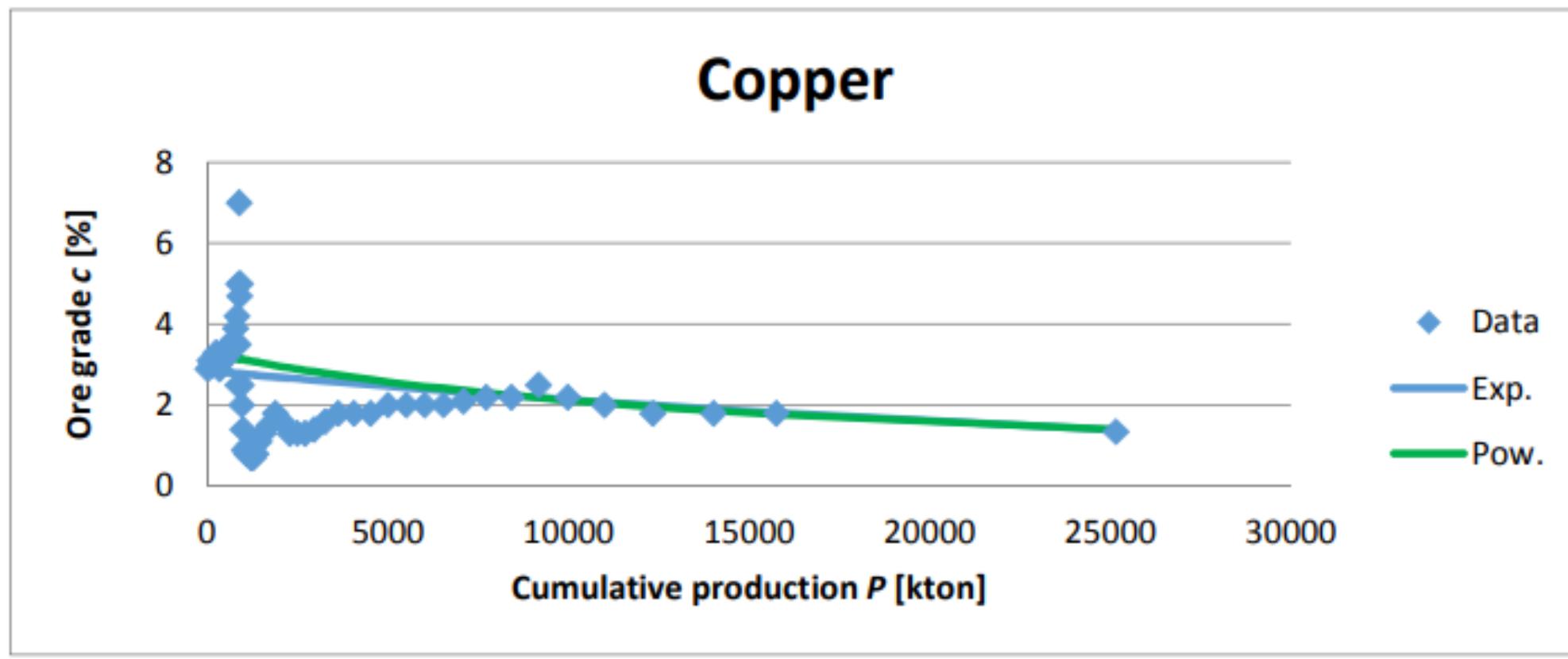
$$dP = c(P)dM$$

and so M , the cumulative amount of ore processed, relates to the cumulative production of metals as

$$M = \int_{P'=0}^P \frac{1}{c(P')} dP'$$

The table below shows the relations between M and P for two different model hypotheses for $c(P)$.

Hypothesis for $c(P)$	$c(P) = c_{1900}e^{-\alpha P}$ (exponential)	$c(P) = c_{1900}/(1 + \beta P)^\gamma$ (power law)
M as a function of P	$M(P) = \frac{e^{\alpha P}}{\alpha c_{1900}}$	$M(P) = \frac{(1 + \beta P)^{1+\gamma} - 1}{\beta(1 + \gamma)c_{1900}}$
P as a function of M	$P(M) = \frac{1}{\alpha} \ln(\alpha c_{1900} M)$	$P(M) \cong \frac{1}{\beta} ((1 + \gamma)\beta c_{1900} M)^{\frac{1}{1+\gamma}}$

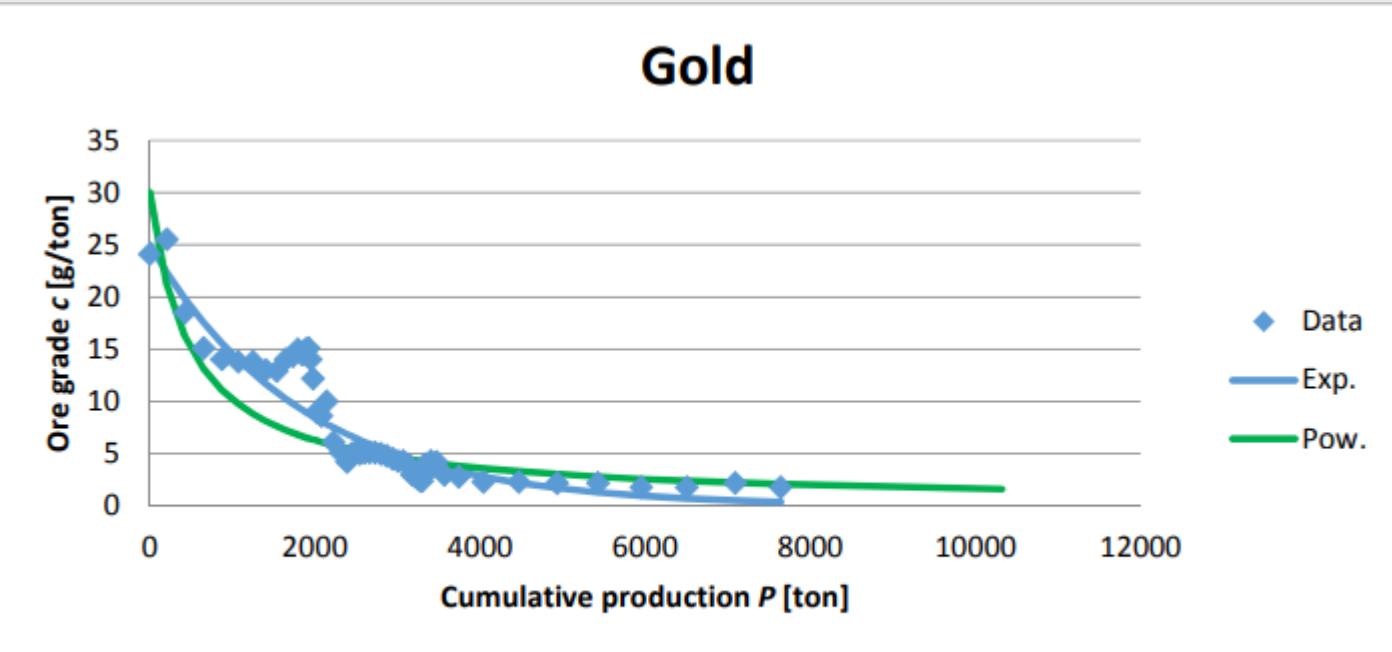
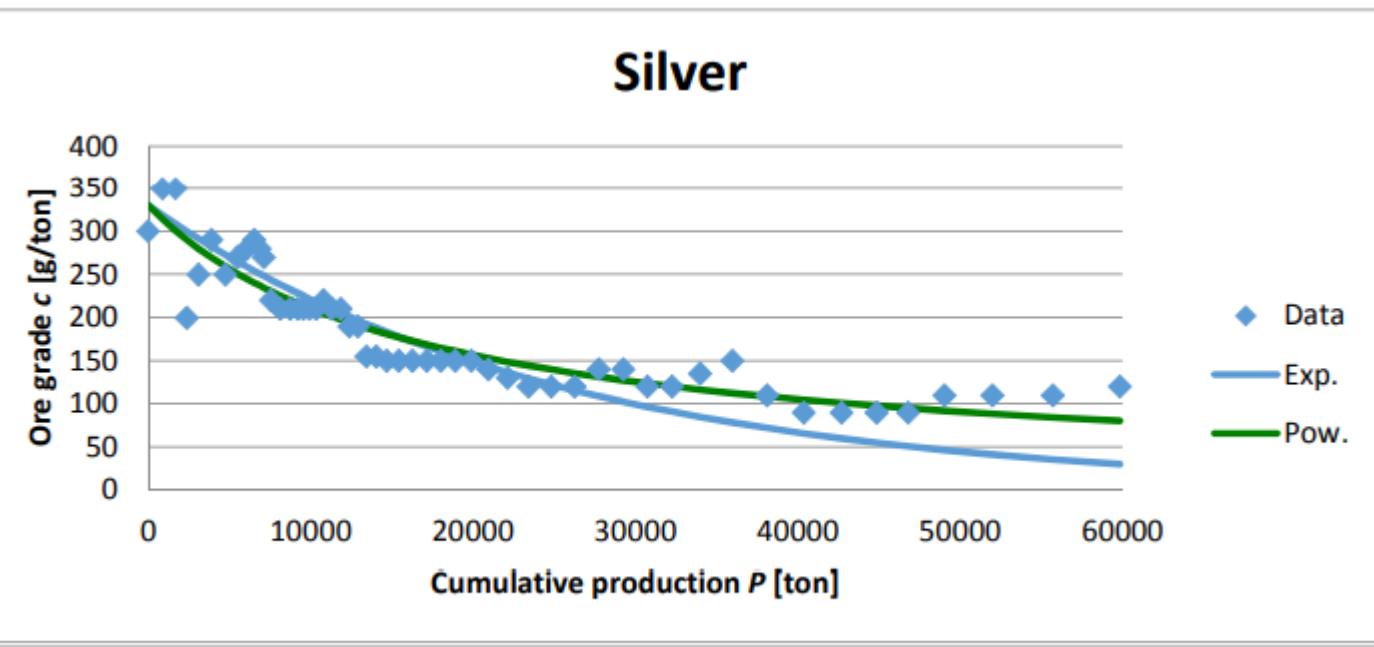


It is difficult to tell from the noisy historical data in the figures below which hypothesis gives the better approximation of reality. However, it should be considered that we are interested in predicting relatively poor future ore grades and this gives one more data point. Suppose we estimate the maximum depth of Australian mines for each metal. Then we can calculate the mass of crust M_{crust} if all the land surface of Australia would be mined. A good model hypothesis should then correctly predict the $P(M_{\text{crust}})/M_{\text{crust}}$ i.e. the chemical abundance of the metals in the Earth crust. The table below shows the parameters for three metals based on Australian mining data. Compare the two hypotheses for predicting the abundance of metals in the Earth crust. What do you find?

- A. The power law approximation gives better predictions than the exponential approximation;
- B. The exponential approximation gives better predictions than the power law approximation;
- C. Which approximation gives better results depends on the type of metal;
- D. Both approximations give similarly good results;

Metal	c_{1900} [kg/kg]	α [1/ton]	β [1/ton]	γ	Mining depth [km]	Mineable crust [ton]	Abundance [kg/kg] ¹
Copper	0.033	$2.9 \cdot 10^{-8}$	$6.4 \cdot 10^{-8}$	0.9	0.5	$9 \cdot 10^{15}$	$2 \cdot 10^{-5}$
Silver	0.000330	0.00004	$6.7 \cdot 10^{-5}$	0.88	1.0	$1.8 \cdot 10^{16}$	$5 \cdot 10^{-8}$
Gold	0.000030	0.00054	$2.2 \cdot 10^{-3}$	0.92	3.0	$5.3 \cdot 10^{16}$	$2 \cdot 10^{-9}$

¹ Average chemical abundance of elements in the Earth crust, Gordon B. Haxel, Sara Boore, and Susan Mayfield, USGS.

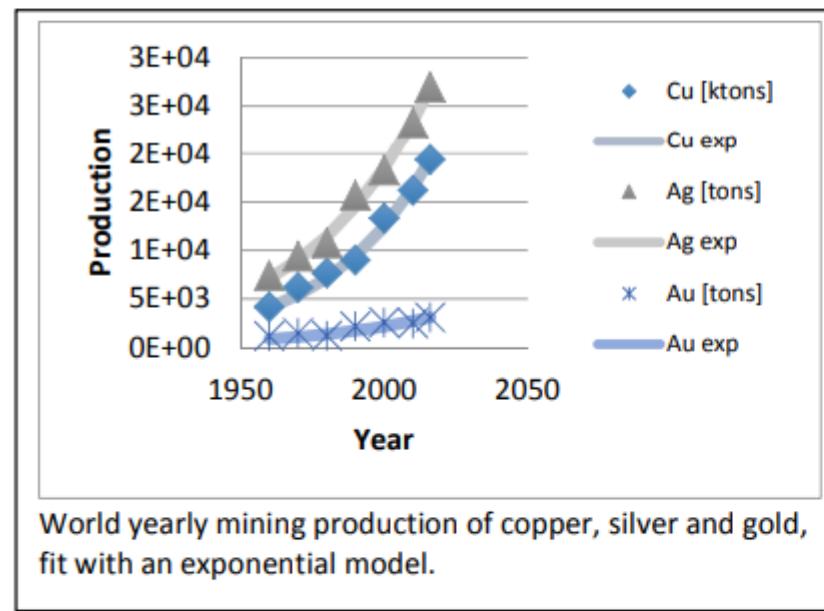


Data for ore grades versus the cumulative production of metals in Australia²

Question 2

Mining companies tend to process ore bodies with higher ore grades first, so, as more ore is processed and more metal is produced, the average metal grade c of the ore drops (see the data on P and c for copper, silver and gold mining in Australia in the figures on the next page). In order to predict the future development of the price of a metal for some scenario of consumption, we need to know how the average ore grade c [kg metal/kg ore] will decrease with the cumulative amount P [tons] of metal produced. Prices for metals increase with lower ore grades, because more ore has to be processed for the same amount of metal. We may assume that prices vary with an inverse power of c :

$$\text{metal price/ton} \sim c^{-0.8}$$



For the purpose of estimating the development of prices, the Australia data were fit with two different hypotheses for $c(P)$ (see table below).

The future cumulative production P [ton] for Australia is predicted from the consumption scenario “*Business As Usual*” and a simple exponential correlation of historical data for the world production p [ton/y] (see box at left):

$$p(y) = p_{2016} e^{(y-2016)/\tau}; \quad \text{year } y$$

The cumulative production P is the integral of p up to year y :

$$P(y) = \int^y p(y') dy' = P_{2016} + p_{2016} \tau (e^{(y-2016)/\tau} - 1)$$

Hypothesis for $c(P)$	$c(P) = c_{1900}e^{-\alpha P}$ (exponential)	$c(P) = c_{1900}/(1 + \beta P)^\gamma$ (power law)
c as a function of the year: $c(y)$	$c_{2016}e^{-\alpha p_{2016}\tau(e^{(y-2016)/\tau}-1)}$	$c_{2016}\left(1 + \frac{\beta p_{2016}\tau(e^{(y-2016)/\tau}-1)}{1 + \beta P_{2016}}\right)^{-\gamma}$

Experience from the 2008 recession shows that a doubling from 2016's metal prices would hit the world economy seriously. Based on the two hypotheses, and the data for Australian mining in the table below, when do you expect copper, silver and gold prices to double with respect to prices in 2016?

- A. 2022-2039 (power law model) versus 2032-2045 (exponential model);
- B. 2032-2045 (power law model) versus 2022-2039 (exponential model);
- C. 2022-2039 (power law model) versus 2055-2072 (exponential model);
- D. 2055-2072 (power law model) versus 2022-2039 (exponential model).

Metal	α [1/ton]	β [1/ton]	γ	p_{2016} [ton/y]	P_{2016} [ton]	τ [y]
Copper	$2.9 \cdot 10^{-8}$	$6.4 \cdot 10^{-8}$	0.9	970000	$27 \cdot 10^6$	36
Silver	0.00004	$6.7 \cdot 10^{-5}$	0.88	1370	81000	42
Gold	0.00054	$2.2 \cdot 10^{-3}$	0.92	278	10900	44

Quiz 3 – Question 1

As a simple model for sea level rise in this century, it is proposed, in line with the model-averaged results of the IPCC¹, that the global average surface temperature rise $\Delta T_{\text{surface}}$ in some year y is proportional to the cumulative GHG emissions GHG_{cum} in that year:

$$\Delta T_{\text{surface}}(y) = \frac{0.35 \cdot 10^{-3} \text{ }^{\circ}\text{C}}{\text{Gt CO}_2\text{eq}} \text{GHG}_{\text{cum}}(y);$$

A change of the worldwide surface temperature gives rise to changes in evaporation, melting and precipitation. As a result of these phenomena, the sea level will converge, over hundreds of years, to a new equilibrium that is in harmony with the new surface temperature. Here, we assume that the **equilibrium sea level rise** (the ultimate rise after the world surface temperature has been constant for a long time) depends linearly on the global average surface temperature rise,

$$\Delta H_{\text{sea level, equilibrium}} = \frac{0.75 \text{ m}}{\text{ }^{\circ}\text{C}} \Delta T_{\text{surface}}$$

Note that this crude model and its parameter are in line with the sea level data observed so far (IPCC report), but it may underestimate reality because of runaway effects that are not yet observed.

The actual sea level $\Delta H_{\text{sea level}}$ continuously converges towards the changing **equilibrium sea level**. The interaction of ice and water on land and the average temperature of the water in the oceans with the global average surface temperature is very weak. It is modelled here by a time constant $\tau = 150$ years:

$$\Delta H_{\text{sea level}}(y + 1) = \Delta H_{\text{sea level}}(y) + (\Delta H_{\text{sea level, equilibrium}} - \Delta H_{\text{sea level}}(y)) / \tau$$

We further consider two scenario's for 2010-2090, starting from the measured initial sea level rise of 0.19 m in 2010 (IPCC report):

Scenario:	Reduction of GHG	“Business as usual”
Rate of emission of GHG	GHG [Gt CO ₂ eq/y] = 50 – 0.6(y – 2010)	GHG [Gt CO ₂ eq/y] = 50 + 0.6(y – 2010)
Cumulative GHG emission	Cum. GHG [Gt CO ₂ eq] = 2500 + 50(y – 2010) – 0.3(y – 2010) ²	Cum. GHG [Gt CO ₂ eq] = 2500 + 50(y – 2010) + 0.3(y – 2010) ²

What is the predicted rise of sea level of 2090 for the two scenario's?

- A. 0.25 m (Reduction of GHG) versus 0.95 (“Business as usual”);
- B. 0.45 m (Reduction of GHG) versus 0.74 m (“Business as usual”);
- C. 0.54 m (Reduction of GHG) versus 0.70 m (“Business as usual”);
- D. 0.40 m (Reduction of GHG) versus 0.65 m (“Business as usual”).

¹IPCC, 2014: Climate Change 2014: Synthesis Report. Contribution of Working Groups I, II and III to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change.

Reminder



Next Lecture (L2):

Tuesday 29 November 2022 from **13:45 to 15:45**

Hall G

Readings:

Atoms of Learning A4, A5

Solving for this week:

Quizzes 1, 3

