

# MA1101R

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## LIVE LECTURE 6

# Topics for week 6

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3.4 Linear Independence

3.5 Bases

## Let's revise - Span

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- A **linear span** always contains the **zero** vector
- If a set of vectors is in  $\text{span}(S)$ , then any **linear combination** of the vectors is also in  $\text{span}(S)$ .
- In  $\mathbf{R}^2$  and  $\mathbf{R}^3$ ,  $\text{span}\{\mathbf{u}\}$  represents a **line** if  $\mathbf{u} \neq \mathbf{0}$ .
- In  $\mathbf{R}^2$  and  $\mathbf{R}^3$ ,  $\text{span}\{\mathbf{u}, \mathbf{v}\}$  represents a **plane** if  $\mathbf{u}$  is not parallel to  $\mathbf{v}$ .
- A subset  $V$  of  $\mathbf{R}^n$  is a **subspace** of  $\mathbf{R}^n$  if  $V$  is a linear span of a set  $S$  of vectors in  $\mathbf{R}^n$ .
- $\{\mathbf{0}\}$  and  $\mathbf{R}^n$  are **subspaces** of  $\mathbf{R}^n$

# Let's revise - Subsets vs Subspaces

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- All **subspaces** of  $\mathbf{R}^n$  are **subsets** of  $\mathbf{R}^n$
- NOT all **subsets** of  $\mathbf{R}^n$  are **subspaces** of  $\mathbf{R}^n$
- A **subspace** of  $\mathbf{R}^n$  is **closed** under addition and scalar multiplication
- If  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k\}$  is a **subset** of  $\mathbf{R}^n$ ,  
then  **$\text{span}\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k\}$**  is a **subspace** of  $\mathbf{R}^n$

**Linearly independent set:** no redundant vectors in the set

**Linearly dependent set:** redundant vectors in the set

## Redundant vectors

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$$\mathbf{v}_1 = (2, 1, 3), \mathbf{v}_2 = (1, -1, 2), \mathbf{v}_3 = (3, 0, 5), \mathbf{v}_4 = (1, 2, 4)$$

$\text{span}\{\mathbf{v}_1\}$  represents a **line**  $\{\mathbf{v}_1\}$  is linearly independent

$\text{span}\{\mathbf{v}_1, \mathbf{v}_2\}$  represents a **plane**  $\{\mathbf{v}_1, \mathbf{v}_2\}$  is linearly independent

Both vectors are not redundant

$\text{span}\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$  represents the same **plane**

One of the three vectors is redundant

$\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$  is linearly dependent

$\text{span}\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \mathbf{v}_4\}$  represents the **entire  $\mathbf{R}^3$**

One of the first three vectors is redundant

$\mathbf{v}_4$  is not redundant

$\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \mathbf{v}_4\}$  is linearly dependent

# Testing Linear Independence $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$

- Standard method

Form the equation  $c_1\mathbf{v}_1 + c_2\mathbf{v}_2 + \dots c_n\mathbf{v}_n = \mathbf{0}$

Homogeneous  
system

➤ If  $c_1 = 0, c_2 = 0, \dots c_n = 0$  is the unique solution,  
then they are *linearly independent*

➤ If there are non-trivial solutions,  
then they are *linearly dependent*.

- Redundancy

➤ If some  $\mathbf{v}_i$  is a linear combination of the others,  
then they are *linearly dependent*

➤ If every  $\mathbf{v}_i$  is not a linear combination of the others,  
then they are *linearly independent*.

# Testing Linear Independence $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$

Special Methods: (only work under certain circumstances)

- The column vectors  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n \in \mathbf{R}^n$  form a square matrix  $\mathbf{A}$ .
  - If  $\det(\mathbf{A}) = 0$ , then they are *linearly dependent*.
  - If  $\det(\mathbf{A}) \neq 0$ , then they are *linearly independent*.
- There are only two vectors  $\mathbf{v}_1, \mathbf{v}_2$  in the set.
  - If  $\mathbf{v}_1, \mathbf{v}_2$  are scalar multiple of each other, then they are *linearly dependent*.
  - If  $\mathbf{v}_1, \mathbf{v}_2$  are not scalar multiple of each other, then they are *linearly independent*.
- Suppose  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n \in \mathbf{R}^m$ .
  - If  $n > m$ , then they are *linearly dependent*.
  - If  $n \leq m$ , it can be *linearly independent or dependent*.

The more vectors you have, the more likely for them to be *linearly dependent*

# Linear independence (Example)

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$\{ (1, 1, 1), (-1, -1, -1) \}$  scalar multiple  $\rightarrow$  lin dep

$\{ (1, 1, 1), (1, 1, -1) \}$  not scalar multiple  $\rightarrow$  lin indep

$\{ (1, 1, 1), (0, 1, 1), (0, 0, 1) \}$  only trivial solution  $\rightarrow$  lin indep

$$\begin{vmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \end{vmatrix} = 1$$

$$a(1, 1, 1) + b(0, 1, 1) + c(0, 0, 1) = (0, 0, 0)$$

$\{ (1, 1, 1), (0, 1, 0), (1, 0, 1) \}$  non-trivial solution  $\rightarrow$  lin dep

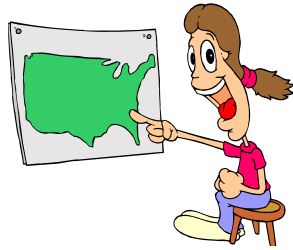
$$\begin{vmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \end{vmatrix} = 0$$

$$a(1, 1, 1) + b(0, 1, 0) + c(1, 0, 1) = (0, 0, 0)$$

$\{ (1, 1, 1), (0, 1, 1), (0, 0, 1), (1, 0, 1) \}$

more than 3 vectors  $\rightarrow$  lin dep





## Map of LA

**A** is an  $n \times n$  matrix

**A** is invertible

chapter 2

**A** is not invertible

$\det \mathbf{A} \neq 0$

chapter 2

$\det \mathbf{A} = 0$

rref of **A** is identity matrix

chapter 1

rref of **A** has a zero row

$\mathbf{Ax} = \mathbf{0}$  has only the trivial solution

chapter 1

$\mathbf{Ax} = \mathbf{0}$  has non-trivial solutions

$\mathbf{Ax} = \mathbf{b}$  has a unique solution

chapter 1

$\mathbf{Ax} = \mathbf{b}$  has no solution or infinitely many solutions

Columns (rows) of **A** are linearly independent

chapter 3

Columns (rows) of **A** are linearly dependent

to be continued

## True or false

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- True** 1. If the set of nonzero vectors  $\{\mathbf{v}_1, \mathbf{v}_2\}$  is linearly dependent in  $\mathbf{R}^3$ , then the set  $\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$  must also be linearly dependent.
- False** 2. If none of the vectors from the set  $\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$  in  $\mathbf{R}^3$  is a multiple of one of the other vectors, then  $\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$  is linearly independent.
- False** 3. If  $S_1$  and  $S_2$  are two linearly independent sets, then  $S_1 \cup S_2$  is also a linearly independent set.

# Geometrical interpretation

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Vectors in $\mathbb{R}^3$ (non-zero)	Directions of vectors	Linear dependency	Linear span
Two vectors $\mathbf{v}_1, \mathbf{v}_2$	$\mathbf{v}_1, \mathbf{v}_2$ parallel	Linearly dependent	$\text{span}\{\mathbf{v}_1, \mathbf{v}_2\}$ is a line
Two vectors $\mathbf{v}_1, \mathbf{v}_2$	$\mathbf{v}_1, \mathbf{v}_2$ not parallel	Linearly independent	$\text{span}\{\mathbf{v}_1, \mathbf{v}_2\}$ is a plane
Three vectors $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$	$\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$ parallel	Linearly dependent	$\text{span}\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$ is a line
Three vectors $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$	$\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$ co-planar	Linearly dependent	$\text{span}\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$ is a plane
Three vectors $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$	$\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$ not coplanar	Linearly independent	$\text{span}\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$ is the 3D space

# Linear independence VS Span

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- If  $S$  is linearly independent, then
  - $\mathbf{u} \notin \text{span}(S) \Leftrightarrow S \cup \{\mathbf{u}\}$  is linearly independent.
  - $\mathbf{u} \in \text{span}(S) \Leftrightarrow S \cup \{\mathbf{u}\}$  is linearly dependent
- Let  $\{\mathbf{u}, \mathbf{v}\} \in \mathbf{R}^2$ .  
 $\{\mathbf{u}, \mathbf{v}\}$  is linearly independent  $\Leftrightarrow \text{span}\{\mathbf{u}, \mathbf{v}\} = \mathbf{R}^2$
- Let  $\{\mathbf{u}, \mathbf{v}, \mathbf{w}\} \in \mathbf{R}^3$ .  
 $\{\mathbf{u}, \mathbf{v}, \mathbf{w}\}$  is linearly independent  $\Leftrightarrow \text{span}\{\mathbf{u}, \mathbf{v}, \mathbf{w}\} = \mathbf{R}^3$

$S = \{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_k\}$  is a **basis** for  $\mathbb{R}^n$

## Linear independence VS Span

Given that:  $S = \{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_k\}$  is a subset of  $\mathbb{R}^n$

To Show:

$S = \{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_k\}$  **spans**  $\mathbb{R}^n$

same as:  $\text{span}(S) = \mathbb{R}^n$

$$c_1 \mathbf{u}_1 + c_2 \mathbf{u}_2 + \dots + c_k \mathbf{u}_k = \mathbf{v}$$

$\mathbf{v}$  is any **general** vector in  $\mathbb{R}^n$

$$\begin{pmatrix} x \\ y \\ \vdots \\ z \end{pmatrix}$$

check whether the system is  
always **consistent**

yes

spans

no

does not span

To Show:

$S = \{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_k\}$  is **lin. indep.**

$$c_1 \mathbf{u}_1 + c_2 \mathbf{u}_2 + \dots + c_k \mathbf{u}_k = \mathbf{0}$$

$\mathbf{0}$  is the **zero** vector in  $\mathbb{R}^n$

$$\begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$$

check whether the system  
has **non-trivial** solution

yes

lin.dep

no

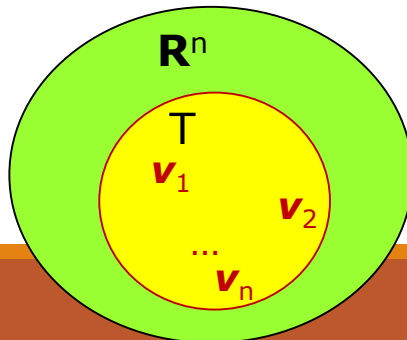
lin.indep

T is not a basis for V

## Bases for $\mathbf{R}^n$ VS Bases for its subspaces

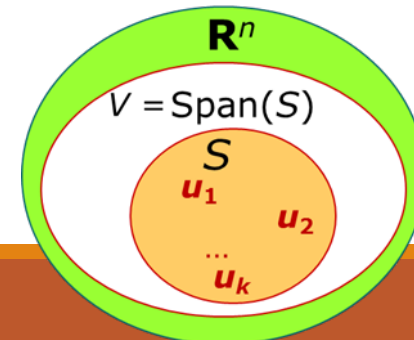
### Bases for $\mathbf{R}^n$

- The basis T is the **smallest set** of “**building blocks**” for  $\mathbf{R}^n$ .
- T is **linearly independent** and  $\text{span}(T) = \mathbf{R}^n$ .
- Every vector in  $\mathbf{R}^n$  can be expressed as a **linear combination** of T in a **unique** way.



### Bases for **subspace** V

- The basis S is the **smallest set** of “**building blocks**” for V.
- S is **linearly independent** and  $\text{span}(S) = V$ .
- Every vector in V can be expressed as a **linear combination** of S in a **unique** way.

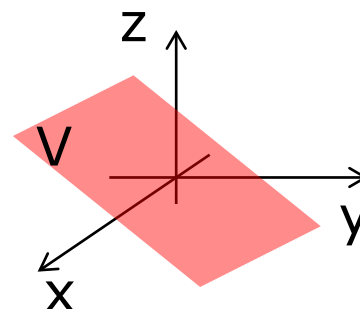
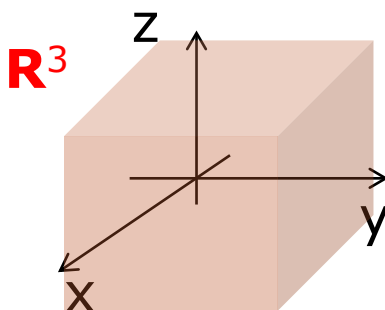


$\mathbf{R}^n$       subspace of  $\mathbf{R}^n$

## Bases for a vector space

There are **many possible bases** for a given vector space

A basis for " $\mathbf{R}^n$ " is not a basis for "**subspace of  $\mathbf{R}^n$** "



### Meaning

1. A **basis** for  $V$  is a set of **building blocks** of  $V$
2. A **basis** for  $V$  is a "**unit of measurement**" for vectors in  $V$ .
3. A **basis** for  $V$  gives a "**coordinate system**" for  $V$ .

# Show basis

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To show  $S$  is a basis for  $\mathbf{R}^n$

- Check  $S$  has  $n$  vectors
- Check  $S$  is linearly independent

To show  $S$  is a basis for a subspace  $V$  of  $\mathbf{R}^n$

- Check  $S$  is linearly independent
- Check  $\text{span}(S) = V$



To show  $S$  is a basis for  $\mathbf{R}^n$

- Check  $S$  has  $n$  vectors
- Check  $S$  is linearly independent

## Bases for $\mathbf{R}^3$

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Which of the sets of vectors is/are bases for  $\mathbf{R}^3$ ?

$\{ (1, 1, 1), (-1, -1, -1) \}$

Less than 3 vectors  $\rightarrow$  not basis for  $\mathbf{R}^3$

$\{ (1, 1, 1), (1, 1, -1) \}$

Less than 3 vectors  $\rightarrow$  not basis for  $\mathbf{R}^3$

$\{ (1, 1, 1), (0, 1, 1), (0, 0, 1) \}$

3 vectors, lin indep  $\rightarrow$  basis for  $\mathbf{R}^3$

$\{ (1, 1, 1), (0, 1, 0), (1, 0, 1) \}$

3 vectors, lin dep  $\rightarrow$  not basis for  $\mathbf{R}^3$

$\{ (1, 1, 1), (0, 1, 1), (0, 0, 1), (1, 0, 1) \}$

More than 3 vectors  $\rightarrow$  not basis for  $\mathbf{R}^3$

# Basis for a subspace of $\mathbf{R}^3$

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$V = \{(x, y, z) \mid x - y + 2z = 0\}$  is a subspace of  $\mathbf{R}^3$

Which of the sets of vectors is/are bases for  $V$ ?

$\{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$

3 vectors, lin indep  $\rightarrow$  basis for  $\mathbf{R}^3 \rightarrow$  not basis for  $V$

$\{(1, 1, 0)\}$

1 vector, lin indep  $\rightarrow$  basis for a line  $\rightarrow$  not basis for  $V$

$\{(1, 1, 0), (1, 0, -1)\}$

2 vectors, lin indep  $\rightarrow$  basis for a plane

$(1, 0, -1) \notin V \rightarrow$  not basis for  $V$

$\{(1, 1, 0), (1, -1, -1)\}$

$(1, 1, 0), (1, -1, -1) \in V$ , lin indep  $\rightarrow$  basis for  $V$

## Exercise 3 Q38

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Let  $S = \{\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3\}$  be a basis for some vector space  $V$ .

Is  $T = \{\mathbf{u}_1, \mathbf{u}_1 + \mathbf{u}_2, \mathbf{u}_1 + \mathbf{u}_2 + \mathbf{u}_3\}$  also a basis for  $V$ ?

Every vector in  $T$  belongs to  $\text{span}(S)$ . So  $\text{span}(T) \subseteq \text{span}(S)$ .

$$\mathbf{u}_1 \in \text{span}(T)$$

$$\mathbf{u}_2 = (\mathbf{u}_1 + \mathbf{u}_2) - \mathbf{u}_1 \in \text{span}(T)$$

$$\mathbf{u}_3 = (\mathbf{u}_1 + \mathbf{u}_2 + \mathbf{u}_3) - (\mathbf{u}_1 + \mathbf{u}_2) \in \text{span}(T)$$

Every vector in  $S$  belongs to  $\text{span}(T)$ . So  $\text{span}(S) \subseteq \text{span}(T)$ .

$$\text{So } \text{span}(T) = \text{span}(S) = V$$

We shall see later:

Since we know the "dimension" of  $T$  is 3,  
we just need to check (i)  $\text{span}(T) = V$ , OR (ii)  $T$  is linearly indep

## Exercise 3 Q38

Let  $S = \{\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3\}$  be a basis for some vector space  $V$ .

Is  $T = \{\mathbf{u}_1, \mathbf{u}_1 + \mathbf{u}_2, \mathbf{u}_1 + \mathbf{u}_2 + \mathbf{u}_3\}$  also a basis for  $V$ ?

Consider  $a\mathbf{u}_1 + b(\mathbf{u}_1 + \mathbf{u}_2) + c(\mathbf{u}_1 + \mathbf{u}_2 + \mathbf{u}_3) = \mathbf{0}$  (\*)

Does (\*) have non-trivial scalars for  $a, b, c$ ?

Rewrite (\*):  $(a+b+c)\mathbf{u}_1 + (b+c)\mathbf{u}_2 + c\mathbf{u}_3 = \mathbf{0}$  (\*\*)

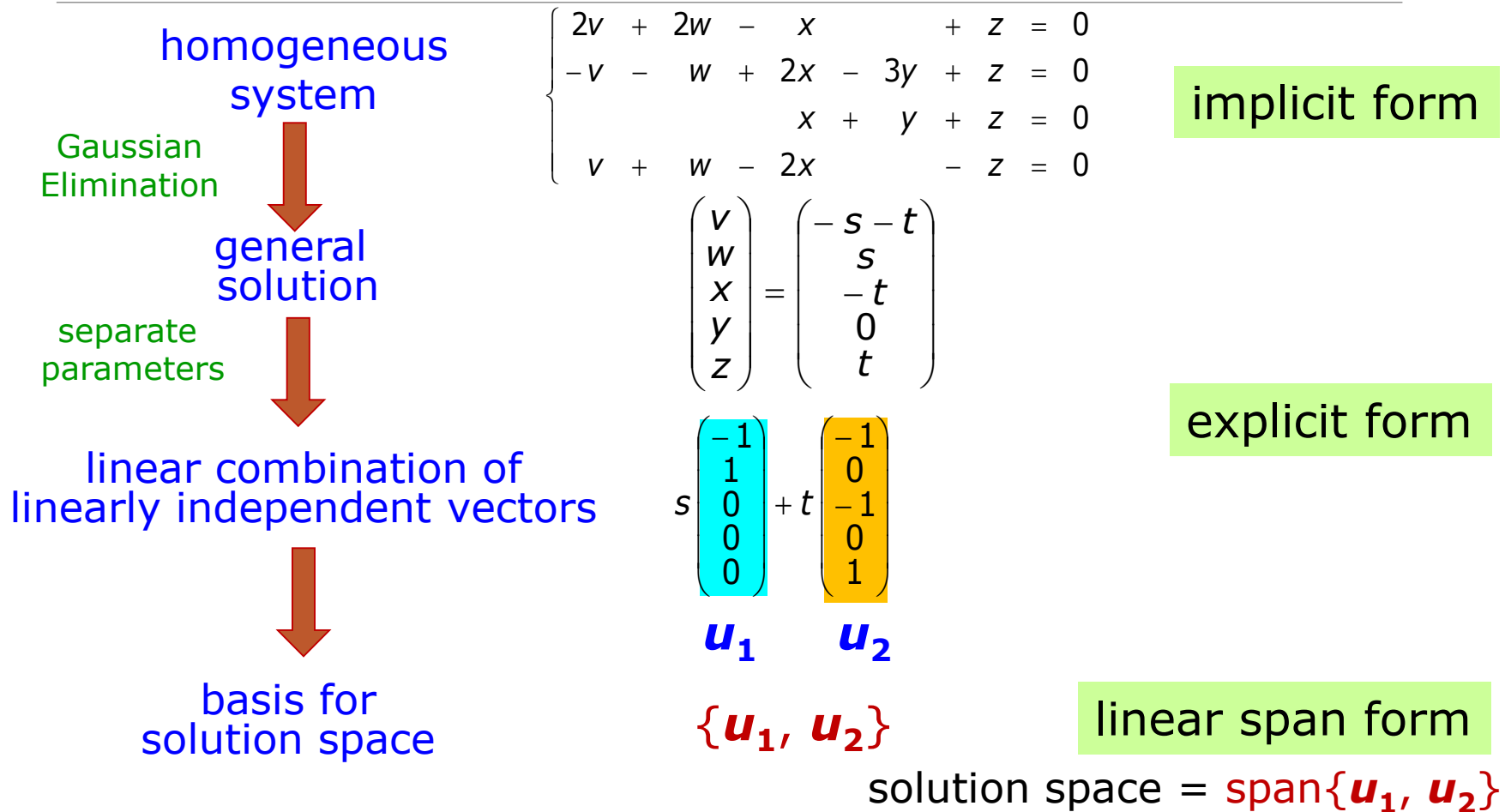
(\*\*) has only trivial scalars for  $a+b+c, b+c, c$

$$\left. \begin{array}{l} a + b + c = 0 \\ b + c = 0 \\ c = 0 \end{array} \right\} \text{Solve: } a = b = c = 0$$

So (\*) has only trivial scalars for  $a, b, c$

So  $T$  is linearly independent.

# Basis for Solution Space



# Find a basis for a subspace

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V: Implicit form

derive explicit form for  
general vector



usually need to solve  
linear system

V: explicit form

separate the parameters



V: span  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$

throw out redundant vectors



use row/column space  
method (chp 4)

Get a basis for V

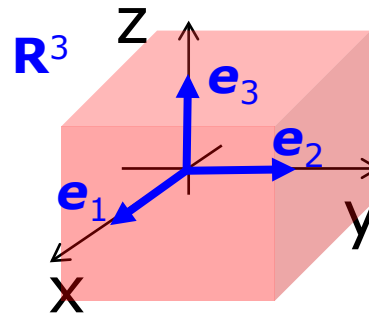
- A **basis** for  $V$  is a “**unit of measurement**” for vectors in  $V$ .
- A **basis** for  $V$  gives a “**coordinate system**” for  $V$ .

## Coordinate vectors in $\mathbb{R}^n$

standard basis  
 $S = \{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$

$$\mathbf{u} = (2, 1, -3)$$

$$= 2\mathbf{e}_1 + \mathbf{e}_2 - 3\mathbf{e}_3$$



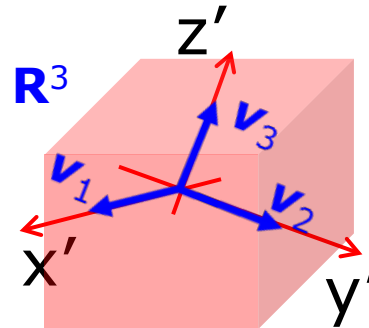
$$(\mathbf{u})_S = (2, 1, -3)$$

coordinate vector of  $\mathbf{u}$  relative to basis  $S$

non-standard basis  
 $T = \{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$

$$\mathbf{u} = (2, 1, -3)$$

$$= 3\mathbf{v}_1 - \mathbf{v}_2 - 4\mathbf{v}_3$$

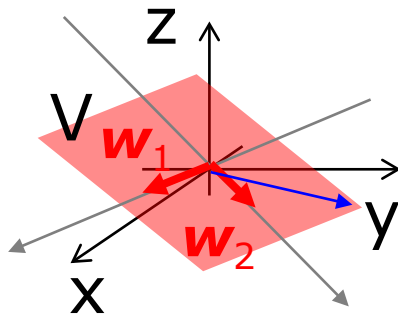


$$(\mathbf{u})_T = (3, -1, -4)$$

coordinate vector of  $\mathbf{u}$  relative to basis  $T$

- A **basis** for  $V$  is a “**unit of measurement**” for vectors in  $V$ .
- A **basis** for  $V$  gives a “**coordinate system**” for  $V$ .

## Coordinate vectors in a subspace of $\mathbf{R}^n$



basis for the plane  $V$ :

$$W = \{\mathbf{w}_1, \mathbf{w}_2\}$$

$$\mathbf{u} = (2, 1, -3)$$

$$= (-1)\mathbf{w}_1 + 2\mathbf{w}_2$$

$$(\mathbf{u})_W = (-1, 2)$$

coordinate vector of  $\mathbf{u}$  relative to basis  $W$



# Announcement

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## ❖ Practice Session

- Practice 2 scores in submission folder

## ❖ Online quiz 6

- Due this Sunday

## ❖ Homework 1

- Published last Friday
- Deadline: 2 October (week 7)
- Declaration form

## ❖ MATLAB

- Worksheet 2 this week