Roadmap

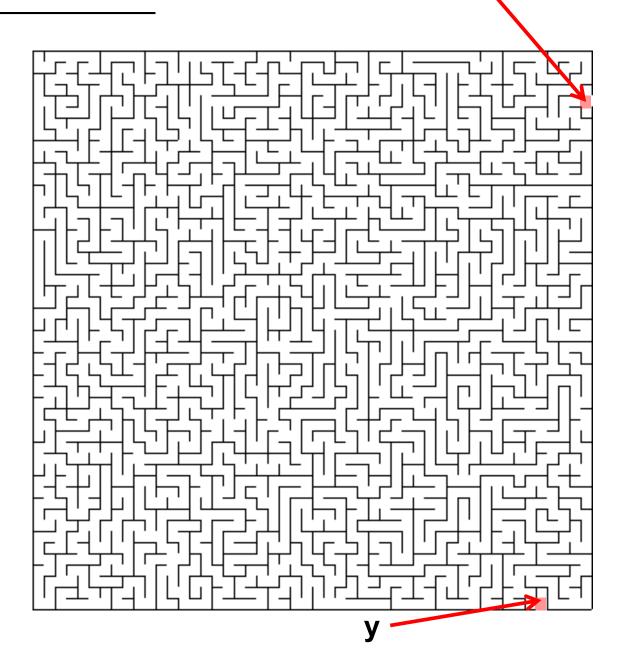
Part I: Priority Queues

- Binary Heaps
- HeapSort

Part II: Disjoint Set

- Problem: Dynamic Connectivity
- Algorithm: Union-Find
- Applications

Is there any route from y to z?

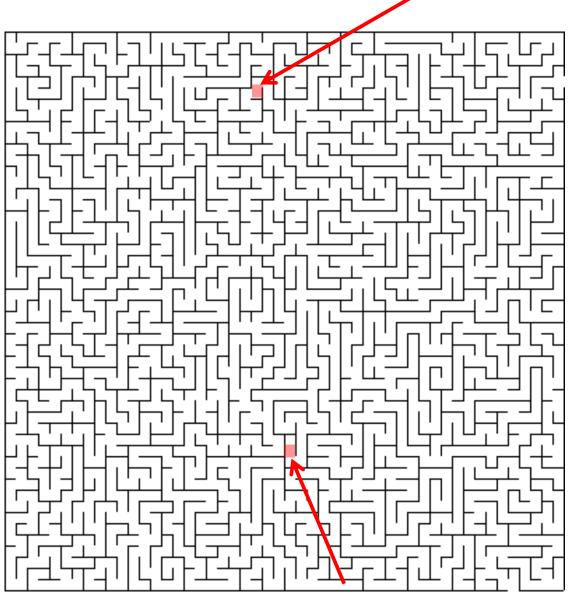


Two steps:

- 1. Pre-process maze
- 2. Answer queries

isConnected(y,z) :

Returns true if there is a path from A to B, and false otherwise.



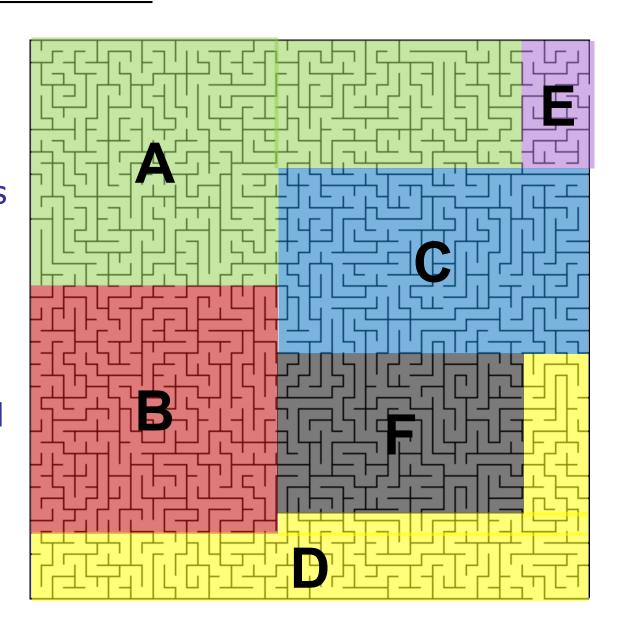
Z

Preprocess:

Identify connected components. Label each location with its component number.

isConnected(y,z) :

Returns true if A and B are in the same connected component.



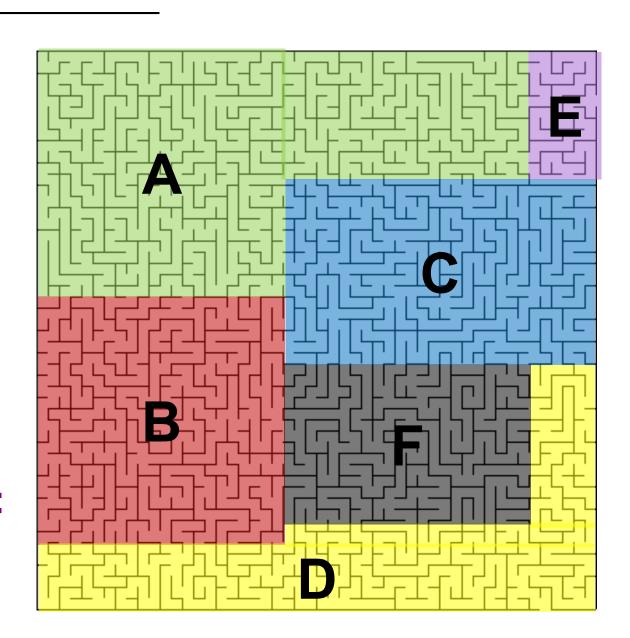
Preprocess:

Prepare to answer queries.

destroyWall(x):

Remove walls from the maze using your superpowers.

isConnected(y, z):
Answer connectivity
queries.



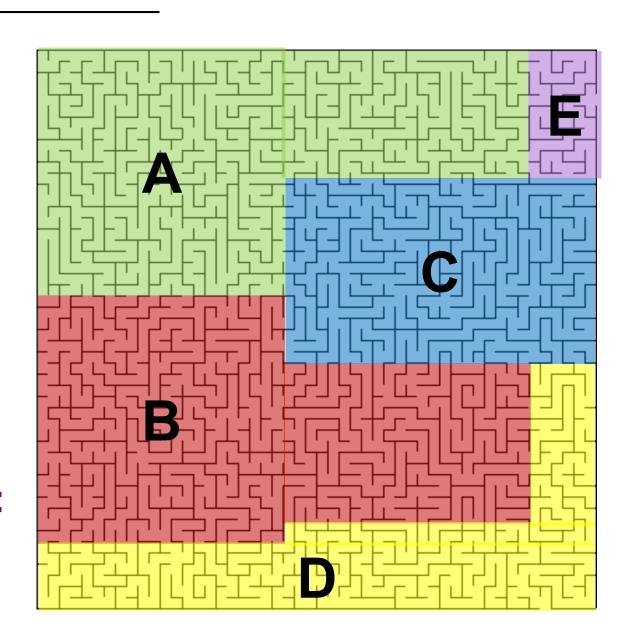
Preprocess:

Prepare to answer queries.

destroyWall(x):

Remove walls from the maze using your superpowers.

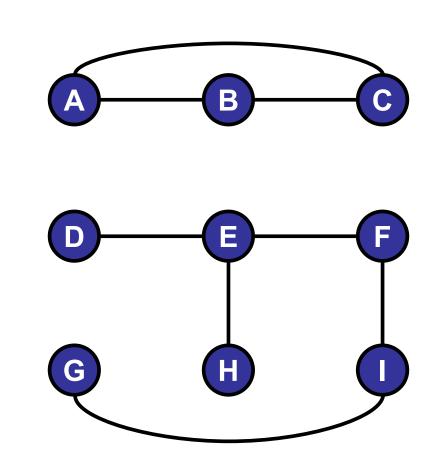
isConnected(y, z):
Answer connectivity
queries.



Given a set of objects:

- Union: connect two objects
- Find: is there a path connecting the two objects?

```
union(E, F)
union(I, G)
union(D, E)
union(B, A)
find(G, D) = false
find(D, F) = true
union(B, C)
union(H, E)
union(A, C)
union(F, I)
find(G, D) = true
```



Given a set of objects:

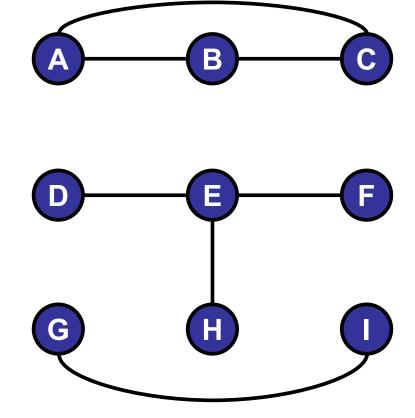
- Union: connect two objects
- Find: is there a path connecting the two objects?

Transitivity

If p is connected to q and if q is connected to r, then p is connected to r.

Connected components:

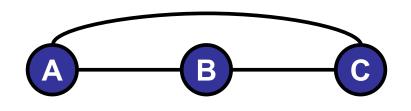
Maximal set of mutually connected objects.

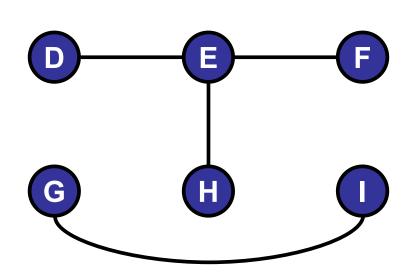


Given a set of objects:

- Union: connect two objects
- Find: is there a path connecting the two objects?

Maintain sets of connected components:

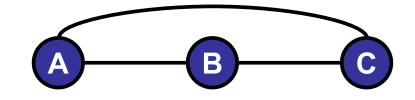




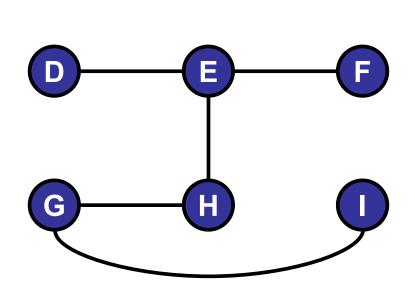
Given a set of objects:

- Union: connect two objects
- Find: is there a path connecting the two objects?

Maintain sets of connected components:



after creating a union from G-H



Abstract Data Type

Disjoint Set (Union-Find)

```
DisjointSet(int N) constructor: N objects

boolean find(Key p, Key q) are p and q in the same set?

void union(Key p, Key q) replace sets containing p and q with their union
```

Roadmap

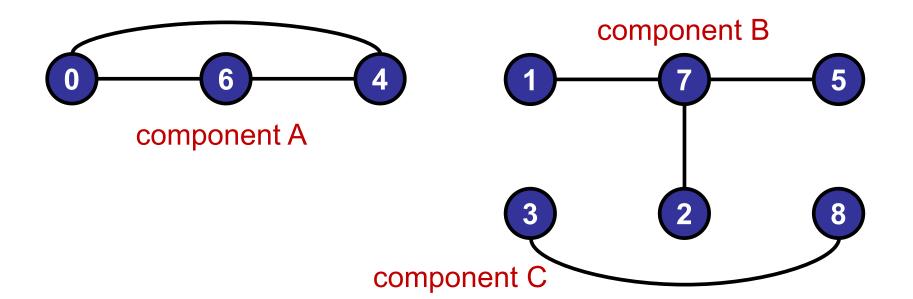
Part II: Disjoint Set

- Problem: Dynamic Connectivity
- Algorithm: Quick-Find
- Algorithm: Quick-Union
- Optimizations
- Applications

Data structure:

- Array: componentId
- Two objects are connected if they have the same component identifier.

object	0	1	2	3	4	5	6	7	8
component identifier	A	В	В	С	A	В	A	В	С

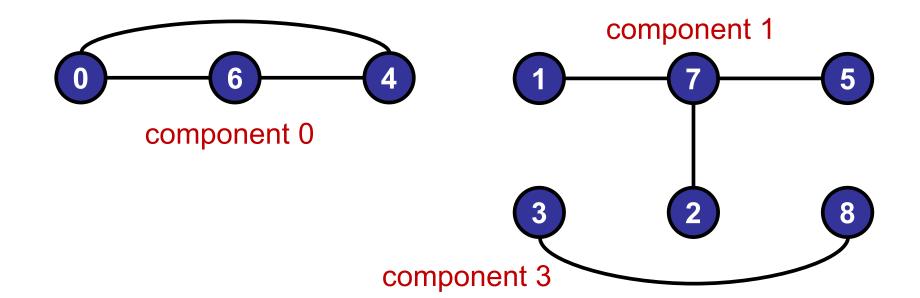


Data structure:

Assume objects are integers

- Integer array: int[] componentId
- Two objects are connected if they have the same component identifier.

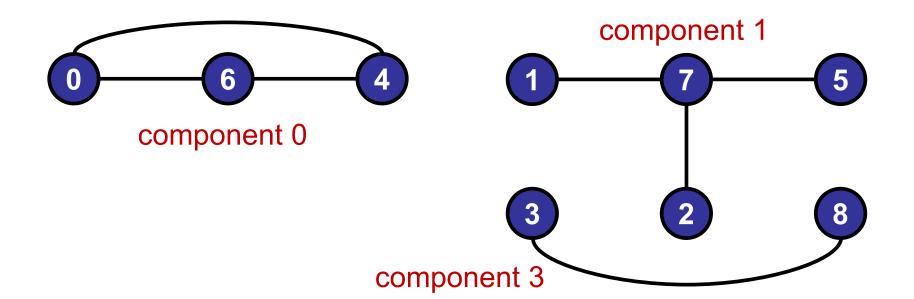
object	0	1	2	3	4	5	6	7	8
component identifier	0	1	1	3	0	1	0	1	3



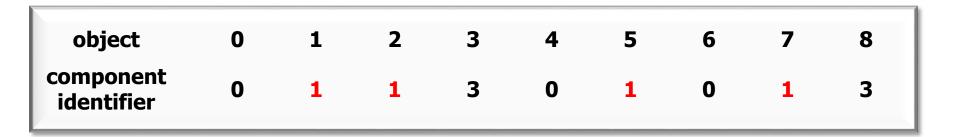
Data structure:

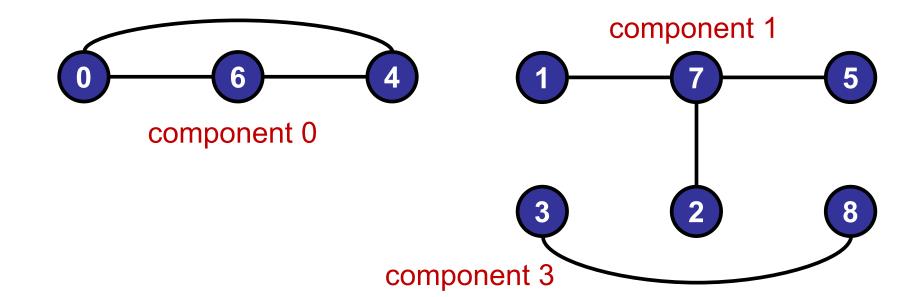
- Integer array: int[] componentId
- Two objects are connected if they have the same component identifier.

object	0	1	2	3	4	5	6	7	8
component identifier	0	1	1	3	0	1	0	1	3



```
find(int p, int q)
return(componentId[p] == componentId[q]);
```





Initial state of data structure:

everything is their own seperate sets

object	0	1	2	3	4	5	6	7	8
component identifier	0	1	2	3	4	5	6	7	8

```
union(int p, int q)

for (int i=0; i<componentId.length; i++)

if (componentId[i] == componentId[q])

componentId[i] = componentId[p];</pre>
```

object	0	1	2	3	4	5	6	7	8
component identifier	0	1	2	3	1	5	6	7	8

4 1

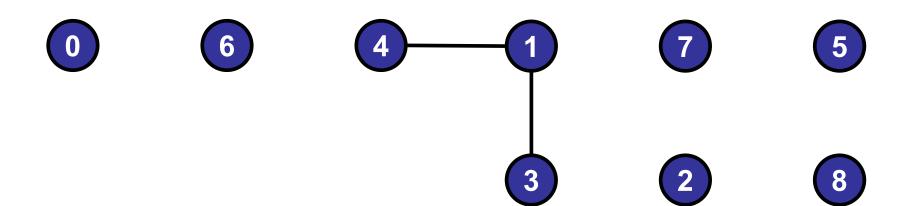
```
union(int p, int q)

for (int i=0; i<componentId.length; i++)

if (componentId[i] == componentId[q])

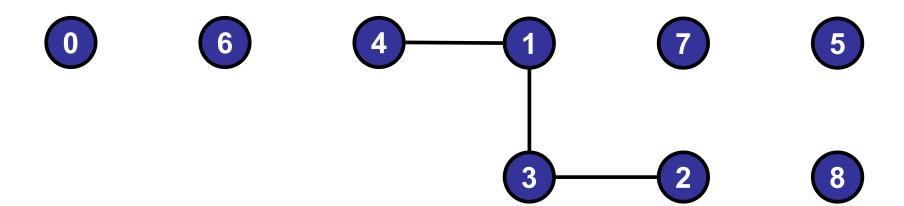
componentId[i] = componentId[p];</pre>
```

object	0	1	2	3	4	5	6	7	8
component identifier	0	1	2	1	1	5	6	7	8



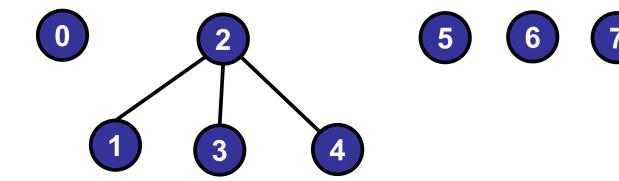
```
union(int p, int q)
for (int i=0; i<componentId.length; i++)
    if (componentId[i] == componentId[q])
        componentId[i] = componentId[p];</pre>
```

object	0	1	2	3	4	5	6	7	8
component identifier	0	2	2	2	2	5	6	7	8



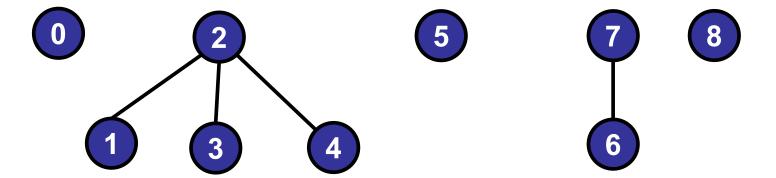
Flat trees:

object	0	1	2	3	4	5	6	7	8
component identifier	0	2	2	2	2	5	6	7	8



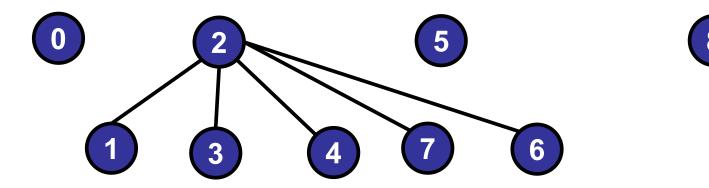
Flat trees:

object	0	1	2	3	4	5	6	7	8
component identifier	0	2	2	2	2	5	7	7	8



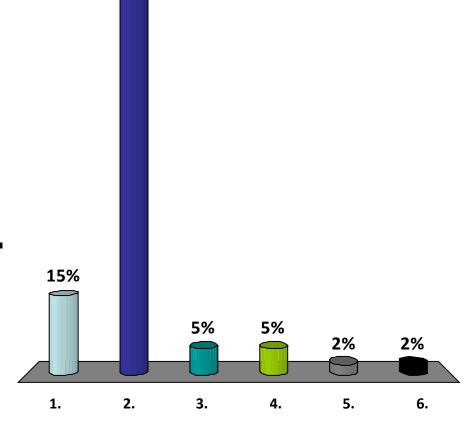
Flat trees:

object	0	1	2	3	4	5	6	7	8
component identifier	0	2	2	2	2	5	2	2	8



Running time of (Find, Union):

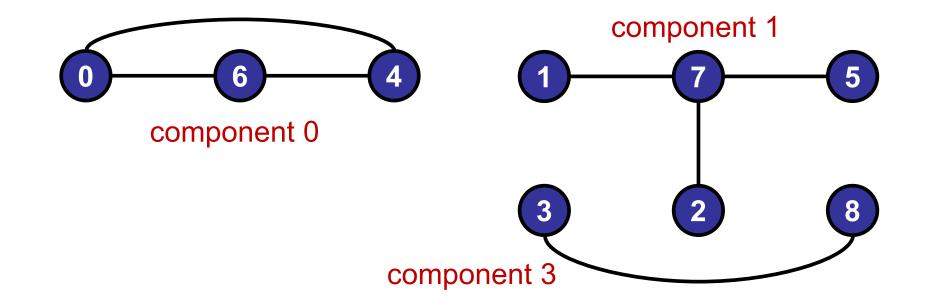
- 1. O(1), O(1)
- **✓**2. O(1), O(n)
 - 3. O(n), O(1)
 - 4. O(n), O(n)
 - 5. O(log n), O(log n)
 - 6. None of the above.



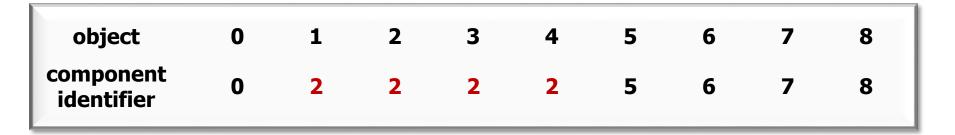
71%

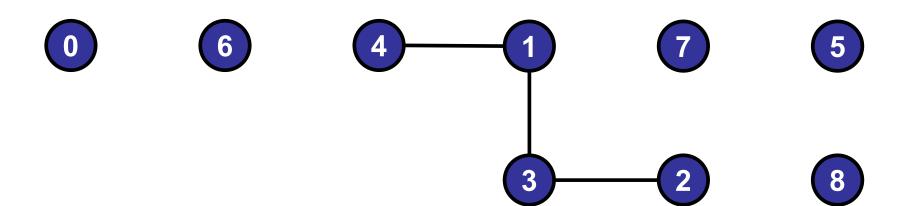
```
find(int p, int q)
return(componentId[p] == componentId[q]);
```

object	0	1	2	3	4	5	6	7	8
component identifier	0	1	1	3	0	1	0	1	3



```
union(int p, int q)
for (inti=0; i<componentId.length; i++)
    if (componentId[i] == componentId[q])
        componentId[i] = componentId[p];</pre>
```





Roadmap

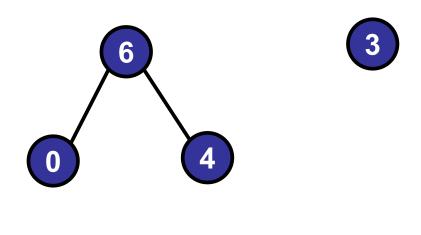
Part II: Disjoint Set

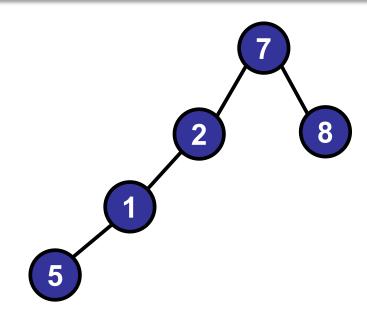
- Problem: Dynamic Connectivity
- Algorithm: Quick-Find
- Algorithm: Quick-Union
- Optimizations
- Applications

Data structure:

- Integer array: int[] parent
- Two objects are connected if they are part of the same tree.

object	0	1	2	3	4	5	6	7	8
parent	6	2	7	3	6	1	6	7	7

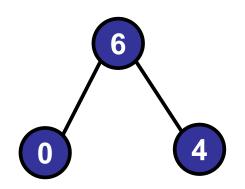




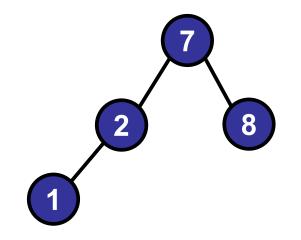
```
while loops will find the parents of
both trees
while (parent[p] != p) p = parent[p];
while (parent[q] != q) q = parent[q];
return (p == q);
```

```
      object
      0
      1
      2
      3
      4
      5
      6
      7
      8

      parent
      6
      2
      7
      3
      6
      1
      6
      7
      7
```



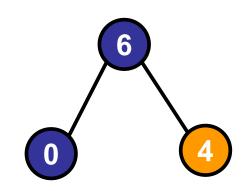




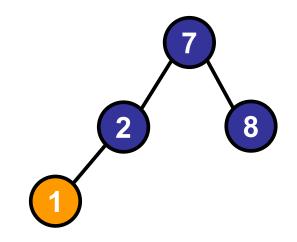
```
Example: find (4, 1)
4 \rightarrow 6 \rightarrow 6;
```

```
      object
      0
      1
      2
      3
      4
      5
      6
      7
      8

      parent
      6
      2
      7
      3
      6
      1
      6
      7
      7
```



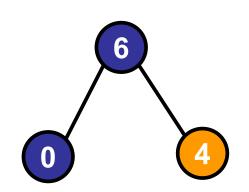




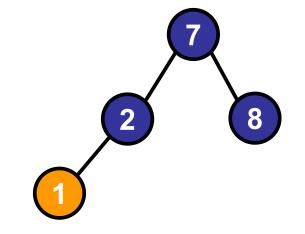
```
Example: find (4, 1)
4 \rightarrow 6 \rightarrow 6
1 \rightarrow 2 \rightarrow 7 \rightarrow 7
```

```
      object
      0
      1
      2
      3
      4
      5
      6
      7
      8

      parent
      6
      2
      7
      3
      6
      1
      6
      7
      7
```







```
Example: find(4, 1)

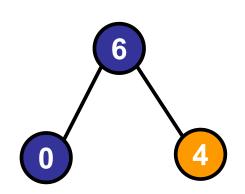
4 \rightarrow 6 \rightarrow 6

1 \rightarrow 2 \rightarrow 7 \rightarrow 7

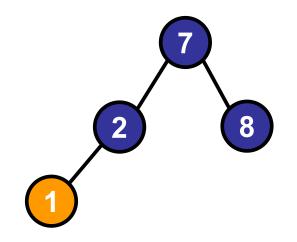
return (6 == 7) \rightarrow false
```

```
      object
      0
      1
      2
      3
      4
      5
      6
      7
      8

      parent
      6
      2
      7
      3
      6
      1
      6
      7
      7
```



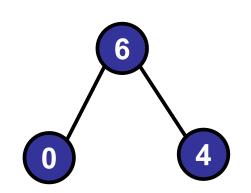




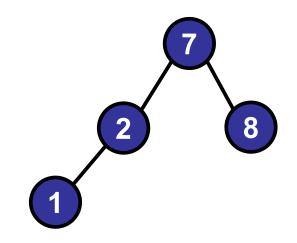
```
find(int p, int q)
while (parent[p] != p) p = parent[p];
while (parent[q] != q) q =parent[q];
return (p == q);
```

```
      object
      0
      1
      2
      3
      4
      5
      6
      7
      8

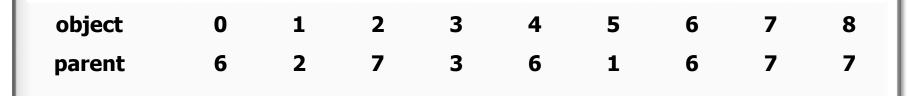
      parent
      6
      2
      7
      3
      6
      1
      6
      7
      7
```

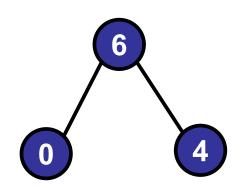




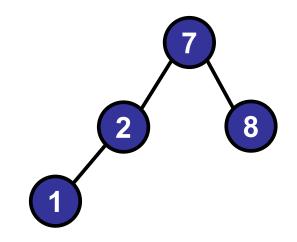


```
union(int p, int q)
while (parent[p] != p) p = parent[p];
while (parent[q] != q) q= parent[q];
parent[p] = q;
```





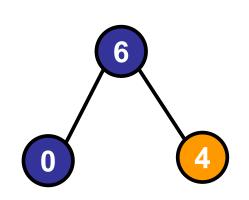




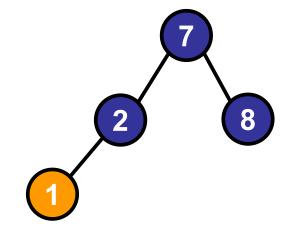
Example: union (1, 4)

```
      object
      0
      1
      2
      3
      4
      5
      6
      7
      8

      parent
      6
      2
      7
      3
      6
      1
      6
      7
      7
```



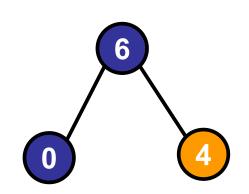
3



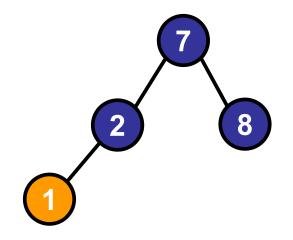
```
Example: union (1, 4)
4 \rightarrow 6 \rightarrow 6
1 \rightarrow 2 \rightarrow 7 \rightarrow 7
```

```
      object
      0
      1
      2
      3
      4
      5
      6
      7
      8

      parent
      6
      2
      7
      3
      6
      1
      6
      7
      7
```



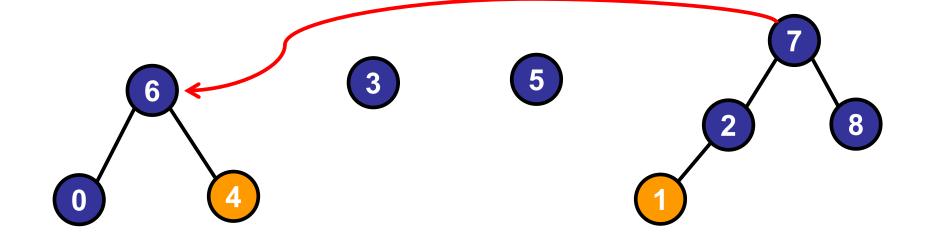




```
Example: union(1, 4)
4 \rightarrow 6 \rightarrow 6
1 \rightarrow 2 \rightarrow 7 \rightarrow 7
parent[7] = 6;
```

```
      object
      0
      1
      2
      3
      4
      5
      6
      7
      8

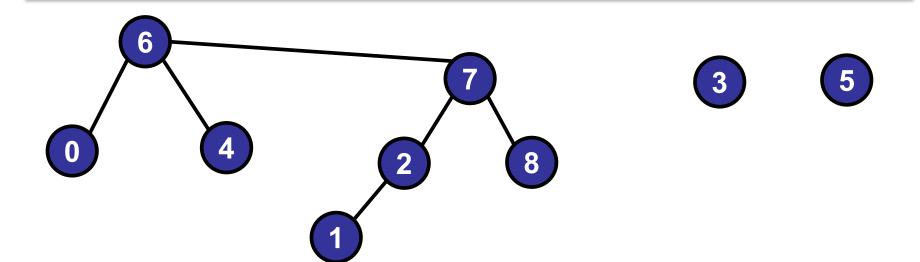
      parent
      6
      2
      7
      3
      6
      1
      6
      6
      7
```

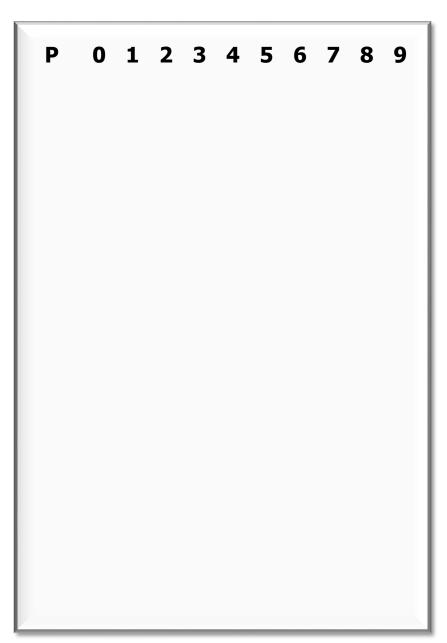


```
Example: union(1, 4)
4 \rightarrow 6 \rightarrow 6
1 \rightarrow 2 \rightarrow 7 \rightarrow 7
parent[7] = 6;
```

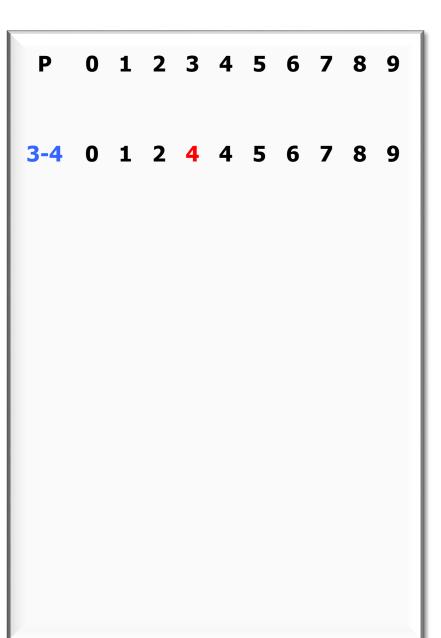
```
      object
      0
      1
      2
      3
      4
      5
      6
      7
      8

      parent
      6
      2
      7
      3
      6
      1
      6
      6
      7
```

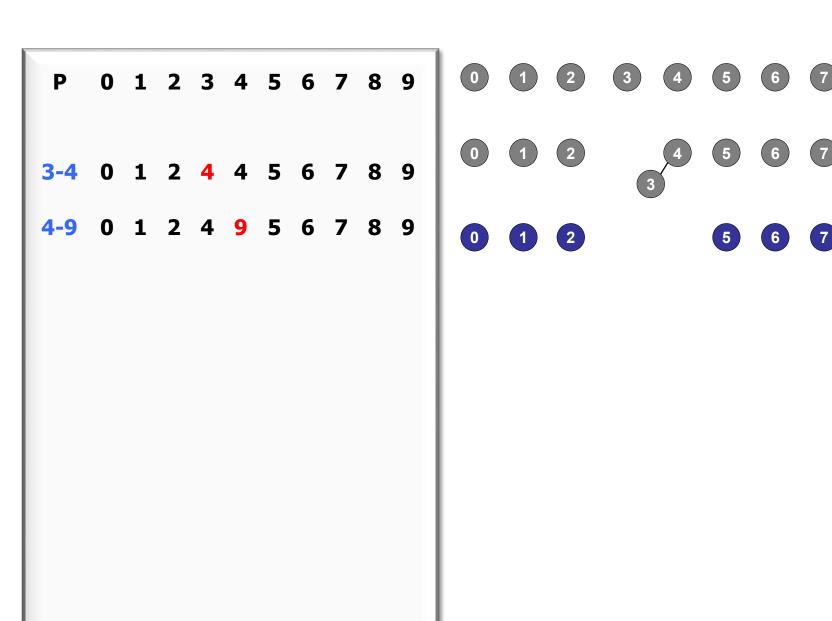


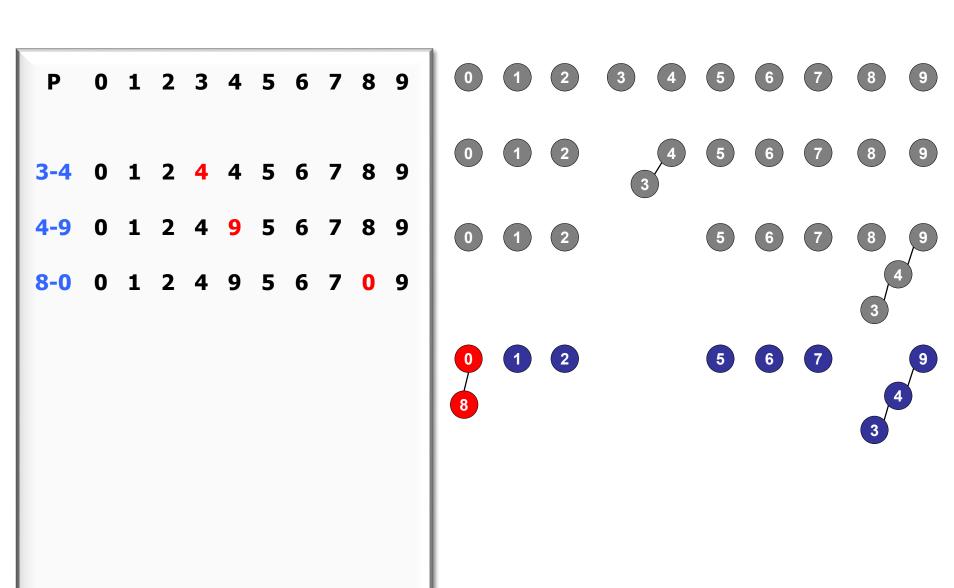


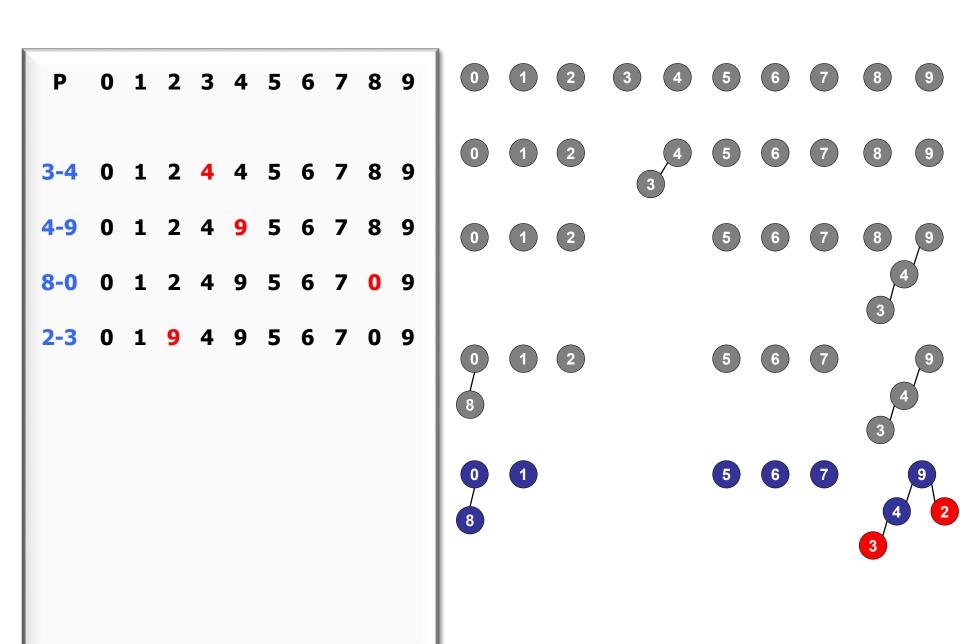


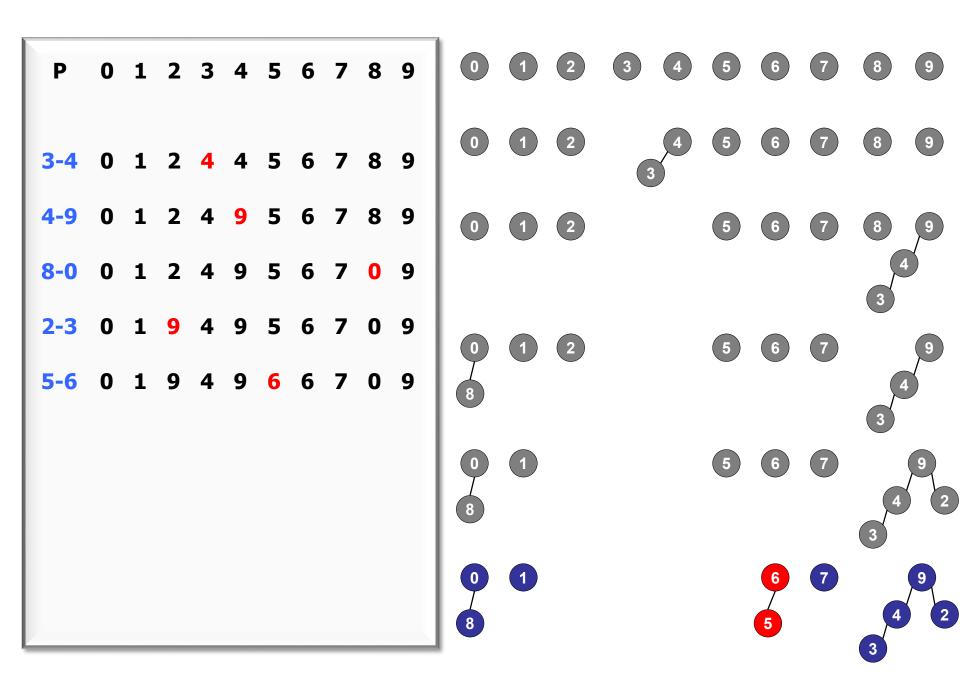






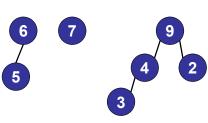




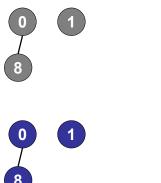


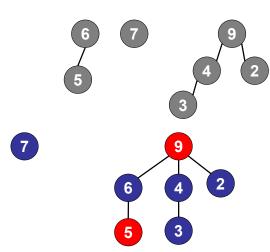




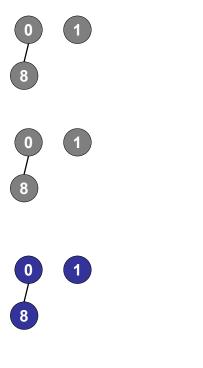


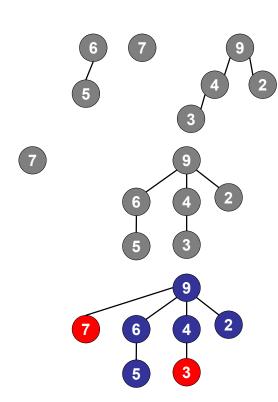


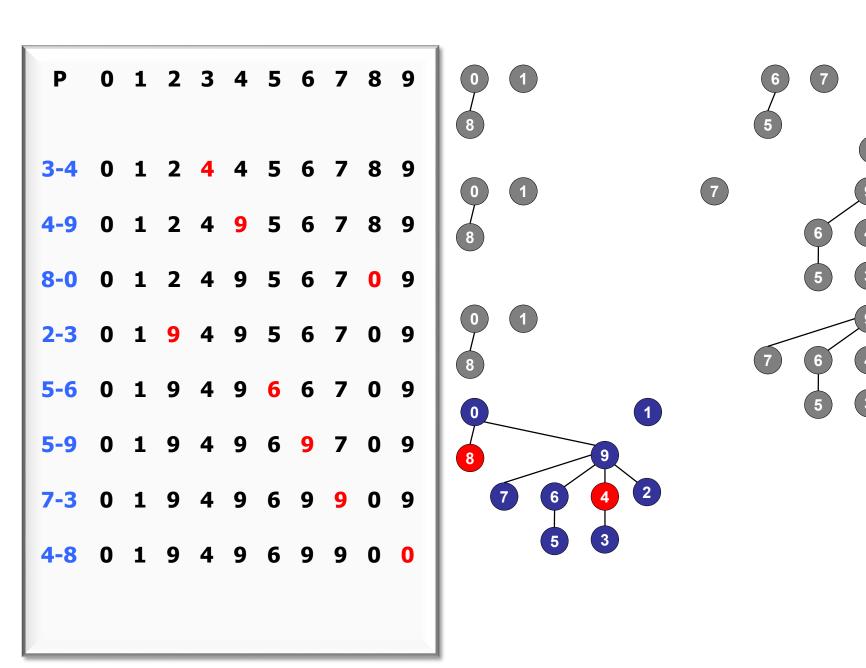


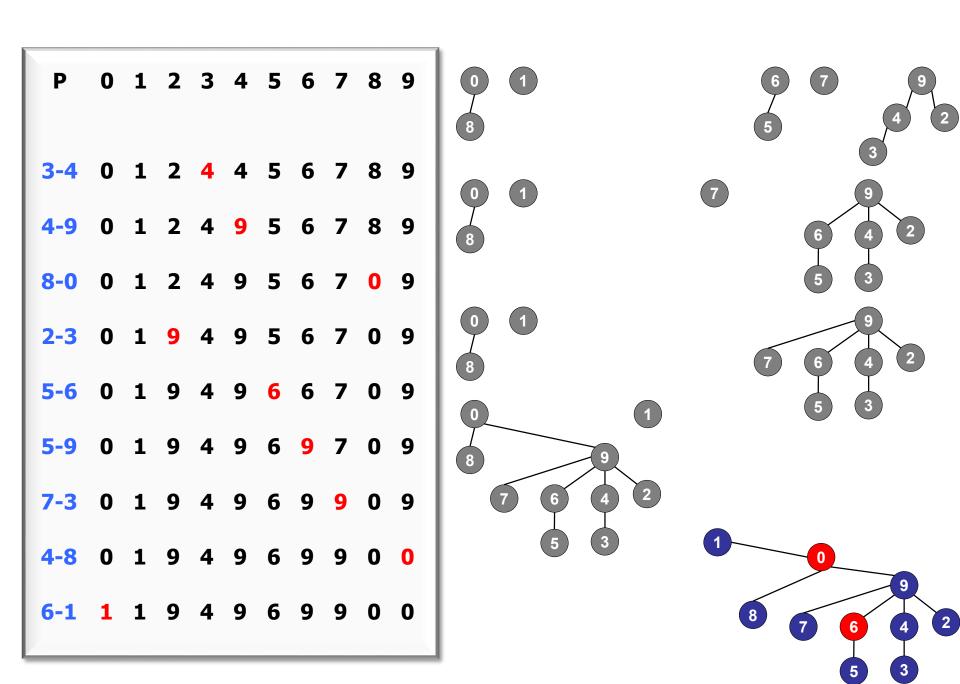




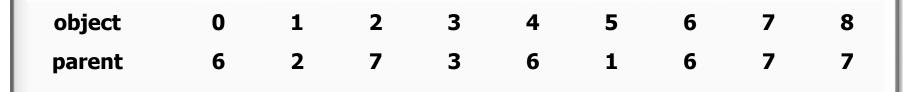


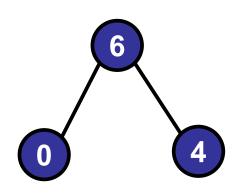




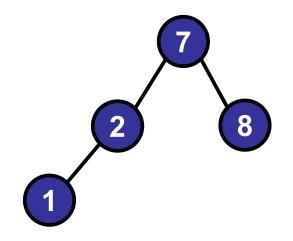


```
union(int p, int q)
while (parent[p] != p) p = parent[p];
while (parent[q] != q) q = parent[q];
parent[p] = q;
```

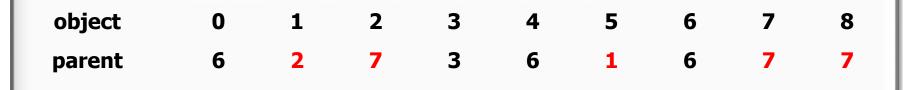


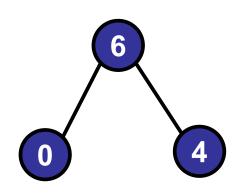




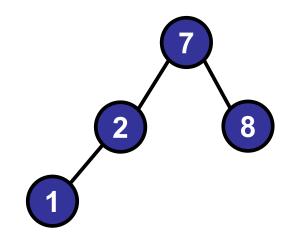


```
find(int p, int q)
while (parent[p] != p) p = parent[p];
while (parent[q] != q) q = parent[q];
return (p == q);
```

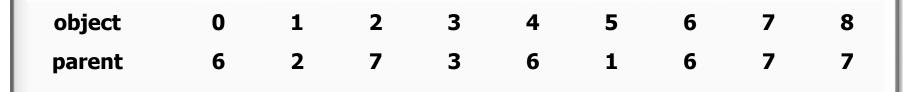


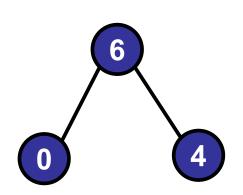


3

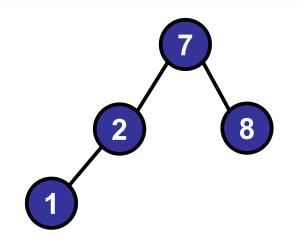


```
union(int p, int q)
while (parent[p] != p) p = parent[p];
while (parent[q] != q) q = parent[q];
parent[p] = q;
```









Union-Find Summary

Quick-find is slow:

- Find is fast
- Union is expensive
- Tree is flat

Quick-union is slow:

- Trees too tall (i.e., unbalanced)
- Union and find are expensive.

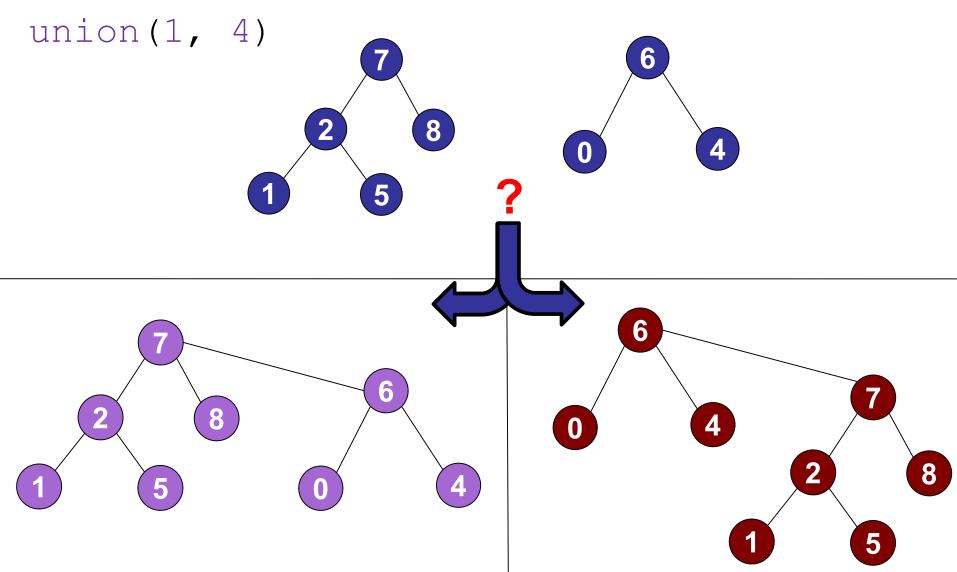
	find	union
quick-find	O(1)	O(n)
quick-union	O(n)	O(n)

Roadmap

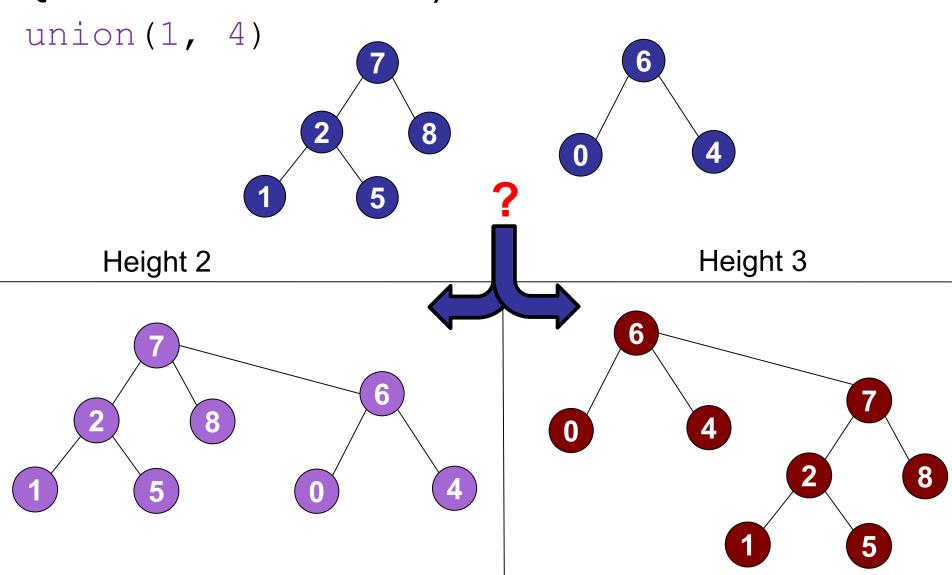
Part II: Disjoint Set

- Problem: Dynamic Connectivity
- Algorithm: Quick-Find
- Algorithm: Quick-Union
- Optimizations
- Applications

Question: which tree should you make the root?



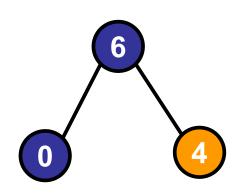
Question: which tree should you make the root?



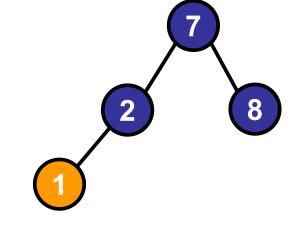
```
union(int p, int q)
  while (parent[p] !=p) p = parent[p];
 while (parent[q] !=q) q = parent[q];
  if (size[p] > size[q] {
         parent[q] = p; // Link q to p
          size[p] = size[p] + size[q];
  else {
         parent[p] = q; // Link p to q
          size[q] = size[p] + size[q];
```

union(1, 4)

object size	1	1	2	3 1	1	1	3	4	8
parent	6	2	7	3	6	1	6	7	7

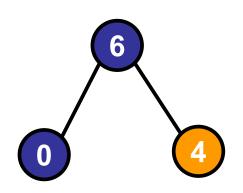




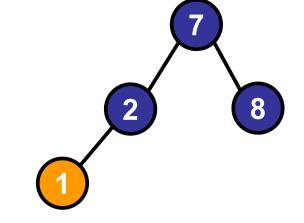


union(1, 4)

object	0	1	2	3	4	5	6	7	8
size	1	1	2	1	1	1	3	4	1
parent	6	2	7	3	6	1	6	7	7

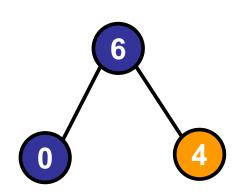


3

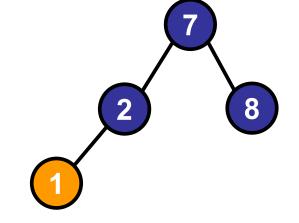


union(1, 4)

size parent	6	1 2	2 7	1 3	1 6	1 1	3 6	4 7	7
object	4	1	2	3	7	4	2	<i>1</i>	4
object	0	1	2	3	1	5	6	7	Q

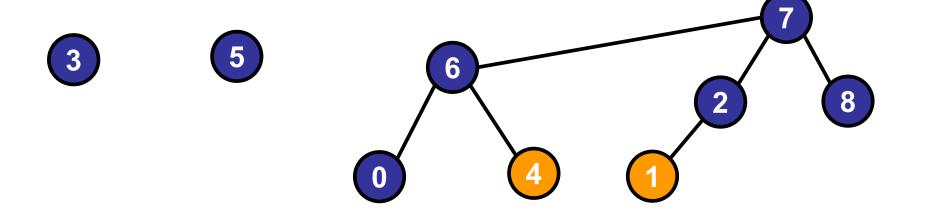


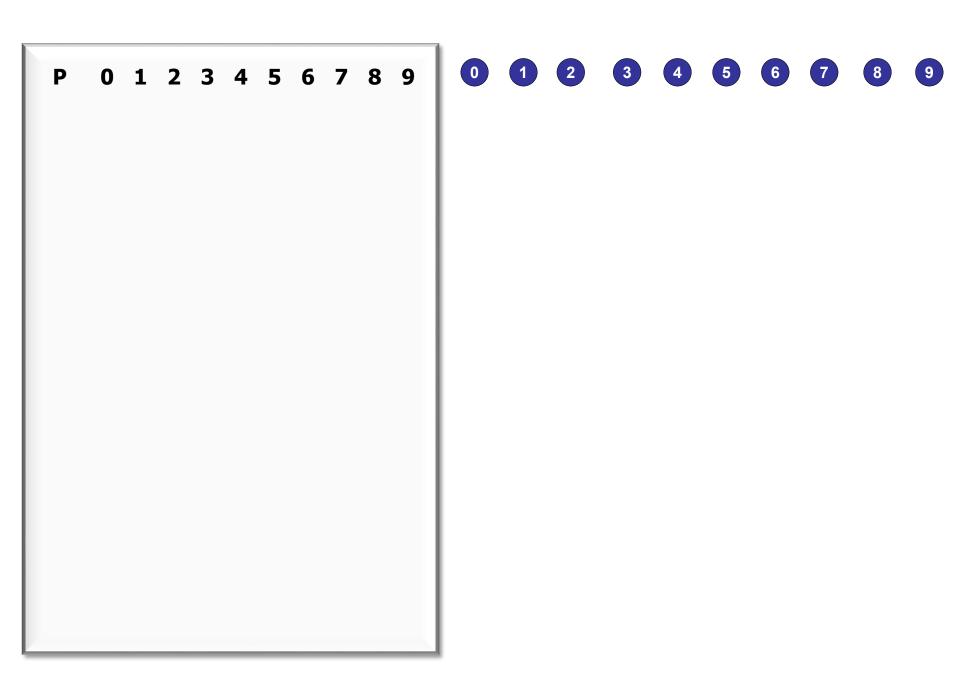
3

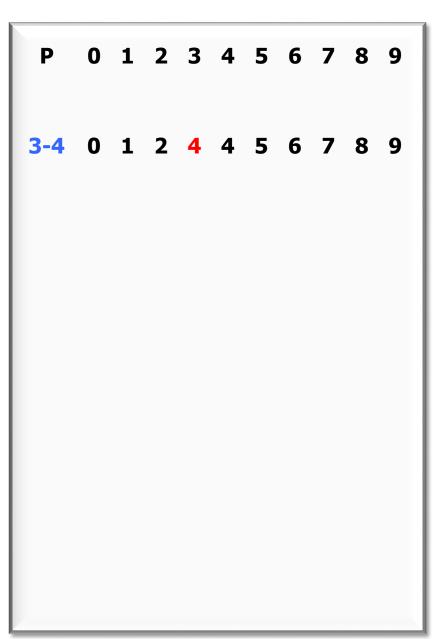


union(1, 4)

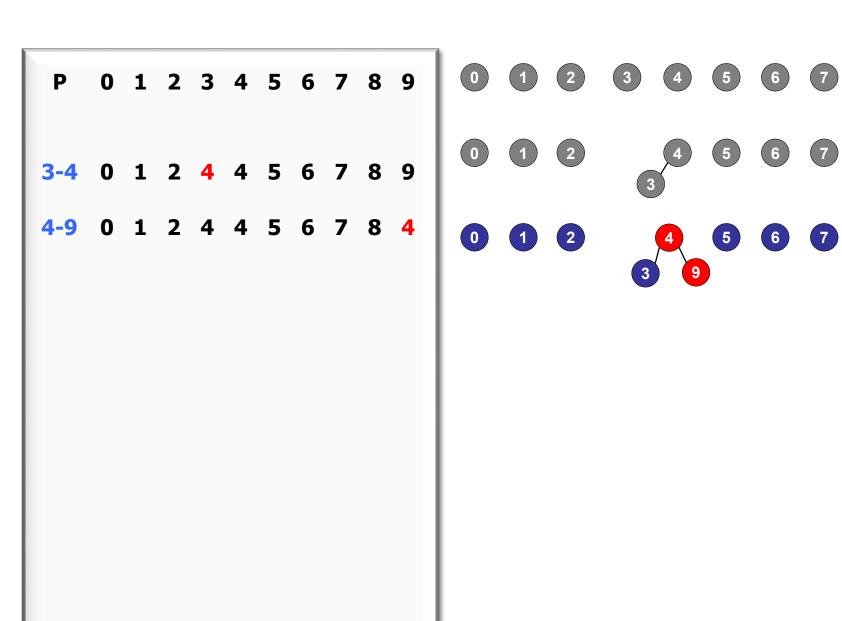
object	0	1	2	3	4	5	6	7	8
size	1	1	2	1	1	1	3	7	1
parent	6	2	7	3	6	1	6	7	7

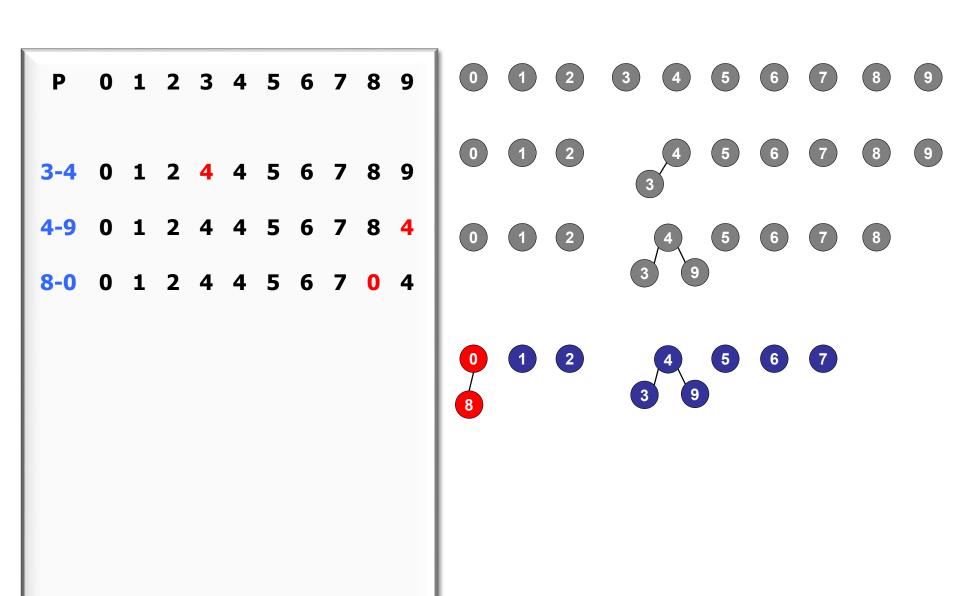


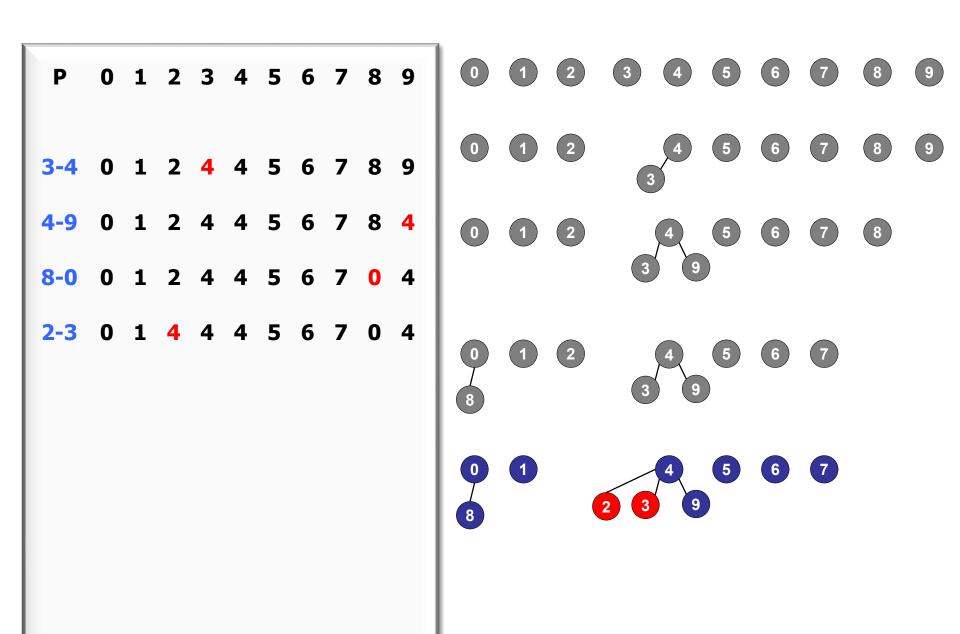


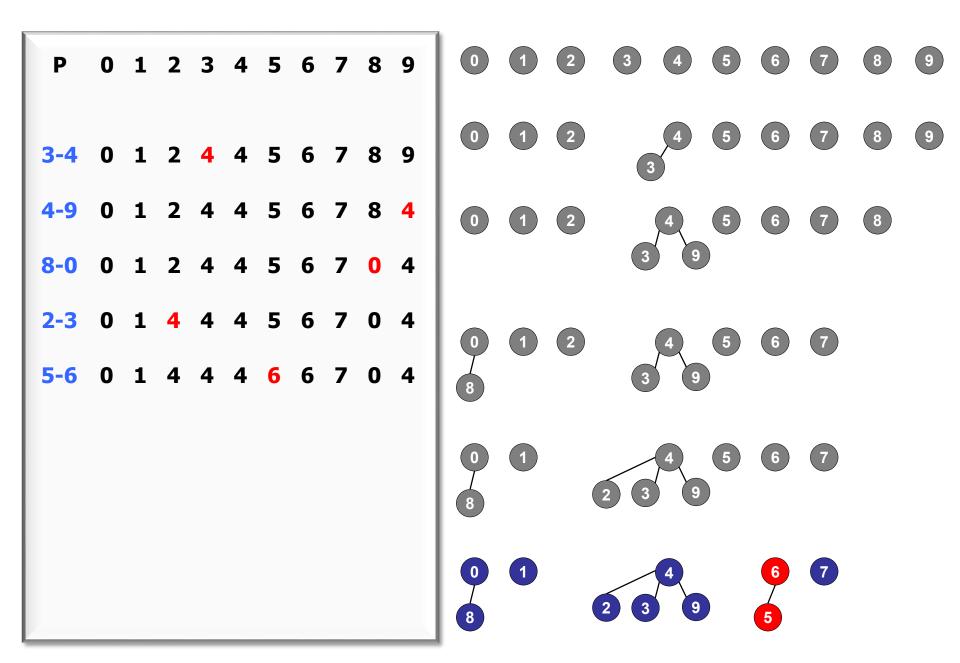




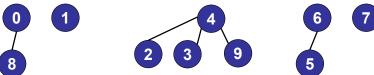




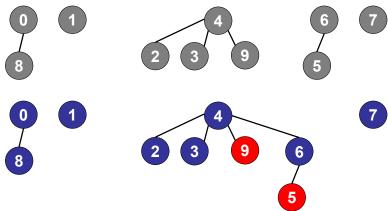


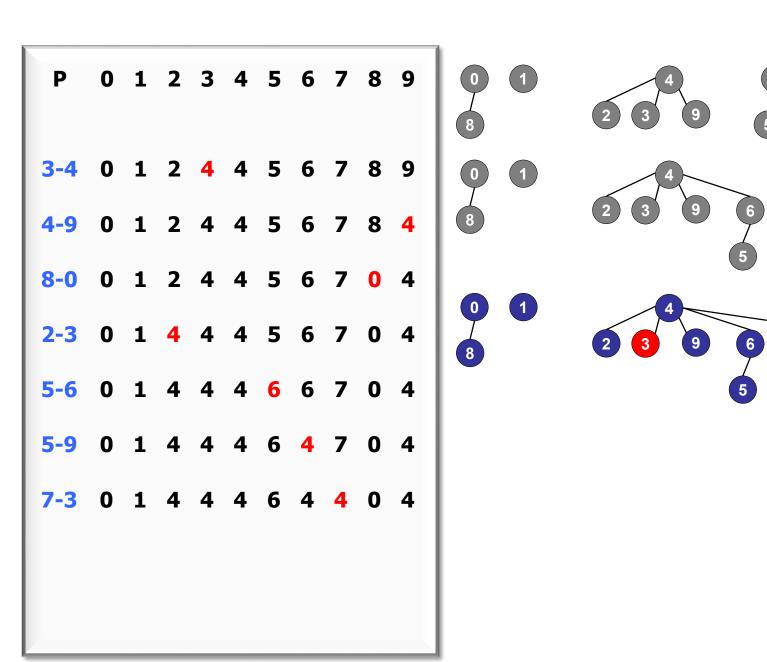


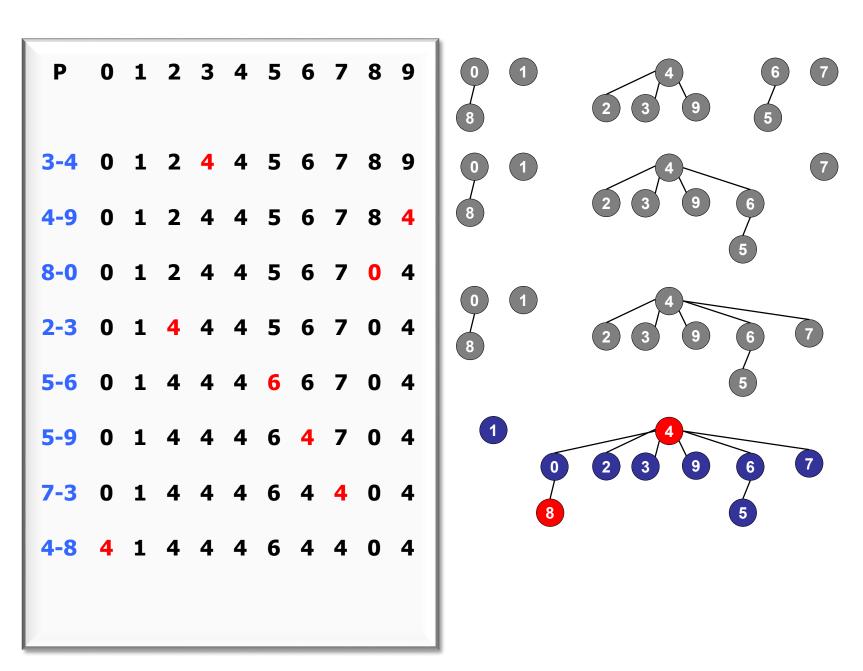


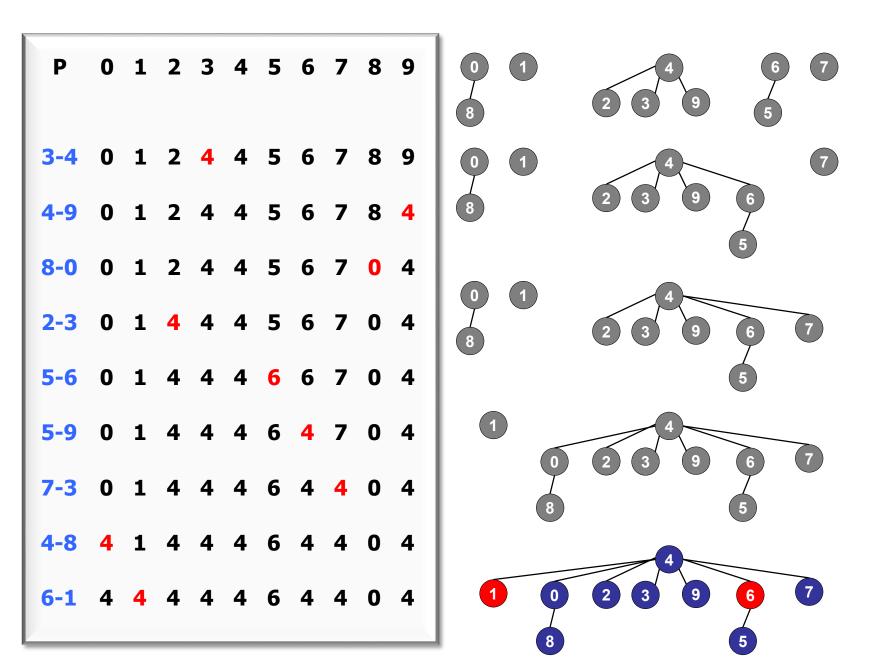




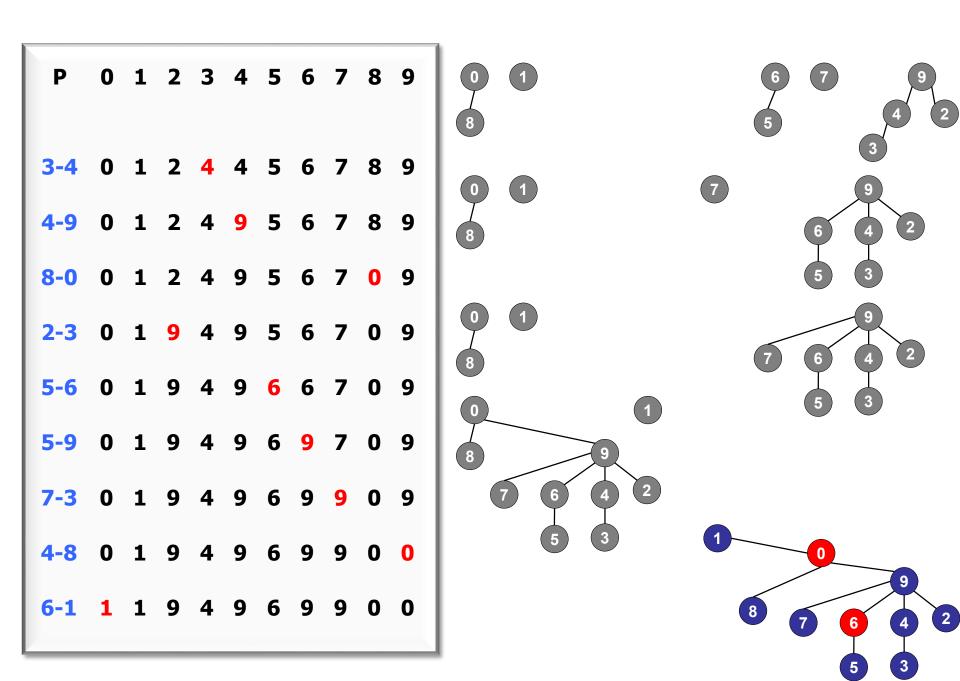




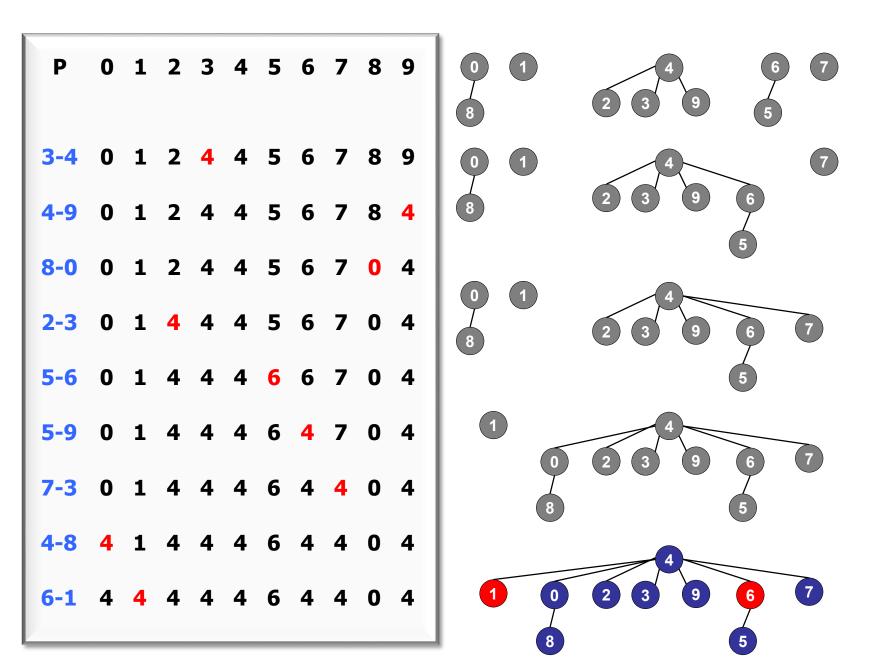




Example: (Unweighted) Quick Union

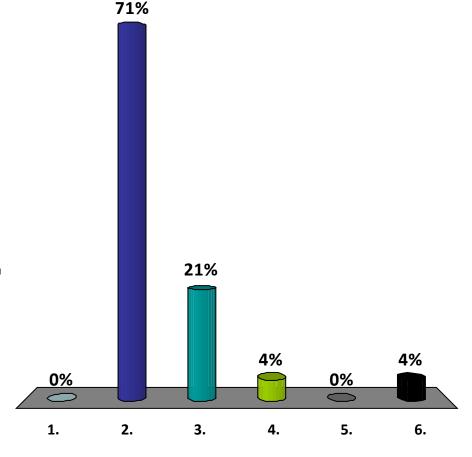


Example: Weighted Union



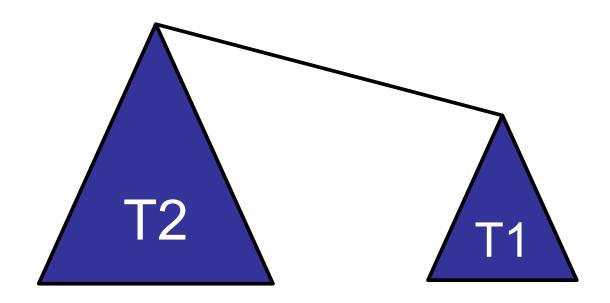
Maximum depth of tree?

- 1. O(1)
- **✓**2. O(log n)
 - 3. O(n)
 - 4. O(n log n)
 - 5. $O(n^2)$
 - 6. None of the above.



Analysis:

Base case: tree of height 0 contains 1 object.

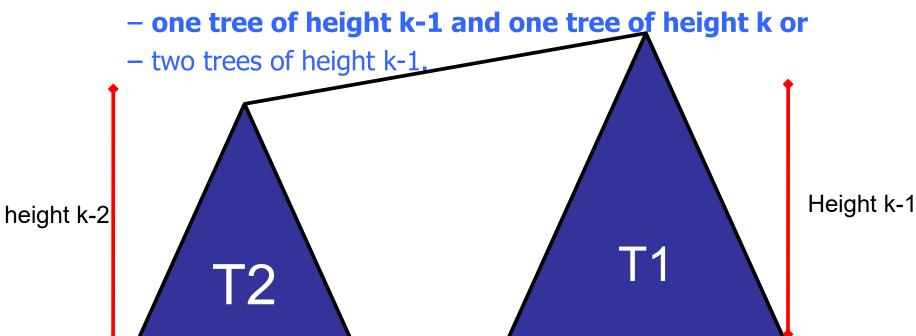


Analysis:

- Base case: tree of height 0 contains 1 object.
- Induction:
 - Induction: a tree of height k-1 contains at least 2^(k-1) objects.
 - A tree of height k is built from
 - one tree of height k-1 and one tree of height k or
 - two trees of height k-1.

Analysis:

- Base case: tree of height 0 contains 1 object.
- Induction:
 - A tree of height k is built from



Analysis:

- Base case: tree of height 0 contains 1 object.
- Induction:
 - A tree of height k is built from
- one tree of height k-1 and one tree of height k or
 two trees of height k-1.

 Height k-1

 height k-1

Height

Analysis:

- Base case: tree of height 0 contains 1 object.
- Induction:
 - Tree of height k is built from two trees of height k-1.
 - Induction: a tree of height k-1 contains at least 2^(k-1) objects.
 - Conclusion: a tree of height k contains 2^k objects.

Analysis:

- Base case: tree of height 0 contains 1 object.
- Induction:
 - Tree of height k is built from two trees of height k-1.
 - Induction: a tree of height k-1 contains at least 2^(k-1) objects.
 - Conclusion: a tree of height k contains 2^k objects.

- Conclusion:
 - Each tree is of height O(log n)

```
union(int p, int q) {
  while (parent[p] !=p) p = parent[p];
 while (parent[q] !=q) q = parent[q];
  if (size[p] > size[q] {
         parent[q] = p; // Link q to p
          size[p] = size[p] + size[q];
  else {
         parent[p] = q; // Link p to q
          size[q] = size[p] + size[q];
```

Quick-find and Quick-union are slow:

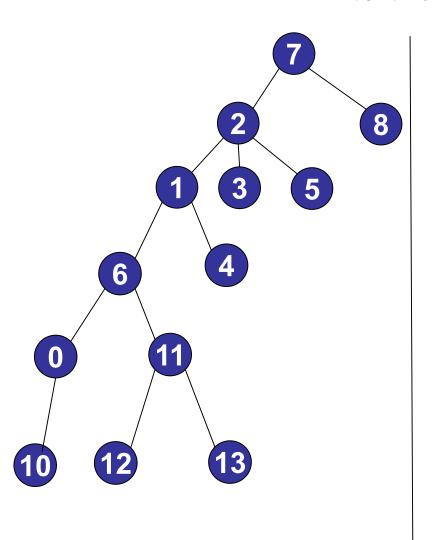
- Union and/or find is expensive
- Quick-union: tree is too deep

Weighted-union is faster:

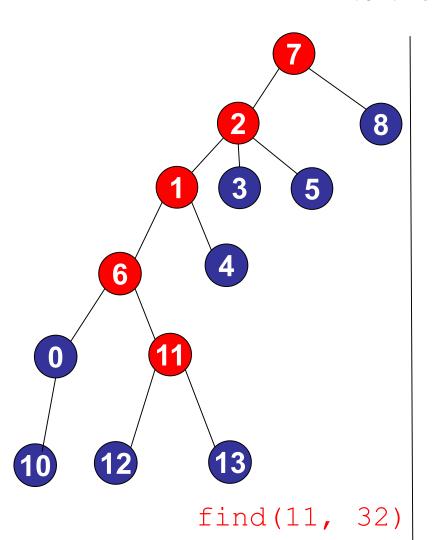
- Trees too balanced: O(log n)
- Union and find are O(log n)

	find	union
quick-find	O(1)	O(n)
quick-union	O(n)	O(n)
weighted-union	O(log n)	O(log n)

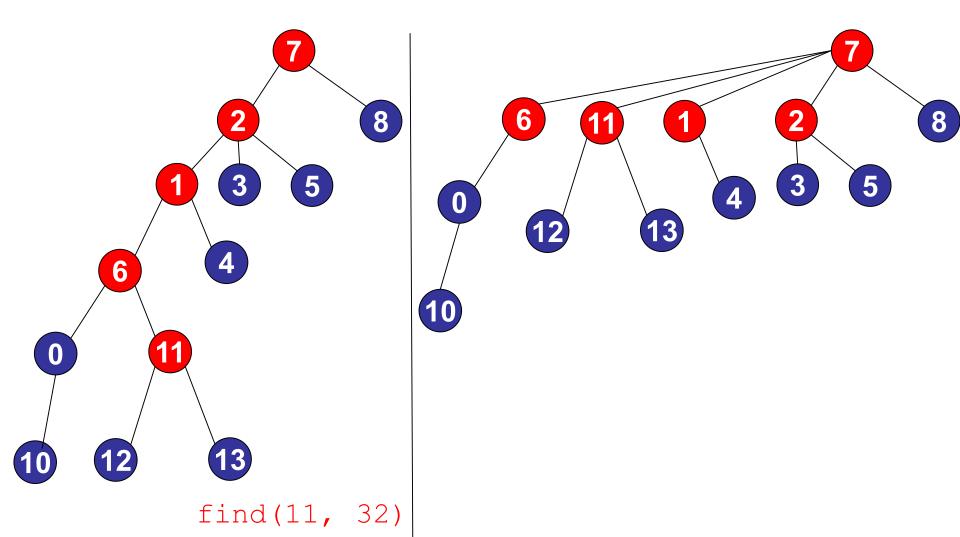
After finding the root: set the parent of each traversed node to the root.



After finding the root: set the parent of each traversed node to the root.



After finding the root: set the parent of each traversed node to the root.



```
findRoot(int p) {
  root = p;
  while (parent[root] != root) root = parent[root];
  return root;
}
```

```
findRoot(int p) {
  root = p;
 while (parent[root] != root) root = parent[root];
 while (parent[p] != p) {
          temp = parent[p];
          parent[p] = root;
          p = temp;
  return root;
```

Alternative Path Compression

```
findRoot(int p) {
  root = p;
  while (parent[root] != root) {
          parent[root] = parent[parent[root]];
          root = parent[root];
  return root;
```

Make every other node in the path point to its grandparent!

- Simple
- Works as well!

How fart fast can it be?!

Theorem:

[Tarjan 1975]

Starting from empty, any sequence of m union/find operations on n objects takes: $O(n + m\alpha(m, n))$ time.

Inverse Ackermann function: always ≤ 5 in this universe.

n	α(n, n)
4	0
8	1
32	2
8,192	3
2 ⁶⁵⁵³³	4

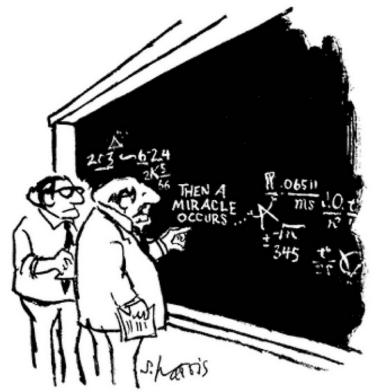
Weight Union with Path Compression

Theorem:

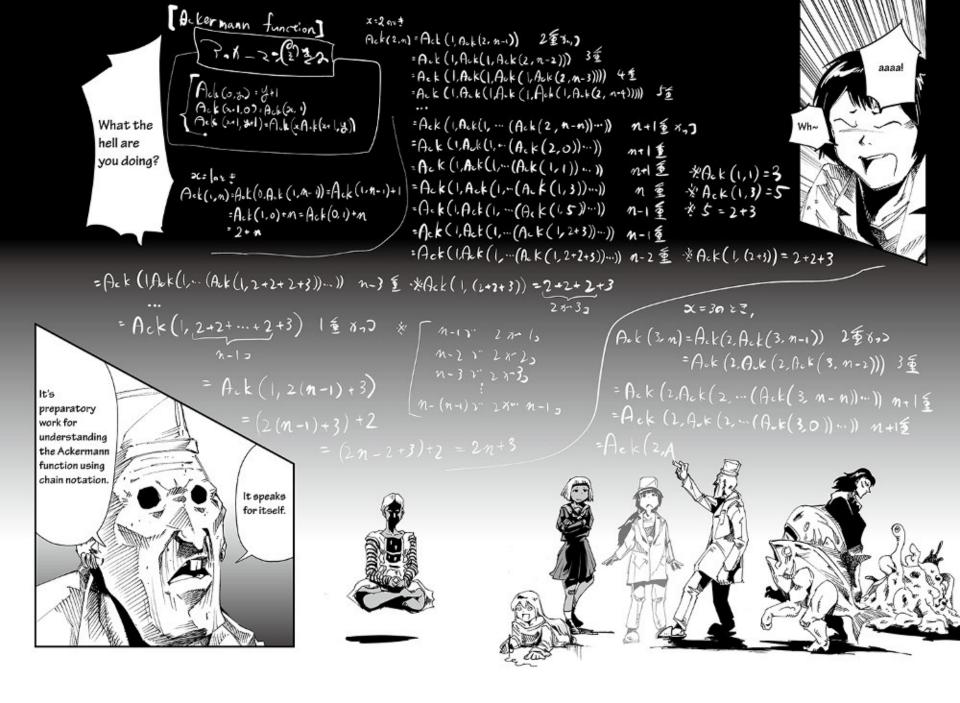
[Tarjan 1975]

Starting from empty, any sequence of m union/find operations on n objects takes: $O(n + m\alpha(m, n))$ time.

Proof:



"I think you should be more explicit here in step two."



Weight Union with Path Compression

Theorem:

[Tarjan 1975]

Starting from empty, any sequence of m union/find operations on n objects takes: $O(n + m\alpha(m, n))$ time.

Proof:

- Very difficult.
- Algorithm:
 - very simple to implement.

WHAT DOES XKCD MEAN?

IT MEANS CALLING THE ACKERMANN FUNCTION WITH GRAHAM'S NUMBER AS THE ARGUMENTS JUST TO HORRIFY MATHEMATICIANS.

$$A(9_{64},9_{64}) =$$

Weight Union with Path Compression

Theorem:

[Tarjan 1975]

Starting from empty, any sequence of m union/find operations on n objects takes: $O(n + m\alpha(m, n))$ time.

Proof:

- Very difficult.
- Algorithm: very simple to implement.

Can we do better? No!

Proof: impossible to achieve linear time.

Weighted-union is faster:

- Trees are flat: O(log n)
- Union and find are O(log n)

Weighted Union + Path Compression is very fast:

- Trees very flat.
- On average, almost linear performance per operation.

	find	union
quick-find	O(1)	O(n)
quick-union	O(n)	O(n)
weighted-union	O(log n)	O(log n)
weighted-union with path-compression	α(m, n)	α(m, n)

Path Compression without weighted union?

	find	union
quick-find	O(1)	O(n)
quick-union	O(n)	O(n)
weighted-union	O(log n)	O(log n)
path compression	O(log n)	O(log n)
weighted-union with path-compression	α(m, n)	α(m, n)

What about Union-Split-Find?

- Insert and delete edges.
- New result: 2013!!

Dynamic graph connectivity in polylogarithmic worst case time

Bruce M. Kapron *

Valerie King *

Ben Mountjoy *

Abstract

The dynamic graph connectivity problem is the following: given a graph on a fixed set of n nodes which is undergoing a sequence of edge insertions and deletions, answer queries of the form q(a,b): "Is there a path between nodes a and b?" While data structures for this problem with polylogarithmic amortized time per operation have been known since the mid-1990's, these data structures have $\Theta(n)$ worst case time. In fact, no previously known solution has worst case time per operation which is $o(\sqrt{n})$.

We present a solution with worst case times $O(\log^4 n)$ per edge insertion, $O(\log^5 n)$ per edge deletion, and $O(\log n/\log\log n)$ per query. The answer to each query is correct if the answer is "yes" and is correct with high probability if the answer is "no". The data structure is based on a simple novel idea which can be used to quickly identify an edge in a cutset.

Our technique can be used to simplify and significantly

Though the problem of improving the worst case update time from $O(\sqrt{n})$ has been posed in the literature many times, there has been no improvement since 1985. In the words of Pătrașcu and Thorup, it is "perhaps the most fundamental challenge in dynamic graph algorithms today" [11].

Nearly every dynamic connectivity data structure maintains a spanning forest F. Dealing with edge insertions is relatively easy. The challenge is to find a replacement edge when a tree edge is deleted, splitting a tree into two subtrees. A replacement edge is an edge reconnecting the two subtrees, or, in other words, in the cutset of the cut $(T, V \setminus T)$ where T is one of the subtrees. An edge with both endpoints in the same subtree we call internal to the tree.

Roadmap

Part I: Priority Queues

- Binary Heaps
- HeapSort

Part II: Disjoint Set

- Problem: Dynamic Connectivity
- Algorithm: Union-Find
- Applications

Many applications:

- Mazes
 - Are two locations connected?

- Games:
 - Can you get from one state to another?

Many applications:

- Networks
 - Are two locations connected?

- Least-common-ancestor:
 - Which node in a tree network is the closest ancestor?

Many applications:

- Programming languages
 - Hinley-Milner polymorphic type inference
 - Equivalence of finite state automata
 - Image processing in Matlab

– Physics:

- Hoshen-Kopelman algorithm
- Percolation
- Conductance / insulation

Many applications:

Topology in Molecular Design

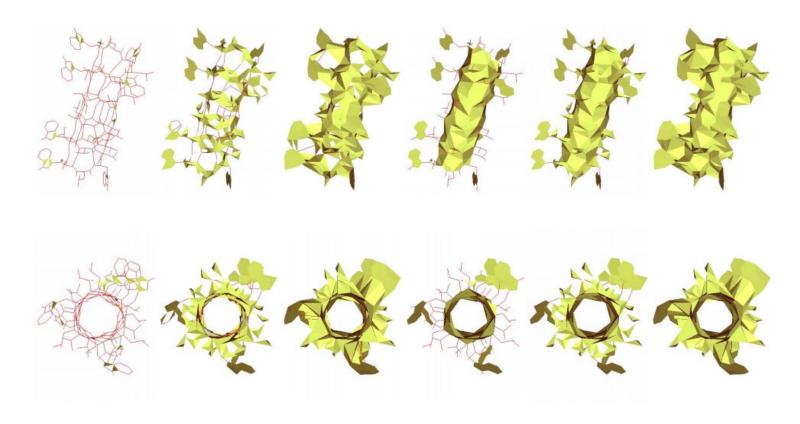


Figure 19. Side and top views of complexes K_{715} , K_{1431} , K_{2682} of Gramicidin A are shown in the left three columns. The corresponding 2688-persistent complexes are shown on the right.