

# Midterm Review

Recess Week, AY 19/20 Sem 2

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Asymptotic Analysis

Sorting

Linked Lists, Stacks,  
Queues

Hashing

## General Rules

1. Retain only the dominant term

e.g.  $n^4 + n^3 + n^2 = O(n^4)$

2. Ignore all coefficients

e.g.  $3n^2 = O(n^2)$

## Iterative Functions

In general, count total number of iterations performed.

Beware of nested loops with varying number of iterations in the inner loops.

e.g. Tutorial 1, Question 2d.

```
while (n > 0) {  
    for (int j = 0; j < n; j++)  
        System.out.println("*");  
    n = n / 2;  
}
```

## Recursive Functions

Use recursion tree. Follow the steps to draw and analyze a recursion tree.

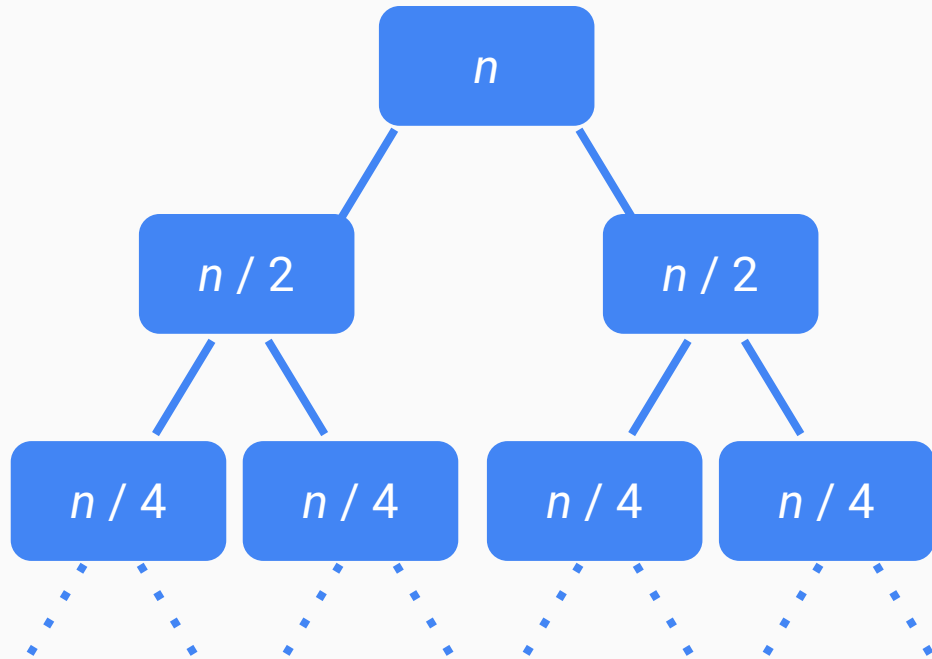
1. Draw the recursion tree out
2. Find the height of the tree
  - a. The height of the tree usually corresponds to the **number of terms** you need to sum
3. Find the work done at every node in the recursion tree
4. Find the work done at every layer of the recursion
  - a. This is just the sum of the work done by every node in each layer
  - b. See you can spot some kind of pattern

# Asymptotic Analysis

```
void foo(int n){  
    if (n <= 1)  
        return;  
    doOhOne(); // doOhOne() runs in  $O(1)$  time  
    foo(n/2);  
    foo(n/2);  
}
```

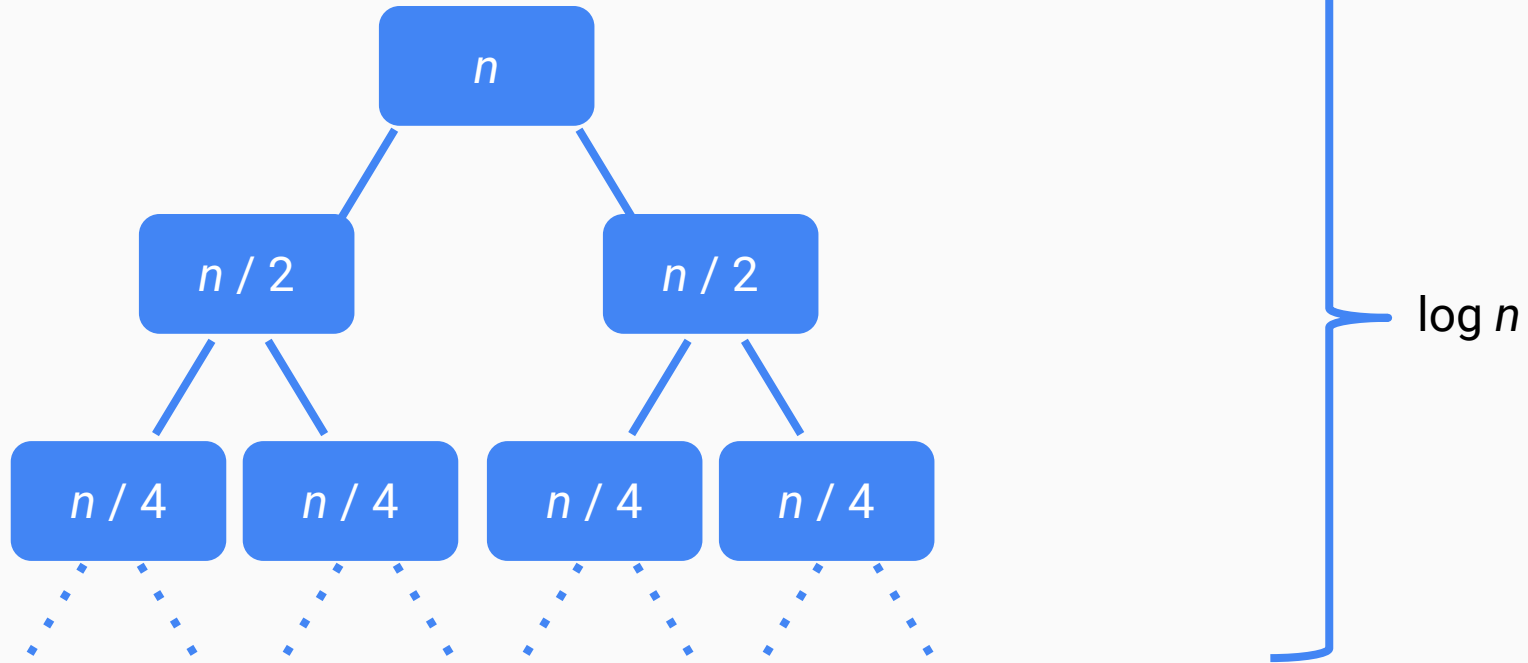
## Question 2

### Step 1: Draw the recursion tree



## Question 2

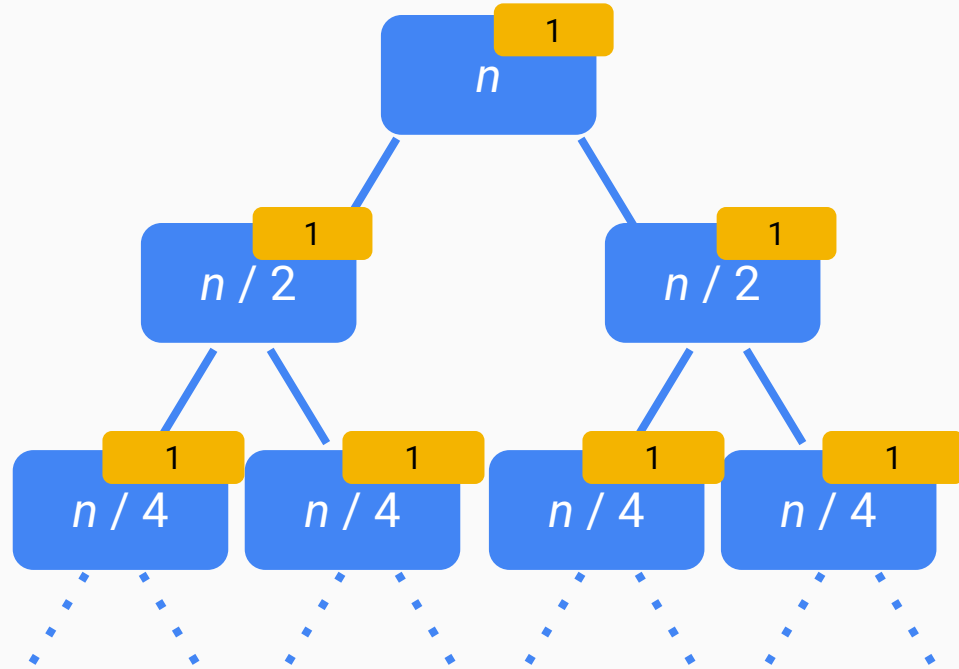
**Step 2: Find the height of the tree**





## Question 2

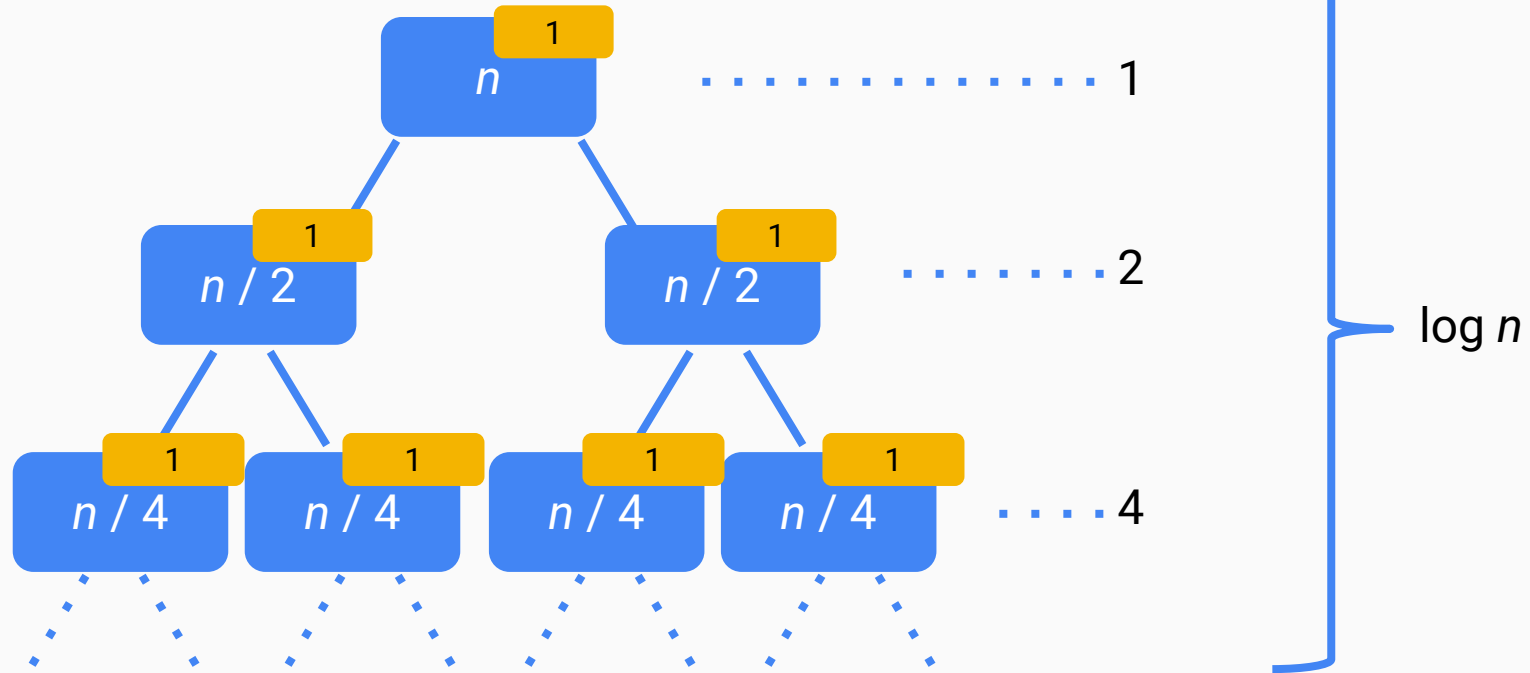
### Step 3: Find the work done for every node



}  $\log n$

## Question 2

### Step 4: Find the work done at every layer



## Question 2

### Finding a Pattern

At the 1st layer of recursion, 1 operation is performed.

At the 2nd layer of recursion, 2 operations are performed.

At the 3rd layer of recursion, 4 operations are performed.

...

At the  $k$ th layer of recursion,  $2^{k-1}$  operations are performed.

## Question 2

### Finding a Pattern

At the 1st layer of recursion, 1 operation is performed.

At the 2nd layer of recursion, 2 operations are performed.

At the 3rd layer of recursion, 4 operations are performed.

...

At the  $\log n$  th layer of recursion,  $2^{\log n - 1}$  operations are performed.

We have  $\log n$  layers of recursion.

## Question 2

### Summing Everything Up

$$1 + 2 + 4 + \dots + 2^{\log n - 1} \leq 2n \text{ (geometric series)}$$
$$= O(n)$$

## Common Traps

Recursive functions that terminate after a constant number of layers.

```
int func(int n) {  
    if (n >= 1000) {  
        System.out.println("CS2040");  
        return;  
    }  
    int[] a = new int[n];  
    func(n+1);  
}
```

```
int func(int n) {  
    if (n >= 1) {  
        return 0;  
    }  
    System.out.println("CS2040");  
    func(n/2);  
    func(n/2);  
}
```

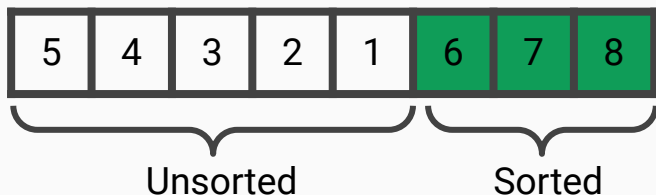
## Common Traps

Loops that do not actually do anything or run for constant number of iterations.

```
public void what_is(int n) {    // n is large
    if (n > 1) {
        System.out.println("first call: ");
        what_is (n/2);
        for (int i = 0; i < n; i++) {}
        for (int j = n; j > 0; j--)
            System.out.println(n*n + " is n^2");
        System.out.println("second call: ");
        what_is(n/2);
    }
}
```

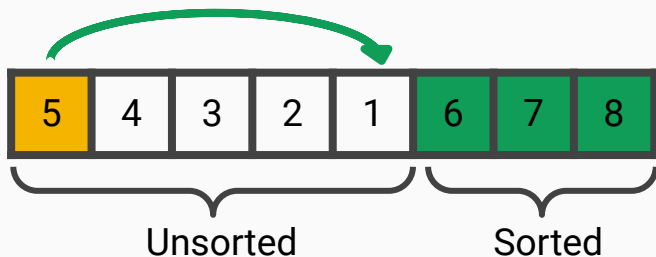
```
    for (int i = 0; i < n; i++) // loop 1
        for (int j = i+1; j > i; j--) // loop 2
            for (int k = n; k > j; k--) // loop 3
                System.out.println("*");
```

# Bubble Sort



Maintains two regions:  
**sorted** and **unsorted**

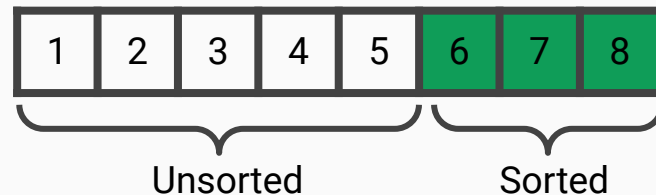
Elements in sorted region are in  
**correct final sorted positions**



Each iteration of bubble sort:  
**biggest element** in unsorted region is  
swapped along the array to correct final  
sorted position

**Running Time:  $O(n^2)$**

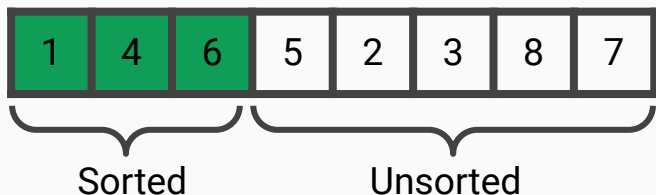
**has\_swaps = false**



If no swaps made during an iteration,  
can terminate bubble sort early  
Known as **bubble sort with early  
termination**

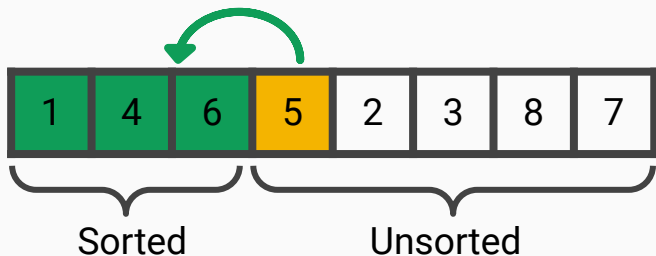


# Insertion Sort



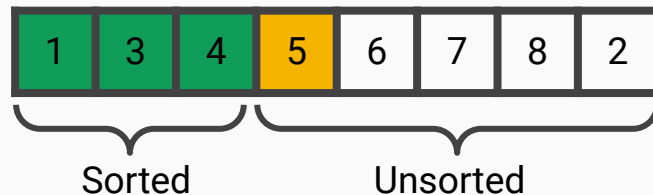
Maintains two regions:  
**sorted** and **unsorted**

Elements in sorted region are **may not  
be in correct final sorted positions**



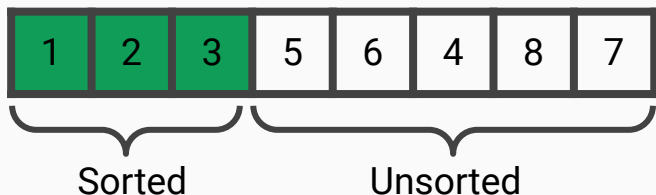
Each iteration of insertion sort:  
**first element** in unsorted region is  
swapped along the array and inserted in  
correct position in sorted region

**Running Time:  $O(n^2)$**   
**On (nearly) sorted array:  $O(n)$**



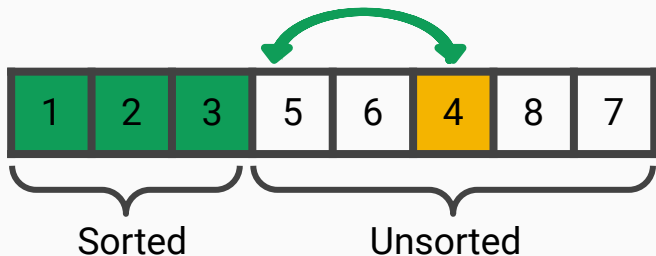
Good for **almost sorted arrays**  
If first element in unsorted region is  
already bigger than all elements in  
sorted region, **no insertion is needed**

# Selection Sort



Maintains two regions:  
**sorted** and **unsorted**

Elements in sorted region are in  
**correct final sorted positions**



Each iteration of selection sort:  
**smallest element** in unsorted region is  
swapped with first element in unsorted  
region to its **correct final sorted position**

**Running Time:  $O(n^2)$**

Regardless of the initial order of the  
elements in the array: **will always perform  
the same number of operations**  
Must scan through entire unsorted region to  
find minimum

Minimizes swaps, therefore:  
Good algorithm if **swaps are expensive**  
1 swap / element compared to other  
algorithms

# Merge Sort



Divides arrays **in half recursively**



**Base case:** Arrays of size 1 are already sorted

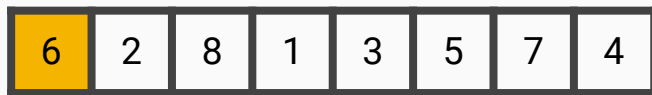


**Merge** subroutine: Given two sorted arrays, merge them into one sorted array in  $O(n)$  time

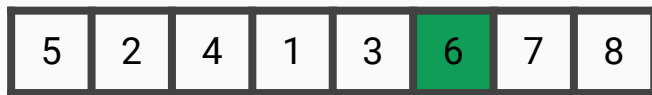
**Running Time:**  $O(n \log n)$



# Quicksort



Pick pivot

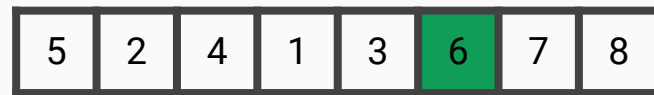


< pivot

> pivot

**Partition** elements using pivot

Pivot is in **correct final sorted position**



Quicksort

Quicksort

Quicksort **recursively**

**Running Time: Expected  $O(n \log n)$**

**Worst Case:  $O(n^2)$  for bad pivots**

## **In-place**

No additional data structures are used to perform the sorting, other than a small number of additional variables.

## **Stable**

Relative order of equal elements is preserved after the sorting is performed.

## 7 Summary of Sorting Algorithms

	Worst Case	Best Case	In-place?	Stable?
Selection Sort	$O(n^2)$	$O(n^2)$	Yes	No
Insertion Sort	$O(n^2)$	$O(n)$	Yes	Yes
Bubble Sort	$O(n^2)$	$O(n^2)$	Yes	Yes
Bubble Sort 2 (improved with flag)	$O(n^2)$	$O(n)$	Yes	Yes
Merge Sort	$O(n \log n)$	$O(n \log n)$	No	Yes
Radix Sort (non-comparison based)	$O(n)$ (see notes 1)	$O(n)$	No	Yes
Quick Sort	$O(n^2)$	$O(n \log n)$	Yes	No

- Notes:**
1.  $O(n)$  for Radix Sort is due to non-comparison based sorting.
  2.  $O(n \log n)$  is the best possible for comparison based sorting.

# Linked List

## Basic Linked List

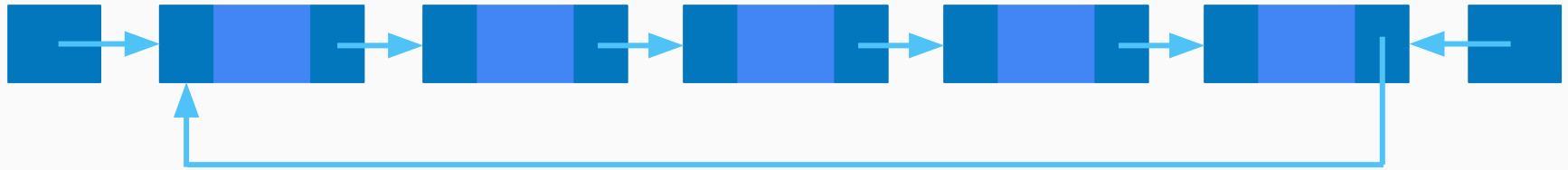


## Tailed Linked List

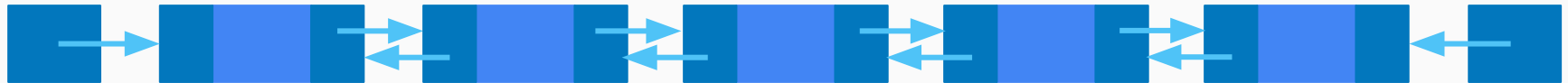


# Linked List

## Circular Linked List



## Doubly Linked List





# Linked List

Unless the question imposes a restriction on a specific type of linked list that you must use, if you need linked lists, **always use doubly linked lists + tail pointer by default**. Most flexible linked list of them all.

## Doubly Linked List



# Stacks and Queues

## ADT Operations

### Stack

push(x):  $O(1)$   
pop():  $O(1)$   
peek():  $O(1)$

### Queue

enqueue(x):  $O(1)$   
dequeue():  $O(1)$   
peek():  $O(1)$

Generally, you are required to make use of the data structures + ADT operations to solve problems. Stack and Queue problems *usually* do not require you to modify the data structures.

# Stacks and Queues

Identify **First in, First Out** or **First in, Last Out** properties in problems. Hints towards a stack/queue solution. Make sure you identify FIFO/FILO **correctly**, and not the wrong way round!

Problem exhibits FILO → Use Stack!

Problem exhibits FIFO → Use Queue!

## **Last-In, First-Out**

Stack exhibits the last-in, first-out property: the last element that is added to the stack is the first one to be removed from the stack.

Any problem exhibiting a last-in, first-out property may possibly be solved using stacks!

## Last-In, First-Out: Bracket Matching

Given a bracket string consisting of ( ) { } [ ], check if all brackets in the string are properly matched.

### Observation

Last bracket to be opened is the first one to be closed. Use a stack!



Last-In



First-Out

## Last-In, First-Out: Evaluating Postfix Arithmetic Expressions

Given a postfix arithmetic expression such as  $3\ 6\ 5\ +\ 4\ 2\ *$ , evaluate the expression.

### Observation

Last two operands encountered are the first to be evaluated. Use a stack!



Last-In

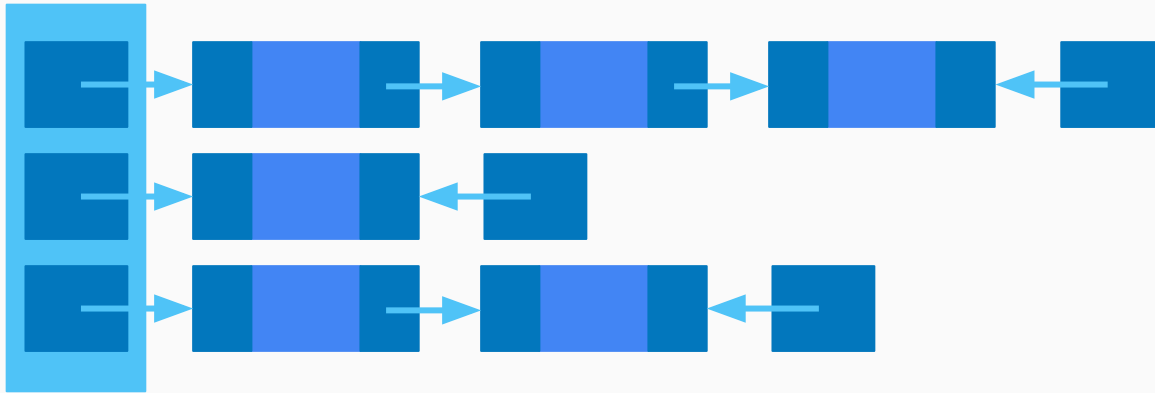
First-Out

# Collision Resolution

## Separate Chaining

Every slot contains a linked list of elements.

When there is a collision, simply add the element to the linked list of the slot the element hashes to.



## **Linear Probing. Problem: Primary Clustering**

Try the next  $+1, +2, \dots, +i$  slots in succession until an empty slot is found.

## **Quadratic Probing. Problem: Secondary Clustering**

Try the next  $+1^2, +2^2, \dots, +i^2$  slots in succession until an empty slot is found.

If  $\alpha < 0.5$  (table less than half full) and table size is a prime, then quadratic probing will always find empty slot.

## **Double Hashing**

Try the next  $+h(\text{key}), +2h(\text{key}), \dots, +ih(\text{key})$  slots in succession until an empty slot is found.



## Properties of a Good Collision Resolution Method

1. Minimize clustering
  - Elements should be 'spaced out' after hashing
2. Always finds empty slot
  - No element is rejected by the hash table
3. Fast
  - Finds an empty slot quickly

## Properties of a Good Hash Function

1. Consistent
  - Same key maps to same buckets
2. Fast to Compute
  - e.g. hash can be computed from key in  $O(1)$ ,  $O(\text{length of string})$  for strings
3. Distributes keys as uniformly as possible to buckets
  - Keys are distributed to buckets with equal probability
  - Every bucket has some key hashing to it

Use these to argue whether a given hash function is good.  
e.g. Tutorial 4, Question 2

## Hash Table ADT

Insert(x):  $O(1)$

Delete(x):  $O(1)$

Find/Contains(x):  $O(1)$

Basically, a “black box” that allows you to put stuff in, take stuff out and check if something’s there *quickly*.

**Very useful if you can reduce parts of a problem to existence checks!**

## Tutorial 4, Question 4

You are given 4 arrays  $A, B, C, D$ , each containing  $n$  elements. Check if it is possible to pick one element from each array such that the sum of the 4 elements is 100.

for each element  $a$  in  $A$ :

for each element  $b$  in  $B$ :

for each element  $c$  in  $C$ :

⇒ Already know  $a + b + c$  at this point

for each element  $d$  in  $D$ :

check if  $a + b + c + d = 100$

Question: Is there a  $d$  such that  
 $d = 100 - a - b - c$ ?

Running time:  $O(n^4)$

## Tutorial 4, Question 4

You are given 4 arrays  $A, B, C, D$ , each containing  $n$  elements. Check if it is possible to pick one element from each array such that the sum of the 4 elements is 100.

Store all elements in  $D$  in a hash table  $H$ .

for each element  $a$  in  $A$ :

    for each element  $b$  in  $B$ :

        for each element  $c$  in  $C$ :  $\Rightarrow$  Already know  $a + b + c$  at this point

            check if  $100 - a - b - c$  is in  $H$

Running time:  $O(n^3)$

