

CS1010 Tutorial 8

Group BC1A

22 October 2020

Topics for today

Objectives

- Recap on Topics (Searching, Sorting)
- Going through problem set 23, 24
- Feedback for Assignment 5, 6
- Summary

Corrections from previous tutorial

- ```
//This loop will be of o(sqrt(n))
for (long i = 0; i < sqrt(n); i += 1) {
 //Do something (o(1) time)
}
```

- 22.2 (c)

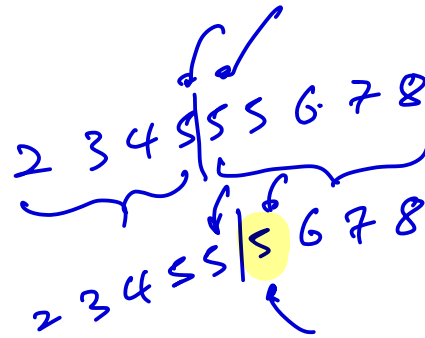
```
long k = 1;
for (long j = 0; j < n; j += 1) { //Outer loop
 k *= 2; 2, 4 ... 2^n
 for (long i = 0; i < k; i += 1) { //Inner loop
 cs1010_println-long(i + j);
 }
}
```

$\times 2 \times 2 \times 2 \dots$

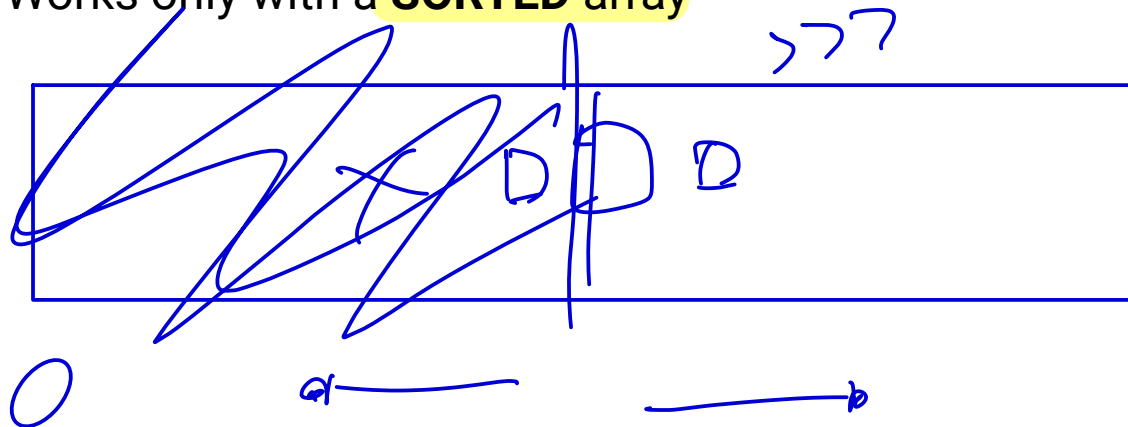
Note that the inner loop always run  $2^n$  times. This gives us the sequence 2, 4, 8, ...,  $2^n$ . To find the sum of all these value, use binary expansion or geometric series to help you. This will give you

$$\sum_{i=1}^n 2^i = 2^{(n+1)} - 2. \text{ This makes the efficiency } o(2^{(n+1)} - 2) = o(2^{(n+1)}) = o(2(2^n)) = o(2^n).$$

# Searching



- Linear search
  - Goes through the whole list of value and compare each value to the needed condition
  - $O(n)$  efficiency
  - Works with any list of value
- Binary search
  - Uses divide and conquer method to search a list of sorted values efficiently
  - $O(\log_2(n))$  efficiency
  - Works only with a **SORTED** array



# Problem 23.1 (b) Question

Instead of returning the position of the query  $q$ , modify an iterative version of the binary search such that it returns either:

- a position  $k$ , such as  $a[k] \leq q \leq a[k+1]$ .
- $-1$  if  $q < a[0]$
- $n-1$  if  $q > a[n-1]$



```
/**
 * Iterative binary search.
 * Look for q in list[i]..list[j].
 *
 * @pre list is sorted
 * @return -1 if not found, the position of q in list otherwise.
 */
long search(const long list[], long len, long q) {
 long i = 0;
 long j = len-1;
 while (i <= j) {
 long mid = (i+j)/2;
 if (list[mid] == q) {
 return mid;
 }
 if (list[mid] > q) {
 j = mid-1;
 } else {
 i = mid+1;
 }
 }
 return -1;
}
```

# Problem 23.1 (b) Answer

```

/**
 * Iterative binary search
 * Look for q in list[i]..list[j].
 *
 * @pre list is sorted
 * @return i-1 if not found, the position of q in list otherwise.
 */
long search(const long list[], long len, long q) {
 long i = 0;
 long j = len-1;
 while (i <= j) {
 long mid = (i+j)/2;
 if (list[mid] == q) {
 return mid;
 }
 if (list[mid] > q) {
 j = mid-1;
 } else {
 i = mid+1;
 }
 }
 return i-1; // change this line only
}

```

Handwritten annotations on the code:

- A diagram of an array with indices  $i=0$  and  $i+1$  marked. The element at  $i+1$  is circled in yellow.
- Text: "once found, terminate:" with an arrow pointing to the `return mid;` line.
- Text:  $(n-1)+1 = n$  with an arrow pointing to the `i = mid+1;` line.
- A blue arrow points from the `while (i <= j)` loop to the `return i-1;` line.
- A blue arrow points from the `return i-1;` line to the `return i-1; // change this line only` line.
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Initial loop invariant

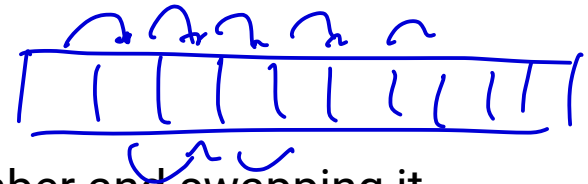
```
// { q is not in list[0]..list[i-1] and list[j+1]..list[n-1] }
```

Loop invariant after modification

```
// { (q > list[0]..list[i-1]) and q < (list[j+1]..list[n-1]) }
```

# Sorting

- Counting sort
  - Sort by counting how many times a certain number exist within a list
  - Efficiency  $O(n + \text{MAX})$
- Selection sort
  - Sort by finding the largest/smallest value in list before swapping it to the correct position
  - Efficiency  $O(n^2)$
- Bubble sort
  - Sort by comparing to the next number and swapping it
  - Efficiency  $O(n^2)$
- Insertion sort
  - Sort by inserting unsorted values into their correct positions
  - Efficiency  $O(n^2)$  (Worse case)
- Visualising sorting algorithm
  - <https://visualgo.net/en>
  - <https://panthema.net/2013/sound-of-sorting/>



# Counting sort

```
/**
 * Perform counting sort on the input in[] and store the sorted
 * numbers in out[].
 *
 * @param[in] in The array containing numbers to be sorted.
 * @param[out] out The array containing the sorted numbers.
 * @param[in] len The size of the input and output array.
 *
 * @pre in[i] is between 0 and MAX for all i.
 * @post out[] is sorted
 */
void counting_sort(const long in[], long out[], long len)
{
 long freq[MAX + 1] = { 0 };

 for (long i = 0; i < len; i += 1) { //A
 freq[in[i]] += 1;
 }

 long outpos = 0;
 for (long i = 0; i <= MAX; i += 1) { //B
 for (long j = outpos; j < outpos + freq[i]; j += 1) { //C
 out[j] = i;
 }
 outpos += freq[i];
 }
}
```

$\sim O(n)$

$\sim MAX$

Efficiency analysis:

A is  $O(n)$ . B is  $O(MAX)$ . Take note that C will only reach  $O(n)$  in **total** instead of  $O(n)$  for each loop even if it is being loop though MAX times. Total efficiency =  $O(n + n + MAX) = O(2n + MAX) = O(n + MAX)$



# Selection sort

```
long max(long last, const long list[])
{
 long max_so_far = list[0];
 long max_index = 0;
 for (long i = 1; i <= last; i += 1) { //A
 if (list[i] > max_so_far) {
 max_so_far = list[i];
 max_index = i;
 }
 }
 return max_index;
}
```

max/min.  $O(n)$

```
void selection_sort(long length, long list[])
{
 for (long i = 1; i < length; i += 1) { //B
 long max_pos = max(length - i, list);
 if (max_pos != length - i) {
 swap(&list[max_pos], &list[length - i]);
 }
 }
}
```

$O(n)$

Efficiency analysis:

A takes  $O(n)$ . B takes  $O(n)$ . Total efficiency =  $O(n * n) = O(n^2)$ .

# Bubble sort

```
void bubble_pass(long last, long a[])
{
 for (long i = 0; i < last; i += 1) { //A
 if (a[i] > a[i+1]) {
 swap(a, i, i+1);
 }
 }
}

void bubble_sort(long n, long a[]) {
 for (long last = n - 1; last > 0; last -= 1) { //B
 bubble_pass(last, a);
 }
}
```

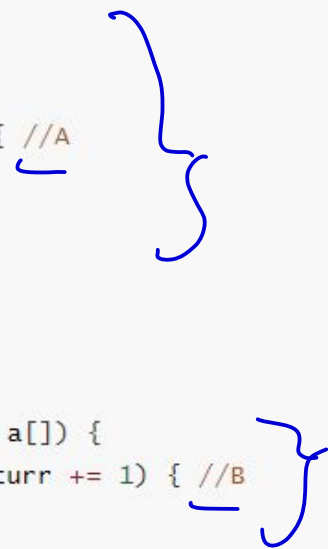
Efficiency analysis:

A takes  $o(n)$ . B takes  $o(n)$ . Total efficiency =  $o(n * n) = o(n^2)$ .

# Insertion sort

```
void insert(long a[], long curr)
{
 long i = curr - 1;
 long temp = a[curr];
 while (i >= 0 && temp < a[i]) { //A
 a[i+1] = a[i];
 i -= 1;
 }
 a[i+1] = temp;
}

void insertion_sort(long n, long a[]) {
 for (long curr = 1; curr < n; curr += 1) { //B
 insert(a, curr);
 }
}
```



Efficiency analysis:

A takes  $o(n)$  in worse case. B takes  $o(n)$  in worse case. Total efficiency =  $o(n * n) = \underline{o(n^2)}$  in worse case.

# Problem 24.1 Question

In the implementation of bubble sort above, we always make  $n - 1$  passes through the array. It is, however, possible to stop the whole sorting procedure, when a pass through the array does not lead to any swapping. Modify the code above to achieve this optimization.

# Problem 24.1 Answer

```
bool bubble_pass(long last, long a[])
{
 bool swapped = false;
 for (long i = 0; i < last; i += 1) {
 if (a[i] > a[i+1]) {
 swap(a, i, i+1);
 swapped = true;
 }
 }
 return swapped;
}

void bubble_sort(long n, long a[n]) {
 bool swapped = true;
 for (long last = len - 1; last > 0 && swapped; last -= 1) {
 swapped = bubble_pass(last, a);
 }
}
```

# Problem 24.2 Question

- (a) Suppose the input list to insertion sort is already sorted. What is the running time of insertion sort?
- (b) Suppose the input list to insertion sort is inversely sorted. What is the running time of insertion sort?

# Problem 24.2 Answer

a) If the input is already sorted, then we would never enter the loop

```
while (temp < a[i] && i >= 0) {
 :
}
```

So the function `insert` is  $O(1)$  and insertion sort runs in  $O(n)$  time.

b) If the input is inversely sorted, then we enter the loop every time. Not only that, `temp < a[i]` is true for every `i` we check until `i == 0`.

```
while (temp < a[i] && i >= 0) {
 :
}
```

So this is the worst case as for every element, we have to shift every elements to its left. It is still  $O(n^2)$

# Problem 24.3 Question

What is the loop invariant for the loop in the function `insert`?

```
void insert(long a[], long curr)
{
 long i = curr - 1;
 long temp = a[curr];
 while (i >= 0 && temp < a[i]) { //A
 a[i+1] = a[i];
 i -= 1;
 }
 a[i+1] = temp;
}
```



# Problem 24.3 Answer

The invariant is: `temp` is smaller or equal to than `a[i+1]..a[curr]`.

```
void insert(long a[], long curr)
{
 long i = curr - 1;
 long temp = a[curr];
 // { temp <= a[j], for all i+1 <= j <= curr }
 // This is true since i+1 is curr and temp is a[curr]
 while (temp < a[i] && i >= 0) {
 // { temp < a[i] }
 a[i+1] = a[i];
 i -= 1;
 // { temp < a[i+1] }
 // The invariant { temp <= a[j], for all i+1 <= j <= curr }
 // remains true.
 }
 // { temp >= a[i] || i == -1 }
 // The invariant { temp <= a[j], for all i+1 <= j <= curr } was true at the
 // end of the loop. So it remains true once we exit the loop.
 a[i+1] = temp;
}
```

# Problem 24.4 Question

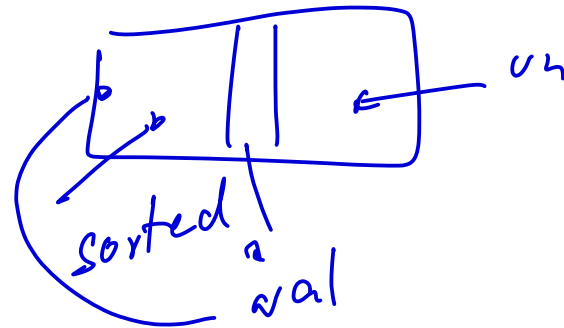
In certain scenarios, comparison is more **expensive than assignment**. For instance, comparing two strings is more expensive than assigning a string to a variable. In this case, we can reduce the number of comparisons during insertion sort by doing the following:

repeat

- take the first element X from unsorted partition
- use **binary search** to find the correct position to insert X
- insert X into the right place

until the unsorted partition is empty.

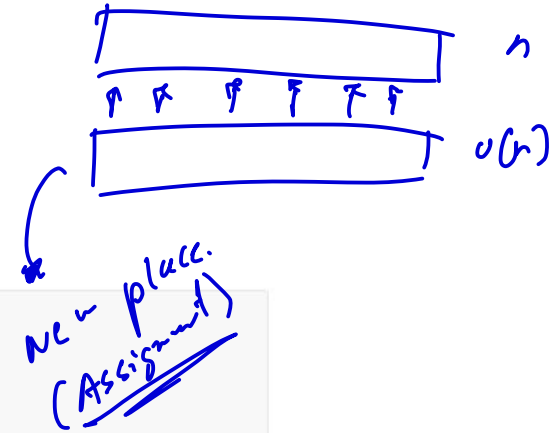
Implement the variation to insertion sort above. You may use your solution from Problem 23.1.



# Problem 24.4 Answer

Algorithm:

```
repeat
 take the first element X from the unsorted pile
 use binary search to find the correct position to insert X
 insert X into the right place
until the unsorted pile is empty
```



*Understand that not all operations are equally expensive and choosing different sorting algorithms depending on whether comparison or assignment is expensive is important*

```
void insert(long a[], long curr)
{
 long i = curr - 1;
 long temp = a[curr];
 long pos = search(a, curr-1, temp); // change this line
 // temp should go to a[pos+1]
 while (i > pos) { // no longer need to compare
 a[i+1] = a[i];
 i -= 1;
 }
 a[i+1] = temp;
}

void insertion_sort(long n, long a[n]) {
 for (long curr = 1; curr < n; curr += 1) {
 insert(a, curr);
 }
}
```

Handwritten annotations:

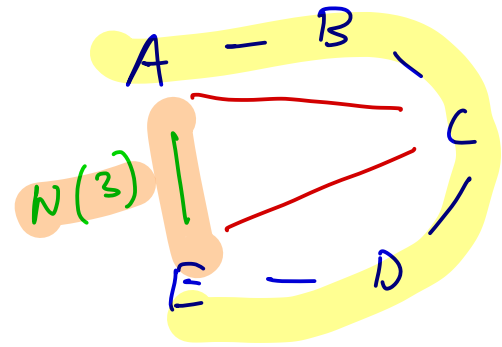
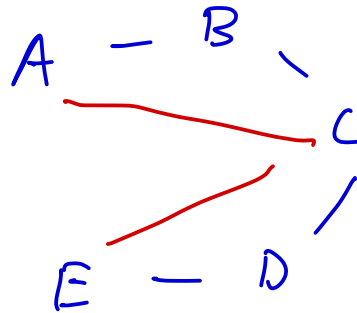
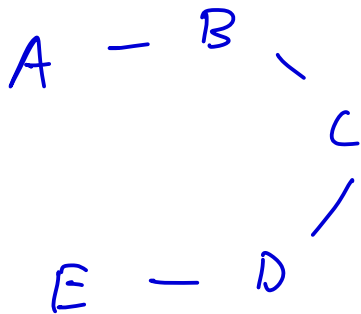
- use binary here.
- $O(\log n)$
- $O(n)$
- $\therefore O(n \log n)$

# Assignment 5

- Remember to break the question down into smaller chunks
- Issue with using only one array to store and check everything

○ (N(1) -> N(2) -> N(4) ...)

N(4)



|   |   |   |   |
|---|---|---|---|
| x | x | x | x |
| x | x | x | x |
| x | x | x | x |
| x | x | x | x |

# Assignment 5

- For two people  $i, j$  and one intermediary  $m$ , consider only  $i$  to  $m$  (indirectly) and  $m$  to  $j$  (directly). Didn't consider  $i$  to  $m$  (directly) and  $m$  to  $j$  (indirectly).
  - Note that while  $i$  to  $m$  (indirectly) and  $m$  to  $j$  (directly) might not give you a full edge,  $i$  to  $m$  (directly) and  $m$  to  $j$  (indirectly) might and vice versa
  - This means we need to consider both cases before ruling out connection

$A - B$  (Directly)  
 $B \rightsquigarrow C$  (Indirectly)  
 $A \rightsquigarrow C$  (Indirect)

||

$B - C$  (direct)  
 $A \rightsquigarrow B$  (Indirect)  
 $A \rightsquigarrow C$  (Indirect)

# Assignment 6

- Permutation
  - $O(nk^2)$ 
    - Typical nested loop and check every character
  - $O(nk)$ 
    - Use answer for frequency.c to help you check for the same frequency after finding out all possible substring of length  $k$  from  $s_2$
  - $O(n + k)$ 
    - Any ideas?

## Question 3: Permutation (15 marks)

---

Write a program `permutation`, that, given two strings, consists of alphabets 'a' to 'z', `S1` and `S2`, checks if `S2` is a permutation of some substring of `S1`. A substring of length `k` is a consecutive sequence of `k` characters from a string.

For instance, `nus` is a permutation of a substring of `suntec`, since `suntec` contains `sun`. `ntu` is also a permutation of a substring of `suntec`, since `suntec` contains `unt`. `smu` is not a permutation of any substring of `suntec`.

Your program should read, from the standard input,

- a string `S1`, consists of `k` characters, chosen from `a` to `z`
- a string `S2`, consists of `n` characters, chosen from `a` to `z`

and print, to the standard output, `YES` if `S2` is a permutation of some substring of length `k` from `S1`, and `NO` otherwise.

# Permutation $O(nk^2)$

Find all possible substring of length k from s1, and then use a double for loop through s2 to check if each alphabet exists in the substring

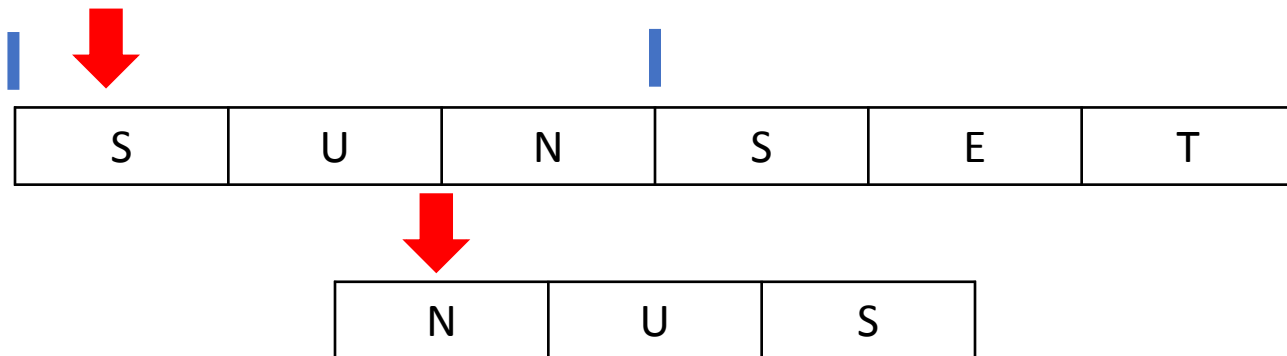
|   |   |   |   |   |   |
|---|---|---|---|---|---|
| S | U | N | S | E | T |
|---|---|---|---|---|---|

|   |   |   |
|---|---|---|
| N | U | S |
|---|---|---|



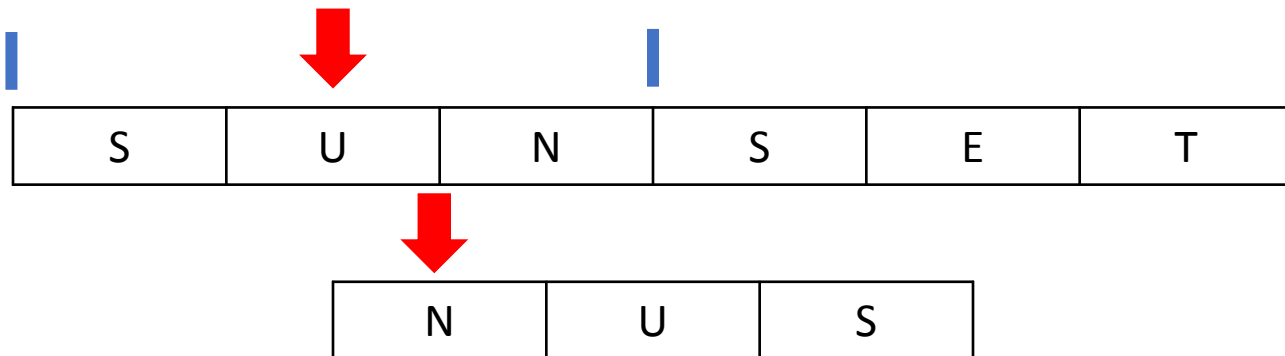
# Permutation $O(nk^2)$

Find all possible substring of length k from s1, and then use a double for loop through s2 to check if each alphabet exists in the substring



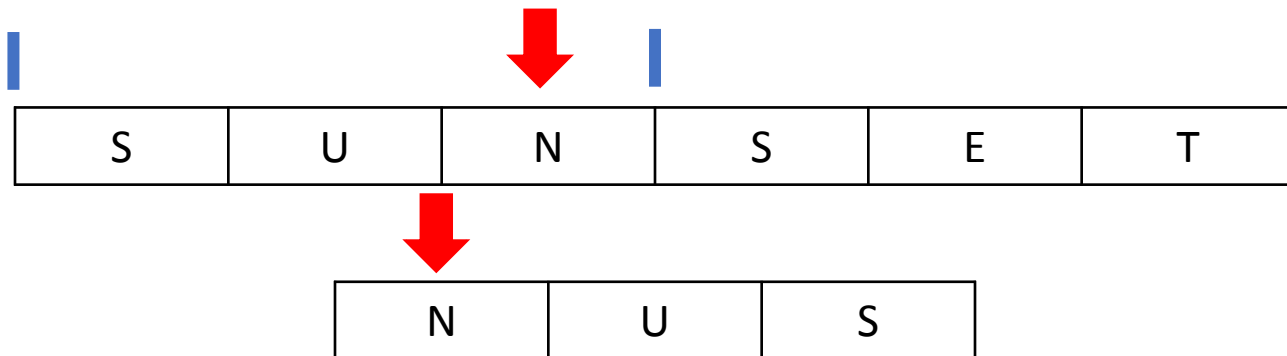
# Permutation $O(nk^2)$

Find all possible substring of length  $k$  from  $s1$ , and then use a double for loop through  $s2$  to check if each alphabet exists in the substring



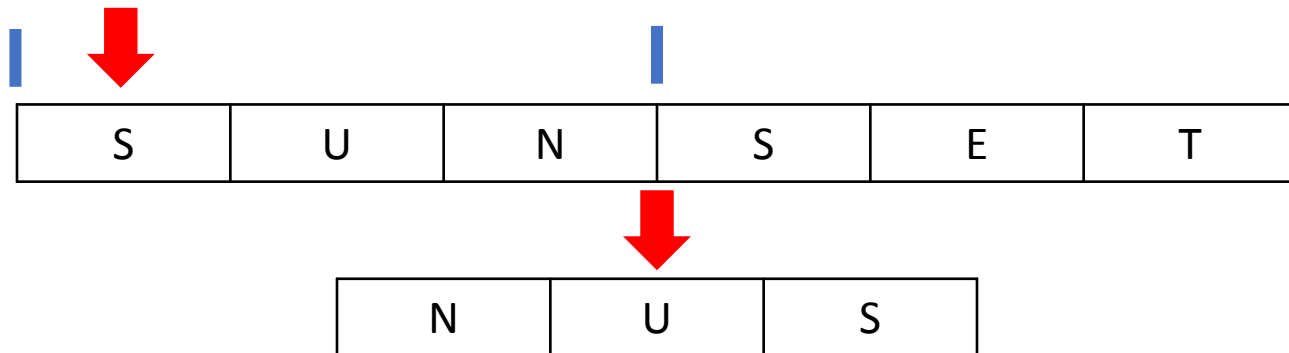
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Find all possible substring of length  $k$  from  $s1$ , and then use a double for loop through  $s2$  to check if each alphabet exists in the substring



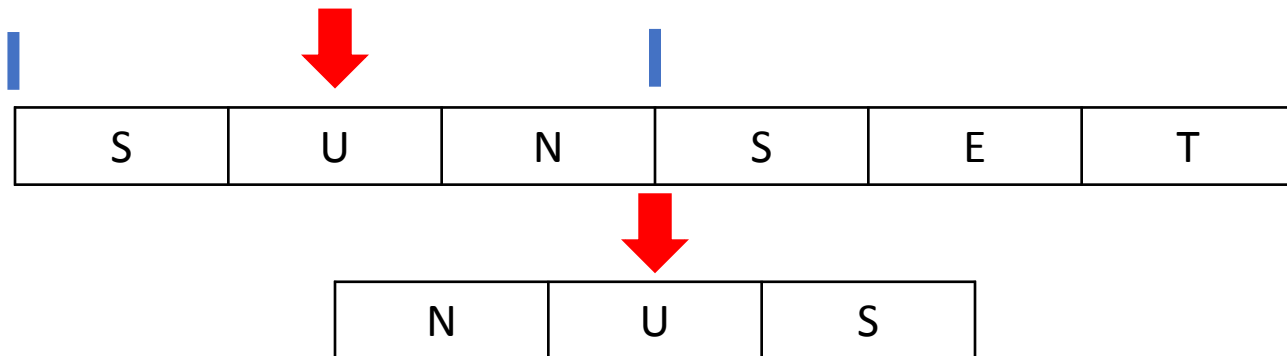
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Find all possible substring of length  $k$  from  $s1$ , and then use a double for loop through  $s2$  to check if each alphabet exists in the substring



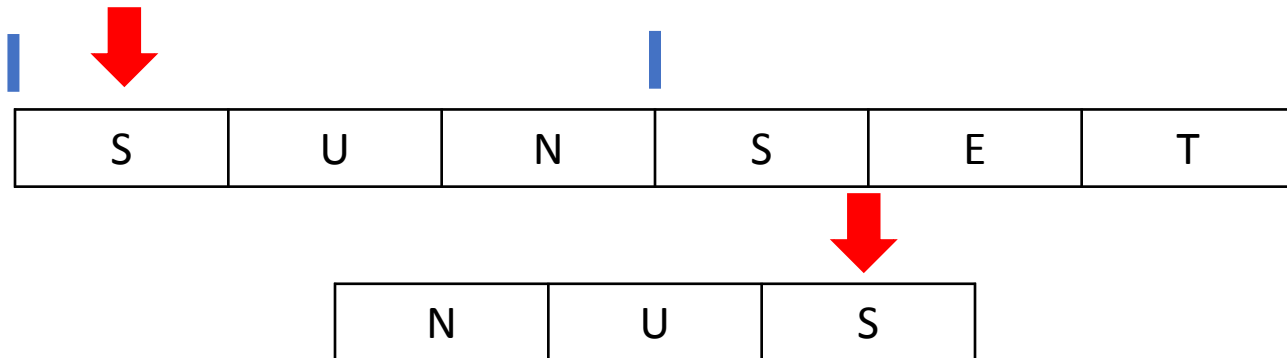
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Find all possible substring of length  $k$  from  $s1$ , and then use a double for loop through  $s2$  to check if each alphabet exists in the substring



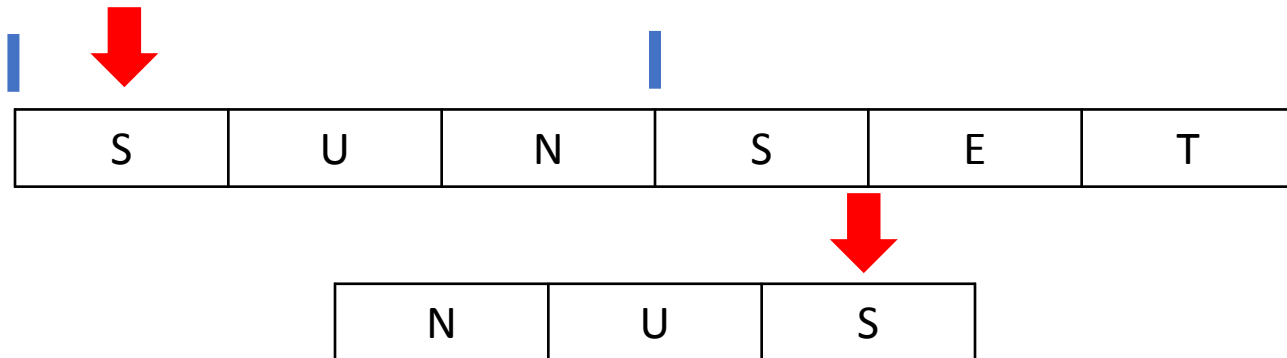
# Permutation $O(nk^2)$

Find all possible substring of length k from s1, and then use a double for loop through s2 to check if each alphabet exists in the substring



# Permutation $O(nk^2)$

Find all possible substring of length k from s1, and then use a double for loop through s2 to check if each alphabet exists in the substring



# Permutation $O(nk^2)$

```
bool is_permutation(const char *s1, const char *s2, size_t start) {
 size_t k = strlen(s1);
 size_t n = strlen(s2);
 char *copy = malloc(n+1);
 strncpy(copy, s2, n+1);

 for (size_t i = 0; i < k; i += 1) {
 for (size_t j = start; j < k + start; j += 1) {
 if (copy[j] == s1[i]) {
 copy[j] = '*';
 j = n;
 }
 }
 }

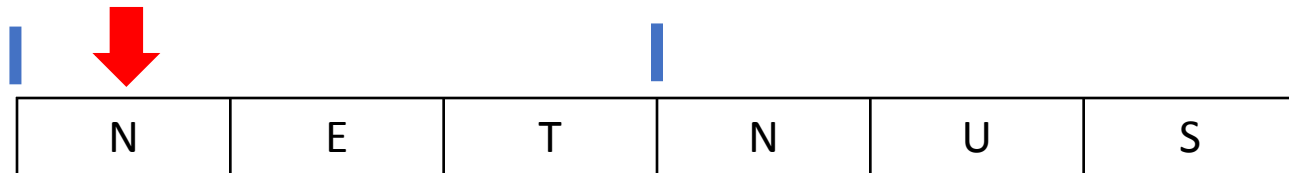
 for (size_t j = start; j < k + start; j += 1) {
 if (copy[j] != '*') {
 free(copy);
 return false;
 }
 }

 // cs1010_println_string(copy);
 free(copy);
 return true;
}
```



# Permutation $O(nk)$

Find all possible substring of length  $k$  from  $s1$ , and then construct a frequency array from that substring

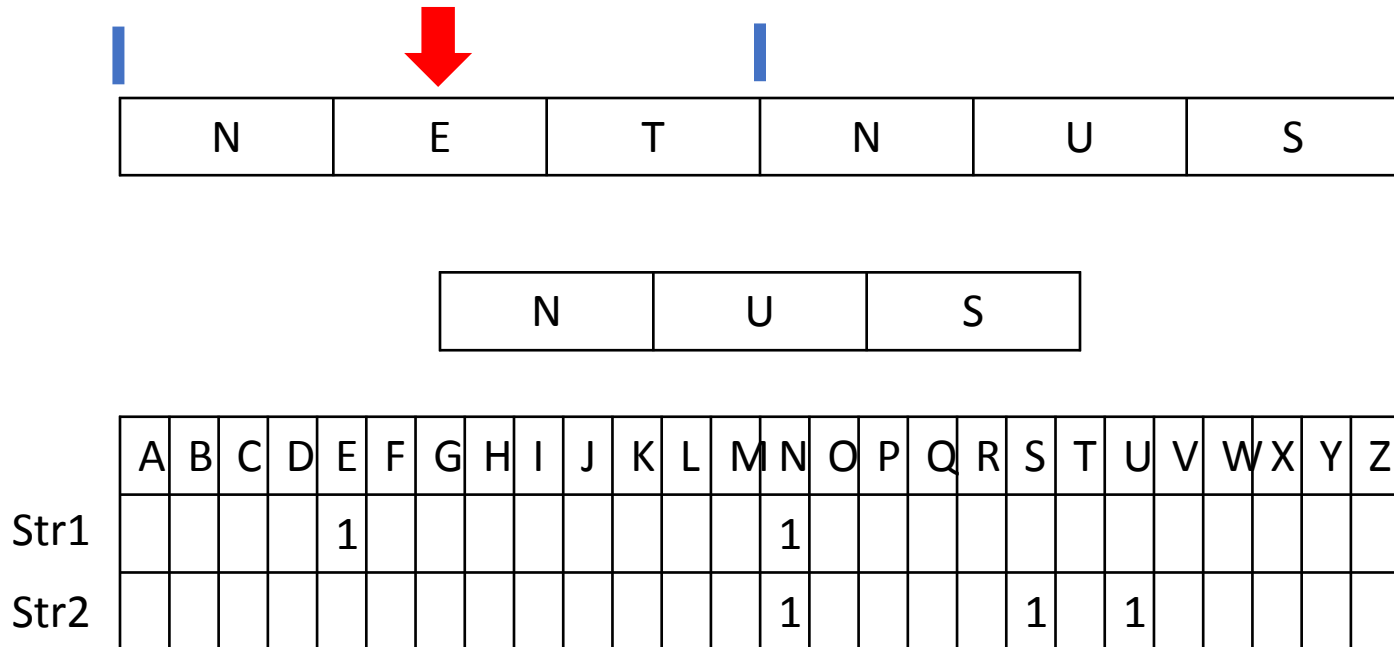


|   |   |   |
|---|---|---|
| N | U | S |
|---|---|---|

|      | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U | V | W | X | Y | Z |
|------|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Str1 |   |   |   |   |   |   |   |   |   |   |   |   |   | 1 |   |   |   |   |   |   |   |   |   |   |   |   |
| Str2 |   |   |   |   |   |   |   |   |   |   |   |   |   | 1 |   |   |   |   | 1 |   | 1 |   |   |   |   |   |

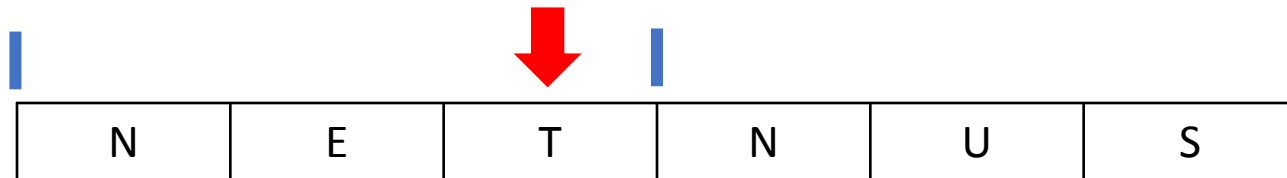
# Permutation $O(nk)$

Find all possible substring of length  $k$  from  $s1$ , and then construct a frequency array from that substring



# Permutation $O(nk)$

Find all possible substring of length  $k$  from  $s1$ , and then construct a frequency array from that substring




|   |   |   |
|---|---|---|
| N | U | S |
|---|---|---|

|      | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U | V | W | X | Y | Z |
|------|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Str1 |   |   |   |   | 1 |   |   |   |   |   |   |   |   | 1 |   |   |   |   |   | 1 |   |   |   |   |   |   |
| Str2 |   |   |   |   |   |   |   |   |   |   |   |   |   | 1 |   |   |   |   | 1 |   | 1 |   |   |   |   |   |

# Permutation $O(nk)$

Find all possible substring of length k from s1, and then construct a frequency array from that substring



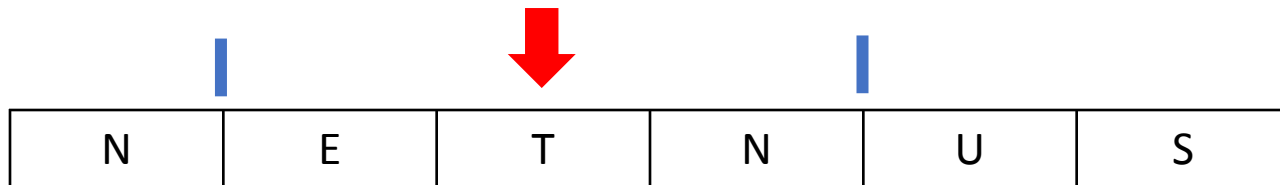
|   |   |   |   |   |   |
|---|---|---|---|---|---|
| N | E | T | N | U | S |
|---|---|---|---|---|---|

|   |   |   |
|---|---|---|
| N | U | S |
|---|---|---|

|      | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U | V | W | X | Y | Z |
|------|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Str1 |   |   |   |   | 1 |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
| Str2 |   |   |   |   |   |   |   |   |   |   |   |   | 1 |   |   |   |   | 1 |   | 1 |   |   |   |   |   |   |

# Permutation $O(nk)$

Find all possible substring of length  $k$  from  $s1$ , and then construct a frequency array from that substring



|   |   |   |   |   |   |
|---|---|---|---|---|---|
| N | E | T | N | U | S |
|---|---|---|---|---|---|

|   |   |   |
|---|---|---|
| N | U | S |
|---|---|---|

|      | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U | V | W | X | Y | Z |
|------|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Str1 |   |   |   |   | 1 |   |   |   |   |   |   |   |   |   |   |   |   |   |   | 1 |   |   |   |   |   |   |
| Str2 |   |   |   |   |   |   |   |   |   |   |   |   |   | 1 |   |   |   |   | 1 |   | 1 |   |   |   |   |   |

# Permutation $O(nk)$

Find all possible substring of length k from s1, and then construct a frequency array from that substring



|   |   |   |   |   |   |
|---|---|---|---|---|---|
| N | E | T | N | U | S |
|---|---|---|---|---|---|

|   |   |   |
|---|---|---|
| N | U | S |
|---|---|---|

|      | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U | V | W | X | Y | Z |
|------|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Str1 |   |   |   |   | 1 |   |   |   |   |   |   |   |   | 1 |   |   |   |   |   | 1 |   |   |   |   |   |   |
| Str2 |   |   |   |   |   |   |   |   |   |   |   |   |   | 1 |   |   |   |   | 1 |   | 1 |   |   |   |   |   |

# Permutation $O(nk)$

Find all possible substring of length  $k$  from  $s1$ , and then construct a frequency array from that substring

|   |   |   |   |   |   |
|---|---|---|---|---|---|
| N | E | T | N | U | S |
|---|---|---|---|---|---|

|   |   |   |
|---|---|---|
| N | U | S |
|---|---|---|

|      |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|------|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
|      | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U | V | W | X | Y | Z |
| Str1 |   |   |   |   |   |   |   |   |   |   |   |   |   | 1 |   |   |   |   | 1 |   | 1 |   |   |   |   |   |
| Str2 |   |   |   |   |   |   |   |   |   |   |   |   |   | 1 |   |   |   |   | 1 |   | 1 |   |   |   |   |   |

# Permutation $O(nk)$


```
bool find_permutation(char *s1, char *s2) {
 long k = strlen(s1);
 long n = strlen(s2);

 long freq1[26];
 long freq2[26];


 build_frequency_array(k, s1, freq1);
 build_frequency_array(k, s2, freq2);

 if (is_permutation(freq1, freq2)) {
 return true;
 }

 for (long start = 1; start <= n - k; start += 1) {
 build_frequency_array(k, s2 + start, freq2);
 if (is_permutation(freq1, freq2)) {
 return true;
 }
 }
 return false;
}
```



```
void build_frequency_array(long len, const char s[len], long freq[26]) {
 for (long i = 0; i < 26; i += 1) {
 freq[i] = 0;
 }
 for (long i = 0; i < len; i += 1) {
 freq[s[i] - 'a'] += 1;
 }
}
```



```
bool is_permutation(const long freq1[26], const long freq2[26]) {
 for (long i = 0; i < 26; i += 1) {
 if (freq1[i] != freq2[i]) {
 return false;
 }
 }
 return true;
}
```



# Permutation $O(n + k)$

Find all possible substring of length  $k$  from  $s1$ , and then construct a frequency array from that substring – but smarter

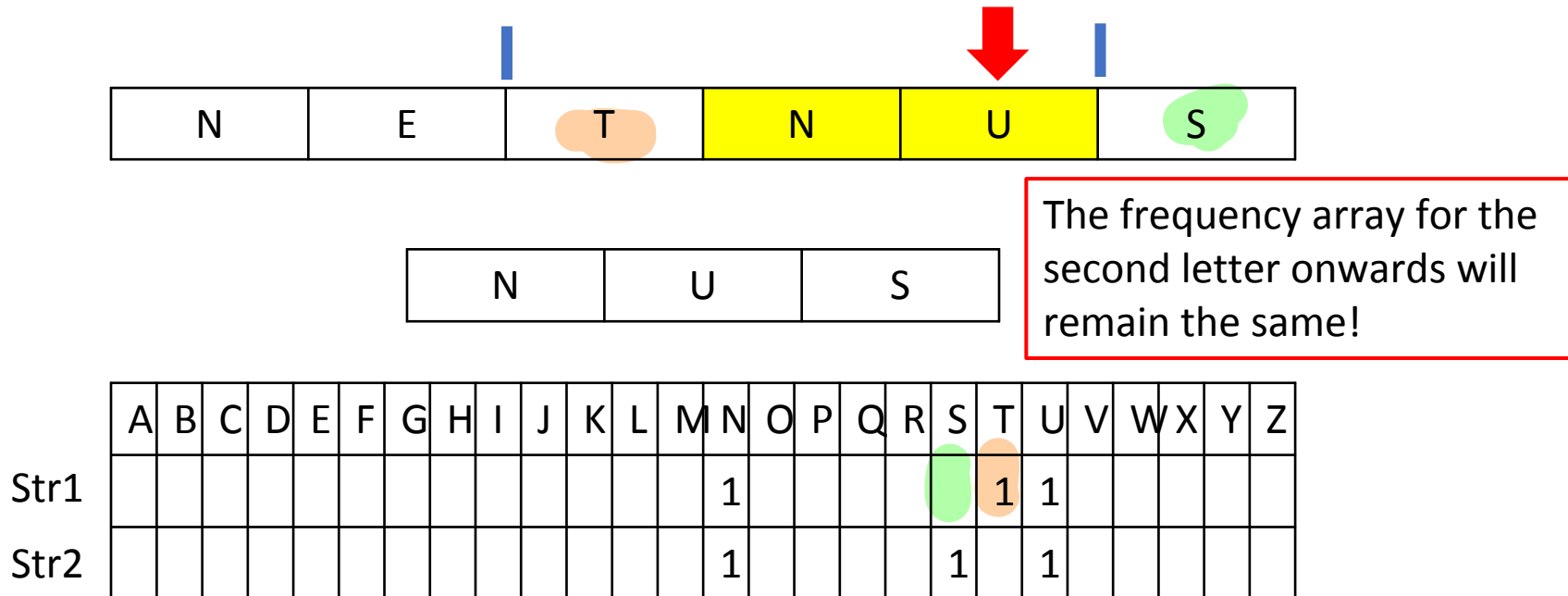
|   |   |   |   |   |   |
|---|---|---|---|---|---|
| N | E | T | N | U | S |
|---|---|---|---|---|---|

|   |   |   |
|---|---|---|
| N | U | S |
|---|---|---|

|      | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U | V | W | X | Y | Z |
|------|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Str1 |   |   |   |   |   |   |   |   |   |   |   |   |   | 1 |   |   |   |   |   | 1 | 1 |   |   |   |   |   |
| Str2 |   |   |   |   |   |   |   |   |   |   |   |   |   | 1 |   |   |   |   | 1 |   | 1 |   |   |   |   |   |

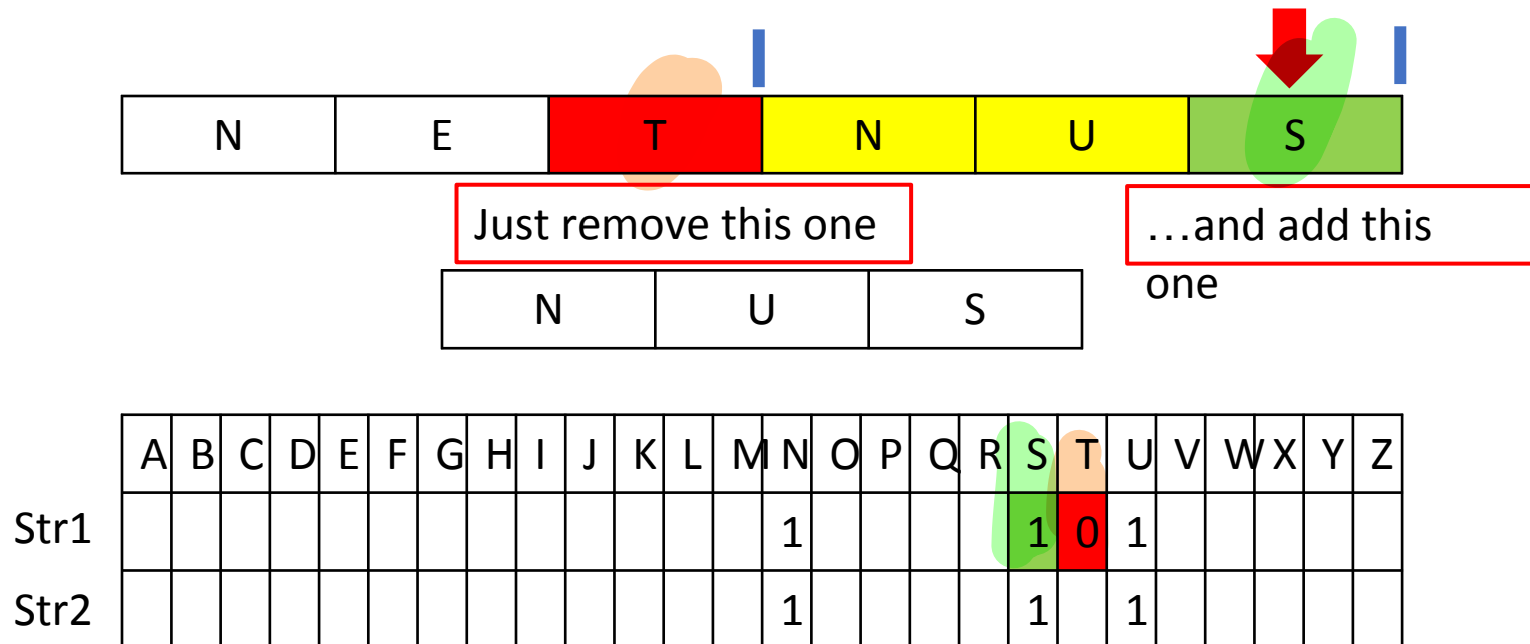
# Permutation $0(n+k)$

Find all possible substring of length  $k$  from  $s1$ ,  
and then construct a frequency array from that  
substring – but smarter



# Permutation $O(n + k)$

Find all possible substring of length  $k$  from  $s1$ ,  
and then construct a frequency array from that  
substring – **but smarter**



# Permutation $O(n + k)$

```
bool find_permutation(char *s1, char *s2) {
 long k = strlen(s1);
 long n = strlen(s2);

 long freq1[26];
 long freq2[26];

 build_frequency_array(k, s1, freq1);
 build_frequency_array(k, s2, freq2);

 if (is_permutation(freq1, freq2)) {
 return true;
 }

 for (long start = 1; start <= n - k; start += 1) {
 update_frequency(freq2, s2, start, k);
 if (is_permutation(freq1, freq2)) {
 return true;
 }
 }
 return false;
}
```

```
void update_frequency(long freq2[26], const char *s2, int start, int k) {
 freq2[s2[start-1]-'a'] -= 1;
 freq2[s2[start+k-1]-'a'] += 1;
}
```

