

# CS5321 Network Security

## Week1: Crypto Basics (1)

**Daisuke MASHIMA**

<http://www.mashima.us/daisuke/index.html>

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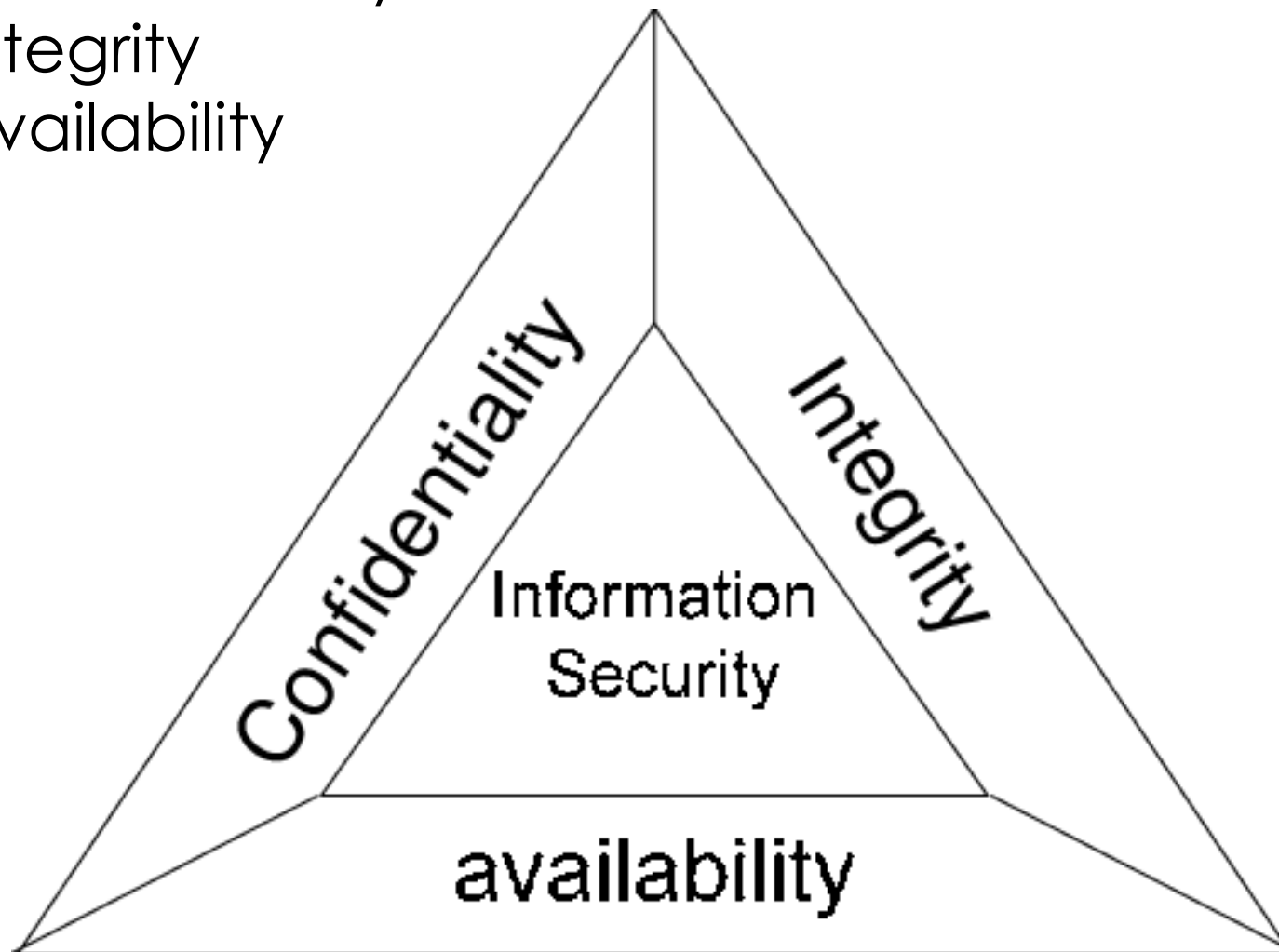
# Reference

**Introduction to Modern Cryptography** Second Edition  
by Jonathan Katz and Yehuda Lindell

# CIA Triad

3 Principles in information security

- **C**onfidentiality
- **I**ntegrity
- **A**vailability



# Secrecy, Confidentiality, Privacy, Anonymity

- Often considered synonymous, but are slightly different
- **Secrecy**
  - Keep data hidden from unintended receivers
  - “Alice and Bob use encrypted communication links to achieve secrecy”
- **Confidentiality**
  - Keep **someone else’s data** secret
  - “Trent encrypts all user information to keep their client’s information confidential in case of a file server compromise”
- **Privacy**
  - Keep **data about a person** secret
  - “To protect Alice’s privacy, company XYZ did not disclose any personal information”
- **Anonymity**
  - Keep **identity** of a protocol participant secret
  - “To hide her identity to the web server, Alice uses The Onion Router (TOR) to communicate”

# Integrity, Authentication

- Sometimes used interchangeably, but they have different connotations
- **Data integrity**
  - Ensure data is “correct” (i.e., correct syntax & unchanged)
  - Prevents unauthorized or improper changes
  - “Trent always verifies the integrity of his database after restoring a backup, to ensure that no incorrect records exist”
- **Entity authentication or identification**
  - Verify the identity of another protocol participant
  - “Alice authenticates Bob each time they establish a secure connection”
- **Data authentication**
  - Ensure that data originates from claimed sender
  - “For every message Bob sends, Alice authenticates it to ensure that it originates from Bob”

# Difference between Integrity and Authentication

- Integrity is often a property of **local or stored data**
  - For example, we want to ensure integrity for a database stored on disk, which emphasizes that we want to prevent unauthorized changes
  - Integrity emphasizes that data has not been changed
- Authentication used in **network context**, where entities communicate across a network
  - Two communicating hosts want to achieve data authentication to ensure data was not changed by network
- Data authentication emphasizes that data was created by a specific sender
  - Implies **integrity of data**
  - Implies that **identity of sender** is verified

# Signature, Non-repudiation

- Signature: non-repudiation of origin
  - Binds data to an identity
  - The signer cannot deny having created the signature
  - “Alice’s signature provides non-repudiation, preventing her from denying receipt of the document”

# Difference between Authentication and Signature

- Authentication enables the receiver to verify origin, **but receiver cannot** convince a third party of origin
- Signature enables the receiver to verify origin, **and receiver can** convince third party of origin as well
- Signature provides authentication + public verifiability



# Other Properties

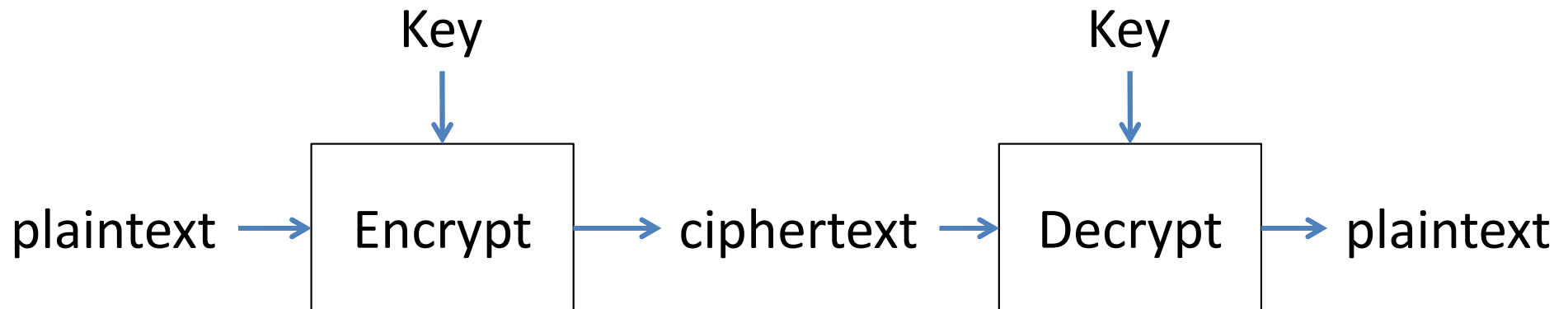
- **Authorization**
  - Allowing another entity to perform an action
- **Auditability**
  - Enable forensic activities after intrusions
  - Prevent attacker from erasing or altering logging information
- **Availability**
  - Provide access to resource despite attacks
  - Denial-of-Service (DoS) attacks attempt to prevent availability

# Basic Cryptographic Primitives

- Symmetric (shared-key, same-key)
  - Block cipher (pseudo-random permutation PRP)
  - Stream cipher (pseudo-random generators PRG)
  - Message authentication code (MAC)
  - Authenticated encryption
- Asymmetric (public-private key)
  - Public-key encryption
  - Digital signature
  - Diffie-Hellman key agreement
- Others (unkeyed symmetric)
  - One-way function
  - Cryptographic hash function

# Symmetric Encryption Primitives

- Often called shared key crypto or secret key crypto
- Encryption key = decryption key
- Encryption:  $E_K(\text{plaintext}) = \text{ciphertext}$
- Decryption:  $D_K(\text{ciphertext}) = \text{plaintext}$
- We write  $\{\text{plaintext}\}_K$  for  $E_K(\text{plaintext})$



# Stream Ciphers

- One-time pad
  - Use unique random keystream for each message  
e.g.,  $c_1 = k_1 \oplus p_1$ ,  $c_2 = k_2 \oplus p_2$ , ...
  - Is this secure?
  - Is this secure if we re-use keystream?

ci: ciphertext  
pi: plaintext  
ki: key  
 $\oplus$ : XOR



(src: <http://cryptomuseum.com/crypto/otp/index.htm>)

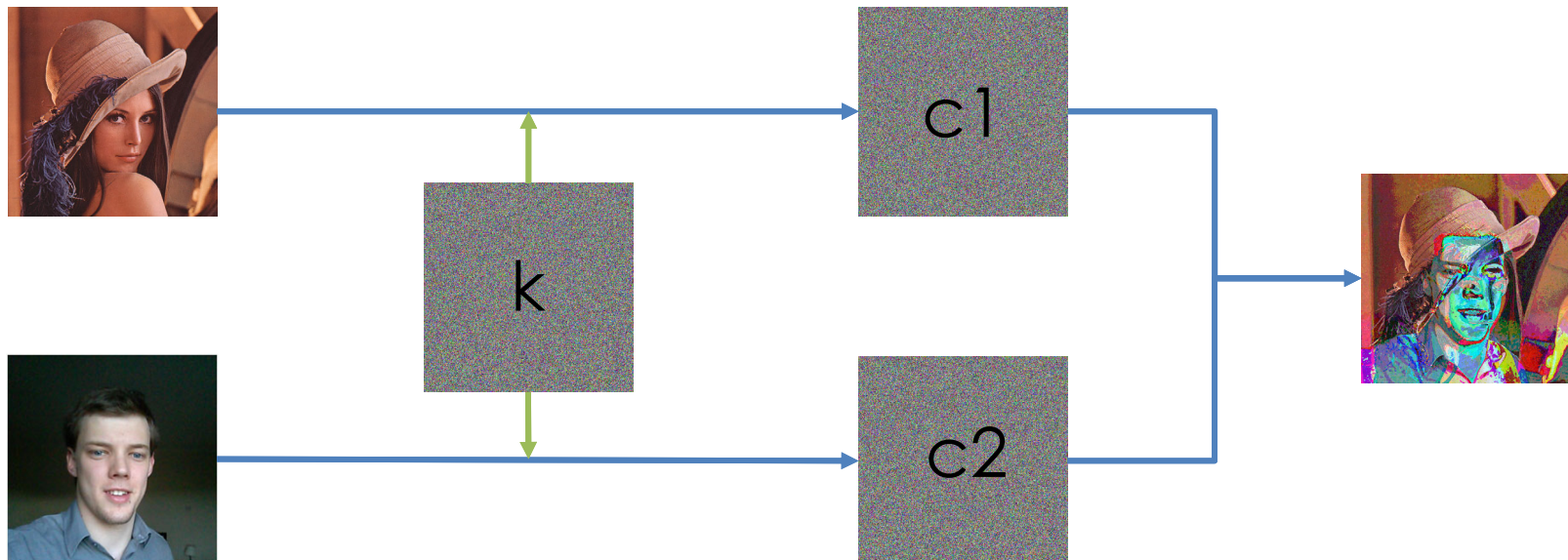
# Stream Ciphers

- Stream ciphers use pseudo-random generator (PRG) to generate keystream from seed
  - Encryption: use shared key  $k$  and initialization vector  $IV$  for the seed.
$$\text{ciphertext} = \text{plaintext} \oplus \text{PRG}(k, IV)$$
  - Send  $IV$ , ciphertext
- Example: RC4, AES in CTR mode
- What could go wrong?
  - What if the system reuse the  $IV$ ?
  - What if the ciphertext is modified during transmission?

# Stream Cipher Vulnerabilities

- Keystream reuse attack
  - Enormous security vulnerability if same keystream used to encrypt to different messages
  - $c1 = p1 \oplus k$ ,  $c2 = p2 \oplus k$
  - $c1 \oplus c2 = p1 \oplus p2$  (which is easy to analyze, because the unknown key is removed!)
  - $c1 = p1 \oplus \text{PRG}(K, IV)$ , where  $IV$ =initialization vector, make sure  $IV$  is never used twice!

ci: ciphertext  
pi: plaintext  
 $\oplus$ : XOR



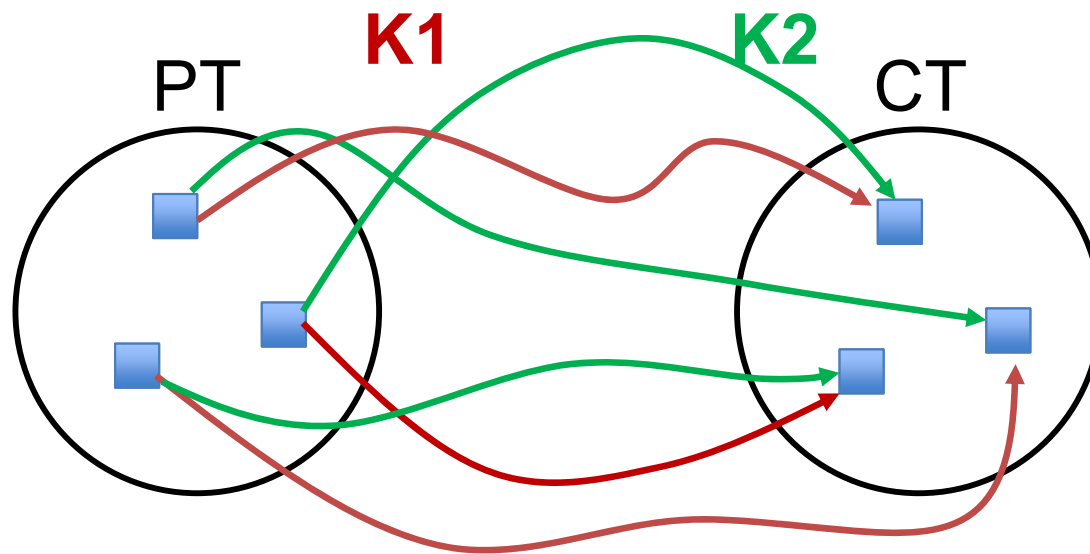
<https://incoherency.co.uk/blog/stories/otp-key-reuse.html>

# Stream Cipher Vulnerabilities

- Ciphertext modification attack
  - Alteration of ciphertext will alter corresponding values in plaintext after decryption
  - Example, encrypt a single bit:  $c = p \oplus k$ , for  $p=1$ ,  $k=0$ , thus  $c=1$
  - If attacker changes  $c$  to 0 during transmission, decrypted value is changed to 0!  $p = c \oplus k$ , if  $c=0$ , then  $p=0$ .
  - To defend, need to ensure authenticity of ciphertext

# Block Ciphers

- Block cipher is a **pseudo-random permutation (PRP)**, each key defines a one-to-one mapping of input block to output block
- Encrypt each block separately
- Examples: DES, RC5, AES



key-size  
should be at  
least 128 bit



# Advanced Encryption Standard: AES

- AES is successor to (US-selected) DES
- Officially adopted for US government work, but voluntarily adopted by private sector
- Winning cipher was Rijndael (pronounced Rhine-doll)
  - Belgian designers: Joan Daemen & Vincent Rijmen
- Adopted by NIST in Nov 2001
- {128, 192, 256}-bit key size
- High-speed cipher
  - Software: 28 cycles/byte
  - Intel's AES-NI: 3.5 cycles/byte

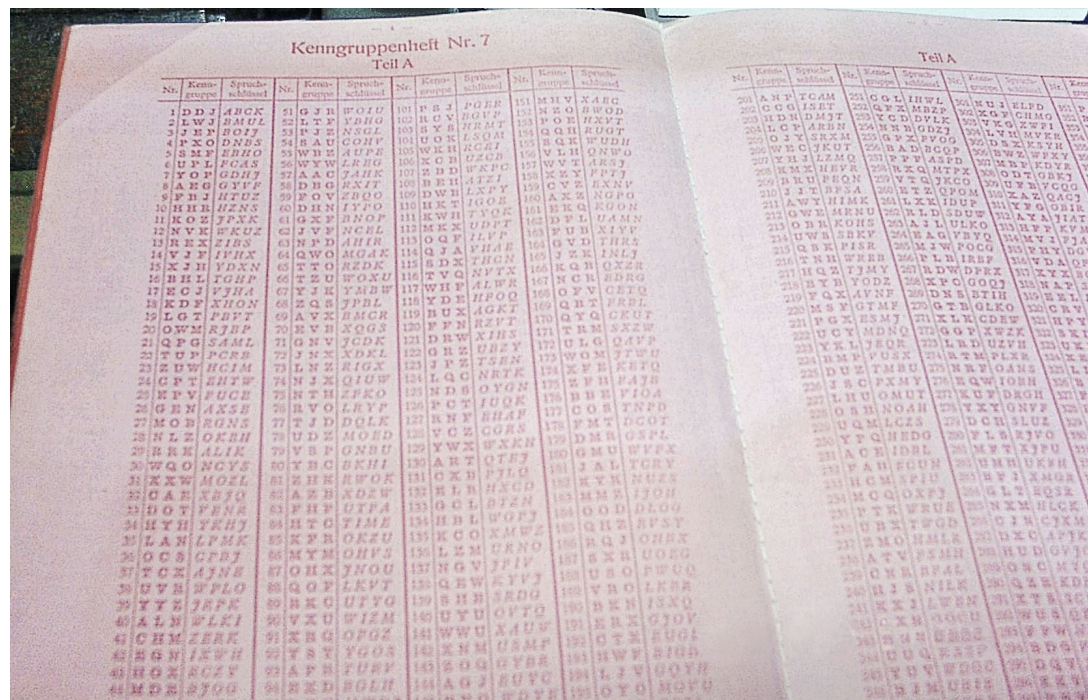
# Modes of Operation

- Block cipher **modes of operation**
    - ECB: Electronic code book
    - CBC: Cipher block chaining
    - CFB: Cipher feedback
    - OFB: Output feedback
    - CTR: Counter mode
- } block cipher in a stream cipher mode

# ECB: Electronic code book

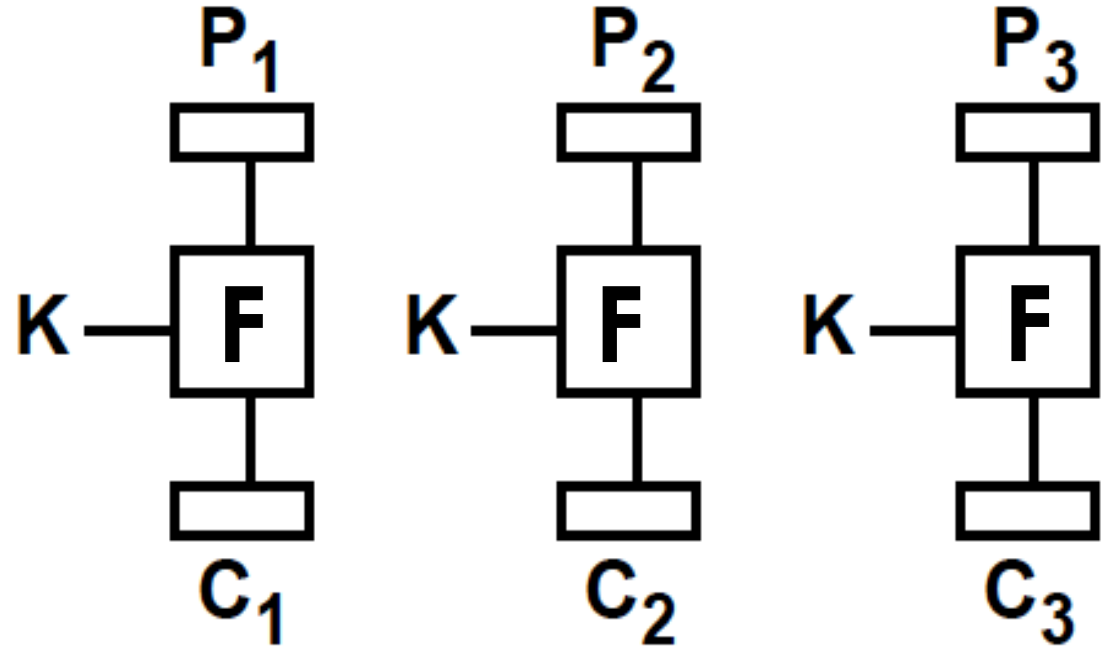
- Natural approach for encryption: given a message  $M$ , split  $M$  up into blocks of size  $b$  bits (where  $b$  = input size of block cipher),  $M_1, \dots, M_n$ 
  - Last block includes “padding”
- $E_K(M) = E_K(M_1) || E_K(M_2) || \dots || E_K(M_n)$
- This approach is called **Electronic Code Book mode (ECB mode)**

$||$ : concatenation



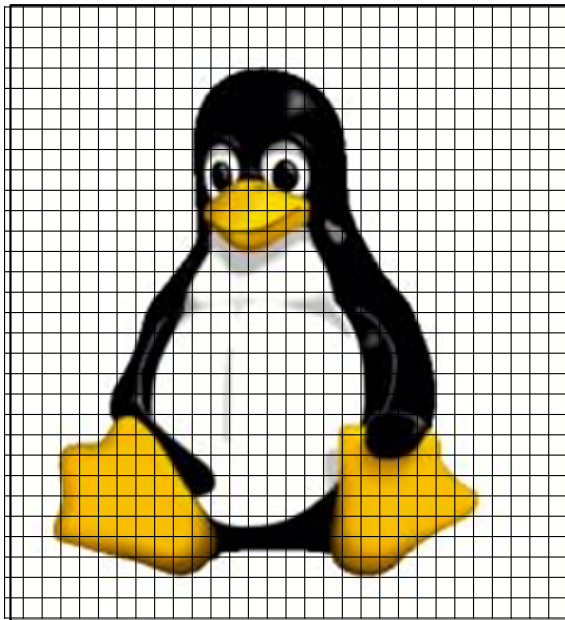
# ECB Mode

- $C_j = F_K(P_j) = E_K(P_j)$
- $P_j = F_K^{-1}(C_j) = D_K(C_j)$



- Advantages
  - Simple to compute
- Disadvantages
  - Same plaintext always corresponds to same ciphertext
  - Traffic analysis yields which ciphertext blocks are equal  $\rightarrow$  know which plaintext blocks are equal
  - Adversary may be able to guess part of plaintext, can decrypt parts of a message if same ciphertext block occurs

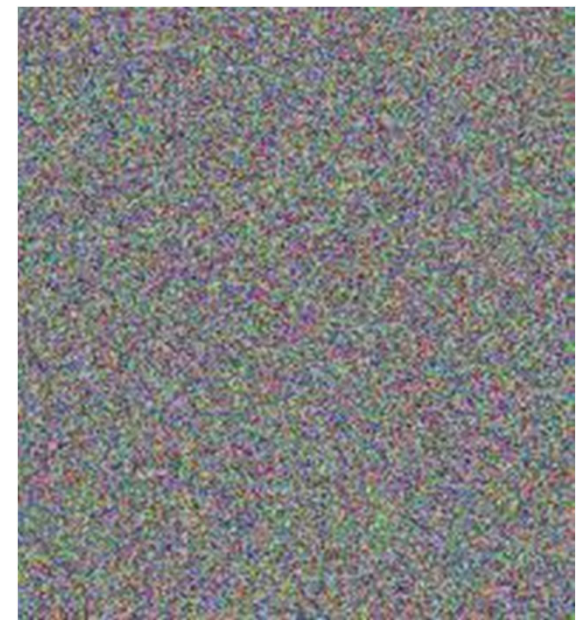
# Problems of ECB Mode



plaintext



ciphertext of ECB mode



We want ciphertext  
like this!

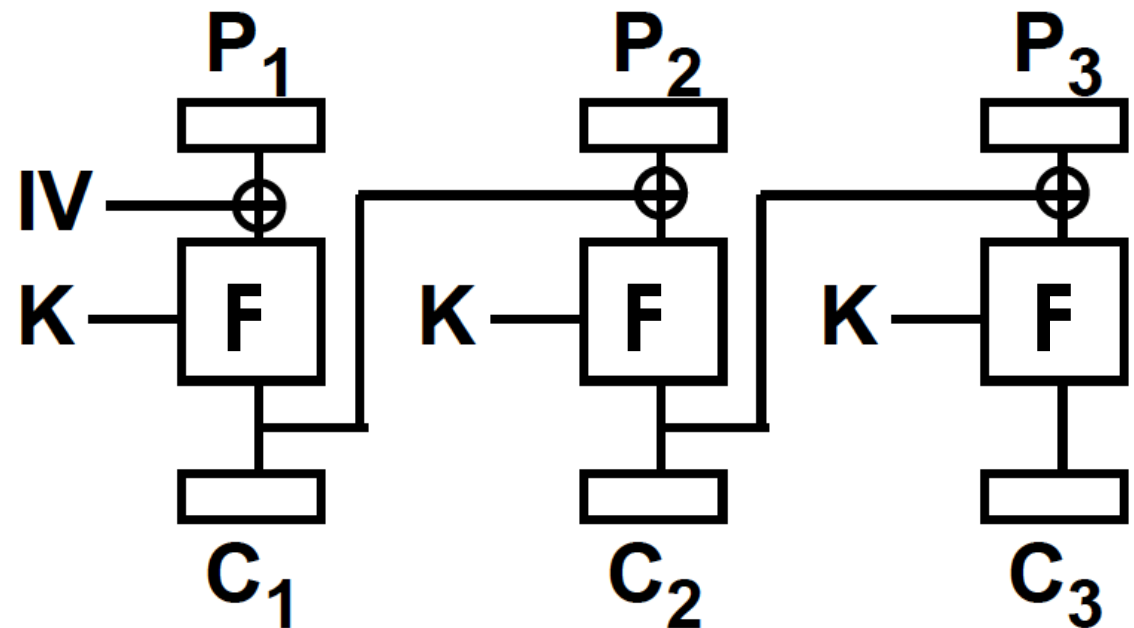
# Desired Properties

- **Semantic security:** if adversary had to guess value of a single plaintext bit, can guess at best at random
  - i.e., indistinguishability in CPA sense
  - Even if we keep encrypting a 1-bit message with skewed distribution (e.g., a fire alarm message, which almost always carries the same plaintext bit), attacker cannot guess value of plaintext given ciphertext



# Cipher Block Chaining (CBC)

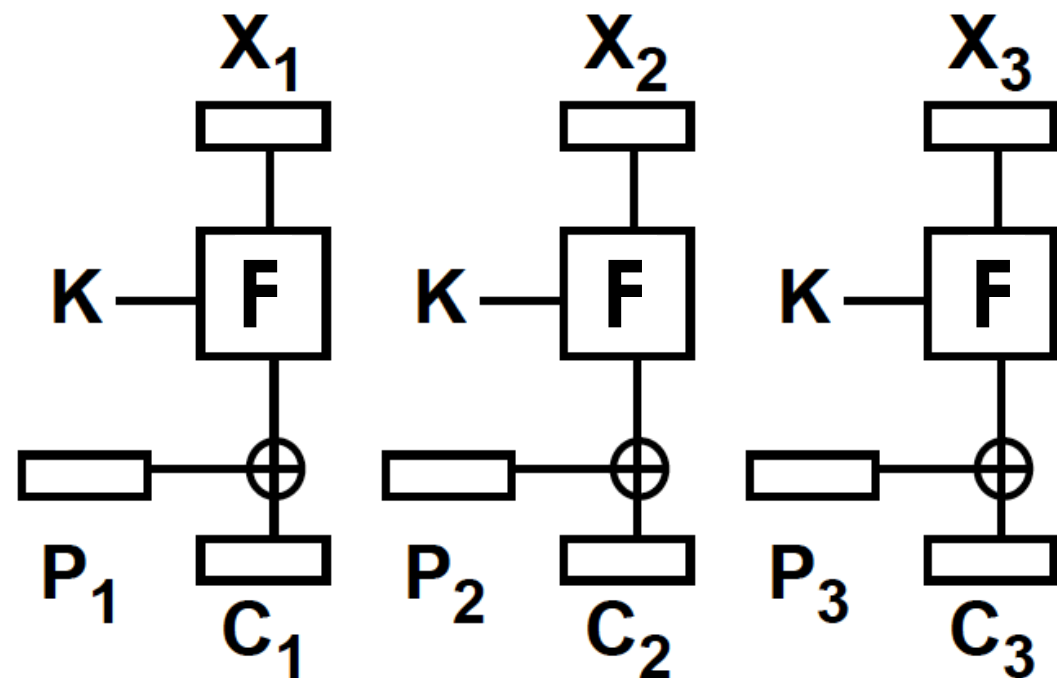
- $C_j = F_K(P_j \oplus C_{j-1})$   
     $= E_K(P_j \oplus C_{j-1})$
- $P_j = F_K^{-1}(C_j) \oplus C_{j-1}$   
     $= D_K(C_j) \oplus C_{j-1}$
- $C_0 = IV$  called  
    initialization vector



- Advantages
  - Semantic security
- Disadvantages
  - Cannot be parallelized

# Counter Mode (CTR)

- $X_1 = IV$  called initialization vector
- $X_j = X_1 + j - 1$
- $C_j = F_K(X_j) \oplus P_j$   
 $= E_K(X_j) \oplus P_j$
- $P_j = F_K(X_j) \oplus C_j$   
 $= E_K(X_j) \oplus C_j$
- Advantages
  - Semantic security
  - Can be parallelized





# How to use block ciphers matters!

- CBC/CTR modes of encryption provide semantic security when they are **correctly used**
- Example the **wrong** use of IV:
  - For CTR and CBC, **reuse** of IV breaks the encryption
  - For CBC, **predictable** IV breaks the encryption (e.g., SSL 2.0)
    - *Predictable IV does not break security of CTR mode. But we need to make sure counter value ( $X_i$ ) is never reused.*
- Padding can be used to break CBC encryption (a.k.a. padding oracle attack)
  - If the decryption module tells whether padding is valid or not, an attacker can utilize that information for revealing the whole plain text.

# Example of Risk of Predictable IV

- Let's assume the plain text space is “true” or “false” (e.g., history of a certain disease).
- Eve, an adversary who has capability to read DB entries, knows that Alice will be uploading the above plaintext to a DB.
- The DB encrypts it with CBC mode.
  - DB uses a secret key  $K$  for all users.
  - When encryption, DB uses  $IV_A$ , which is IV for Alice's data
  - DB stores  $E(K, P \text{ XOR } IV_A)$ , where  $P$  is plaintext from Alice
- Here, Eve, knowing  $IV_A$  and her own IV  $IV_E$ , can construct plaintext, “true” XOR  $IV_A$  XOR  $IV_E$ 
  - DB, using  $IV_E$ , encrypts it as  $E(K, (“true” \text{ XOR } IV_A \text{ XOR } IV_E) \text{ XOR } IV_E) = E(K, (“true” \text{ XOR } IV_A))$
- Finally, Eve can compare the above ciphertext against Alice's DB entry to know if she answered “true” or not.

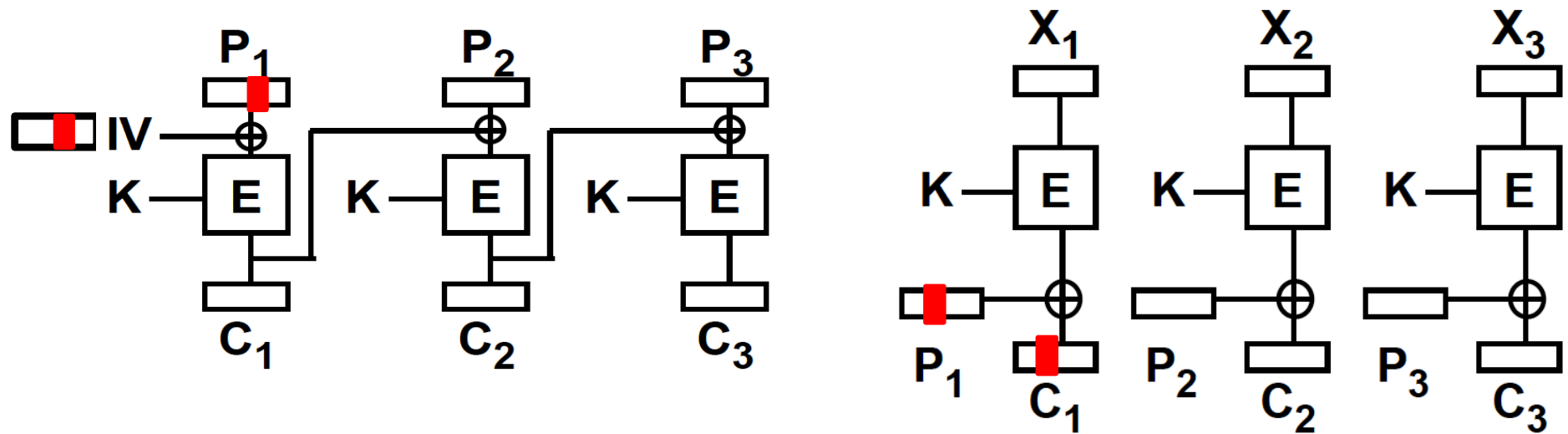
# Confidentiality vs. Integrity

- What does “secure communication” entail?
  - So far, we aim to obtain secret communication (i.e., message confidentiality)
  - Yet, not all security concerns are related to confidentiality
  - In many cases, message integrity is equally (or more) important

# Encryption vs. authentication

- “Encryption hides message contents and thus adversary cannot modify encrypted message in any meaningful way” T/F?
  - No! Common mistake.
  - Encryption ***does not provide authentication*** and thus one should ***not expect authentication*** from encryption
- Examples:
  - CTR-mode encryption
    - ciphertext bit flip => plaintext bit flip
  - CBC-mode encryption
    - IV bit flip => first message block bit flip

# Lack of integrity in CBC and CTR



# Message Authentication Codes

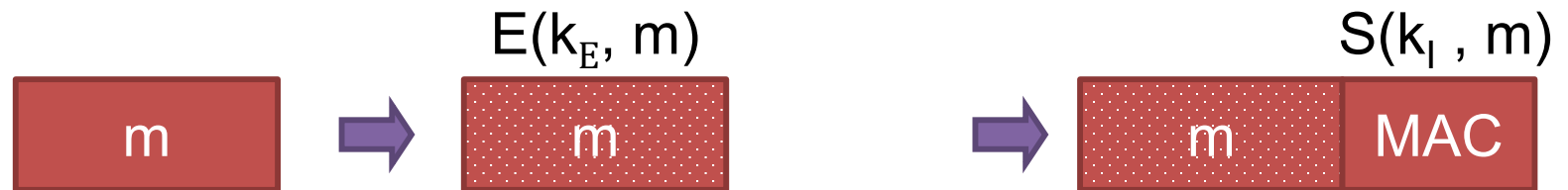
- Message authentication codes (MAC) provide a “cryptographic checksum” for **authentication**
  - Write  $\text{MAC}(K, M)$ , or  $\text{MAC}_K ( M )$
  - $K$  is a shared symmetric key
- Use
  - A and B share symmetric key  $K_{AB}$
  - $A \rightarrow B: M, t=\text{MAC}( K_{AB} , M )$
- Two examples:
  - Hash-based MAC: HMAC
    - $\text{HMAC-SHA1}(K, M) = \text{SHA1}((K \oplus \text{opad}) || \text{SHA1}((K \oplus \text{ipad}) || M))$ 
      - $\text{ipad} = 0x3636..36$ ,  $\text{opad} = 0x5C5C..5C$
  - Block-cipher based MAC: CBC-MAC
    - Use 0 as IV and the final block becomes MAC
    - Secure for message of fixed size

# Authenticated Encryption

- Authenticated Encryption
  - **Semantic security**
  - **Ciphertext integrity**: An attacker cannot create a ciphertext that decrypts properly.
- Authenticated Encryption using Symmetric-key Encryption
  - Encrypt-and-MAC (a.k.a. Encrypt-and-Authenticate): SSH
  - MAC-then-Encrypt (a.k.a. Authenticate-then-Encrypt): SSL/TLS
  - Encrypt-then-MAC (a.k.a. Encrypt-then-Authenticate): IPsec

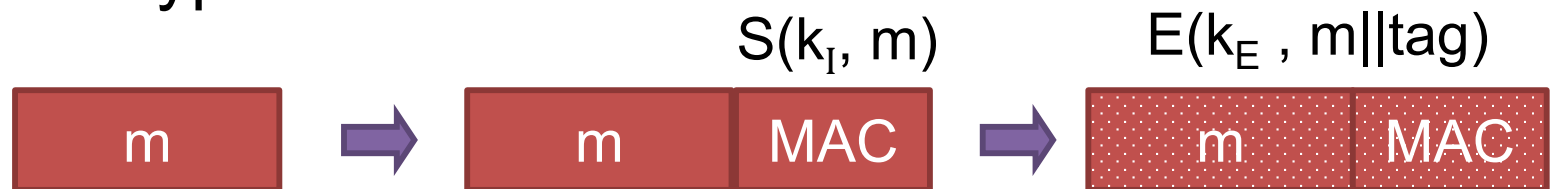
# Construction of Authenticated Encryption

## Encrypt-and-MAC

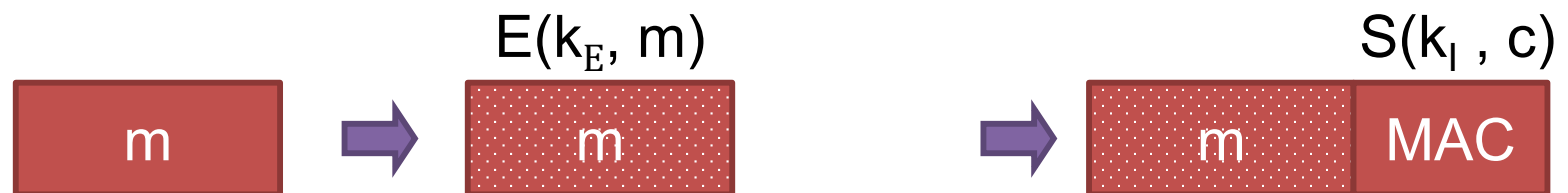


- If the same message  $m$  is encrypted twice, same MAC is seen!  
(not ensure semantic security)

## MAC-then-Encrypt



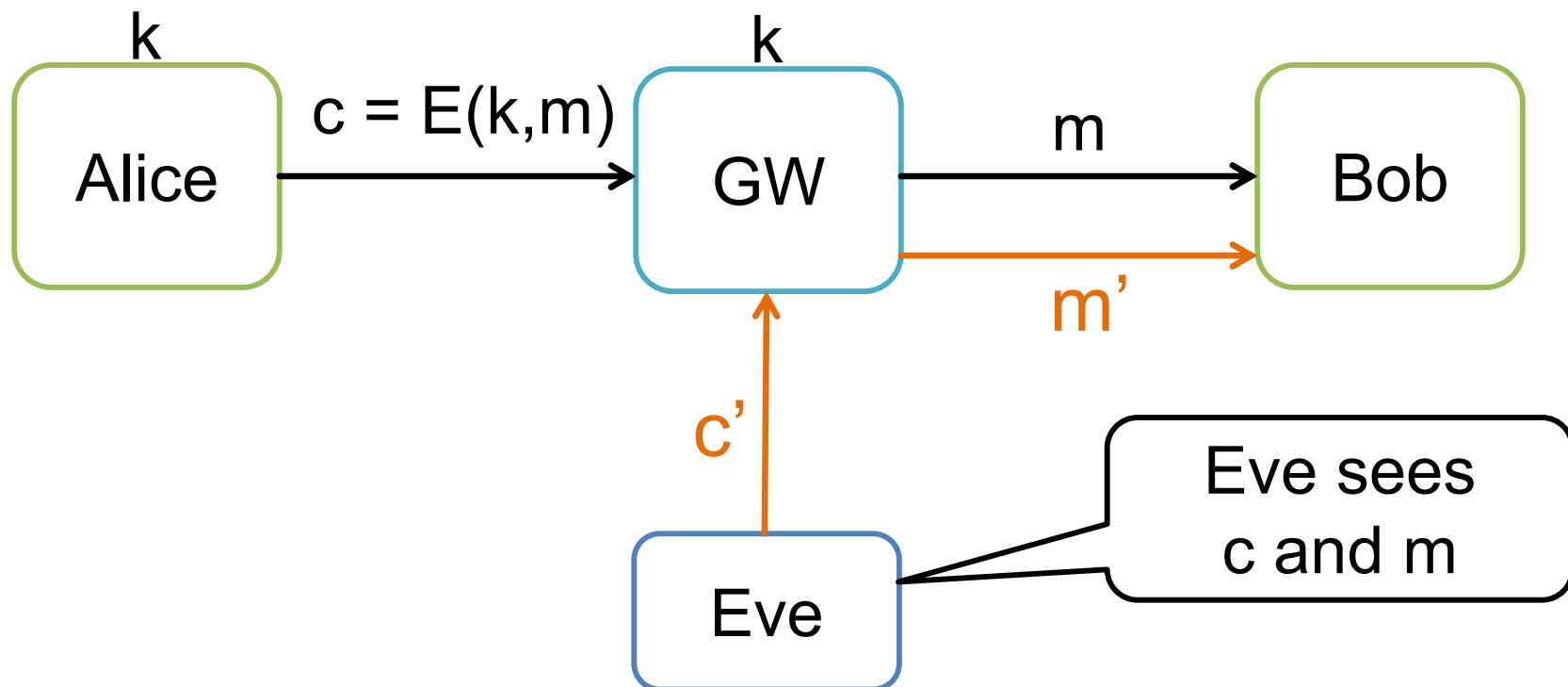
## Encrypt-then-MAC





# CPA Security vs CCA Security

- 2 different attacker models against semantic security:
  - Chosen plaintext attack (CPA)
    - Attacker can get ciphertexts for plaintext of his choice
    - Passive attacker
  - Chosen ciphertext attack (CCA)
    - Additionally, attacker can get plaintext of ciphertext of his choice



# MAC-then-Encrypt vs Encrypt-then-MAC

- MAC-then-encrypt
  - Secure under CPA (with appropriate selection of encryption and MAC scheme)
  - MAY be insecure under CCA
- Encrypt-then-MAC
  - Proven to be secure against both (with appropriate selection of encryption and MAC scheme)
- Although we don't dive into details, interested students can take a look at the following papers:
  - <https://eprint.iacr.org/2000/025.pdf>
  - <https://iacr.org/archive/crypto2001/21390309.pdf>
- One (practical) advantage of Encrypt-then-MAC is to avoid unnecessary decryption for garbage data received.

# What's next?

- Symmetric (shared-key, private-key)
  - Block cipher (pseudo-random permutation, PRP)
  - Modes of encryption
  - Message authentication code (MAC)
  - Authenticated Encryption
- **Asymmetric (public-private key)**
  - **Diffie-Hellman key agreement**
  - **Public-key encryption**
  - **Digital signature**
- **Others (unkeyed symmetric)**
  - **Cryptographic hash function and more**

# QUESTIONS?